



Administered by University of Maine System
Office of Strategic Procurement

Request for Proposal (RFP)

Mechanical & HVAC Preventative Maintenance,
Inspection & Repair Services for Darling Marine
Center, University of Maine

RFP #125-2017

Issued Date: June 29, 2017

Respondents' OPTIONAL Pre-Bid Conference: July 11, 2017
11:00 AM EST

Response Deadline Date/Time: July 28, 2017, by End of
Business

Response Submission Information:

Submitted electronically to **roger.ward@maine.edu**
Email Subject Line – **Darling Mech. & HVAC Services - RFP#125-17**

Response Contact Information:

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Contents

1.0 INTRODUCTION	3
1.1 Definitions, Background, Purpose and Specifications	3
1.2 General Information	11
1.3 General Submission Provisions	16
2.0 EVALUATION AND AWARD PROCESS	18
2.1 Evaluation Criteria	18
2.2 Award	20
2.3 Negotiations	20
2.4 Award Protest	20
3.0 RESPONSE FORMAT REQUIREMENTS	21
3.1 General Format Instructions	21
3.2 Response Format Instructions	21
4.0 APPENDICES	23
Appendix A – University of Maine System Response Cover Page	24
Appendix B – Debarment, Performance and Non-Collusion Certification	26
Appendix C – Required Cost Evaluation Exhibits	27
Appendix D – Economic Impact Evaluation Form	30
Appendix E – Contract for Services	32
Appendix F – Certificate of Insurability Form	52
Appendix G – Organization Reference Form	53
Appendix H – Evaluation Question(s) - Organization, Qualifications and Experience	54

1.0 INTRODUCTION

1.1 Definitions, Background, Purpose and Specifications

1.1.1 Definitions

The University of Maine System will hereinafter be referred to as the "University." Respondents to the document shall be referred to as "Respondent(s)" or "Respondent".

The Respondent to whom the Agreement is awarded shall be referred to as the "Contractor."

The University of Maine System and other components of the University shall be referred to as "Multi-Institution".

1.1.2 Background

Overview

Established in 1968, the University of Maine System (UMS) unites seven distinctive public universities, comprising 10 campuses and numerous centers, in the common purposes of providing quality higher education while delivering on its traditional tripartite mission of teaching, research, and public service.

Maine's largest educational enterprise, the University extends its mission as a major resource for the state, linking economic growth, the education of its people, and the application of research and scholarship.

A comprehensive public institution of higher education, UMS serves nearly 40,000 students annually and is supported by the efforts of more than 2,000 full-time and part-time faculty, more than 3,000 regular full-time and part-time staff, and a complement of part-time temporary (adjunct) faculty.

Reaching more than 500,000 people annually through educational and cultural offerings, the University of Maine System also benefits from more than two-thirds of its alumni population residing within the state; more than 123,000 individuals.

The System consists of the following seven universities: University of Maine (UM); University of Maine at Machias (UMM); University of Maine at Augusta (UMA); University of Maine at Presque Isle (UMPI); University of Maine at Farmington (UMF); University of Southern Maine (USM); and, University of Maine at Fort Kent (UMFK).

Operating within a shared services model, the offices of Information Technology, Strategic Procurement, Human Resources, Facilities, Risk and General Services, Finance and Budget, Shared Processing Center,

General Counsel and Organizational Effectiveness partner to form the University Services organization.

Charged with delivering key administrative functions across the System, University Services is dedicated to leveraging its significant unit and collective resources to not only serve the immediate needs of its constituents, but deliver sustainable economies and efficiencies for the future benefit of the System as well.

Campus thumbnails

Darling Marine Center, University of Maine

The Darling Marine Center is a proud part of the University of Maine, the state's Land and Sea Grant University. Since its founding in 1965, the DMC has been an active center of marine research, education, and outreach. The DMC brings unique strengths and opportunities to UMaine by virtue of its waterfront facilities, resident expertise, and the surrounding community.

The DMC welcomes scientists and students from UMaine and around the world throughout the year to the campus in Walpole, Maine. Our location and facilities enable scientists and students to easily engage in field and laboratory studies of coastal and marine ecosystems. In addition to supporting university research and education, the DMC also actively engages with fishermen, aquaculture entrepreneurs, marine industry professionals, and community members through collaborative research, workforce development, and business incubation programs.

1.1.3 Purpose

The **Darling Marine Center, University of Maine** located in Walpole, Maine is seeking responses to provide **Mechanical & HVAC Preventative Maintenance, Inspection & Repair Services** as defined in this document. This document provides instructions for submitting responses, the procedure and criteria by which the Respondent(s) will be selected, and the contractual terms which will govern the relationship between the University and the awarded Respondent(s).

Respondents should review **1.1.4 Specifications / Scope of Work** of this document to see the full Scope of Services/Products required.

Though this document is primarily for **Darling Marine Center, University of Maine**, all campuses in the University of Maine System must be afforded the use of this solution, with all the same terms and conditions applicable to the various University locations.

1.1.4 Specifications / Scope of Work

The Darling Marine Center, University of Maine seeks the services of a qualified Contractor with expertise in Mechanical and HVAC Systems. The Contractor shall provide a comprehensive heating, ventilation, air-conditioning (HVAC) maintenance and repair program for the Darling Marine Center, University of Maine. The work will include a total preventative maintenance program including, but not limited to, the inspection, preventative maintenance, repair, programming and other tasks and services necessary to insure safe, well maintained Mechanical / HVAC systems providing quality air for employees, students, and the public. The Contractor shall provide inspections and preventative maintenance to improve equipment operations, increase efficiency, minimize breakdowns and prolong equipment life as well as perform scheduled inspections. Contractor shall provide chiller maintenance, boiler maintenance, rooftop maintenance, exhaust fan maintenance, split system maintenance, pump maintenance, building automation system maintenance, fan coil units filter & belt inspection & replacement, and written reports provided to the University after each visit. Contractor shall provide qualified personnel 24 hours a day, 7 days per week for emergency and non-emergency calls requiring service.

The Contractor shall provide:

Mechanical & HVAC Inspections:

The Contractor shall provide routine schedule to perform inspection tasks on the HVAC system that includes the following, as applicable:

Coils	Compressor Operations	Motor
Operations		
Voltage & Amp Draws	Refrigerant Charge	Cabinets &
Ductwork		
Heat Exchangers	Refrigerant Piping	Bearings
Belts & Pulleys	Blowers	Condensate
Drain		
Operating/Safety Controls	Oil Levels	Water Levels
Electrical/Relays &	Reversing Valves	Fans/Blades
Contactors at Equipment		

Preventative Maintenance Actions:

The Contractor shall provide during scheduled inspections provide a proactive, hands-on maintenance services to improve equipment operations, increase efficiency, minimize breakdowns and prolong equipment life. Services may include, but not limited to:

Fan Blade/Blower Cleaning	Bearing Lubrications	Motor
Lubrications		
Safety Control Adjustments	Condensate Drain Cleaning	Coil
Cleaning		

Belt Adjustment/Replacements	Calibrations	Oil
Analysis		
Operating Control Adjustments	Equipment Cleaning	
Refrigerant Charge Adjustments		

Filter Service/Changes:

The Contractor shall provide filter service/changes determined for best operating conditions and overall value to the University. Type and frequency of service to be determined based on needs. Filter service to be included per schedule:

1. Removal and proper discard of used filters/medias
2. Provide and deliver to the University replacement filters/medias
3. Install Filters
4. Wash Filters as required and as applicable

Semi-Annual Chiller Maintenance:

The Contractor shall perform semi-annual chiller maintenance in the spring and fall. Services include, but not limited to, the following:

Spring Maintenance:

1. Check unit for refrigerant leaks
2. Check and adjust safety and operating controls
3. Check and tighten all electrical terminals, check for contacts for wear
4. Check crankcase heaters for proper operation
5. Check external interlocks, i.e. flow switches and/or pump interlocks, etc.
6. Start unit for proper operations and refrigerant pressures
7. Clean condenser coils
8. Document all readings
9. Report any uncorrected deficiencies

Fall Maintenance:

1. Check glycol levels

Semi-Annual Split System Maintenance

The Contractor shall perform semi-annual split system maintenance in the spring and fall. Services include, but not limited to, the following:

Condensing Unit Maintenance

1. Check for refrigerant leaks
2. Check and adjust safety and operating controls
3. Check and tighten electrical terminals, check contacts for wear
4. Check crankcase heaters for proper operation
5. Check external interlocks, i.e. flow switches and/or pump interlocks, etc.
6. Check meg compressors (annual)

7. Start and check unit for proper operations and refrigerant pressures, check controls and calibrate as needed
8. Document all uncorrected deficiencies, complete operating log and document all readings

Air Handler Maintenance

1. Change and/or adjust belts as needed
2. Change pleated filters semi-annually
3. Grease bearings
4. Check inlet guide vanes for proper operation and lubricate
5. Check linkages
6. Check all dampers and lubricate
7. Check motor amp draw
8. Check and tighten electrical connections

Semi-Annual Roof Top Unit Maintenance

The Contractor shall perform semi-annual roof top unit maintenance in the spring and fall. Services include, but not limited to, the following:

1. Check units for refrigerant leaks
2. Check and adjust safety and operating controls
3. Check and replace, if needed, belts
4. Check and tighten electrical terminals, check contacts for wear
5. Check crankcase heaters for proper operation
6. Start and check unit for proper operations and refrigerant pressures, check controls and calibrate as needed
7. Lubricate where applicable
8. Document all uncorrected deficiencies, complete operating log and document all readings

Semi-Annual Pump Maintenance:

The Contractor shall perform semi-annual pump maintenance in the spring and fall. Services include, but not limited to, the following:

1. Grease all system pumps
2. Check for proper alignment
3. Tighten all electrical connections
4. Check for proper amp draw
5. Document any deficiencies

Annual Exhaust Fan Maintenance:

The Contractor shall perform annual exhaust fan maintenance. Services include, but not limited to, the following:

1. Change belts
2. Lubricate bearings and motors
3. Check amperage

4. Check alignment
5. Verify proper operation

Annual Oil Transfer Pump System Maintenance

The Contractor shall perform annual oil transfer pump system maintenance each fall. Services include, but not limited to, the following:

1. Lubricate oil pumps
2. Tighten all electrical connections
3. Check for proper amp draw
4. Verify proper operations
5. Document any deficiencies

Semi-Annual Building Automation Maintenance

The Contractor shall perform semi-annual building automation maintenance in the spring and fall. Services include, but not limited to, the following:

1. Provide a complete back up of systems operating parameters and programming
2. Check control panel wiring terminations
3. Check system batteries & change batteries annually
4. Annual points verification
5. Operator training

Semi-Annual Fan Coil Units

The Contractor shall perform semi-annual fan coil units maintenance in the spring and fall. Services include, but not limited to, the following:

1. Replace belts and filters

Annual Boiler Service:

The Contractor shall perform services including annual inspection, cleaning and overhaul of boilers. Services include, but not limited to, the following:

1. Burner drawer assemblies will be opened, cleaned, and adjusted as required by manufacturer's specifications. Lenses and glasses will be inspected and cleaned.
2. The fireside of boilers will be opened, cleaned and inspected. All flue-gas passage covers will be removed and inspected for general condition. Passages between boiler sections will be brushed clean of soot. Covers will be re-sealed and installed. All soot will be disposed of in accordance with all federal, state and local regulations.

3. All safety devices (i.e. water level controls and relief valves) will be checked for proper operation and general condition. All gaskets will be supplied and replaced if applicable. Relief valves that do not function or reseal properly will be replaced if necessary. The cost of replacement relief valves is not included in this scope of work and will be invoiced separately at cost plus for material cost.
4. Motors, fans, seals, pumps, couplings, and mounts will be inspected, checked for proper operation and general condition.
5. Covered Oil-Fired & Gas-Fired equipment Fuel filter elements, nozzles strainer screens, and electrodes will be replaced. Visual inspection of gas piping and control devices for general condition and signs of leakage is required.
6. OEM temperature controls will be cleaned and calibrated.
7. Electrical connections will be inspected and tightened. Ignition transformers will be checked for proper voltage output.
8. Drive belts, if applicable, will be replaced, aligned and tensioned.
9. Tighten all linkages and check for wear.
10. Lubricate motor and shaft bearings.
11. Inspect / Service all expansion tanks, if applicable.
12. Heating equipment will be fired, tested, and adjusted for maximum efficiency using a computerized combustion analyzer.
13. Recommendations for repair and / or replacement of equipment found to be defective and / or inoperative identified during servicing will be provided to the University along with a quoted estimate of cost. All work required to repair and / or replacement of equipment found to be defective and / or inoperative during annual servicing will be covered under this contract and shall be billed separately from the annual servicing agreement.
14. All other work and / or services deemed part of normal industrial standards for annual servicing of equipment covered under this contract.
15. Provide a work report detailing all work performed, condition of equipment serviced and materials used.

Documentation:

The Contractor shall provide the University with a work report of equipment that was serviced during the technician's visit to the University detailing all work performed, condition of equipment serviced, materials used, and all deficiencies.

1.2 General Information

1.2.1 Contract Administration and Conditions

- 1.2.1.1 The winning Respondent will be required to execute a contract in the form of a University of Maine System Contract for Services, which is attached to this response as **Appendix E**. Contract initial term and renewal periods are reflected in Section 2 of Appendix E, Contract for Services, and are subject to continued availability of funding and satisfactory performance.

The Agreement entered into by the parties shall consist of the University of Maine System Contract for Services (attached to this document), the RFP, the selected Respondent's submission, including all appendices or attachments and clarifications, the specifications including all modifications thereof, and a Purchase Order or Letter of Agreement requiring signatures of the University and the Contractor, all of which shall be referred to collectively as the Agreement Documents.

In the event of a conflict of terms the following precedence will apply:

1. University of Maine System Contract for Services
2. Agreement Riders as required
3. Contract Amendments (as required)
4. The University's RFP
5. Respondent's Submission
6. Purchase Order or Letter of Agreement

- 1.2.1.2 Modification of Agreement terms and conditions is permitted except that the University, due to its public nature, will not :

- a. Provide any defense, hold harmless or indemnity;
- b. Waive any statutory or constitutional immunity;
- c. Apply the law of a state other than Maine;
- d. Procure types or amounts of insurance beyond those UMS already maintains or waive any rights of subrogation.
- e. Add any entity as an additional insured to UMS policies of insurance;
- f. Pay attorneys' fees, costs, expenses or liquidated damages;
- g. Promise confidentiality in a manner contrary to Maine's Freedom of Access Act;
- h. Permit an entity to change unilaterally any term or condition once the contract is signed; or
- i. Agree to automatic renewals for term(s) greater than month-to-month.

1.2.1.3 By submitting a response to a Request for Proposal, bid or other offer to do business with the University your entity understands and agrees that:

- a. The above Agreement provisions (**Section 1.2.1.2**) will not be modified and are thereby incorporated into any agreement entered into between University and your entity; that such terms and condition shall control in the event of any conflict with such agreement; and that your entity will not propose or demand any contrary terms;
- b. The above Agreement provisions (**Section 1.2.1.2**) will govern the interpretation of such agreement notwithstanding the expression of any other term and/or condition to the contrary;
- c. Your entity agrees that the resulting Agreement will be the entire agreement between the University (including University's employees and other End Users) and Respondent and in the event that the Respondent requires terms of use agreements or other agreements, policies or understanding, whether on an order form, invoice, website, electronic, click-through, verbal or in writing, with University's employees or other End Users, such agreements shall be null, void and without effect, and the terms of the Agreement shall apply.
- d. Your entity will identify at the time of submission which, if any, portion or your submitted materials are entitled to "trade secret" exemption from disclosure under Maine's Freedom of Access Act; that failure to so identify will authorize UMS to conclude that no portions are so exempt; and that your entity will defend, indemnify and hold harmless UMS in any and all legal actions that seek to compel UMS to disclose under Maine's Freedom of Access Act some or all of your submitted materials and/or contract, if any, executed between UMS and your entity.

1.2.2 Communication with the University

It is the responsibility of the Respondent to inquire about any requirement of this document that is not understood. Responses to inquiries, if they change or clarify the document in a substantial manner, will be forwarded by addenda to all parties that have received a copy of the document. Addenda will also be posted on our web site, **www.maine.edu/strategic/upcoming_bids.php**

It is the responsibility of all Respondents to check the web site before submitting a response to ensure that they have all pertinent documents. The University will not be bound by oral responses to inquiries or written responses other than addenda.

Inquiries must be made using the **Response Contact Information** provided on the cover sheet of this document.

Refer to table in **Section 1.3.1 Timeline of Key Events** for deadline requirements.

1.2.3 Confidentiality

The University must adhere to the provisions of the Maine Freedom of Access Act (FOAA), 1 MRSA §401 et seq. As a condition of submitting a response under this section, a respondent must accept that, to the extent required by the Maine FOAA, responses to this solicitation, and any ensuing contractual documents, are considered public records and therefore are subject to freedom of access requests.

The information contained in responses submitted for the University's consideration will be held in confidence until all evaluations are concluded and a Respondent selected (the successful Respondent). At that time the University will issue award notice letters to all participating Respondents and all Respondents' responses may be made available to participating Respondents upon request. Such request must be made by submitting a written request to the individual noted in the Response Contact Information shown on the cover sheet of this document, with a copy of the request provided to the other Respondents. Such requests are public records.

After the protest period has passed and the Agreement is fully executed, responses will be available for public inspection upon request.

Pricing and other information that is an integral part of the offer cannot be considered confidential after an award has been made. The University will honor requests for confidentiality for information that meets the definition of "trade secret" under Maine law. Clearly mark any portion of your submitted materials which are entitled to "trade secret" exemption from disclosure under Maine's Freedom of Access Act. Failure to so identify as trade secret will authorize the University to conclude that no portions are so exempt; and that your entity will defend, indemnify and hold harmless the University in any and all legal actions that seek to compel the University to disclose under Maine's Freedom of Access Act some or all of your submitted materials and/or contract, if any, executed between the University and your entity.

1.2.4 Costs of Preparation

Respondent assumes all costs of preparation of the response and any presentations necessary to the response process.

1.2.5 Authorization

Any Agreement for services that will, or may, result in the expenditure by the University of \$50,000 or more must be approved in writing by the Office

of Strategic Procurement, Chief Procurement Officer and it is not approved, valid or effective until such written approval is granted.

1.2.6 Multi-Institutional

The University of Maine System, Office of Strategic Procurement reserves the right to authorize other University Institutions to use the Agreement(s) resulting from this document, if it is deemed to be beneficial for the University to do so.

1.2.7 Pricing

All prices quoted shall remain firm for the first two (2) years of the contract. All normal scheduled maintenance, testing, inspection and / or cleaning of equipment shall be invoiced and paid quarterly. All non-scheduled services shall be invoiced and paid once work has been completed.

The firm, fixed prices for normal scheduled maintenance, testing, inspection, and / or cleaning of equipment listed are to be **all-inclusive** and shall include, but not limited to, labor, mileage, insurance, mobilization, demobilization, equipment, vehicles, data base, travel expenses, lodging, office supplies, office equipment, photocopying, overhead and profit and all other expenses necessary to complete the work according to “normal” industry standards. Overhead shall include, but not limited to, all cost associated with project management, corporate accounting, invoice preparation, office rental and all other expenses indirectly associated with the work. The University will not pay any additional expenses related to fixed cost for normal scheduled quarterly, semi-annually and annual testing, inspection, and / or cleaning of systems covered under the costs of Life Safety Systems Services.

Services not included and / or covered under normal scheduled maintenance, testing, inspection, and / or cleaning of equipment listed are to be charged at an hourly rate. All labor rates shall include, but not limited to, all costs including tools, expendables, and small material packages. Travel cost shall be listed and included in submission.

Materials shall be based on Cost Plus basis and shall be firm for the length contract and any / all renewal of contract.

Any price changes for subsequent contract renewals shall be submitted in writing to the Contract Administrator sixty (60) days prior to the expiration date.

1.2.8 Cost Response Form Quantities

The quantities shown on the cost response form are approximate only. The Contractor shall cover the actual needs of the University throughout the term of the Agreement regardless of whether they are more or less than the quantities shown.

1.2.9 Employees

The Contractor shall employ only competent and satisfactory personnel and shall provide a sufficient number of employees to perform the required services efficiently and in a manner satisfactory to the University. If the Agreement Administrator or designee, notifies the Contractor in writing that any person employed on this Agreement is incompetent, disorderly, or otherwise unsatisfactory, such person shall not again be employed in the execution of this Agreement without the prior written consent of the Agreement Administrator.

1.3 General Submission Provisions

1.3.1 Timeline of Key Events

Reference Section	Event Name	Event Due Date
Section 1.3.7	Respondents' OPTIONAL Pre-Bid Conference	July 11, 2017 11:00 AM EST
Section 1.2.2	Deadline for Written Inquiries/Questions	July 14, 2017 End of Business
Section 1.2.2	Response to Written Inquiries/Questions	July 21, 2017 End of Business
Section 1.2.2	Deadline for Proposal Submission	July 28, 2017 End of Business
Section 2.2	Award Announcement (subject to change)	August 11, 2017
	Estimated Agreement Start Date (subject to change)	September 1, 2017

1.3.2 Eligibility to Submit Responses

Public entities, private for-profit companies, and non-profit companies and institutions are invited to submit a response to this document.

1.3.3 Debarment

Respondents must complete and submit the "Debarment, Performance and Non-Collusion Certification Form provided in Appendix B. Failure to provide this certification may result in the disqualification of the Respondent's proposal, at the University's discretion.

Submission of a signed response in response to this solicitation is certification that your firm (or any subcontractor) is not currently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any State or Federal department or agency.

Submission is also agreement that the University will be notified of any change in this status.

1.3.4 Response Understanding

By submitting a response, the Respondent agrees and assures that the specifications are adequate, and the Respondent accepts the terms and conditions herein. Any exceptions should be noted in your response.

1.3.5 Response Validity

Unless specified otherwise, all responses shall be valid for ninety (90) days from the due date of the response.

1.3.6 Non-Response Submission

The University will not consider non-responsive submissions, i.e., those with material deficiencies, omissions, errors or inconsistencies or that otherwise do not follow instructions. The University in its sole discretion will determine what is Non-Responsive.

1.3.7 Respondents' Presentations

Presentations may be requested of two or more Respondents deemed by the University to be the best suited among those submitting responses on the basis of the selection criteria. After presentations have been conducted, the University may select the Respondent(s) which, in its opinion, has made the response that is the most responsive and most responsible and may award the Agreement to that/those Respondent(s).

1.3.8 Response Submission

A **SIGNED** virus-free electronic copy must be submitted as follows:

- The response must be received electronically to the E-Mail shown in the **Response Submission Information** section of the cover page of this document.
- Electronic submission must be received by the required **Response Deadline Date/Time** reflected on the cover page of this document.
- Response submissions that exceed 20 MB will be submitted with multiple emails modifying email subject line shown in the **Response Submission Information** section of the cover page of this document to include: Submission 1 of X ('X' representing the number of files being submitted).

2.0 EVALUATION AND AWARD PROCESS

2.1 Evaluation Criteria

2.1.1 Scoring Weights

The score will be based on a 100 point scale and will measure the degree to which each response meets the following criteria:

Evaluation Appendices	Category	Points
Appendix C	Cost Evaluation	35
Appendix D	Economic Impact	10
Appendix E	Contract for Services	5
Appendix G&H	Organization, Qualifications, Experience and References	50
Total Points		100

2.1.2 Scoring Section Descriptions

2.1.2.1 Cost Evaluation

The total cost proposed for conducting all the functions specified in this document will be assigned a score according to a mathematical formula. The lowest cost response will be awarded the total points. Responses with higher cost response values will be awarded proportionately fewer points calculated in comparison with the lowest cost response.

The scoring formula is:

(Lowest submitted cost response / cost of response being scored) x **(25)** = pro-rated score

The University will NOT seek a best and final offer (BAFO) from any Respondent in this procurement process. All Respondents are expected to provide their best value pricing with the submission of their response. Respondents will NOT be given another opportunity to modify pricing once submitted.

2.1.2.2 Economic Impact

Using the form in **Appendix D** (Economic Impact Evaluation Form), the Respondent (Respondent identified on the "Response Cover Page" of their submission) is required to describe the Respondent's recent and anticipated economic impact upon and within the State of Maine.

The Economic Impact for this RFP will be assigned a score according to a mathematical formula.

Recent Economic Impact: The highest recent economic impact will be awarded 5 points. Proposals with lower recent economic

impact will be awarded proportionately fewer points calculated in comparison with the highest impact.

The Recent Economic Impact scoring formula is:
(Recent Economic Impact proposal being scored / Highest submitted recent Economic Impact proposal) x 5 = pro-rated score

Projected Economic Impact*: The highest projected economic impact will be awarded 5 points. Proposals with lower projected economic impact will be awarded proportionately fewer points calculated in comparison with the highest projected economic impact.

The Projected Economic Impact scoring formula is:
(Projected Economic Impact proposal being scored / Highest submitted projected Economic Impact proposal) x 5 = pro-rated score

Projected Economic Impact is to be based **solely on the resulting contract should the Respondent be awarded the contract for these services (See **Appendix D** for a more detailed explanation).*

Please note: If the University determines that the Respondent's recent and/or projected economic impact information is deemed to be substantially inaccurate, then the University may determine to not award any points for economic impact to that Respondent for the applicable section(s).

2.1.2.3 Contract for Services

Responses which indicate full acceptance of the terms and conditions will receive the total points noted in the table above. Responses with language adjustments, will have point reductions based on University risk assessment. Refer to Agreement provisions detailed in **Section 1.2.1.2** for additional guidance.

2.1.2.4 Organization, Qualifications, Experience and References

The evaluation team will use a consensus approach to evaluate and assign evaluation points. Reference checks will be performed on the top Respondent(s) only as determined by consensus scoring in the other categories.

2.1.2.5 General, Implementation, Training and Support

The evaluation team will use a consensus approach to evaluate and assign evaluation points.

2.2 Award

The University reserves the right to waive minor irregularities, which may include contacting the Respondent to resolve the irregularity. Scholarships, donations, or gifts to the University, will not be considered in the evaluation of responses. The University reserves the right to reject any or all responses, in whole or in part, and is not necessarily bound to accept the lowest cost response if that response is contrary to the best interests of the University. The University may cancel this request or reject any or all responses in whole or in part. Should the University determine in its sole discretion that only one Respondent is fully qualified, or that one Respondent is clearly more qualified than any other under consideration, an Agreement may be awarded to that Respondent without further action.

2.3 Negotiations

The University reserves the right to negotiate with the successful Respondent to finalize a contract. Such negotiations may not significantly vary the content, nature or requirements of the proposal or the University's Request for Proposals to an extent that may affect the price of goods or services requested. The University reserves the right to terminate contract negotiations with a selected respondent who submits a proposed contract significantly different from the response they submitted in response to the advertised RFP. In the event that an acceptable contract cannot be negotiated with the highest ranked Respondent, the University may withdraw its award and negotiate with the next-highest ranked Respondent, and so on, until an acceptable contract has been finalized. Alternatively, the University may cancel the RFP, at its sole discretion.

2.4 Award Protest

Respondents may appeal the award decision by submitting a written protest to the University of Maine System's Chief Procurement Officer within five (5) business days of the date of the award notice, with a copy of the protest to the successful Respondent. The protest must contain a statement of the basis for the challenge.

If this RFP results in the creation of a pre-qualified or pre-approved list of vendors, then the appeal procedures mentioned above are available upon the original determination of that vendor list, but not during subsequent competitive procedures involving only the pre-qualified or pre-approved list participants.

3.0 RESPONSE FORMAT REQUIREMENTS

3.1 General Format Instructions

3.1.1 Electronic Submissions

Documents submitted as part of the electronic response are to be prepared on standard electronic formats of 8-1/2" x 11" and of PDF file type. Submissions requiring additional supporting information, such as, foldouts containing charts, spreadsheets, and oversize exhibits are permissible and must be submitted as Appendices, clearly numbered and referencing the Section in which they provide supporting information.

For clarity, the Respondent's name should appear on every document page, including Appendices. Each Appendix must reference the section or subsection number to which it corresponds.

3.1.2 Respondents Responsibility

It is the responsibility of the Respondent to provide all information requested in the document package at the time of submission. Failure to provide information requested in this document may, at the discretion of the University's evaluation review team, result in a lower rating for the incomplete sections and may result in the response being disqualified for consideration. Include any forms provided in the application package or reproduce those forms as closely as possible. All information should be presented in the same order and format as described in this document.

3.1.3 Brief Response

Respondents are asked to be brief and to respond to each question listed in the "Response to Questions" section of this document. Number each response in the response to correspond to the relevant question in this document.

3.1.4 Additional Attachments Prohibited

The Respondent may not provide additional attachments beyond those specified in the document for the purpose of extending their response. Any material exceeding the response limit will not be considered in rating the response and will not be returned. Respondents shall not include brochures or other promotional material with their response. Additional materials will not be considered part of the response and will not be evaluated.

3.2 Response Format Instructions

This section contains instructions for Respondents to use in preparing their response. The Respondent's submission must follow the outline used below, including the numbering of section and sub-section headings. Failure to use the outline specified in this section or to respond to all questions and instructions

throughout this document may result in the response being disqualified as non-responsive or receiving a reduced score.

The University and its evaluation team for this document have sole discretion to determine whether a variance from the document specifications should result in either disqualification or reduction in scoring of a response.

Re-phrasing of the content provided in this document will, at best, be considered minimally responsive. The University seeks detailed yet succinct responses that demonstrate the Respondent's experience and ability to perform the requirements specified throughout this document.

3.2.1 Section 1 - Response Cover Page

- 3.2.1.1 Label this response - Section 1 – UMS Response Cover Page
- 3.2.1.2 Insert Appendix A – University of Maine System Response Cover Page

3.2.2 Section 2 - Cost Response

- 3.2.2.1 Label this response - Section 2 – Cost Evaluation
- 3.2.2.2 Insert Appendix C – Required Cost Evaluation Exhibits

3.2.3 Section 3 – Response to Economic Impact

- 3.2.3.1 Label this response - Section 3 – Economic Impact Evaluation
- 3.2.3.2 Insert Appendix D – Economic Impact Evaluation Form

3.2.4 Section 4 - Contract for Services

- 3.2.4.1 Label this response - Section 4 – Contract for Services
- 3.2.4.2 Insert Appendix E – Contract for Services
- 3.2.4.3 Insert Appendix F – Certificate of Insurability Form

3.2.5 Section 5 - Response to Questions

- 3.2.5.1 Label this response - Section 5 – Response to Evaluation Questions & Related Information
- 3.2.5.2 Insert Appendix B – Debarment, Performance and Non-Collusion Certification
- 3.2.5.3 Insert Appendix G – Organization Reference Form
- 3.2.5.4 Insert Appendix H – Evaluation Question(s) - Organization, Qualifications and Experience

4.0 APPENDICES

- 4.1** Appendix A – University of Maine System Response Cover Page
- 4.2** Appendix B – Debarment, Performance and Non-Collusion Certification
- 4.3** Appendix C – Required Cost Evaluation Exhibits
- 4.4** Appendix D – Economic Impact Evaluation Form
- 4.5** Appendix E – Contract for Services
- 4.6** Appendix F – Certificate of Insurability Form
- 4.7** Appendix G – Organization Reference Form
- 4.8** Appendix H – Evaluation Question(s) - Organization, Qualifications and Experience
- 4.9** Attachment A – Equipment List

Appendix A – University of Maine System Response Cover Page

RFP #125-17

Mechanical & HVAC Preventative Maintenance, Inspection & Repair Services for Darling Marine Center, University of Maine

Organization Name:	
Chief Executive – Name/Title:	
Telephone:	
Fax:	
Email:	
Headquarters Street Address:	
Headquarters City/State/Zip:	
Lead Point of Contact for Quote – Name/Title:	
Telephone:	
Fax:	
Email:	
Street Address:	
City/State/Zip:	

1. This pricing structure contained herein will remain firm for a period of 90 days from the date and time of the quote deadline date.
2. No personnel currently employed by the University or any other University agency participated, either directly or indirectly, in any activities relating to the preparation of the Respondent's response.
3. No attempt has been made or will be made by the Respondent to induce any other person or firm to submit or not to submit a response.
4. The undersigned is authorized to enter into contractual obligations on behalf of the above-named organization.
5. By submitting a response to a Request for Proposal, bid or other offer to do business with the University your entity understands and agrees that:
 - a. The Agreement provisions in **Section 1.2.1.2** of this document will not be modified and are thereby incorporated into any agreement entered into between University and your entity; that such terms and condition shall control in the event of any conflict with such agreement; and that your entity will not propose or demand any contrary terms;
 - b. The above Agreement provisions in **Section 1.2.1.2** of this document will govern the interpretation of such agreement notwithstanding the expression of any other term and/or condition to the contrary;
 - c. Your entity agrees that the resulting Agreement will be the entire agreement between the University (including University's employees and other End Users) and Respondent and in the event that the Respondent requires terms of use agreements or other agreements, policies or understanding, whether on an order form, invoice, website, electronic, click-through, verbal or in writing, with University's employees or other End Users, such agreements shall be null, void and without effect, and the terms of the Agreement shall apply.
 - d. Your entity will identify at the time of submission which, if any, portion or your submitted materials are entitled to "trade secret" exemption from disclosure under Maine's Freedom of Access Act; that failure to so identify will authorize UMS to conclude that no portions are so exempt; and that your entity will defend, indemnify and hold harmless UMS in any and all legal actions that seek to compel UMS to disclose under Maine's Freedom of Access Act some or all of your submitted materials and/or contract, if any, executed between UMS and your entity.

Continued - Appendix A – University of Maine System Response Cover Page

To the best of my knowledge all information provided in the enclosed response, both programmatic and financial, is complete and accurate at the time of submission.

Date: _____

Name and Title (Printed)

Authorized Signature

Appendix B – Debarment, Performance and Non-Collusion Certification

**University of Maine System
DEBARMENT, PERFORMANCE and NON-COLLUSION
CERTIFICATION
RFP #125-17**

Mechanical & HVAC Preventative Maintenance, Inspection & Repair Services for Darling
Marine Center, University of Maine

By signing this document, I certify to the best of my knowledge and belief that the aforementioned organization, its principals and any subcontractors named in this proposal:

- a. Are not presently debarred, suspended, proposed for debarment, and declared ineligible or voluntarily excluded from bidding or working on contracts issued by any governmental agency.
- b. Have not within three years of submitting the proposal for this contract been convicted of or had a civil judgment rendered against them for:
 - i. Fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a federal, state or local government transaction or contract.
 - ii. Violating Federal or State antitrust statutes or committing embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - iii. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
 - iv. Have not within a three (3) year period preceding this proposal had one or more federal, state or local government transactions terminated for cause or default.
- c. Have not entered into a prior understanding, agreement, or connection with any corporation, firm, or person submitting a response for the same materials, supplies, equipment, or services and this proposal is in all respects fair and without collusion or fraud. The above mentioned entities understand and agree that collusive bidding is a violation of state and federal law and can result in fines, prison sentences, and civil damage awards.

Failure to provide this certification may result in the disqualification of the Respondent's proposal, at the University's discretion.

Date: _____

Name and Title (Printed)

Authorized Signature

Appendix C – Required Cost Evaluation Exhibits

University of Maine System COST EVALUATION

RFP #125-17

Mechanical & HVAC Preventative Maintenance, Inspection & Repair Services for Darling Marine Center, University of Maine

Respondent's Organization Name:

GENERAL INSTRUCTIONS:

All prices quoted shall remain firm for the first two (2) years of the contract. All normal scheduled maintenance, testing, inspection and / or cleaning of equipment shall be invoiced and paid quarterly. All non-scheduled services shall be invoiced and paid once work has been completed.

The firm, fixed prices for normal scheduled maintenance, testing, inspection, and / or cleaning of equipment listed in **Attachment A** are to be all-inclusive and shall include, but not limited to, labor, mileage, insurance, mobilization, demobilization, equipment, vehicles, data base, travel expenses, lodging, office supplies, office equipment, photocopying, overhead and profit and all other expenses necessary to complete the work according to "normal" industry standards. Overhead shall include, but not limited to, all cost associated with project management, corporate accounting, invoice preparation, office rental and all other expenses indirectly associated with the work. The University will not pay any additional expenses related to fixed cost for normal scheduled quarterly, semi-annually and annual testing, inspection, and / or cleaning of systems covered under the costs of Life Safety Systems Services.

Services not included and / or covered under normal scheduled maintenance, testing, inspection, and / or cleaning of equipment listed are to be charged at an hourly rate. All labor rates shall include, but not limited to, all costs including tools, expendables, and small material packages. Travel cost shall be listed and included in submission.

Materials shall be based on Cost Plus basis and shall be firm for the length contract and any / all renewal of contract.

Any price changes for subsequent contract renewals shall be submitted in writing to the Contract Administrator sixty (60) days prior to the expiration date.

Normal Scheduled Services: Provide quarterly rates for all normal scheduled maintenance, testing, inspection, and / or cleaning as described in **Section 1.1.4 Specification / Scope of Services** of equipment listed in **Attachment A** are to be all-inclusive and shall include, but not limited to, labor, mileage, insurance, mobilization, demobilization, equipment, vehicles, data base, travel expenses, lodging, office supplies, office equipment, photocopying, overhead and profit and all other expenses necessary to complete the work according to “normal” industry standards. Overhead shall include, but not limited to, all cost associated with project management, corporate accounting, invoice preparation, office rental and all other expenses indirectly associated with the work. The University will not pay any additional expenses related to fixed cost for normal scheduled quarterly, semi-annually and annual testing, inspection, and / or cleaning of systems covered under the costs of Life Safety Systems Services.

Quote for Normal Scheduled Services of equipment listed in Attachment A

	<u>QUARTERLY</u>		<u>ANNUAL COST</u>
TOTAL:	\$ _____	X 4	\$ _____

Labor Hourly Rates: Provide rates for all labor classifications applicable to the quoted service trade for services not included in normal scheduled preventative maintenance. Other types of labor rates may be added as needed. Labor hourly rates shall be inclusive of all charges, including but not limited to, equipment if not specified otherwise, small tools, standard materials packages, and expendables. Regular working hours Monday to Friday shall be determined by and provided by each University's Department of Facilities Management. Provide rates for after hours, holiday, weekend work and emergency work. Provide travel rates for non-scheduled preventative maintenance call-ins.

	Regular Hours	Overtime/Weekend Holiday/Emergency Hours
a. Superintendent/Supervisor	\$_____/hr.	\$_____/hr.
b. Technician	\$_____/hr.	\$_____/hr.
c. Other Labor Rate	\$_____/hr.	\$_____/hr.
d. Travel for Non-Emergency Call-ins	\$_____/hr.	
e. Travel for Emergency Call-ins	\$_____/hr.	
f. Additional Cost:	\$_____/hr.	

Specify Type of Labor: _____

Specify Additional Cost: _____

Equipment/Operator Rates: The following specific equipment shall be available when applicable for a service trade and shall be priced individually per hour including an operator. In addition to the operator, rates shall be inclusive of all charges including but not limited to, transportation or trip charges, small materials packages and expendables. Regular working hours shall be Monday to Friday, 7:00 a.m. to 4:00 p.m. Provide rates for after hours, holiday and weekend work.

	Regular Hours	Overtime/Weekend/Holiday hours
a. Aerial Lift	\$_____/hr.	\$_____/hr.

Specify Size of Lift _____

b. Other Equipment With Operator	\$_____/hr.	\$_____/hr.
-------------------------------------	-------------	-------------

Specify Type of Equipment _____

Vendor Markup Percentage for Equipment Rental: _____%

Cost Plus: Respondent are to enter markup on invoice costs for supplies / equipment used in conjunction with this proposal. Awarded Respondent(s) are required to submit any / all invoices upon request.

Vendor Markup Percentage of Respondent's Cost: _____%

Appendix D – Economic Impact Evaluation Form

Respondent's Organization Name: _____

Instructions

Each Respondent will complete the tables below to quantify the Respondent's economic impact upon and within the State of Maine.

For the purposes of this RFP, the term "economic impact" shall be defined as the "Economic Impact Factors" listed in the table below. To complete the "economic impact" section of the Respondent's response, the Respondent shall provide the information requested, describing the Respondent's **overall** recent economic impact with the State of Maine and, separately, the projected economic impact with the State of Maine that would **specifically result from the awarded contract only**, should the Respondent be selected.

Table D1 - Recent Economic Impact (Respondent's overall Economic Impact over the past 24-month period)

Economic Impact Factors	Factors Expressed in Dollars
Salaries paid to Maine residents in past 24-month period	\$
Payments made to Maine-based subcontractors in past 24-month period	\$
Payments of State and local taxes in Maine within past 24-month period	\$
Payments of State licensing fees in Maine within past 24-month period	\$
Total Overall Recent Economic Impact	\$

Table D2 - Projected Economic Impact (Future 24-month economic impact resulting from the awarded contract)

Economic Impact Factors	Factors Expressed in Dollars
Salaries to be paid to Maine residents in future 24-month period as a result of the awarded contract	\$
Payments made to Maine-based subcontractors in future 24-month period as a result of the awarded contract	\$
Payments of State and local taxes in Maine within future 24-month period as a result of the awarded contract	\$
Payments of State licensing fees in Maine within future 24-month period as a result of the awarded contract	\$
Total Projected Economic Impact <u>Only</u> from Awarded Contract, If Selected	\$

For the tables above, the following definitions are provided:

- "Respondent": Organization identified on the Proposal Cover Page under "Respondent's Organization Name".

**Request for Proposal – Mechanical & HVAC Preventative Maintenance, Inspection & Repair
Services for Darling Marine Center, University of
Maine**

Dated: June 29, 2017

- “Maine resident”: Any person whose primary residence is located within the State of Maine.
- “Maine-based”: Any organization whose primary operations are located within the State of Maine.
- “Past 24-month period”: The past 24-months, starting on the date that the RFP was publicly released.
- “Future 24-month period”: A projection for the future 24-month period, starting upon the “Initial Period of Performance” start date Section 1.3.1

Certification Statement

To the best of my knowledge, all information provided in the Economic Impact Evaluation Form is complete and accurate at the time of submission and I confirm that I am authorized to make such a determination on behalf of my organization.

Date: _____

Name and Title (Printed)

Authorized Signature

Appendix E – Contract for Services

UNIVERSITY OF MAINE SYSTEM CONTRACT FOR SERVICES

This Contract for Services Master Agreement ("Agreement" or "Master Agreement") entered into this _____ day of _____, _____, by and between the **University of Maine System**, hereinafter referred to as the "**University**", and _____, hereinafter referred to as "**Contractor**".

WITNESSETH, that for and in consideration of the payments and agreements hereinafter mentioned, to be made and performed by the University, the Contractor hereby agrees with the University to provide the products and services described in this agreement, and the following Riders, hereby incorporated into this Agreement and made part of it by reference:

Rider A - Specifications of Work to be Performed

Rider A-1 – Pricing

Rider B-1 – Insurance Requirements

Rider B-2 – Substitute Form W-9 - Taxpayer Identification Number Request & Certification

Contract Amendments as required

Request for Proposal #125-17 Issue Date **June 29, 2017** Titled **Mechanical & HVAC Preventative Maintenance, Inspection & Repair Services for Darling Marine Center, University of Maine**

Contractor's Bid in Response to Request for Proposal #125-17 Proposal Submission Date **July 28, 2017** Titled **Mechanical & HVAC Preventative Maintenance, Inspection & Repair Services for Darling Marine Center, University of Maine**

WHEREAS, the University desires to enter into a contract for professional services, and the Contractor represents itself as competent and qualified to accomplish the specific requirements of this Contract to the satisfaction of the University;

NOW THEREFORE, in consideration of the mutual promises contained herein, the parties hereby agree as follows:

This Agreement, along with any documents identified, which are incorporated by reference, constitutes the entire Agreement between the parties, and there are no other or further written or oral understandings or agreements with respect thereto.

1. **Specifications of Work**: The Contractor agrees to perform the Specifications of Work as described in **Rider A**, hereby incorporated by reference.

2. **Term:** This Contract shall commence on **September 1, 2017** and shall terminate on **August 31, 2019**, unless terminated earlier as provided in this Contract with option for **three (3) additional one (1) year renewals** upon the parties' mutual agreement.
3. **Payment:**
 - A. Payment shall be made upon submittal of an electronic invoice to the University by the Contractor on a net 30 basis unless discount terms are offered. In the event there is a discrepancy with the invoice, payment terms shall be effective starting on the date the discrepancy is resolved, for only that portion of the invoice that is disputed. Invoices must include a purchase order number.
 - B. **“Additional Services”** The University will have the option to purchase additional services under this Agreement.
 - C. **“Multi-Institution Capabilities”** University will have the option to include products and services under this Agreement to additional University institutions, this includes any additional University institutions formed during the term of this agreement, all facilities utilized by an institution including those managed and/or owned by a third party, and additional entities, such as, the University College a division of University of Maine at Augusta.
4. **Termination:** The **Agreement** may be terminated by the University in whole, or in part, whenever for any reason the University shall determine that such termination is in the best interest of the University. Any such termination shall be effected by delivery to the Contractor of a Notice of Termination specifying the extent to which performance of the Agreement is terminated and the date on which such termination becomes effective. The University shall pay all allowable costs incurred up to the effective date of termination. However, the Contractor shall not be reimbursed for any costs incurred after the effective date of termination.
5. **Obligations Upon Termination:** Any materials produced in performance of this agreement are the property of the University and shall be turned over to the University upon request. The University shall pay the Contractor for all services performed to the effective date of termination subject to offset of sums owed by the Contractor to the University.
6. **Non-Appropriation:** Notwithstanding any other provision of this Agreement, if the University is not appropriated sufficient funds to pay for the work to be performed under this Agreement or if funds are de-appropriated, then the University is not obligated to make payment under this Agreement.
7. **Conflict of Interest:** No officer or employee of the University shall participate in any decision relating to this contract which affects his or her personal interest in any entity in which he or she directly or indirectly has interest. No employee of the University shall have any interest, direct or indirect, in this contract or proceeds thereof.
8. **Modification:** This Contract may be modified or amended only in a writing signed by both parties.

9. **Assignment:** This Contract, or any part thereof, may not be assigned, transferred or subcontracted by the Contractor without the prior written consent of the University.
10. **Applicable Law:** This Contract shall be governed and interpreted according to the laws of the State of Maine.
11. **Administration:** _____ shall be the University's authorized representative in all matters pertaining to the administration of the terms and conditions of this Contract.
12. **Non-Discrimination:** In the execution of the contract, the Contractor shall not discriminate on the basis of race, color, religion, sex, sexual orientation, transgender status or gender expression, national origin or citizenship status, age, disability, genetic information, or veteran status and shall provide reasonable accommodations to qualified individuals with disabilities upon request. The university encourages the employment of qualified individuals with disabilities.
13. **Indemnification:** The Contractor shall comply with all applicable federal, state and local laws, rules, regulations, ordinances and orders relating to the services provided under this Contract. Contractor shall indemnify, defend and hold the University, its Trustees, officers, employees, and agents, harmless from and against any and all loss, liability, claims, damages, actions, lawsuits, judgments and costs, including reasonable attorney's fees, that the University may become liable to pay or defend arising from or attributable to any acts or omissions of the Contractor, its agents, employees or subcontractors, in performing its obligations under this Contract, including, without limitation, for violation of proprietary rights, copyrights, or rights of privacy, arising out of a publication, translation, reproduction, delivery, performance, use or disposition of any data furnished under the Contract or based on any libelous or other unlawful matter contained in such data.
14. **Contract Validity:** In the event one or more clauses of this Contract are declared invalid, void, unenforceable or illegal, that shall not affect the validity of the remaining portions of this Contract.
15. **Independent Contractor:** Contractor is an independent contractor of the University, not a partner, agent or joint venture of the University and neither Party shall hold itself out contrary to these terms by advertising or otherwise, nor shall either party be bound by any representation, act or omission whatsoever of the other. For U.S. entities, Contractor, its employees and subcontractors if any, is/are independent contractors for whom no Federal or State Income Tax will be deducted by the University, and for whom no retirement benefits, social security benefits, group health or life insurance, vacation and sick leave, Worker's Compensation and similar benefits available to University's employees will accrue. The parties further understand that annual information returns as required by the Internal Revenue Code and Maine Income Tax Law will be filed by the University with copies sent to Contractor. Contractor will be responsible for compliance with all applicable laws, rules and regulations involving but not limited to, employment, labor, Workers Compensation, hours of work, working conditions, payment of wages, and payment of taxes, such as unemployment, social security and other payroll taxes, including other applicable contributions from such persons when required by law.

16. **Intellectual Property:** Any information and/or materials, finished or unfinished, produced in performance of this Contract, and all of the rights pertaining thereto, are the property of the University and shall be turned over to the University upon request.
17. **Entire Contract:** This Contract sets forth the entire agreement between the parties on the subject matter hereof and replaces and supersedes all prior agreements on the subject, whether oral or written, express or implied. This Contract is the entire agreement between the University (including University's employees and other End Users) and Contractor. In the event that Contractor enters into terms of use agreements or other agreements, policies or understandings, whether on Contractor's purchase order, website, electronic, click-through, verbal or in writing, with University's employees or other End Users, such agreements shall be null, void and without effect, and the terms of this Contract shall apply. University will not be bound to any other terms and conditions set forth in any documents, agreements or policies posted on Contractor's website unless such terms and conditions are set forth in this Contract. Contractor may not unilaterally change any term or condition of this Contract.
18. **Licensing:** Contractor shall secure in its name and at its expense all federal, state, and local licenses and permits required for operation under this Contract. Contractor shall provide proof of such licensure or permit to the University prior to commencing work under this Contract.
19. **Record Keeping, Audit and Inspection of Records:** The Contractor shall maintain books, records and other compilations of data pertaining to the requirements of the Contract to the extent and in such detail as shall properly substantiate claims for payment under the Contract. All such records shall be kept for a period of seven years or for such longer period as specified herein. All retention periods start on the first day after the final payment of the Contract. If any litigation, claim, negotiation, audit or other action involving the records is commenced prior to the expiration of the applicable retention period, all records shall be retained until completion of the action and resolution of all issues resulting therefrom, or until the end of the applicable retention period, whichever is later. The University, the grantor agency (if any), or any of their authorized representatives shall have the right at reasonable times and upon reasonable notice, to examine and copy the books, records and other compilations of data of the Contractor pertaining to this Contract. Such access shall include on-site audits.
20. **Publicity, Publication, Reproduction and use of Contract's Products or Materials:** Unless otherwise provided by law or the University, title and possession of all data, reports, programs, software, equipment, furnishings and any other documentation or product paid for with University funds shall vest with the University. The Contractor shall at all times obtain the prior written approval of the University before it, any of its officers, agents, employees or subcontractors, either during or after termination of the Contract, makes any statement bearing on the work performed or data collected under this Contract to the press or issues any material for publication through any medium of communication. If the Contractor or any of its subcontractors publishes a work dealing with any aspect of performance under the Contract, or of the results and accomplishments attained in such performance, the University shall have a royalty free, non-exclusive and irrevocable license to reproduce, publish or otherwise use and to authorize others to use the publication.
21. **Confidentiality:** The contractor shall comply with all laws and regulations relating to confidentiality and privacy including but not limited to any rules or regulations of the University.

22. **Force Majeure:** Neither party shall be liable to the other or be deemed to be in breach of this Contract for any failure or delay in rendering performance arising out of causes beyond its reasonable control and without its fault or negligence. Such causes may include, but are not limited to, acts of God or of a public enemy, fires, flood, epidemics, strikes, embargoes or unusually severe weather. Dates or time of performance shall be extended to the extent of delays excused by this section provided that the party whose performance is affected notifies the other promptly of the existence and nature of such delay.
23. **Notices:** Unless otherwise specified in an attachment hereto, any notice hereunder shall be in writing and addressed to the persons and addresses below.

To the University:

University of Maine System
Robinson Hall
46 University Drive
Augusta, ME 04330

Attn: **Contract Administration**

To Contractor:

**<<BID INSTRUCTIONS – Bidder to supply information noted below for submission
with their proposal/bid. >>**

Company Name:

Contact Name:

Address:

Phone Number:

Fax Number:

24. **Invoices:** Unless otherwise specified in an attachment hereto, invoices and questions regarding invoices will be directed to:

University of Maine System
Accounts Payable
PO Box 533
Bangor, ME 04402

Phone: [207-581-2692](tel:207-581-2692)

Fax: [207-581-2698](tel:207-581-2698)

Email: UMAP@maine.edu

25. **Order of Precedence:** In the event of any conflict among the documents in this agreement, the following order of precedence shall apply:
- A. **Terms and conditions of this Agreement**
 - B. **Rider A - Specifications of Work to be Performed**

- C. **Rider A-1** – Pricing
- D. **Rider B-1** – Insurance Requirements
- E. **Rider B-2** – Substitute Form W-9 - Taxpayer Identification Number Request & Certification
- F. **Contract Amendments** as required
- G. **Request for Proposal #125-17** Issue Date **June 29, 2017** Titled **Mechanical & HVAC Preventative Maintenance, Inspection & Repair Services for Darling Marine Center, University of Maine**
- H. **Contractor's Bid in Response to Request for Proposal #125-17** Proposal Submission Date **July 28, 2017** Titled **Mechanical & HVAC Preventative Maintenance, Inspection & Repair Services for Darling Marine Center, University of Maine**

26. Multi-Institution Capabilities University will have the option to include products and services under this Agreement to additional University institutions, this includes any additional University institutions formed during the term of this agreement, all facilities utilized by an institution including those managed and/or owned by a third party, and additional entities, such as, the University College a division of University of Maine at Augusta.

The Community College System and Maine Maritime Academy, both public higher education institutions in the state, shall be permitted to piggyback off of the University's contract if they should so desire. The Contractor agrees to further provide the products and services, with all the same terms and conditions applicable, to these additional entities.

27. Smoking Policy

The University must comply with the "Workplace Smoking Act of 1985" and M.R.S.A. title 22, § 1541 et seq "Smoking Prohibited in Public Places." In addition, University Institutions may have specific Smoking Prohibitions. The Respondent shall be responsible for the implementation and enforcements of these restrictions.

Signatures

FOR THE UNIVERSITY OF MAINE
SYSTEM:

BY: _____

(signature)

Name: _____

(print or type)

Title: _____

Address: _____

Telephone: _____

Fax: _____

Date: _____

FOR THE CONTRACTOR:

LEGAL NAME: _____

BY: _____

(signature)

Name: _____

(print or type)

Title: _____

Address: _____

Telephone: _____

Fax: _____

Date: _____

Tax ID #: _____

Per University policy, "Any contract or agreement for services that will, or may, result in the expenditure by the University of \$50,000 or more must be approved in writing by the Chief Procurement Officer, or designee, and if it is not approved, valid or effective until such written approval is granted."

Chief Financial Officer approval is required of any University of Maine System agreement of \$50,000 or more, and it is not approved, valid or effective until such written approval is granted.

Chief Business Officer approval is required of any campus specific agreement of \$50,000 or more, and it is not approved, valid or effective until such written approval is granted.

BY: _____

Title: _____

Chief Procurement Officer or designee

Date: _____

BY: _____

Title: _____

Chief Financial/Business Officer or designee

Date: _____

**RIDER A
SPECIFICATIONS OF WORK TO BE PERFORMED**

The Contractor agrees to the **Specifications of Work to be Performed** as follows:

INTENT AND PURPOSE

The Darling Marine Center, University of Maine seeks the services of a qualified Contractor with expertise in Mechanical and HVAC Systems. The Contractor shall provide a comprehensive heating, ventilation, air-conditioning (HVAC) maintenance and repair program for the Darling Marine Center, University of Maine. The work will include a total preventative maintenance program including, but not limited to, the inspection, preventative maintenance, repair, programming and other tasks and services necessary to insure safe, well maintained Mechanical / HVAC systems providing quality air for employees, students, and the public. The Contractor shall provide inspections and preventative maintenance to improve equipment operations, increase efficiency, minimize breakdowns and prolong equipment life as well as perform scheduled inspections. Contractor shall provide chiller maintenance, boiler maintenance, rooftop maintenance, exhaust fan maintenance, split system maintenance, pump maintenance, building automation system maintenance, fan coil units filter & belt inspection & replacement, and written reports provided to the University after each visit. Contractor shall provide qualified personnel 24 hours a day, 7 days per week for emergency and non-emergency calls requiring service.

The Contractor shall provide:

Mechanical & HVAC Inspections:

The Contractor shall provide routine schedule to perform inspection tasks on the HVAC system that includes the following, as applicable:

Coils	Compressor Operations	Motor Operations
Voltage & Amp Draws	Refrigerant Charge	Cabinets & Ductwork
Heat Exchangers	Refrigerant Piping	Bearings
Belts & Pulleys	Blowers	Condensate Drain
Operating/Safety Controls	Oil Levels	Water Levels
Electrical/Relays & Contactors at Equipment	Reversing Valves	Fans/Blades

Preventative Maintenance Actions:

The Contractor shall provide during scheduled inspections provide a proactive, hands-on maintenance services to improve equipment operations, increase efficiency, minimize breakdowns and prolong equipment life. Services may include, but not limited to:

Fan Blade/Blower Cleaning	Bearing Lubrications	Motor Lubrications
Safety Control Adjustments	Condensate Drain Cleaning	Coil Cleaning
Belt Adjustment/Replacements	Calibrations	Oil Analysis
Operating Control Adjustments	Equipment Cleaning	
Refrigerant Charge Adjustments		

Filter Service/Changes:

The Contractor shall provide filter service/changes determined for best operating conditions and overall value to the University. Type and frequency of service to be determined based on needs.

Filter service to be included per schedule:

1. Removal and proper discard of used filters/medias
2. Provide and deliver to the University replacement filters/medias
3. Install Filters
4. Wash Filters as required and as applicable

Semi-Annual Chiller Maintenance:

The Contractor shall perform semi-annual chiller maintenance in the spring and fall. Services include, but not limited to, the following:

Spring Maintenance:

1. Check unit for refrigerant leaks
2. Check and adjust safety and operating controls
3. Check and tighten all electrical terminals, check for contacts for wear
4. Check crankcase heaters for proper operation
5. Check external interlocks, i.e. flow switches and/or pump interlocks, etc.
6. Start unit for proper operations and refrigerant pressures
7. Clean condenser coils
8. Document all readings
9. Report any uncorrected deficiencies

Fall Maintenance:

1. Check glycol levels

Semi-Annual Split System Maintenance

The Contractor shall perform semi-annual split system maintenance in the spring and fall.

Services include, but not limited to, the following:

Condensing Unit Maintenance

1. Check for refrigerant leaks
2. Check and adjust safety and operating controls
3. Check and tighten electrical terminals, check contacts for wear
4. Check crankcase heaters for proper operation
5. Check external interlocks, i.e. flow switches and/or pump interlocks, etc.
6. Check meg compressors (annual)
7. Start and check unit for proper operations and refrigerant pressures, check controls and calibrate as needed
8. Document all uncorrected deficiencies, complete operating log and document all readings

Air Handler Maintenance

1. Change and/or adjust belts as needed
2. Change pleated filters semi-annually
3. Grease bearings
4. Check inlet guide vanes for proper operation and lubricate
5. Check linkages

6. Check all dampers and lubricate
7. Check motor amp draw
8. Check and tighten electrical connections

Semi-Annual Roof Top Unit Maintenance

The Contractor shall perform semi-annual roof top unit maintenance in the spring and fall. Services include, but not limited to, the following:

1. Check units for refrigerant leaks
2. Check and adjust safety and operating controls
3. Check and replace, if needed, belts
4. Check and tighten electrical terminals, check contacts for wear
5. Check crankcase heaters for proper operation
6. Start and check unit for proper operations and refrigerant pressures, check controls and calibrate as needed
7. Lubricate where applicable
8. Document all uncorrected deficiencies, complete operating log and document all readings

Semi-Annual Pump Maintenance:

The Contractor shall perform semi-annual pump maintenance in the spring and fall. Services include, but not limited to, the following:

1. Grease all system pumps
2. Check for proper alignment
3. Tighten all electrical connections
4. Check for proper amp draw
5. Document any deficiencies

Annual Exhaust Fan Maintenance:

The Contractor shall perform annual exhaust fan maintenance. Services include, but not limited to, the following:

1. Change belts
2. Lubricate bearings and motors
3. Check amperage
4. Check alignment
5. Verify proper operation

Annual Oil Transfer Pump System Maintenance

The Contractor shall perform annual oil transfer pump system maintenance each fall. Services include, but not limited to, the following:

1. Lubricate oil pumps
2. Tighten all electrical connections
3. Check for proper amp draw
4. Verify proper operations

5. Document any deficiencies

Semi-Annual Building Automation Maintenance

The Contractor shall perform semi-annual building automation maintenance in the spring and fall. Services include, but not limited to, the following:

1. Provide a complete back up of systems operating parameters and programming
2. Check control panel wiring terminations
3. Check system batteries & change batteries annually
4. Annual points verification
5. Operator training

Semi-Annual Fan Coil Units

The Contractor shall perform semi-annual fan coil units maintenance in the spring and fall. Services include, but not limited to, the following:

1. Replace belts and filters

Annual Boiler Service:

The Contractor shall perform services including annual inspection, cleaning and overhaul of boilers. Services include, but not limited to, the following:

1. Burner drawer assemblies will be opened, cleaned, and adjusted as required by manufacturer's specifications. Lenses and glasses will be inspected and cleaned.
2. The fireside of boilers will be opened, cleaned and inspected. All flue-gas passage covers will be removed and inspected for general condition. Passages between boiler sections will be brushed clean of soot. Covers will be re-sealed and installed. All soot will be disposed of in accordance with all federal, state and local regulations.
3. All safety devices (i.e. water level controls and relief valves) will be checked for proper operation and general condition. All gaskets will be supplied and replaced if applicable. Relief valves that do not function or reseal properly will be replaced if necessary. The cost of replacement relief valves is not included in this scope of work and will be invoiced separately at cost plus for material cost.
4. Motors, fans, seals, pumps, couplings, and mounts will be inspected, checked for proper operation and general condition.
5. Covered Oil-Fired & Gas-Fired equipment Fuel filter elements, nozzles strainer screens, and electrodes will be replaced. Visual inspection of gas piping and control devices for general condition and signs of leakage is required.
6. OEM temperature controls will be cleaned and calibrated.
7. Electrical connections will be inspected and tightened. Ignition transformers will be checked for proper voltage output.

8. Drive belts, if applicable, will be replaced, aligned and tensioned.
9. Tighten all linkages and check for wear.
10. Lubricate motor and shaft bearings.
11. Inspect / Service all expansion tanks, if applicable.
12. Heating equipment will be fired, tested, and adjusted for maximum efficiency using a computerized combustion analyzer.
13. Recommendations for repair and / or replacement of equipment found to be defective and / or inoperative identified during servicing will be provided to the University along with a quoted estimate of cost. All work required to repair and / or replacement of equipment found to be defective and / or inoperative during annual servicing will be covered under this contract and shall be billed separately from the annual servicing agreement.
14. All other work and / or services deemed part of normal industrial standards for annual servicing of equipment covered under this contract.
15. Provide a work report detailing all work performed, condition of equipment serviced and materials used.

Documentation:

The Contractor shall provide the University with a work report of equipment that was serviced during the technician's visit to the University detailing all work performed, condition of equipment serviced, materials used, and all deficiencies.

PRODUCT SCOPE OF WORK:

<< BID INSTRUCTIONS - Bidder to provide product/service scope of work description as part of their proposal/bid submission. >>

Additional Scope: The Contractor shall permit product and services not covered herein to be added by mutual agreement, without voiding the provisions of the existing contract. The Contractor, for additional consideration, shall furnish additional such products and services to the University.

PRICING: Refer to RIDER A-1. Pricing will be valid for the term of the Agreement.

PERFORMANCE TERMS AND CONDITIONS

1. **Employees:** The Contractor shall employ only competent and satisfactory personnel and shall provide a sufficient number of employees to perform the required services efficiently and in a manner satisfactory to the University. If the University Contract Administrator notifies the Contractor in writing that any person employed on this Contract is incompetent, disorderly, or otherwise unsatisfactory, such person shall not again be utilized in the execution of this Contract without the prior written consent of the Contract Administrator.
2. **Parking Regulations and Use of Walkways:** The Contractor's vehicles and those of their employees working on the University must be registered with the University Safety & Security Department. Unregistered vehicles on the University are subject to a parking violation ticket and/or towing. Contractors are advised that University police strictly enforce parking regulations. Towing will be at the Contractor's expense. The Contractor's employees may obtain a free parking permit (state vehicle registration required) upon signing in from the University Facilities Management Department.
3. **Job Site Safety:** All Contractors, subcontractors, and their employees providing a service to the University must comply with each locations safety and environmental rules and procedures as set forth in this document as well as all relevant Federal, State, and Local regulations. All Contractors are responsible for, and will ensure that, their employees, subcontractors, and the subcontractor's employees are in compliance with the stated rules, procedures, and regulations.

It is each Contractor's responsibility to insure that each employee of the Contractor, including those of its subcontractors, is trained in the safe work practices necessary to allow successful completion of their assigned tasks. Additionally, the contract firm shall advise the Department of Facilities Management of any unique hazards presented by its employees' planned activities and of any "unusual" hazards discovered by the Contractor's employees.

4. **Accident / Injury Notification:** The Facilities Management supervisor must be notified within one (1) hour or as soon as possible, but no later than twenty-four (24) hours, of any accident or injury that occurs during the course of the work performed under this contract.
5. **Emergency Notification:** The Contractor shall provide to the University, in writing, the names, addresses and telephone numbers of the members of the Contractor's organization to be contacted in the event of an off-hours emergency related to work at the University.
6. **Solid Waste Removal:** The Contractor shall be responsible for cleaning up and removing all waste materials created by the Contractor's operation from University premises by the end of the day. The Contractor shall promote waste reduction and recycling and follow University policies to reduce, reuse and recycle.

7. **Protection and Security of Building and Property:** The Contractor shall ensure adequate protection of the properties and adjacent properties from damage or loss in the performance of the work under this contract. The Contractor shall assume total liability for any damage to buildings, grounds, surfaces, etc., or other property, including vehicles, resulting from negligence of the Contractor or the Contractor's employees and subcontractors in the performance of the work.
8. **Warranty of Materials and Workmanship:** Except as otherwise specified, all work shall be guaranteed by the Contractor against defects resulting from the use of inferior materials, equipment or workmanship for one (1) year from the date of final acceptance of the project by the University. Within two weeks' notification of defects by the University, the contractor shall correct all defects and shall make good all damages to the structure, site, equipment or contents resulting from the use of inferior materials, equipment and workmanship.
9. **Access to Buildings:** Sufficient keys required to perform services shall be supplied by the University to the Contractor. The Contractor shall be responsible for the replacement cost of lost keys. If the University determines that keys lost by the Contractor or its employees could compromise University security, the Contractor shall be responsible for paying all costs associate with re-keying designated locations. Additional requirements may be added by the Department of Facilities Management.
10. **Alternates:** Unless otherwise provided for in this Request for Proposal, the name of a certain brand, make or manufacturer does not restrict bidders to the specific brand, make or manufacturer named; but conveys the general style, type, character, and quality of the article desired. Any article which the University, in its sole discretion, determines to be the equal of that specified, considering quality, workmanship, economy of operation, and suitability for the purpose intended, shall be accepted. It is the bidder's responsibility to clearly and specifically indicate the product being offered and to provide sufficient descriptive literature, catalog cuts and technical detail to enable the University to determine if the product offered meets the requirements of the solicitation. Failure to furnish adequate data for evaluation purposes may result in declaring a proposal non-responsive. Unless the bidder clearly indicates in its proposal that the product offered is an "equal" product, such bid will be considered to offer the brand name products referenced in the solicitation.
11. **Identification:** Contractors are required to contract each University Department of Facilities Management to determine identification requirements prior to work.
12. **Environmental Compliance:** In the event that the resulting Agreement involves the generation, transportation, handling, disposal, and/or other operations or activities in relation to toxic, hazardous, radioactive, or otherwise dangerous gases, vapors, fumes, acids, alkali's, chemicals, wastes or contaminants and/or other substance, material or condition, the Contractor agrees to indemnify save harmless and defend the University from and against all liabilities, claims, damages, forfeitures, suits, and the costs and expenses incident thereto (including costs of defense, settlement and reasonable attorney's fees) which the University may hereafter incur as a result of death or bodily injuries or damage to any property, contamination of or adverse effects of the environment or any violation of state or federal regulations or laws (including without limitation the Resources Conservation and Recovery Act, the Hazardous Material Transportation Act or

the Superfund Amendment and Reauthorization Act, as the same now exists or may hereafter be amended) or order based on or arising in whole or in part from the Contractor's performance under the Agreement, provided, however the Contractor shall not indemnify the University for any liabilities, claims, damages, (as set forth above) caused by or arising out of the sole negligence of the University, or arising out of any area of responsibility not attributable to Contractor.

13. **Service Requirements:** Inspections and testing are to be pre-scheduled, in advance, with the Department of Facilities Management. The exact days and times shall be determined by the University Department of Facilities Management.

Return of Premises: Upon completion of any services, the Contractor's employee will return all space disrupted by maintenance work to a neat and clean condition.

The Contractor is to ensure 24-hour availability for emergency and/or unscheduled service.

All available building floor plans will be made available for review in the Facilities Management Office.

Licenses: The Contractor agrees to obtain any licenses and certifications from Federal, State and Local Authorities needed to permit their firm to carry out the activities hereunder and further agrees to comply with all Federal, State and Municipal Laws and Ordinances relative to activities herein.

The Contractor(s) is to insure that all systems are maintained and in full operating condition.

14. **Communication:** It is expected that the Contractor, generally through the primary mechanic, shall maintain good communications with the Department of Facilities Management regarding the following:

Prompt notification of major work required, safety related or serious problems, and unusual circumstances.

Notification of any damaged or abused equipment.
Notification of any equipment not operating as designed.
Notification of shutdown of any equipment.

Feedback of maintenance problems, and equipment performance through clear and well documented service slips.

Contractor shall arrange annual update meeting with the Contract Administrator or designee.

15. **Documentation:** The University requires that the Contractor maintain full documentation of inspections and such documentation will be maintained at the Department of Facilities Management. This will include, but not be limited to, the following:

Within thirty (30) days after award of contract, the Contractor shall develop a written

inspection program including a schedule of required inspections, repair record forms, and maintenance testing procedures.

Reports of all service calls and failures.

Report of major or safety related problems encountered or any equipment not operating to design specifications.

Repair logs to document all work done on each system. Original maintenance records will remain as the University's property.

All changes in writing of the system components will be recorded on the University's plans and specifications maintained at the Facilities Management campus office.

Failure to comply with any of the above may result in non-payment. Work shall not be considered performed if written documentation and sign in logs are incomplete.

16. **System Downtime:** Notification must be given anytime a system is taken off line. In the case where repairs are necessary the Contractor shall ensure that repairs are expedited to minimize downtime. Not more than one system per campus shall be put out of service at one time for regular maintenance, inspection or servicing. When a system is taken out of service, how and when the system is to be put back into service shall be scheduled with the University in advance.

17. **Storage, Cleaning and Final Clean up:** It is the sole responsibility of the contractor to remove all accumulation of refuse, rubbish, scrap materials, debris, equipment, tools, etc. on a site the Contractor is working on during a project or after the completion of a job. Removing these items will be at the contractor's expense.

If the Contractor fails to promptly and properly fulfill the obligations of cleaning during and after the project, the University reserves the right to employ others at the expense of the Contractor to complete cleaning.

18. **Repair Parts Availability and Characteristics:** To assure a minimum of downtime for emergency repairs, the Contractor will be required to maintain a supply of spare parts sufficient for normal maintenance and repair of the systems. These spare parts shall be genuine, original manufacturer's parts or approved equal.

19. **Systems & Equipment:** The systems and equipment are to be maintained to original design, conditions and performance characteristics. If equipment has obsolete parts, with no current direct replacement, the parts will be replaced with new non-proprietary parts so as to maintain the systems original design and performance characteristics.

20. **Parts Costs:** The University reserves the right to audit the Contractor's records to determine cost. Cost shall be determined at cost plus fixed vendor markup percentage.

21. **Work Hours:** Service calls placed between the hours 7:00 AM and 4:00 PM will be paid straight time hourly rate as quoted in the response. Contractors must respond within one (1) hours of a call and be on site within three (3) hours if needed from time of initial call. Straight time hourly rate shall apply for hours between 7:00 AM and 4:00 PM and Overtime

rates apply for the hours between 4:00 PM and 7:00 AM.

Travel time rates shall be charged by the hour. Travel time rates shall include Contractor's employee hourly rate and all travel expenses including, but not limited to, mileage, fuel, insurance, overhead, etc.

**RIDER A-1
PRICING**

<< BID INSTRUCTIONS - Details in Exhibit 1 will be inserted here during Agreement negotiations. No action needed for Bidder as part of their proposal/bid submission. >>

**RIDER B-1
INSURANCE REQUIREMENTS**

**<< BID INSTRUCTIONS - Bidder to provide their Contractor's Liability Insurance (CIA)
Form here as part of their proposal/bid submission. The text below will be removed
and the CIA form will be inserted as an image under Rider B-1>>**

Contractor's Liability Insurance: During the term of this agreement, the Contractor shall maintain the following insurance:

#	Insurance Type	Coverage Limit
1	General Aggregate	\$2,000,000 per occurrence or more
2	Vehicle Liability (Including Hired & Non-Owned) (Bodily Injury and Property Damage)	Combined Single Limit \$1,000,000 per occurrence or more OR Split Limits \$1,000,000 bodily injury \$1,000,000 property damage
3	Products & Completed Operations Aggregate	\$2,000,000 per occurrence
4	Personal Injury Aggregate	\$1,000,000 per occurrence
5	Each Occurrence for Contracts under \$1 million	\$1,000,000
6	Each Occurrence for Contracts \$1 million and above	\$2,000,000
7	Personal / Advertising Injury	\$1,000,000 per occurrence
8	Workers Compensation (In Compliance with Maine and Federal Law)	Required for all personnel; Statutory Limits
9	Medical Payments (Any One Person)	\$5,000

Coverage limit requirements can be met with a single underlying insurance policy or through the combination of an underlying insurance policy plus an Umbrella insurance policy.

The University of Maine System shall be named as Additional Insured on the Commercial General Liability insurance.

Certificates of Insurance for all of the above insurance shall be filed with:

**University of Maine System
Risk Manager
45 Texas Ave, 3rd Floor
Bangor, ME 04401**

Certificates shall be filed prior to the date of performance under this Agreement. Said certificates, in addition to proof of coverage, shall contain the standard statement pertaining to written notification in the event of cancellation, with a thirty (30) day notification period.

The University reserves the right to change the insurance requirement or to approve alternative insurances or limits, at the University's discretion.

RIDER B-2

Substitute Form W-9 - Taxpayer Identification Number Request & Certification

Please complete the following information. We are required by law to obtain this information from you when making a reportable payment to you. If you do not provide us with this information, your payments may be subject to federal income tax backup withholding. Use this form only if you are a **U.S. person** (including US. resident alien.). If you are a foreign person, use the appropriate Form W-8.

Part 1 Tax Status:

Print Name: _____

Address (number, street, and apt. or suite no.): _____

City: _____ State: _____ Zip: _____

Phone: (____) _____

Complete One:

☐ Individual/Sole Proprietor Business Name, if different from above _____

Social Security Number ____ - ____ - ____

- or - Business EIN ____ - ____ - ____

☐ Partnership EIN ____ - ____ - ____

☐ Corporation EIN ____ - ____ - ____

Please answer questions below if you are a corporation:

1. Corporation providing legal services? **Y** **N**

2. Corporation providing medical services? **Y** **N**

☐ Limited Liability Company EIN ____ - ____ - ____

☐ Tax-Exempt or Not-for-Profit under § 501(C)(3) EIN ____ - ____ - ____

☐ Government Entity EIN ____ - ____ - ____

☐ Estate or Trust EIN ____ - ____ - ____

☐ All other Entities EIN ____ - ____ - ____

Part 2 Exemption:

If exempt from Form 1099 reporting, check here:

☐

and circle your qualifying exemption reason below

1. An organization exempt from tax under IRC section 501(a)
2. The United States or any of its agencies or instrumentalities
3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
4. A foreign government or any of its political subdivisions, agencies, or instrumentalities
5. An international organization or any of its agencies or instrumentalities
6. Other: _____

Part 3 Certification:

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), **and**
 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding,
- and**
3. I am a U.S. person (including a U.S. resident alien).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

Signature of U.S. person: _____ Date: _____

Please return this form with the attached contract. Thank you for your cooperation.

Appendix F – Certificate of Insurability Form

Contractor's Liability Insurance: During the term of this agreement, the Contractor shall maintain the following insurance:

#	Insurance Type	Coverage Limit
1	General Aggregate	\$2,000,000 per occurrence or more
2	Vehicle Liability (Including Hired & Non-Owned) (Bodily Injury and Property Damage)	Combined Single Limit \$1,000,000 per occurrence or more OR Split Limits \$1,000,000 bodily injury \$1,000,000 property damage
3	Products & Completed Operations Aggregate	\$2,000,000 per occurrence
4	Personal Injury Aggregate	\$1,000,000 per occurrence
5	Each Occurrence for Contracts under \$1 million	\$1,000,000
6	Each Occurrence for Contracts \$1 million and above	\$2,000,000
7	Personal / Advertising Injury	\$1,000,000 per occurrence
8	Workers Compensation (In Compliance with Maine and Federal Law)	Required for all personnel; Statutory Limits
9	Medical Payments (Any One Person)	\$5,000

Coverage limit requirements can be met with a single underlying insurance policy or through the combination of an underlying insurance policy plus an Umbrella insurance policy.

The University of Maine System shall be named as Additional Insured on the Commercial General Liability insurance.

Certificates of Insurance for all of the above insurance shall be filed with:

**University of Maine System
Risk Manager
45 Texas Ave, 3rd Floor
Bangor, ME 04401**

Certificates shall be filed prior to the date of performance under this Agreement. Said certificates, in addition to proof of coverage, shall contain the standard statement pertaining to written notification in the event of cancellation, with a thirty (30) day notification period.

The University reserves the right to change the insurance requirement or to approve alternative insurances or limits, at the University's discretion

Appendix G – Organization Reference Form

Respondent's Organization Name: _____

INSTRUCTIONS: Provide a minimum of three (3) current professional references who may be contacted for verification of the Respondent's professional qualifications to meet the requirements set forth herein. We strongly prefer references from higher education institutions similar in size and requirements to the University of Maine System, including those with multi-campus integrated solutions.

We request that the references include one long-standing customer (minimum of 3 year engagement) and one new customer (one who has been engaged with Respondent for less than one year).

REFERENCE #1	
Institution/Company Name	
Contact Name	
Contact Title	
Contact Phone Number	
Contact eMail Address	
Relationship Length	

REFERENCE #2	
Institution/Company Name	
Contact Name	
Contact Title	
Contact Phone Number	
Contact eMail Address	
Relationship Length	

REFERENCE #3	
Institution/Company Name	
Contact Name	
Contact Title	
Contact Phone Number	
Contact eMail Address	
Relationship Length	

REFERENCE #4	
Institution/Company Name	
Contact Name	
Contact Title	
Contact Phone Number	
Contact eMail Address	
Relationship Length	

Appendix H – Evaluation Question(s) - Organization, Qualifications and Experience

Respondent's Organization Name: _____

INSTRUCTIONS: Respondents shall ensure that all information required herein is submitted with the response. All information provided should be verifiable by documentation requested by the University. Failure to provide all information, inaccuracy or misstatement may be sufficient cause for rejection of the response or rescission of an award. Respondents are encouraged to provide any additional information describing operational abilities.

QUESTIONS:

1. Provide a statement describing your company to include name, number of employees, locations, number of years in business, number of years offering/supporting the proposed solution, and any and all acquisitions or mergers in the last five years. Is the company publicly or privately held?
2. If subcontractors are to be used, provide a list that specifies the name, address, phone number, contact person, and a brief description of the subcontractors' organizational capacity and qualifications.
3. Describe your firm's understanding of the current higher education needs for providing the products / services described in **Specifications / Scope of Work** detailed in this document.
4. Provide a statement describing your company's emergency and non-emergency response time to the Darling Marine Center, University of Maine.
5. Provide proof of licensing and / or certification if applicable.
6. Provide your company's Workers Compensation Experience Modification Rate (EMR) for the past three (3) years.
7. Financial Stability
No financial statements are required to be submitted with your responses, however, prior to an award the University may request audited financial statements from your company, credit reports and letters from your bank and suppliers.

**ATTACHMENT A
DARLING MARINE CENTER, UNIVERSITY OF MAINE EQUIPMENT LIST**

Contract shall cover the following equipment:

University of Maine - Darling Marine Center Equipment List 6.20.17						
Correct Location name	Type	Registration #	Year Intalled	Manufacturer	Serial #	Fuel Storage
Flowing Seawater Lab	Boiler - Oil	H5867	1991	Smith	59141	3,000 Gallon Fiberglass
Flowing Seawater Lab	Boiler - Oil	MEHO14224	2016	Smith		^In Outdoor Shed^
air handler mentioned below and numerous reheat units and baseboards in multi room building						
Marine Culture Lab	Boiler - Oil	H7405	2010	Burnham	64274100	2 - 275 Gallon Indoor Steel
air handler mentioned below and numerous reheat units and baseboards in multi room building						
Vessel Ops	Boiler - Oil	H6489	1997	New Yorker	Model # FR-HGS-2	1 - 275 Gallon Indoor Roth
Vessel Ops	Compressor	PV4948	1972	Wood		Electric
modine and baseboard heat						
Dive Building/Mitchell	Boiler - Oil	H5868	2004?	Weil-McLain	Model# P-468-WT	1 - 275 Gallon Indoor Roth
modine and baseboard heat						
Brooke Hall	Boiler - Oil	H7406	1999	Smith	N99-223P	3 - 275 Gallon Indoor Steel
air handler and numerous baseboard heat in multi room building						
Geochemistry Building	Boiler - Oil	H6622	1991	Weil-McLain	Model# P-368V-WT	1 - 275 Gallon Outdoor Steel
baseboard heat						
Jumars Lab	Boiler - Oil	H7407	1999	Weil-McLain	Model# P-WTGO-3	1 - 275 Gallon Outdoor Steel
Bard Wall AC unit and baseboard heat						
Upper Campus Dining	Boiler - Oil	H6621	1992	Weil-McLain	Model# P-368-WT	1 - 275 Gallon Outdoor Steel
baseboard heat						
Lou Dean Library	Boiler - Oil	H9375	2004	Burnham	64704504	1 - 275 Gallon Indoor
Air handlers mentioned below using force air						
Location	Type	Registration #	Year Intalled	Manufacturer	Serial #	Fuel Storage
Farmhouse	Boiler - Oil	H14299	2016	Smith	707263-201408	2 - 275 Gallon Indoor Roth
numerous baseboard heating units						
Horse Barn hot air furnace	Furnace -Oil	N/A	1984	Olsen	01046BCOL	2 - 275 Gallon Indoor Roth
forced air						
Leeman House	Boiler - Oil	H10644	2006	Burnham	64912381	1 - 275 Gallon Outdoor Steel
baseboard heat						
Willette House	Boiler - Oil	H7053	1997	New Yorker		2 - 275 Gallon Indoor Roth
			baseboard heat			
Air Handlers						
Marine Culture lab	5 overhead HVAC air handler units					
Flowing Seawater Lab	1 HVAC air handler unit for 2nd floor. Baseboard and Modine heat on 1st floor. 2 boilers					
Brooke Hall Conference Ctr/Dormitory	2 air handler units - heat only					
Lou Dean Library	2 HVAC air handlers with forced air					
Other Major Equipment						
Walk in Cold Rooms	4 units					
Heat exchanger unit	2					
2 commercial ice makers	2					
Cabin 6	Propane Water heater					