Office of Strategic Procurement
Request for Proposal (RFP)

ATHLETIC CONCESSIONS
RFP# 2017-88

Issued Date: April 25, 2017

Mandatory Bidder’s Conference: May 4, 2017 8am

Response Deadline Date/Time: May 15, 2017 EOB

Response Submission Information:
Submitted electronically to james.m.gilmore@maine.edu
Email Subject Line – RFP# 2017-78 Athletic Concessions

Response Contact Information:
Strategic Sourcing Manager (SSM): James Gilmore
Email: james.m.gilmore@maine.edu  Phone: (207) 581-2678
# Contents

1.0 INTRODUCTION ............................................................................................................................ 3  
   1.1 Definitions, Background, Purpose and Specifications ................................................................. 3  
   1.2 General Information ..................................................................................................................... 7  

2.0 EVALUATION AND AWARD PROCESS ................................................................................. 14  
   2.1 Evaluation Criteria ...................................................................................................................... 14  
   2.2 Award ......................................................................................................................................... 16  
   2.3 Negotiations ................................................................................................................................. 16  
   2.4 Award Protest ............................................................................................................................... 16  

3.0 RESPONSE FORMAT REQUIREMENTS ............................................................................... 17  
   3.1 General Format Instructions ....................................................................................................... 17  
   3.2 Response Format Instructions .................................................................................................... 18  

4.0 APPENDICES ................................................................................................................................. 19  
   Appendix A – University of Maine System Response Cover Page .............................................. 20  
   Appendix B – Debarment, Performance and Non-Collusion Certification ................................... 22  
   Appendix C – Required Financial Evaluation Exhibits ................................................................. 23  
   Appendix D – Economic Impact Evaluation Form ......................................................................... 29  
   Appendix E – Contract for Services ............................................................................................... 31  
   Appendix F – Certificate of Insurability Form ................................................................................ 49  
   Appendix G – Organization Reference Form ................................................................................ 50  
   Appendix H – Evaluation Question(s) - Organization, Qualifications and Experience .......... 51
1.0 INTRODUCTION

1.1 Definitions, Background, Purpose and Specifications

1.1.1 Definitions

The University of Maine System will hereinafter be referred to as the "University." Respondents to the document shall be referred to as "Respondent(s)" or "Respondent".

The Respondent to whom the Agreement is awarded shall be referred to as the "Contractor."

The University of Maine System and other components of the University shall be referred to as "Multi-Institution".

1.1.2 Background

Overview

Established in 1968, the University of Maine System (UMS) unites seven distinctive public universities, comprising 10 campuses and numerous centers, in the common purposes of providing quality higher education while delivering on its traditional tripartite mission of teaching, research, and public service.

Maine’s largest educational enterprise, the University extends its mission as a major resource for the state, linking economic growth, the education of its people, and the application of research and scholarship.

A comprehensive public institution of higher education, UMS serves nearly 40,000 students annually and is supported by the efforts of more than 2,000 full-time and part-time faculty, more than 3,000 regular full-time and part-time staff, and a complement of part-time temporary (adjunct) faculty.

Reaching more than 500,000 people annually through educational and cultural offerings, the University of Maine System also benefits from more than two-thirds of its alumni population residing within the state; more than 123,000 individuals.

The System consists of the following seven universities: University of Maine (UM); University of Maine at Machias (UMM); University of Maine at Augusta (UMA); University of Maine at Presque Isle (UMPI); University of Maine at Farmington (UMF); University of Southern Maine (USM); and, University of Maine at Fort Kent (UMFK).

Operating within a shared services model, the offices of Information Technology, Strategic Procurement, Human Resources, Facilities, Risk and General Services, Finance and Budget, Shared Processing Center, General Counsel and Organizational Effectiveness partner to form the University Services organization.
Charged with delivering key administrative functions across the System, University Services is dedicated to leveraging its significant unit and collective resources to not only serve the immediate needs of its constituents, but deliver sustainable economies and efficiencies for the future benefit of the System as well.

1.1.3 Purpose
The University of Maine Athletic Department is seeking proposals for food and concession rights for University Athletic events within the University Athletic Facilities as defined in this document. Concession services includes providing game day food and beverages including catering services and alcohol sales in designated venues. This document provides instructions for submitting responses, the procedure and criteria by which the Respondent(s) will be selected, and the contractual terms which will govern the relationship.

Respondents should review 1.1.4 Specifications / Scope of Work of this document to see the full Scope of Services/Products required.

1.1.4 Scope of Work
The University of Maine Athletic Department is accepting formal proposals from professional contractors for food and beverage concession rights at all athletic venues. The University is interested in a contract agreement with a company to provide concession support at athletic and select special events conducted in and around athletic venues. The successful contractor must be able to provide first class, high quality fast pace concession operating to support athletic events at the best possible price. The venues for this contract include: Alfond Sports Arena, Mahaney Baseball Diamond, Kessock Softball field, Harold Alfond Sports Stadium, and selected events at Memorial Gymnasium and New Balance Fieldhouse.

Game Attendance Estimates:
Football: 7000 (4-5 games)
M's Hockey: 5000 (17-19 games)
W's Hockey: 200 (15-17 games)
Baseball: 300 (flexible)
Softball: 300 (flexible)
Soccer: 300 (flexible)
Field Hockey: 100
Eastern Maine Indoor Track: 500
YMCA Swim Championships: 800
MPA Swim 800

The Athletic Department is interested in proposals which have an objective of increasing fan satisfaction and thus increasing revenues by offering food and beverage products that have a high level of market appeal. The University understands the concept of market pricing and are willing to consider proposals that contain pricing that is comparable to regional pricing for similar products that are served during athletic and non-athletic events in venues similar to those outlined within the RFP specifications. It is the goal of the Athletic Department that the selected contractor’s proposal will increase the overall concession sales during events held in its athletic facilities, as a result, will increase revenue for the selected contractor and the Athletic Department.

Specifically the services to be provided by the Contractor are:

- Contractor must be willing to work within an existing product contract for pouring rights which is currently Coca-Cola Consolidated and Coke USA. Although there are no other sponsor vendors at this time, it would be requested to allow that opportunity in the future for other contractors, as long as pricing is competitive and product specifications meet the contractor’s needs. All procurement and subsequent payments for products will be the responsibility of the successful contractor. Provide all human resources, insurance, licensing, bonding, equipment, beverages and supplies to operate concessions services at the University of Maine.

- Meet or exceed the expectations of the patrons by working with the University to create an expansion of fan experience; at the same time, maintain good relations and maximize profits for the duration of the agreement.

- Maintain adequate records of sales by using point of sale equipment. This equipment must have the capability to record gross sales by food, category, date, game venue. A monthly report of gross sales must be submitted to the University.

- The selected contractor shall provide all equipment and support items, including but not limited to, roller grills, popcorn machines, coffee machines, POS electronic transaction systems etc., necessary to adequately perform/conform to accepted concessions services standards throughout the term of the contract. The selected contractor shall
maintain any and all equipment used to supplement concessions services. The soft drink vendor that is under contract with the University will provide the soft drink dispensing equipment. Any damage to the soft drink dispensing equipment will be the responsibility of the contractor, normal wear and tear excluded.

- The selected contractor must provide catering of hot and cold food and alcoholic beverages for the skybox areas and other identified areas in venues including but not limited to the beer tent area located at Alfond Sports Stadium.

- The selected contractor, at its expense, will be required to adhere to the University of Maine Drug and Alcohol policy, https://umaine.edu/handbook/policies-regulations/alcohol-beverage-and-drug-policies/ as well as all federal and state laws.

- The selected contractor must meet periodically with the Athletic Department representatives to evaluate the condition of the concessions areas. Any renovations/enhancements to the concessions areas that are proposed by the selected contractor must be approved in writing by the University prior to implementation. Any such changes to the facilities will be at the sole expense of the selected contractor. Any permanent improvements will become University property without any compensation of financial obligation to the contractor.

- The selected contractor will be responsible for providing all labor to operate concessions at events held in the University facilities. Respondents are encouraged to use service organizations from the local area to supplement its permanent staffing for the concessions operations. The selected contractor must continually explore and implement methods to promote positive public relations among service organizations by allowing student organizations or local groups to work in the concessions areas during events. The selected contractor shall provide adequate periodic training and supervision for such service organizations and permanent staff to assure that food is handled in a safe and sanitary manner, that all monies are accounted for, and that customers receive fast, and courteous service.

- The selected contractor, as its expense, will be required to obtain all permits and licenses required for the performance of the concession service and the provision of the concessions products specified within the RFP. The selected contractor further will be required to pay all taxes, employees’ taxes, contribution, fees, and levies applicable to and incurred by the contractor in the performance of the services and the provision of the products outlined within the RFP.

- All Menu Boards and signage will be provided by the concessionaire and will include Attractive menu boards that can easily be changed and be read from a distance of 20 feet; hand printed or signs printed on office paper are not acceptable forms of signage. Attractive/Visible signage indicating methods of payment accepted is required. All signage must be approved by the University and must be designed to correlate with the theme of the venue.
• The selected contractor, at its cost, shall lease or purchase wireless credit card and/or debit cards for all permanent concession stands. It is required that all permanent concessions stands have the ability to use debit, credit and mobile/digital payment opportunities.

• Contractor will follow all NCAA and University policies and procedures when it comes to working with Students and Student Athletes.

The University reserves the right, in its sole discretion, to approve all concessions food and beverage products sold at events held in athletic facilities and select venues and the retail price charged for each to the consumer. All respondents must provide within their proposals the prices they desire to charge for the food and beverage products offered through their proposal. It is recommended to not increase the cost of product to the customer in the first three years of this agreement.

1.2 General Information

1.2.1 Contract Administration and Conditions

1.2.1.1 The winning Respondent will be required to execute a contract in the form of a University of Maine System Contract for Services, which is attached to this response as Appendix E. Contract initial term and renewal periods are reflected in Section 2 of Appendix E, Contract for Services, and are subject to continued availability of funding and satisfactory performance.

The Agreement entered into by the parties shall consist of the University of Maine System Contract for Services (attached to this document), the RFP, the selected Respondent’s submission, including all appendices or attachments and clarifications, the specifications including all modifications thereof, and a Purchase Order or Letter of Agreement requiring signatures of the University and the Contractor, all of which shall be referred to collectively as the Agreement Documents.

In the event of a conflict of terms the following precedence will apply:
1. University of Maine System Contract for Services
2. Agreement Riders as required
3. Contract Amendments (as required)
4. The University’s RFP
5. Respondent’s Submission
6. Purchase Order or Letter of Agreement

1.2.1.2 Modification of Agreement terms and conditions is permitted except that the University, due to its public nature, will not:

a. Provide any defense, hold harmless or indemnity;
b. Waive any statutory or constitutional immunity;
c. Apply the law of a state other than Maine;
d. Procure types or amounts of insurance beyond those UMS already maintains or waive any rights of subrogation.
e. Add any entity as an additional insured to UMS policies of insurance;
f. Pay attorneys’ fees, costs, expenses or liquidated damages;
g. Promise confidentiality in a manner contrary to Maine’s Freedom of Access Act;
h. Permit an entity to change unilaterally any term or condition once the contract is signed; or
i. Agree to automatic renewals for term(s) greater than month-to-month.

1.2.1.3 By submitting a response to a Request for Proposal, bid or other offer to do business with the University your entity understands and agrees that:

a. The above Agreement provisions (Section 1.2.1.2) will not be modified and are thereby incorporated into any agreement entered into between University and your entity; that such terms and condition shall control in the event of any conflict with such agreement; and that your entity will not propose or demand any contrary terms;
b. The above Agreement provisions (Section 1.2.1.2) will govern the interpretation of such agreement notwithstanding the expression of any other term and/or condition to the contrary;
c. Your entity agrees that the resulting Agreement will be the entire agreement between the University (including University’s employees and other End Users) and Respondent and in the event that the Respondent requires terms of use agreements or other agreements, policies or understanding, whether on an order form, invoice, website, electronic, click-through, verbal or in writing, with University’s employees or other End Users, such agreements shall be null, void and without effect, and the terms of the Agreement shall apply.
d. Your entity will identify at the time of submission which, if any, portion or your submitted materials are entitled to "trade secret" exemption from disclosure under Maine’s Freedom of Access Act; that failure to so identify will
authorize UMS to conclude that no portions are so exempt; and that your entity will defend, indemnify and hold harmless UMS in any and all legal actions that seek to compel UMS to disclose under Maine's Freedom of Access Act some or all of your submitted materials and/or contract, if any, executed between UMS and your entity.

1.2.2 Communication with the University

It is the responsibility of the Respondent to inquire about any requirement of this document that is not understood. Responses to inquiries, if they change or clarify the document in a substantial manner, will be forwarded by addenda to all parties that have received a copy of the document. Addenda will also be posted on our web site, www.maine.edu/strategic/upcoming_bids.php

It is the responsibility of all Respondents to check the web site before submitting a response to ensure that they have all pertinent documents. The University will not be bound by oral responses to inquiries or written responses other than addenda.

Inquiries must be made using the Response Contact Information provided on the cover sheet of this document.

Refer to table in Section 1.3.1 Timeline of Key Events for deadline requirements.

1.2.3 Confidentiality

The information contained in responses submitted for the University's consideration will be held in confidence until all evaluations are concluded and a Respondent selected (the successful Respondent). At that time the University will issue award notice letters to all participating Respondents and the successful Respondent's response may be made available to participating Respondents upon request. Such request will be made by submitting a written request to the individual noted in the Response Contact Information shown on the cover sheet of this document, with a copy of the request to the successful Respondent.

After the protest period has passed and the Agreement is fully executed, the winning response will be available for public inspection.

Pricing and other information that is an integral part of the offer cannot be considered confidential after an award has been made. The University will honor requests for confidentiality for information of a proprietary nature to the extent allowed by law. Clearly mark any portion of your submitted materials which are entitled to “trade secret” exemption from disclosure under Maine's Freedom of Access Act. Failure to so identify as trade secret will authorize the University to conclude that no portions are so exempt;
and that your entity will defend, indemnify and hold harmless the University in any and all legal actions that seek to compel the University to disclose under Maine's Freedom of Access Act some or all of your submitted materials and/or contract, if any, executed between the University and your entity.

The University must adhere to the provisions of the Maine Freedom of Access Act (FOAA), 1 MRSA §401 et seq. As a condition of submitting a response under this section, a respondent must accept that, to the extent required by the Maine FOAA, responses to this solicitation, and any ensuing contractual documents, are considered public records and therefore are subject to freedom of access requests.

1.2.4 Costs of Preparation
Respondent assumes all costs of preparation of the response and any presentations necessary to the response process.

1.2.5 Authorization
Any Agreement for services that will, or may, result in the expenditure by the University of $50,000 or more must be approved in writing by the Office of Strategic Procurement, Chief Procurement Officer and it is not approved, valid or effective until such written approval is granted.

1.2.6 Multi-Institutional
The University of Maine System, Office of Strategic Procurement reserves the right to authorize other University Institutions to use the Agreement(s) resulting from this document, if it is deemed to be beneficial for the University to do so.

1.2.7 Pricing
All prices provided shall remain firm for the entire term of the agreement.

1.2.8 Cost Response Form Quantities
The quantities shown on the cost response form are approximate only. The Contractor shall cover the actual needs of the University throughout the term of the Agreement regardless of whether they are more or less than the quantities shown.

1.2.9 Employees
The Contractor shall employ only competent and satisfactory personnel and shall provide a sufficient number of employees to perform the required services efficiently and in a manner satisfactory to the University. If the Agreement Administrator or designee, notifies the Contractor in writing that any person employed on this Agreement is incompetent, disorderly, or otherwise unsatisfactory, such person shall not again be employed in the
execution of this Agreement without the prior written consent of the Agreement Administrator.
**General Submission Provisions**

1.2.10 **Timeline of Key Events**

<table>
<thead>
<tr>
<th>Reference Section</th>
<th>Event Name</th>
<th>Event Due Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Section 1.2.2</td>
<td>Mandatory Bidders Conference*</td>
<td>May 4, 2017 8am Alfond Arena</td>
</tr>
<tr>
<td>Section 1.2.2</td>
<td>Deadline for Written Inquiries/Questions</td>
<td>May 8, 2017 4pm</td>
</tr>
<tr>
<td>Section 1.2.2</td>
<td>Response to Written Inquiries/Questions</td>
<td>May 10, 2017 EOB</td>
</tr>
<tr>
<td>Section 1.2.2</td>
<td>Deadline for Proposal Submission</td>
<td>May 15, 2017 EOB</td>
</tr>
<tr>
<td>Section 2.2</td>
<td>Award Announcement <em>(subject to change)</em></td>
<td>May 22, 2017</td>
</tr>
<tr>
<td></td>
<td>Estimated Agreement Start Date <em>(subject to change)</em></td>
<td>June 1, 2017</td>
</tr>
</tbody>
</table>

*Mandatory Bidders Conference: Interested parties MUST RSVP to james.m.gilmore@maine.edu with Company, Name and Title of all employees by May 2 2017 by 4pm EST.

1.2.11 **Eligibility to Submit Responses**

Public entities, private for-profit companies, and non-profit companies and institutions are invited to submit a response to this document.

1.2.12 **Debarment**

Respondents must complete and submit the “Debarment, Performance and Non-Collusion Certification Form provided in Appendix B. Failure to provide this certification may result in the disqualification of the Respondent’s proposal, at the University’s discretion.

Submission of a signed response in response to this solicitation is certification that your firm (or any subcontractor) is not currently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any State or Federal department or agency.

Submission is also agreement that the University will be notified of any change in this status.

1.2.13 **Response Understanding**

By submitting a response, the Respondent agrees and assures that the specifications are adequate, and the Respondent accepts the terms and conditions herein. Any exceptions should be noted in your response.
1.2.14 Response Validity
Unless specified otherwise, all responses shall be valid for ninety (90) days from the due date of the response.

1.2.15 Non-Response Submission
The University will not consider non-responsive submissions, i.e., those with material deficiencies, omissions, errors or inconsistencies or that otherwise do not follow instructions. The University in its sole discretion will determine what is Non-Responsive.

1.2.16 Respondents' Presentations
Presentations may be requested of two or more Respondents deemed by the University to be the best suited among those submitting responses on the basis of the selection criteria. After presentations have been conducted, the University may select the Respondent(s) which, in its opinion, has made the response that is the most responsive and most responsible and may award the Agreement to that/those Respondent(s).

1.2.17 Response Submission
A SIGNED virus-free electronic copy must be submitted as follows:

- The response must be received electronically to the E-Mail shown in the Response Submission Information section of the cover page of this document.
- Electronic submission must be received by the required Response Deadline Date/Time reflected on the cover page of this document.
- Response submissions that exceed 20 MB will be submitted with multiple emails modifying email subject line shown in the Response Submission Information section of the cover page of this document to include: Submission 1 of X ('X' representing the number of files being submitted).
2.0 EVALUATION AND AWARD PROCESS

2.1 Evaluation Criteria

2.1.1 Scoring Weights

The score will be based on a 100 point scale and will measure the degree to which each response meets the following criteria:

<table>
<thead>
<tr>
<th>Evaluation Appendices</th>
<th>Category</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>Appendix C</td>
<td>Financial Evaluation, Commission, Investment, Marketing</td>
<td>15</td>
</tr>
<tr>
<td>Appendix D</td>
<td>Economic Impact</td>
<td>10</td>
</tr>
<tr>
<td>Appendix E</td>
<td>Contract for Services</td>
<td>5</td>
</tr>
<tr>
<td>Appendix G&amp;H</td>
<td>Organization, Qualifications, Experience and References</td>
<td>70</td>
</tr>
</tbody>
</table>

Total Points 100

2.1.2 Scoring Section Descriptions

2.1.2.1 Financial Evaluation

The total financials for conducting all the functions specified in this document will be assigned a score according to a mathematical formula. The highest response will be awarded the total points. Responses with lower financial response values will be awarded proportionately fewer points calculated in comparison with the lowest cost response.

The scoring formula is:

\[(\text{Highest submitted financial response} / \text{financials of response being scored}) \times (X) = \text{pro-rated score}\]

The University will NOT seek a best and final offer (BAFO) from any Respondent in this procurement process. All Respondents are expected to provide their best value pricing with the submission of their response. Respondents will NOT be given another opportunity to modify pricing once submitted.

2.1.2.2 Economic Impact

Using the form in Appendix D (Economic Impact Evaluation Form), the Respondent (Respondent identified on the “Response Cover Page” of their submission) is required to describe the Respondent’s recent and anticipated economic impact upon and within the State of Maine. The Economic Impact for this RFP will be assigned a score according to a mathematical formula.
Recent Economic Impact: The highest recent economic impact will be awarded $X$ points. Proposals with lower recent economic impact will be awarded proportionately fewer points calculated in comparison with the highest impact.

The Recent Economic Impact scoring formula is:

\[
(\text{Recent Economic Impact proposal being scored} \div \text{Highest submitted recent Economic Impact proposal}) \times X = \text{pro-rated score}
\]

Projected Economic Impact*: The highest projected economic impact will be awarded $X$ points. Proposals with lower projected economic impact will be awarded proportionately fewer points calculated in comparison with the highest projected economic impact.

The Projected Economic Impact scoring formula is:

\[
(\text{Projected Economic Impact proposal being scored} \div \text{Highest submitted projected Economic Impact proposal}) \times X = \text{pro-rated score}
\]

*Projected Economic Impact is to be based solely on the resulting contract should the Respondent be awarded the contract for these services (See Appendix D for a more detailed explanation).

Please note: If the University determines that the Respondent’s recent and/or projected economic impact information is deemed to be substantially inaccurate, then the University may determine to not award any points for economic impact to that Respondent for the applicable section(s).

2.1.2.3 Contract for Services

Responses which indicate full acceptance of the terms and conditions will receive the total points noted in the table above. Responses with language adjustments, will have point reductions based on University risk assessment. Refer to Agreement provisions detailed in Section 1.2.1.2 for additional guidance.

2.1.2.4 Organization, Qualifications, Experience and References

The evaluation team will use a consensus approach to evaluate and assign evaluation points. Reference checks will be performed on the top Respondent(s) only as determined by consensus scoring in the other categories.
2.1.2.5 General, Implementation, Training and Support
The evaluation team will use a consensus approach to evaluate and assign evaluation points.

2.2 Award
While the University prefers a single solution that is scalable to meet the needs of both large and small institutions, it reserves the right to award Agreement(s) to one or multiple Respondents, which may include awards to Respondents for a geographical area, if such award is in the best interest of the University.

The University reserves the right to waive minor irregularities, which may include contacting the Respondent to resolve the irregularity. Scholarships, donations, or gifts to the University, will not be considered in the evaluation of responses. The University reserves the right to reject any or all responses, in whole or in part, and is not necessarily bound to accept the lowest cost response if that response is contrary to the best interests of the University. The University may cancel this request or reject any or all responses in whole or in part. Should the University determine in its sole discretion that only one Respondent is fully qualified, or that one Respondent is clearly more qualified than any other under consideration, an Agreement may be awarded to that Respondent without further action.

2.3 Negotiations
The University reserves the right to negotiate with the successful Respondent to finalize a contract. Such negotiations may not significantly vary the content, nature or requirements of the proposal or the University’s Request for Proposals to an extent that may affect the price of goods or services requested. The University reserves the right to terminate contract negotiations with a selected respondent who submits a proposed contract significantly different from the response they submitted in response to the advertised RFP. In the event that an acceptable contract cannot be negotiated with the highest ranked Respondent, the University may withdraw its award and negotiate with the next-highest ranked Respondent, and so on, until an acceptable contract has been finalized. Alternatively, the University may cancel the RFP, at its sole discretion.

2.4 Award Protest
Respondents may appeal the award decision by submitting a written protest to the University of Maine System’s Chief Procurement Officer within five (5) business days of the date of the award notice, with a copy of the protest to the successful Respondent. The protest must contain a statement of the basis for the challenge.

If this RFP results in the creation of a pre-qualified or pre-approved list of vendors, then the appeal procedures mentioned above are available upon the original determination of that vendor list, but not during subsequent competitive procedures involving only the pre-qualified or pre-approved list participants.
3.0 RESPONSE FORMAT REQUIREMENTS

3.1 General Format Instructions

3.1.1 Electronic Submissions
Documents submitted as part of the electronic response are to be prepared on standard electronic formats of 8-1/2” x 11” and of PDF file type. Submissions requiring additional supporting information, such as, foldouts containing charts, spreadsheets, and oversize exhibits are permissible and must be submitted as Appendices, clearly numbered and referencing the Section in which they provide supporting information.

For clarity, the Respondent’s name should appear on every document page, including Appendices. Each Appendix must reference the section or subsection number to which it corresponds.

3.1.2 Respondents Responsibility
It is the responsibility of the Respondent to provide all information requested in the document package at the time of submission. Failure to provide information requested in this document may, at the discretion of the University’s evaluation review team, result in a lower rating for the incomplete sections and may result in the response being disqualified for consideration. Include any forms provided in the application package or reproduce those forms as closely as possible. All information should be presented in the same order and format as described in this document.

3.1.3 Brief Response
Respondents are asked to be brief and to respond to each question listed in the “Response to Questions” section of this document. Number each response in the response to correspond to the relevant question in this document.
3.2 Response Format Instructions
This section contains instructions for Respondents to use in preparing their response. The Respondent's submission must follow the outline used below, including the numbering of section and sub-section headings. Failure to use the outline specified in this section or to respond to all questions and instructions throughout this document may result in the response being disqualified as non-responsive or receiving a reduced score.

The University and its evaluation team for this document have sole discretion to determine whether a variance from the document specifications should result in either disqualification or reduction in scoring of a response.

Re-phrasing of the content provided in this document will, at best, be considered minimally responsive. The University seeks detailed yet succinct responses that demonstrate the Respondent’s experience and ability to perform the requirements specified throughout this document.

3.2.1 Section 1 - Response Cover Page
3.2.1.1 Label this response - Section 1 – UMS Response Cover Page
3.2.1.2 Insert Appendix A – University of Maine System Response Cover Page

3.2.2 Section 2 - Financial Response
3.2.2.1 Label this response - Section 2 – Financial Evaluation
3.2.2.2 Insert Appendix C – Required Financial Evaluation Exhibits

3.2.3 Section 3 – Response to Economic Impact
3.2.3.1 Label this response - Section 3 – Economic Impact Evaluation
3.2.3.2 Insert Appendix D – Economic Impact Evaluation Form

3.2.4 Section 4 - Contract for Services
3.2.4.1 Label this response - Section 4 – Contract for Services
3.2.4.2 Insert Appendix E – Contract for Services
3.2.4.3 Insert Appendix F – Certificate of Insurability Form

3.2.5 Section 5 - Response to Questions
3.2.5.1 Label this response - Section 5 – Response to Evaluation Questions & Related Information
3.2.5.2 Insert Appendix B – Debarment, Performance and Non-Collusion Certification
3.2.5.3 Insert Appendix G – Organization Reference Form
3.2.5.4 Insert Appendix H – Evaluation Question(s) - Organization, Qualifications and Experience
4.0 APPENDICES

3.3 Appendix A – University of Maine System Response Cover Page
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3.5 Appendix C – Required Cost Evaluation Exhibits
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### RFP # 2017-88
### Athletic Food Concessions

<table>
<thead>
<tr>
<th>Organization Name:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Chief Executive – Name/Title:</td>
<td></td>
</tr>
<tr>
<td>Telephone:</td>
<td></td>
</tr>
<tr>
<td>Fax:</td>
<td></td>
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<td>Email:</td>
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<td>Headquarters Street Address:</td>
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<tr>
<td>Headquarters City/State/Zip:</td>
<td></td>
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</tbody>
</table>

| Lead Point of Contact for Quote – Name/Title: |  |
| Telephone: |  |
| Fax: |  |
| Email: |  |
| Street Address: |  |
| City/State/Zip: |  |

1. This pricing structure contained herein will remain firm for a period of 90 days from the date and time of the quote deadline date.
2. No personnel currently employed by the University or any other University agency participated, either directly or indirectly, in any activities relating to the preparation of the Respondent’s response.
3. No attempt has been made or will be made by the Respondent to induce any other person or firm to submit or not to submit a response.
4. The undersigned is authorized to enter into contractual obligations on behalf of the above-named organization.
5. By submitting a response to a Request for Proposal, bid or other offer to do business with the University your entity understands and agrees that:
   a. The Agreement provisions in Section 1.2.1.2 of this document will not be modified and are thereby incorporated into any agreement entered into between University and your entity; that such terms and condition shall control in the event of any conflict with such agreement; and that your entity will not propose or demand any contrary terms;
   b. The above Agreement provisions in Section 1.2.1.2 of this document will govern the interpretation of such agreement notwithstanding the expression of any other term and/or condition to the contrary;
   c. Your entity agrees that the resulting Agreement will be the entire agreement between the University (including University’s employees and other End Users) and Respondent and in the event that the Respondent requires terms of use agreements or other agreements, policies or understanding, whether on an order form, invoice, website, electronic, click-through, verbal or in writing, with University's employees or other End Users, such agreements shall be null, void and without effect, and the terms of the Agreement shall apply.
   d. Your entity will identify at the time of submission which, if any, portion or your submitted materials are entitled to "trade secret" exemption from disclosure under Maine's Freedom of Access Act; that failure to so identify will authorize UMS to conclude that no portions are so exempt; and that your entity will defend, indemnify and hold harmless UMS in any and all legal actions that seek to compel UMS to disclose under Maine's Freedom of Access Act some or all of your submitted materials and/or contract, if any, executed between UMS and your entity.
Continued - Appendix A – University of Maine System Response Cover Page

To the best of my knowledge all information provided in the enclosed response, both programmatic and financial, is complete and accurate at the time of submission.

Date: ____________________________

Name and Title (Printed)

________________________________________
Authorized Signature
Appendix B – Debarment, Performance and Non-Collusion Certification

University of Maine System
DEBARMENT, PERFORMANCE and NON-COLLUSION
CERTIFICATION
RFP # 2017-88
Athletic Food Concessions

By signing this document, I certify to the best of my knowledge and belief that the aforementioned organization, its principals and any subcontractors named in this proposal:

a. Are not presently debarred, suspended, proposed for debarment, and declared ineligible or voluntarily excluded from bidding or working on contracts issued by any governmental agency.

b. Have not within three years of submitting the proposal for this contract been convicted of or had a civil judgment rendered against them for:
   i. Fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a federal, state or local government transaction or contract.
   ii. Violating Federal or State antitrust statutes or committing embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
   iii. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
   iv. Have not within a three (3) year period preceding this proposal had one or more federal, state or local government transactions terminated for cause or default.

c. Have not entered into a prior understanding, agreement, or connection with any corporation, firm, or person submitting a response for the same materials, supplies, equipment, or services and this proposal is in all respects fair and without collusion or fraud. The above mentioned entities understand and agree that collusive bidding is a violation of state and federal law and can result in fines, prison sentences, and civil damage awards.

Failure to provide this certification may result in the disqualification of the Respondent's proposal, at the University's discretion.

Date: ______________________________________

____________________________________________________________________________________

Name and Title (Printed)

____________________________________________________________________________________

Authorized Signature
Appendix C – Required Financial Evaluation Exhibits

University of Maine System
FINANCIAL EVALUATION
RFP # 2017-88
Athletic Food Concessions

Respondent’s Organization Name:

______________________________

GENERAL INSTRUCTIONS:

1. The Respondent must submit a cost response that covers the entire period of the Agreement, including any optional renewal periods.

2. The cost response shall include the costs necessary for the Respondent to fully comply with the Agreement terms and conditions and requirements. **Note regarding total cost of ownership**: This "cost" will encompass the entire solution pricing along with all products and services offered as part of the solution.

3. Failure to provide the requested information and to follow the required cost response format provided in Appendix C may result in the exclusion of the Response from consideration, at the discretion of the University. You can add rows and columns required to insert additional information. If a particular cost table is not required as part of your response simply leave it blank.

4. No costs related to the preparation of the Response for this document or to the negotiation of the Agreement with the University may be included in the Response. Only costs to be incurred after the Agreement effective date that are specifically related to the implementation or operation of contracted services may be included.

5. Identify all costs by year, to be charged for performing the services necessary to accomplish the objectives of this document.

6. If there are additional options or services that are not included in the offering, they must be identified and itemized as “optional” and include a description of the product or service and the costs of the option. All items identified in the response (including third party items required) will be considered free add-ons to the proposed solution at the prices included in this response unless expressly stated otherwise.

7. Respondents’ are encouraged to provide additional price incentives for providing an enterprise solution, multi-year or award of multiple institutions.

8. Pricing will be guaranteed by the vendor for the term of the Agreement.

9. The University will NOT seek a best and final offer (BAFO) from any Respondent in this procurement process. All Respondents are expected to provide their best value pricing with the submission of their response. Respondents will NOT be given another opportunity to modify pricing once submitted.
GENERAL INSTRUCTIONS:

Commission: The proposal must contain an offer to pay a fee to the University for Concessionaire rights for food, beverages, and alcohol. The offer must be in the form of a percentage of gross sales, less sales tax on all items sold with a minimum guaranteed annual payment.

Percentage of gross sales offered for each year of the agreement for food: ____ %

Percentage of gross sales offered for each year of the agreement for alcohol: ____%

Minimum guaranteed annual payment: ____________.

Marketing/Advertising (Optional): Bidders interested in entering into a marketing/advertising contract with the University’s current Exclusive Multi Media Rights Contractor, may review the two (2) levels described below and indicate the financial proposal associated with each level. The University will review the two levels described below and indicated the financial proposal for each level also consider alternative Level 3 Marketing/Advertising proposals if indicated by Bidders.

Level 1:
- 5 year commitment, with 5% cash escalator YOY

Assets include:

- Exclusivity
  - Exclusive in the Coffee Category, including Coffee, Espresso, as well as other coffee and/or espresso based drinks, in frozen or liquid form.
- Signage (Production cost of all physical signage is the responsibility of Sponsor, installation the cost of BBSP)
  - Pair of Dasher Boards, as historical in size and placement (Alfond Arena – Hockey)
  - Lower Scoreboard Sign (Alfond Arena - Hockey)
  - 20’ X 7’ Sideline Sign/Outfield Sign (Alfond Stadium – Football, Mahaney Diamond – Baseball, displayed during each season, respectively)
- Statewide TV
  - Naming Rights to the Hockey and Football TV Broadcast Booths during Broadcasts (10 Games)
  - Media Backdrop with Maine Logos behind talent during broadcasts
  - 2- :30 Commercial Spots in all Hockey and Football Game Broadcasts
  - 1 - :30 Commercial Spot in all Black Bear Insider Broadcasts (14)
  - Inclusion with Logo and Voice in all broadcasts, that Maine Football/Hockey is “brought to you in part by, (potential concessionaire)” (Opening/Closing Billboard)
- Network Radio
  - Football (11 Games)
    - Two in game :30 spots
    - One Opening or Closing Billboard
  - Hockey (35 Games)
    - One in game :30 spot
    - One Opening or Closing Billboard
  - Men’s and Women’s Basketball (29 Games each)
    - One in game :30 spot
    - One Opening or Closing Billboard
  - Coach Shows (30-35 Shows)
    - One :30 spot in all Football and Hockey Coach Show Broadcasts
    - One Opening or Closing Billboard
    - One Live Read
  - Post-Season/Extended Season games above and beyond the numbers listed for each sport above will be billed at a rate of $300 per spot, as historical, in the current agreement

- Use of Marks
  - Limited use of Marks to promote Brand association on items such gift cards, cups, bags, etc., as outlined in the current deal.

- Co-Branded Promotions
  - Opportunity to produce, distribute a co-branded premium at up to two mutually agreed upon events

- Summer Camps Sponsor
  - Naming Rights Partner of all UMaine Summer Camps, with media exposure as historical, including, but not limited to, presence on all online, social media, print, radio or TV ads, etc., promoting UMaine Summer Camps
  - Right to provide item to Summer Camp Attendees, such as a Nylon Back Pack, water bottle, etc.

- Game Schedules and Posters
  - Logo on all Produced Hockey and Football schedule cards, posters, etc.

- Promotions
  - Basketball – One Promotion at a minimum of ten Men’s and ten Women’s Basketball Home games, with full Video board and PA support when games are played at the Cross Center in Bangor, ME, or other facilities that have the proper technology. Occasional Home Games may be played in Portland at locations that do not have Video Boards, for example.
  - Hockey – Presenting Sponsor of a minimum of six Youth Hockey (Mites, Squirts) Intermission Skates, as historical

- Athletics Web Site
  - “Run of Site” Ads (5 sizes of graphics), with a minimum guaranteed exposure of 100,000 impressions each year of the agreement.
Level 2:

- 5 year commitment, with 5% cash escalator YOY

Assets include:

- Exclusivity
  - Exclusive in the Coffee Category, including Coffee, Tea, Espresso, as well as other coffee and/or espresso based drinks, in frozen or liquid form

- Signage (Production cost of all physical signage is the responsibility of Sponsor, installation the cost of BBSP)
  - Pair of Dasher Boards, as historical in size and placement ( Alfond Arena – Hockey)
  - Lower Scoreboard Sign ( Alfond Arena - Hockey)
  - 20’ X 7’ Sideline Sign/Outfield Sign ( Alfond Stadium – Football, Mahaney Diamond – Baseball, displayed during each season, respectively)
  - Backlit Basketball Courtside Sign ( MBB and WBB Home Games played at our “Home Court” at the Cross Center in Bangor – occasional home games may be played in the Portland Market)

- Statewide and National TV
  - Naming Rights to the Hockey and Football TV Broadcast Booths during Broadcasts (10 Games)
  - Media Backdrop with Maine Logos behind talent during broadcasts
  - 2- :30 Commercial Spots in all Hockey and Football Game Broadcasts
  - 1 - :30 Commercial Spot in all Black Bear Insider Broadcasts (14)
  - Inclusion with Logo and Voice in all broadcasts, that Maine Football/Hockey is “brought to you in part by (potential concessionaire)” (Opening/Closing Billboard)
  - One :30 Commercial Spot in all ESPN3 broadcasts for MBB and WBB Home games (29 Games, each)
  - Naming Rights to one mutually agreed upon in-game element in all MBB and WBB Home Games broadcasted on ESPN3

- Network Radio
  - Football (11 Games)
    - Two in game :30 spots
    - One Opening or Closing Billboard
    - Two in game Live Reads
  - Hockey (35 Games)
    - Two in game :30 spots
    - One Opening or Closing Billboard
    - Two in game Live Reads
  - Men’s and Women’s Basketball (29 Games each)
    - Two in game :30 spots
    - One Opening or Closing Billboard
    - Two in game Live Reads
  - Coach Shows (30-35 Shows)
    - Two :30 spots in all Football and Hockey Coach Show Broadcasts
    - One Opening or Closing Billboard
    - One Live Read
- Naming Rights to the Call in Segment of each Coach Show. We have significant call in guests such as former players and coaches (Jimmy Howard (Goalie, Detroit Red Wings), Garth Snow (Former Goalie, New York Islanders, not GM of the Islanders), Ben Hutton (Defense, Vancouver Canucks), Gustav Nyquist (Forward, Detroit Red Wings), Ben Bishop (Goalie, Tampa Bay Lightning), Scott Darling (Goalie, Chicago Blackhawks), Mike Devito (8 year NFL Lineman, Jets, Kansas City), Mike Buck (New Orleans Saints QB), Trevor Bates (Patriots), and Matt Mulligan (8 year Tight End, Patriots, Detroit Lions)
  - Post-Season/Extended Season games above and beyond the numbers listed for each sport above will be billed at a rate of $300 per spot, as historical, in the current agreement
- Use of Marks
  - Limited use of Marks to promote Brand association on items such gift cards, cups, bags, etc., as outlined in the current deal.
- Co-Branded Promotions
  - Opportunity to produce, distribute a co-branded premium item (or other promotion) at up to two mutually agreed upon events
- Summer Camps Sponsor
  - Naming Rights Partner of all UMaine Summer Camps, with media exposure as historical, including, but not limited to, presence on all online, social media, print, radio or TV ads, etc., promoting UMaine Summer Camps
  - Right to provide item to Summer Camp Attendees, such as a Nylon Back Pack, water bottle, etc.
- Game Schedules and Posters
  - Logo on all Produced Hockey and Football schedule cards, posters, etc.
- Promotions
  - Basketball – One Promotion at all Men’s and Women’s Basketball Home games with full Video board and PA support when games are played at the Cross Center in Bangor, ME, or other facilities that have the proper technology. Occasional Home Games may be played in Portland at locations that do not have Video Boards, for example.
  - Hockey – Presenting Sponsor of a minimum of six Youth Hockey (Mites, Squirts) Intermission Skates, as historical
- Athletics Web Site
  - “Run of Site” Ads (5 sizes of graphics), with a minimum guaranteed exposure of 250,000 impressions each year of the agreement.
- Social Media/Online Game-day Updates
Level 3: Alternative proposal suggested by bidder (optional).

Financial Investment in Equipment: Bidder must identify specific financial investments in equipment, venue improvements, etc. which would be required in order to operate the concessions as outlined in Bidder’s proposal. The Financial Investment proposal must be itemized, complete with brand names, model numbers and total costs and categorized by each venue location. The University anticipates the investment to be amortized throughout the life of the contract, 5 years, but will consider alternatives if presented.
Appendix D – Economic Impact Evaluation Form

Respondent’s Organization Name: ______________________________________________

Instructions

Each Respondent will complete the tables below to quantify the Respondent’s economic impact upon and within the State of Maine.

For the purposes of this RFP, the term “economic impact” shall be defined as the “Economic Impact Factors” listed in the table below. To complete the “economic impact” section of the Respondent’s response, the Respondent shall provide the information requested, describing the Respondent’s overall recent economic impact with the State of Maine and, separately, the projected economic impact with the State of Maine that would specifically result from the awarded contract only, should the Respondent be selected.

Table D1 - Recent Economic Impact (Respondent’s overall Economic Impact over the past 24-month period)

<table>
<thead>
<tr>
<th>Economic Impact Factors</th>
<th>Factors Expressed in Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>Salaries paid to Maine residents in past 24-month period</td>
<td>$</td>
</tr>
<tr>
<td>Payments made to Maine-based subcontractors in past 24-month period</td>
<td>$</td>
</tr>
<tr>
<td>Payments of State and local taxes in Maine within past 24-month period</td>
<td>$</td>
</tr>
<tr>
<td>Payments of State licensing fees in Maine within past 24-month period</td>
<td>$</td>
</tr>
<tr>
<td><strong>Total Overall Recent Economic Impact</strong></td>
<td><strong>$</strong></td>
</tr>
</tbody>
</table>

Table D2 - Projected Economic Impact (Future 24-month economic impact resulting from the awarded contract)

<table>
<thead>
<tr>
<th>Economic Impact Factors</th>
<th>Factors Expressed in Dollars</th>
</tr>
</thead>
<tbody>
<tr>
<td>Salaries to be paid to Maine residents in future 24-month period as a result of the awarded contract</td>
<td>$</td>
</tr>
<tr>
<td>Payments made to Maine-based subcontractors in future 24-month period as a result of the awarded contract</td>
<td>$</td>
</tr>
<tr>
<td>Payments of State and local taxes in Maine within future 24-month period as a result of the awarded contract</td>
<td>$</td>
</tr>
<tr>
<td>Payments of State licensing fees in Maine within future 24-month period as a result of the awarded contract</td>
<td>$</td>
</tr>
<tr>
<td><strong>Total Projected Economic Impact Only from Awarded Contract, If Selected</strong></td>
<td><strong>$</strong></td>
</tr>
</tbody>
</table>

For the tables above, the following definitions are provided:

- “Respondent”: Organization identified on the Proposal Cover Page under “Respondent’s Organization Name”.
- “Maine resident”: Any person whose primary residence is located within the State of Maine.
- “Maine-based”: Any organization whose primary operations are located within the State of Maine.
- “Past 24-month period”: The past 24-months, starting on the date that the RFP was publicly released.
- “Future 24-month period”: A projection for the future 24-month period, starting upon the “Initial Period of Performance” start date Section 1.3.1
Certification Statement

To the best of my knowledge, all information provided in the Economic Impact Evaluation Form is complete and accurate at the time of submission and I confirm that I am authorized to make such a determination on behalf of my organization.

Date: ______________________________________

__________________________________________________________________ __________________
Name and Title (Printed)
____________________________________________________________________________________

Authorized Signature
Appendix E – Contract for Services

UNIVERSITY OF MAINE SYSTEM
CONTRACT FOR SERVICES
<< MASTER AGREEMENT >>

This Contract for Services Master Agreement (“Agreement” or “Master Agreement”) entered into this _____ day of __________, ______, by and between the University of Maine System, hereinafter referred to as the "University", and ____________________________, hereinafter referred to as "Contractor".

WITNESSETH, that for and in consideration of the payments and agreements hereinafter mentioned, to be made and performed by the University, the Contractor hereby agrees with the University to provide the products and services described in this agreement, and the following Riders, hereby incorporated into this Agreement and made part of it by reference:

Rider A - Specifications of Work to be Performed
Rider A-1 – Pricing
Rider B-1 – Insurance Requirements
Rider B-2 – Substitute Form W-9 - Taxpayer Identification Number Request & Certification
Rider C – University of Maine System Standards for Safeguarding Information
Rider D – Services Engagement Form

Contract Amendments as required

Request for <<insert Bid or Proposal>> #<<insert #>> Issue Date <<insert date>> Titled <<insert title>>

Contractor's Bid in Response to Request for <<insert Bid or Proposal>> #<<insert #>> Proposal Submission Date <<insert date>> Titled <<insert title>>

WHEREAS, the University desires to enter into a contract for professional services, and the Contractor represents itself as competent and qualified to accomplish the specific requirements of this Contract to the satisfaction of the University;

NOW THEREFORE, in consideration of the mutual promises contained herein, the parties hereby agree as follows:

This Agreement, along with any documents identified, which are incorporated by reference, constitutes the entire Agreement between the parties, and there are no other or further written or oral understandings or agreements with respect thereto.

1. **Specifications of Work**: The Contractor agrees to perform the Specifications of Work as described in Rider A, hereby incorporated by reference.

   Rider A provides a suite of services offered by the Contractor to the University. As required by the University institutions, the parties will develop jointly specific Services Engagement
documents. The required format of this document is detailed in Rider D. The document will be governed by all the terms in this agreement; except that the engagement administrator for purposes of managing the service deliverables may be different than this Agreement Administrator and the term may be different than the term of the agreement but may not extend beyond this Agreement termination date. The Services Engagement document will be fully executed by the parties. Institutions may execute more than one agreement for services to support their needs over the term of this Agreement.

2. **Term:** This Contract shall commence on ______________________ and shall terminate on ____________________, unless terminated earlier as provided in this Contract with option for <<enter renewals as appropriate>> upon the parities’ mutual agreement.

3. **Payment:**

   A. Payment shall be made upon submittal of an electronic invoice to the University by the Contractor on a net 30 basis unless discount terms are offered. In the event there is a discrepancy with the invoice, payment terms shall be effective starting on the date the discrepancy is resolved, for only that portion of the invoice that is disputed. Invoices must include a purchase order number.

   << Select or remove items B – E depending on Agreement requirements. >>

   B. The total of all payments made against this contract shall not exceed $_______. Any expenses not listed here will not be reimbursed.

   C. The University shall compensate the Contractor at the rate of $ _____ per _______ (hour, week, semester, entire project.) Payment will be made within 30 days upon submittal and approval of invoices.

   D. Reimbursement for travel:

       _____ All travel, lodging and meals are part of the compensation described in section A. No additional reimbursement will be made.

       OR

       _____ Contractor will be reimbursed for pre-approved travel, lodging and meals in an amount not to exceed $ _________. Copies of receipts or itemized bills for expenses must be submitted for reimbursement.

   E. Other expenses (postage, printing, phone, etc.) shall not exceed $ _______. Copies of receipts or itemized bills for expenses must be submitted for reimbursement.

   F. “**Additional Services**” The University will have the option to purchase additional services under this Agreement.

   <<Following paragraph under #1 will be used only when a MLA is the desired result, otherwise the language should be removed. >>

   As required by the University institutions, the parties will develop jointly specific Services Engagement documents. The required format of this document is detailed in Rider D.

   G. “**Multi-Institution Capabilities**” University will have the option to include products and services under this Agreement to additional University institutions, this includes
any additional University institutions formed during the term of this agreement, all facilities utilized by an institution including those managed and/or owned by a third party, and additional entities, such as, the University College a division of University of Maine at Augusta.

4. **Termination:** The << Agreement or a Services Engagement (Rider D) >> may be terminated by the University in whole, or in part, whenever for any reason the University shall determine that such termination is in the best interest of the University. Any such termination shall be effected by delivery to the Contractor of a Notice of Termination specifying the extent to which performance of the Agreement is terminated and the date on which such termination becomes effective. The University shall pay all allowable costs incurred up to the effective date of termination. However, the Contractor shall not be reimbursed for any costs incurred after the effective date of termination.

5. **Obligations Upon Termination:** Any materials produced in performance of this agreement are the property of the University and shall be turned over to the University upon request. The University shall pay the Contractor for all services performed to the effective date of termination subject to offset of sums owed by the Contractor to the University.

6. **Non-Appropriation:** Notwithstanding any other provision of this Agreement, if the University is not appropriated sufficient funds to pay for the work to be performed under this Agreement or if funds are de-appropriated, then the University is not obligated to make payment under this Agreement.

7. **Conflict of Interest:** No officer or employee of the University shall participate in any decision relating to this contract which affects his or her personal interest in any entity in which he or she directly or indirectly has interest. No employee of the University shall have any interest, direct or indirect, in this contract or proceeds thereof.

8. **Modification:** This Contract may be modified or amended only in a writing signed by both parties.

9. **Assignment:** This Contract, or any part thereof, may not be assigned, transferred or subcontracted by the Contractor without the prior written consent of the University.

10. **Applicable Law:** This Contract shall be governed and interpreted according to the laws of the State of Maine.

11. **Administration:** __________________________ shall be the University's authorized representative in all matters pertaining to the administration of the terms and conditions of this Contract.

12. **Non-Discrimination:** In the execution of the contract, the Contractor shall not discriminate on the basis of race, color, religion, sex, sexual orientation, transgender status or gender expression, national origin or citizenship status, age, disability, genetic information, or veteran status and shall provide reasonable accommodations to qualified individuals with disabilities upon request. The university encourages the employment of qualified individuals with disabilities.

13. **Indemnification:** The Contractor shall comply with all applicable federal, state and local laws, rules, regulations, ordinances and orders relating to the services provided under this Contract.
Contractor shall indemnify, defend and hold the University, its Trustees, officers, employees, and agents, harmless from and against any and all loss, liability, claims, damages, actions, lawsuits, judgments and costs, including reasonable attorney's fees, that the University may become liable to pay or defend arising from or attributable to any acts or omissions of the Contractor, its agents, employees or subcontractors, in performing its obligations under this Contract, including, without limitation, for violation of proprietary rights, copyrights, or rights of privacy, arising out of a publication, translation, reproduction, delivery, performance, use or disposition of any data furnished under the Contract or based on any libelous or other unlawful matter contained in such data.

14. **Contract Validity:** In the event one or more clauses of this Contract are declared invalid, void, unenforceable or illegal, that shall not affect the validity of the remaining portions of this Contract.

15. **Independent Contractor:** Contractor is an independent contractor of the University, not a partner, agent or joint venture of the University and neither Party shall hold itself out contrary to these terms by advertising or otherwise, nor shall either party be bound by any representation, act or omission whatsoever of the other. For U.S. entities, Contractor, its employees and subcontractors if any, is/are independent contractors for whom no Federal or State Income Tax will be deducted by the University, and for whom no retirement benefits, social security benefits, group health or life insurance, vacation and sick leave, Worker's Compensation and similar benefits available to University's employees will accrue. The parties further understand that annual information returns as required by the Internal Revenue Code and Maine Income Tax Law will be filed by the University with copies sent to Contractor. Contractor will be responsible for compliance with all applicable laws, rules and regulations involving but not limited to, employment, labor, Workers Compensation, hours of work, working conditions, payment of wages, and payment of taxes, such as unemployment, social security and other payroll taxes, including other applicable contributions from such persons when required by law.

16. **Intellectual Property:** Any information and/or materials, finished or unfinished, produced in performance of this Contract, and all of the rights pertaining thereto, are the property of the University and shall be turned over to the University upon request.

17. **Entire Contract:** This Contract sets forth the entire agreement between the parties on the subject matter hereof and replaces and supersedes all prior agreements on the subject, whether oral or written, express or implied. This Contract is the entire agreement between the University (including University's employees and other End Users) and Contractor. In the event that Contractor enters into terms of use agreements or other agreements, policies or understandings, whether on Contractor's purchase order, website, electronic, click-through, verbal or in writing, with University's employees or other End Users, such agreements shall be null, void and without effect, and the terms of this Contract shall apply. University will not be bound to any other terms and conditions set forth in any documents, agreements or policies posted on Contractor's website unless such terms and conditions are set forth in this Contract. Contractor may not unilaterally change any term or condition of this Contract.

18. **Licensing:** Contractor shall secure in its name and at its expense all federal, state, and local licenses and permits required for operation under this Contract. Contractor shall provide proof of such licensure or permit to the University prior to commencing work under this Contract.

19. **Record Keeping, Audit and Inspection of Records:** The Contractor shall maintain books, records and other compilations of data pertaining to the requirements of the Contract to the
extent and in such detail as shall properly substantiate claims for payment under the Contract. All such records shall be kept for a period of seven years or for such longer period as specified herein. All retention periods start on the first day after the final payment of the Contract. If any litigation, claim, negotiation, audit or other action involving the records is commenced prior to the expiration of the applicable retention period, all records shall be retained until completion of the action and resolution of all issues resulting therefrom, or until the end of the applicable retention period, whichever is later. The University, the grantor agency (if any), or any of their authorized representatives shall have the right at reasonable times and upon reasonable notice, to examine and copy the books, records and other compilations of data of the Contractor pertaining to this Contract. Such access shall include on-site audits.

20. **Publicity, Publication, Reproduction and use of Contract's Products or Materials:**
Unless otherwise provided by law or the University, title and possession of all data, reports, programs, software, equipment, furnishings and any other documentation or product paid for with University funds shall vest with the University. The Contractor shall at all times obtain the prior written approval of the University before it, any of its officers, agents, employees or subcontractors, either during or after termination of the Contract, makes any statement bearing on the work performed or data collected under this Contract to the press or issues any material for publication through any medium of communication. If the Contractor or any of its subcontractors publishes a work dealing with any aspect of performance under the Contract, or of the results and accomplishments attained in such performance, the University shall have a royalty free, non-exclusive and irrevocable license to reproduce, publish or otherwise use and to authorize others to use the publication.

21. **Confidentiality:** The contractor shall comply with all laws and regulations relating to confidentiality and privacy including but not limited to any rules or regulations of the University.

22. **Force Majeure:** Neither party shall be liable to the other or be deemed to be in breach of this Contract for any failure or delay in rendering performance arising out of causes beyond its reasonable control and without its fault or negligence. Such causes may include, but are not limited to, acts of God or of a public enemy, fires, flood, epidemics, strikes, embargoes or unusually severe weather. Dates or time of performance shall be extended to the extent of delays excused by this section provided that the party whose performance is affected notifies the other promptly of the existence and nature of such delay.

23. **Notices:** Unless otherwise specified in an attachment hereto, any notice hereunder shall be in writing and addressed to the persons and addresses below.

**To the University:**

University of Maine System  
Robinson Hall  
46 University Drive  
Augusta, ME 04330

Attn: Contract Administration
To Contractor:

<<BID INSTRUCTIONS – Bidder to supply information noted below for submission with their proposal/bid. >>

Company Name:
Contact Name:
Address:
Phone Number:
Fax Number:

24. Invoices: Unless otherwise specified in an attachment hereto, invoices and questions regarding invoices will be directed to:

University of Maine System
Accounts Payable
PO Box 533
Bangor, ME 04402

Phone: 207-581-2692
Fax: 207-581-2698
Email: UMAP@maine.edu

25. Order of Precedence: In the event of any conflict among the documents in this agreement, the following order of precedence shall apply:
   A. Terms and conditions of this Agreement
   B. Rider A - Specifications of Work to be Performed
   C. Rider A-1 – Pricing
   D. Rider B-1 – Insurance Requirements
   E. Rider B-2 – Substitute Form W-9 - Taxpayer Identification Number Request & Certification
   F. Rider C – University of Maine System Standards for Safeguarding Information
   G. Rider D – Services Engagement Form
   H. Contract Amendments as required
   I. Request for <<insert Bid or Proposal>> #<<insert #>> Issue Date <<insert date>> Titled <<insert title>>
   J. Contractor’s Bid in Response to Request for <<insert Bid or Proposal>> #<<insert #>> Proposal Submission Date <<insert date>> Titled <<insert title>>

26. Multi-Institution Capabilities University will have the option to include products and services under this Agreement to additional University institutions, this includes any additional University institutions formed during the term of this agreement, all facilities utilized by an institution including those managed and/or owned by a third party, and additional entities, such as, the University College a division of University of Maine at Augusta.

The Community College System and Maine Maritime Academy, both public higher education institutions in the state, shall be permitted to piggyback off of the University’s contract if they should so desire. The Contractor agrees to further provide the products and services, with all the same terms and conditions applicable, to these additional entities.
27. Smoking Policy
The University must comply with the "Workplace Smoking Act of 1985" and M.R.S.A. title 22, § 1541 et seq "Smoking Prohibited in Public Places." In addition, University Institutions may have specific Smoking Prohibitions. The Respondent shall be responsible for the implementation and enforcements of these restrictions.
Per University policy, “Any contract or agreement for services that will, or may, result in the expenditure by the University of $50,000 or more must be approved in writing by the Chief Procurement Officer, or designee, and if it is not approved, valid or effective until such written approval is granted.”

Chief Financial Officer approval is required of any University of Maine System agreement of $50,000 or more, and it is not approved, valid or effective until such written approval is granted.

Chief Business Officer approval is required of any campus specific agreement of $50,000 or more, and it is not approved, valid or effective until such written approval is granted.
RIDER A
SPECIFICATIONS OF WORK TO BE PERFORMED

The Contractor agrees to the Specifications of Work to be Performed as follows:

INTENT AND PURPOSE
<<ENTER INTENT AND PURPOSE DESCRIPTION>>

PRODUCT SCOPE OF WORK:
<< BID INSTRUCTIONS - Bidder to provide product/service scope of work description as part of their proposal/bid submission. >>

Additional Scope: The Contractor shall permit product and services not covered herein to be added by mutual agreement, without voiding the provisions of the existing contract. The Contractor, for additional consideration, shall furnish additional such products and services to the University.

PRICING: Refer to RIDER A-1. Pricing will be valid for the term of the Agreement.

PERFORMANCE TERMS AND CONDITIONS
1. Employees: The Contractor shall employ only competent and satisfactory personnel and shall provide a sufficient number of employees to perform the required services efficiently and in a manner satisfactory to the University. If the University Contract Administrator notifies the Contractor in writing that any person employed on this Contract is incompetent, disorderly, or otherwise unsatisfactory, such person shall not again be utilized in the execution of this Contract without the prior written consent of the Contract Administrator.

2. Business and Performance Reviews: Recognizing that successful performance of this contract is dependent on favorable response, the Contractor shall meet at least quarterly with the Contract Administrator or designee for a business and performance review to evaluate operations and make necessary adjustments. These meetings will normally be conducted electronically but shall be face-to-face on demand. As part of these reviews, the University reserves the right to review equipment specifications quarterly and update equipment specifications accordingly. Contractor shall provide a single point of contact (i.e., relationship manager) and shall notify University in writing and in advance whenever there is a change to that single point of contact.

3. Campus Visits: The Contractor agrees to maintain good relations with the University. The Contractor shall make campus visits “as needed” on three days’ notice. The Contractor will coordinate campus visits with the University Services Information and Technology
Department to ensure proper communication and sharing of information related to customer projects.

4. **Toll-Free Access**: The Contractor shall provide to the University, toll-free telephone access to technical support. The University prefers a unique toll-free telephone number just for the University. The Contractor shall provide an escalated support feature to ensure that unresolved support issues can be elevated to upper level management.

5. **Accessibility**: If the solution includes any end-user-facing human interface, such as an end-user device software component or web site form, file upload system, etc. the Contractor hereby warrants that the products or services to be provided under this agreement comply with the accessibility guidelines of “Section 508 of the Rehabilitation Act of 1973” as amended as of the date of this agreement, and the \"Web Content Accessibility Guidelines (WCAG) 2.0\" published by www.w3.org.

If the solution includes any end-user-facing human interface, such as an end-user device software component, web pages or site, video or audio playback, file upload system, mobile device components, etc., the Contractor agrees to promptly respond to and resolve any complaint regarding accessibility of its products or services which is brought to its attention and vendor further agrees to indemnify and hold harmless the University of Maine campuses and system or any university entity using the Contractor's products or services from any claim arising out of its failure to comply with the aforesaid requirements.

The University, at its discretion, may at any time test the vendor’s products or services covered by this agreement to ensure compliance with Section 508 and WCAG 2.0. Testing that results in findings of non-compliance, shall result in a 25% reduction in the total cost of the products and/or services covered by this agreement if the non-compliance is not corrected within 30 days of being reported to the vendor in writing. All withheld amounts will be paid to the vendor upon correction of the non-compliance and acceptance by the University. Said acceptance not to be unreasonably withheld.

Failure to comply with these requirements shall constitute a breach and be grounds for termination of this agreement and a pro-rated refund of fees paid from the University for the remainder of original contract period.

6. **Standards for Safeguarding Information**: The Contractor is expected to comply with these standards as outlined in *Rider C - University of Maine System Standards for Safeguarding Information*. Should the Contractor fail to comply with the standards and is unable to reasonably cure its noncompliance within 60 days, the University may terminate this agreement. The University will be entitled to receive a prorated refund measured from the effective date of the termination.
RIDER A-1
PRICING

<< BID INSTRUCTIONS - Details in Exhibit 1 will be inserted here during Agreement negotiations. No action needed for Bidder as part of their proposal/bid submission. >>
RIDER B-1
INSURANCE REQUIREMENTS

<< BID INSTRUCTIONS - Bidder to provide their Contractor's Liability Insurance (CIA) Form here as part of their proposal/bid submission. The text below will be removed and the CIA form will be inserted as an image under Rider B-1>>

Contractor's Liability Insurance: During the term of this agreement, the Contractor shall maintain the following insurance:

<table>
<thead>
<tr>
<th>#</th>
<th>Insurance Type</th>
<th>Coverage Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Commercial General Liability, including Product’s and Completed Operations, Liquor, as well as Sexual Abuse and Molestation coverage (Written on an Occurrence-based form) (Bodily Injury and Property Damage)</td>
<td>$1,000,000 per occurrence; $5,000,000 in the aggregate</td>
</tr>
<tr>
<td>2</td>
<td>Vehicle Liability (Including Hired &amp; Non-Owned) (Bodily Injury and Property Damage)</td>
<td>$1,000,000 per occurrence</td>
</tr>
<tr>
<td>3</td>
<td>Workers Compensation (In Compliance with Maine and Federal Law)</td>
<td>Required for all personnel</td>
</tr>
</tbody>
</table>

Coverage limit requirements can be met with a single underlying insurance policy or through the combination of an underlying insurance policy plus an Umbrella insurance policy.

The University of Maine System shall be named as Additional Insured on the Commercial General Liability insurance.

Certificates of Insurance for all of the above insurance shall be filed with:

University of Maine System  
Risk Management  
65 Texas Ave. – Lewiston Hall  
Augusta, Maine 04330

Certificates shall be filed prior to the date of performance under this Agreement. Said certificates, in addition to proof of coverage, shall contain the standard statement pertaining to written notification in the event of cancellation, with a thirty (30) day notification period.

The University reserves the right to change the insurance requirement or to approve alternative insurances or limits, at the University’s discretion.
RIDER B-2
Substitute Form W-9 - Taxpayer Identification Number Request & Certification

Please complete the following information. We are required by law to obtain this information from you when making a reportable payment to you. If you do not provide us with this information, your payments may be subject to federal income tax backup withholding. Use this form only if you are a U.S. person (including US. resident alien). If you are a foreign person, use the appropriate Form W-8.

Part 1 Tax Status:
Print Name: ____________________________________________________________________
Address (number, street, and apt. or suite no.):______________________________________________________________
City: ____________________________________________ State: _________________________ Zip: ________________
Phone: ( ____)_____________________________________

Complete One:

☐ Individual/Sole Proprietor       Business Name, if different from above ____________________________________
Social Security Number   __ __ __ - __ __ -__ __ __ __
- or - Business EIN   __ __ - __ __ __ __ __ __ __

☐ Partnership EIN   __ __ - __ __ __ __ __ __ __

☐ Corporation EIN   __ __ - __ __ __ __ __ __ __

Please answer questions below if you are a corporation:

1. Corporation providing legal services? Y N
2. Corporation providing medical services? Y N

☐ Limited Liability Company EIN   __ __ - __ __ __ __ __ __ __
☐ Tax-Exempt or Not-for-Profit under § 501(C)(3) EIN   __ __ - __ __ __ __ __ __ __
☐ Government Entity EIN   __ __ - __ __ __ __ __ __ __
☐ Estate or Trust EIN   __ __ - __ __ __ __ __ __ __
☐ All other Entities EIN   __ __ - __ __ __ __ __ __ __

Part 2 Exemption: If exempt from Form 1099 reporting, check here:

☐ and circle your qualifying exemption reason below

1. An organization exempt from tax under IRC section 501(a)
2. The United States or any of its agencies or instrumentalities
3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalties
4. A foreign government or any of its political subdivisions, agencies, or instrumentalties
5. An international organization or any of its agencies or instrumentalties
6. Other: ___________________ 

Part 3 Certification:
Under penalties of perjury, I certify that:
1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. person (including a U.S. resident alien).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

Signature of U.S. person: ___________________________________________ Date: _______________________________
Please return this form with the attached contract. Thank you for your cooperation.
RIDER C
UNIVERSITY OF MAINE SYSTEM
STANDARDS FOR SAFEGUARDING INFORMATION

This Attachment addresses the Contractor's responsibility for safeguarding Compliant Data and Business Sensitive Information consistent with the University of Maine System's Information Security Policy and Standards. (infosecurity.maine.edu)

Compliant Data is defined as data that the University needs to protect in accordance with statute, contract, law or agreement. Examples include Family Educational Rights and Privacy Act (FERPA), Health Insurance Portability and Accountability Act (HIPAA), Gramm-Leach-Bliley Act (GLBA), Maine Notice of Risk to Personal Data Act, and the Payment Card Industry Data Security Standards (PCI-DSS).

Business Sensitive Information is defined as data which is not subject to statutory or contractual obligations but where the compromise or exposure of the information could result in damage or loss to the University.

1. Standards for Safeguarding Information: The Contractor agrees to implement reasonable and appropriate security measures to protect all systems that transmit, store or process Compliant Data and Business Sensitive Information or personally identifiable information from Compliant Data and Business Sensitive Information furnished by the University, or collected by the Contractor on behalf of the University, against loss of data, unauthorized use or disclosure, and take measures to adequately protect against unauthorized access and malware in the course of this engagement.

   A. Compliant Data and Business Sensitive Information may include, but is not limited to names, addresses, phone numbers, financial information, bank account and credit card numbers, other employee and student personal information (including their academic record, etc.), Driver’s License and Social Security numbers, in both paper and electronic format.

   B. If information pertaining to student educational records is accessed, transferred, stored or processed by Contractor; Contractor shall protect such data in accordance with FERPA.

   C. If information pertaining to protected health information is accessed, used, collected, transferred, stored or processed by Contractor; Contractor shall protect such data in accordance with HIPAA and Contractor shall sign and adhere to a Business Associate Agreement.

   D. If Contractor engages in electronic commerce on behalf of the University or cardholder data relating to University activities is accessed, transferred, stored or processed by Contractor; Contractor shall protect such data in accordance with current PCI-DSS guidelines.

   E. If information pertaining to protected “Customer Financial Information” is accessed, transferred, stored or processed by Contractor; Contractor shall protect such data in accordance with GLBA.

2. Prohibition of Unauthorized Use or Disclosure of Information: Contractor agrees to hold all information in strict confidence. Contractor shall not use or disclose information received from,
or created or received by, Contractor on behalf of the University except as permitted or required by this Agreement, as required by law, or as otherwise authorized in writing by the University.

3. Return or Destruction of Compliant or Business Sensitive Information:
   A. Except as provided in Section 3(B), upon termination, cancellation, or expiration of the Agreement, for any reason, Contractor shall cease and desist all uses and disclosures of Compliant Data or Business Sensitive Information and shall immediately return or destroy (if the University gives written permission to destroy) in a reasonable manner all such information received from the University, or created or received by Contractor on behalf of the University, provided, however, that Contractor shall reasonably cooperate with the University to ensure that no original information records are destroyed. This provision shall apply to information that is in the possession of subcontractors or agents of Contractor. Contractor shall retain no copies of University information, including any compilations derived from and allowing identification of any individual’s confidential information. Except as provided in Section 3(B), Contractor shall return (or destroy) information within 30 days after termination, cancellation, or expiration of this Agreement.

   B. In the event that Contractor determines that returning or destroying any such information is infeasible, Contractor shall provide to University notification of the conditions that make return or destruction infeasible. Upon mutual agreement of the Parties that return or destruction of such information is infeasible, Contractor shall extend the protections of this Agreement to such information and limit further uses and disclosures of such information to those purposes that make the return or destruction infeasible, for so long as Contractor maintains such information.

   C. Contractor shall wipe or securely delete Compliant Data or Business Sensitive Information and personally identifiable information furnished by the University from storage media when no longer needed. Measures taken shall be commensurate with the standard for “clearing” as specified in the National Institute of Standards and Technology (NIST) Special Publication SP800-88: Guidelines for Media Sanitization, prior to disposal or reuse.

4. Term and Termination:
   A. This Attachment shall take effect upon execution and shall be in effect commensurate with the term of the Agreement

5. Subcontractors and Agents: If Contractor provides any Compliant Data or Business Sensitive Information received from the University, or created or received by Contractor on behalf of the University, to a subcontractor or agent, the Contractor shall require such subcontractor or agent to agree to the same restrictions and conditions as are imposed on Contractor by this Agreement.

6. Contractor shall control access to University data: All Contractor employees shall be adequately screened, commensurate with the sensitivity of their jobs. Contractor agrees to limit employee access to data on a need-to-know basis. Contractor shall impose a disciplinary process for employees not following privacy procedures. Contractor shall have a process to remove access to University data immediately upon termination or re-assignment of an employee by the Contractor.
7. Unless otherwise stated in the agreement, all Compliant Data or Business Sensitive Information is the property of the University and shall be turned over to the University upon request.

8. Contractor shall not amend or replace University-owned hardware, software or data without prior authorization of the University.

9. If mobile devices are used in the performance of this Agreement to access University Compliant Data or Business Sensitive Information, Contractor shall install and activate authentication and encryption capabilities on each mobile device in use.

10. Reporting of Unauthorized Disclosures or Misuse of Information: Contractor shall report to the University any use or disclosure of Compliant Data or Business Sensitive Information not authorized by this Agreement or in writing by the University. Contractor shall make the report to the University not more than one (1) business day after Contractor learns of such use or disclosure. Contractor’s report shall identify: (i) the nature of the unauthorized use or disclosure, (ii) the information used or disclosed, (iii) who made the unauthorized use or received the unauthorized disclosure, (iv) what Contractor has done or shall do to mitigate the effects of the unauthorized use or disclosure, and (v) what corrective action Contractor has taken or shall take to prevent future similar unauthorized use or disclosure. Contractor shall provide such other information, including a written report, as reasonably requested by the University. Contractor shall keep University informed on the progress of each step of the incident response. Contractor shall indemnify and hold University harmless from all liabilities, costs and damages arising out of or in any manner connected with the security breach or unauthorized use or disclosure by Contractor of any University Compliant Data or Business Sensitive Information. Contractor shall mitigate, to the extent practicable, any harmful effect that is known to Contractor of a security breach or use or disclosure of Compliant Data or Business Sensitive Information by Contractor in violation of the requirements of this Agreement. In addition to the rights of the Parties established by this Agreement, if the University reasonably determines in good faith that Contractor has materially breached any of its obligations, the University, in its sole discretion, shall have the right to:

- Inspect the data that has not been safeguarded and thus has resulted in the material breach, and/or
- Require Contractor to submit a plan of monitoring and reporting, as the University may determine necessary to maintain compliance with this Agreement; and/or Terminate the Agreement immediately.

11. Survival: The respective rights and obligations of Contractor under Section 12 of the Agreement or Section 3 of this Attachment shall survive the termination of this Agreement.

12. Contractor Hosted Data: If Contractor hosts University Compliant Data or Business Sensitive Data, in or on Contractor facilities, the following clauses apply.
   A. Contractor computers that host University Compliant Data or Business Sensitive Information shall be housed in secure areas that have adequate walls and entry control such as a card controlled entry or staffed reception desk. Only authorized personnel shall be allowed to enter and visitor entry will be strictly controlled.
   B. Contractor shall design and apply physical protection against damage from fire, flood, earthquake, explosion, civil unrest, and other forms of natural or man-made disasters. Contractor shall protect hosted systems with Uninterruptible Power Supply (UPS) devices sufficient to meet business continuity requirements.
C. Contractor shall backup systems or media stored at a separate location with incremental back-ups at least daily and full back-ups at least weekly. Incremental and full back-ups shall be retained for 15 days and 45 days respectively. Contractor shall test restore procedures not less than once per year.

D. Contractor shall provide for reasonable and adequate protection on its network and system to include firewall and intrusion detection/prevention.

E. Contractor shall use strong encryption and certificate-based authentication on any server hosting on-line and e-commerce transactions with the University to ensure the confidentiality and non-repudiation of the transaction while crossing networks.

F. The installation or modification of software on systems containing University Compliant Data or Business Sensitive Information shall be subject to formal change management procedures and segregation of duties requirements.

G. Contractor who hosts University Compliant Data or Business Sensitive Information shall engage an independent third-party auditor to evaluate the information security controls not less than every two (2) years. Such evaluations shall be made available to the University upon request.

H. Contractor shall require strong passwords for any user accessing personally identifiable information or data covered under law, regulation, or standard such as HIPAA, FERPA, or PCI. Strong passwords shall be at least eight characters long; contain at least one upper and one lower case alphabetic characters; and contain at least one numeric or special character.

13. If the Contractor provides system development, Compliant Data or Business Sensitive Information shall not be used in the development or test environments. Records that contain these types of data elements may be used if that data is first de-identified, masked or altered so that the original value is not recoverable. For programs that process University data, initial implementation as well as applied updates and modifications must be produced from specifically authorized and trusted program source libraries and personnel. Contractor shall provide documentation of a risk assessment of new system development or changes to a system.
Appendix F – Certificate of Insurability Form

Contractor’s Liability Insurance: During the term of this agreement, the Contractor shall maintain the following insurance:

<table>
<thead>
<tr>
<th>#</th>
<th>Insurance Type</th>
<th>Coverage Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Commercial General Liability, including Product's and Completed Operations</td>
<td>$1,000,000 per occurrence or more</td>
</tr>
<tr>
<td></td>
<td>(Written on an Occurrence-based form) (Bodily Injury and Property Damage)</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Vehicle Liability (Including Hired &amp; Non-Owned) (Bodily Injury and Property Damage)</td>
<td>$1,000,000 per occurrence or more</td>
</tr>
<tr>
<td>3</td>
<td>Workers Compensation (In Compliance with Maine and Federal Law)</td>
<td>Required for all personnel</td>
</tr>
<tr>
<td>3</td>
<td>Professional Liability Insurance (Agents, Consultants, Brokers, Lawyers, Financial, Engineers, or Medical Services)</td>
<td>$1,000,000 per occurrence or more</td>
</tr>
<tr>
<td>4</td>
<td>Marine General Liability (Any maritime or marine services)</td>
<td>$1,000,000 per occurrence or more</td>
</tr>
</tbody>
</table>

Coverage limit requirements can be met with a single underlying insurance policy or through the combination of an underlying insurance policy plus an Umbrella insurance policy.

The University of Maine System shall be named as Additional Insured on the Commercial General Liability insurance.

Certificates of Insurance for all of the above insurance shall be filed with:

University of Maine System
Risk Manager
Robinson Hall
46 University Drive
Augusta, Maine 04330

Certificates shall be filed prior to the date of performance under this Agreement. Said certificates, in addition to proof of coverage, shall contain the standard statement pertaining to written notification in the event of cancellation, with a thirty (30) day notification period.

The University reserves the right to change the insurance requirement or to approve alternative insurances or limits, at the University’s discretion.
Appendix G – Organization Reference Form

Respondent’s Organization Name: ________________________________________________

**INSTRUCTIONS:** Provide a minimum of three (3) current professional references who may be contacted for verification of the Respondent’s professional qualifications to meet the requirements set forth herein. We strongly prefer references from higher education institutions similar in size and requirements to the University of Maine System, including those with multi-campus integrated solutions.

We request that the references include one long-standing customer (minimum of 3 year engagement) and one new customer (one who has been engaged with Respondent for less than one year).

<table>
<thead>
<tr>
<th>REFERENCE #1</th>
<th>Institution/Company Name</th>
<th>Contact Name</th>
<th>Contact Title</th>
<th>Contact Phone Number</th>
<th>Contact eMail Address</th>
<th>Relationship Length</th>
</tr>
</thead>
<tbody>
<tr>
<td>REFERENCE #2</td>
<td>Institution/Company Name</td>
<td>Contact Name</td>
<td>Contact Title</td>
<td>Contact Phone Number</td>
<td>Contact eMail Address</td>
<td>Relationship Length</td>
</tr>
<tr>
<td>REFERENCE #3</td>
<td>Institution/Company Name</td>
<td>Contact Name</td>
<td>Contact Title</td>
<td>Contact Phone Number</td>
<td>Contact eMail Address</td>
<td>Relationship Length</td>
</tr>
</tbody>
</table>
Appendix H – Evaluation Question(s) - Organization, Qualifications and Experience

Respondent’s Organization Name: ________________________________________________

INSTRUCTIONS: Respondents shall ensure that all information required herein is submitted with the response. All information provided should be verifiable by documentation requested by the University. Failure to provide all information, inaccuracy or misstatement may be sufficient cause for rejection of the response or rescission of an award. Respondents are encouraged to provide any additional information describing operational abilities.

QUESTIONS:

1. Provide a statement describing your company to include name, number of employees, locations, number of years in business, number of years offering/supporting the proposed solution, and any and all acquisitions or mergers in the last five years. Is the company publicly or privately held?

2. If subcontractors are to be used, provide a list that specifies the name, address, phone number, contact person, and a brief description of the subcontractors’ organizational capacity and qualifications.

3. Please provide information about contract cancellations or non-renewals your company has experienced over the last three years.

4. Describe your experience offering a solution for the requirements identified in this document within athletic concessions with higher education. Provide a client list that includes any and all higher education clients or other institutions of similar size and complexity.

5. Provide a statement that explains why your company would be most qualified to provide products and services to the University of Maine System. What differentiates you from your competitors? In the response the Respondent must demonstrate that they are a recognized leader in the services and/or products covered in this document.

6. Describe your firm’s understanding of the current higher education needs for providing the products / services described in Scope of Work detailed in this document.

7. Provide your food service plan including but not limited to menus, signs, ability to transport hot items and safely store food to be provided.

8. Describe your projected staffing plan for the first year of the contract and include your customer service policy and training programs and or philosophy.

9. Provide your plan for managing University patrons at the service points (line queuing, e.g.).

10. Describe how you propose to increase the overall University fan experience?

11. Describe your proposed Point Of Sale Technology and your willingness to work with University IT department for compatibility, compliance, accessibility requirements. Should IT support be required, the cost responsibility will be the Bidders.

12. Provide a list of suggested menu items, prices and service levels for the skybox areas.
13. Provide a copy of your liquor license along with your agreement to adhere to University Policy. https://umaine.edu/handbook/policies-regulations/alcohol-beverage-and-drug-policies/.

14. The Respondent shall provide résumés for each staff member responsible for positions identified in the requirements of this document. Résumés shall include education, experience, license, and/or certifications of each individual.

15. Financial Stability

No financial statements are required to be submitted with your responses, however, prior to an award the University may request audited financial statements from your company, credit reports and letters from your bank and suppliers.