

SECTION 00 01 01

**PROJECT MANUAL
ISSUED FOR BID
FOR
NORTH ELECTRICAL
LOOP**

UNIVERSITY OF MAINE

May 17, 2025

Prepared by:

SMRT Architects and Engineers
75 Washington Ave., Suite 3A
Portland, ME 04101

END OF SECTION 00 01 01

DOCUMENT 000107 - SEALS PAGE

1.1 DESIGN PROFESSIONALS OF RECORD

A. Landscape Architect:

1. Kenneth D. Costello .
2. 5058 .
3. Responsible for Divisions 31-32 .

B. Electrical Engineer:

1. Lura Wade .
2. 13007 .
3. Responsible for Division 26- 27, 33.

END OF DOCUMENT 000107



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END OF DOCUMENT 000115

SECTION 00 11 13
ADVERTISEMENT FOR BIDS

Bids for: **NORTH ELECTRICAL LOOP**

Shall be submitted electronically to cppmquestions@maine.edu
With the following Email Subject Line: **NORTH ELECTRICAL LOOP**

Bids will be received until **2:00PM on Thursday, June 12, 2025** at which time Bids will be opened and read aloud via Zoom.

Bid opening attendance is available via PC, Mac, Linux, iOS or Android:
[Zoom https://maine.zoom.us/j/85444619356?pwd=2wUtkWplmSfaXtsm7CRFrvSINRbpaJ.1&jst=2](https://maine.zoom.us/j/85444619356?pwd=2wUtkWplmSfaXtsm7CRFrvSINRbpaJ.1&jst=2)
Password: 517976
Or via telephone US: [+1 386-347-5053](tel:+13863475053)
Meeting ID: 85444619356

Bids received after the stated time will not be considered and will be returned unopened.

Electronic bid submission must be accompanied by a copy of a satisfactory Bid Bond for 5% of the Bid (checks will not be accepted) which shall be in conformity with the form of Bond contained in Section 00 43 13 of the Specifications. Upon determination of the apparent low bidder, the University will contact the low bidder and request an original hard copy of the bid bond be delivered within 72 hours. The University reserves the right to waive all formalities and reject any or all bids or to accept any bids. Scholarships, donations or gifts to the University will not be considered in the evaluation of responses.

Electronic Bid Submission Requirements:

A **SIGNED** virus-free electronic bid form must be submitted as follows:

- The bid and bid bond must be submitted electronically as a single PDF file to the email address shown above.
- Electronic submission must be received by the required **Date/Time** reflected above.

The successful Bidder will be required to furnish a 100% Performance Bond and a 100% Payment Bond to cover the execution of the Contract which shall be in conformity with the form of Bonds contained in Sections 00 61 13.13 and 00 61 13.16, respectively, of the Specifications and shall be for the Contract amount.

Bidders may attend a non-mandatory pre-bid meeting on Wednesday, May 28, 2025, at 10:00am. Attendees are to meet at the east entrance to the Versant Astronomy Center. Copies of plans and specifications will not be available at the pre-bid meeting. Acquiring or reviewing plans and specifications prior to the meeting is advised.

Project Summary: The project consists of selective demolition, cast-in-place concrete, metals, openings, thermal and moisture protection, finishes, electrical, communications, earthwork, exterior improvements, and utilities. Contractor WILL NOT be allowed to work in Plan Areas “B”, “C”, or “D” as shown on Plan Sheet C-100 (the Hilltop parking lot area) during the Academic School year (September 2, 2025 – May 9, 2026).

Any questions related to the plans and specifications must be submitted prior to **4:00PM on Tuesday, June 3, 2025**, via email to Walter Shannon, Assistant Director of Capital Planning & Project Management, University of Maine; cppmquestions@maine.edu

The University of Maine System is an EEO/AA institution and does not discriminate on the grounds of race, color, religion, sex, sexual orientation, transgender status, gender, gender identity or expression, ethnicity, national origin, citizenship status, familial status, ancestry, age, disability physical or mental, genetic information, veteran or military status in employment, education, and all other programs and activities. The following person has been designated to handle inquiries regarding non-discrimination policies: Director of Equal Opportunity, 5713 Chadbourne Hall, Room 412, University of Maine, Orono, ME 04469-5754, 207.581.1226, TTY 711 (Maine Relay System). The University provides reasonable accommodation to

qualified individuals with disabilities upon request. General contractors, subcontractors, and product suppliers bidding on this project must subscribe and adhere to the same.

UNIVERSITY OF MAINE SYSTEM

by and through

UNIVERSITY OF MAINE

Kelly Sparks, Vice President of Finance and Chief Business Officer, for
University of Maine System Board of Trustees

END OF SECTION 00 11 13

SECTION 00 21 13
INSTRUCTIONS TO BIDDERS

1. At the time of the opening of bids, each bidder will be presumed to have inspected the site and to have read and to be thoroughly familiar with the plans and contract documents, including all addenda. The failure or omission of any bidder to receive or examine any form, instrument, or document shall not relieve any bidder from any obligation in respect to the bid. The Owner reserves the right to accept or reject any or all bids as may best serve the interests of the University of Maine System.
2. Subject to the University System's right, reserved herein, to accept or reject any or all bids, the General Contractor will be selected on the basis of the sum of the lowest base bid, plus such of the alternates as the University System desires to use.
3. The University System is exempt from the payment of Federal Excise Taxes on articles not for resale and the Federal Transportation Tax on all shipments. The Contractor shall quote less these taxes. Upon application, exemption certificates will be furnished when required.
4. No proposal may be withdrawn during a period of thirty (30) calendar days immediately following the opening thereof.
5. No contract may be assigned, sublet or transferred without the written consent of the University of Maine System.
6. All individuals not residents of this State must comply with the provisions of 14 MRSA §704-A.
7. The successful bidder, or bidders, will be required to furnish 100% Contract Bonds to cover the execution of the contract, in accordance with the AIA Document A101 - 2017 Exhibit A and Article 11 of the AIA Document A201 – 2017 General Conditions of the Contract for Construction.
8. Contractors may be required to furnish a statement of their business experience, record of accomplishments, and financial responsibility, at the discretion of the University System.
9. The base bid shall be based on the materials, methods, equipment and products, as specified.
10. Bidders shall submit the bid on the Bid Form provided in the Specifications, Section 00 41 13.
11. Any materials, methods, equipment and products not herein specified, but worthy of consideration by any General or Subcontractor, may be introduced by a separate letter attached to the regular bid. The Bidder shall state the cost comparison with the specified materials, methods, equipment and products, and the reason for the suggested substitution. It shall be understood by all bidders that the attached letter proposing substitutions shall not be used to determine the low bidder and that all bids are based on specified products.
12. Telegraphic or facsimile proposals will not be considered, but modification of proposals already submitted will be considered if received prior to the hour set for receipt of proposals. If the telegram or facsimile discloses the amount of the proposal, the proposal will be declared invalid. The bidder bears full responsibility to assure that the correction is delivered to the proper location and within the time required.
13. Where a bidder wishes a product to be considered an "approved equal" for bidding purposes, the product, along with all supporting documentation, shall be submitted to the architect for review a minimum of 10 calendar days prior to the bid opening date or the file bid due date, if file bids are required on the project. Products which are determined to be an "approved equal" for bidding purposes shall be listed in an addendum issued so as to be received by bidders no less than 72 hours prior to the bid date or the file bid due date if file bids are required.
14. Where the Bid Form requires the tabulation of subcontractors other than "File Bidders," the Bidder shall list the name of the firm the bidder intends to use in the event the bidder receives the contract award.
15. Bidders may appeal the award decision by submitting a written protest to the University of Maine System

Chief Facilities and General Services Officer within five (5) business days of the date of the award notice (Notice of Award) with a copy of the protest to the successful bidder. The protest must contain a statement of the basis for the challenge.

END OF SECTION 00 21 13

DOCUMENT 003132 - GEOTECHNICAL DATA

1.1 GEOTECHNICAL DATA

- A. This Document with its referenced attachments is part of the Procurement and Contracting Requirements for Project. They provide Owner's information for Bidders' convenience and are intended to supplement rather than serve in lieu of Bidders' own investigations. They are made available for Bidders' convenience and information. This Document and its attachments are not part of the Contract Documents.
- B. Because subsurface conditions indicated by the soil borings are a sampling in relation to the entire construction area, and for other reasons, the Owner, the Architect, the Architect's consultants, and the firm reporting the subsurface conditions do not warranty the conditions below the depths of the borings or that the strata logged from the borings are necessarily typical of the entire site. Any party using the information described in the soil borings and geotechnical report shall accept full responsibility for its use.
- C. Soil-boring data for Project, obtained by Seaboard Drilling , dated April 16, 2025 , is available for viewing as appended to this Document.
- D. Related Requirements:
 - 1. Document 002113 "Instructions to Bidders" for the Bidder's responsibilities for examination of Project site and existing conditions.

END OF DOCUMENT 003132



Auger Probe Report

Project: UM Campus Electrical Upgrade NL
Drill Dates: April 9-10, 2025

Reported By: Kevin Hanscom
Report Date: April 16, 2025
Report Version: 1.2

Probe	Exploration Depth (ft)	Note
1	5.6	Refusal
2	3.9	Refusal
3	3.7	Refusal
4	3.6	Refusal
5	3.0	Refusal
6	3.0	Refusal
7	3.5	Refusal
8	3.0	Refusal
9	2.6	Refusal
10	7.1	Refusal
11	7.4	Refusal
12	5.8	Refusal
13	10.0	No Refusal
14	10.0	No Refusal
15	10.0	No Refusal
16	10.0	No Refusal
17	9.5	Refusal
18	5.8	Refusal
19	10.0	No Refusal
20	10.0	No Refusal
21	4.6	Refusal
22	6.8	Refusal
23	6.4	Refusal
24	3.6	Refusal
25	2.7	Refusal
26	10.0	No Refusal
27	3.3	Refusal
28	10.0	No Refusal
29	1.4	Refusal, Offset 5' E, Refusal at 1.7'
30	8.1	Refusal
31	4.2	Refusal
32	10.0	No Refusal
33	5.6	Refusal

SECTION 00 41 13
BID FORM – SHORT FORM

BIDDER: _____
Physical/Street Address _____
City, State ZIP _____

University of Maine
Office of Facilities Management
5765 Service Building
Orono ME 04469-5765

Having carefully examined the form of contract, general conditions and plans and specifications contained therein for **NORTH ELECTRICAL LOOP**, as well as the premises and conditions affecting the work, we the undersigned propose to furnish all labor, equipment, and materials necessary for and reasonably incidental to the construction and completion of this contract for the sum of _____

Dollars (\$ _____).

Alternate prices as follows:

Alternate 1. Telecommunications conduit ductbank \$ _____

Unit pricing as follows:

	Unit Rate	
01. Removal of unsatisfactory soil and replacement with satisfactory soil material.	\$	per Cu. Yd.
02. Trench rock excavation and replacement with satisfactory soil material.	\$	per Cu. Yd.
03. 4 x 5" diameter RTRC electrical conduit and 2 x 4" diameter PVC telecommunications conduit ductbank (1 row of (4 & 2)) in flowable fill.	\$	per 10 Ln. Ft.
04. 4 x 5" diameter RTRC electrical conduit and 2 x 4" diameter PVC telecommunications conduit ductbank (2 rows of (2 & 1)) in flowable fill	\$	per 10 Ln. Ft.
05. 4 x 5" diameter RTRC electrical conduit and 2 x 4" diameter PVC telecommunications conduit ductbank (1 row of (4 & 2)) in concrete encasement.	\$	per 10 Ln. Ft.
06. 4 x 5" diameter RTRC electrical conduit and 2 x 4" diameter PVC telecommunications conduit ductbank (2 rows of (2 & 1)) in concrete encasement.	\$	per 10 Ln. Ft.
07. 4 x 5" diameter RTRC electrical conduit ductbank (1 row of 4) in flowable fill.	\$	per 10 Ln. Ft.
08. 4 x 5" diameter RTRC electrical conduit ductbank (2 rows of 2) in flowable fill.	\$	per 10 Ln. Ft.
09. 4 x 5" diameter RTRC electrical conduit ductbank (1 row of 4) in concrete encasement.	\$	per 10 Ln. Ft.
10. 4 x 5" diameter RTRC electrical conduit ductbank (2 rows of 2) in concrete encasement.	\$	per 10 Ln. Ft.
11. Electrical Manhole / Communications Handhole.	\$	per Each

This proposal includes the cost of 100% Performance Bond plus 100% Payment Bond.

The receipt of the following addenda to plans and specifications is hereby acknowledged:

ADDENDUM # _____ DATED _____ ADDENDUM # _____ DATED _____

ADDENDUM # _____ DATED _____ ADDENDUM # _____ DATED _____

Any material or materials not specified in the bidding document but worthy of consideration may be introduced by the bidder by a separate letter attached to this Bid. A cost comparison must be included giving the

comparison with the Material specified and the reason for the suggested substitution. The basic bid shall be as specified.

The undersigned agrees, if this Bid is accepted to sign a contract and deliver it, along with the bonds and affidavits for all insurance specified within twelve (12) calendar days after the date of notification of such acceptance, except if the 12th day falls on a Saturday, Sunday or holiday, then the conditions will be fulfilled if the required documents are received before 12 o'clock noon on the day following the holiday, or the Monday following the Saturday or Sunday, and as a guarantee thereof, herewith submits a bid bond as required.

The undersigned agrees, if awarded the Contract, to substantially complete the work on or before Friday, August 14, 2026. The undersigned also agrees, if awarded the Contract, that no more than 80% of the contract amount will be sublet to other contractors.

Signed (by individual authorized to sign contract) _____

By (printed name & title) _____ Phone _____

PO Box (if applicable) _____ Email _____

NOTE: If bidder is a corporation, write State of Incorporation, and if a partnership, give full names of all partners.

END OF SECTION 00 41 13

SECTION 00 43 13

BID SECURITY FORM

KNOW ALL BY THESE PRESENTS, THAT WE, the undersigned, as PRINCIPAL _____
_____, and _____
_____ as SURETY, are hereby held and firmly bound unto the Treasurer
of the UNIVERSITY OF MAINE SYSTEM in the penal sum of _____
_____ for the payment of which, well and truly to
be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors and
assigns, signed this _____ day of _____, 20 _____.

The condition of the above obligation is such that whereas the Principal has submitted to UNIVERSITY OF
MAINE SYSTEM, BY AND THROUGH THE UNIVERSITY OF MAINE, a certain proposal, attached hereto
and hereby made a part hereof, to enter into a contract in writing for the
[and INSERT PROJECT NAME HERE].

NOW THEREFORE,

- (a) If said proposal shall be rejected, or, in the alternate
- (b) If said proposal shall be accepted and the Principal shall execute and deliver a contract in the form of
contract attached hereto (properly completed in accordance with said proposal) and shall furnish a bond for
faithful performance of said contract, and for the payment of all persons performing labor or furnishing
materials in connection therewith, and shall in all other respects perform the agreement created by the
acceptance of said proposal, then this obligation shall be void, otherwise the same shall remain in force and
effect: It being expressly understood and agreed that the liability of the surety for any and all claims
hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall
be in no way impaired or affected by any extension of the time within which the principal may accept such
proposal: and said Surety does hereby waive notice of any such extension.

In the event suit is brought upon this bond by the Treasurer of the UNIVERSITY OF MAINE SYSTEM, Surety
shall pay reasonable attorneys' fees and costs incurred by the Treasurer of the UNIVERSITY OF MAINE
SYSTEM in such suit.

IN WITNESS WHEREOF, the Principal and Surety have hereunto set their hands and seals, and such of them
as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their
proper officers, the day and year first set above.

PRINCIPAL: _____

By: _____
L.S.

SURETY: _____

SURETY ADDRESS: _____

By: _____
L.S.

****DO NOT ALTER LANGUAGE****

END OF SECTION 00 43 13

SECTION 00 51 00

NOTICE OF AWARD

DATE

Vendor Name
Vendor Address.
Vendor Address

RE: ***NOTICE OF AWARD – PROJECT NAME***
UNIVERSITY OF MAINE

Dear (vendor name),

You are hereby notified that the University of Maine System, by and through the University of Maine, accepts your Bid of **\$00.00** for the above named project, subject to final resolution of any bid protests and the parties' ability to establish and confirm final terms, as well as the execution of a written contract and your furnishing satisfactory bonds within twelve (12) calendar days as provided in the bidding documents.

This Notice of Award will permit you to proceed with the ordering of materials and scheduling the work so that the project can be completed on time. Should you fail to execute a contract or furnish satisfactory bonds within the stipulated time, the bid bond accompanying your proposal will be forfeited to the University of Maine System as liquidated damages.

Enclosed is your contract agreement for signature. Further, please have your surety provide one original each of the Performance Bond and the Payment Bond, as prescribed in Sections 00 61 13.13 and 00 61 13.16 of the bid document, and a properly executed "Power of Attorney." Please advise your surety agent that the bonds should carry the same date as this Notice of Award and the Contract Agreement. **All originals of the signed contract, bonds and insurance certificates should be forwarded directly to Sandra Binette, Capital Contracts Administrator, 5765 Service Building, Orono, ME 04469.** Once it is completely signed, a copy of the contract will be returned for your use.

Prior to the start of any work on the construction site, Capital Planning and Project Management must receive Certificates of Liability Insurance as specified in Article A.3 of the AIA Document A101 – 2017 Exhibit A, Insurance and Bonds. Please advise your surety that the certificate holder should be as follows: University of Maine System; Office of Risk Management; Robinson Hall, 46 University Drive, Augusta, ME 04330.

The day-to-day administrative and technical details of this project will be handled by the Architect/Engineer, insert name here. All correspondence relative to the day-to-day administration of the project should be directed to insert name, insert title, insert email; 207-000-0000.

A pre-construction conference on this project will be scheduled as soon as possible. This conference must be attended by your firm's authorized representative as well as your project superintendent.

Sincerely,

Vice President of Finance
& Chief Business Officer

Enclosures

END OF SECTION 00 51 00

**UNIVERSITY OF MAINE SYSTEM
Construction Contract Agreement**

THIS AGREEMENT is made and entered into the _____ day of _____, 20____, by and between the Contractor, _____, and the University of Maine System acting by and through the University of Maine, 5765 Service Building, Orono, ME 04469, hereinafter called the Owner.

WITNESSETH: That the Owner and the Contractor for the considerations hereinafter named agree as follows:

ARTICLE 1. SCOPE OF THE WORK

The Contractor shall furnish all of the materials and perform all of the work described in the Contract Documents entitled [INSERT PROJECT NAME HERE], prepared by [Insert name of Architect/Engineer here], acting as and in these Contract Documents entitled the Architect and/or Engineer.

ARTICLE 2: START AND TIME OF COMPLETION

The date of the commencement of work shall be the date of this Agreement and shall be substantially completed on or before _____ subject to adjustments as provided in the Contract Documents.

The Contractor and the Contractor's surety, if any, shall be liable for and shall pay the Owner the following stipulated liquidated damages for each calendar day of delay after the date established for Substantial Completion until the Work is substantially complete: _____ Dollars (\$____) per calendar day.

ARTICLE 3: THE CONTRACT SUM

The Owner shall pay the Contractor for the performance of the Contract as follows _____ Dollars, \$ (____), subject to adjustments as provided in the Contract Documents.

The Contract Sum is based upon the following Alternates and Unit Prices, if any, which are described in the Contract Documents and are hereby accepted by the Owner:

Alternate (1) _____	Alternate (2) _____	Alternate (3) _____
Unit Prices		
Item _____	Price _____	
Item _____	Price _____	

Final payment shall be made after completion and acceptance of the work as provided in the Contract Documents.

ARTICLE 4: THE CONTRACT DOCUMENTS

The Contract Documents for this project, except for modifications issued after execution of this agreement, consist of:

- .1 This agreement.
- .2 AIA Document A201-2017, General Conditions of the Contract for Construction, as modified by the Owner.
- .3 AIA A101 – 2017, Exhibit A, Insurance and Bonds, as modified by the Owner.

- .4 The Specifications as outlined in the Project Manual: [Insert Name of Project Here], dated _____.
- .5 The Drawings as listed in the Project Manual.
- .6 The Addenda: Addendum 01 dated _____.
- .7 Exhibit B, Contractor's Proposal dated _____.

ARTICLE 5: OWNER'S REPRESENTATIVES

The Owner's Representative on this project will be _____, who is authorized to sign contracts and other legal documents related to this project on behalf of the Owner.

The Owner's Project Manager on this project will be _____.

The Owner and the Contractor hereby agree to the full performance of the covenants herein.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the day and year first above written.

UNIVERSITY OF MAINE SYSTEM
by and through
University of Maine

Company

Company

By: _____
[Insert Signatory Name]
[Insert Signatory Title]
University of Maine

By: _____

END OF SECTION 00 52 13

SECTION 00 61 13.13

PERFORMANCE BOND FORM

Bond No. _____

KNOW ALL BY THESE PRESENTS THAT (1) _____
(2) _____
of _____ and State of _____, as PRINCIPAL,
and (3) _____,
a corporation duly organized under the laws of the State of _____ and
having a usual place of business in _____, as SURETY, are held
and firmly bound unto the University of Maine System in the sum of _____ Dollars
(\$ _____), to be paid said Treasurer of the University of Maine System, or successor
in office, for which payment well and truly to be made, Principal and Surety bind themselves, their heirs,
executors and administrators, successors and assigns, jointly and severally by these presents.

The condition of this obligation is such that if the Principal shall promptly and faithfully perform the Contract
entered into on the (4) _____ day of _____, A.D., 20____ for the
construction of (5) _____

then this obligation shall be null and void; otherwise, it shall remain in full force and effect.

The Surety hereby waives notice of any alteration or extension of time made by the University of Maine
System.

Signed and sealed this (4) _____ day of _____, 20____.

WITNESSES:

SIGNATURES:

_____ LS
LS
LS

Bonding Company Agent:

Company: _____

Street: _____

City, State, Zip: _____

Telephone: _____

- (1) Correct name of Contractor.
- (2) A corporation, a partnership, or an individual, as the case may be.
- (3) Correct name of Surety.
- (4) Same date as that of contract.
- (5) Name of Project as designated in contract.

If Contractor is a partnership, all partners should execute bond. A Power of Attorney document, together with a
statement that it still is in effect shall be provided by the person executing this bond. Bond must be
countersigned by a Resident Maine Agent.

****DO NOT ALTER LANGUAGE****

END OF SECTION 00 61 13.13

SECTION 00 61 13.16
PAYMENT BOND FORM

Bond No. _____

KNOW ALL BY THESE PRESENTS THAT (1) _____
_____ (2) _____
of _____ and State of _____, as PRINCIPAL,
and (3) _____,
a corporation duly organized under the laws of the State of _____ and
having a usual place of business in _____, as SURETY, are held
and firmly bound unto the University of Maine System in the sum of _____ Dollars
(\$ _____), for the use and benefit of claimants* as herein below defined, for the
payment whereof Principal and Surety bind themselves, their heirs, executors and administrators, successors
and assigns, jointly and severally by these presents.

The condition of this obligation is such that if the Principal shall promptly satisfy all claims and demands
incurred for all labor and materials used or required by the Principal in connection with the work contemplated
in the Contract entered into on the (4) _____ day of _____, A.D., 20 _____ for the
construction of (5) _____

_____ ,
and shall fully reimburse the obligee for all outlay and expense which said obligee may incur in making good
any default of said principal, then this obligation shall be null and void; otherwise, it shall remain in full force
and effect.

*A Claimant is defined as one having a direct contract with the Principal or with a subcontractor of the
Principal for labor, material, or both, used or reasonably required for use in the performance of the contract.

Signed and sealed this (6) _____ day of _____, 20 _____.

WITNESSES:

SIGNATURES:

_____ LS
_____ LS
_____ LS

Bonding Company Agent:

Company: _____

Street: _____

City, State, Zip: _____

Telephone: _____

- (1) Correct name of Contractor.
- (2) A corporation, a partnership, or an individual, as the case may be.
- (3) Correct name of Surety.
- (4) Same date as that of contract.
- (5) Name of Project as designated in contract.
- (6) Same date as that of Contract.

If contractor is a partnership, all partners should execute bond. A Power of Attorney document, together with a
statement that it still is in effect shall be provided by the person executing this bond. Bond must be
countersigned by a Resident Maine Agent.

****DO NOT ALTER LANGUAGE****

END OF SECTION 00 61 13.16



AIA® Document G715™ – 2017

Supplemental Attachment for ACORD Certificate of Insurance 25

PROJECT: (name and address)
Samples

CONTRACT INFORMATION:
Contract For:
Date:

CERTIFICATE INFORMATION:
Producer:
Insured:
Date:

OWNER: (name and address)
University of Maine System
by and through
University of Maine
5765 Service Building
Orono, ME 04469

ARCHITECT: (name and address)

CONTRACTOR: (name and address)

A. General Liability

Yes No N/A

1. Does this policy include coverage for:

- | | | | | |
|---|--|--------------------------|--------------------------|--------------------------|
| a | Damages because of bodily injury, sickness, or disease, including occupational sickness or disease, and death of any person? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b | Personal injury and advertising injury? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c | Damages because of physical damage to or destruction of tangible property, including the loss of use of such property? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d | Bodily injury or property damage arising out of completed operations? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| e | The Contractor's indemnity obligations included in the Contract Documents? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

2. Does this policy contain an exclusion or restriction of coverage for:

- | | | | | |
|---|---|--------------------------|--------------------------|--------------------------|
| a | Claims by one insured against another insured, where the exclusion or restrictions is based solely on the fact that the claimant is an insured, and there would otherwise be coverage for the claim? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| b | Claims for property damage to the Contractor's Work arising out of the products-completed operations hazard where the damaged Work or the Work out of which the damage arises was performed by a Subcontractor? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| c | Claims for bodily injury other than to employees of the insured? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| d | Claims for the Contractor's indemnity obligations included in the Contract Documents arising out of injury to employees of the insured? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| e | Claims for loss excluded under a prior work endorsement or other similar exclusionary language? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| f | Claims or loss due to physical damage under a prior injury endorsement or similar exclusionary language? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| g | Claims related to residential, multi-family, or other habitational projects? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| h | Claims related to roofing? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| i | Claims related to exterior insulation finish systems, synthetic stucco, or similar exterior coatings or surfaces? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| j | Claims related to earth subsistence or movement? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| k | Claims related to explosion, collapse, and underground hazards? | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

B. Other Insurance Coverage

Yes No N/A

1. Indicate whether the Contractor has the following insurance coverages and, if so, indicate the coverage limits for each.

- | | | | | |
|---|----------------------------------|--------------------------|--------------------------|--------------------------|
| a | Professional liability insurance | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | Coverage limits: | | | |
| b | Pollution liability insurance | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

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User Notes:

(3B9ADA46)

- Coverage limits:
- c** Insurance for maritime liability risks associated with the operation of a vessel ☐ ☐ ☐
- Coverage limits:
- d** Insurance for the use or operation of manned or unmanned aircraft ☐ ☐ ☐
- Coverage limits:
- e** Property insurance ☐ ☐ ☐
- Coverage limits:
- f** Railroad protective liability insurance ☐ ☐ ☐
- Coverage limits:
- g** Asbestos abatement liability insurance ☐ ☐ ☐
- Coverage limits:
- h** Insurance for physical damage to property while it is in storage and in transit to the construction site ☐ ☐ ☐
- Coverage limits:
- i** Other: ☐ ☐ ☐

(Authorized Representative)

(Date of Issue)

ACORD™ CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YY)

PRODUCER	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.	
	INSURERS AFFORDING COVERAGE	
INSURED	INSURER A:	
	INSURER B:	
	INSURER C:	
	INSURER D:	
	INSURER E:	

COVERAGES

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS	
	GENERAL LIABILITY <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC				EACH OCCURRENCE	\$
					FIRE DAMAGE (Any one fire)	\$
					MED EXP (Any one person)	\$
					PERSONAL & ADV INJURY	\$
					GENERAL AGGREGATE	\$
					PRODUCTS - COMP/OP AGG	\$
	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS				COMBINED SINGLE LIMIT (Ea accident)	\$
					BODILY INJURY (Per person)	\$
					BODILY INJURY (Per accident)	\$
					PROPERTY DAMAGE (Per accident)	\$
	GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				AUTO ONLY - EA ACCIDENT	\$
					OTHER THAN EA ACC	\$
					AUTO ONLY: AGG	\$
	EXCESS LIABILITY <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> DEDUCTIBLE <input type="checkbox"/> RETENTION \$				EACH OCCURRENCE	\$
					AGGREGATE	\$
						\$
						\$
						\$
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY				WC STATU-TORY LIMITS	OTH-ER
					E.L. EACH ACCIDENT	\$
					E.L. DISEASE - EA EMPLOYEE	\$
					E.L. DISEASE - POLICY LIMIT	\$
	OTHER					

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL PROVISIONS

University of Maine System is named an additional insured under General Liability.

Project:

CERTIFICATE HOLDER

ADDITIONAL INSURED; INSURER LETTER: _____

CANCELLATION

University of Maine System
 Office of Risk Management
 Robinson Hall
 46 University Drive
 Augusta, ME 04330

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL _____ DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE

IMPORTANT

If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

DISCLAIMER

The Certificate of Insurance on the reverse side of this form does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or alter the coverage afforded by the policies listed thereon.

Sample

COMMERCIAL GENERAL LIABILITY COVERAGE FORM

Various provisions in this policy restrict coverage. Read the entire policy carefully to determine rights, duties and what is and is not covered.

Throughout this policy the words "you" and "your" refer to the Named Insured shown in the Declarations, and any other person or organization qualifying as a Named Insured under this policy. The words "we", "us" and "our" refer to the company providing this insurance.

The word "insured" means any person or organization qualifying as such under Section II – Who Is An Insured.

Other words and phrases that appear in quotation marks have special meaning. Refer to Section V – Definitions.

SECTION I – COVERAGES

COVERAGE A BODILY INJURY AND PROPERTY DAMAGE LIABILITY

1. Insuring Agreement

- a. We will pay those sums that the insured becomes legally obligated to pay as damages because of "bodily injury" or "property damage" to which this insurance applies. We will have the right and duty to defend the insured against any "suit" seeking those damages. However, we will have no duty to defend the insured against any "suit" seeking damages for "bodily injury" or "property damage" to which this insurance does not apply. We may, at our discretion, investigate any "occurrence" and settle any claim or "suit" that may result. But:
 - (1) The amount we will pay for damages is limited as described in Section III – Limits Of Insurance; and
 - (2) Our right and duty to defend ends when we have used up the applicable limit of insurance in the payment of judgments or settlements under Coverages A or B or medical expenses under Coverage C.

No other obligation or liability to pay sums or perform acts or services is covered unless explicitly provided for under Supplementary Payments – Coverages A and B.
- b. This insurance applies to "bodily injury" and "property damage" only if:
 - (1) The "bodily injury" or "property damage" is caused by an "occurrence" that takes place in the "coverage territory";
 - (2) The "bodily injury" or "property damage" occurs during the policy period; and
 - (3) Prior to the policy period, no insured listed under Paragraph 1. of Section II – Who Is An Insured and no "employee" authorized by you to give or receive notice of an "occurrence" or claim, knew that the "bodily injury" or "property damage" had occurred, in whole or in part. If such a listed insured or authorized "employee" knew, prior to the policy period, that the "bodily injury" or "property damage" occurred, then any continuation, change or resumption of such "bodily injury" or "property damage" during or after the policy period will be deemed to have been known prior to the policy period.
- c. "Bodily injury" or "property damage" which occurs during the policy period and was not, prior to the policy period, known to have occurred by any insured listed under Paragraph 1. of Section II – Who Is An Insured or any "employee" authorized by you to give or receive notice of an "occurrence" or claim, includes any continuation, change or resumption of that "bodily injury" or "property damage" after the end of the policy period.
- d. "Bodily injury" or "property damage" will be deemed to have been known to have occurred at the earliest time when any insured listed under Paragraph 1. of Section II – Who Is An Insured or any "employee" authorized by you to give or receive notice of an "occurrence" or claim:
 - (1) Reports all, or any part, of the "bodily injury" or "property damage" to us or any other insurer;
 - (2) Receives a written or verbal demand or claim for damages because of the "bodily injury" or "property damage"; or
 - (3) Becomes aware by any other means that "bodily injury" or "property damage" has occurred or has begun to occur.

- e. Damages because of "bodily injury" include damages claimed by any person or organization for care, loss of services or death resulting at any time from the "bodily injury".

2. Exclusions

This insurance does not apply to:

a. Expected Or Intended Injury

"Bodily injury" or "property damage" expected or intended from the standpoint of the insured. This exclusion does not apply to "bodily injury" resulting from the use of reasonable force to protect persons or property.

b. Contractual Liability

"Bodily injury" or "property damage" for which the insured is obligated to pay damages by reason of the assumption of liability in a contract or agreement. This exclusion does not apply to liability for damages:

- (1) That the insured would have in the absence of the contract or agreement; or
- (2) Assumed in a contract or agreement that is an "insured contract", provided the "bodily injury" or "property damage" occurs subsequent to the execution of the contract or agreement. Solely for the purposes of liability assumed in an "insured contract", reasonable attorney fees and necessary litigation expenses incurred by or for a party other than an insured are deemed to be damages because of "bodily injury" or "property damage", provided:
 - (a) Liability to such party for, or for the cost of, that party's defense has also been assumed in the same "insured contract"; and
 - (b) Such attorney fees and litigation expenses are for defense of that party against a civil or alternative dispute resolution proceeding in which damages to which this insurance applies are alleged.

c. Liquor Liability

"Bodily injury" or "property damage" for which any insured may be held liable by reason of:

- (1) Causing or contributing to the intoxication of any person;
- (2) The furnishing of alcoholic beverages to a person under the legal drinking age or under the influence of alcohol; or
- (3) Any statute, ordinance or regulation relating to the sale, gift, distribution or use of alcoholic beverages.

This exclusion applies only if you are in the business of manufacturing, distributing, selling, serving or furnishing alcoholic beverages.

d. Workers' Compensation And Similar Laws

Any obligation of the insured under a workers' compensation, disability benefits or unemployment compensation law or any similar law.

e. Employer's Liability

"Bodily injury" to:

- (1) An "employee" of the insured arising out of and in the course of:
 - (a) Employment by the insured; or
 - (b) Performing duties related to the conduct of the insured's business; or
- (2) The spouse, child, parent, brother or sister of that "employee" as a consequence of Paragraph (1) above.

This exclusion applies:

- (1) Whether the insured may be liable as an employer or in any other capacity; and
- (2) To any obligation to share damages with or repay someone else who must pay damages because of the injury.

This exclusion does not apply to liability assumed by the insured under an "insured contract".

f. Pollution

- (1)** "Bodily injury" or "property damage" arising out of the actual, alleged or threatened discharge, dispersal, seepage, migration, release or escape of "pollutants":
 - (a)** At or from any premises, site or location which is or was at any time owned or occupied by, or rented or loaned to, any insured. However, this subparagraph does not apply to:
 - (i)** "Bodily injury" if sustained within a building and caused by smoke, fumes, vapor or soot produced by or originating from equipment that is used to heat, cool or dehumidify the building, or equipment that is used to heat water for personal use, by the building's occupants or their guests;
 - (ii)** "Bodily injury" or "property damage" for which you may be held liable, if you are a contractor and the owner or lessee of such premises, site or location has been added to your policy as an additional insured with respect to your ongoing operations performed for that additional insured at that premises, site or location and such premises, site or location is not and never was owned or occupied by, or rented or loaned to, any insured, other than that additional insured; or
 - (iii)** "Bodily injury" or "property damage" arising out of heat, smoke or fumes from a "hostile fire";
 - (b)** At or from any premises, site or location which is or was at any time used by or for any insured or others for the handling, storage, disposal, processing or treatment of waste;
 - (c)** Which are or were at any time transported, handled, stored, treated, disposed of, or processed as waste by or for:
 - (i)** Any insured; or
 - (ii)** Any person or organization for whom you may be legally responsible; or
 - (d)** At or from any premises, site or location on which any insured or any contractors or subcontractors working directly or indirectly on any insured's behalf are performing operations if the "pollutants" are brought on or to the premises, site or location in connection with such operations by such insured, contractor or subcontractor. However, this subparagraph does not apply to:
 - (i)** "Bodily injury" or "property damage" arising out of the escape of fuels, lubricants or other operating fluids which are needed to perform the normal electrical, hydraulic or mechanical functions necessary for the operation of "mobile equipment" or its parts, if such fuels, lubricants or other operating fluids escape from a vehicle part designed to hold, store or receive them. This exception does not apply if the "bodily injury" or "property damage" arises out of the intentional discharge, dispersal or release of the fuels, lubricants or other operating fluids, or if such fuels, lubricants or other operating fluids are brought on or to the premises, site or location with the intent that they be discharged, dispersed or released as part of the operations being performed by such insured, contractor or subcontractor;
 - (ii)** "Bodily injury" or "property damage" sustained within a building and caused by the release of gases, fumes or vapors from materials brought into that building in connection with operations being performed by you or on your behalf by a contractor or subcontractor; or
 - (iii)** "Bodily injury" or "property damage" arising out of heat, smoke or fumes from a "hostile fire".
 - (e)** At or from any premises, site or location on which any insured or any contractors or subcontractors working directly or indirectly on any insured's behalf are performing operations if the operations are to test for, monitor, clean up, remove, contain, treat, detoxify or neutralize, or in any way respond to, or assess the effects of, "pollutants".

(2) Any loss, cost or expense arising out of any:

- (a) Request, demand, order or statutory or regulatory requirement that any insured or others test for, monitor, clean up, remove, contain, treat, detoxify or neutralize, or in any way respond to, or assess the effects of, "pollutants"; or
- (b) Claim or "suit" by or on behalf of a governmental authority for damages because of testing for, monitoring, cleaning up, removing, containing, treating, detoxifying or neutralizing, or in any way responding to, or assessing the effects of, "pollutants".

However, this paragraph does not apply to liability for damages because of "property damage" that the insured would have in the absence of such request, demand, order or statutory or regulatory requirement, or such claim or "suit" by or on behalf of a governmental authority.

g. Aircraft, Auto Or Watercraft

"Bodily injury" or "property damage" arising out of the ownership, maintenance, use or entrustment to others of any aircraft, "auto" or watercraft owned or operated by or rented or loaned to any insured. Use includes operation and "loading or unloading".

This exclusion applies even if the claims against any insured allege negligence or other wrongdoing in the supervision, hiring, employment, training or monitoring of others by that insured, if the "occurrence" which caused the "bodily injury" or "property damage" involved the ownership, maintenance, use or entrustment to others of any aircraft, "auto" or watercraft that is owned or operated by or rented or loaned to any insured.

This exclusion does not apply to:

- (1) A watercraft while ashore on premises you own or rent;
- (2) A watercraft you do not own that is:
 - (a) Less than 26 feet long; and
 - (b) Not being used to carry persons or property for a charge;
- (3) Parking an "auto" on, or on the ways next to, premises you own or rent, provided the "auto" is not owned by or rented or loaned to you or the insured;
- (4) Liability assumed under any "insured contract" for the ownership, maintenance or use of aircraft or watercraft; or

(5) "Bodily injury" or "property damage" arising out of:

- (a) The operation of machinery or equipment that is attached to, or part of, a land vehicle that would qualify under the definition of "mobile equipment" if it were not subject to a compulsory or financial responsibility law or other motor vehicle insurance law in the state where it is licensed or principally garaged; or
- (b) the operation of any of the machinery or equipment listed in Paragraph **f.(2)** or **f.(3)** of the definition of "mobile equipment".

h. Mobile Equipment

"Bodily injury" or "property damage" arising out of:

- (1) The transportation of "mobile equipment" by an "auto" owned or operated by or rented or loaned to any insured; or
- (2) The use of "mobile equipment" in, or while in practice for, or while being prepared for, any prearranged racing, speed, demolition, or stunting activity.

i. War

"Bodily injury" or "property damage", however caused, arising, directly or indirectly, out of:

- (1) War, including undeclared or civil war;
- (2) Warlike action by a military force, including action in hindering or defending against an actual or expected attack, by any government, sovereign or other authority using military personnel or other agents; or
- (3) Insurrection, rebellion, revolution, usurped power, or action taken by governmental authority in hindering or defending against any of these.

j. Damage To Property

"Property damage" to:

- (1) Property you own, rent, or occupy, including any costs or expenses incurred by you, or any other person, organization or entity, for repair, replacement, enhancement, restoration or maintenance of such property for any reason, including prevention of injury to a person or damage to another's property;
- (2) Premises you sell, give away or abandon, if the "property damage" arises out of any part of those premises;
- (3) Property loaned to you;
- (4) Personal property in the care, custody or control of the insured;

(5) That particular part of real property on which you or any contractors or subcontractors working directly or indirectly on your behalf are performing operations, if the "property damage" arises out of those operations; or

(6) That particular part of any property that must be restored, repaired or replaced because "your work" was incorrectly performed on it.

Paragraphs (1), (3) and (4) of this exclusion do not apply to "property damage" (other than damage by fire) to premises, including the contents of such premises, rented to you for a period of 7 or fewer consecutive days. A separate limit of insurance applies to Damage To Premises Rented To You as described in Section III – Limits Of Insurance.

Paragraph (2) of this exclusion does not apply if the premises are "your work" and were never occupied, rented or held for rental by you.

Paragraphs (3), (4), (5) and (6) of this exclusion do not apply to liability assumed under a side-track agreement.

Paragraph (6) of this exclusion does not apply to "property damage" included in the "products-completed operations hazard".

k. Damage To Your Product

"Property damage" to "your product" arising out of it or any part of it.

l. Damage To Your Work

"Property damage" to "your work" arising out of it or any part of it and included in the "products-completed operations hazard".

This exclusion does not apply if the damaged work or the work out of which the damage arises was performed on your behalf by a subcontractor.

m. Damage To Impaired Property Or Property Not Physically Injured

"Property damage" to "impaired property" or property that has not been physically injured, arising out of:

- (1) A defect, deficiency, inadequacy or dangerous condition in "your product" or "your work"; or
- (2) A delay or failure by you or anyone acting on your behalf to perform a contract or agreement in accordance with its terms.

This exclusion does not apply to the loss of use of other property arising out of sudden and accidental physical injury to "your product" or "your work" after it has been put to its intended use.

n. Recall Of Products, Work Or Impaired Property

Damages claimed for any loss, cost or expense incurred by you or others for the loss of use, withdrawal, recall, inspection, repair, replacement, adjustment, removal or disposal of:

- (1) "Your product";
- (2) "Your work"; or
- (3) "Impaired property";

if such product, work, or property is withdrawn or recalled from the market or from use by any person or organization because of a known or suspected defect, deficiency, inadequacy or dangerous condition in it.

o. Personal And Advertising Injury

"Bodily injury" arising out of "personal and advertising injury".

p. Electronic Data

Damages arising out of the loss of, loss of use of, damage to, corruption of, inability to access, or inability to manipulate electronic data.

As used in this exclusion, electronic data means information, facts or programs stored as or on, created or used on, or transmitted to or from computer software, including systems and applications software, hard or floppy disks, CD-ROMS, tapes, drives, cells, data processing devices or any other media which are used with electronically controlled equipment.

Exclusions c. through n. do not apply to damage by fire to premises while rented to you or temporarily occupied by you with permission of the owner. A separate limit of insurance applies to this coverage as described in Section III – Limits Of Insurance.

COVERAGE B PERSONAL AND ADVERTISING INJURY LIABILITY

1. Insuring Agreement

a. We will pay those sums that the insured becomes legally obligated to pay as damages because of "personal and advertising injury" to which this insurance applies. We will have the right and duty to defend the insured against any "suit" seeking those damages. However, we will have no duty to defend the insured against any "suit" seeking damages for "personal and advertising injury" to which this insurance does not apply. We may, at our discretion, investigate any offense and settle any claim or "suit" that may result. But:

- (1) The amount we will pay for damages is limited as described in Section III – Limits Of Insurance; and

- (2) Our right and duty to defend end when we have used up the applicable limit of insurance in the payment of judgments or settlements under Coverages **A** or **B** or medical expenses under Coverage **C**.

No other obligation or liability to pay sums or perform acts or services is covered unless explicitly provided for under Supplementary Payments – Coverages **A** and **B**.

- b. This insurance applies to "personal and advertising injury" caused by an offense arising out of your business but only if the offense was committed in the "coverage territory" during the policy period.

2. Exclusions

This insurance does not apply to:

a. Knowing Violation Of Rights Of Another

"Personal and advertising injury" caused by or at the direction of the insured with the knowledge that the act would violate the rights of another and would inflict "personal and advertising injury".

b. Material Published With Knowledge Of Falsity

"Personal and advertising injury" arising out of oral or written publication of material, if done by or at the direction of the insured with knowledge of its falsity.

c. Material Published Prior To Policy Period

"Personal and advertising injury" arising out of oral or written publication of material whose first publication took place before the beginning of the policy period.

d. Criminal Acts

"Personal and advertising injury" arising out of a criminal act committed by or at the direction of the insured.

e. Contractual Liability

"Personal and advertising injury" for which the insured has assumed liability in a contract or agreement. This exclusion does not apply to liability for damages that the insured would have in the absence of the contract or agreement.

f. Breach Of Contract

"Personal and advertising injury" arising out of a breach of contract, except an implied contract to use another's advertising idea in your "advertisement".

g. Quality Or Performance Of Goods – Failure To Conform To Statements

"Personal and advertising injury" arising out of the failure of goods, products or services to conform with any statement of quality or performance made in your "advertisement".

h. Wrong Description Of Prices

"Personal and advertising injury" arising out of the wrong description of the price of goods, products or services stated in your "advertisement".

i. Infringement Of Copyright, Patent, Trademark Or Trade Secret

"Personal and advertising injury" arising out of the infringement of copyright, patent, trademark, trade secret or other intellectual property rights.

However, this exclusion does not apply to infringement, in your "advertisement", of copyright, trade dress or slogan.

j. Insureds In Media And Internet Type Businesses

"Personal and advertising injury" committed by an insured whose business is:

- (1) Advertising, broadcasting, publishing or telecasting;
- (2) Designing or determining content of websites for others; or
- (3) An Internet search, access, content or service provider.

However, this exclusion does not apply to Paragraphs **14.a.**, **b.** and **c.** of "personal and advertising injury" under the Definitions Section.

For the purposes of this exclusion, the placing of frames, borders or links, or advertising, for you or others anywhere on the Internet, is not by itself, considered the business of advertising, broadcasting, publishing or telecasting.

k. Electronic Chatrooms Or Bulletin Boards

"Personal and advertising injury" arising out of an electronic chatroom or bulletin board the insured hosts, owns, or over which the insured exercises control.

l. Unauthorized Use Of Another's Name Or Product

"Personal and advertising injury" arising out of the unauthorized use of another's name or product in your e-mail address, domain name or metatag, or any other similar tactics to mislead another's potential customers.

m. Pollution

"Personal and advertising injury" arising out of the actual, alleged or threatened discharge, dispersal, seepage, migration, release or escape of "pollutants" at any time.

n. Pollution-Related

Any loss, cost or expense arising out of any:

- (1) Request, demand, order or statutory or regulatory requirement that any insured or others test for, monitor, clean up, remove, contain, treat, detoxify or neutralize, or in any way respond to, or assess the effects of, "pollutants"; or
- (2) Claim or suit by or on behalf of a governmental authority for damages because of testing for, monitoring, cleaning up, removing, containing, treating, detoxifying or neutralizing, or in any way responding to, or assessing the effects of, "pollutants".

o. War

"Personal and advertising injury", however caused, arising, directly or indirectly, out of:

- (1) War, including undeclared or civil war;
- (2) Warlike action by a military force, including action in hindering or defending against an actual or expected attack, by any government, sovereign or other authority using military personnel or other agents; or
- (3) Insurrection, rebellion, revolution, usurped power, or action taken by governmental authority in hindering or defending against any of these.

COVERAGE C MEDICAL PAYMENTS

1. Insuring Agreement

- a. We will pay medical expenses as described below for "bodily injury" caused by an accident:

- (1) On premises you own or rent;
- (2) On ways next to premises you own or rent; or
- (3) Because of your operations; provided that:
 - (1) The accident takes place in the "coverage territory" and during the policy period;
 - (2) The expenses are incurred and reported to us within one year of the date of the accident; and
 - (3) The injured person submits to examination, at our expense, by physicians of our choice as often as we reasonably require.

- b. We will make these payments regardless of fault. These payments will not exceed the applicable limit of insurance. We will pay reasonable expenses for:

- (1) First aid administered at the time of an accident;
- (2) Necessary medical, surgical, x-ray and dental services, including prosthetic devices; and
- (3) Necessary ambulance, hospital, professional nursing and funeral services.

2. Exclusions

We will not pay expenses for "bodily injury":

a. Any Insured

To any insured, except "volunteer workers".

b. Hired Person

To a person hired to do work for or on behalf of any insured or a tenant of any insured.

c. Injury On Normally Occupied Premises

To a person injured on that part of premises you own or rent that the person normally occupies.

d. Workers Compensation And Similar Laws

To a person, whether or not an "employee" of any insured, if benefits for the "bodily injury" are payable or must be provided under a workers' compensation or disability benefits law or a similar law.

e. Athletics Activities

To a person injured while practicing, instructing or participating in any physical exercises or games, sports, or athletic contests.

f. Products-Completed Operations Hazard

Included within the "products-completed operations hazard".

g. Coverage A Exclusions

Excluded under Coverage A.

SUPPLEMENTARY PAYMENTS – COVERAGES A AND B

1. We will pay, with respect to any claim we investigate or settle, or any "suit" against an insured we defend:

- a. All expenses we incur.
- b. Up to \$250 for cost of bail bonds required because of accidents or traffic law violations arising out of the use of any vehicle to which the Bodily Injury Liability Coverage applies. We do not have to furnish these bonds.

- c. The cost of bonds to release attachments, but only for bond amounts within the applicable limit of insurance. We do not have to furnish these bonds.
- d. All reasonable expenses incurred by the insured at our request to assist us in the investigation or defense of the claim or "suit", including actual loss of earnings up to \$250 a day because of time off from work.
- e. All costs taxed against the insured in the "suit".
- f. Prejudgment interest awarded against the insured on that part of the judgment we pay. If we make an offer to pay the applicable limit of insurance, we will not pay any prejudgment interest based on that period of time after the offer.
- g. All interest on the full amount of any judgment that accrues after entry of the judgment and before we have paid, offered to pay, or deposited in court the part of the judgment that is within the applicable limit of insurance.

These payments will not reduce the limits of insurance.

2. If we defend an insured against a "suit" and an indemnitee of the insured is also named as a party to the "suit", we will defend that indemnitee if all of the following conditions are met:
 - a. The "suit" against the indemnitee seeks damages for which the insured has assumed the liability of the indemnitee in a contract or agreement that is an "insured contract";
 - b. This insurance applies to such liability assumed by the insured;
 - c. The obligation to defend, or the cost of the defense of, that indemnitee, has also been assumed by the insured in the same "insured contract";
 - d. The allegations in the "suit" and the information we know about the "occurrence" are such that no conflict appears to exist between the interests of the insured and the interests of the indemnitee;
 - e. The indemnitee and the insured ask us to conduct and control the defense of that indemnitee against such "suit" and agree that we can assign the same counsel to defend the insured and the indemnitee; and
 - f. The indemnitee:
 - (1) Agrees in writing to:
 - (a) Cooperate with us in the investigation, settlement or defense of the "suit";

- (b) Immediately send us copies of any demands, notices, summonses or legal papers received in connection with the "suit";
 - (c) Notify any other insurer whose coverage is available to the indemnitee; and
 - (d) Cooperate with us with respect to coordinating other applicable insurance available to the indemnitee; and
- (2) Provides us with written authorization to:
 - (a) Obtain records and other information related to the "suit"; and
 - (b) Conduct and control the defense of the indemnitee in such "suit".

So long as the above conditions are met, attorneys' fees incurred by us in the defense of that indemnitee, necessary litigation expenses incurred by us and necessary litigation expenses incurred by the indemnitee at our request will be paid as Supplementary Payments. Notwithstanding the provisions of Paragraph **2.b.(2)** of Section **I – Coverage A – Bodily Injury And Property Damage Liability**, such payments will not be deemed to be damages for "bodily injury" and "property damage" and will not reduce the limits of insurance.

Our obligation to defend an insured's indemnitee and to pay for attorneys' fees and necessary litigation expenses as Supplementary Payments ends when:

- a. We have used up the applicable limit of insurance in the payment of judgments or settlements; or
- b. The conditions set forth above, or the terms of the agreement described in Paragraph **f.** above, are no longer met.

SECTION II – WHO IS AN INSURED

1. If you are designated in the Declarations as:
 - a. An individual, you and your spouse are insureds, but only with respect to the conduct of a business of which you are the sole owner.
 - b. A partnership or joint venture, you are an insured. Your members, your partners, and their spouses are also insureds, but only with respect to the conduct of your business.
 - c. A limited liability company, you are an insured. Your members are also insureds, but only with respect to the conduct of your business. Your managers are insureds, but only with respect to their duties as your managers.

- d. An organization other than a partnership, joint venture or limited liability company, you are an insured. Your "executive officers" and directors are insureds, but only with respect to their duties as your officers or directors. Your stockholders are also insureds, but only with respect to their liability as stockholders.
 - e. A trust, you are an insured. Your trustees are also insureds, but only with respect to their duties as trustees.
2. Each of the following is also an insured:
- a. Your "volunteer workers" only while performing duties related to the conduct of your business, or your "employees", other than either your "executive officers" (if you are an organization other than a partnership, joint venture or limited liability company) or your managers (if you are a limited liability company), but only for acts within the scope of their employment by you or while performing duties related to the conduct of your business. However, none of these "employees" or "volunteer workers" are insureds for:
 - (1) "Bodily injury" or "personal and advertising injury":
 - (a) To you, to your partners or members (if you are a partnership or joint venture), to your members (if you are a limited liability company), to a co-"employee" while in the course of his or her employment or performing duties related to the conduct of your business, or to your other "volunteer workers" while performing duties related to the conduct of your business;
 - (b) To the spouse, child, parent, brother or sister of that co-"employee" or "volunteer worker" as a consequence of Paragraph (1)(a) above;
 - (c) For which there is any obligation to share damages with or repay someone else who must pay damages because of the injury described in Paragraphs (1)(a) or (b) above; or
 - (d) Arising out of his or her providing or failing to provide professional health care services.
 - (2) "Property damage" to property:
 - (a) Owned, occupied or used by,
 - (b) Rented to, in the care, custody or control of, or over which physical control is being exercised for any purpose by you, any of your "employees", "volunteer workers", any partner or member (if you are a partnership or joint venture), or any member (if you are a limited liability company).
 - b. Any person (other than your "employee" or "volunteer worker"), or any organization while acting as your real estate manager.
 - c. Any person or organization having proper temporary custody of your property if you die, but only:
 - (1) With respect to liability arising out of the maintenance or use of that property; and
 - (2) Until your legal representative has been appointed.
 - d. Your legal representative if you die, but only with respect to duties as such. That representative will have all your rights and duties under this Coverage Part.
3. Any organization you newly acquire or form, other than a partnership, joint venture or limited liability company, and over which you maintain ownership or majority interest, will qualify as a Named Insured if there is no other similar insurance available to that organization. However:
- a. Coverage under this provision is afforded only until the 90th day after you acquire or form the organization or the end of the policy period, whichever is earlier;
 - b. Coverage **A** does not apply to "bodily injury" or "property damage" that occurred before you acquired or formed the organization; and
 - c. Coverage **B** does not apply to "personal and advertising injury" arising out of an offense committed before you acquired or formed the organization.
- No person or organization is an insured with respect to the conduct of any current or past partnership, joint venture or limited liability company that is not shown as a Named Insured in the Declarations.

SECTION III – LIMITS OF INSURANCE

- 1. The Limits of Insurance shown in the Declarations and the rules below fix the most we will pay regardless of the number of:
 - a. Insureds;
 - b. Claims made or "suits" brought; or
 - c. Persons or organizations making claims or bringing "suits".

2. The General Aggregate Limit is the most we will pay for the sum of:
 - a. Medical expenses under Coverage **C**;
 - b. Damages under Coverage **A**, except damages because of "bodily injury" or "property damage" included in the "products-completed operations hazard"; and
 - c. Damages under Coverage **B**.
3. The Products-Completed Operations Aggregate Limit is the most we will pay under Coverage **A** for damages because of "bodily injury" and "property damage" included in the "products-completed operations hazard".
4. Subject to 2. above, the Personal and Advertising Injury Limit is the most we will pay under Coverage **B** for the sum of all damages because of all "personal and advertising injury" sustained by any one person or organization.
5. Subject to 2. or 3. above, whichever applies, the Each Occurrence Limit is the most we will pay for the sum of:
 - a. Damages under Coverage **A**; and
 - b. Medical expenses under Coverage **C** because of all "bodily injury" and "property damage" arising out of any one "occurrence".
6. Subject to 5. above, the Damage To Premises Rented To You Limit is the most we will pay under Coverage **A** for damages because of "property damage" to any one premises, while rented to you, or in the case of damage by fire, while rented to you or temporarily occupied by you with permission of the owner.
7. Subject to 5. above, the Medical Expense Limit is the most we will pay under Coverage **C** for all medical expenses because of "bodily injury" sustained by any one person.

The Limits of Insurance of this Coverage Part apply separately to each consecutive annual period and to any remaining period of less than 12 months, starting with the beginning of the policy period shown in the Declarations, unless the policy period is extended after issuance for an additional period of less than 12 months. In that case, the additional period will be deemed part of the last preceding period for purposes of determining the Limits of Insurance.

SECTION IV – COMMERCIAL GENERAL LIABILITY CONDITIONS

1. Bankruptcy

Bankruptcy or insolvency of the insured or of the insured's estate will not relieve us of our obligations under this Coverage Part.

2. Duties In The Event Of Occurrence, Offense, Claim Or Suit

- a. You must see to it that we are notified as soon as practicable of an "occurrence" or an offense which may result in a claim. To the extent possible, notice should include:
 - (1) How, when and where the "occurrence" or offense took place;
 - (2) The names and addresses of any injured persons and witnesses; and
 - (3) The nature and location of any injury or damage arising out of the "occurrence" or offense.
- b. If a claim is made or "suit" is brought against any insured, you must:
 - (1) Immediately record the specifics of the claim or "suit" and the date received; and
 - (2) Notify us as soon as practicable.

You must see to it that we receive written notice of the claim or "suit" as soon as practicable.
- c. You and any other involved insured must:
 - (1) Immediately send us copies of any demands, notices, summonses or legal papers received in connection with the claim or "suit";
 - (2) Authorize us to obtain records and other information;
 - (3) Cooperate with us in the investigation or settlement of the claim or defense against the "suit"; and
 - (4) Assist us, upon our request, in the enforcement of any right against any person or organization which may be liable to the insured because of injury or damage to which this insurance may also apply.
- d. No insured will, except at that insured's own cost, voluntarily make a payment, assume any obligation, or incur any expense, other than for first aid, without our consent.

3. Legal Action Against Us

No person or organization has a right under this Coverage Part:

- a. To join us as a party or otherwise bring us into a "suit" asking for damages from an insured; or

- b.** To sue us on this Coverage Part unless all of its terms have been fully complied with.

A person or organization may sue us to recover on an agreed settlement or on a final judgment against an insured; but we will not be liable for damages that are not payable under the terms of this Coverage Part or that are in excess of the applicable limit of insurance. An agreed settlement means a settlement and release of liability signed by us, the insured and the claimant or the claimant's legal representative.

4. Other Insurance

If other valid and collectible insurance is available to the insured for a loss we cover under Coverages **A** or **B** of this Coverage Part, our obligations are limited as follows:

a. Primary Insurance

This insurance is primary except when **b.** below applies. If this insurance is primary, our obligations are not affected unless any of the other insurance is also primary. Then, we will share with all that other insurance by the method described in **c.** below.

b. Excess Insurance

This insurance is excess over:

- (1)** Any of the other insurance, whether primary, excess, contingent or on any other basis:
 - (a)** That is Fire, Extended Coverage, Builder's Risk, Installation Risk or similar coverage for "your work";
 - (b)** That is Fire insurance for premises rented to you or temporarily occupied by you with permission of the owner;
 - (c)** That is insurance purchased by you to cover your liability as a tenant for "property damage" to premises rented to you or temporarily occupied by you with permission of the owner; or
 - (d)** If the loss arises out of the maintenance or use of aircraft, "autos" or watercraft to the extent not subject to Exclusion **g.** of Section **I** – Coverage **A** – Bodily Injury And Property Damage Liability.
- (2)** Any other primary insurance available to you covering liability for damages arising out of the premises or operations, or the products and completed operations, for which you have been added as an additional insured by attachment of an endorsement.

When this insurance is excess, we will have no duty under Coverages **A** or **B** to defend the insured against any "suit" if any other insurer has a duty to defend the insured against that "suit". If no other insurer defends, we will undertake to do so, but we will be entitled to the insured's rights against all those other insurers.

When this insurance is excess over other insurance, we will pay only our share of the amount of the loss, if any, that exceeds the sum of:

- (1)** The total amount that all such other insurance would pay for the loss in the absence of this insurance; and
- (2)** The total of all deductible and self-insured amounts under all that other insurance.

We will share the remaining loss, if any, with any other insurance that is not described in this Excess Insurance provision and was not bought specifically to apply in excess of the Limits of Insurance shown in the Declarations of this Coverage Part.

c. Method Of Sharing

If all of the other insurance permits contribution by equal shares, we will follow this method also. Under this approach each insurer contributes equal amounts until it has paid its applicable limit of insurance or none of the loss remains, whichever comes first.

If any of the other insurance does not permit contribution by equal shares, we will contribute by limits. Under this method, each insurer's share is based on the ratio of its applicable limit of insurance to the total applicable limits of insurance of all insurers.

5. Premium Audit

- a.** We will compute all premiums for this Coverage Part in accordance with our rules and rates.
- b.** Premium shown in this Coverage Part as advance premium is a deposit premium only. At the close of each audit period we will compute the earned premium for that period and send notice to the first Named Insured. The due date for audit and retrospective premiums is the date shown as the due date on the bill. If the sum of the advance and audit premiums paid for the policy period is greater than the earned premium, we will return the excess to the first Named Insured.
- c.** The first Named Insured must keep records of the information we need for premium computation, and send us copies at such times as we may request.

6. Representations

By accepting this policy, you agree:

- a. The statements in the Declarations are accurate and complete;
- b. Those statements are based upon representations you made to us; and
- c. We have issued this policy in reliance upon your representations.

7. Separation Of Insureds

Except with respect to the Limits of Insurance, and any rights or duties specifically assigned in this Coverage Part to the first Named Insured, this insurance applies:

- a. As if each Named Insured were the only Named Insured; and
- b. Separately to each insured against whom claim is made or "suit" is brought.

8. Transfer Of Rights Of Recovery Against Others To Us

If the insured has rights to recover all or part of any payment we have made under this Coverage Part, those rights are transferred to us. The insured must do nothing after loss to impair them. At our request, the insured will bring "suit" or transfer those rights to us and help us enforce them.

9. When We Do Not Renew

If we decide not to renew this Coverage Part, we will mail or deliver to the first Named Insured shown in the Declarations written notice of the non-renewal not less than 30 days before the expiration date.

If notice is mailed, proof of mailing will be sufficient proof of notice.

SECTION V – DEFINITIONS

1. "Advertisement" means a notice that is broadcast or published to the general public or specific market segments about your goods, products or services for the purpose of attracting customers or supporters. For the purposes of this definition:
 - a. Notices that are published include material placed on the Internet or on similar electronic means of communication; and
 - b. Regarding web-sites, only that part of a web-site that is about your goods, products or services for the purposes of attracting customers or supporters is considered an advertisement.
2. "Auto" means:
 - a. A land motor vehicle, trailer or semitrailer designed for travel on public roads, including any attached machinery or equipment; or

- b. Any other land vehicle that is subject to a compulsory or financial responsibility law or other motor vehicle insurance law in the state where it is licensed or principally garaged.

However, "auto" does not include "mobile equipment".

3. "Bodily injury" means bodily injury, sickness or disease sustained by a person, including death resulting from any of these at any time.

4. "Coverage territory" means:

- a. The United States of America (including its territories and possessions), Puerto Rico and Canada;
- b. International waters or airspace, but only if the injury or damage occurs in the course of travel or transportation between any places included in a. above; or
- c. All other parts of the world if the injury or damage arises out of:

(1) Goods or products made or sold by you in the territory described in a. above;

(2) The activities of a person whose home is in the territory described in a. above, but is away for a short time on your business; or

(3) "Personal and advertising injury" offenses that take place through the Internet or similar electronic means of communication

provided the insured's responsibility to pay damages is determined in a "suit" on the merits, in the territory described in a. above or in a settlement we agree to.

5. "Employee" includes a "leased worker". "Employee" does not include a "temporary worker".
6. "Executive officer" means a person holding any of the officer positions created by your charter, constitution, by-laws or any other similar governing document.
7. "Hostile fire" means one which becomes uncontrollable or breaks out from where it was intended to be.
8. "Impaired property" means tangible property, other than "your product" or "your work", that cannot be used or is less useful because:

- a. It incorporates "your product" or "your work" that is known or thought to be defective, deficient, inadequate or dangerous; or
- b. You have failed to fulfill the terms of a contract or agreement;

if such property can be restored to use by:

- a. The repair, replacement, adjustment or removal of "your product" or "your work"; or

- b. Your fulfilling the terms of the contract or agreement.

9. "Insured contract" means:

- a. A contract for a lease of premises. However, that portion of the contract for a lease of premises that indemnifies any person or organization for damage by fire to premises while rented to you or temporarily occupied by you with permission of the owner is not an "insured contract";
- b. A sidetrack agreement;
- c. Any easement or license agreement, except in connection with construction or demolition operations on or within 50 feet of a railroad;
- d. An obligation, as required by ordinance, to indemnify a municipality, except in connection with work for a municipality;
- e. An elevator maintenance agreement;
- f. That part of any other contract or agreement pertaining to your business (including an indemnification of a municipality in connection with work performed for a municipality) under which you assume the tort liability of another party to pay for "bodily injury" or "property damage" to a third person or organization. Tort liability means a liability that would be imposed by law in the absence of any contract or agreement.

Paragraph f. does not include that part of any contract or agreement:

- (1) That indemnifies a railroad for "bodily injury" or "property damage" arising out of construction or demolition operations, within 50 feet of any railroad property and affecting any railroad bridge or trestle, tracks, roadbeds, tunnel, underpass or crossing;
- (2) That indemnifies an architect, engineer or surveyor for injury or damage arising out of:
 - (a) Preparing, approving, or failing to prepare or approve, maps, shop drawings, opinions, reports, surveys, field orders, change orders or drawings and specifications; or
 - (b) Giving directions or instructions, or failing to give them, if that is the primary cause of the injury or damage; or
- (3) Under which the insured, if an architect, engineer or surveyor, assumes liability for an injury or damage arising out of the insured's rendering or failure to render professional services, including those listed in (2) above and supervisory, inspection, architectural or engineering activities.

10. "Leased worker" means a person leased to you by a labor leasing firm under an agreement between you and the labor leasing firm, to perform duties related to the conduct of your business. "Leased worker" does not include a "temporary worker".

11. "Loading or unloading" means the handling of property:

- a. After it is moved from the place where it is accepted for movement into or onto an aircraft, watercraft or "auto";
- b. While it is in or on an aircraft, watercraft or "auto"; or
- c. While it is being moved from an aircraft, watercraft or "auto" to the place where it is finally delivered;

but "loading or unloading" does not include the movement of property by means of a mechanical device, other than a hand truck, that is not attached to the aircraft, watercraft or "auto".

12. "Mobile equipment" means any of the following types of land vehicles, including any attached machinery or equipment:

- a. Bulldozers, farm machinery, forklifts and other vehicles designed for use principally off public roads;
- b. Vehicles maintained for use solely on or next to premises you own or rent;
- c. Vehicles that travel on crawler treads;
- d. Vehicles, whether self-propelled or not, maintained primarily to provide mobility to permanently mounted:
 - (1) Power cranes, shovels, loaders, diggers or drills; or
 - (2) Road construction or resurfacing equipment such as graders, scrapers or rollers;
- e. Vehicles not described in a., b., c. or d. above that are not self-propelled and are maintained primarily to provide mobility to permanently attached equipment of the following types:
 - (1) Air compressors, pumps and generators, including spraying, welding, building cleaning, geophysical exploration, lighting and well servicing equipment; or
 - (2) Cherry pickers and similar devices used to raise or lower workers;
- f. Vehicles not described in a., b., c. or d. above maintained primarily for purposes other than the transportation of persons or cargo.

However, self-propelled vehicles with the following types of permanently attached equipment are not "mobile equipment" but will be considered "autos":

- (1) Equipment designed primarily for:
 - (a) Snow removal;
 - (b) Road maintenance, but not construction or resurfacing; or
 - (c) Street cleaning;
- (2) Cherry pickers and similar devices mounted on automobile or truck chassis and used to raise or lower workers; and
- (3) Air compressors, pumps and generators, including spraying, welding, building cleaning, geophysical exploration, lighting and well servicing equipment.

However, "mobile equipment" does not include any land vehicles that are subject to a compulsory or financial responsibility law or other motor vehicle insurance law in the state where it is licensed or principally garaged. Land vehicles subject to a compulsory or financial responsibility law or other motor vehicle insurance law are considered "autos".

13. "Occurrence" means an accident, including continuous or repeated exposure to substantially the same general harmful conditions.
14. "Personal and advertising injury" means injury, including consequential "bodily injury", arising out of one or more of the following offenses:
 - a. False arrest, detention or imprisonment;
 - b. Malicious prosecution;
 - c. The wrongful eviction from, wrongful entry into, or invasion of the right of private occupancy of a room, dwelling or premises that a person occupies, committed by or on behalf of its owner, landlord or lessor;
 - d. Oral or written publication, in any manner, of material that slanders or libels a person or organization or disparages a person's or organization's goods, products or services;
 - e. Oral or written publication, in any manner, of material that violates a person's right of privacy;
 - f. The use of another's advertising idea in your "advertisement"; or
 - g. Infringing upon another's copyright, trade dress or slogan in your "advertisement".

15. "Pollutants" mean any solid, liquid, gaseous or thermal irritant or contaminant, including smoke, vapor, soot, fumes, acids, alkalis, chemicals and waste. Waste includes materials to be recycled, reconditioned or reclaimed.

16. "Products-completed operations hazard":

- a. Includes all "bodily injury" and "property damage" occurring away from premises you own or rent and arising out of "your product" or "your work" except:

- (1) Products that are still in your physical possession; or
- (2) Work that has not yet been completed or abandoned. However, "your work" will be deemed completed at the earliest of the following times:

- (a) When all of the work called for in your contract has been completed.
- (b) When all of the work to be done at the job site has been completed if your contract calls for work at more than one job site.
- (c) When that part of the work done at a job site has been put to its intended use by any person or organization other than another contractor or subcontractor working on the same project.

Work that may need service, maintenance, correction, repair or replacement, but which is otherwise complete, will be treated as completed.

- b. Does not include "bodily injury" or "property damage" arising out of:

- (1) The transportation of property, unless the injury or damage arises out of a condition in or on a vehicle not owned or operated by you, and that condition was created by the "loading or unloading" of that vehicle by any insured;
- (2) The existence of tools, uninstalled equipment or abandoned or unused materials; or
- (3) Products or operations for which the classification, listed in the Declarations or in a policy schedule, states that products-completed operations are subject to the General Aggregate Limit.

17. "Property damage" means:

- a. Physical injury to tangible property, including all resulting loss of use of that property. All such loss of use shall be deemed to occur at the time of the physical injury that caused it; or

- b.** Loss of use of tangible property that is not physically injured. All such loss of use shall be deemed to occur at the time of the "occurrence" that caused it.

For the purposes of this insurance, electronic data is not tangible property.

As used in this definition, electronic data means information, facts or programs stored as or on, created or used on, or transmitted to or from computer software, including systems and applications software, hard or floppy disks, CD-ROMS, tapes, drives, cells, data processing devices or any other media which are used with electronically controlled equipment.

- 18.** "Suit" means a civil proceeding in which damages because of "bodily injury", "property damage" or "personal and advertising injury" to which this insurance applies are alleged. "Suit" includes:

- a.** An arbitration proceeding in which such damages are claimed and to which the insured must submit or does submit with our consent; or
 - b.** Any other alternative dispute resolution proceeding in which such damages are claimed and to which the insured submits with our consent.

- 19.** "Temporary worker" means a person who is furnished to you to substitute for a permanent "employee" on leave or to meet seasonal or short-term workload conditions.

- 20.** "Volunteer worker" means a person who is not your "employee", and who donates his or her work and acts at the direction of and within the scope of duties determined by you, and is not paid a fee, salary or other compensation by you or anyone else for their work performed for you.

- 21.** "Your product":

- a.** Means:

- (1)** Any goods or products, other than real property, manufactured, sold, handled, distributed or disposed of by:
 - (a)** You;
 - (b)** Others trading under your name; or
 - (c)** A person or organization whose business or assets you have acquired; and
 - (2)** Containers (other than vehicles), materials, parts or equipment furnished in connection with such goods or products.

- b.** Includes

- (1)** Warranties or representations made at any time with respect to the fitness, quality, durability, performance or use of "your product"; and
 - (2)** The providing of or failure to provide warnings or instructions.
 - c.** Does not include vending machines or other property rented to or located for the use of others but not sold.

- 22.** "Your work":

- a.** Means:

- (1)** Work or operations performed by you or on your behalf; and
 - (2)** Materials, parts or equipment furnished in connection with such work or operations.

- b.** Includes

- (1)** Warranties or representations made at any time with respect to the fitness, quality, durability, performance or use of "your work", and
 - (2)** The providing of or failure to provide warnings or instructions.

POLICY NUMBER:

COMMERCIAL GENERAL LIABILITY
CG 20 10 07 04

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

**ADDITIONAL INSURED – OWNERS, LESSEES OR
CONTRACTORS – SCHEDULED PERSON OR
ORGANIZATION**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s):	Location(s) Of Covered Operations
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.	

A. Section II – Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:

1. Your acts or omissions; or
2. The acts or omissions of those acting on your behalf;

in the performance of your ongoing operations for the additional insured(s) at the location(s) designated above.

B. With respect to the insurance afforded to these additional insureds, the following additional exclusions apply:

This insurance does not apply to "bodily injury" or "property damage" occurring after:

1. All work, including materials, parts or equipment furnished in connection with such work, on the project (other than service, maintenance or repairs) to be performed by or on behalf of the additional insured(s) at the location of the covered operations has been completed; or
2. That portion of "your work" out of which the injury or damage arises has been put to its intended use by any person or organization other than another contractor or subcontractor engaged in performing operations for a principal as a part of the same project.

END OF SECTION 00 62 16.12

POLICY NUMBER:

COMMERCIAL GENERAL LIABILITY
CG 20 37 07 04**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.****ADDITIONAL INSURED – OWNERS, LESSEES OR
CONTRACTORS – COMPLETED OPERATIONS**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s):	Location And Description Of Completed Operations
Information required to complete this Schedule, if not shown above, will be shown in the Declarations.	

Section II – Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury" or "property damage" caused, in whole or in part, by "your work" at the location designated and described in the schedule of this endorsement performed for that additional insured and included in the "products-completed operations hazard".

END OF SECTION 00 62 16.13

POLICY NUMBER:

COMMERCIAL GENERAL LIABILITY
CG 25 04 03 97**THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.****DESIGNATED LOCATION(S)
GENERAL AGGREGATE LIMIT**

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE**Designated Location(s):**

(If no entry appears above, information required to complete this endorsement will be shown in the Declarations as applicable to this endorsement.)

- A.** For all sums which the insured becomes legally obligated to pay as damages caused by "occurrences" under **COVERAGE A (SECTION I)**, and for all medical expenses caused by accidents under **COVERAGE C (SECTION I)**, which can be attributed only to operations at a single designated "location" shown in the Schedule above:
1. A separate Designated Location General Aggregate Limit applies to each designated "location", and that limit is equal to the amount of the General Aggregate Limit shown in the Declarations.
 2. The Designated Location General Aggregate Limit is the most we will pay for the sum of all damages under **COVERAGE A**, except damages because of "bodily injury" or "property damage" included in the "products-completed operations hazard", and for medical expenses under **COVERAGE C** regardless of the number of:
 - a. Insureds;
 - b. Claims made or "suits" brought; or
 - c. Persons or organizations making claims or bringing "suits".
 3. Any payments made under **COVERAGE A** for damages or under **COVERAGE C** for medical expenses shall reduce the Designated Location General Aggregate Limit for that designated "location". Such payments shall not reduce the General Aggregate Limit shown in the Declarations nor shall they reduce any other Designated Location General Aggregate Limit for any other designated "location" shown in the Schedule above.
 4. The limits shown in the Declarations for Each Occurrence, Fire Damage and Medical Expense continue to apply. However, instead of being subject to the General Aggregate Limit shown in the Declarations, such limits will be subject to the applicable Designated Location General Aggregate Limit.

B. For all sums which the insured becomes legally obligated to pay as damages caused by "occurrences" under **COVERAGE A (SECTION I)**, and for all medical expenses caused by accidents under **COVERAGE C (SECTION I)**, which cannot be attributed only to operations at a single designated "location" shown in the Schedule above:

1. Any payments made under **COVERAGE A** for damages or under **COVERAGE C** for medical expenses shall reduce the amount available under the General Aggregate Limit or the Products-Completed Operations Aggregate Limit, whichever is applicable; and
2. Such payments shall not reduce any Designated Location General Aggregate Limit.

C. When coverage for liability arising out of the "products-completed operations hazard" is provided, any payments for damages because of "bodily injury" or "property damage" included in the "products-completed operations hazard" will reduce the Products-Completed Operations Aggregate Limit, and not reduce the General Aggregate Limit nor the Designated Location General Aggregate Limit.

D. For the purposes of this endorsement, the **Definitions** Section is amended by the addition of the following definition:

"Location" means premises involving the same or connecting lots, or premises whose connection is interrupted only by a street, roadway, waterway or right-of-way of a railroad.

E. The provisions of Limits Of Insurance (**SECTION III**) not otherwise modified by this endorsement shall continue to apply as stipulated.

Sample

END OF SECTION 00 62 16.14



AIA Document G702®, Application and Certification for Payment, or G732™, Application and Certificate for Payment, Construction Manager as Adviser Edition, containing Contractor's signed certification is attached.

Use Column I on Contracts where variable retainage for line items may apply.

[illegible]



Application and Certificate for Payment

TO OWNER: University of Maine System
by and through
University of Maine
5765 Service Building
Orono, ME 04469

PROJECT:

FROM CONTRACTOR:

VIA ARCHITECT:

APPLICATION NO:
PERIOD TO:

CONTRACT FOR:
CONTRACT DATE:
PROJECT NOS: / /

Distribution to:
OWNER: ☐
ARCHITECT: ☐
CONTRACTOR: ☐
FIELD: ☐
OTHER: ☐

CONTRACTOR'S APPLICATION FOR PAYMENT

Application is made for payment, as shown below, in connection with the Contract.
AIA Document G703®, Continuation Sheet, is attached.

1. ORIGINAL CONTRACT SUM 0.00

2. NET CHANGE BY CHANGE ORDERS 0.00

3. CONTRACT SUM TO DATE (Line 1 ± 2) 0.00

4. TOTAL COMPLETED & STORED TO DATE (Column G on G703) 0.00

5. RETAINAGE:

a. 0 % of Completed Work
(Column D + E on G703) 0.00

b. 0 % of Stored Material
(Column F on G703) 0.00

Total Retainage (Lines 5a + 5b or Total in Column I of G703) 0.00

6. TOTAL EARNED LESS RETAINAGE 0.00
(Line 4 Less Line 5 Total)

7. LESS PREVIOUS CERTIFICATES FOR PAYMENT 0.00
(Line 6 from prior Certificate)

8. CURRENT PAYMENT DUE 0.00

9. BALANCE TO FINISH, INCLUDING RETAINAGE 0.00
(Line 3 less Line 6)

CHANGE ORDER SUMMARY	ADDITIONS	DEDUCTIONS
Total changes approved in previous months by Owner	0.00	0.00
Total approved this Month	0.00	0.00
TOTALS	0.00	0.00
NET CHANGES by Change Order		0.00

The undersigned Contractor certifies that to the best of the Contractor's knowledge, information and belief the Work covered by this Application for Payment has been completed in accordance with the Contract Documents, that all amounts have been paid by the Contractor for Work for which previous Certificates for Payment were issued and payments received from the Owner, and that current payment shown herein is now due.

CONTRACTOR:

By: _____ Date: _____

State of: _____

County of: _____

Subscribed and sworn to before
me this _____ day of _____

Notary Public: _____

My Commission expires: _____

ARCHITECT'S CERTIFICATE FOR PAYMENT

In accordance with the Contract Documents, based on on-site observations and the data comprising this application, the Architect certifies to the Owner that to the best of the Architect's knowledge, information and belief the Work has progressed as indicated, the quality of the Work is in accordance with the Contract Documents, and the Contractor is entitled to payment of the AMOUNT CERTIFIED.

AMOUNT CERTIFIED 0.00

(Attach explanation if amount certified differs from the amount applied. Initial all figures on this Application and on the Continuation Sheet that are changed to conform with the amount certified.)

ARCHITECT:

By: _____ Date: _____

This Certificate is not negotiable. The AMOUNT CERTIFIED is payable only to the Contractor named herein. Issuance, payment and acceptance of payment are without prejudice to any rights of the Owner or Contractor under this Contract.

SECTION 00 62 76.13
SAMPLE

SALES TAX FORM

DATE: _____

VENDOR: _____

Vendor Name

Vendor Address

Vendor City, State Zip

I hereby certify under penalties of perjury, that:

I am engaged in the performance of a construction contract on a project for the University of Maine System which is a Sales Tax exempt organization under the Maine Sales and Use Tax Law, Section 1760, subsection 2 and 16:

This project is titled: NORTH ELECTRICAL LOOP
Project Title

The project is located at: UNIVERSITY OF MAINE
Campus Name or Town

This certificate is issued to cover purchases of materials that will be permanently incorporated into the real property belonging to the exempt organization or government agency indicated above.

Signed: _____
Authorized Signature

Name & Title: _____

Firm Name: _____

Firm Address: _____

Firm City, State Zip _____

END OF SECTION 00 62 76.13



AIA[®] Document G707A[™] – 1994

Consent of Surety to Reduction in or Partial Release of Retainage

PROJECT: <i>(Name and address)</i> Samples	ARCHITECT'S PROJECT NUMBER:	OWNER: <input type="checkbox"/>
	CONTRACT FOR:	ARCHITECT: <input type="checkbox"/>
TO OWNER: <i>(Name and address)</i> University of Maine System by and through University of Maine 5765 Service Building Orono, ME 04469	CONTRACT DATED:	CONTRACTOR: <input type="checkbox"/>
		SURETY: <input type="checkbox"/>
		OTHER: <input type="checkbox"/>

In accordance with the provisions of the Contract between the Owner and the Contractor as indicated above, the

(Insert name and address of Surety)

on bond of

(Insert name and address of Contractor)

, SURETY,

hereby approves the reduction in or partial release of retainage to the Contractor as follows:

The Surety agrees that such reduction in or partial release of retainage to the Contractor shall not relieve the Surety of any of its obligations to

(Insert name and address of Owner)

, CONTRACTOR,

as set forth in said Surety's bond.

, OWNER,

IN WITNESS WHEREOF, the Surety has hereunto set its hand on this date:

(Insert in writing the month followed by the numeric date and year.)

(Surety)

(Signature of authorized representative)

(Printed name and title)

Attest:

(Seal):

00 62 79
SAMPLE
STORED MATERIALS

University of Maine System
by and through
University of Maine
5765 Service Building
Orono ME 04469

Project Title: _____

Location: University of Maine

Contractor: _____

Materials and/or equipment (hereinafter "Materials") that have not yet been incorporated into the work may be delivered and suitably stored, at the site or some other location agreed upon by the Owner. The Materials listed below have been estimated at 100% of the cost and will be stored at _____. The Owner will reimburse the Contractor based upon the prices included on the Schedule of Values Form, 00 62 73(AIA G703), less the cost of installation. The Contractor must complete sufficient copies of this Stored Materials Form, 00 62 79, to accompany the Application for Payment. The Contractor shall secure the signature of its bonding company on all forms and shall also provide a Power of Attorney from the bonding company.

SCHEDULE

Qty	Material/Equipment	Item in AIA G703		Unit Wholesale Price	Extended Wholesale Price
		Item No	Unit Price		
Total					

Surety _____

By: _____

Power of Attorney Must be Attached

Attorney-in-Fact

Date: _____

BILL OF SALE

The Contractor, _____, (will store/has stored) certain Materials (at the site of this project/at an approved warehouse/at bonded warehouse) and will be paid in accordance with the provisions of the General Conditions of the Contract for Construction. In consideration of the sum of \$_____ paid to the contractor by the Owner, and, in compliance with the provisions of the Contract, and, with the intention to be legally bound, the Contractor does hereby grant, bargain, sell and deliver unto the Owner, its successors and assigns, all and singular, the Materials described in the schedule above. The Contractor agrees that:

1. Contractor has good title to the Materials, free and clear of all liens and encumbrances, and title is granted to the Owner;
2. The Materials will be used only in the construction of the above referenced project, under the provisions of the Contract, and will not be diverted elsewhere without the prior written consent of the Owner;
3. The Materials have been delivered to and are at the places approved for storage, and they are clearly marked and identified as the property of the Owner and are stored in a safe and secure manner to protect from damage or loss;

4. The Contractor will pay all expenses in connection with the sale, delivery, storage, protection and insurance of Materials granted to the Owner.
5. The Contractor will remain responsible for the Materials, which will remain under its custody and control for all losses, and will fully indemnify the Owner for the cost of the Materials should the Materials be lost or damaged or stolen, regardless of exclusions in insurance policies required under this document. The contractor has insured the Materials against loss or damage by fire (with extended coverage), theft and burglary, with loss payable to the Owner;
6. The Contractor agrees that the quantities of Materials set forth in the Schedule of Values Form represents the maximum quantities for which it may be entitled to payment under the provisions of the contract;
7. The following information is included with this form:

- (1) An Application for Payment;
- (2) An invoice or copy of an invoice for Materials stored;
- (3) Evidence of payment, or when payment has not been made, a letter on the Contractor's letterhead authorizing payment to be made jointly to the Contractor and the Supplier;
- (4) Photographs showing the stored Materials and its location;
- (5) a fire and theft insurance policy rider for the stored Materials.
- (6) a warehouseman's receipt acknowledging that the Materials being stored at the warehouse are being held for the benefit of the Contractor or/or University.

Witness:

By: _____ (SEAL)
Principal/Contractor-Individual

Witness:

Principal/Contractor-Individual

_____ (SEAL)

_____ (SEAL)

_____ (SEAL)

Attest:

Secretary

Principal/Contractor-Corporation

By: _____
President

END OF SECTION 00 62 79



AIA[®] Document G716[™] – 2004

Request for Information (“RFI”)

TO:

FROM:

PROJECT:

Samples

ISSUE DATE:

RFI No.

PROJECT NUMBERS:

/

REQUESTED REPLY DATE:

COPIES TO:

RFI DESCRIPTION: *(Fully describe the question or type of information requested.)*

REFERENCES/ATTACHMENTS: *(List specific documents researched when seeking the information requested.)*

SPECIFICATIONS:

DRAWINGS:

OTHER:

SENDER'S RECOMMENDATION: *(If RFI concerns a site or construction condition, the sender may provide a recommended solution, including cost and/or schedule considerations.)*

RECEIVER'S REPLY: *(Provide answer to RFI, including cost and/or schedule considerations.)*

BY

DATE

COPIES TO

Note: This reply is not an authorization to proceed with work involving additional cost, time or both. If any reply requires a change to the Contract Documents, a Change Order, Construction Change Directive or a Minor Change in the work must be executed in accordance with the Contract Documents.



AIA[®] Document G710[™] – 2017

Architect's Supplemental Instructions

PROJECT: *(name and address)*

Samples

CONTRACT INFORMATION:

Contract For:

Date:

ASI INFORMATION:

ASI Number:

Date:

OWNER: *(name and address)*

University of Maine System
by and through
University of Maine
5765 Service Building
Orono, ME 04469

ARCHITECT: *(name and address)***CONTRACTOR:** *(name and address)*

The Contractor shall carry out the Work in accordance with the following supplemental instructions without change in Contract Sum or Contract Time. Proceeding with the Work in accordance with these instructions indicates your acknowledgment that there will be no change in the Contract Sum or Contract Time.

(Insert a detailed description of the Architect's supplemental instructions and, if applicable, attach or reference specific exhibits.)

ISSUED BY THE ARCHITECT:

ARCHITECT *(Firm name)*

SIGNATURE

PRINTED NAME AND TITLE

DATE



AIA[®] Document G714[™] – 2017

Construction Change Directive

PROJECT: *(name and address)*
Samples

CONTRACT INFORMATION:

Contract For:
Date:

CCD INFORMATION:

Directive Number:
Date:

OWNER: *(name and address)*

University of Maine System
by and through
University of Maine
5765 Service Building
Orono, ME 04469

ARCHITECT: *(name and address)*

CONTRACTOR: *(name and address)*

The Contractor is hereby directed to make the following change(s) in this Contract:
(Insert a detailed description of the change and, if applicable, attach or reference specific exhibits.)

PROPOSED ADJUSTMENTS

1. The proposed basis of adjustment to the Contract Sum or Guaranteed Maximum Price is:

- ☐ Lump Sum decrease of \$0.00
- ☐ Unit Price of \$ per
- ☐ Cost, as defined below, plus the following fee:
(Insert a definition of, or method for determining, cost)

☐ As follows:

2. The Contract Time is proposed to . The proposed adjustment, if any, is .

NOTE: The Owner, Architect and Contractor should execute a Change Order to supersede this Construction Change Directive to the extent they agree upon adjustments to the Contract Sum, Contract Time, or Guaranteed Maximum price for the change(s) described herein.

When signed by the Owner and Architect and received by the Contractor, this document becomes effective IMMEDIATELY as a Construction Change Directive (CCD), and the Contractor shall proceed with the change(s) described above.

Contractor signature indicates agreement with the proposed adjustments in Contract Sum and Contract Time set forth in this CCD.

ARCHITECT *(Firm name)*

OWNER *(Firm name)*

CONTRACTOR *(Firm name)*

SIGNATURE

SIGNATURE

SIGNATURE

PRINTED NAME AND TITLE

PRINTED NAME AND TITLE

PRINTED NAME AND TITLE

DATE

DATE

DATE



AIA[®] Document G709[™] – 2018

Proposal Request

PROJECT: *(name and address)*

Samples

CONTRACT INFORMATION:

Contract For:

Date:

Architect's Project Number:

Proposal Request Number:

Proposal Request Date:

OWNER: *(name and address)*

University of Maine System

by and through

University of Maine

5765 Service Building

Orono, ME 04469

ARCHITECT: *(name and address)***CONTRACTOR:** *(name and address)*

The Owner requests an itemized proposal for changes to the Contract Sum and Contract Time for proposed modifications to the Contract Documents described herein. The Contractor shall submit this proposal within Zero (0) days or notify the Architect in writing of the anticipated date of submission.

(Insert a detailed description of the proposed modifications to the Contract Documents and, if applicable, attach or reference specific exhibits.)

THIS IS NOT A CHANGE ORDER, A CONSTRUCTION CHANGE DIRECTIVE, OR A DIRECTION TO PROCEED WITH THE WORK DESCRIBED IN THE PROPOSED MODIFICATIONS.

REQUESTED BY THE ARCHITECT:

PRINTED NAME AND TITLE



AIA[®] Document G701™ – 2017

Change Order

PROJECT: *(Name and address)*
Samples

CONTRACT INFORMATION:
Contract For:
Date:

CHANGE ORDER INFORMATION:
Change Order Number:
Date:

OWNER: *(Name and address)*
University of Maine System
by and through
University of Maine
5765 Service Building
Orono, ME 04469

ARCHITECT: *(Name and address)*

CONTRACTOR: *(Name and address)*

THE CONTRACT IS CHANGED AS FOLLOWS:

(Insert a detailed description of the change and, if applicable, attach or reference specific exhibits. Also include agreed upon adjustments attributable to executed Construction Change Directives.)

The original Contract Sum was	\$	0.00
The net change by previously authorized Change Orders	\$	0.00
The Contract Sum prior to this Change Order was	\$	0.00
The Contract Sum will be increased by this Change Order in the amount of	\$	0.00
The new Contract Sum including this Change Order will be	\$	0.00

The Contract Time will be increased by Zero (0) days.
The new date of Substantial Completion will be

NOTE: This Change Order does not include adjustments to the Contract Sum or Guaranteed Maximum Price, or the Contract Time, that have been authorized by Construction Change Directive until the cost and time have been agreed upon by both the Owner and Contractor, in which case a Change Order is executed to supersede the Construction Change Directive.

NOT VALID UNTIL SIGNED BY THE ARCHITECT, CONTRACTOR AND OWNER.

ARCHITECT *(Firm name)*

CONTRACTOR *(Firm name)*

OWNER *(Firm name)*

SIGNATURE

SIGNATURE

SIGNATURE

PRINTED NAME AND TITLE

PRINTED NAME AND TITLE

PRINTED NAME AND TITLE

DATE

DATE

DATE



AIA® Document G704™ – 2017

Certificate of Substantial Completion

PROJECT: *(name and address)*

Samples

CONTRACT INFORMATION:

Contract For:

Date:

CERTIFICATE INFORMATION:

Certificate Number:

Date:

OWNER: *(name and address)*

University of Maine System
by and through
University of Maine
5765 Service Building
Orono, ME 04469

ARCHITECT: *(name and address)*
CONTRACTOR: *(name and address)*

The Work identified below has been reviewed and found, to the Architect's best knowledge, information, and belief, to be substantially complete. Substantial Completion is the stage in the progress of the Work when the Work or designated portion is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use. The date of Substantial Completion of the Project or portion designated below is the date established by this Certificate.

(Identify the Work, or portion thereof, that is substantially complete.)

ARCHITECT *(Firm Name)*
SIGNATURE
PRINTED NAME AND TITLE
DATE OF SUBSTANTIAL COMPLETION
WARRANTIES

The date of Substantial Completion of the Project or portion designated above is also the date of commencement of applicable warranties required by the Contract Documents, except as stated below:

(Identify warranties that do not commence on the date of Substantial Completion, if any, and indicate their date of commencement.)

WORK TO BE COMPLETED OR CORRECTED

A list of items to be completed or corrected is attached hereto, or transmitted as agreed upon by the parties, and identified as follows:

(Identify the list of Work to be completed or corrected.)

The failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents. Unless otherwise agreed to in writing, the date of commencement of warranties for items on the attached list will be the date of issuance of the final Certificate of Payment or the date of final payment, whichever occurs first. The Contractor will complete or correct the Work on the list of items attached hereto within () days from the above date of Substantial Completion.

Cost estimate of Work to be completed or corrected: \$

The responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work, insurance, and other items identified below shall be as follows:

(Note: Owner's and Contractor's legal and insurance counsel should review insurance requirements and coverage.)

The Owner and Contractor hereby accept the responsibilities assigned to them in this Certificate of Substantial Completion:

CONTRACTOR *(Firm Name)*

Name)

SIGNATURE
PRINTED NAME AND TITLE
DATE
OWNER *(Firm Name)*
SIGNATURE
PRINTED NAME AND TITLE
DATE

SECTION 00 65 19
CERTIFICATE OF COMPLETION FORM
(Final)

DATE:

PROJECT NAME: NORTH ELECTRICAL LOOP

SUBSTANTIAL COMPLETION DATE:

FINAL COMPLETION is defined, in accordance with Article 9 of the A201 General Conditions of the Contract for Construction, as the date certified by the Architect when all the Work of the Project is fully complete, the Close-Out requirements of Paragraph 9.10 of the General Conditions have been completed, including the Close-Out Meeting and approval of Close-Out by the Architect, in accordance with Subparagraph 9.10.2, and the Contract fully performed in accordance with the Contract Documents, and the Contractor entitled to final payment.

The CONTRACTOR certifies that the Work is fully completed and was completed on or before _____, 20____, and submits herewith:

Application for Final Payment (AIA G702)
Affidavit of Payments (AIA G706)
Consent of Surety (AIA G707)
Releases of Liens (AIA G706A)
Waiver of Lien

CONTRACTOR:

By: _____ Date: _____
Name: _____

The ARCHITECT has inspected the Work and has determined that the Date of Final Completion was _____, 20____.

ARCHITECT:

By: _____ Date: _____
Name: _____

The OWNER hereby accepts the Work as fully complete and will make final payment.

OWNER:

By: _____ Date: _____
University of Maine System

END OF SECTION 00 65 19



AIA[®] Document G706[™] – 1994

Contractor's Affidavit of Payment of Debts and Claims

PROJECT: *(Name and address)*
Samples

ARCHITECT'S PROJECT NUMBER:

OWNER: ☐

ARCHITECT: ☐

CONTRACTOR: ☐

SURETY: ☐

OTHER: ☐

TO OWNER: *(Name and address)*
University of Maine System
by and through
University of Maine
5765 Service Building
Orono, ME 04469

CONTRACT FOR:
CONTRACT DATED:

STATE OF: *(State of Signature)*

COUNTY OF: *(County of Signature)*

The undersigned hereby certifies that, except as listed below, payment has been made in full and all obligations have otherwise been satisfied for all materials and equipment furnished, for all work, labor, and services performed, and for all known indebtedness and claims against the Contractor for damages arising in any manner in connection with the performance of the Contract referenced above for which the Owner or Owner's property might in any way be held responsible or encumbered.

EXCEPTIONS:

SUPPORTING DOCUMENTS ATTACHED HERETO:

1. Consent of Surety to Final Payment. Whenever Surety is involved, Consent of Surety is required. AIA Document G707, Consent of Surety, may be used for this purpose

Indicate Attachment ☐ Yes ☐ No

CONTRACTOR: *(Name and address)*

BY:

(Signature of authorized representative)

(Printed name and title)

The following supporting documents should be attached hereto if required by the Owner:

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.
2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.
3. Contractor's Affidavit of Release of Liens (AIA Document G706A).

Subscribed and sworn to before me on this date:

Notary Public:

My Commission Expires:



AIA[®] Document G706A[™] – 1994

Contractor's Affidavit of Release of Liens

PROJECT: *(Name and address)*

Samples

ARCHITECT'S PROJECT NUMBER:

OWNER: ☐

CONTRACT FOR:

ARCHITECT: ☐

CONTRACT DATED:

CONTRACTOR: ☐

TO OWNER: *(Name and address)*

University of Maine System

by and through

University of Maine

5765 Service Building

Orono, ME 04469

SURETY: ☐

OTHER: ☐

STATE OF: *(State of Signature)*

COUNTY OF: *(County of Signature)*

The undersigned hereby certifies that to the best of the undersigned's knowledge, information and belief, except as listed below, the Releases or Waivers of Lien attached hereto include the Contractor, all Subcontractors, all suppliers of materials and equipment, and all performers of Work, labor or services who have or may have liens or encumbrances or the right to assert liens or encumbrances against any property of the Owner arising in any manner out of the performance of the Contract referenced above.

EXCEPTIONS:

SUPPORTING DOCUMENTS ATTACHED HERETO:

1. Contractor's Release or Waiver of Liens, conditional upon receipt of final payment.
2. Separate Releases or Waivers of Liens from Subcontractors and material and equipment suppliers, to the extent required by the Owner, accompanied by a list thereof.

CONTRACTOR: *(Name and address)*

BY:

(Signature of authorized representative)

(Printed name and title)

Subscribed and sworn to before me on this date:

Notary Public:

My Commission Expires:

SAMPLE

SECTION 00 65 19.17
WAIVER OF LIEN

DATE: _____

State of: _____

State of Signature

County of: _____

County of Signature

TO: University of Maine System
by and through
University of Maine
5765 Service Building
Orono, ME 04469

SUBJECT:

Project Name: NORTH ELECTRICAL LOOP

Project Location: UNIVERSITY OF MAINE

Upon receipt of the sum of _____ (being the balance due us under the existing contract or subcontract agreement for work on the Subject Project) the undersigned agrees that it will waive and release the University of Maine System from any and all lien or claim or right to lien on the Subject Project under the Statutes of the state of Maine relating to liens for labor, materials and/or subcontracts furnished for the Subject Project on premises belonging to the University of Maine System.

Signed: _____

Title: _____

Firm Name: _____

NOTARY

Subscribed and sworn to before me this _____ day of _____, 20____.

Signature Notary Public

END OF SECTION 00 65 19.17

SUBCONTRACTOR/SUPPLIER CONDITIONAL
RELEASE AND WAIVER OF LIEN

DATE: _____

State of: _____
State of Signature

County of: _____
County of Signature

SUBJECT:

Project Name: _____

Project Location: _____

_____ (hereinafter called the Subcontractor) in consideration of the sum of \$ _____ to be paid to Subcontractor by _____ upon receipt of said payment does hereby release and forever discharge _____ and the **University of Maine System** from any and all workman's, materialman's, mechanic's, building or other liens, claims, causes of action, liabilities and other obligations with respect to the value of any and all work, services and materials furnished, performed, or supplied by the subcontractor to or in connection with the construction project known as the Insert Project Name Here located in Insert Location Here (hereinafter called the "Premises") through the date of _____. Subcontractor shall take all reasonable action to discharge any lien currently filed or pending against _____ and the **University of Maine System**, including without limitation the recording of instruments discharging said lien with the appropriate Registry of Deeds.

Subcontractor acknowledges that its receipt of said payment will constitute full and final payment for all work performed by Subcontractor through the date set forth above except for retainage if applicable, in the amount of (\$) _____.

Subcontractor further covenants and represents that all of the subcontract suppliers, mechanics, materialmen, and laborers listed below engaged by Subcontractor have been paid in full (less proper retainage if any) or shall be immediately paid in full from the proceeds of this current payment for all work done and or materials furnished to the Premises through the date set forth in the first paragraph above. The Subcontractor hereby agrees to indemnify, defend, and hold _____ and The **University of Maine System** harmless from any and all claims, including but not limited to attorney fees, claims for payment, and liens of any kind or nature filed or made by any person or entity based upon work done or materials furnished in connection with the Premises by the Subcontractor or any sub-subcontractor, suppliers, mechanics, materialmen, and laborers employed by Subcontractor through the date set forth in the first paragraph above. Subcontractor shall request any sub-subcontractor, suppliers, mechanics, materialmen, and laborers employed by Subcontractor through the date set forth in the first paragraph above to, and shall itself, take all reasonable action to discharge any lien in connection with payments owed by Subcontractor currently filed or pending against _____ and the **University of Maine System**, including without limitation the recording of instruments discharging said lien with the appropriate Registry of Deeds.

Major sub-subcontractors and suppliers whose contract or purchase order meets or exceeds \$5,000 working for said Subcontractor for the period stated above:

SECTION 00 65 19.18

The undersigned represents that he is authorized by all corporate or other action necessary to execute and deliver this release.

Signed: _____

Title: _____

Firm Name: _____

NOTARY

Subscribed and sworn to before me this _____ day of _____, 20_____.

Signature Notary Public

END OF SECTION 00 65 19.18



AIA[®] Document G707[™] – 1994

Consent Of Surety to Final Payment

PROJECT: *(Name and address)*

Samples

ARCHITECT'S PROJECT NUMBER:

OWNER: ☐

CONTRACT FOR:

ARCHITECT: ☐

CONTRACT DATED:

CONTRACTOR: ☐

SURETY: ☐

OTHER: ☐

TO OWNER: *(Name and address)*

University of Maine System
by and through
University of Maine
5765 Service Building
Orono, ME 04469

In accordance with the provisions of the Contract between the Owner and the Contractor as indicated above, the
(Insert name and address of Surety)

on bond of

(Insert name and address of Contractor)

, SURETY,

hereby approves of the final payment to the Contractor, and agrees that final payment to the Contractor shall
not relieve the Surety of any of its obligations to
(Insert name and address of Owner)

, CONTRACTOR,

as set forth in said Surety's bond.

, OWNER,

IN WITNESS WHEREOF, the Surety has hereunto set its hand on this date:
(Insert in writing the month followed by the numeric date and year.)

(Surety)

(Signature of authorized representative)

(Printed name and title)

Attest:

(Seal):



AIA® Document A201® – 2017

General Conditions of the Contract for Construction

for the following PROJECT:

(Name and location or address)

THE OWNER:

(Name, legal status and address)

University of Maine System
by and through

THE ARCHITECT:

(Name, legal status and address)

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

For guidance in modifying this document to include supplementary conditions, see AIA Document A503™, Guide for Supplementary Conditions.

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ARTICLE 1 GENERAL PROVISIONS

§ 1.1 Basic Definitions

§ 1.1.1 The Contract Documents

The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include the advertisement or invitation to bid, Instructions to Bidders, sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, the Contractor's bid or proposal, or portions of Addenda relating to bidding or proposal requirements.

§ 1.1.2 The Contract

The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect's consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect's consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect's duties.

§ 1.1.3 The Work

The term "Work" means the construction and services required by the Contract Documents, whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor to fulfill the Contractor's obligations. The Work may constitute the whole or a part of the Project.

§ 1.1.4 The Project

The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

§ 1.1.5 The Drawings

The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.

§ 1.1.6 The Specifications

The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

§ 1.1.7 Instruments of Service

Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect's consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

§ 1.1.8 Initial Decision Maker

The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2. The Initial Decision Maker shall not show partiality to the Owner or Contractor and shall not be liable for results of interpretations or decisions rendered in good faith. The Architect is the Initial Decision Maker for this Agreement.

§ 1.2 Correlation and Intent of the Contract Documents

§ 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

Init.

§ 1.2.1.1 The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Contract.

§ 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade. Where the Procurement Requirements include provisions that portions of the Work be File Bid in accordance with the requirements of the Maine Bid Depository System, the subcontracts for these portions of the work will cover the same scope of work as defined by the Procurement Requirements and the File Bid and shall have the same contract amount as listed in the successful bid.

§ 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

§ 1.3 Capitalization

Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

§ 1.4 Interpretation

In the interest of brevity the Contract Documents frequently omit modifying words such as "all" and "any" and articles such as "the" and "an," but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

§ 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service

§ 1.5.1 The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and retain all common law, statutory, and other reserved rights in their Instruments of Service, including copyrights. The Contractor, Subcontractors, Sub-subcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the Architect's or Architect's consultants' reserved rights. The provisions of this section shall not be deemed to modify the contract between the University of Maine System (the Owner) and the Architect under B102-2017 and B201-2017.

§ 1.5.2 The Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service on other projects or for additions to the Project outside the scope of the Work without the specific written consent of the Owner, Architect, and the Architect's consultants. The provisions of this section shall not be deemed to modify the contract between the University of Maine System (the Owner) and the Architect under B102-2017 and B201-2017.

§ 1.6 Notice

§ 1.6.1 Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission if a method for electronic transmission is set forth in the Agreement.

§ 1.6.2 Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

§ 1.7 Digital Data Use and Transmission

The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties ~~will use AIA Document~~ may use AIA Document G201-2013 Project Digital Data Protocol Form and E203™-2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

§ 1.8 Building Information Models Use and Reliance

Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203™-2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202™-2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

ARTICLE 2 OWNER

§ 2.1 General

§ 2.1.1 The Owner is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Owner shall designate in writing a representative who shall have express authority to bind the Owner with respect to all matters requiring the Owner's approval or authorization. Except as otherwise provided in Section 4.2.1, the Architect does not have such authority. The term "Owner" means the Owner or the Owner's authorized representative.

.1 For the purpose of this Contract, the Owner is defined as: University of Maine System, acting through its duly authorized agent.

§ 2.1.2 The Owner shall furnish to the Contractor, within fifteen days after receipt of a written request, information necessary and relevant for the Contractor to evaluate, give notice of, or enforce mechanic's lien rights. Such information shall include a correct statement of the record legal title to the property on which the Project is located, usually referred to as the site, and the Owner's interest therein.

§ 2.2 Evidence of the Owner's Financial Arrangements

~~§ 2.2.1 Prior to Following commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract. The Contractor shall have no obligation to commence the Work until the Owner provides such evidence. If commencement of the Work is delayed under this Section 2.2.1, the Contract only if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due; or (3) a change in the Work materially changes the Contract Sum. If the Owner fails to provide such evidence, as required, within fourteen days of the Contractor's request, the Contractor may immediately stop the Work and, in that event, shall notify the Owner that the Work has stopped. However, if the request is made because a change in the Work materially changes the Contract Sum under (3) above, the Contractor may immediately stop only that portion of the Work affected by the change until reasonable evidence is provided. If the Work is stopped under this Section 2.2.2, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided in the Contract Documents.~~

§ 2.2.2 Following commencement of the Work and upon written request by the Contractor, the Owner shall furnish to the Contractor reasonable evidence that the Owner has made financial arrangements to fulfill the Owner's obligations under the Contract only if (1) the Owner fails to make payments to the Contractor as the Contract Documents require; (2) the Contractor identifies in writing a reasonable concern regarding the Owner's ability to make payment when due; or (3) a change in the Work materially changes the Contract Sum. If the Owner fails to provide such evidence, as required, within fourteen days of the Contractor's request, the Contractor may immediately stop the Work and, in that event, shall notify the Owner that the Work has stopped. However, if the request is made because a change in the Work materially changes the Contract Sum under (3) above, the Contractor may immediately stop only that portion of the Work affected by the change until reasonable evidence is provided. If the Work is stopped under this Section 2.2.2, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided in the Contract Documents.

After the Owner furnishes evidence of financial arrangements under this Section 2.2, the Owner shall not materially vary such financial arrangements without prior notice to the Contractor.

§ 2.2.3 After the Owner furnishes evidence of financial arrangements under this Section 2.2, the Owner shall not materially vary such financial arrangements without prior notice to the Contractor. Where the Owner has designated information furnished under this Section 2.2 as "confidential," the Contractor shall keep the information confidential and shall not disclose it to any other person. However, the Contractor may disclose "confidential" information, after seven (7) days' notice to the Owner, where disclosure is required by law, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or by court or arbitrator(s) order. The Contractor may also disclose "confidential" information to its employees, consultants, sureties, Subcontractors and their employees, Sub-subcontractors, and others who need to know the content of such information solely and exclusively for the Project and who agree to maintain the confidentiality of such information.

§ 2.2.4 Where the Owner has designated information furnished under this Section 2.2 as "confidential," the Contractor shall keep the information confidential and shall not disclose it to any other person. However, the Contractor may disclose "confidential" information, after seven (7) days' notice to the Owner, where disclosure is required by law, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or by court or arbitrator(s) order. The Contractor may also disclose "confidential" information to its employees, consultants, sureties, Subcontractors and their employees, Sub-subcontractors, and others who need to know the content of such information solely and exclusively for the Project and who agree to maintain the confidentiality of such information.

§ 2.3 Information and Services Required of the Owner

§ 2.3.1 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities.

§ 2.3.2 The Owner shall retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. Architect is a person or entity lawfully licensed to practice in the State of Maine. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number. Whenever the prime professional designer for the Work is an Engineer, the term Architect, wherever used in these documents shall have the term Engineer substituted for the term Architect. The Engineer shall be lawfully licensed to practice engineering in the State of Maine or an entity lawfully practicing engineering identified as such in the Agreement.

§ 2.3.3 If the employment of the Architect terminates, the Owner shall employ a successor to whom the Contractor has no reasonable objection and whose status under the Contract Documents shall be that of the Architect.

§ 2.3.4 The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site. The Contractor shall be entitled to rely on the accuracy of information furnished by the Owner but shall exercise proper precautions relating to the safe performance of the Work at all times conduct safe performance of the Work, including but not limited to appropriate precautions.

§ 2.3.5 The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner's control and relevant to the Contractor's performance of the Work with reasonable promptness after receiving the Contractor's written request for such information or services.

§ 2.3.6 Unless otherwise provided in the Contract Documents, the Owner shall furnish to the Contractor one copy of the Contract Documents for purposes of making reproductions pursuant to Section 1.5.2.

§ 2.4 Owner's Right to Stop the Work

If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or repeatedly fails to carry out Work in accordance with the Contract Documents, the Owner may issue a written order to the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner

to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

§ 2.5 Owner's Right to Carry Out the Work

If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a ten-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. Such action by the Owner and amounts charged to the Contractor are both subject to prior approval of the Architect and the Architect may, pursuant to Section 9.5.1, withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the reasonable cost of correcting such deficiencies, including Owner's expenses and compensation for the Architect's additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the Architect, or the amounts claimed as costs to the Owner, the Contractor may file a Claim pursuant to Article 15.

ARTICLE 3 CONTRACTOR

§ 3.1 General

§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term "Contractor" means the Contractor or the Contractor's authorized representative.

§ 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.

§ 3.1.3 The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect's administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

§ 3.2 Review of Contract Documents and Field Conditions by Contractor

§ 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, and correlated personal observations with requirements of the Contract Documents.

§ 3.2.2 Because the Contract Documents are complementary, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor's review is made in the Contractor's capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents.

§ 3.2.3 The Contractor is not required to ascertain that the Contract Documents are in accordance with applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, but the Contractor shall promptly report to the Architect any nonconformity discovered by or made known to the Contractor as a request for information in such form as the Architect may require.

§ 3.2.4 If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor's notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors,

inconsistencies or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities.

§ 3.3 Supervision and Construction Procedures

§ 3.3.1 The Contractor shall supervise and direct the Work, using the Contractor's best industry standard or better skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. If the Contractor determines that such means, methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner and Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Architect objects to the Contractor's proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

§ 3.3.2 The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

§ 3.3.3 The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

§ 3.4 Labor and Materials

§ 3.4.1 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

§ 3.4.2 Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

§ 3.4.2.1 After the Contract has been executed, the Owner and Architect may consider a formal request for substitution of products in place of those specified. The Owner shall deduct from the next payment made from the Contract Sum amounts paid to the Architect to evaluate the Contractor's proposed substitutions and to make agreed-upon changes in the Drawings and Specifications made necessary by the Owner's acceptance of the substitutions. By making requests for substitutions, the Contractor

- .1 Represents that the Contractor has personally investigated the proposed substitute product and determined it is equal or superior in all respects to that specified;
- .2 Represents that the Contractor will provide the same warranty for the substitution that the Contractor would for that specified;
- .3 Certifies that the cost data presented is complete and includes all related costs, and waives all claims for additional costs related to the substitution which subsequently become apparent; and,
- .4 Will coordinate the installation of the accepted substitute, making such changes as may be required for the Work to be completed in all respects.

§ 3.4.3 The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

§ 3.4.4 If a wage scale prepared by the State of Maine Department of Labor, Bureau of Labor Standards, is included in the Contract Documents, such wage scale represents the minimum wages that must be paid in each category of labor employed on the project.

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The provisions of Title 26 MRSA Chapter 15 Preference to Maine Workers and Contractors, apply to this project, including but not limited to:

§ 1310. Wage and benefits rates to be kept posted

A clearly legible statement of all fair minimum wage and benefits rates to be paid the several classes of laborers, workers and mechanics employed on the construction on the public work must be kept posted in a prominent and easily accessible place at the site by each contractor and subcontractor subject to sections 1304 to 1313.

§ 1311. Wage and benefit record of contractor

The contractor and each subcontractor in charge of the construction of a public work shall keep an accurate record showing the names and occupation of all laborers, workers and mechanics employed by them and all independent contractors working under contract with them in connection with the construction on the public works. The record must also show for all laborers, workers, mechanics and independent contractors the hours worked, the title of the job, the hourly rate or other method of remuneration and the actual wages or other compensation paid to each of the laborers, workers, mechanics and independent contractors. A copy of such a record must be kept at the job site and must be open at all reasonable hours to the inspection of the Bureau of Labor Standards and the public authority that let the contract and its officers and agents. It is not necessary to preserve those records for a period longer than 3 years after the termination of the contract. A copy of each such record must also be filed monthly with the public authority that let the contract. The filed record is a public record pursuant to Title 1, chapter 13, except that the public authority letting a contract shall adopt rules to protect the privacy of personal information contained in the records filed with the public authority under this section, such as Social Security numbers and taxpayer identification numbers. The rules may not prevent the disclosure of information regarding the classification of workers or independent contractors and the remuneration they receive. Such rules are routine technical rules as defined by Title 5, chapter 375, subchapter 2-A.

§ 3.4.5 If a wage scale prepared by the U.S. Department of Labor pursuant to the provision of the Davis-Bacon Act is included in the Contract Documents, such wage scale represents the minimum wages that must be paid in each category of labor on the project. The requirements and responsibilities within the Davis-Bacon Act apply to this project if a Davis-Bacon wage scale is included.

§ 3.4.6 EQUAL EMPLOYMENT OPPORTUNITY

During the performance of this contract, the contractor agrees as follows:

- .1 The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, including transgender status, gender, gender identity or gender expression, ethnicity, national origin or citizenship status, familial status, ancestry, age, disability physical or mental, genetic information, veteran or military status status. Such action shall include, but not be limited to, the following: employment, upgrading, demotions, transfers, recruitment or recruitment advertising; layoffs or terminations; rates of pay or other forms of compensation; and selection for training, including apprenticeship.
- .2 The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, including transgender status, gender, gender identity or gender expression, ethnicity, national origin or citizenship status, familial status, ancestry, age, disability physical or mental, genetic information, veteran or military status.
- .3 The contractor will send to each labor union or representative of the workers with which there is a collective or bargaining agreement in place, or other contract or understanding, whereby labor is being furnished for the performances of his contract, a notice, as set forth by the Maine Human Rights Commission, found on their website (https://www1.maine.gov/mhrc/guidance/mhra_guarantees.htm), to be provided by the contracting department or agency, advising the said labor union or workers' representative of the contractor's commitment under the provisions of the contract, and shall post copies of the notice in conspicuous places available to employees and to applicants for employment.
- .4 The contractor will cause the foregoing provisions to be inserted in all contracts for any work covered by this agreement so that such provisions will be binding upon each subcontractor.

.5 Contractors and subcontractors with contracts in excess of \$50,000 will also pursue in good faith affirmative action programs.

§ 3.5 Warranty

§ 3.5.1 The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor's warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

§ 3.5.2 All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

§ 3.6 Taxes

The Contractor shall pay sales, consumer, use and similar taxes for the Work provided by the Contractor that are legally enacted when bids are received or negotiations concluded, whether or not yet effective or merely scheduled to go into effect.

§ 3.6.1 The University of Maine System is exempt from payment of taxes under the Maine Sales and Use Tax Law Title 36 Section 1760 for taxes on materials that are permanently incorporated into the real property belonging to the University of Maine System. The University of Maine System is also exempt from the payment of Federal Excise Taxes on articles not for resale and from the Federal Transportation Tax on all shipments; exemption certificates for these taxes will be furnished when required. All quotations shall be less these taxes. The contractor shall pay all other taxes that have been or are legally enacted.

§ 3.7 Permits, Fees, Notices and Compliance with Laws

§ 3.7.1 Unless otherwise provided in the Contract Documents, the Contractor shall secure and pay for the building permit as well as for other permits, fees, licenses, and inspections by government agencies necessary for proper execution and completion of the Work that are customarily secured after execution of the Contract and legally required at the time bids are received or negotiations concluded.

§ 3.7.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work.

§ 3.7.3 If the Contractor performs Work knowing it to be contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall assume appropriate responsibility for such Work and shall bear the costs attributable to correction.

§ 3.7.4 Concealed or Unknown Conditions

If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide notice to the Owner and the Architect before conditions are disturbed and in no event later than 14 days after first observance of the conditions disturbed. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor's cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor, stating the reasons. If either party disputes the Architect's determination or recommendation, that party may submit a Claim proceed as provided in Article 15.

§ 3.7.5 If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately

suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

§ 3.8 Allowances

§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

- .1 allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;
- .2 Contractor's costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and
- .3 whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual costs and the allowances under Section 3.8.2.1 and (2) changes in Contractor's costs under Section 3.8.2.2.

§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

§ 3.9 Superintendent

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Architect may notify the Contractor, stating whether the Owner or the Architect (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not change the superintendent without the Owner's consent, which shall not unreasonably be withheld or delayed.

§ 3.10 Contractor's Construction and Submittal Schedules

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall submit for the Owner's and Architect's information a Contractor's construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project.

- .1 The Contractor shall provide an updated Construction Schedule with each Application for Payment reflecting actual construction progress and activities.

§ 3.10.2 The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Architect's approval. The Architect's approval shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor's construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

§ 3.10.3 The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

§ 3.11 Documents and Samples at the Site

The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the approved Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Architect and Owner, and delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.

§ 3.12 Shop Drawings, Product Data and Samples

§ 3.12.1 Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.

§ 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

§ 3.12.3 Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged.

§ 3.12.4 Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action.

§ 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Architect, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of Separate Contractors.

§ 3.12.6 By submitting Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents.

§ 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been approved by the Architect.

§ 3.12.8 The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Architect of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect's approval thereof.

§ 3.12.9 The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples, or similar submittals, to revisions other than those requested by the Architect on previous submittals. In the absence of such notice, the Architect's approval of a resubmission shall not apply to such revisions.

§ 3.12.10 The Contractor shall not be required to provide professional services that constitute the practice of architecture or engineering unless such services are specifically required by the Contract Documents for a portion of the Work or unless the Contractor needs to provide such services in order to carry out the Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures. The Contractor shall not be required to provide professional services in violation of applicable law.

§ 3.12.10.1 If professional design services or certifications by a design professional related to systems, materials, or equipment are specifically required of the Contractor by the Contract Documents, the Owner and the Architect will specify all performance and design criteria that such services must satisfy. The Contractor shall be entitled to rely upon the adequacy and accuracy of the performance and design criteria provided in the Contract Documents. The Contractor shall cause such services or certifications to be provided by an appropriately licensed design professional, whose signature and seal shall appear on all drawings, calculations, specifications, certifications, Shop Drawings, and other submittals prepared by such professional. Shop Drawings, and other submittals related to the Work, designed or certified by such professional, if prepared by others, shall bear such professional's written approval when submitted to the Architect. The Owner and the Architect shall be entitled to rely upon the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals, provided the Owner and Architect have specified to the Contractor the performance and design criteria that such services must satisfy. Pursuant to this Section 3.12.10, the Architect will review and approve or take other appropriate action on submittals only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents.

§ 3.12.10.2 If the Contract Documents require the Contractor's design professional to certify that the Work has been performed in accordance with the design criteria, the Contractor shall furnish such certifications to the Architect at the time and in the form specified by the Architect.

§ 3.12.11 The Architect's review of the Contractor's submittals will be limited to examination of an initial submission and two (2) resubmittals. The Architect's review of additional submittals will be made only with the consent of the Owner after notification by the Architect. The Owner shall deduct from the next payment made from the Contract Sum amounts paid to the Architect for evaluation of such additional submittals.

§ 3.13 Use of Site

The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

§ 3.14 Cutting and Patching

§ 3.14.1 The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

§ 3.14.2 The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor except with written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

§ 3.15 Cleaning Up

§ 3.15.1 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor's tools, construction equipment, machinery, and surplus materials from and about the Project.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor.

§ 3.15.3 Waste Management. The University is committed to a resource management strategy which reduces to a minimum the production of waste material while reusing, recycling or composting as much as possible of the remaining materials. Contractor will submit a construction waste management plan for the project that identifies opportunities to reduce, reuse, or recycle waste from renovations or new construction.

§ 3.16 Access to Work

The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

§ 3.17 Royalties, Patents and Copyrights

The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner or Architect. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect.

§ 3.18 Indemnification

§ 3.18.1 To the fullest extent permitted by law, the Contractor shall indemnify and hold harmless the Owner, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys' fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

§ 3.18.2 In claims against any person or entity indemnified under this Section 3.18 by an employee of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, the indemnification obligation under Section 3.18.1 shall not be limited by a limitation on amount or type of damages, compensation, or benefits payable by or for the Contractor or a Subcontractor under workers' compensation acts, disability benefit acts, or other employee benefit acts.

ARTICLE 4 ARCHITECT

§ 4.1 General

§ 4.1.1 The Architect is the person or entity retained by the Owner pursuant to Section 2.3.2 and identified as such in the Agreement.

§ 4.1.2 Duties, responsibilities, and limitations of authority of the Architect as set forth in the Contract Documents shall not be restricted, modified, or extended without written consent of the Owner, Contractor, and Architect. Consent shall not be unreasonably withheld.

§ 4.2 Administration of the Contract

§ 4.2.1 The Architect will provide administration of the Contract as described in the Contract Documents and will be an Owner's representative during construction until the date the final payment is due, and from time to time during the period for correction of Work described in § 12.2, and until the date the Architect issues the final Certificate for Payment. The Architect will have authority to act on behalf of the Owner only to the extent provided in the Contract Documents.

§ 4.2.2 The Architect will visit the site at intervals appropriate to the stage of construction, or as otherwise agreed with the Owner, to become generally familiar with the progress and quality of the portion of the Work completed, endeavor to guard the Owner against defects and deficiencies in the Work, and to determine in general if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect will not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. The Architect will not have control over, charge of, or responsibility for the

construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor's rights and responsibilities under the Contract Documents.

- .1 The Contractor shall reimburse the Owner for compensation paid to the Architect for additional site visits made necessary by the fault, neglect as determined solely by the Owner, or request of the Contractor. The reimbursement shall be deducted from the next payment made from the Contract Sum following the Owner's payment to the Architect.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably-informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

§ 4.2.4 Communications

The Owner and Contractor shall include the Architect in all communications that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

§ 4.2.5 Based on the Architect's evaluations of the Contractor's Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

§ 4.2.6 The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 and 13.4.3, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

§ 4.2.7 The Architect will review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect's action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect's review of the Contractor's submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Architect's review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

§ 4.2.8 The Architect will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

§ 4.2.9 The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

§ 4.2.10 If the Owner and Architect agree, the Architect will provide one or more Project representatives to assist in carrying out the Architect's responsibilities at the site. The Owner shall notify the Contractor of any change in the duties, responsibilities and limitations of authority of the Project representatives.

§ 4.2.11 The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

§ 4.2.12 Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of interpretations or decisions rendered in good faith.

§ 4.2.13 The Architect's decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect's response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

ARTICLE 5 SUBCONTRACTORS

§ 5.1 Definitions

§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term "Subcontractor" does not include a Separate Contractor or the subcontractors of a Separate Contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term "Sub-subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

§ 5.2 Award of Subcontracts and Other Contracts for Portions of the Work

§ 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Architect may notify the Contractor whether the Owner or the Architect (1) has reasonable objection to any such proposed person or entity or (2) requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

- 1 The Contractor shall provide Owner a list of all subcontractors and independent contractors on the job site and a record of the entity to whom that subcontractor or independent contractor is directly contracted and by whom that subcontractor or independent contractor is insured for workers' compensation purposes. The list shall be presented at the preconstruction meeting and, when changes occur, at each requisition meeting as necessary.
- 2 Where the use of the Maine Bid Depository is required by the Procurement Requirements, Subcontractors included in the Contractor's Proposal shall be the Subcontractors for the defined Work unless a change has been approved by the Owner.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but rejected Subcontractor was reasonably capable of performing the Work, the Contract Sum and Contract Time shall be increased or decreased by the difference, if any, occasioned by such change, and an appropriate Change Order shall be issued before commencement of the substitute Subcontractor's Work. However, no increase in the Contract Sum or

Contract Time shall be allowed for such change unless the Contractor has acted promptly and responsively in submitting names as required.

§ 5.2.4 The Contractor shall not substitute a Subcontractor, person, or entity for one previously selected if the Owner or Architect makes reasonable objection to such substitution.

§ 5.3 Subcontractual Relations

By appropriate written agreement, the Contractor shall require each Subcontractor, to the extent of the Work to be performed by the Subcontractor, to be bound to the Contractor by terms of the Contract Documents, and to assume toward the Contractor all the obligations and responsibilities, including the responsibility for safety of the Subcontractor's Work that the Contractor, by these Contract Documents, assumes toward the Owner and Architect. Each subcontract agreement shall preserve and protect the rights of the Owner and Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor so that subcontracting thereof will not prejudice such rights, and shall allow to the Subcontractor, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with Sub-subcontractors. The Contractor shall make available to each proposed Subcontractor, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor will be bound, and, upon written request of the Subcontractor, identify to the Subcontractor terms and conditions of the proposed subcontract agreement that may be at variance with the Contract Documents. Subcontractors will similarly make copies of applicable portions of such documents available to their respective proposed Sub-subcontractors.

§ 5.4 Contingent Assignment of Subcontracts

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that

- .1 assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and
- .2 assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor's rights and obligations under the subcontract.

§ 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor's compensation shall be equitably adjusted for increases in cost resulting from the suspension.

§ 5.4.3 Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity. If the Owner assigns the subcontract to a successor contractor or other entity, the Owner shall nevertheless remain legally responsible for all of the successor contractor's obligations under the subcontract.

ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

§ 6.1 Owner's Right to Perform Construction and to Award Separate Contracts

§ 6.1.1 The term "Separate Contractor(s)" shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner's own forces, and with Separate Contractors retained under Conditions of the Contract substantially similar to those of this Contract, including those provisions of the Conditions of the Contract related to insurance and waiver of subrogation.

§ 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term "Contractor" in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

§ 6.1.3 The Owner shall provide for coordination of the activities of the Owner's own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction

schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.

§ 6.1.4 Unless otherwise provided in the Contract Documents, when the Owner performs construction or operations related to the Project with the Owner's own forces or with Separate Contractors, the Owner or its Separate Contractors shall have the same obligations and rights that the Contractor has under the Conditions of the Contract, including, without excluding others, those stated in Article 3, this Article 6, and Articles 10, 11, and 12.

§ 6.2 Mutual Responsibility

§ 6.2.1 The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor's construction and operations with theirs as required by the Contract Documents.

§ 6.2.2 If part of the Contractor's Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Architect of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor's Work. Failure of the Contractor to notify the Architect of apparent discrepancies or defects prior to proceeding with the Work shall constitute an acknowledgment that the Owner's or Separate Contractor's completed or partially completed construction is fit and proper to receive the Contractor's Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.

§ 6.2.3 The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a Separate Contractor because of the Contractor's delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor's delays, improperly timed activities, damage to the Work or defective construction.

§ 6.2.4 The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5.

§ 6.2.5 The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

§ 6.3 Owner's Right to Clean Up

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

ARTICLE 7 CHANGES IN THE WORK

§ 7.1 General

§ 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents.

§ 7.1.2 A Change Order shall be based upon agreement among the Owner, Contractor, and Architect. A Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.

§ 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

§ 7.1.4 The combined overhead and profit included in the total cost to the Owner of a Change in the Work shall be based on a previously agreed upon unit pricing or on the following schedule allowing for appropriate allowances for contract duration:

.1 For the Contractor, for Work performed by the Contractor's own forces, 20% of the cost.

- .2 For the Contractor, for Work performed by the Contractor's Subcontractors, 10% of the amount due the Subcontractors.
- .3 For each Subcontractor involved, for Work performed by the Subcontractor's own forces, 20% of the cost.
- .4 For each Subcontractor involved, for Work performed by the Subcontractor's Sub-subcontractors, 10% of the amount due the Sub-subcontractor.
- .5 Costs to which overhead and profit is to be applied shall be limited to the following:
 - .1 Costs of labor, including social security, old age and unemployment insurance, fringe benefits required by agreement or custom, and workers' compensation insurance;
 - .2 Costs of materials, supplies and equipment, including cost of transportation, whether incorporated or consumed;
 - .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others; and,
 - .4 Costs of premiums for all bonds, insurance, permit fees, and sales, use or similar taxes related to the Work.

§ 7.1.5 When there is only an extension of Contract Time, any Claim for delay made pursuant to Article 15 is limited to additional costs related to supervision and field office personnel, which may be included in the overhead and profit calculation.

§ 7.1.6 In order to facilitate checking of quotations, all proposals, except those so minor that their propriety can be seen by inspection, shall be accompanied by complete itemization of costs including labor, materials and Subcontracts. Labor and materials shall be itemized in the manner prescribed above. Where major cost items are Subcontracts, they are to be itemized also. In no case will a change be approved without such itemization.

§ 7.2 Change Orders

§ 7.2.1 A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor, and Architect stating their agreement upon all of the following:

- .1 The change in the Work;
- .2 The amount of the adjustment, if any, in the Contract Sum; and
- .3 The extent of the adjustment, if any, in the Contract Time.

§ 7.3 Construction Change Directives

§ 7.3.1 A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly.

§ 7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order.

§ 7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

- .1 Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
- .2 Unit prices stated in the Contract Documents or subsequently agreed upon;
- .3 Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
- .4 As provided in Section 7.3.4.

§ 7.3.4 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may

prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:

- .1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers' compensation insurance, and other employee costs approved by the Architect;
- .2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
- .3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
- .4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and
- .5 Costs of supervision and field office personnel directly attributable to the change.

§ 7.3.5 If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

§ 7.3.6 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect of the Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

§ 7.3.7 A Construction Change Directive signed by the Contractor indicates the Contractor's agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

§ 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect's professional judgment, to be reasonably justified. The Architect's interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

§ 7.3.10 When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

§ 7.4 Minor Changes in the Work

The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect's order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect's order for a minor change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

ARTICLE 8 TIME

§ 8.1 Definitions

§ 8.1.1 Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

§ 8.1.2 The date of commencement of the Work is the date established in the Agreement.

§ 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

§ 8.2 Progress and Completion

§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, commence the Work prior to the effective date of insurance required to be furnished by the Contractor and Owner.

§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

§ 8.3 Delays and Extensions of Time

§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor's control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Architect determines, justify delay, then the Contract Time shall be extended for such reasonable time as the Architect may determine.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15.

§ 8.3.3 This Section 8.3 does not preclude recovery of damages for delay by either party under other provisions of the Contract Documents.

ARTICLE 9 PAYMENTS AND COMPLETION

§ 9.1 Contract Sum

§ 9.1.1 The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.1.2 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

§ 9.2 Schedule of Values

Where the Contract is based on a stipulated sum or Guaranteed Maximum Price, the Contractor shall submit a schedule of values to the Architect before the first Application for Payment, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Architect. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's Applications for Payment. Any changes to the schedule of values shall be submitted to the Architect and supported by such data to substantiate its accuracy as the Architect may require, and unless objected to by the Architect, shall be used as a basis for reviewing the Contractor's subsequent Applications for Payment.

§ 9.3 Applications for Payment

§ 9.3.1 At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized, if required, and supported by all data substantiating the Contractor's right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents.

§ 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

§ 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.

§ 9.3.1.3 The provisions of Title 5 M.R.S.A § 1746, as amended, pertain to this project. The Owner shall retain five percent (5%) of each payment due the Contractor as part of the security for the fulfillment of the Contract Agreement by the Contractor; the Contractor shall not withhold a greater percentage from subcontractors. The Owner may, if deemed expedient by the Owner, cause the Contractor to be paid temporarily or permanently from time to time during the progress of the work, such portion of the amount retained as the Owner deems prudent or desirable.

§ 9.3.2 Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner's title to such materials and equipment or otherwise protect the Owner's interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site.

§ 9.3.3 The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall, to the best of the Contractor's knowledge, information, and belief, be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.

§ 9.4 Certificates for Payment

§ 9.4.1 The Architect will, within seven days after receipt of the Contractor's Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect's reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect's reason for withholding certification in whole as provided in Section 9.5.1.

§ 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Architect to the Owner, based on the Architect's evaluation of the Work and the data in the Application for Payment, that, to the best of the Architect's knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment; or (4) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

§ 9.5 Decisions to Withhold Certification

§ 9.5.1 The Architect ~~may~~ shall withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect's opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to

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make such representations to the Owner. The Architect ~~may~~ shall also withhold a Certificate for Payment or, because of subsequently discovered evidence, ~~may~~ shall nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect's opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

- .1 defective Work, i.e. Work that does not conform to the requirements of the Contract, shall include, but not be limited to, non-conforming Work, disputed Work, incomplete Work, and unacceptable Work, which is not remedied;
 - .1 The Architect shall deduct and withhold from any certification for payment an amount equal to one hundred and fifty percent (150%) the value of any defective Work.
- .2 third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
- .3 failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
- .4 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .5 damage to the Owner or a Separate Contractor;
- .6 reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay; or
- .7 repeated failure to carry out the Work in accordance with the Contract Documents.

§ 9.5.2 When either party disputes the Architect's decision regarding a Certificate for Payment under Section 9.5.1, in whole or in part, that party may submit a Claim in accordance with Article 15.

§ 9.5.3 When the reasons for withholding certification are removed, certification will be made for amounts previously withheld.

§ 9.5.4 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or supplier to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Contractor shall reflect such payment on its next Application for Payment.

§ 9.6 Progress Payments

§ 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

§ 9.6.2 The Contractor shall pay each Subcontractor, no later than seven days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor's portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner.

§ 9.6.3 The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

§ 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. If the Contractor fails to furnish such evidence within seven days, the Owner shall have the right to contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

§ 9.6.5 The Contractor's payments to suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

§ 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

§ 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.

§ 9.6.8 Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney's fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

§ 9.6.9 All Progress Payments and Final Payment are subject to the requirements of the "Maine Prompt Pay Act" Title 10 M.R.S.A. ch. 201-A, as amended. Payments shall be made on a timely basis in accord with the requirements of this Statute; however, the Contractor waives interest on any late payment.

§ 9.7 Failure of Payment

If the Architect does not issue a Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the Contractor's Application for Payment, or if the Owner does not pay the Contractor within seven days after the date established in the Contract Documents, the amount certified by the Architect or awarded by binding dispute resolution, then the Contractor may, upon seven additional days' notice to the Owner and Architect, stop the Work until payment of the amount owing has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable costs of shutdown, delay and start-up, plus interest as provided for in the Contract Documents.

§ 9.8 Substantial Completion

§ 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use.

§ 9.8.2 When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall prepare and submit to the Architect a comprehensive list of items to be completed or corrected prior to final payment. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

§ 9.8.3 Upon receipt of the Contractor's list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect's inspection discloses any item, whether or not included on the Contractor's list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.

§ 9.8.4 When the Work or designated portion thereof is substantially complete, the Architect will prepare a Certificate of Substantial Completion that shall establish the date of Substantial Completion; establish responsibilities of the Owner and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance; and fix the time within which the Contractor shall finish all items on the list accompanying the Certificate. Warranties required by the Contract Documents shall commence on the date of Substantial Completion of the Work or designated portion thereof unless otherwise provided in the Certificate of Substantial Completion.

§ 9.8.5 The Certificate of Substantial Completion shall be submitted to the Owner and Contractor for their written acceptance of responsibilities assigned to them in the Certificate. Upon such acceptance, and consent of surety if any, the Owner shall make payment of retainage applying to the Work or designated portion thereof. Such payment shall be adjusted for Work that is incomplete or not in accordance with the requirements of the Contract Documents.

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§ 9.9 Partial Occupancy or Use

§ 9.9.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

§ 9.9.2 Immediately prior to such partial occupancy or use, the Owner, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

§ 9.9.3 Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

§ 9.10 Final Completion and Final Payment

§ 9.10.1 Upon receipt of the Contractor's notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect's knowledge, information and belief, and on the basis of the Architect's on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect's final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor's being entitled to final payment have been fulfilled.

- .1 Except with the consent of the Owner, the Architect will perform no more than three (3) site reviews to determine whether the Work or a designated portion thereof has attained Final Completion in accordance with the Contract Documents. The Owner shall be entitled to deduct from the Contract Sum amounts paid to the Architect for any additional site reviews.

§ 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner's property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect, (3) a written statement that the Contractor knows of no reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment, (5) documentation of any special warranties, such as manufacturers' warranties or specific Subcontractor warranties, and (6) if required by the Owner, other data establishing payment or satisfaction of obligations, such as receipts and releases and waivers of liens, claims, security interests, or encumbrances arising out of the Contract, to the extent and in such form as may be designated by the Owner. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may furnish a bond satisfactory to the Owner to indemnify the Owner against such lien, claim, security interest, or encumbrance. If a lien, claim, security interest, or encumbrance remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging the lien, claim, security interest, or encumbrance, including all costs and reasonable attorneys' fees.

§ 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to

certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

§ 9.10.4 The making of final payment shall constitute a waiver of Claims by the Owner except those arising from

- .1 liens, Claims, security interests, or encumbrances arising out of the Contract and unsettled;
- .2 failure of the Work to comply with the requirements of the Contract Documents;
- .3 terms of special warranties required by the Contract Documents; or
- .4 audits performed by the Owner, if permitted by the Contract Documents, after final payment.

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

§ 9.11 The Contractor and the Contractor's Surety, if any, shall be liable for and shall pay the Owner the sums stipulated as liquidated damages in the Contract Documents for each calendar day of delay after the date established for Substantial Completion in the Contract Documents until the Work is substantially complete.

ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

§ 10.1 Safety Precautions and Programs

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

§ 10.2 Safety of Persons and Property

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

- .1 employees on the Work and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.
- .4 If this Contract involves renovation, repair, or preparation of surfaces for painting in pre-1978 apartments, houses, or spaces used by child care facilities, Contractor shall use certified workers who follow the lead-safe work practices as required by the US Environmental Protection Agency's Renovation, Repair and Remodeling rule described in 40 CFR § 745.85. Notification of the tenants or users under this rule will be the responsibility of the Owner.

§ 10.2.2 The Contractor shall comply with, and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss.

§ 10.2.3 The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards.

§ 10.2.4 When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

§ 10.2.5 The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Sections 10.2.1.2 and 10.2.1.3 caused in whole or in part by the Contractor, a Subcontractor, a Sub-subcontractor, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable and for which the Contractor is responsible under Sections 10.2.1.2 and 10.2.1.3. The Contractor may make a Claim for the cost to remedy the damage or loss to the extent such damage or loss is attributable to acts or omissions of the Owner or Architect or anyone directly or indirectly employed by either of them, or by anyone for whose acts either of them may be liable, and not attributable to

the fault or negligence of the Contractor. The foregoing obligations of the Contractor are in addition to the Contractor's obligations under Section 3.18.

§ 10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.

§ 10.2.7 The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

§ 10.2.8 Injury or Damage to Person or Property

If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

§ 10.3 Hazardous Materials and Substances

§ 10.3.1 The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents and if reasonable precautions will be inadequate to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB), encountered on the site by the Contractor, the Contractor shall, upon recognizing the condition, immediately stop Work in the affected area and notify the Owner and Architect of the condition.

§ 10.3.2 Upon receipt of the Contractor's notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. ~~Unless otherwise required by the Contract Documents, the Owner shall furnish in writing to the Contractor and Architect the names and qualifications of persons or entities who are to perform tests verifying the presence or absence of the material or substance or who are to perform the task of removal or safe containment of the material or substance. The Contractor and the Architect will promptly reply to the Owner in writing stating whether or not either has reasonable objection to the persons or entities proposed by the Owner. If either the Contractor or Architect has an objection to a person or entity proposed by the Owner, the Owner shall propose another to whom the Contractor and the Architect have no reasonable objection.~~ When the material or substance has been rendered harmless, Work in the affected area shall resume upon written agreement of the Owner and Contractor. ~~By Change Order, the Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor's reasonable additional costs of shutdown, delay, and start-up.~~

§ 10.3.3 To the fullest extent permitted by law, the Owner shall indemnify and hold harmless the Contractor, Subcontractors, Architect, Architect's consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, ~~including but not limited to exclusive of attorneys' fees,~~ arising out of or resulting from performance of the Work in the affected area if in fact the material or substance presents the risk of bodily injury or death as described in Section 10.3.1 and has not been rendered harmless, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), except to the extent that such damage, loss, or expense is due to the fault or negligence of the party seeking indemnity. This indemnification obligation shall not apply to any claim for which Owner would not be liable under the Maine Tort Claims Act (14 M.R.S.A. '8101, et seq.) if such claim were made directly against Owner and Owner shall continue to enjoy all rights, claims, immunities and defenses available to it under law.

§ 10.3.4 The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor's fault or negligence in the use and handling of such materials or substances.

§ 10.3.5 The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the

Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner's fault or negligence.

§ 10.3.6 If, without negligence on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby ~~incurred~~incurred, exclusive of attorneys' fees.

§ 10.4 Emergencies

In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor's discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7.

ARTICLE 11 INSURANCE AND BONDS

§ 11.1 Contractor's Insurance and Bonds

§ 11.1.1 The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Contractor shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Owner, Architect, and Architect's consultants shall be named as additional insureds under the Contractor's commercial general liability policy or as otherwise described in the Contract Documents.

§ 11.1.2 The Contractor shall provide surety bonds of the types, for such penal sums, and subject to such terms and conditions as required by the Contract Documents. The Contractor shall purchase and maintain the required bonds from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located.

§ 11.1.3 Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

§ 11.1.4 Notice of Cancellation or Expiration of Contractor's Required Insurance. Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

§ 11.2 Owner's Insurance

§ 11.2.1 The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located.

§ 11.2.2 Failure to Purchase Required Property Insurance. ~~If the Owner fails to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform the Contractor in writing prior to commencement of the Work. Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted. In the event the Owner fails to procure coverage, the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent the loss to the Owner would have been covered by the insurance to have been procured by the Owner. The cost of the insurance shall be charged to the Owner by a Change Order. If the Owner does not provide written notice, and the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain the required insurance, the Owner shall reimburse the Contractor for all reasonable costs and damages attributable thereto.~~

§ 11.2.3 Notice of Cancellation or Expiration of Owner's Required Property Insurance. Within three (3) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice to the Contractor of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

§ 11.3 Waivers of Subrogation

§ 11.3.1 The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents, and employees, each of the other; (2) the Architect and Architect's consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect's consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

§ 11.3.2 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

§ 11.4 Loss of Use, Business Interruption, and Delay in Completion Insurance

The Owner, at the Owner's option, may purchase and maintain insurance that will protect the Owner against loss of use of the Owner's property, or the inability to conduct normal operations, due to fire or other causes of loss. The Owner waives all rights of action against the Contractor and Architect for loss of use of the Owner's property, due to fire or other hazards ~~however caused~~caused, with the exception of intentional acts or grossly negligent consultants, contractors or sub-contractors.

§11.5 Adjustment and Settlement of Insured Loss

§ 11.5.1 A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner as fiduciary for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

§ 11.5.2 Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have ~~14~~30 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising

out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

ARTICLE 12 UNCOVERING AND CORRECTION OF WORK

§ 12.1 Uncovering of Work

§ 12.1.1 If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect's examination and be replaced at the Contractor's expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor's expense.

§ 12.2 Correction of Work

§ 12.2.1 Before Substantial Completion

The Contractor shall promptly correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect's services and expenses made necessary thereby, shall be at the Contractor's expense.

§ 12.2.2 After Substantial Completion

§ 12.2.2.1 In addition to the Contractor's obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.

§ 12.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

§ 12.2.2.3 The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2.

§ 12.2.3 The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

§ 12.2.4 The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor's correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

§ 12.2.5 Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

§ 12.3 Acceptance of Nonconforming Work

If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

ARTICLE 13 MISCELLANEOUS PROVISIONS

§ 13.1 Governing Law

The Contract shall be governed by the law of the place where the Project is located, excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 15.4.

§ 13.2 Successors and Assigns

§ 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. Except as provided in Section 13.2.2, neither party to the Contract shall assign the Contract as a whole without written consent of the other. If either party attempts to make an assignment without such consent, that party shall nevertheless remain legally responsible for all obligations under the Contract.

§ 13.2.2 The Owner may, without consent of the Contractor, assign the Contract to a lender providing construction financing for the Project, if the lender assumes the Owner's rights and obligations under the Contract Documents. The Contractor shall execute all consents reasonably required to facilitate the assignment.

§ 13.3 Rights and Remedies

§ 13.3.1 Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

§ 13.3.2 No action or failure to act by the Owner, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

§ 13.4 Tests and Inspections

§ 13.4.1 Tests, inspections, and approvals of portions of the Work shall be made as required by the Contract Documents and by applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

§ 13.4.2 If the Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3, shall be at the Owner's expense.

§ 13.4.3 If procedures for testing, inspection, or approval under Sections 13.4.1 and 13.4.2 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, all costs made necessary by such failure, including those of repeated procedures and compensation for the Architect's services and expenses, shall be at the Contractor's expense.

§ 13.4.4 Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

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§ 13.4.5 If the Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

§ 13.4.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

~~§ 13.5 Interest~~

~~Payments due and unpaid under the Contract Documents shall bear interest from the date payment is due at the rate the parties agree upon in writing or, in the absence thereof, at the legal rate prevailing from time to time at the place where the Project is located.~~

ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

§ 14.1 Termination by the Contractor

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:

- .1 Issuance of an order of a court or other public authority having jurisdiction that requires all Work to be stopped;
- .2 An act of government, such as a declaration of national emergency, that requires all Work to be stopped;
- .3 Because the Architect has not issued a Certificate for Payment and has not notified the Contractor of the reason for withholding certification as provided in Section 9.4.1, or because the Owner has not made payment on a Certificate for Payment within the time stated in the Contract Documents; or
- ~~.4 The Owner has failed to furnish to the Contractor reasonable evidence as required by Section 2.2.~~

§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon seven days' notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed, as well as reasonable overhead and profit on Work not executed, and costs incurred by reason of such termination.

§ 14.1.4 If the Work is stopped for a period of 60 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, or their agents or employees or any other persons or entities performing portions of the Work because the Owner has repeatedly failed to fulfill the Owner's obligations under the Contract Documents with respect to matters important to the progress of the Work, the Contractor may, upon seven additional days' notice to the Owner and the Architect, terminate the Contract and recover from the Owner as provided in Section 14.1.3.

§ 14.2 Termination by the Owner for Cause

§ 14.2.1 The Owner may terminate the Contract if the Contractor

- .1 repeatedly refuses or fails to supply enough properly skilled workers or proper materials;
- .2 fails to make payment to Subcontractors or suppliers in accordance with the respective agreements between the Contractor and the Subcontractors or suppliers;
- .3 ~~repeatedly~~ disregards applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of a public authority; or
- .4 otherwise is guilty of substantial breach of a provision of the Contract Documents.

§ 14.2.2 When any of the reasons described in Section 14.2.1 exist, and upon certification by the Architect that sufficient cause exists to justify such action, the Owner may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor's surety, if any, seven days' notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

Init.

- .1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 Accept assignment of subcontracts pursuant to Section 5.4; and
- .3 Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

§ 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

§ 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect's services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

§ 14.3 Suspension by the Owner for Convenience

§ 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.

§ 14.3.2 The Contract Sum and Contract Time shall be adjusted for increases in the cost and time caused by suspension, delay, or interruption under Section 14.3.1. Adjustment of the Contract Sum shall include profit. No adjustment shall be made to the extent

- .1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or
- .2 that an equitable adjustment is made or denied under another provision of the Contract.

§ 14.4 Termination by the Owner for Convenience

§ 14.4.1 The Owner may, at any time, terminate the Contract for the Owner's convenience and without cause.

§ 14.4.2 Upon receipt of notice from the Owner of such termination for the Owner's convenience, the Contractor shall

- .1 cease operations as directed by the Owner in the notice;
- .2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and
- .3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

§ 14.4.3 In case of such termination for the Owner's convenience, the Owner shall pay the Contractor for Work properly executed; costs incurred by reason of the termination, including costs attributable to termination of Subcontracts; and the termination fee, if any, set forth in the ~~Agreement~~Agreement; but not including overhead and profit on Work not executed.

ARTICLE 15 CLAIMS AND DISPUTES

§ 15.1 Claims

§ 15.1.1 Definition

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term "Claim" also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose liquidated damages in accordance with the Contract Documents.

§ 15.1.2 Time Limits on Claims

The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law,

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but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

§ 15.1.3 Notice of Claims

§ 15.1.3.1 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later.

§ 15.1.3.2 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

§ 15.1.4 Continuing Contract Performance

§ 15.1.4.1 Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents.

§ 15.1.4.2 The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker's decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

§ 15.1.5 Claims for Additional Cost

If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

§ 15.1.6 Claims for Additional Time

§ 15.1.6.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, notice as provided in Section 15.1.3 shall be given. The Contractor's Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. In the case of a continuing delay, only one Claim is necessary.

§ 15.1.6.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction.

§ 15.1.7 Waiver of Claims for Consequential Damages

The Contractor and Owner waive Claims against each other for consequential damages arising out of or relating to this Contract. This mutual waiver includes

- .1 damages incurred by the Owner for rental expenses, for losses of use, income, profit, financing, business and reputation, and for loss of management or employee productivity or of the services of such persons; and
- .2 damages incurred by the Contractor for principal office expenses including the compensation of personnel stationed there, for losses of financing, business and reputation, and for loss of profit, except anticipated profit arising directly from the Work.

This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination in accordance with Article 14. Nothing contained in this Section 15.1.7 shall be deemed to preclude assessment of liquidated damages, when applicable, in accordance with the requirements of the Contract Documents.

§ 15.2 Initial Decision

§ 15.2.1 Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision

shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker's sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner's expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

§ 15.2.6 Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.

§ 15.2.6.1 Either party may, within 30 days from the date of receipt of an initial decision, demand in writing that the other party file for mediation. If such a demand is made and the party receiving the demand fails to file for mediation within 30 days after receipt thereof, then both parties waive their rights to mediate or pursue binding dispute resolution proceedings with respect to the initial decision.

§ 15.2.7 In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor's default, the Owner may, but is not obligated to, notify the surety and request the surety's assistance in resolving the controversy.

§ 15.2.8 If a Claim relates to or is the subject of a mechanic's lien, the party asserting such Claim may proceed in accordance with applicable law to comply with the lien notice or filing deadlines.

§ 15.3 Mediation

§ 15.3.1 Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4, 9.10.5, and 15.1.7, shall be subject to mediation as a condition precedent to binding dispute resolution.

§ 15.3.2 The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of

60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

§ 15.3.3 Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.

§ 15.3.4 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

§ 15.4 Arbitration

§ 15.4.1 ~~If the~~ The parties have selected arbitration as the method for binding dispute resolution in the Agreement, any ~~Claim~~ this Agreement, any claim, dispute or other matter in question arising out of or related to this Agreement subject to, but not resolved by, mediation shall be subject to ~~arbitration which, arbitration, which~~ unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association conducted in the place where the Project is located, unless another place is mutually agreed upon, and in accordance with its Construction Industry Arbitration Rules in effect on the date of the Agreement. ~~The Arbitration shall be conducted in the place where the Project is located, unless another location is mutually agreed upon, this Agreement, except that the parties shall select only one Arbitrator, and there shall be no discovery. A demand for arbitration shall be made in writing, delivered to the other party to the Contract, this Agreement, and filed with the person or entity administering the arbitration. The party filing a notice of demand for arbitration must assert in the demand all Claims then known to that party on which arbitration is permitted to be demanded, defended.~~

§ 15.4.1.1 A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the Claim would be barred by the applicable statute of limitations. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the Claim.

§ 15.4.2 The award rendered by the arbitrator or arbitrators shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

§ 15.4.3 The foregoing agreement to arbitrate and other agreements to arbitrate with an additional person or entity duly consented to by parties to the Agreement, shall be specifically enforceable under applicable law in any court having jurisdiction thereof.

§ 15.4.4 Consolidation or Joinder

§ 15.4.4.1 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation, (2) the arbitrations to be consolidated substantially involve common questions of law or fact, and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

§ 15.4.4.2 Subject to the rules of the American Arbitration Association or other applicable arbitration rules, either party may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

§ 15.4.4.3 The Owner and Contractor grant to any person or entity made a party to an arbitration conducted under this Section 15.4, whether by joinder or consolidation, the same rights of joinder and consolidation as those of the Owner and Contractor under this Agreement.

SECTION 00 73 00.11

SAMPLE

SCHEDULE OF LIQUIDATED DAMAGES

Liquidated damages (a fixed amount set forth in the Contract) agreed to by the Owner and the Contractor are intended to compensate the Owner for unexcused delay in the performance of the Contract. The parties agree that the purpose of the liquidated damages schedule below is to establish, in advance, a reasonable estimate of the damages that would be incurred by the Owner if there is an unexcused delay, or a breach of Contract, which causes the work to be extended beyond the contractual substantial completion date. This agreement of liquidated damages by the parties is made to establish the reasonableness of them to the actual damages an Owner may have incurred due to unexcused delays by the Contractor, even though the actual damages may be an uncertain amount and unprovable.

The specific per diem rates of Liquidated Damages are (____/[enter amt if can reasonably determine-provide method of determination; otherwise] set forth below). By executing the Contract, the Contractor acknowledges that such an amount is not a penalty and that the daily amount set forth in the Contract is a reasonable per diem forecast of damages incurred by the Owner due to the Contractor's failure to complete the Work within the Contract Time.

Original Contract Amount		Per Diem Amount of Liquidated Damages
From More Than	To and Including	
0	\$100,000	\$500
\$100,000	\$300,000	\$675
\$300,000	\$500,000	\$750
\$500,000	\$1,000,000	\$825
\$1,000,000	\$2,000,000	\$1,000
\$2,000,000	\$4,000,000	\$1,250
\$4,000,000	and more	\$1,500

END OF SECTION 00 73 00.11



AIA® Document A101® – 2017 Exhibit A

Insurance and Bonds

This Insurance and Bonds Exhibit is part of the Agreement, between the Owner and the Contractor, dated the N/A day of in the year Sample
(In words, indicate day, month and year.)

for the following **PROJECT**:
(Name and location or address)

THE OWNER:
(Name, legal status and address)

University of Maine System
by and through
University of Maine
5765 Service Building
Orono, ME 04469

THE CONTRACTOR:
(Name, legal status and address)

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

This document is intended to be used in conjunction with AIA Document A201®–2017, General Conditions of the Contract for Construction. Article 11 of A201®–2017 contains additional insurance provisions.

TABLE OF ARTICLES

- A.1 GENERAL**
- A.2 OWNER'S INSURANCE**
- A.3 CONTRACTOR'S INSURANCE AND BONDS**
- A.4 SPECIAL TERMS AND CONDITIONS**

ARTICLE A.1 GENERAL

The Owner and Contractor shall purchase and maintain insurance, and provide bonds, as set forth in this Exhibit. As used in this Exhibit, the term General Conditions refers to AIA Document A201™–2017, General Conditions of the Contract for Construction.

ARTICLE A.2 OWNER'S INSURANCE

§ A.2.1 General

Prior to commencement of the Work, the Owner shall secure the insurance, and provide evidence of the coverage, required under this Article A.2 and, upon the Contractor's request, provide a copy of the property insurance policy or policies required by Section A.2.3. The copy of the policy or policies provided shall contain all applicable conditions, definitions, exclusions, and endorsements.

§ A.2.2 Liability Insurance

The Owner shall be responsible for purchasing and maintaining the Owner's usual general liability insurance.

§ A.2.3 Required Property Insurance

§ A.2.3.1 Unless this obligation is placed on the Contractor pursuant to Section A.3.3.2.1, the Owner shall purchase and maintain, from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located, property insurance written on a builder's risk "all-risks" completed value or equivalent policy form and sufficient to cover the total value of the entire Project on a replacement cost basis. The Owner's property insurance coverage shall be no less than the amount of the initial Contract Sum, plus the value of subsequent Modifications and labor performed and materials or equipment supplied by others. The property insurance shall be maintained until Substantial Completion and thereafter as provided in Section A.2.3.1.3, unless otherwise provided in the Contract Documents or otherwise agreed in writing by the parties to this Agreement. This insurance shall include the interests of the Owner, Contractor, Subcontractors, and Sub-subcontractors in the Project as insureds. This insurance shall include the interests of mortgagees as loss payees.

§ A.2.3.1.1 Causes of Loss. The insurance required by this Section A.2.3.1 shall provide coverage for direct physical loss or damage, and shall not exclude the risks of fire, explosion, theft, vandalism, malicious mischief, collapse, earthquake, flood, or windstorm. The insurance shall also provide coverage for ensuing loss or resulting damage from error, omission, or deficiency in construction methods, design, specifications, workmanship, or materials. Sub-limits, if any, are as follows:

(Indicate below the cause of loss and any applicable sub-limit.)

§ A.2.3.1 For this project, Property Insurance coverage, up to the total amount of the Project, will be provided by the University by either adding the Project to the University's existing master property insurance or purchasing a stand-alone builder's risk policy. Coverage shall be included for the Contractor and all Subcontractors, as their interests may appear, while involved in the Project and until the work is completed or the contractor is otherwise advised in writing. This insurance is limited to the "all risk" type coverage provided under the University's master property insurance for direct physical loss or damage to the building or building materials related to the project, subject to standard policy limitations and exclusions. The contractor is responsible for a \$10,000 per claim deductible. Any other insurance desired by the Contractor beyond that covered by the University's insurance, or to cover the \$10,000 deductible, is the responsibility of the Contractor. This contract stands as verification of the University's property insurance coverage on the project and no further verification will be provided.

Causes of Loss

Sub-Limit

§ A.2.3.1.2 Specific Required Coverages. The insurance required by this Section A.2.3.1 shall provide coverage for loss or damage to falsework and other temporary structures, and to building systems from testing and startup. The insurance shall also cover debris removal, including demolition occasioned by enforcement of any applicable legal requirements, and reasonable compensation for the Architect's and Contractor's services and expenses required as a result of such insured loss, including claim preparation expenses. Sub-limits, if any, are as follows:

(Indicate below type of coverage and any applicable sub-limit for specific required coverages.)

Coverage

Sub-Limit

§ A.2.3.1.3 Unless the parties agree otherwise, upon Substantial Completion, the Owner shall continue the insurance required by Section A.2.3.1 or, if necessary, replace the insurance policy required under Section A.2.3.1 with property insurance written for the total value of the Project that shall remain in effect until expiration of the period for correction of the Work set forth in Section 12.2.2 of the General Conditions.

§ A.2.3.1.4 Deductibles and Self-Insured Retentions. If the insurance required by this Section A.2.3 is subject to deductibles or self-insured retentions, the Owner shall be responsible for all loss not covered because of such deductibles or retentions.

§ A.2.3.2 Occupancy or Use Prior to Substantial Completion. The Owner's occupancy or use of any completed or partially completed portion of the Work prior to Substantial Completion shall not commence until the insurance company or companies providing the insurance under Section A.2.3.1 have consented in writing to the continuance of

coverage. The Owner and the Contractor shall take no action with respect to partial occupancy or use that would cause cancellation, lapse, or reduction of insurance, unless they agree otherwise in writing.

§ A.2.3.3 Insurance for Existing Structures

If the Work involves remodeling an existing structure or constructing an addition to an existing structure, the Owner shall purchase and maintain, until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, "all risks" property insurance, on a replacement cost basis, protecting the existing structure against direct physical loss or damage from the causes of loss identified in Section A.2.3.1, notwithstanding the undertaking of the Work. The Owner shall be responsible for all co-insurance penalties.

§ A.2.4 Optional Extended Property Insurance.

The Owner shall purchase and maintain the insurance selected and described below.

(Select the types of insurance the Owner is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance. For each type of insurance selected, indicate applicable limits of coverage or other conditions in the fill point below the selected item.)

- ☐ **§ A.2.4.1 Loss of Use, Business Interruption, and Delay in Completion Insurance**, to reimburse the Owner for loss of use of the Owner's property, or the inability to conduct normal operations due to a covered cause of loss.
- ☐ **§ A.2.4.2 Ordinance or Law Insurance**, for the reasonable and necessary costs to satisfy the minimum requirements of the enforcement of any law or ordinance regulating the demolition, construction, repair, replacement or use of the Project.
- ☐ **§ A.2.4.3 Expediting Cost Insurance**, for the reasonable and necessary costs for the temporary repair of damage to insured property, and to expedite the permanent repair or replacement of the damaged property.
- ☐ **§ A.2.4.4 Extra Expense Insurance**, to provide reimbursement of the reasonable and necessary excess costs incurred during the period of restoration or repair of the damaged property that are over and above the total costs that would normally have been incurred during the same period of time had no loss or damage occurred.
- ☐ **§ A.2.4.5 Civil Authority Insurance**, for losses or costs arising from an order of a civil authority prohibiting access to the Project, provided such order is the direct result of physical damage covered under the required property insurance.
- ☐ **§ A.2.4.6 Ingress/Egress Insurance**, for loss due to the necessary interruption of the insured's business due to physical prevention of ingress to, or egress from, the Project as a direct result of physical damage.
- ☐ **§ A.2.4.7 Soft Costs Insurance**, to reimburse the Owner for costs due to the delay of completion of the Work, arising out of physical loss or damage covered by the required property insurance: including construction loan fees; leasing and marketing expenses; additional fees, including those of architects,

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engineers, consultants, attorneys and accountants, needed for the completion of the construction, repairs, or reconstruction; and carrying costs such as property taxes, building permits, additional interest on loans, realty taxes, and insurance premiums over and above normal expenses.

§ A.2.5 Other Optional Insurance.

The Owner shall purchase and maintain the insurance selected below.

(Select the types of insurance the Owner is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance.)

☐ § A.2.5.1 Cyber Security Insurance for loss to the Owner due to data security and privacy breach, including costs of investigating a potential or actual breach of confidential or private information. *(Indicate applicable limits of coverage or other conditions in the fill point below.)*

☐ § A.2.5.2 Other Insurance
(List below any other insurance coverage to be provided by the Owner and any applicable limits.)

Coverage

Limits

ARTICLE A.3 CONTRACTOR'S INSURANCE AND BONDS

§ A.3.1 General

§ A.3.1.1 Certificates of Insurance. The Contractor shall provide certificates of insurance acceptable to the Owner evidencing compliance with the requirements in this Article A.3 at the following times: (1) prior to commencement of the Work; (2) upon renewal or replacement of each required policy of insurance; and (3) upon the Owner's written request. An additional certificate evidencing continuation of commercial liability coverage, including coverage for completed operations, shall be submitted with the final Application for Payment and thereafter upon renewal or replacement of such coverage until the expiration of the periods required by Section A.3.2.1 and Section A.3.3.1. The certificates will show the Owner as an additional insured on the Contractor's Commercial General Liability and excess or umbrella liability policy or policies.

§ A.3.1.1.1 Certificates of Insurance filed with the University of Maine System shall indicate the Certificate Holder as:

University of Maine System
Office of Risk Management
Robinson Hall
46 University Drive
Augusta, ME 04330

§ A.3.1.2 Deductibles and Self-Insured Retentions. The Contractor shall disclose to the Owner any deductible or self-insured retentions applicable to any insurance required to be provided by the Contractor.

§ A.3.1.3 Additional Insured Obligations. To the fullest extent permitted by law, the Contractor shall cause the commercial general liability coverage to include (1) the Owner, the Architect, and the Architect's consultants as additional insureds for claims caused in whole or in part by the Contractor's negligent acts or omissions during the Contractor's operations; and (2) the Owner as an additional insured for claims caused in whole or in part by the Contractor's negligent acts or omissions for which loss occurs during completed operations. The additional insured coverage shall be primary and non-contributory to any of the Owner's general liability insurance policies and shall apply to both ongoing and completed operations. To the extent commercially available, the additional insured coverage shall be no less than that provided by Insurance Services Office, Inc. (ISO) forms CG 20 10 07 04, CG 20 37 07 04, and, with respect to the Architect and the Architect's consultants, CG 20 32 07 04. All required insurance shall be provided by companies that have a current A.M. Best insurance rating of A- or better and that are licensed or approved to do business in the State of Maine.

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§ A.3.2 Contractor's Required Insurance Coverage

§ A.3.2.1 The Contractor shall purchase and maintain the following types and limits of insurance from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Contractor shall maintain the required insurance until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, unless a different duration is stated below:

(If the Contractor is required to maintain insurance for a duration other than the expiration of the period for correction of Work, state the duration.)

§ A.3.2.2 Commercial General Liability

§ A.3.2.2.1 Commercial General Liability insurance for the Project written on an occurrence form with policy limits of not less than two million dollars (\$ 2,000,000) each occurrence, two million dollars (\$ 2,000,000) general aggregate, and two million dollars (\$ 2,000,000) aggregate for products-completed operations hazard, providing coverage for claims including

- .1 damages because of bodily injury, sickness or disease, including occupational sickness or disease, and death of any person;
- .2 personal injury and advertising injury;
- .3 damages because of physical damage to or destruction of tangible property, including the loss of use of such property;
- .4 bodily injury or property damage arising out of completed operations; and
- .5 the Contractor's indemnity obligations under Section 3.18 of the General Conditions.

§ A.3.2.2.2 The Contractor's Commercial General Liability policy under this Section A.3.2.2 shall not contain an exclusion or restriction of coverage for the following:

- .1 Claims by one insured against another insured, if the exclusion or restriction is based solely on the fact that the claimant is an insured, and there would otherwise be coverage for the claim.
- .2 Claims for property damage to the Contractor's Work arising out of the products-completed operations hazard where the damaged Work or the Work out of which the damage arises was performed by a Subcontractor.
- .3 Claims for bodily injury other than to employees of the insured.
- .4 Claims for indemnity under Section 3.18 of the General Conditions arising out of injury to employees of the insured.
- .5 Claims or loss excluded under a prior work endorsement or other similar exclusionary language.
- .6 Claims or loss due to physical damage under a prior injury endorsement or similar exclusionary language.
- .7 Claims related to residential, multi-family, or other habitational projects, if the Work is to be performed on such a project.
- .8 Claims related to roofing, if the Work involves roofing.
- .9 Claims related to exterior insulation finish systems (EIFS), synthetic stucco or similar exterior coatings or surfaces, if the Work involves such coatings or surfaces.
- .10 Claims related to earth subsidence or movement, where the Work involves such hazards.
- .11 Claims related to explosion, collapse and underground hazards, where the Work involves such hazards.

§ A.3.2.3 Automobile Liability covering vehicles owned, and non-owned vehicles used, by the Contractor, with policy limits of not less than one million dollars (\$ 1,000,000) per accident, for bodily injury, death of any person, and property damage arising out of the ownership, maintenance and use of those motor vehicles along with any other statutorily required automobile coverage.

§ A.3.2.4 The Contractor may achieve the required limits and coverage for Commercial General Liability and Automobile Liability through a combination of primary and excess or umbrella liability insurance, provided such primary and excess or umbrella insurance policies result in the same or greater coverage as the coverages required under Section A.3.2.2 and A.3.2.3, and in no event shall any excess or umbrella liability insurance provide narrower coverage than the primary policy. The excess policy shall not require the exhaustion of the underlying limits only through the actual payment by the underlying insurers.

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§ A.3.2.5 Workers' Compensation at statutory limits.

§ A.3.2.6 Employers' Liability with policy limits not less than five hundred thousand dollars (\$ 500,000.) each accident, five hundred thousand dollars (\$ 500,000.) each employee, and five hundred thousand dollars (\$ 500,000.) policy limit.

§ A.3.2.7 Jones Act, and the Longshore & Harbor Workers' Compensation Act, as required, if the Work involves hazards arising from work on or near navigable waterways, including vessels and ~~docks~~ docks. Policy limits for such coverage shall not be less than five hundred thousand dollars (\$500,000) each accident, five hundred thousand dollars (\$500,000) each employee, and five hundred thousand dollars (\$500,000) policy limit. Contractor is required to provide proof of such coverage, if applicable to the Work, by submitting a copy of the endorsement or by submitting the USLH form WC 00 01 06 A (current edition).

§ A.3.2.8 If the Contractor is required to furnish professional services as part of the Work, the Contractor shall procure Professional Liability insurance covering performance of the professional services, with policy limits of not less than one million dollars (\$ 1,000,000.) per claim and one million dollars (\$ 1,000,000.) in the aggregate.

§ A.3.2.9 If the Work involves the transport, dissemination, use, or release of pollutants, the Contractor shall procure Pollution Liability insurance, with policy limits of not less than one million dollars (\$ 1,000,000.) per claim and two million dollars (\$ 2,000,000.) in the aggregate.

§ A.3.2.10 Coverage under Sections A.3.2.8 and A.3.2.9 may be procured through a Combined Professional Liability and Pollution Liability insurance policy, with combined policy limits of not less than one million dollars (\$ 1,000,000.) per claim and two million dollars (\$ 2,000,000.) in the aggregate.

§ A.3.2.11 Insurance for maritime liability risks associated with the operation of a vessel, if the Work requires such activities, with policy limits of not less than two million dollars (\$ 2,000,000.) per claim and two million dollars (\$ 2,000,000.) in the aggregate.

§ A.3.2.12 Insurance for the use or operation of manned or unmanned aircraft, if the Work requires such activities, with policy limits of not less than one million dollars (\$ 1,000,000.) per claim and one million dollars (\$ 1,000,000.) in the aggregate. Authorization from Administration of the University of Maine System must be obtained thirty (30) days prior to the utilization of the equipment.

§ A.3.3 Contractor's Other Insurance Coverage

§ A.3.3.1 Insurance selected and described in this Section A.3.3 shall be purchased from an insurance company or insurance companies lawfully authorized to issue insurance in the jurisdiction where the Project is located. The Contractor shall maintain the required insurance until the expiration of the period for correction of Work as set forth in Section 12.2.2 of the General Conditions, unless a different duration is stated below:

(If the Contractor is required to maintain any of the types of insurance selected below for a duration other than the expiration of the period for correction of Work, state the duration.)

N/A

§ A.3.3.2 The Contractor shall purchase and maintain the following types and limits of insurance in accordance with Section A.3.3.1.

(Select the types of insurance the Contractor is required to purchase and maintain by placing an X in the box(es) next to the description(s) of selected insurance. Where policy limits are provided, include the policy limit in the appropriate fill point.)

[] **§ A.3.3.2.1** Property insurance of the same type and scope satisfying the requirements identified in Section A.2.3, which, if selected in this section A.3.3.2.1, relieves the Owner of the responsibility to purchase and maintain such ~~insurance except insurance required by Section A.2.3.1.3 and Section A.2.3.3- insurance.~~ The Contractor shall comply with all obligations of the Owner under Section A.2.3 except to the extent provided below. The Contractor shall disclose to the Owner the amount of any

deductible, and the Owner shall be responsible for losses within the deductible. Upon request, the Contractor shall provide the Owner with a copy of the property insurance policy or policies required. The Owner shall adjust and settle the loss with the insurer and be the trustee of the proceeds of the property insurance in accordance with Article 11 of the General Conditions unless otherwise set forth below:

(Where the Contractor's obligation to provide property insurance differs from the Owner's obligations as described under Section A.2.3, indicate such differences in the space below. Additionally, if a party other than the Owner will be responsible for adjusting and settling a loss with the insurer and acting as the trustee of the proceeds of property insurance in accordance with Article 11 of the General Conditions, indicate the responsible party below.)

- [] **§ A.3.3.2.2 Railroad Protective Liability Insurance**, with policy limits of not less than (\$) per claim and (\$) in the aggregate, for Work within fifty (50) feet of railroad property.
- [] **§ A.3.3.2.3 Asbestos Abatement Liability Insurance**, with policy limits of not less than one million dollars (\$ 1,000,000) per claim and two million dollars (\$ 2,000,000) in the aggregate, for liability arising from the encapsulation, removal, handling, storage, transportation, and disposal of asbestos-containing materials.
- [] **§ A.3.3.2.4 Insurance for physical damage to property while it is in storage and in transit to the construction site on an "all-risks" completed value form.**
- [] **§ A.3.3.2.5 Property insurance on an "all-risks" completed value form, covering property owned by the Contractor and used on the Project, including scaffolding and other equipment.**
- [] **§ A.3.3.2.6 Other Insurance**
(List below any other insurance coverage to be provided by the Contractor and any applicable limits.)

Coverage	Limits

§ A.3.4 Performance Bond and Payment Bond

The Contractor shall provide surety bonds, from a company or companies lawfully authorized to issue surety bonds in the jurisdiction where the Project is located, as follows: and the Contractor shall furnish a Performance Bond and a Payment Bond covering the faithful performance of the Contract and payment of obligations arising thereof. Bonds may be obtained through the Contractor's usual source and the cost thereof shall be included in the Contract Sum. The amount of each bond shall be equal to 100% of the Contract Sum. Should the Contract Sum change during the contract and warranty periods, the amount of the Bonds will be changed to reflect the Contract Sum.

- .1 The Contractor shall deliver the required bonds to the Owner at the same time as the signed Contract Agreement is delivered to the Owner. Prior to the commencement of the Work, the Contractor shall submit satisfactory evidence that such bonds will be furnished.

(Specify type and penal sum of bonds.)

- .2 The Contractor shall require the attorney-in-fact who executes the required bonds on behalf of the surety to affix thereto a certified and current copy of the power of attorney.

Type	Penal Sum (\$0.00)
------	--------------------

Payment Bond

Performance Bond

Payment and Performance Bonds shall be AIA Document A312™, Payment Bond and Performance Bond, or contain provisions identical to AIA Document A312™, current as of the date of this Agreement.**3**

The Contract Bonds shall continue in effect for one year after final acceptance of each contract to protect the Owner's interest in connection with the one year guarantee of workmanship and materials

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and to assure settlement of claims, for the payment of all bills for labor, materials, and equipment by the Contractor.

ARTICLE A.4 SPECIAL TERMS AND CONDITIONS

Special terms and conditions that modify this Insurance and Bonds Exhibit, if any, are as follows:

N/A

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00 73 46
State of Maine
Department of Labor
Bureau of Labor Standards
Augusta, Maine 04333-0045
Telephone (207) 623-7906

Wage Determination - In accordance with 26 MRS §1301 et. seq., this is a determination by the Bureau of Labor Standards, of the fair minimum wage rate to be paid to laborers and workers employed on the below titled project.

2025 Fair Minimum Wage Rates – Building 2 Penobscot County (other than 1 or 2 family homes)

Occupational Title	Minimum Wage	Minimum Benefit	Total
Brickmasons And Blockmasons	\$33.00	\$11.13	\$44.13
Bulldozer Operator	\$34.44	\$2.21	\$36.65
Carpenter	\$28.72	\$19.38	\$48.10
Cement Masons And Concrete Finisher	\$26.00	\$0.00	\$26.00
Construction And Maintenance Painters	\$26.38	\$0.25	\$26.63
Construction Laborer	\$21.90	\$19.72	\$41.62
Crane And Tower Operators	\$34.50	\$10.68	\$45.18
Crushing Grinding And Polishing Machine Operators	\$27.50	\$5.64	\$33.14
Earth Drillers - Except Oil And Gas	\$22.37	\$2.35	\$24.72
Electrical Power - Line Installer And Repairers	\$43.26	\$16.55	\$59.81
Electricians	\$37.43	\$20.07	\$57.50
Elevator Installers And Repairers	\$71.21	\$43.75	\$114.96
Excavator Operator	\$31.38	\$5.83	\$37.21
Fence Erectors	\$20.00	\$1.23	\$21.23
Flaggers	\$20.50	\$0.40	\$20.90
Floor Layers - Except Carpet/Wood/Hard Tiles	\$26.50	\$3.83	\$30.33
Glaziers	\$21.00	\$2.39	\$23.39
Grader/Scraper Operator	\$31.00	\$6.86	\$37.86
Hazardous Materials Removal Workers	\$21.13	\$1.14	\$22.27
Heating And Air Conditioning And Refrigeration Mechanics And Installers	\$34.00	\$5.60	\$39.60
Heavy And Tractor - Trailer Truck Drivers	\$22.75	\$1.12	\$23.87
Highway Maintenance Workers	\$22.85	\$4.79	\$27.64
Industrial Machinery Mechanics	\$30.00	\$4.60	\$34.60
Industrial Truck And Tractor Operators	\$26.17	\$3.49	\$29.66
Insulation Worker - Mechanical	\$24.00	\$6.07	\$30.07
Ironworker - Ornamental	\$31.37	\$25.82	\$57.19
Light Truck Or Delivery Services Drivers	\$27.99	\$2.02	\$30.01
Loading Machine And Dragline Operators	\$25.50	\$4.99	\$30.49
Millwrights	\$31.45	\$15.17	\$46.62
Mobile Heavy Equipment Mechanics - Except Engines	\$30.00	\$5.67	\$35.67
Operating Engineers And Other Equipment Operators	\$28.50	\$3.54	\$32.04
Paving Surfacing And Tamping Equipment Operators	\$28.60	\$12.03	\$40.63
Pile-Driver Operators	\$36.00	\$2.87	\$38.87
Pipe/Steam/Sprinkler Fitter	\$43.76	\$25.44	\$69.20
Pipelayers	\$27.48	\$4.72	\$32.20
Plumbers	\$38.75	\$22.96	\$61.71
Pump Operators - Except Wellhead Pumpers	\$56.03	\$34.76	\$90.79
Radio Cellular And Tower Equipment Installers	\$30.00	\$4.85	\$34.85
Reinforcing Iron And Rebar Workers	\$56.69	\$2.27	\$58.96
Riggers	\$31.95	\$25.00	\$56.95
Roofers	\$24.00	\$3.60	\$27.60
Sheet Metal Workers	\$25.75	\$6.31	\$32.06
Structural Iron And Steel Workers	\$31.95	\$25.00	\$56.95
Tapers	\$28.00	\$2.40	\$30.40
Telecommunications Equipment Installers And Repairers - Except Line Installers	\$33.44	\$6.87	\$40.31
Telecommunications Line Installers And Repairers	\$29.50	\$1.96	\$31.46

Welders are classified as the trade to which welding is incidental (e.g. welding structural steel is Structural Iron and Steel Worker)

Apprentices – The minimum wage rates for registered apprentices are the rates recognized in the sponsorship agreement for registered apprentices working in the pertinent classification.

For any other specific trade on this project not listed above, contact the Bureau of Labor Standards for further clarification.

Title 26 §1310 requires that a clearly legible statement of all fair minimum wage and benefits rates to be paid the several classes of laborers, workers and mechanics employed on the construction on the public work must be kept posted in a prominent and easily accessible place at the site by each contractor and subcontractor subject to sections 1304 to 1313.

Appeal – Any person affected by the determination of these rates may appeal to the Commissioner of Labor by filing a written notice with the Commissioner stating the specific grounds of the objection within ten (10) days from the filing of these rates.

A true copy

Attest: 
Scott R. Cotnoir
Wage & Hour Director
Bureau of Labor Standards

Expiration Date: 12-31-2025
Revision Date: 2-3-2025

SECTION 01 11 00
SUMMARY OF WORK

PART 1 GENERAL

1.01 SUMMARY

- A. The project consists of selective demolition, cast-in-place concrete, metals, openings, thermal and moisture protection, finishes, electrical, communications, earthwork, exterior improvements, and utilities.

Bid Schedule

Bid advertised: Saturday, May 17, 2025
Non-mandatory pre-bid meeting:..... Wednesday, May 28, 2025
Questions submitted by 4pm to cppmquestions@maine.edu:..... Tuesday, June 3, 2025
Response to Questions by 2pm: Monday, June 9, 2025
Bid Opening-Bids received until 2pm:Thursday, June 12, 2025
Substantial Completion:.....Friday. August 14, 2026

END OF SECTION 01 14 00

SECTION 01 14 00
WORK RESTRICTIONS

PART 1 GENERAL

1.01 PROJECT CONDITIONS

A. Tobacco Free Campus Policy: On January 1, 2011 the University System adopted a tobacco free campus policy. As of January 1, 2012 compliance with the tobacco free campus policy became mandatory. This paragraph serves as notification to Contractor of the policy and provides the parameters of compliance enforcement. Contractor shall be responsible for notifying its workers and subcontractors regarding the policy and for enforcement of the policy with same. Noncompliance will be managed as follows:

1. First offense – notify Contractor to remind employee and/or subcontractor of policy.
2. Second offense – contractor/subcontractor employee removed from campus for the remainder of the Work.

Additional information regarding the tobacco free campus policy is located at:
<http://umaine.edu/tobaccofree/>

- B. Sexual Harassment will not be tolerated on the campuses of the University of Maine System.
- C. Weapons and Ammunition are not permitted on the campuses of the University of Maine System.
- D. Contractor will be required to provide a site-specific Safety Plan for the project.
- E. Contractor parking will be limited to authorized areas defined by the University of Maine System Representative.
- F. Contractor **WILL NOT** be allowed to work in Plan Areas “B”, “C”, or “D” as shown on Plan Sheet C-100 (the Hilltop parking lot area) during the Academic School year (September 2, 2025 – May 9, 2026).

PART 2 to 3 – Not Used

END OF SECTION 01 14 00

SECTION 012100 - ALLOWANCES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements governing allowances.
- B. Types of allowances include the following:
 - 1. Quantity allowances.
- C. Related Requirements:
 - 1. Section 012200 "Unit Prices" for procedures for using unit prices, including adjustment of quantity allowances when applicable.

1.3 DEFINITIONS

- A. Allowance: A quantity of work or dollar amount included in the Contract, established in lieu of additional requirements, used to defer selection of actual materials and equipment to a later date when direction will be provided to Contractor. If necessary, additional requirements will be issued by Change Order.

1.4 INFORMATIONAL SUBMITTALS

- A. Submit invoices or delivery slips to show actual quantities of materials delivered to or removed from the site for use in fulfillment of each allowance.

1.5 QUANTITY ALLOWANCES

- A. Allowance shall include cost to Contractor for work under allowance and shall include all work associated with rock removal and disposal of waste materials off of the Project site.

1.6 ADJUSTMENT OF ALLOWANCES

- A. Allowance Adjustment: To adjust allowance amounts, prepare a Change Order proposal based on the unit price, multiplied by final measurement of rock. Quantification measurement shall be based on in situ material, before removal.

1. Submit substantiation of a change in scope of Work, if any, claimed in Change Orders related to unit-cost allowances.
2. Owner reserves the right to establish the quantity of work-in-place by independent quantity survey, measure, or count.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine products covered by an allowance promptly on delivery for damage or defects. Return damaged or defective products to manufacturer for replacement.

3.2 PREPARATION

- A. Coordinate materials and their installation for each allowance with related materials and installations to ensure that each allowance item is completely integrated and interfaced with related work.

3.3 SCHEDULE OF ALLOWANCES

- A. Allowance No. 1: Quantity Allowance: Include 50 cu. yd. of trench rock removal and replacement with satisfactory soil material, as specified in Section 312000 "Earth Moving."
 1. Coordinate quantity allowance adjustment with unit-price requirements in Section 012200 "Unit Prices."

END OF SECTION 012100

SECTION 012200 - UNIT PRICES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for unit prices.
- B. Related Requirements:
 - 1. Section 012100 "Allowances" for procedures for using unit prices to adjust quantity allowances.

1.3 DEFINITIONS

- A. Unit price is an amount incorporated into the Agreement, applicable during the duration of the Work as a price per unit of measurement for materials, equipment, or services, or a portion of the Work, added to or deducted from the Contract Sum by appropriate modification, if the scope of Work or estimated quantities of Work required by the Contract Documents are increased or decreased.

1.4 PROCEDURES

- A. Unit prices include all necessary material, plus cost for delivery, installation, insurance, applicable taxes, overhead, and profit.
- B. Measurement and Payment: See individual Specification Sections for work that requires establishment of unit prices. Methods of measurement and payment for unit prices are specified in those Sections.
- C. Owner reserves the right to reject Contractor's measurement of work-in-place that involves use of established unit prices and to have this work measured, at Owner's expense, by an independent surveyor acceptable to Contractor.
- D. List of Unit Prices: A schedule of unit prices is included in Part 3. Specification Sections referenced in the Part 3 "Schedule of Unit Prices" Article contain requirements for materials described under each unit price.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 SCHEDULE OF UNIT PRICES

- A. Unit Price No. 1: Removal of unsatisfactory soil and replacement with satisfactory soil material.
 - 1. Description: Unsatisfactory soil excavation and disposal off-site and replacement with satisfactory fill material or engineered fill from off-site, as required, in accordance with Section 312000 "Earth Moving."
 - 2. Unit of Measurement: Cubic yard of soil excavated, based on in-place surveys of volume before and after removal.
- B. Unit Price No. 2: Trench rock excavation and replacement with satisfactory soil material.
 - 1. Description: Classified trench rock excavation and disposal off-site and replacement with satisfactory fill material or engineered fill from off-site, as required, in accordance with Section 312000 "Earth Moving."
 - 2. Unit of Measurement: Cubic yard of rock excavated, based on survey of in-place surveys volume of before and after removal.
 - 3. Quantity Allowance: Coordinate unit price with allowance adjustment requirements in Section 012100 "Allowances."
- C. Unit Price No. 3 - 4 x 5" diameter RTRC electrical conduit and 2 x 4" diameter PVC telecommunications conduit ductbank (1 row of (4 & 2)) in flowable fill:
 - 1. Description: Provide (4) four - 5" diameter RTRC electrical conduit and (2) two - 4" diameter PVC telecommunications conduit ductbank in open trench in accordance with details and including chairs/supports, tiedowns and flowable file backfill as detailed.
 - 2. Unit of Measurement: 10 linear feet .
- D. Unit Price No. 4 - 4 x 5" diameter RTRC electrical conduit and 2 x 4" diameter PVC telecommunications conduit ductbank (2 rows of (2 & 1)) in flowable fill:
 - 1. Description: Provide (4) four - 5" diameter RTRC electrical conduit and (2) two - 4" diameter PVC telecommunications conduit ductbank in open trench in accordance with details and including chairs/supports, tiedowns and flowable file backfill as detailed.
 - 2. Unit of Measurement: 10 linear feet .
- E. Unit Price No. 5 - 4 x 5" diameter RTRC electrical conduit and 2 x 4" diameter PVC telecommunications conduit ductbank (1 row of (4 & 2)) in concrete encasement:
 - 1. Description: Provide (4) four - 5" diameter RTRC electrical conduit and (2) two - 4" diameter PVC telecommunications conduit ductbank in open trench in accordance with details and including chairs/supports, tiedowns and concrete encasement backfill as detailed.
 - 2. Unit of Measurement: 10 linear feet .

- F. Unit Price No. 6 – 4 x 5" diameter RTRC electrical conduit and 2 x 4" diameter PVC telecommunications conduit ductbank (2 rows of (2 & 1)) in concrete encasement:
1. Description: Provide (4) four - 5" diameter RTRC electrical conduit and (2) two – 4" diameter PVC telecommunications conduit ductbank in open trench in accordance with details and including chairs/supports, tiedowns and concrete encasement backfill as detailed.
 2. Unit of Measurement: 10 linear feet .
- G. Unit Price No. 7 - 4 x 5" diameter RTRC electrical conduit ductbank (1 row of 4) in flowable fill:
1. Description: Provide (4) four - 5" diameter RTRC electrical conduit and (2) two – 4" PVC telecommunications conduit ductbank in open trench in accordance with details and including chairs/supports, tiedowns and flowable file backfill as detailed.
 2. Unit of Measurement: 10 linear feet .
- H. Unit Price No. 8 - 4 x 5" diameter RTRC electrical conduit ductbank (2 rows of 2) in flowable fill:
1. Description: Provide (4) four - 5" diameter RTRC electrical conduit ductbank in open trench in accordance with details and including chairs/supports, tiedowns and flowable file backfill as detailed.
 2. Unit of Measurement: 10 linear feet .
- I. Unit Price No. 9 – 4 x 5" diameter RTRC electrical conduit ductbank (1 row of 4) in concrete encasement:
1. Description: Provide (4) four - 5" diameter RTRC electrical conduit ductbank in open trench in accordance with details and including chairs/supports, tiedowns and concrete encasement backfill as detailed.
 2. Unit of Measurement: 10 linear feet .
- J. Unit Price No. 10 – 4 x 5" diameter RTRC electrical conduit ductbank (2 rows of 2) in concrete encasement:
1. Description: Provide (4) four - 5" diameter RTRC electrical conduit ductbank in open trench in accordance with details and including chairs/supports, tiedowns and concrete encasement backfill as detailed.
 2. Unit of Measurement: 10 linear feet .
- K. Unit Price No. 11 – Electrical Manhole / Communications Handhole:
1. Description: Provide (1) one - Electrical Manhole / Communications Handhole in accordance with details and including excavation, grounding, accessories, and backfill as detailed.
Unit of Measurement: per each.

UMaine North Loop Phase 1
Orono, Maine

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END OF SECTION 012200

SECTION 012300 - ALTERNATES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes administrative and procedural requirements for alternates.

1.3 DEFINITIONS

- A. Alternate: An amount proposed by bidders and stated on the Bid Form for certain work defined in the bidding requirements that may be added to or deducted from the base bid amount if the Owner decides to accept a corresponding change either in the amount of construction to be completed or in the products, materials, equipment, systems, or installation methods described in the Contract Documents.
 - 1. Alternates described in this Section are part of the Work only if enumerated in the Agreement.
 - 2. The cost or credit for each alternate is the net addition to or deduction from the Contract Sum to incorporate alternates into the Work. No other adjustments are made to the Contract Sum.

1.4 PROCEDURES

- A. Coordination: Revise or adjust affected adjacent work as necessary to completely integrate work of the alternate into Project.
 - 1. Include, as part of each alternate, miscellaneous devices, accessory objects, and similar items incidental to or required for a complete installation, whether or not indicated as part of alternate.
- B. Execute accepted alternates under the same conditions as other Work of the Contract.
- C. Schedule: A Part 3 "Schedule of Alternates" Article is included at the end of this Section. Specification Sections referenced in schedule contain requirements for materials necessary to achieve the work described under each alternate.

PART 2 - PRODUCTS (Not Used)

PART 3 - EXECUTION

3.1 SCHEDULE OF ALTERNATES

A. Alternate No. 1 : Telecommunications conduit ductbank

1. Base Bid: Provide telecommunication handhole NL-EHH-1 and PVC conduit ductbank between EHH-2 and NL-EHH-1, per plans and details.
2. Alternate: Provide telecommunication handhole NL-EHH-2 through NL-EHH-7 and PVC conduit ductbank between NL-EHH-1 to TM-1, per plans and details.

END OF SECTION 012300

SECTION 01 29 00
PAYMENT PROCEDURES

PART 1 GENERAL

1.01 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract Documents, including General Conditions and other Division 01 Specification Sections, apply to this Section.

1.02 SUMMARY

- A. This Section specifies administrative and procedural requirements necessary to prepare and process Applications for Payment.
- B. The forms for application for payment, duly notarized, shall be the current authorized edition of the AIA Document G702, Application for Payment, supported by a current authorized edition of AIA G703, Continuation Sheet. Samples of these, and other required AIA documents, are provided in the Contract Documents under Division 00 for informational purposes only.

1.03 DEFINITIONS

- A. Schedule of Values: A statement furnished by Contractor allocating portions of the Contract Sum to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.

1.04 SCHEDULE OF VALUES

- A. Construction Schedule.
 - 1. Correlate line items in the Schedule of Values with other required administrative forms and schedules, including the following:
 - a. Application for Payment forms with Continuation Sheets.
 - b. Submittals Schedule.
 - c. Contractor's Construction Schedule.
 - 2. Submit the Schedule of Values to Architect prior to the pre-construction meeting.
- B. Format and Content: Use the specification table of contents as a guide to establish line items for the Schedule of Values. Provide at least one line item for each Specification Section.
 - 1. Identification: Include the following Project identification on the Schedule of Values:
 - a. Project name and location.
 - b. Name of Architect.
 - c. Contractor's name and address.
 - d. Date of submittal.
 - 2. Submit draft of AIA G702 Application for Payment form and AIA G703 Continuation Sheet (Schedule of Values) form.
 - 3. Arrange the Schedule of Values in tabular form with separate columns to indicate the following for each item listed:
 - a. Related Specification Section or Division.
 - b. Description of the Work.
 - c. Name of subcontractor.
 - d. Name of manufacturer or fabricator.
 - e. Name of supplier.
 - f. Change Orders (numbers).
 - g. Dollar value.
 - 4. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with the Specification table of contents. Provide several line items for principal subcontract amounts, where appropriate.

- a. For each line item, provide a sublist breakdown as follows:
 - 1) Material.
 - 2) Labor.
5. Documentation: Submit proper documentation for the amounts being requisitioned from subcontractors and material suppliers with each Application for Payment. Three (3) copies of an Application for Payment or a Payment Requisition are required for all subcontracted work. Three (3) copies of the invoice is required for each major supplier.
6. Stored Materials: If Contractor is requesting payment for stored materials as part of the Application for Payment, Contractor must complete Column F in the G703 Continuation Sheet (Schedule of Values) to record the stored materials amounts against line items that pertain to those stored materials. Stored materials are materials or equipment purchased or fabricated and stored, but not yet installed or incorporated into the Work.
 - a. Complete and provide three (3) copies of 00 62 79 Stored Materials form with all required documentation. Differentiate between items stored on-site and items stored off-site. If specified, include evidence of insurance or bonded warehousing.
 - b. Only major long lead delivery items may be considered for off-site storage (example: long lead custom mechanical unit). Standard order and production materials and products shall be delivered to the site before including in Application for Payment of such items.
7. Provide separate line items in the Schedule of Values for initial cost of materials, for each subsequent stage of completion, and for total installed value of that part of the Work.
8. Each item in the Schedule of Values and Applications for Payment shall be complete. Include total cost and proportionate share of general overhead and profit for each item.
 - a. Temporary facilities and other major cost items that are not direct cost of actual work-in-place shall be shown as separate line items in the Schedule of Values.
9. Schedule Updating: Update and resubmit the Schedule of Values before the next Applications for Payment when approved Change Orders or Construction Change Directives result in a change in the Contract Sum.
10. Retainage: The required five percent (5%) retainage held per Application for Payment submission shall be accounted for on the G703 on a per line item basis. Each line item with a value in Column G "Total Completed and Stored To Date" shall have a corresponding five percent retainage value entered in Column I.
 - a. Final Release of Retainage: The final release of retainage shall be entered as a separate line item on the G703 as "Final Release of Retainage" with the full amount of the five percent retainage entered as a negative number in Column I. The final release of retainage request is submitted as a separate application.

1.05 APPLICATIONS FOR PAYMENT

- A. Each Application for Payment shall be consistent with previous applications and payments as certified by Architect and paid for by Owner.
 1. Initial Application for Payment, Application for Payment at time of Substantial Completion, and final Application for Payment involve additional requirements.
- B. Payment Application Times: G702 Application for Payment shall be submitted to Architect and Owner not less than seven (7) days before monthly progress meeting. The period covered by each Application for Payment is one (1) month, ending on the last day of the month.
- C. Payment Application Forms: The Contractor is required under the Contract Documents to use official original AIA documents. Samples of the required documents are provided in Division 00 of the Specifications.
- D. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect will return incomplete applications without action.
 1. Entries shall match data on the Schedule of Values and Contractor's Construction Schedule. Use updated schedules if revisions were made.

2. Include amounts of approved Change Orders and Construction Change Directives issued before last day of construction period covered by application.
- E. Transmittal:
1. Submit signed and notarized original of:
 - a. AIA G702 Application & Certificate for Payment.
 - b. AIA G703 Continuation Sheet.
 - c. AIA G706 Contractor's Affidavit of Payment of Debts & Claims.
 - d. AIA G706A Contractor's Affidavit of Release of Liens.
 - e. 00 65 19.17 Waiver of Lien.
 2. Transmit each Application for Payment with a transmittal form listing attachments and recording appropriate information about submission.
- F. Waivers of Mechanic's Lien: With each Application for Payment, submit three (3) copies of waivers of mechanic's lien from subcontractors, sub-subcontractors, major suppliers, and every entity who is lawfully entitled to file a mechanic's lien arising out of the Contract and related to the Work covered by the payment.
1. Submit partial waivers on each item for amount requested in previous application, after deduction for retainage, on each item.
 2. When an application shows completion of an item, submit final waivers.
 3. Owner reserves the right to designate which entities involved in the Work must submit waivers.
 4. Submit final Application for Payment with or preceded by final waivers from every entity involved with performance of the Work covered by the application who is lawfully entitled to a lien.
 5. Waiver Forms: Submit 00 65 19.17 Waiver of Lien forms, executed in a manner acceptable to Owner.
- G. Certified Payrolls: Wages paid to all workers performing work on the Project shall be in accordance with the Section 00 73 64 Wage Determination Schedule for the Project. Contractor shall submit one (1) copy of each weekly certified payroll for Contractor and all subcontractors, sub-subcontractors, sub-sub-subcontractors, etc. performing work on the Project during the time covered by the Application for Payment. The certified payroll shall be completed in accordance with Section 3.4.4 of the A201 General Conditions and contain the following information:
1. Contractor name.
 2. Contractor address.
 3. Period number.
 4. Week ending date.
 5. Employee(s)'s name.
 6. Employee(s)'s job title.
 7. Employee hourly wage:
 - a. Straight time rate.
 - b. Overtime rate.
 8. Hours worked per day (broken down by straight time and overtime hours).
 9. Hours worked per week (broken down by straight time and overtime hours).
 10. Total earned for the week:
 - a. Straight time.
 - b. Overtime.
 11. Benefits that form a part of the wage rate.
 12. The signature and name of the authorized payroll person.
- H. Initial Application for Payment: Administrative actions and submittals that must precede submittal of first Application for Payment include the following:
1. List of subcontractors.
 2. Schedule of Values.

3. Contractor's Construction Schedule.
 4. Submittals Schedule.
 5. List of Contractor's staff assignments.
 6. List of Contractor's principal consultants.
 7. Copies of building permits and other required permits.
 8. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
 9. Initial progress report.
 10. Report of preconstruction conference.
 11. Insurance verification through submission of insurance certificates, for all Subcontractors.
- I. Progress Applications for Payment: Administrative actions and submittals that must precede or coincide with submittal of progress Applications for Payment include the following:
1. Contractor's Construction Schedule update.
 2. Submittals for Work being requisitioned that are complete and approved.
 3. Submission of list of completed tests, checklists, commissioning, reports, and similar requirements for the work that are submitted and in compliance with the Contract Documents.
 4. Distribution of minutes of previous month's progress meeting.
 5. Current record drawings.
- J. Application for Payment at Substantial Completion: After issuing the Certificate of Substantial Completion, submit an Application for Payment showing 100 percent completion, less retainage, for portion of the Work claimed as substantially complete. Application must:
1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
 2. Reflect Certificates of Partial Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
- K. Final Payment Application: Submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited to, the following:
1. Evidence of completion of Project closeout requirements.
 2. Insurance certificates for products and completed operations where required and proof that fees and similar obligations were paid.
 3. Updated final statement, accounting for final changes to the Contract Sum.
 4. AIA G707 Consent of Surety to Final Payment, three (3) originals.
 5. Evidence that claims have been settled.
 6. Final meter readings for utilities, a measured record of stored fuel, and similar data as of date of Substantial Completion or when Owner took possession of and assumed responsibility for corresponding elements of the Work.
 7. Final, liquidated damages settlement statement, if a liquidated damages claim has been processed.
 8. As-built drawings.
 9. Operation and maintenance manuals.
 10. Final lien waivers.
 11. All training and equipment testing is complete.

PART 2 to 3 – Not Used

END OF SECTION 01 29 00

SECTION 01 33 00
SUBMITTAL PROCEDURES

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Submittal procedures.
- B. Proposed products list.
- C. Shop drawings and product data.
- D. Manufacturers' instructions.
- E. Manufacturers' certificates.

1.02 SUBMITTAL PROCEDURES

- A. Identify Project, Contractor, Subcontractor or Supplier, pertinent Drawing sheet and detail number(s), and Specification Section number, as appropriate.
- B. Apply Contractor's stamp, signed or initialed certifying that review, verification of Products required, field dimensions, adjacent construction work, and coordination of information, is in accordance with the requirements of the Work and Contract Documents.
- C. Schedule submittals to expedite the Project, and deliver to Architect/Engineer at business address. Coordinate submission of related items.
- D. Identify variations from Contract Documents and Product or system limitations which may be detrimental to successful performance of the completed Work.
- E. Revise and resubmit submittals when changes occur; identify all changes made since previous submittal.
- F. Distribute copies of reviewed submittals to concerned parties. Instruct parties to promptly report any inability to comply with provisions.

1.03 PROPOSED PRODUCTS LIST

- A. Submit complete list of major products proposed for use, with name of manufacturer and trade name of each product.

1.04 SHOP DRAWINGS AND PRODUCT DATA

- A. Submit electronic PDFs of all submittals organized with cover sheet and contractor's review of submittal, which will be reviewed by Architect/Engineer.

1.05 MANUFACTURERS' INSTRUCTIONS

- A. Submit manufacturers' printed instructions for delivery, storage, assembly, installation, and finishing. Submit in electronic format (PDF).
- B. Identify conflicts between manufacturers' instructions and Contract Documents.

1.06 MANUFACTURERS' CERTIFICATES

- A. When specified in individual Specification Sections, submit manufacturers' certificates to Architect/Engineer for review, in quantities specified for Product Data.
- B. Indicate material or product conforms to or exceeds specified requirements. Submit supporting reference data, affidavits and certifications as appropriate.
- C. Certificates may be recent or previous test results on material or Product, but must be acceptable to Architect/Engineer.

1.07 SCHEDULE

- A. Within ten (10) days after signing the Contract, the Contractor shall submit a schedule in either bar chart or CPM format, sufficiently detailed so that actual progress may be easily compared with scheduled progress.

PART 2 to 3 – Not Used

END OF SECTION 01 33 00

SECTION 01 77 00
CLOSEOUT PROCEDURES

PART 1 GENERAL

1.01 REQUIREMENTS INCLUDED

- A. Administrative provisions for Substantial Completion and for final acceptance.

1.02 SUBSTANTIAL COMPLETION

- A. When Contractor considers work, or designated portion of work, is substantially complete, submit written notice with list of items to be completed or corrected.
- B. Should Owner inspection find work is not substantially complete, Owner will promptly notify Contractor in writing, listing observed deficiencies.
- C. Contractor shall remedy deficiencies and send a second written notice of substantial completion.
- D. When Owner finds work is substantially complete, Owner will prepare a Certificate of Substantial Completion in accordance with provisions of the General Conditions.

1.03 FINAL COMPLETION

- A. When Contractor considers work is complete, submit written certification that:
 - 1. Contract Documents have been reviewed.
 - 2. Work has been inspected for compliance with Contract Documents.
 - 3. Work has been completed in accordance with Contract Documents and deficiencies listed with Certificate of Substantial Completion have been corrected.
 - 4. Equipment and systems have been tested, adjusted and balanced and are fully operational.
 - 5. Operation of systems has been demonstrated to Owner's personnel.
 - 6. Work is complete and ready for final inspection.
- B. Should Owner inspection find work incomplete, Owner will promptly notify Contractor in writing, listing observed deficiencies.
- C. Contractor shall remedy deficiencies and send a second certification of final completion.
- D. When Owner finds work is complete, Owner will consider closeout submittals.

1.04 CLOSEOUT SUBMITTALS

- A. Operation and maintenance data.
- B. Warranties and bonds. Submit originals and in PDF format.
- C. Spare parts and maintenance Materials.
- D. Evidence of payment and Releases of Lien.

1.05 APPLICATION FOR FINAL PAYMENT

- A. Submit application for final payment in accordance with provisions of Conditions of the Contract.

1.06 GUARANTEE

- A. Neither the final requisition for payment nor any provision in the Contract Documents nor partial or entire use or occupancy of the building by the Owner shall constitute an acceptance of work done in accordance with the Contract Documents or relieve the Contractor of liability in respect to express warranties or responsibility for faulty materials or workmanship. The Contractor shall remedy any defects in the work and pay for any damage to other work resulting therefrom which shall appear within one year from the date of final acceptance unless a longer period is specified. The Owner will give notice of observed defects with reasonable promptness.
- B. Although subcontractors shall, throughout these Specifications, be required to provide guarantees for their respective work, the Contractor, in the last analysis, shall be responsible for all work and the guarantee thereof. In the case of disputes between subcontractors as to fault of problems, it is up to the Contractor to resolve these disputes or accept the cost of repair or replacement himself.

PART 2 to 3 – Not Used

END OF SECTION 01 77 00

SECTION 024119 - SELECTIVE DEMOLITION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Demolition and removal of selected portions of building or structure.
 - 2. Demolition and removal of selected site elements.
 - 3. Salvage of existing items to be reused or recycled.

- B. Related Requirements:

- 1. Section 011000 "Summary" for restrictions on use of the premises, Owner-occupancy requirements, and phasing requirements.
 - 2. Section 311000 "Site Clearing" for site clearing and removal of above- and below-grade improvements not part of selective demolition.

1.3 DEFINITIONS

- A. Remove: Detach items from existing construction and dispose of them off-site unless indicated to be salvaged or reinstalled.
- B. Remove and Salvage: Detach items from existing construction, in a manner to prevent damage, and deliver to Owner ready for reuse .
- C. Remove and Reinstall: Detach items from existing construction, in a manner to prevent damage, prepare for reuse, and reinstall where indicated.
- D. Existing to Remain: Leave existing items that are not to be removed and that are not otherwise indicated to be salvaged or reinstalled.
- E. Dismantle: To remove by disassembling or detaching an item from a surface, using gentle methods and equipment to prevent damage to the item and surfaces; disposing of items unless indicated to be salvaged or reinstalled.

1.4 MATERIALS OWNERSHIP

- A. Unless otherwise indicated, demolition waste becomes property of Contractor.

- B. Historic items, relics, antiques, and similar objects including, but not limited to, cornerstones and their contents, commemorative plaques and tablets, and other items of interest or value to Owner that may be uncovered during demolition remain the property of Owner.
 - 1. Carefully salvage in a manner to prevent damage and promptly return to Owner.

1.5 INFORMATIONAL SUBMITTALS

- A. Proposed Protection Measures: Submit report, including Drawings, that indicates the measures proposed for protecting individuals and property , for environmental protection , for dust control and , for noise control. Indicate proposed locations and construction of barriers.
- B. Schedule of Selective Demolition Activities: Indicate the following:
 - 1. Detailed sequence of selective demolition and removal work, with starting and ending dates for each activity. Ensure Owner's other tenants' on-site operations are uninterrupted.
 - 2. Interruption of utility services. Indicate how long utility services will be interrupted.
 - 3. Coordination for shutoff, capping, and continuation of utility services.
 - 4. Coordination of Owner's continuing occupancy of portions of existing site .
- C. Predemolition Photographs or Video: Show existing conditions of adjoining construction, including finish surfaces, that might be misconstrued as damage caused by demolition operations. Submit before Work begins.
- D. Warranties: Documentation indicating that existing warranties are still in effect after completion of selective demolition.

1.6 CLOSEOUT SUBMITTALS

- A. Inventory: Submit a list of items that have been removed and salvaged.

1.7 FIELD CONDITIONS

- A. Owner will occupy portions of site immediately adjacent to selective demolition area. Conduct selective demolition so Owner's operations will not be disrupted.
- B. Conditions existing at time of inspection for bidding purpose will be maintained by Owner as far as practical.
- C. Notify Architect and Owner of discrepancies between existing conditions and Drawings before proceeding with selective demolition.
- D. Hazardous Materials: It is not expected that hazardous materials will be encountered in the Work.
 - 1. If suspected hazardous materials are encountered, do not disturb; immediately notify Architect and Owner. Hazardous materials will be removed by Owner under a separate contract.

- E. Storage or sale of removed items or materials on-site is not permitted.
- F. Utility Service: Maintain existing utilities indicated to remain in service and protect them against damage during selective demolition operations.

1.8 COORDINATION

- A. Arrange selective demolition schedule so as not to interfere with Owner's operations.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Regulatory Requirements: Comply with governing EPA notification regulations before beginning selective demolition. Comply with hauling and disposal regulations of authorities having jurisdiction.
- B. Standards: Comply with ASSE A10.6 and NFPA 241.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Verify that utilities have been disconnected and capped before starting selective demolition operations.
- B. Review Project Record Documents of existing construction or other existing condition and hazardous material information provided by Owner. Owner does not guarantee that existing conditions are same as those indicated in Project Record Documents.
- C. Perform an engineering survey of condition of systems to determine whether removing any element might result in structural deficiency or unplanned collapse of any portion of structure or adjacent structures during selective building demolition operations.
 - 1. Perform surveys as the Work progresses to detect hazards resulting from selective demolition activities.
- D. Verify that hazardous materials have been remediated before proceeding with demolition operations.
- E. Survey of Existing Conditions: Record existing conditions by use of preconstruction photographs or video .
 - 1. Inventory and record the condition of items to be removed and salvaged. Provide photographs or video of conditions that might be misconstrued as damage caused by salvage operations.

3.2 UTILITY SERVICES AND MECHANICAL/ELECTRICAL SYSTEMS

- A. Existing Services/Systems to Remain: Maintain services/systems indicated to remain and protect them against damage.
- B. Existing Services/Systems to Be Removed, Relocated, or Abandoned: Locate, identify, disconnect, and seal or cap off utility services and mechanical/electrical systems serving areas to be selectively demolished.
 - 1. Arrange to shut off utilities with utility companies.
 - 2. If services/systems are required to be removed, relocated, or abandoned, provide temporary services/systems that bypass area of selective demolition and that maintain continuity of services/systems to other parts of building.

3.3 PROTECTION

- A. Temporary Protection: Provide temporary barricades and other protection required to prevent injury to people and damage to adjacent buildings and facilities to remain.
 - 1. Provide protection to ensure safe passage of people around selective demolition area and to and from occupied portions of site.
 - 2. Provide temporary weather protection, during interval between selective demolition of existing construction on exterior surfaces and new construction, to prevent water leakage and damage .
- B. Temporary Shoring: Design, provide, and maintain shoring, bracing, and structural supports as required to preserve stability and prevent movement, settlement, or collapse of construction and finishes to remain, and to prevent unexpected or uncontrolled movement or collapse of construction being demolished.
 - 1. Strengthen or add new supports when required during progress of selective demolition.
- C. Remove temporary barricades and protections where hazards no longer exist.

3.4 SELECTIVE DEMOLITION, GENERAL

- A. General: Demolish and remove existing construction only to the extent required by new construction and as indicated. Use methods required to complete the Work within limitations of governing regulations and as follows:
 - 1. Neatly cut openings and holes plumb, square, and true to dimensions required. Use cutting methods least likely to damage construction to remain or adjoining construction. Use hand tools or small power tools designed for sawing or grinding, not hammering and chopping. Temporarily cover openings to remain.
 - 2. Cut or drill from the exposed or finished side into concealed surfaces to avoid marring existing finished surfaces.
 - 3. Remove decayed, vermin-infested, or otherwise dangerous or unsuitable materials and promptly dispose of off-site.
 - 4. Dispose of demolished items and materials promptly.

- B. Site Access and Temporary Controls: Conduct selective demolition and debris-removal operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.
- C. Removed and Salvaged Items:
 - 1. Clean salvaged items.
 - 2. Pack or crate items after cleaning. Identify contents of containers.
 - 3. Store items in a secure area until delivery to Owner.
 - 4. Transport items to Owner's storage area designated by Owner .
 - 5. Protect items from damage during transport and storage.
- D. Removed and Reinstalled Items:
 - 1. Clean and repair items to functional condition adequate for intended reuse.
 - 2. Pack or crate items after cleaning and repairing. Identify contents of containers.
 - 3. Protect items from damage during transport and storage.
 - 4. Reinstall items in locations indicated. Comply with installation requirements for new materials and equipment. Provide connections, supports, and miscellaneous materials necessary to make item functional for use indicated.
- E. Existing Items to Remain: Protect construction indicated to remain against damage and soiling during selective demolition.

3.5 SELECTIVE DEMOLITION PROCEDURES FOR SPECIFIC MATERIALS

- A. Concrete: Demolish in sections. Cut concrete full depth at junctures with construction to remain and at regular intervals using power-driven saw, and then remove concrete between saw cuts.
- B. Concrete Slabs-on-Grade: Saw-cut perimeter of area to be demolished, and then break up and remove.

3.6 DISPOSAL OF DEMOLISHED MATERIALS

- A. Remove demolition waste materials from Project site and dispose of them in an EPA-approved construction and demolition waste landfill acceptable to authorities having jurisdiction.
 - 1. Do not allow demolished materials to accumulate on-site.
 - 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.
- B. Burning: Do not burn demolished materials.

3.7 CLEANING

- A. Clean adjacent structures and improvements of dust, dirt, and debris caused by selective demolition operations. Return adjacent areas to condition existing before selective demolition operations began.

END OF SECTION 024119

SECTION 033000 - CAST-IN-PLACE CONCRETE

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:

- 1. Cast-in-place concrete, including concrete materials, mixture design, placement procedures, and finishes.

- B. Related Requirements:

- 1. Section 312000 "Earth Moving" for drainage fill under slabs-on-ground.

1.3 DEFINITIONS

- A. Cementitious Materials: Portland cement alone or in combination with one or more of the following: blended hydraulic cement, fly ash, slag cement, other pozzolans, and silica fume; materials subject to compliance with requirements.

- B. Water/Cement Ratio (w/cm): The ratio by weight of water to cementitious materials.

1.4 ACTION SUBMITTALS

- A. Product Data: For each of the following.

- 1. Portland cement.
 - 2. Fly ash.
 - 3. Slag cement.
 - 4. Blended hydraulic cement.
 - 5. Silica fume.
 - 6. Performance-based hydraulic cement
 - 7. Aggregates.
 - 8. Admixtures:
 - a. Include limitations of use, including restrictions on cementitious materials, supplementary cementitious materials, air entrainment, aggregates, temperature at time of concrete placement, relative humidity at time of concrete placement, curing conditions, and use of other admixtures.
 - 9. Vapor retarders.

10. Curing materials.
11. Joint fillers.
12. Repair materials.

B. Design Mixtures: For each concrete mixture, include the following:

1. Mixture identification.
2. Minimum 28-day compressive strength.
3. Durability exposure class.
4. Maximum w/cm.
5. Calculated equilibrium unit weight, for lightweight concrete.
6. Slump limit.
7. Air content.
8. Nominal maximum aggregate size.
9. Indicate amounts of mixing water to be withheld for later addition at Project site if permitted.
10. Submit alternate design mixtures when characteristics of materials, Project conditions, weather, test results, or other circumstances warrant adjustments.

1.5 INFORMATIONAL SUBMITTALS

A. Qualification Data: For the following:

1. Installer: Include copies of applicable ACI certificates.
2. Ready-mixed concrete manufacturer.

B. Material Certificates: For each of the following, signed by manufacturers:

1. Cementitious materials.
2. Admixtures.
3. Curing compounds.
4. Bonding agents.
5. Adhesives.
6. Vapor retarders.
7. Semirigid joint filler.
8. Joint-filler strips.
9. Repair materials.

C. Material Test Reports: For the following, from a qualified testing agency:

1. Portland cement.
2. Fly ash.
3. Slag cement.
4. Blended hydraulic cement.
5. Silica fume.
6. Performance-based hydraulic cement.
7. Aggregates.

D. Preconstruction Test Reports: For each mix design.

- E. Field quality-control reports.

1.6 QUALITY ASSURANCE

- A. Ready-Mixed Concrete Manufacturer Qualifications: A firm experienced in manufacturing ready-mixed concrete products and that complies with ASTM C94/C94M requirements for production facilities and equipment.
- B. Laboratory Testing Agency Qualifications: A testing agency qualified in accordance with ASTM C1077 and ASTM E329 for testing indicated and employing an ACI-certified Concrete Quality Control Technical Manager.
- C. In the event of a conflict between the contract documents and the specifications a “Request for Information” (RFI) shall be submitted to the Architect/Engineer for clarification.
- D. Materials and/or installed work may require testing as directed by the Architect/Engineer or the Code Officials at any time during the construction process.
 - 1. Contractor shall provide the testing agency with the construction and operations schedule a minimum of 48 hours prior to execution of the task. Failure to provide such schedule within the stated time frame may result in additional cost to the Contractor.
 - 2. Contractor shall fully cooperate with the testing agency and provide enough access to the testing location including any off-site location where materials are fabricated, batched or stored. Contractor shall also provide any incidental equipment and labor required to facilitate the required testing and inspection operations.
 - 3. Testing and/or inspections by an independent agency does not relieve the Contractor of his responsibility to perform all work in accordance the Contract Documents.
 - 4. Additional tests required as a result of rejected installed work or material or as requested by the Contractor shall be at the Contractor’s expense.

1.7 PRECONSTRUCTION TESTING

- A. Preconstruction Testing Service: Engage a qualified testing agency to perform preconstruction testing on each concrete mixture.
 - 1. Include the following information in each test report:
 - a. Admixture dosage rates.
 - b. Slump.
 - c. Air content.
 - d. Seven-day compressive strength.
 - e. 28-day compressive strength.

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Comply with ASTM C94/C94M and ACI 301.

1.9 FIELD CONDITIONS

A. Cold-Weather Placement: Comply with ACI 301 and ACI 306.1 and as follows.

1. Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing actions, or low temperatures.
2. When average high and low temperature is expected to fall below 40 deg F for three successive days, maintain delivered concrete mixture temperature within the temperature range required by ACI 301.
3. Do not use frozen materials or materials containing ice or snow.
4. Do not place concrete in contact with surfaces less than 35 deg F, other than reinforcing steel.
5. Do not use calcium chloride, salt, or other materials containing antifreeze agents or chemical accelerators unless otherwise specified and approved in mixture designs.

B. Hot-Weather Placement: Comply with ACI 301 and ACI 305.1, and as follows:

1. Maintain concrete temperature at time of discharge to not exceed 95 deg F.
2. Fog-spray forms, steel reinforcement, and subgrade just before placing concrete. Keep subgrade uniformly moist without standing water, soft spots, or dry areas.

PART 2 - PRODUCTS

2.1 CONCRETE, GENERAL

A. ACI Publications: Comply with ACI 301 unless modified by requirements in the Contract Documents.

2.2 CONCRETE MATERIALS

A. Source Limitations:

1. Obtain all concrete mixtures from a single ready-mixed concrete manufacturer for entire Project.
2. Obtain each type or class of cementitious material of the same brand from the same manufacturer's plant.
3. Obtain aggregate from single source.
4. Obtain each type of admixture from single source from single manufacturer.

B. Cementitious Materials:

1. Portland Cement: ASTM C150/C150M, Type I/II , .
2. Fly Ash: ASTM C618, Class C or F.
3. Slag Cement: ASTM C989/C989M, Grade 100 or 120.
4. Blended Hydraulic Cement: ASTM C595/C595M, Type IS, portland blast-furnace slag cement.
5. Silica Fume: ASTM C1240 amorphous silica.
6. Performance-Based Hydraulic Cement: ASTM C1157/C1157M: Type GU, general use .

- C. Normal-Weight Aggregates: ASTM C33/C33M, Class 3S coarse aggregate or better, graded. Provide aggregates from a single source.
1. Alkali-Silica Reaction: Comply with one of the following:
 - a. Expansion Result of Aggregate: Not more than 0.04 percent at one-year when tested in accordance with ASTM C1293.
 - b. Expansion Results of Aggregate and Cementitious Materials in Combination: Not more than 0.10 percent at an age of 16 days when tested in accordance with ASTM C1567.
 - c. Alkali Content in Concrete: Not more than 4 lb./cu. yd. for moderately reactive aggregate or 3 lb./cu. yd. for highly reactive aggregate, when tested in accordance with ASTM C1293 and categorized in accordance with ASTM C1778, based on alkali content being calculated in accordance with ACI 301.
 2. Maximum Coarse-Aggregate Size: 3/4 inch nominal.
 3. Fine Aggregate: Free of materials with deleterious reactivity to alkali in cement.
- D. Air-Entraining Admixture: ASTM C260/C260M.
- E. Chemical Admixtures: Certified by manufacturer to be compatible with other admixtures that do not contribute water-soluble chloride ions exceeding those permitted in hardened concrete. Do not use calcium chloride or admixtures containing calcium chloride in steel-reinforced concrete.
1. Water-Reducing Admixture: ASTM C494/C494M, Type A.
 2. Retarding Admixture: ASTM C494/C494M, Type B.
 3. Water-Reducing and -Retarding Admixture: ASTM C494/C494M, Type D.
 4. High-Range, Water-Reducing Admixture: ASTM C494/C494M, Type F.
 5. High-Range, Water-Reducing and -Retarding Admixture: ASTM C494/C494M, Type G.
 6. Plasticizing and Retarding Admixture: ASTM C1017/C1017M, Type II.
- F. Water and Water Used to Make Ice: ASTM C94/C94M, potable

2.3 CURING MATERIALS

- A. Evaporation Retarder: Waterborne, monomolecular film forming, manufactured for application to fresh concrete.
1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Bon Tool Co.
 - b. Brickform; a division of Solomon Colors.
 - c. ChemMasters, Inc.
 - d. Dayton Superior Corporation.
 - e. Euclid Chemical Company (The); an RPM company.
 - f. Kaufman Products, Inc.
 - g. Lambert Corporation.
 - h. Laticrete International, Inc.
 - i. MAPEI Corporation.
 - j. Master Builders Solutions.
 - k. Metalcrete Industries.

- l. Nox-Crete Products Group.
 - m. Sika Corporation.
 - n. SINAK.
 - o. SpecChem, LLC.
 - p. TK Products Construction Coatings, a Fenix Group SPC Company.
 - q. Vexcon Chemicals Inc.
 - r. W. R. Meadows, Inc.
- B. Absorptive Cover: AASHTO M 182, Class 2, burlap cloth made from jute or kenaf, weighing approximately 9 oz./sq. yd. when dry.
- C. Moisture-Retaining Cover: ASTM C171, polyethylene film burlap-polyethylene sheet.
 - 1. Color:
 - a. Ambient Temperature Below 50 deg F: Black.
 - b. Ambient Temperature between 50 deg F and 85 deg F: Any color.
 - c. Ambient Temperature Above 85 deg F: White.
- D. Water: Potable or complying with ASTM C1602/C1602M.
- E. Clear, Waterborne, Membrane-Forming, Dissipating Curing Compound: ASTM C309, Type 1, Class B.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Anti-Hydro International, Inc.
 - b. ChemMasters, Inc.
 - c. Dayton Superior Corporation.
 - d. Euclid Chemical Company (The); an RPM company.
 - e. Kaufman Products, Inc.
 - f. Lambert Corporation.
 - g. Laticrete International, Inc.
 - h. MAPEI Corporation.
 - i. Nox-Crete Products Group.
 - j. SpecChem, LLC.
 - k. TK Products Construction Coatings, a Fenix Group SPC Company.
 - l. Vexcon Chemicals Inc.
 - m. W. R. Meadows, Inc.
- F. Clear, Solvent-Borne, Membrane-Forming, Curing and Sealing Compound: ASTM C1315, Type 1, Class A.
- G. Clear, Waterborne, Membrane-Forming, Curing and Sealing Compound: ASTM C1315, Type 1, Class A.
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. ChemMasters, Inc.
 - b. Concrete Sealers USA.
 - c. Dayton Superior Corporation.
 - d. Euclid Chemical Company (The); an RPM company.

- e. Kaufman Products, Inc.
- f. Lambert Corporation.
- g. Laticrete International, Inc.
- h. MAPEI Corporation.
- i. Metalcrete Industries.
- j. Nox-Crete Products Group.
- k. Right Pointe.
- l. SINAK.
- m. SpecChem, LLC.
- n. TK Products Construction Coatings, a Fenix Group SPC Company.
- o. Vexcon Chemicals Inc.
- p. W. R. Meadows, Inc.

2.4 RELATED MATERIALS

- A. Expansion- and Isolation-Joint-Filler Strips: ASTM D1751, asphalt-saturated cellulosic fiber .
- B. Bonding Agent: ASTM C1059/C1059M, Type II, nonredispersible, acrylic emulsion or styrene butadiene.

2.5 CONCRETE MIXTURES, GENERAL

- A. Prepare design mixtures for each type and strength of concrete, proportioned on the basis of laboratory trial mixture or field test data, or both, in accordance with ACI 301.
 - 1. Use a qualified testing agency for preparing and reporting proposed mixture designs, based on laboratory trial mixtures.
- B. Cementitious Materials: Limit percentage, by weight, of cementitious materials other than portland cement in concrete as follows:
 - 1. Fly Ash or Other Pozzolans: 25 percent by mass.
 - 2. Slag Cement: 50 percent by mass.
 - 3. Silica Fume: 10 percent by mass.
 - 4. Total of Fly Ash or Other Pozzolans, Slag Cement, and Silica Fume: 50 percent by mass, with fly ash or pozzolans not exceeding 25 percent by mass and silica fume not exceeding 10 percent by mass.
 - 5. Total of Fly Ash or Other Pozzolans and Silica Fume: 35 percent by mass with fly ash or pozzolans not exceeding 25 percent by mass and silica fume not exceeding 10 percent by mass.
- C. Admixtures: Use admixtures in accordance with manufacturer's written instructions.
 - 1. Use water-reducing high-range water-reducing or plasticizing admixture in concrete, as required, for placement and workability.
 - 2. Use water-reducing and -retarding admixture when required by high temperatures, low humidity, or other adverse placement conditions.
 - 3. Use water-reducing admixture in pumped concrete, .

2.6 CONCRETE MIXTURES

- A. Class A : Normal-weight concrete used for footings, and encasement.
 - 1. Exposure Class: ACI 318 F2 .
 - 2. Minimum Compressive Strength: 3000 psi at 28 days.
 - 3. Maximum w/cm: 0.50 .
 - 4. Slump Limit: 8 inches , plus or minus 1 inch for concrete with verified slump of 3 inches plus or minus 1 inch before adding high-range water-reducing admixture or plasticizing admixture at Project site .
 - 5. Air Content:
 - a. Exposure Classes F2 and F3: 6 percent, plus or minus 1.5 percent at point of delivery for concrete containing 3/4-inch nominal maximum aggregate size .

2.7 CONCRETE MIXING

- A. Ready-Mixed Concrete: Measure, batch, mix, and deliver concrete in accordance with ASTM C94/C94M and ASTM C1116/C1116M, and furnish batch ticket information.
- B. Project-Site Mixing: Measure, batch, and mix concrete materials and concrete in accordance with ASTM C94/C94M. Mix concrete materials in appropriate drum-type batch machine mixer.
 - 1. For mixer capacity of 1 cu. yd. or smaller, continue mixing at least 1-1/2 minutes, but not more than five minutes after ingredients are in mixer, before any part of batch is released.
 - 2. For mixer capacity larger than 1 cu. yd., increase mixing time by 15 seconds for each additional 1 cu. yd..
 - 3. Provide batch ticket for each batch discharged and used in the Work, indicating Project identification name and number, date, mixture type, mixture time, quantity, and amount of water added. Record approximate location of final deposit in structure.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Verification of Conditions:
 - 1. Before placing concrete, verify that installation of concrete forms, accessories, and reinforcement, and embedded items is complete and that required inspections have been performed.
 - 2. Do not proceed until unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Provide reasonable auxiliary services to accommodate field testing and inspections, acceptable to testing agency, including the following:
 - 1. Daily access to the Work.

2. Incidental labor and facilities necessary to facilitate tests and inspections.
3. Secure space for storage, initial curing, and field curing of test samples, including source of water and continuous electrical power at Project site during site curing period for test samples.
4. Security and protection for test samples and for testing and inspection equipment at Project site.

3.3 INSTALLATION OF EMBEDDED ITEMS

- A. Place and secure anchorage devices and other embedded items required for adjoining Work that is attached to or supported by cast-in-place concrete.
 1. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.

3.4 JOINTS

- A. Construct joints true to line, with faces perpendicular to surface plane of concrete.
- B. Construction Joints: Coordinate with slab pattern and concrete placement sequence.
 1. Install so strength and appearance of concrete are not impaired, at locations indicated on Drawings or as approved by Architect.
 2. Place joints perpendicular to main reinforcement.
 - a. Continue reinforcement across construction joints unless otherwise indicated.
 3. Use a bonding agent at locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.
 4. Use epoxy-bonding adhesive at locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.
- C. Control Joints in Slabs-on-Ground: Form weakened-plane control joints, sectioning concrete into areas as indicated. Construct control joints for a depth equal to at least one-fourth of concrete thickness as follows:
 1. Sawed Joints: Form control joints with power saws equipped with shatterproof abrasive or diamond-rimmed blades. Cut 1/8-inch- wide joints into concrete when cutting action does not tear, abrade, or otherwise damage surface and before concrete develops random cracks.
- D. Isolation Joints in Slabs-on-Ground: After removing formwork, install joint-filler strips at slab junctions with vertical surfaces, such as column pedestals, foundation walls, grade beams, and other locations, as indicated.
 1. Terminate full-width joint-filler strips not less than 1/2 inch or more than 1 inch below finished concrete surface, seal joint.
 2. Install joint-filler strips in lengths as long as practicable. Where more than one length is required, lace or clip sections together.
- E. Doweled Joints:

1. Install dowel bars and support assemblies at joints where indicated on Drawings.
2. Lubricate or asphalt coat one-half of dowel bar length to prevent concrete bonding to one side of joint.

3.5 CONCRETE PLACEMENT

- A. Before placing concrete, verify that installation of formwork, reinforcement, and embedded items is complete and that required inspections are completed.
- B. Notify Architect and testing and inspection agencies 24 hours prior to commencement of concrete placement.
- C. Do not add water to concrete during delivery, at Project site, or during placement unless approved by Architect in writing, but not to exceed the amount indicated on the concrete delivery ticket.
 1. Do not add water to concrete after adding high-range water-reducing admixtures to mixture.
- D. Before test sampling and placing concrete, water may be added at Project site, subject to limitations of ACI 301, but not to exceed the amount indicated on the concrete delivery ticket.
 1. Do not add water to concrete after adding high-range water-reducing admixtures to mixture.
- E. Deposit concrete continuously in one layer or in horizontal layers of such thickness that no new concrete is placed on concrete that has hardened enough to cause seams or planes of weakness.
 1. If a section cannot be placed continuously, provide construction joints as indicated.
 2. Deposit concrete to avoid segregation.
 3. Deposit concrete in horizontal layers of depth not to exceed formwork design pressures and in a manner to avoid inclined construction joints.
 4. Consolidate placed concrete with mechanical vibrating equipment in accordance with ACI 301.
 - a. Do not use vibrators to transport concrete inside forms.
 - b. Insert and withdraw vibrators vertically at uniformly spaced locations to rapidly penetrate placed layer and at least 6 inches into preceding layer.
 - c. Do not insert vibrators into lower layers of concrete that have begun to lose plasticity.
 - d. At each insertion, limit duration of vibration to time necessary to consolidate concrete, and complete embedment of reinforcement and other embedded items without causing mixture constituents to segregate.
- F. Deposit and consolidate concrete for slabs in a continuous operation, within limits of construction joints, until placement of a panel or section is complete.
 1. Do not place concreteslabs in a checkerboard sequence.
 2. Consolidate concrete during placement operations, so concrete is thoroughly worked around reinforcement and other embedded items and into corners.
 3. Maintain reinforcement in position on chairs during concrete placement.

4. Screed slab surfaces with a straightedge and strike off to correct elevations.
5. Level concrete, cut high areas, and fill low areas.
6. Slope surfaces uniformly to drains where required.
7. Begin initial floating using bull floats or darbies to form a uniform and open-textured surface plane, before excess bleedwater appears on the surface.
8. Do not further disturb slab surfaces before starting finishing operations.

3.6 FINISHING FORMED SURFACES

A. As-Cast Surface Finishes:

1. ACI 301 Surface Finish SF-1.0: As-cast concrete texture imparted by form-facing material.
 - a. Patch voids larger than 1-1/2 inches wide or 1/2 inch deep.
 - b. Remove projections larger than 1 inch.
 - c. Tie holes do not require patching.
 - d. Surface Tolerance: ACI 117 Class D.
 - e. Apply to concrete surfaces not exposed to public view .

B. Related Unformed Surfaces:

1. At tops of walls, horizontal offsets, and similar unformed surfaces adjacent to formed surfaces, strike off smooth and finish with a color and texture matching adjacent formed surfaces.
2. Continue final surface treatment of formed surfaces uniformly across adjacent unformed surfaces unless otherwise indicated.

3.7 FINISHING SLABS

A. Comply with ACI 302.1R recommendations for screeding, restraightening, and finishing operations for concrete surfaces. Do not wet concrete surfaces.

B. Broom Finish: Apply a broom finish to exterior concrete slabs.

1. Immediately after float finishing, slightly roughen trafficked surface by brooming with fiber-bristle broom perpendicular to main traffic route.
2. Coordinate required final finish with Architect before application.

3.8 INSTALLATION OF MISCELLANEOUS CONCRETE ITEMS

A. Filling In:

1. Fill in holes and openings left in concrete structures after Work of other trades is in place unless otherwise indicated.
2. Mix, place, and cure concrete, as specified, to blend with in-place construction.
3. Provide other miscellaneous concrete filling indicated or required to complete the Work.

- B. Curbs: Provide monolithic finish to interior curbs by stripping forms while concrete is still green and by steel-troweling surfaces to a hard, dense finish with corners, intersections, and terminations slightly rounded.
- C. Equipment Bases and Foundations:
 - 1. Coordinate sizes and locations of concrete bases with actual equipment provided.
 - 2. Construct concrete bases 4 inches high unless otherwise indicated on Drawings, and extend base not less than 6 inches in each direction beyond the maximum dimensions of supported equipment unless otherwise indicated on Drawings, or unless required for seismic anchor support.
 - 3. Minimum Compressive Strength: 4000 psi at 28 days.
 - 4. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated, install dowel rods on 18-inch centers around the full perimeter of concrete base.
 - 5. For supported equipment, install epoxy-coated anchor bolts that extend through concrete base and anchor into structural concrete substrate.
 - 6. Prior to pouring concrete, place and secure anchorage devices.
 - a. Use setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
 - b. Cast anchor-bolt insert into bases.
 - c. Install anchor bolts to elevations required for proper attachment to supported equipment.

3.9 CONCRETE CURING

- A. Protect freshly placed concrete from premature drying and excessive cold or hot temperatures.
 - 1. Comply with ACI 301 and ACI 306.1 for cold weather protection during curing.
 - 2. Comply with ACI 301 and ACI 305.1 for hot-weather protection during curing.
 - 3. Maintain moisture loss no more than 0.2 lb/sq. ft. x h before and during finishing operations.
- B. Curing Formed Surfaces: Comply with ACI 308.1 as follows:
 - 1. Cure formed concrete surfaces, including underside of beams, supported slabs, and other similar surfaces.
 - 2. Cure concrete containing color pigments in accordance with color pigment manufacturer's instructions.
 - 3. If forms remain during curing period, moist cure after loosening forms.
 - 4. If removing forms before end of curing period, continue curing for remainder of curing period, as follows:
 - a. Continuous Fogging: Maintain standing water on concrete surface until final setting of concrete.
 - b. Continuous Sprinkling: Maintain concrete surface continuously wet.
 - c. Absorptive Cover: Pre-dampen absorptive material before application; apply additional water to absorptive material to maintain concrete surface continuously wet.
 - d. Water-Retention Sheeting Materials: Cover exposed concrete surfaces with sheeting material, taping, or lapping seams.

- e. Membrane-Forming Curing Compound: Apply uniformly in continuous operation by power spray or roller in accordance with manufacturer's written instructions.
 - 1) Recoat areas subject to heavy rainfall within three hours after initial application.
 - 2) Maintain continuity of coating and repair damage during curing period.
- C. Curing Unformed Surfaces: Comply with ACI 308.1 as follows:
 - 1. Begin curing immediately after finishing concrete.

3.10 TOLERANCES

- A. Conform to ACI 117.

3.11 JOINT FILLING

- A. Prepare, clean, and install joint filler in accordance with manufacturer's written instructions.
 - 1. Defer joint filling until concrete has aged at least one month(s).
 - 2. Do not fill joints until construction traffic has permanently ceased.
- B. Remove dirt, debris, saw cuttings, curing compounds, and sealers from joints; leave contact faces of joints clean and dry.
- C. Install semirigid joint filler full depth in saw-cut joints and at least 2 inches deep in formed joints.
- D. Overfill joint, and trim joint filler flush with top of joint after hardening.

3.12 CONCRETE SURFACE REPAIRS

- A. Defective Concrete:
 - 1. Repair and patch defective areas when approved by Architect.
 - 2. Remove and replace concrete that cannot be repaired and patched to Architect's approval.
- B. Patching Mortar: Mix dry-pack patching mortar, consisting of 1 part portland cement to 2-1/2 parts fine aggregate passing a No. 16 sieve, using only enough water for handling and placing.
- C. Perform structural repairs of concrete, subject to Architect's approval, using epoxy adhesive and patching mortar.
- D. Repair materials and installation not specified above may be used, subject to Architect's approval.

3.13 FIELD QUALITY CONTROL

- A. Testing Agency: Owner will Engage a qualified testing and inspecting agency to perform tests and inspections and to submit reports.
1. Testing agency shall be responsible for providing curing container for composite samples on Site and verifying that field-cured composite samples are cured in accordance with ASTM C31/C31M.
 2. Testing agency shall immediately report to Architect, Contractor, and concrete manufacturer any failure of Work to comply with Contract Documents.
 3. Testing agency shall report results of tests and inspections, in writing, to Owner, Architect, Contractor, and concrete manufacturer within 48 hours of inspections and tests.
 - a. Test reports shall include reporting requirements of ASTM C31/C31M, ASTM C39/C39M, and ACI 301, including the following as applicable to each test and inspection:
 - 1) Project name.
 - 2) Name of testing agency.
 - 3) Names and certification numbers of field and laboratory technicians performing inspections and testing.
 - 4) Name of concrete manufacturer.
 - 5) Date and time of inspection, sampling, and field testing.
 - 6) Date and time of concrete placement.
 - 7) Location in Work of concrete represented by samples.
 - 8) Date and time sample was obtained.
 - 9) Truck and batch ticket numbers.
 - 10) Design compressive strength at 28 days.
 - 11) Concrete mixture designation, proportions, and materials.
 - 12) Field test results.
 - 13) Information on storage and curing of samples before testing, including curing method and maximum and minimum temperatures during initial curing period.
 - 14) Type of fracture and compressive break strengths at seven days and 28 days.
- B. Batch Tickets: For each load delivered, submit three copies of batch delivery ticket to testing agency, indicating quantity, mix identification, admixtures, design strength, aggregate size, design air content, design slump at time of batching, and amount of water that can be added at Project site.
- C. Inspections:
1. Verification of use of required design mixture.
 2. Concrete placement, including conveying and depositing.
 3. Curing procedures and maintenance of curing temperature.
 4. Verification of concrete strength before removal of shores and forms from beams and slabs.
 5. Batch Plant Inspections: On a random basis, as determined by Architect.
- D. Concrete Tests: Testing of composite samples of fresh concrete obtained in accordance with ASTM C 172/C 172M shall be performed in accordance with the following requirements:

1. Testing Frequency: Obtain one composite sample for each day's pour of each concrete mixture exceeding 5 cu. yd., but less than 25 cu. yd., plus one set for each additional 50 cu. yd. or fraction thereof.
 - a. When frequency of testing provides fewer than five compressive-strength tests for each concrete mixture, testing shall be conducted from at least five randomly selected batches or from each batch if fewer than five are used.
2. Slump: ASTM C143/C143M:
 - a. One test at point of placement for each composite sample, but not less than one test for each day's pour of each concrete mixture.
 - b. Perform additional tests when concrete consistency appears to change.
3. Slump Flow: ASTM C1611/C1611M:
 - a. One test at point of placement for each composite sample, but not less than one test for each day's pour of each concrete mixture.
 - b. Perform additional tests when concrete consistency appears to change.
4. Air Content: ASTM C231/C231M pressure method, for normal-weight concrete; .
 - a. One test for each composite sample, but not less than one test for each day's pour of each concrete mixture.
5. Concrete Temperature: ASTM C1064/C1064M:
 - a. One test hourly when air temperature is 40 deg F and below or 80 deg F and above, and one test for each composite sample.
6. Unit Weight: ASTM C567/C567M fresh unit weight of structural lightweight concrete.
 - a. One test for each composite sample, but not less than one test for each day's pour of each concrete mixture.
7. Compression Test Specimens: ASTM C31/C31M:
 - a. Cast and laboratory cure two sets of four 6-inch by 12-inch or 4-inch by 8-inch cylinder specimens for each composite sample.
 - b. Cast, initial cure, and field cure two sets of four standard cylinder specimens for each composite sample.
8. Compressive-Strength Tests: ASTM C39/C39M.
 - a. Test one set of four laboratory-cured specimens at seven days and one set of two specimens at 28 days.
 - b. Test one set of four field-cured specimens at seven days and one set of two specimens at 28 days.
 - c. A compressive-strength test shall be the average compressive strength from a set of two specimens obtained from same composite sample and tested at age indicated.
9. When strength of field-cured cylinders is less than 85 percent of companion laboratory-cured cylinders, Contractor shall evaluate operations and provide corrective procedures for protecting and curing in-place concrete.
10. Strength of each concrete mixture will be satisfactory if every average of any three consecutive compressive-strength tests equals or exceeds specified compressive strength, and no compressive-strength test value falls below specified compressive strength by more than 500 psi if specified compressive strength is 5000 psi, or no compressive strength test value is less than 10 percent of specified compressive strength if specified compressive strength is greater than 5000 psi.
11. Nondestructive Testing: Impact hammer, sonoscope, or other nondestructive device may be permitted by Architect but will not be used as sole basis for approval or rejection of concrete.
12. Additional Tests:

- a. Testing and inspecting agency shall make additional tests of concrete when test results indicate that slump, air entrainment, compressive strengths, or other requirements have not been met, as directed by Architect.
- b. Testing and inspecting agency may conduct tests to determine adequacy of concrete by cored cylinders complying with ASTM C42/C42M or by other methods as directed by Architect.
 - 1) Acceptance criteria for concrete strength shall be in accordance with ACI 301 section 1.6.6.3.
13. Additional testing and inspecting, at Contractor's expense, will be performed to determine compliance of replaced or additional work with specified requirements.
14. Correct deficiencies in the Work that test reports and inspections indicate do not comply with the Contract Documents.

3.14 PROTECTION

A. Protect concrete surfaces as follows:

1. Protect from petroleum stains.
2. Diaper hydraulic equipment used over concrete surfaces.
3. Prohibit vehicles from interior concrete slabs.
4. Prohibit use of pipe-cutting machinery over concrete surfaces.
5. Prohibit placement of steel items on concrete surfaces.
6. Prohibit use of acids or acidic detergents over concrete surfaces.
7. Protect liquid floor treatment from damage and wear during the remainder of construction period. Use protective methods and materials, including temporary covering, recommended in writing by liquid floor treatments installer.
8. Protect concrete surfaces scheduled to receive surface hardener or polished concrete finish using Floor Slab Protective Covering.

END OF SECTION 033000

SECTION 071113 - BITUMINOUS DAMPPROOFING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Cold-applied, emulsified-asphalt dampproofing applied to the following areas:
 - a. Exterior, below-grade surfaces of concrete structures.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product. Include manufacturer recommendations for method of application, primer, number of coats, coverage or thickness, and protection course. Indicate special procedures and perimeter conditions requiring special attention.

1.4 FIELD CONDITIONS

- A. Weather Limitations: Proceed with application only when existing and forecasted weather conditions permit dampproofing to be performed according to manufacturers' written instructions.
- B. Ventilation: Provide adequate ventilation during application of dampproofing in enclosed spaces. Maintain ventilation until dampproofing has cured.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Source Limitations: Obtain primary dampproofing materials and primers from single source from single manufacturer. Provide protection course drainage panels and auxiliary materials recommended in writing by manufacturer of primary materials.

2.2 PERFORMANCE REQUIREMENTS

- A. VOC Content: Products shall comply with VOC content limits of authorities having jurisdiction unless otherwise indicated.

2.3 COLD-APPLIED, EMULSIFIED-ASPHALT DAMPPROOFING

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. Henry Company.
 - 2. Karnak Corporation.
 - 3. Master Builders Solutions.
 - 4. W.R. Meadows, Inc.
- B. Basis-of-Design Product: Subject to compliance with requirements, provide Mar-flex Waterproofing & Building Products; Mar-flex ArmorBlock 361 Dampproofing.
- C. Brush and Spray Coats: ASTM D1227, Type III, Class 1.

2.4 AUXILIARY MATERIALS

- A. Furnish auxiliary materials recommended in writing by dampproofing manufacturer for intended use and compatible with bituminous dampproofing.
- B. Emulsified-Asphalt Primer: ASTM D1227, Type III, Class 1, except diluted with water as recommended in writing by manufacturer.
- C. Asphalt-Coated Glass Fabric: ASTM D1668/D1668M, Type I.
- D. Patching Compound: Asbestos-free fibered mastic of type recommended in writing by dampproofing manufacturer.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Applicator present, for compliance with requirements for surface smoothness, maximum surface moisture content, and other conditions affecting performance of the Work.
- B. Proceed with application only after substrate construction and penetrating work have been completed and unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Clean, prepare, and treat substrates according to manufacturer's written instructions. Provide clean, dust-free, and dry substrates for dampproofing application.
- B. Mask or otherwise protect adjoining exposed surfaces from being stained, spotted, or coated with dampproofing. Prevent dampproofing materials from entering and clogging weep holes and drains.

- C. Clean substrates of projections and substances detrimental to dampproofing work; fill voids, seal joints, and remove bond breakers if any.
- D. Apply patching compound to patch and fill tie holes, honeycombs, reveals, and other imperfections ; cover with asphalt-coated glass fabric.

3.3 APPLICATION, GENERAL

- A. Comply with manufacturer's written instructions for dampproofing application, cure time between coats, and drying time before backfilling unless otherwise indicated.
 - 1. Apply dampproofing to provide continuous plane of protection.
 - 2. Apply additional coats if recommended in writing by manufacturer or to achieve a smooth surface and uninterrupted coverage.

3.4 COLD-APPLIED, EMULSIFIED-ASPHALT DAMPPROOFING

- A. Unexposed Face of Concrete structures: Apply one brush or spray coat at not less than 1.25 gal./100 sq. ft..

3.5 PROTECTION

- A. Correct dampproofing that does not comply with requirements; repair substrates, and reapply dampproofing.

END OF SECTION 071113

SECTION 260010 - SUPPLEMENTAL REQUIREMENTS FOR ELECTRICAL

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Supplemental requirements generally applicable to the Work specified in Division 26. This Section is also referenced by related Work specified in other Divisions.

1.2 REFERENCES

A. Abbreviations and Acronyms for Electrical Terms and Units of Measure:

1. A: Ampere, unit of electrical current.
2. AC or ac: Alternating current.
3. AFCI: Arc-fault circuit interrupter.
4. AIC: Ampere interrupting capacity.
5. AL, Al, or ALUM: Aluminum.
6. ASD: Adjustable-speed drive.
7. ATS: Automatic transfer switch.
8. AWG: American wire gauge; see ASTM B258.
9. BAS: Building automation system.
10. BIL: Basic impulse insulation level.
11. BIM: Building information modeling.
12. CAD: Computer-aided design or drafting.
13. CATV: Community antenna television.
14. CB: Circuit breaker.
15. cd: Candela, the SI fundamental unit of luminous intensity.
16. CO/ALR: Copper-aluminum, revised.
17. COPS: Critical operations power system.
18. CU or Cu: Copper.
19. CU-AL or AL-CU: Copper-aluminum.
20. dB: Decibel, a unitless logarithmic ratio of two electrical, acoustical, or optical power values.
21. dB(A-weighted) or dB(A): Decibel acoustical sound pressure level with A-weighting applied in accordance with IEC 61672-1.
22. dB(adjusted) or dBa: Decibel weighted absolute noise power with respect to 3.16 pW (minus 85 dBm).
23. dBm: Decibel absolute power with respect to 1 mW.
24. DC or dc: Direct current.
25. DCOA: Designated critical operations area.
26. DDC: Direct digital control (HVAC).
27. EGC: Equipment grounding conductor.
28. ELV: Extra-low voltage.
29. EMF: Electromotive force.

- 30. EMI: Electromagnetic interference.
- 31. EPM: Electrical preventive maintenance.
- 32. EPS: Emergency power supply.
- 33. EPSS: Emergency power supply system.
- 34. ESS: Energy storage system.
- 35. EV: Electric vehicle.
- 36. EVPE: Electric vehicle power export equipment.
- 37. EVSE: Electric vehicle supply equipment.
- 38. fc: Footcandle, an internationally recognized unit of illuminance equal to one lumen per square foot or 10.76 lx. The simplified conversion $1 \text{ fc} = 10 \text{ lx}$ in the Specifications is common practice and considered adequate precision for building construction activities. When there are conflicts, lux is the primary unit; footcandle is specified for convenience.
- 39. FLC: Full-load current.
- 40. ft: Foot.
- 41. ft-cd: Foot-candle, the antiquated U.S. Standard unit of illuminance, equal to one international candle measured at a distance of one foot, that was superseded in 1948 by the unit "footcandle" after the SI unit candela (cd) replaced the international candle; see "fc,"
- 42. GEC: Grounding electrode conductor.
- 43. GFCI: Ground-fault circuit interrupter.
- 44. GFPE: Ground-fault protection of equipment.
- 45. GND: Ground.
- 46. HACR: Heating, air conditioning, and refrigeration.
- 47. HDPE: High-density polyethylene.
- 48. HID: High-intensity discharge.
- 49. HP or hp: Horsepower.
- 50. HVAC: Heating, ventilating, and air conditioning.
- 51. Hz: Hertz.
- 52. IBT: Intersystem bonding termination.
- 53. inch: Inch. To avoid confusion, the abbreviation "in." is not used.
- 54. IP: Ingress protection rating (enclosures); Internet protocol (communications).
- 55. IR: Infrared.
- 56. IS: Intrinsically safe.
- 57. IT&R: Inspecting, testing, and repair.
- 58. ITE: Information technology equipment.
- 59. kAIC: Kiloampere interrupting capacity.
- 60. kcmil or MCM: One thousand circular mils.
- 61. kV: Kilovolt.
- 62. kVA: Kilovolt-ampere.
- 63. kVA_r or kVAR: Kilovolt-ampere reactive.
- 64. kW: Kilowatt.
- 65. kWh: Kilowatt-hour.
- 66. LAN: Local area network.
- 67. lb: Pound (weight).
- 68. lbf: Pound (force).
- 69. LCD: Liquid-crystal display.
- 70. LCDI: Leakage-current detector-interrupter.
- 71. LED: Light-emitting diode.
- 72. Li-ion: Lithium-ion.
- 73. lm: Lumen, the SI derived unit of luminous flux.

- 74. LNG: Liquefied natural gas.
- 75. LP-Gas: Liquefied petroleum gas.
- 76. LRC: Locked-rotor current.
- 77. LV: Low voltage.
- 78. lx: Lux, the SI derived unit of illuminance equal to one lumen per square meter.
- 79. m: Meter.
- 80. MCC: Motor-control center.
- 81. MDC: Modular data center.
- 82. MG set: Motor-generator set.
- 83. MIDI: Musical instrument digital interface.
- 84. MLO: Main lugs only.
- 85. MV: Medium voltage.
- 86. MVA: Megavolt-ampere.
- 87. mW: Milliwatt.
- 88. MW: Megawatt.
- 89. MWh: Megawatt-hour.
- 90. NC: Normally closed.
- 91. Ni-Cd: Nickel-cadmium.
- 92. Ni-MH: Nickel-metal hydride.
- 93. NIU: Network interface unit.
- 94. NO: Normally open.
- 95. NPT: National (American) standard pipe taper.
- 96. OCPD: Overcurrent protective device.
- 97. ONT: Optical network terminal.
- 98. PC: Personal computer.
- 99. PCS: Power conversion system.
- 100. PCU: Power-conditioning unit.
- 101. PF or pf: Power factor.
- 102. PHEV: Plug-in hybrid electric vehicle.
- 103. PLC: Programmable logic controller.
- 104. PLFA: Power-limited fire alarm.
- 105. PoE: Power over Ethernet.
- 106. PV: Photovoltaic.
- 107. PVC: Polyvinyl chloride.
- 108. pW: Picowatt.
- 109. RFI: (electrical) Radio-frequency interference; (contract) Request for interpretation.
- 110. RMS or rms: Root-mean-square.
- 111. RPM or rpm: Revolutions per minute.
- 112. SCADA: Supervisory control and data acquisition.
- 113. SCR: Silicon-controlled rectifier.
- 114. SPD: Surge protective device.
- 115. sq.: Square.
- 116. SWD: Switching duty.
- 117. TCP/IP: Transmission control protocol/Internet protocol.
- 118. TEFC: Totally enclosed fan-cooled.
- 119. TR: Tamper resistant.
- 120. TVSS: Transient voltage surge suppressor.
- 121. UL: (standards) Underwriters Laboratories, Inc.; (product categories) UL, LLC.
- 122. UL CCN: UL Category Control Number.
- 123. UPS: Uninterruptible power supply.

124. USB: Universal serial bus.
125. UV: Ultraviolet.
126. V: Volt, unit of electromotive force.
127. V(ac): Volt, alternating current.
128. V(dc): Volt, direct current.
129. VA: Volt-ampere, unit of complex electrical power.
130. VAR: Volt-ampere reactive, unit of reactive electrical power.
131. VFC: Variable-frequency controller.
132. VOM: Volt-ohm-multimeter.
133. VPN: Virtual private network.
134. VRLA: Valve regulated lead acid; also called "sealed lead acid (SLA)" or "valve regulated sealed lead acid."
135. W: Watt, unit of real electrical power.
136. Wh: Watt-hour, unit of electrical energy usage.
137. WPT: Wireless power transfer.
138. WPTE: Wireless power transfer equipment.
139. WR: Weather resistant.

B. Abbreviations and Acronyms for Electrical Raceway Types:

1. PVC: Rigid PVC conduit.
2. PVC-40: Schedule 40 rigid PVC conduit.
3. PVC-80: Schedule 80 rigid PVC Conduit.
4. PVC-A: Type A rigid PVC concrete-encased conduit.
5. PVC-EB: Type EB rigid PVC concrete-encased underground conduit.
6. RTRC: Reinforced thermosetting resin conduit.
7. RTRC-AG: Low-halogen, aboveground reinforced thermosetting resin conduit.
8. RTRC-AG-HW: Heavy wall, low-halogen, aboveground reinforced thermosetting resin conduit.
9. RTRC-AG-SW: Standard wall, low-halogen, aboveground reinforced thermosetting resin conduit.
10. RTRC-AG-XW: Extra heavy wall, low-halogen, aboveground reinforced thermosetting resin conduit.
11. RTRC-BG: Low-halogen, belowground reinforced thermosetting resin conduit.

C. Abbreviations and Acronyms for Electrical Single-Conductor and Multiple-Conductor Cable Types:

1. OFC: Conductive optical fiber general-purpose cable.
2. OFCG: Conductive optical fiber general-purpose cable.
3. OFCP: Conductive optical fiber plenum cable.
4. OFCR: Conductive optical fiber riser cable.
5. OFN: Nonconductive optical fiber general-purpose cable.
6. OFNG: Nonconductive optical fiber general-purpose cable.
7. OFNP: Nonconductive optical fiber plenum cable.
8. OFNR: Nonconductive optical fiber riser cable.
9. RHW: Thermoset rubber, moisture-resistant cable.
10. SA: Silicone rubber cable.
11. SE: Service-entrance cable.
12. SER: Service-entrance cable, round.
13. SEU: Service-entrance cable, flat.

14. SIS: Thermoset cable for switchboard and switchgear wiring.
15. TBS: Thermoplastic cable with outer braid.
16. TC: Tray cable.
17. TC-ER: Tray cable, exposed run.
18. TC-ER-HL: Tray cable, exposed run, hazardous location.
19. THW: Thermoplastic, heat- and moisture-resistant cable.
20. THHN: Thermoplastic, heat-resistant cable with nylon jacket outer sheath.
21. THHW: Thermoplastic, heat- and moisture-resistant cable.
22. THWN: Thermoplastic, moisture- and heat-resistant cable with nylon jacket outer sheath.
23. TW: Thermoplastic, moisture-resistant cable.
24. UF: Underground feeder and branch-circuit cable.
25. USE: Underground service-entrance cable.
26. XHH: Cross-linked polyethylene, heat-resistant cable.
27. XHHW: Cross-linked polyethylene, heat- and moisture-resistant cable.

D. Definitions:

1. 8-Position 8-Contact (8P8C) Modular Jack: An unkeyed jack with up to eight contacts commonly used to terminate twisted-pair and multiconductor Ethernet cable. Also called a "TIA-1096 miniature 8-position series jack" (8PSJ), or an "IEC 8877 8-pole jack."
 - a. Be careful when suppliers use "RJ45" generically. Obsolete RJ45 jacks used for analog telephone cables have rejection keys. 8P8C jacks used for digital telephone cables and Ethernet cables do not have rejection keys.
2. Basic Impulse Insulation Level (BIL): Reference insulation level expressed in impulse crest voltage with a standard wave not longer than 1.5 times 50 microseconds and 1.5 times 40 microseconds.
3. Cable: In accordance with NIST NBS Circular 37 and IEEE standards, in the United States for the purpose of interstate commerce, the definition of "cable" is (1) a conductor with insulation, or a stranded conductor with or without insulation (single-conductor cable); or (2) a combination of conductors insulated from one another (multiple-conductor cable).
4. Communications Jack: A fixed connecting device designed for insertion of a communications cable plug.
5. Communications Outlet: One or more communications jacks, or cables and plugs, mounted in a box or ring, with a suitable protective cover.
6. Conductor: In accordance with NIST NBS Circular 37 and IEEE standards, in the United States for the purpose of interstate commerce, the definition of "conductor" is (1) a wire or combination of wires not insulated from one another, suitable for carrying an electric current; (2) (National Electrical Safety Code) a material, usually in the form of wire, cable, or bar, suitable for carrying an electric current; or (3) (general) a substance or body that allows a current of electricity to pass continuously along it.
7. Designated Seismic System: A system component that requires design in accordance with Ch. 13 of ASCE/SEI 7 and for which the Component Importance Factor is greater than 1.0.
8. Direct Buried: Installed underground without encasement in concrete or other protective material.
9. Enclosure: The case or housing of an apparatus, or the fence or wall(s) surrounding an installation, to prevent personnel from accidentally contacting energized parts or to protect the equipment from physical damage. Types of enclosures and enclosure covers include the following:

- a. Cabinet: An enclosure that is designed for either surface mounting or flush mounting and is provided with a frame, mat, or trim in which a swinging door or doors are or can be hung.
- b. Concrete Box: A box intended for use in poured concrete.
- c. Conduit Body: A means for providing access to the interior of a conduit or tubing system through one or more removable covers at a junction or terminal point. In the United States, conduit bodies are listed in accordance with outlet box requirements.
- d. Conduit Box: A box having threaded openings or knockouts for conduit, EMT, or fittings.
- e. Cutout Box: An enclosure designed for surface mounting that has swinging doors or covers secured directly to and telescoping with the walls of the enclosure.
- f. Device Box: A box with provisions for mounting a wiring device directly to the box.
- g. Extension Ring: A ring intended to extend the sides of an outlet box or device box to increase the box depth, volume, or both.
- h. Floor Box: A box mounted in the floor intended for use with a floor box cover and other components to complete the floor box enclosure.
- i. Floor-Mounted Enclosure: A floor box and floor box cover assembly with means to mount in the floor that is sealed against the entrance of scrub water at the floor level.
- j. Floor Nozzle: An enclosure used on a wiring system, intended primarily as a housing for a receptacle, provided with a means, such as a collar, for surface-mounting on a floor, which may or may not include a stem to support it above the floor level, and is sealed against the entrance of scrub water at the floor level.
- k. Junction Box: A box with a blank cover that joins different runs of raceway or cable and provides space for connection and branching of the enclosed conductors.
- l. Outlet Box: A box that provides access to a wiring system having pryout openings, knockouts, threaded entries, or hubs in either the sides or the back, or both, for the entrance of conduit, conduit or cable fittings, or cables, with provisions for mounting an outlet box cover, but without provisions for mounting a wiring device directly to the box.
- m. Pedestal Floor Box Cover: A floor box cover that, when installed as intended, provides a means for typically vertical or near-vertical mounting of receptacle outlets above the floor's finished surface.
- n. Pull Box: A box with a blank cover that joins different runs of raceway and provides access for pulling or replacing the enclosed cables or conductors.
- o. Raised-Floor Box: A floor box intended for use in raised floors.
- p. Recessed Access Floor Box: A floor box with provisions for mounting wiring devices below the floor surface.
- q. Recessed Access Floor Box Cover: A floor box cover with provisions for passage of cords to recessed wiring devices mounted within a recessed floor box.
- r. Ring: A sleeve, which is not necessarily round, used for positioning a recessed wiring device flush with the plaster, concrete, drywall, or other wall surface.
- s. Ring Cover: A box cover, with raised center portion to accommodate a specific wall or ceiling thickness, for mounting wiring devices or luminaires flush with the surface.
- t. Termination Box: An enclosure designed for installation of termination base assemblies consisting of bus bars, terminal strips, or terminal blocks with provision for wire connectors to accommodate incoming or outgoing conductors, or both.

10. Emergency Systems: Those systems legally required and classed as emergency by municipal, state, federal, or other codes, or by any governmental agency having jurisdiction that are designed to ensure continuity of lighting, electrical power, or both, to designated areas and equipment in the event of failure of the normal supply for safety to human life.
11. Essential Electrical Systems: (healthcare facilities) Those systems designed to ensure continuity of electrical power to designated areas and functions of a healthcare facility during disruption of normal power sources, and also to minimize disruption within the internal wiring system.
12. Fault Limited: Providing or being served by a source of electrical power that is limited to not more than 100 W when tested in accordance with UL 62368-1.
 - a. The term "fault limited" is intended to encompass most Class 1, 2, and 3 power-limited sources complying with Article 725 of NFPA 70; Class ES1 and ES2 electrical energy sources that are Class PS1 electrical power sources (e.g., USB); and Class ES3 electrical energy sources that are Class PS1 and PS2 electrical power sources (e.g., PoE). See UL 62368-1 for discussion of classes of electrical energy sources and classes of electrical power sources.
13. Jacket: A continuous nonmetallic outer covering for conductors or cables.
14. Mode: The terms "Active Mode," "Off Mode," and "Standby Mode" are used as defined in the Energy Independence and Security Act (EISA) of 2007.
15. Multi-Outlet Assembly: A type of surface, flush, or freestanding raceway designed to hold conductors, receptacles, and switches, assembled in the field or at the factory.
16. Plenum: A compartment or chamber to which one or more air ducts are connected and that forms part of the air distribution system.
17. Receptacle: A fixed connecting device arranged for insertion of a power cord plug. Also called a power jack.
18. Receptacle Outlet: One or more receptacles mounted in a box with a suitable protective cover.
19. Sheath: A continuous metallic covering for conductors or cables.
20. UL Category Control Number (CCN): An alphabetic or alphanumeric code used to identify product categories covered by UL's Listing, Classification, and Recognition Services.
21. Voltage Class: For specified circuits and equipment, voltage classes are defined as follows:
 - a. Control Voltage: Having electromotive force between any two conductors, or between a single conductor and ground, that is supplied from a battery or other Class 2 or Class 3 power-limited source.
 - b. Line Voltage: (1) (controls) Designed to operate using the supplied low-voltage power without transformation. (2) (transmission lines, transformers, SPDs) The line-to-line voltage of the supplying power system.
 - c. Extra-Low Voltage (ELV): Not having electromotive force between any two conductors, or between a single conductor and ground, exceeding 30 V(ac rms), 42 V(ac peak), or 60 V(dc).
 - d. Low Voltage (LV): Having electromotive force between any two conductors, or between a single conductor and ground, that is rated above 30 V but not exceeding 1000 V.
 - e. Medium Voltage (MV): Having electromotive force between any two conductors, or between a single conductor and ground, that is rated about 1 kV but not exceeding 69 kV.

- f. High Voltage: (1) (circuits) Having electromotive force between any two conductors, or between a single conductor and ground, that is rated above 69 kV but not exceeding 230 kV. (2) (safety) Having sufficient electromotive force to inflict bodily harm or injury.
- 22. Wire: In accordance with NIST NBS Circular 37 and IEEE standards, in the United States for the purpose of interstate commerce, the definition of "wire" is a slender rod or filament of drawn metal. A group of small wires used as a single wire is properly called a "stranded wire." A wire or stranded wire covered with insulation is properly called an "insulated wire" or a "single-conductor cable." Nevertheless, when the context indicates that the wire is insulated, the term "wire" will be understood to include the insulation.

1.3 COORDINATION

- A. Interruption of Existing Electrical Service: Do not interrupt electrical service to facilities occupied by Owner or others unless permitted under the following conditions:
 - 1. Notify Owner no fewer than seven days in advance of proposed interruption of electrical service.
 - 2. Do not proceed with interruption of electrical service without Owner's written permission.
 - 3. Contractor shall organize pre-interruption meeting with Owner no fewer than seven days prior to outage to coordinate all details.
- B. Arrange to provide temporary electrical service in accordance with requirements specified in Division 01.

1.4 PREINSTALLATION MEETINGS

- A. Electrical Preconstruction Conference: Schedule conference with Architect and Owner, not later than 10 days after notice to proceed. Agenda topics include, but are not limited to, the following:
 - 1. Electrical installation schedule.
 - 2. Value analysis proposals and requests for substitution of electrical equipment.
 - 3. Utility work coordination and class of service requests.
 - 4. Commissioning activities.

1.5 SEQUENCING

- A. Conduct and submit results of power system studies before submitting Product Data and Shop Drawings for electrical equipment.

1.6 ACTION SUBMITTALS

- A. Coordination Drawings for Duct Banks:
 - 1. Show duct profiles and coordination with other utilities and underground structures.

2. Include plans and sections, drawn to scale, and show bends and locations of expansion fittings.

1.7 INFORMATIONAL SUBMITTALS

- A. Electrical Installation Schedule: At preconstruction meeting, and periodically thereafter as dates change, provide schedule for electrical installation Work to Owner and Architect including, but not limited to, milestone dates for the following activities:

1. Submission of specified coordination drawings.
2. Submission of action submittals specified in Division 26.
3. Preinstallation meetings specified in Division 26.
4. Utility service outages.
5. Utility service inspection and activation.
6. System startup, testing, and commissioning activities for major electrical equipment.
7. System startup, testing, and commissioning activities for automation systems (SCADA systems.).
8. Pouring of concrete housekeeping pads for electrical equipment and testing of concrete samples.
9. Requests for special inspections.
10. Requests for inspections by authorities having jurisdiction.

- B. Certificates:

1. Welding certificates.
2. Wind-Load Performance Certificates: Provide special certification for systems and components designated on Drawings or in the Specifications to be subject to high wind exposure and impact damage.
 - a. Include the following information:
 - 1) Provide equipment manufacturer's written certification for each designated system and component, stating that it will remain in place and operable following the design wind event and comply with requirements of authorities having jurisdiction.
 - 2) Certification must be based on ICC-ES or similar nationally recognized testing standard procedures acceptable to authorities having jurisdiction.

- C. Qualification Statements:

1. For qualified regional manufacturer.
2. For electrical professional engineer.
3. For electrical power monitoring Installer.
4. For switchboard Installer.
5. For generator set Installer.
6. For exterior athletic lighting Installer.
7. For power quality specialist.
8. For medium-voltage and low-voltage electrical testing agency and on-site electrical testing supervisor.
9. For power-limited electrical testing agency and on-site power-limited testing supervisor.

1.8 CLOSEOUT SUBMITTALS

A. Facility EPM Program Binders:

1. Complete Set: On approved online or cloud solution and USB media that is clearly and permanently labeled with attached placard on lanyard to prevent misplacement.
2. Volumes 2 and 8: Reproducible hardcopy on archival quality, 28 lb, acid-free, bond paper.

B. Operation and Maintenance Data:

1. Include the following information:
 - a. Manufacturer's operating specifications.
 - b. User's guides for software and hardware.
 - c. Schedule of maintenance material items recommended to be stored at Project site.
 - d. Detailed instructions covering operation under both normal and abnormal conditions.
 - e. Time-current curves for overcurrent protective devices and manufacturer's written instructions for testing and adjusting their settings.
 - f. List of load-current and overload-relay heaters with related motor nameplate data.
 - g. List of lamp types and photoelectric relays used on Project, with ANSI and manufacturers' codes.
 - h. Manufacturer's instructions for setting field-adjustable components.
 - i. Manufacturer's instructions for testing, adjusting, and reprogramming microprocessor controls.
 - j. EPSS: Manufacturer's system checklists, maintenance schedule, and maintenance log sheets in accordance with NFPA 110.
 - k. Exterior pole inspection and repair procedures.

C. Software and Firmware Operational Documentation: Provide software and firmware operational documentation, including the following:

1. Software operating and upgrade manuals.
2. Names, versions, and website addresses for locations of installed software.
3. Device address list.
4. Printout of software application and graphic screens.
5. Testing and adjusting of panic and emergency power features.
6. For lighting controls, include the following:
 - a. Adjustments of scene preset controls, adjustable fade rates, and fade overrides.
 - b. Operation of adjustable zone controls.

D. Software:

1. Program Software Backup: Provide username and password for approved online or cloud solution and USB media that is clearly and permanently labeled with attached placard on lanyard to prevent misplacement.
2. Provide to Owner upgrades and unrestricted licenses for installed and backup software, including operating systems and programming tools required for operation and maintenance.

1.9 QUALIFICATIONS

- A. Manufacturer that maintains a service center capable of providing training, parts, and emergency on-site repairs to Project site with response time less than eight hours .
- B. Structural Professional Engineer: Professional engineer with expertise in structural engineering , including seismic- and wind-load modeling and analysis.
- C. Welder: Installer with training and certification in accordance with AWS D1.1/D1.1M and AWS D1.2/D1.2M.
- D. Generator Set Installers: Installer and able to present unexpired certified Installer credentials issued by generator set manufacturer prior to starting installation.
- E. Power Quality Specialist: Recognized experts possessing active credentials from a qualified electrical testing laboratory recognized by authorities having jurisdiction, and able to present unexpired NICET Level 4 credentials with documented experience in power quality testing for installations similar in complexity to this Project.
- F. Medium-Voltage and Low-Voltage Electrical Testing and Inspecting Agency: Entities possessing active credentials from a qualified electrical testing laboratory recognized by authorities having jurisdiction.
 - 1. On-site electrical testing supervisors must have documented certification and experience with testing electrical equipment in accordance with NETA testing standards.
- G. Power-Limited Electrical Testing Agency: Entity possessing active credentials from a qualified electrical testing laboratory recognized by authorities having jurisdiction.
 - 1. On-site power-limited testing supervisor must have BICSI Registered Communications Distribution Designer certification and documented training and experience with testing power-limited equipment in accordance with NETA testing standards.

PART 2 - PRODUCTS

2.1 SUBSTITUTION LIMITATIONS FOR ELECTRICAL EQUIPMENT

- A. Substitution requests for electrical equipment will be entertained under the following conditions:
 - 1. Substitution requests may be submitted for consideration prior to the Electrical Preconstruction Conference if accompanied by value analysis data indicating that substitution will comply with Project performance requirements while significantly increasing value for Owner throughout life of facility.
 - 2. Substitution requests may be submitted for consideration concurrently with submission of power system study reports when those reports indicate that substitution is necessary for safety of maintenance personnel and facility occupants.
 - 3. Contractor is responsible for sequencing and scheduling power system studies and electrical equipment procurement. After the Electrical Preconstruction Conference,

insufficient lead time for electrical equipment delivery will not be considered a valid reason for substitution.

2.2 FACILITY ELECTRICAL PREVENTIVE MAINTENANCE (EPM) PROGRAM BINDERS

- A. Description: Set of binders containing operation and maintenance data for facility's electrical equipment that was compiled during analysis of installed electrical Work for Facility EPM Program development.
- B. Applicable Standards:
 - 1. Regulatory Requirements: Comply with recommendations in NFPA 70B.
 - 2. General Characteristics:
 - a. Volume 1 - Introduction:
 - 1) Summarize how Facility EPM Program Analysis was performed, how data were collected, and how volumes are organized.
 - 2) Describe Facility EPM Program and provide recommended policies and procedures for implementing the program and keeping it current.
 - 3) Provide place for Owner to identify contact information for employees responsible for implementing and maintaining Facility EPM Program.
 - b. Volume 2 - Facility Safety, Hazards Awareness, and Emergency Procedures:
 - 1) Include training requirements for employees and contractors.
 - 2) Include list of known facility hazards impacting IT&R activities.
 - 3) Include approval and permitting procedures for IT&R activities.
 - 4) Include incident emergency response procedures.
 - 5) Include emergency shutdown procedures.
 - 6) Include electrical disaster recovery procedures.
 - c. Volume 3 - Operating Procedures for Electrical Equipment and Controls:
 - 1) Include instructions for connecting temporary generator via generator docking station .
 - d. Volume 4 - Facility Diagrams and Schedules:
 - 1) Include single-line diagrams.
 - 2) Include grounding and bonding diagrams.
 - 3) Include essential wiring diagrams.
 - 4) Include system automation diagrams (SCADA, BMS, lighting, HVAC, etc.).
 - 5) Include records of switchgear, switchboard, and panelboard schedules.
 - 6) Include time-current curves for overcurrent protective devices.
 - 7) Include list of load-current and overload-relay heaters with related motor nameplate data.
 - e. Volume 5 - Inventory of Facility Equipment Using Electrical Power:
 - 1) Include simplified floor plans showing equipment locations.
 - 2) Identify critical equipment (electrical or otherwise).
 - 3) Include identifying designations and nameplate data.
 - 4) Include warranty and maintenance contract information.
 - f. Volume 6 - Inventory of Facility Tools, Supplies, and Personnel Protective Equipment:
 - 1) Include schedules of maintenance material items recommended to be stored at facility.
 - 2) Include list of lamp types and photoelectric relays used in facility with ANSI and manufacturers' codes.

- 3) Include calibration and servicing data for each item.
- g. Volume 7 - Inspection, Testing, and Repair (IT&R) Plan:
 - 1) Include tables showing frequency of activities for each item.
 - 2) Include annual schedule with activities mapped to specific days of the year.
 - 3) Include exterior pole inspection and repair procedures.
- h. Volume 8 - Spare Parts List:
 - 1) Include list of all parts required to perform IT&R procedures.
 - 2) Identify quantities of which parts are recommended to be stored on-site.
 - 3) Include source contact information and budget cost for each item.
- i. Volume 9 - Construction Project Closeout Record Documentation:
 - 1) Include records of risk assessment studies.
 - 2) Include records of electrical system startup and commissioning activities.
 - 3) Include records of baseline inspections and tests.
 - 4) Include records of baseline infrared photographs with normal light photographs showing the location, direction, angle, and conditions necessary for reproducing each infrared photograph.
 - 5) Include records of baseline settings for adjustable equipment and devices.

PART 3 - EXECUTION

3.1 DEVELOPMENT OF FACILITY EPM PROGRAM

- A. Conduct Facility EPM Program analysis in accordance with NFPA 70B recommendations.
 - 1. Renovation Projects:
 - a. Facility diagrams must include connected existing equipment for entire facility where known. Areas of uncertainty should be clearly indicated.
 - b. Obtain copies of existing operation and maintenance data and existing Facility EPM Program information from Owner.
 - c. Facility EPM Program analysis should identify existing equipment that does not have available operation and maintenance data, and should explain the Owner's risks because this equipment is not included in Facility EPM Program.
 - d. Data for existing equipment outside scope of Project may be inserted in Facility EPM Program Binders without analysis.
 - e. Data for existing equipment impacted by scope of Project should be analyzed and documented similar to Project's new equipment data as much as possible.
- B. Compile operation and maintenance data from Facility EPM Program analysis and submit Facility EPM Program Binders.

3.2 INSTALLATION OF ELECTRICAL WORK

- A. Unless more stringent requirements are specified in the Contract Documents or manufacturers' written instructions, comply with NFPA 70 and NECA NEIS 1 for installation of Work specified in Division 26. Consult Architect for resolution of conflicting requirements.

3.3 FIELD QUALITY CONTROL

A. Administrant for Medium-Voltage and Low-Voltage Electrical Tests and Inspections:

1. Administer and perform tests and inspections.

3.4 CLOSEOUT ACTIVITIES

A. Training:

1. Train Owner's maintenance personnel on the following topics:
 - a. How to implement Facility EPM Program.
 - b. How to operate normal and emergency electrical systems, including justifications for, and limitations of, protective device settings.
 - c. Electrical power safety fundamentals refresher including arc-flash hazard safety features of electrical power distribution equipment in facility, interpreting arc-flash warning labels, and selecting appropriate personal protective equipment.

END OF SECTION 260010

SECTION 260100 - BASIC ELECTRICAL REQUIREMENTS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. A. Drawings and general provisions of the Contract and Supplementary Conditions and Division 1 Specifications, apply to this Division.
 - 1. Refer to Division 1 Section "Alternates" for pricing alternatives required under this Division.

1.2 REFERENCES

- A. Conditions of the Contract, Specifications, Change Orders, Addenda, Drawings and Division 1 General Requirements, apply to work of this section. Where paragraphs of this section conflict with similar paragraphs of Division 1, requirements of this section shall prevail.
- B. As used in this section, "provide" means "furnish and install", "furnish" means "to purchase and deliver to the project site complete with every necessary appurtenance and support and to store in a secure area in accordance with manufacturer's instructions", and "install" means "to unload at the delivery point at the site or retrieve from storage, move to point of installation and perform every operation necessary to establish secure mounting and correct operation at the proper location in the project".

1.3 CODES AND STANDARDS

- A. Electrical work shall comply with the current editions of the following codes that have been adopted by the State of Maine:
 - 1. NFPA 70 - National Electrical Code
 - 2. NFPA 72 - National Fire Alarm Code
 - 3. NFPA 101 - Life Safety Code
 - 4. ANSI C2 - National Electrical Safety Code
- B. Electrical work shall comply with the current standards of the following organizations:
 - 1. IEEE - Institute of Electrical and Electronics Engineers
 - 2. EIA/TIA - Electronic Industries Association/Telecommunications Industry Association
 - a. EIA/TIA-568 Commercial Building Wiring Standard.
 - b. EIA/TIA-569 Commercial Building Standard for Telecommunication Pathways and Spaces.
 - 3. OSHA - Occupational Safety and Health Act
 - 4. FM - Factory Mutual Association
 - 5. UL - Underwriters' Laboratories
 - 6. ANSI - American National Standards Institute

7. NEMA - National Electric Manufacturers Association
8. ASTM - American Society for Testing and Materials

- C. In addition to complying with the specified requirements, comply with pertinent regulations of governmental agencies and authorities having jurisdiction including local and state building, plumbing, mechanical, electrical, fire, and health department codes and standards.

1.4 EXAMINATION OF SITE

- A. Before submitting a bid, the Electrical Contractor shall visit and carefully examine site to identify existing conditions and difficulties that may affect the work of this Section. No extra payment will be allowed for additional work caused by unfamiliarity with site conditions.
- B. Before starting work in a particular area of the project, the Electrical Contractor shall examine the conditions under which work must be performed including preparatory work performed under other Sections of the Contract, or by the Owner and report conditions which might adversely affect the work in writing to the Architect. Do not proceed with work until defects have been corrected and conditions are satisfactory. Commencement of work shall be construed as complete acceptance of existing conditions and preparatory work.

1.5 SCOPE

- A. The work to be accomplished under these specifications includes providing all labor, materials, equipment, consumable items, supervision, administrative tasks, tests and documentation required to install complete and fully operational electrical systems as described herein and shown on the Drawings. The Electrical Contractor shall completely coordinate the work of this section with the work of other trades.
- B. The Electrical Contractor shall file plans, obtain permits and licenses, pay fees and obtain necessary inspections and approvals from authorities that have jurisdiction, as required to perform work in accordance with all legal requirements.
- C. The Work shall be complete from point of service to each outlet or device with all accessory construction and materials required to make each item of equipment or system complete and ready for operation. The work shall include but not be limited to the following. The Electrical Contractor shall provide:
1. Underground ductbank and associated accessories.
 2. Medium Voltage Cabling and associated testing.
 3. Complete grounding system as indicated on the plans, specifications, and as required by the NEC.
 4. All support material and hardware for raceway and electrical equipment.
 5. Branch circuits to control panels and devices furnished under other sections.
 6. Termination of all cable and wire unless otherwise noted.

1.6 RELATED WORK IN OTHER SECTIONS

- A. The following work is not included in this Section and shall be performed under other sections:

1. Excavation and backfill.
2. Concrete work.
3. Temporary water, heat, gas and sanitary facilities for use during construction and testing.

- B. The Electrical Contractor shall identify locations of penetrations, excavations, structural supports, etc. required for the completion of the Work of this Section to the General Contractor in a timely manner.

1.7 CONTRACT DRAWINGS

- A. Work to be performed under this section is shown on the electrical drawings bound and issued.
- B. The listing of electrical drawings does not limit responsibility of determining full extent of work required by contract documents. The Electrical Contractor shall refer to Civil drawings and other sections that indicate types of construction with which work of this section must be coordinated. The Electrical Contractor shall check with the General Contractor and other subcontractors to determine whether there will be any interference by such trades with the electrical work. If the Electrical Contractor fails to check with the General Contractor and subcontractors and the electrical work is later found to interfere with their work, then he shall make necessary changes, without additional cost to the Owner, to eliminate such interference.
- C. Drawings are diagrammatic and indicate general arrangement of systems and work included in contract. information and components shown on riser diagrams or called for in the specifications but not shown on plans, and vice versa, shall apply and shall be provided as though required expressly by both. It is not intended to specify or to show every offset, fitting, or component; however, contract documents require components and materials whether or not indicated or specified as necessary to make electrical installation complete and operational.

1.8 DISCREPANCIES IN DOCUMENTS

- A. It shall be the responsibility of each bidder to examine the drawings and specifications carefully before submitting his bid, with particular attention to errors, omissions, conflicts with provisions of laws and codes imposed by authorities having jurisdiction, conflicts between portions of drawings, or between drawings and specifications, and ambiguous definition of the extent of coverage in the contract. Any such discrepancy discovered shall be brought to the immediate attention of the Architect for correction. Should any of the aforementioned errors, omissions, conflicts or ambiguities exist in either or both the drawings and specifications, the Electrical Contractor shall have the same explained and adjusted in writing before signing the contract or proceeding with work. Failure to notify the Architect in writing of such irregularities will cause the Architect's interpretation of the Contract Documents to be final. No additional compensation will be approved because of discrepancies thus resolved.
- B. The drawings and these specifications are intended to comply with all the above mentioned rules and regulations. If discrepancies occur, the Electrical Contractor shall immediately notify the Architect in writing of said discrepancies and apply for an interpretation and, unless and interpretation is offered in writing by the Architect prior to the execution of the contract, the applicable rules and regulations shall be complied with as a part of the contract.

- C. In case of difference between building codes, specifications, state laws, industry standards and the contract documents, the most stringent shall govern. Should the Electrical Contractor perform any work that does not comply with the requirements of the applicable building codes, state laws, and industry standards, he shall bear all costs arising in correcting these deficiencies.

1.9 EQUIPMENT AND MATERIALS

- A. All equipment and materials shall be new and of the quality specified. All materials shall be free from defects at the time of installation. Materials or equipment damaged in shipment or otherwise damaged during construction shall not be repaired at the jobsite, but shall be replaced with new materials.
- B. All equipment installed on this project shall have local representation, local factory authorized service and a local stock of repair parts.
- C. No equipment or material shall be installed in such a manner as to void a manufacturers warranty. The Electrical Contractor shall notify the Architect of any discrepancies between the Contract Documents and manufacturer's recommendations prior to execution of the work.

1.10 RECORD DRAWINGS

- A. As work progresses, and for duration of the Contract, the Electrical Contractor shall maintain a complete and separate set of prints of Contract Drawings at job site at all times and record work completed and all changes from original Contract. Drawings shall clearly and accurately include work installed as a modification or added to the original design.
- B. At completion of work and prior to final request for payment, the Electrical Contractor shall submit a complete set of reproducible record drawings showing all systems as actually installed.

1.11 SHOP DRAWINGS

- A. After the Contract is awarded, but prior to proceeding with the Work, the Electrical Contractor shall obtain complete shop drawings, product data and samples from manufacturers, suppliers, vendors, and Subcontractors for all materials and equipment specified herein, and submit data and details of such materials and equipment for review by the Architect and Engineer. Prior to submission of the shop drawings, product data and samples to the Architect, the Electrical Contractor shall review and certify that the shop drawings, product data and samples are in compliance with the Contract Documents. Further, the Electrical Contractor shall check all materials and equipment after their arrival on the jobsite and verify their compliance with the Contract Documents. Refer to Division 1 for review period requirements. This time period shall be considered by the Electrical Contractor when scheduling his Work.
- B. The Electrical Contractor shall submit product information and shop drawings per Division 1 requirements. All copies shall be neatly bound in folders. Additional copies required for distribution shall be the responsibility of the Electrical Contractor after reviewed copies are returned to him with the Architect's review comments and notes.

- C. Each shop drawing shall indicate in the lower right hand corner, and each product data brochure shall indicate on the front cover the following: Title of the sheet or brochure; name and location of the building; names of the Architect and Engineer, Contractor, Subcontractor, manufacturer, supplier, vendor; the date of submittal; and the date of each correction and revision as identified in Division 1. So far as is practical, each shop drawing, product data and/or samples shall bear a crossreference note to the page or sheet number of the Drawings and/or Specifications showing the Work. Unless the above information is included, the submittal will be returned for resubmittal without review.
- D. The shop drawing submittal shall include all data necessary for interpretation as well as manufacturer's name and catalog number. Sizes, capacities, colors, etc., specified on the drawings shall be specifically noted or marked on the shop drawings.
- E. Submittals shall contain only information specific to systems, equipment and materials required by Contract Documents for this Project. Do not submit catalogs that describe products, models, options or accessories, other than those required, unless irrelevant information is marked out or unless relevant information is highlighted clearly. Marks on submittals, whether by Contractor, Subcontractor, manufacturer, etc., shall not be made in red ink. Red is reserved for review process.
- F. All specification sheets, drawings and diagrams shall be submitted within 30 days from the date of Electrical Contractor signs the Contract. The Engineer's and Architect's review of such drawings shall not relieve the Subcontractor of responsibility for deviations from the Contract Drawings or Specifications, unless he has in writing called the attention of the Architect to such deviations at the time of the submission. The Architect's review shall not relieve the Electrical Contractor from responsibility for errors or omissions in such drawings.
- G. If the Electrical Contractor proposes an item of equipment other than that specified or detailed on the drawings which requires any redesign of the wiring or any other part of the mechanical, electrical or architectural layout, the required changes shall be made at the expense of the trade furnishing the changed equipment at no cost to the Owner.
- H. Manufacturer's names are listed herein and on the drawings to establish a standard for quality and design. Where one manufacturer's name is mentioned, products of other manufacturers will be acceptable if, in the opinion of the Engineer the substitute material is of quality equal to or better than that of the material specified. Where two or more manufacturer's names are specified, material shall be by one of the named manufacturers only.

1.12 TEMPORARY LIGHT AND POWER

- A. The Electrical Contractor shall furnish, install and remove any required temporary electrical power, telephone and lighting systems and pay for all labor, materials, and equipment required therefore. All such temporary electrical work shall meet the requirements of the National Electrical Code, the local utility company, and OSHA.
- B. The Construction Manager shall be responsible for the costs of all telecommunications services consumed by himself and by all of his subcontractors until final completion.
- C. The General Contractor and all subcontractors, individually, shall furnish all extension cords, portable lights and lamps therefore, sockets, motors, and accessories as required for their work.

- D. The General Contractor and all subcontractors shall reimburse the Electrical Contractor for the following:
 - 1. Any temporary wiring of a special nature, other than that specified above, required for their work.
 - 2. Any temporary wiring of construction offices and buildings used by them, other than the office of the General Contractor and the Clerk of the Works.
- E. All temporary wiring, service equipment, and accessories thereto shall be removed by the Electrical Contractor when directed by the General Contractor.

1.13 SPACE, EQUIPMENT ARRANGEMENT AND ACCESS

- A. The size of equipment shown on the drawings is based on the dimensions of a particular manufacturer. Where other manufacturers are acceptable, it is the responsibility of the Electrical Contractor to determine if the equipment he proposed to furnish will fit the space available. Shop drawings shall be prepared by the contractor when required by the Architect or Owner to indicate a suitable arrangement.
- B. Minimum clearances in front of or around equipment shall conform to the latest applicable code requirements.

1.14 MAINTENANCE MANUALS

- A. Prepare maintenance manuals in accordance with Division 1 Section "Closeout Procedures". In addition to the requirements specified in Division 1, include the following information for equipment items:
 - 1. Description of function, normal operating characteristics and limitations, performance curves, engineering data and tests, and complete nomenclature and commercial numbers of replacement parts.
 - 2. Manufacturer's printed operating procedures to include start-up, break-in, and routine and normal operating instructions; regulation, control, stopping, shutdown, and emergency instructions; and summer and winter operating instructions.
 - 3. Maintenance procedures for routine preventative maintenance and troubleshooting; disassembly, repair, and reassembly; aligning and adjusting instructions.
 - 4. Servicing instructions and lubrication charts and schedules.
- B. The minimum information that shall be furnished in the maintenance manual shall include the following:
 - 1. Catalog cut sheets for every item for which a shop drawing is required.
 - 2. "As designed" and "as left" adjustable circuit breaker settings.

PART 2 - PRODUCTS (Not Applicable)

PART 3 - EXECUTION

3.1 ROUGH-IN

- A. Verify final locations for rough-ins with field measurements and with the requirements of the actual equipment to be connected.

3.2 ELECTRICAL INSTALLATIONS

- A. General: Sequence, coordinate, and integrate the various elements of electrical systems, materials, and equipment. Comply with the following requirements:
 - 1. Coordinate electrical systems, equipment, and materials installation with other building components.
 - 2. Verify all dimensions by field measurements.
 - 3. Coordinate the installation of required supporting devices and sleeves to be set in poured-in-place concrete and other structural components, as they are constructed.
 - 4. Sequence, coordinate, and integrate installations of electrical materials and equipment for efficient flow of the Work. Give particular attention to large equipment requiring positioning prior to closing in the building.
 - 5. Coordinate connection of electrical systems with exterior underground and overhead utilities and services. Comply with requirements of governing regulations, franchised service companies, and controlling agencies. Provide required connection for each service.
 - 6. Install systems, materials, and equipment to conform with approved submittal data, including coordination drawings, to greatest extent possible. Conform to arrangements indicated by the Contract Documents, recognizing that portions of the Work are shown only in diagrammatic form. Where coordination requirements conflict with individual system requirements, refer conflict to the Architect.
 - 7. Install systems, materials, and equipment level and plumb, parallel and perpendicular to other building systems and components, where installed exposed in finished spaces.
 - 8. Install electrical equipment to facilitate servicing, maintenance, and repair or replacement of equipment components. As much as practical, connect equipment for ease of disconnecting, with minimum of interference with other installations.
 - 9. Install systems, materials, and equipment giving right-of-way priority to systems required to be installed at a specified slope.

END OF SECTION 260100

SECTION 260513 - MEDIUM-VOLTAGE CABLES

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Cables.
2. Connectors.
3. Solid terminations.
4. Separable insulated connectors.
5. Splice kits.
6. Medium-voltage tapes.
7. Arc-proofing materials.
8. Fault indicators.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of cable. Include splices and terminations for cables and cable accessories.
- B. Samples: 16 inch lengths for each type of cable specified.
- C. Sustainable Design Submittals:
1. Product Data: For each conductor and cable indicating lead content.
 2. Product Data: For solvents and adhesives, indicating VOC content.
 3. Laboratory Test Reports: For solvents and adhesives, indicating compliance with requirements for low-emitting materials.

1.3 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Indicate location of each cable, splice, and termination.
- B. Material Certificates: For each type of cable and accessory.
- C. Design Data: Cable pulling calculations, including conduit size and fill percentage, pulling tensions, cable sidewall pressure, jam probability, voltage drop, and ground wire sizing for each cable.

- D. Source quality-control reports.
- E. Field quality-control reports.

PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with IEEE C2 and NFPA 70.
- C. Source Limitations: Obtain cables and accessories from single source from single manufacturer.

2.2 CABLES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. General Cable; Prysmian Group North America.
 - 2. Hendrix Wire and Cable; Marmon Holdings, Inc.; Berkshire Hathaway Inc.
 - 3. Kerite Power Cable; Marmon Holdings, Inc.; Berkshire Hathaway Inc.
 - 4. Okonite Company (The).
 - 5. Prysmian Cables and Systems; Prysmian Group North America.
 - 6. Southwire Company, LLC.
- B. Cable Type: Type MV 105.
- C. Conductor Insulation: Ethylene-propylene rubber.
 - 1. Voltage Rating: 15 kV.
 - 2. Insulation Thickness: 133 percent insulation level.
- D. Conductor: Copper .
- E. Comply with UL 1072, AEIC CS8 , ICEA S-93-639/NEMA WC 74, and ICEA S-97-682 .
- F. Conductor Stranding: Compact round, concentric lay, Class B .
- G. Lead Content: Less than 300 parts per million.
- H. Shielding: Copper tape , helically applied over semiconducting insulation shield.
- I. Shielding and Jacket: Corrugated copper drain wires embedded in extruded, chlorinated, polyethylene jacket.
- J. Cable Jacket: Sunlight-resistant PVC .

- K. Cables shall be ordered with both ends exposed on spools for testing at time of delivery.

2.3 CONNECTORS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
1. 3M.
 2. ABB, Electrification Business.
 3. Eaton.
- B. Comply with ANSI C119.4 for connectors between aluminum conductors or for connections between aluminum to copper conductors.
- C. Copper-Conductor Connectors: Copper barrel crimped connectors.

2.4 SOLID TERMINATIONS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
1. 3M.
 2. ABB, Electrification Business.
 3. G&W Electric Company.
- B. Multiconductor Cable Sheath Seals: Type recommended by seal manufacturer for type of cable and installation conditions, including orientation.
1. Compound-filled, cast-metal-body, metal-clad cable terminator for metal-clad cable with external plastic jacket.
 2. Cold-shrink sheath seal kit with preformed sleeve openings sized for cable and insulated conductors.
 3. Heat-shrink sheath seal kit with phase- and ground-conductor rejacketing tubes, cable-end sealing boot, and sealing plugs for unused ground-wire openings in boot.
 4. Cast-epoxy-resin sheath seal kit with wraparound mold and packaged, two-part, epoxy-resin casting material.
- C. Shielded-Cable Terminations: Comply with the following classes of IEEE 48. Insulation class shall be equivalent to that of cable. Include shield ground strap for shielded cable terminations.
1. Class 1 Terminations:
 - a. Modular type, furnished as a kit, with stress-relief tube; multiple, molded-silicone-rubber, insulator modules; shield ground strap; and compression-type connector.
 - b. Heat-shrink type with heat-shrink inner stress control and outer nontracking tubes; multiple, molded, nontracking skirt modules; and compression-type connector.
 - c. Modular type, furnished as a kit, with stress-relief shield terminator; multiple-wet-process, porcelain, insulator modules; shield ground strap; and compression-type connector.

2.5 SEPARABLE INSULATED CONNECTORS

- A. Description: Modular system, complying with IEEE 386, with disconnecting, single-pole, cable terminators and with matching, stationary, plug-in, dead-front terminals designed for cable voltage and for sealing against moisture.
- B. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. ABB, Electrification Business.
 - 2. Eaton.
 - 3. Richards Manufacturing Co.
- C. Load-Break Cable Terminators: Elbow-type units with 200 A load make/break and continuous-current rating; coordinated with insulation diameter, conductor size, and material of cable being terminated. Include test point on terminator body that is capacitance coupled.

2.6 SPLICE KITS

- A. Description: For connecting medium voltage cables; type as recommended by cable or splicing kit manufacturer for the application.
- B. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. 3M.
 - 2. ABB, Electrification Business.
 - 3. Eaton.
 - 4. Richards Manufacturing Co.
 - 5. DSG - CanUSA.
- C. Standard: Comply with IEEE 404.
- D. Splicing Products: As recommended, in writing, by splicing kit manufacturer for specific sizes, materials, ratings, and configurations of cable conductors. Include all components required for complete splice, with detailed instructions.
 - 1. Heat-shrink splicing kit of uniform, cross-section, polymeric construction with outer heat-shrink jacket.

2.7 MEDIUM-VOLTAGE TAPES

- A. Description: Electrical grade, insulating tape rated for medium voltage application.
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. 3M.
 - 2. HellermannTyton.

3. Scapa Industrial; Scapa Group plc.

- C. Ethylene/propylene rubber-based, 30 mil splicing tape, rated for 130 deg C operation. Minimum 3/4 inch wide.
- D. Silicone rubber-based, 12 mil self-fusing tape, rated for 130 deg C operation. Minimum 1-1/2 inch wide.
- E. Insulating-putty, 125 mil elastic filler tape. Minimum 1-1/2 inch wide.

2.8 ARC-PROOFING MATERIALS

- A. Description: Fire retardant, providing arc flash protection.
- B. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. 3M.
- C. Tape for First Course on Metal Objects: 10 mil thick, corrosion-protective, moisture-resistant, PVC pipe-wrapping tape.
- D. Arc-Proofing Tape: Fireproof tape, flexible, conformable, intumescent to 0.3 inch thick, and compatible with cable jacket.
- E. Glass-Cloth Tape: Pressure-sensitive adhesive type, 1 inch wide.

2.9 SOURCE QUALITY CONTROL

- A. Test and inspect cables according to ICEA S-97-682 before shipping.
- B. Test strand-filled cables for water-penetration resistance according to ICEA T-31-610, using a test pressure of 5 psig.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install cables according to IEEE 576.
- B. Proof conduits prior to conductor installation by passing a wire brush mandrel and then a rubber duct swab through the conduit. Separate the wire brush and the rubber swab by 48 to 72 inch on the pull rope.
 - 1. Wire Brush Mandrel: Consists of a length of brush approximately the size of the conduit inner diameter with stiff steel bristles and an eye on each end for attaching the pull ropes. If an obstruction is felt, pull the brush back and forth repeatedly to break up the obstruction.

2. Rubber Duct Swab: Consists of a series of rubber discs approximately the size of the conduit inner diameter on a length of steel cable with an eye on each end for attaching the pull ropes. Pull the rubber duct swab through the duct to extract loose debris from the duct.
- C. Pull Conductors: Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
 1. Where necessary, use manufacturer-approved pulling compound or lubricant that does not deteriorate conductor or insulation.
 2. Use pulling means, including fish tape, cable, rope, and basket-weave cable grips, that do not damage cables and raceways. Do not use rope hitches for pulling attachment to cable.
 3. Use pull-in guides, cable feeders, and draw-in protectors as required to protect cables during installation.
 4. Do not pull cables with ends unsealed. Seal cable ends with rubber tape.
- D. Install exposed cables parallel and perpendicular to surfaces of exposed structural members and follow surface contours where possible.
- E. In manholes, handholes, pull boxes, junction boxes, and cable vaults, train cables around walls by the longest route, around the entire perimeter at least once, from entry to exit; support cables at intervals adequate to prevent sag.
- F. Install sufficient cable length to remove cable ends under pulling grips. Remove length of conductor damaged during pulling.
- G. Install cable splices at pull points and elsewhere as indicated; use standard kits. Use dead-front separable watertight connectors in manholes and other locations subject to water infiltration.
- H. Install terminations at ends of conductors, and seal multiconductor cable ends with standard kits.
- I. Arc Proofing: Unless otherwise indicated, arc proof medium-voltage cable at locations not protected by conduit, cable tray, direct burial, or termination materials. In addition to arc-proofing tape manufacturer's written instructions, apply arc proofing as follows:
 1. Clean cable sheath.
 2. Wrap metallic cable components with 10 mil pipe-wrapping tape.
 3. Smooth surface contours with electrical insulation putty.
 4. Apply arc-proofing tape in one half-lapped layer with coated side toward cable.
 5. Band arc-proofing tape with two layers of 1 inch wide half-lapped, adhesive, glass-cloth tape at each end of the arc-proof tape.
- J. Ground shields of shielded cable at terminations, splices, and separable insulated connectors. Ground metal bodies of terminators, splices, cable and separable insulated-connector fittings, and hardware.
- K. Ground shields of shielded cable at one point only. Maintain shield continuity and connections to metal connection hardware at all connection points.

- L. Identify cables according to Section 260553 "Identification for Electrical Systems." Identify phase and circuit number of each conductor at each splice, termination, pull point, and junction box. Arrange identification so that it is unnecessary to move the cable or conductor to read the identification.

3.2 FIELD QUALITY CONTROL

A. Tests and Inspections:

1. Test conductors on spools at time of delivery.
2. Perform each visual and mechanical inspection and electrical test stated in NETA ATS. Certify compliance with test parameters.
3. After installing medium-voltage cables and before electrical circuitry has been energized, test for compliance with requirements.
4. Perform direct-current High Potential test of each new conductor according to NETA ATS, Ch. 7.3.3. Do not exceed cable manufacturer's recommended maximum test voltage.
5. Perform Partial Discharge test of each new conductor according to NETA ATS, Ch. 7.3.3 and to test equipment manufacturer's recommendations.
6. Perform Dissipation Factor test of each new conductor according to NETA ATS, Ch. 7.3.3 and to test equipment manufacturer's recommendations.

B. Medium-voltage cables will be considered defective if they do not pass tests and inspections.

C. Prepare test and inspection reports.

END OF SECTION 260513

SECTION 260526 - GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section includes grounding and bonding systems and equipment.
- B. Related Requirements:
 - 1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.

1.2 ACTION SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Sustainable Design Submittals:
 - 1. Environmental Product Declaration: For each product.
 - 2. Health Product Declaration: For each product.
 - 3. Sourcing of Raw Materials: Corporate sustainability report for each manufacturer.
 - 4. Product Data: For each conductor and cable indicating lead content.

1.3 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Plans showing dimensioned locations of grounding features specified in "Field Quality Control" Article, including the following:
 - 1. Test wells.
 - 2. Ground rods.
 - 3. Ground rings.
 - 4. Grounding arrangements and connections for separately derived systems.
- B. Field quality-control reports.

1.4 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For grounding to include in emergency, operation, and maintenance manuals.
 - 1. In addition to items specified in Section 260010 "Supplemental Requirements for Electrical," include the following:
 - a. Plans showing as-built, dimensioned locations of system described in "Field Quality Control" Article, including the following:

- 1) Test wells.
- 2) Ground rods.
- 3) Ground rings.
- 4) Grounding arrangements and connections for separately derived systems.
- b. Instructions for periodic testing and inspection of grounding features at test wells ground rings grounding connections for separately derived systems based on NETA MTS .
 - 1) Tests must determine if ground-resistance or impedance values remain within specified maximums, and instructions must recommend corrective action if values do not.
 - 2) Include recommended testing intervals.

PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with UL 467 for grounding and bonding materials and equipment.

2.2 CONDUCTORS

- A. Insulated Conductors: Copper wire or cable insulated for 600 V unless otherwise required by applicable Code or authorities having jurisdiction.
- B. Bare Copper Conductors:
 1. Solid Conductors: ASTM B3.
 2. Stranded Conductors: ASTM B8.
 3. Tinned Conductors: ASTM B33.
 4. Bonding Cable: 28 kcmil, 14 strands of No. 17 AWG conductor, 1/4 inch in diameter.
 5. Bonding Conductor: No. 4 or No. 6 AWG, stranded conductor.
 6. Bonding Jumper: Copper tape, braided conductors terminated with copper ferrules; 1-5/8 inch wide and 1/16 inch thick.
 7. Tinned Bonding Jumper: Tinned-copper tape, braided conductors terminated with copper ferrules; 1-5/8 inch wide and 1/16 inch thick.

2.3 CONNECTORS

- A. Listed and labeled by an NRTL acceptable to authorities having jurisdiction for applications in which used and for specific types, sizes, and combinations of conductors and other items connected.
- B. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.

- C. Mechanical-Type Bus-Bar Connectors: Cast silicon bronze, solderless compression -type wire terminals, and long-barrel, two-bolt connection to ground bus bar.
- D. Compression-Type Bus-Bar Connectors: Copper or copper alloy, with two wire terminals.
- E. Beam Clamps: Mechanical type, terminal, ground wire access from four directions, with dual, tin-plated or silicon bronze bolts.
- F. Cable-to-Cable Connectors: Compression type, copper or copper alloy.
- G. Cable Tray Ground Clamp: Mechanical type, zinc-plated malleable iron.
- H. Conduit Hubs: Mechanical type, terminal with threaded hub.
- I. Ground Rod Clamps: Mechanical type, copper or copper alloy, terminal with hex head bolt.
- J. Signal Reference Grid Clamp: Mechanical type, stamped-steel terminal with hex head screw.
- K. Straps: Solid copper, copper lugs. Rated for 600 A.
- L. U-Bolt Clamps: Mechanical type, copper or copper alloy, terminal listed for direct burial.
- M. Water Pipe Clamps:
 - 1. Mechanical type, two pieces with zinc-plated bolts.
 - a. Material: Die-cast zinc alloy.
 - b. Listed for direct burial.
 - 2. U-bolt type with malleable-iron clamp and copper ground connector .

2.4 GROUNDING ELECTRODES

- A. Ground Rods: Copper-clad steel ; 3/4 inch by 10 ft. .
- B. Ground Plates: 1/4 inch thick, hot-dip galvanized.

PART 3 - EXECUTION

3.1 APPLICATIONS

- A. Conductors: Install solid conductor for No. 8 AWG and smaller, and stranded conductors for No. 6 AWG and larger unless otherwise indicated.
- B. Underground Grounding Conductors: Install bare tinned-copper conductor, No. 2/0 AWG minimum.
 - 1. Bury at least 30 inch below grade.
 - 2. Duct-Bank Grounding Conductor: Bury 12 inch above duct bank when indicated as part of duct-bank installation.

- C. Grounding Conductors: Green-colored insulation with continuous yellow stripe.
- D. Conductor Terminations and Connections:
 - 1. Underground Connections: Welded connectors except at test wells and as otherwise indicated.
 - 2. Connections to Ground Rods at Test Wells: Bolted connectors.

3.2 GROUNDING UNDERGROUND DISTRIBUTION SYSTEM COMPONENTS

- A. Comply with IEEE C2 grounding requirements.
- B. Grounding Manholes and Handholes: Install a driven ground rod through manhole or handhole floor, close to wall, and set rod depth so 4 inch will extend above finished floor. If necessary, install ground rod before manhole is placed and provide No. 1/0 AWG bare, tinned-copper conductor from ground rod into manhole through a waterproof sleeve in manhole wall. Protect ground rods passing through concrete floor with a double wrapping of pressure-sensitive insulating tape or heat-shrunk insulating sleeve from 2 inch above to 6 inch below concrete. Seal floor opening with waterproof, nonshrink grout.
- C. Grounding Connections to Manhole Components: Bond exposed-metal parts such as inserts, cable racks, pulling irons, ladders, and cable shields within each manhole or handhole, to ground rod or grounding conductor. Make connections with No. 4 AWG minimum, stranded, hard-drawn copper bonding conductor. Train conductors level or plumb around corners and fasten to manhole walls. Connect to cable armor and cable shields according to written instructions by manufacturer of splicing and termination kits.

3.3 INSTALLATION

- A. Grounding Conductors: Route along shortest and straightest paths possible unless otherwise indicated or required by Code. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.
- B. Ground Rods: Drive rods until tops are 2 inch below finished floor or final grade unless otherwise indicated.
 - 1. Interconnect ground rods with grounding electrode conductor below grade and as otherwise indicated. Make connections without exposing steel or damaging coating if any.
 - 2. Use exothermic welds for all below-grade connections.
 - 3. For grounding electrode system, install at least three rods spaced at least one-rod length from each other and located at least the same distance from other grounding electrodes, and connect to the service grounding electrode conductor.
- C. Test Wells: Ground rod driven through drilled hole in bottom of handhole. Handholes are specified in Section 260543 "Underground Ducts and Raceways for Electrical Systems," and must be at least 12 inch deep, with cover.

1. Install at least one test well for each service unless otherwise indicated. Install at the ground rod electrically closest to service entrance. Set top of test well flush with finished grade or floor.
- D. Bonding Straps and Jumpers: Install in locations accessible for inspection and maintenance except where routed through short lengths of conduit.
 1. Bonding to Equipment Mounted on Vibration Isolation Hangers and Supports: Install bonding so vibration is not transmitted to rigidly mounted equipment.
 2. Use exothermic-welded connectors for outdoor locations; if a disconnect-type connection is required, use a bolted clamp.
- E. Connections: Make connections so possibility of galvanic action or electrolysis is minimized. Select connectors, connection hardware, conductors, and connection methods so metals in direct contact are galvanically compatible.
 1. Use electroplated or hot-tin-coated materials to ensure high conductivity and to make contact points closer in order of galvanic series.
 2. Make connections with clean, bare metal at points of contact.
 3. Make aluminum-to-steel connections with stainless steel separators and mechanical clamps.
 4. Make aluminum-to-galvanized-steel connections with tin-plated copper jumpers and mechanical clamps.
 5. Coat and seal connections having dissimilar metals with inert material to prevent future penetration of moisture to contact surfaces.

3.4 FIELD QUALITY CONTROL

- A. Tests and Inspections:
 1. After installing grounding system but before permanent electrical circuits have been energized, test for compliance with requirements.
 2. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.
 3. Test completed grounding system at each location where a maximum ground-resistance level is specified, at service disconnect enclosure grounding terminal, at ground test wells, and at individual ground rods. Make tests at ground rods before any conductors are connected.
 - a. Measure ground resistance no fewer than two full days after last trace of precipitation and without soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance.
 - b. Perform tests by fall-of-potential method according to IEEE 81.
 4. Prepare dimensioned Drawings locating each test well, ground rod and ground-rod assembly, and other grounding electrodes. Identify each by letter in alphabetical order, and key to the record of tests and observations. Include the number of rods driven and their depth at each location, and include observations of weather and other phenomena that may affect test results. Describe measures taken to improve test results.

- B. Grounding system will be considered defective if it does not pass tests and inspections.
- C. Prepare test and inspection reports.
- D. Excessive Ground Resistance: If resistance to ground exceeds specified values, notify Architect promptly and include recommendations to reduce ground resistance.

END OF SECTION 260526

SECTION 260543 - UNDERGROUND DUCTS AND RACEWAYS FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Type PVC raceways and fittings.
2. Type RTRC-BG raceways and fittings.
3. Fittings for conduit, tubing, and cable.
4. Threaded metal joint compound.
5. Solvent cements.
6. Duct accessories.
7. Handholes and boxes for exterior underground wiring.
8. Manholes for exterior underground wiring.
9. Utility structure accessories.
10. Duct sealing.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.

1.2 DEFINITIONS

- A. Duct: A single raceway or multiple raceways, installed singly or as components of a duct bank.
- B. Duct Bank: Two or more ducts installed in parallel, direct buried or with additional casing materials such as concrete.
- C. Handhole: An underground chamber containing electrical cables, sized such that personnel are not required to enter in order to access the cables.
- D. Manhole: An underground chamber containing electrical cables and equipment, sized to provide access with working space clearances.
- E. Trafficways: Locations where vehicular or pedestrian traffic is a normal course of events.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site before installation of RTRC conduits.

1.4 ACTION SUBMITTALS

A. Product Data:

1. Duct-bank materials, including spacers and miscellaneous components.
2. Ducts, conduits, and their accessories, including elbows, end bells, bends, fittings, and solvent cement.
3. Accessories for manholes, handholes, boxes.
4. Underground-line warning tape.
5. Warning planks.

B. Shop Drawings:

1. Precast or Factory-Fabricated Concrete Structures:
 - a. Include plans, elevations, sections, and details, including attachments to other Work.
 - b. Include duct entry provisions, including locations and duct sizes, and methods and materials for waterproofing duct entry locations.
 - c. Include reinforcement details.
 - d. Include frame and cover design and manhole chimneys.
 - e. Include ladder details.
 - f. Include grounding details.
 - g. Include dimensioned locations of cable rack inserts, pulling-in and lifting irons, sumps, and other accessories.
 - h. Include joint details.
2. Factory-Fabricated Handholes and Boxes Other Than Precast Concrete:
 - a. Include dimensioned plans, sections, and elevations, and fabrication and installation details.
 - b. Include duct entry provisions, including locations and duct sizes, and methods and materials for waterproofing duct entry locations.
 - c. Include cover design.
 - d. Include grounding details.
 - e. Include dimensioned locations of cable rack inserts, pulling-in and lifting irons, and other accessories.

C. Field Quality-Control Submittals:

1. Field quality-control reports.

D. Sustainable Design Submittals:

1. Product Data: For solvents and adhesives, indicating VOC content.
2. Laboratory Test Reports: For solvents and adhesives, indicating compliance with requirements for low-emitting materials.

1.5 INFORMATIONAL SUBMITTALS

A. Certificates:

1. For concrete and steel used in precast concrete manholes, as required by ASTM C858.

B. Source Quality-Control Submittals:

1. Source quality-control reports.

1.6 MAINTENANCE MATERIAL SUBMITTALS

A. Spare Parts: Furnish to Owner spare parts necessary for repairing or adding more cables to manholes or handholes that are packaged with protective covering for storage and identified with labels describing contents.

1. Cable-Support Stanchions, Arms, Insulators, and Associated Fasteners: Five percent of quantity of each item installed.

1.7 REGULATORY AGENCY APPROVALS

A. Submit Shop Drawings for electric utility duct banks and structures for action by Architect prior to submitting for approval by electric utility.

PART 2 - PRODUCTS

2.1 TYPE PVC RACEWAYS AND FITTINGS

A. PVC Conduit shall be utilized for Communication ductbank only. All power ductbanks shall be RTRC conduit.

B. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
2. General Characteristics: UL 651 and UL CCN DZYR.

C. Schedule 40 Rigid PVC Conduit (PVC-80) and Fittings:

1. Dimensional Specifications: Schedule 80.
2. Options:
 - a. Minimum Trade Size: Sizes as indicated on Drawings.
 - b. Markings: For use with maximum 90 deg C wire.

2.2 TYPE RTRC-BG RACEWAYS AND FITTINGS

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
2. General Characteristics: UL 2420 and UL CCN DZKT, for Type BG.

- B. Low-Halogen, Belowground Reinforced Thermosetting Resin Conduit (RTRC-BG) and Fittings:
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Champion Fiberglass, Inc.
 - b. FRE Composites.
 - c. United Fiberglass of America (UFA).
 - 2. Options:
 - a. Minimum Trade Size: Metric designator 21 (trade size 3/4).

2.3 FITTINGS FOR CONDUIT, TUBING, AND CABLE

- A. Performance Criteria:
 - 1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
- B. Metallic Fittings for Type PVC,Raceways:
 - 1. General Characteristics: UL 514B and UL CCN DWTT.
 - 2. Options:
 - a. Material: Steel .
 - b. Coupling Method: Compression coupling .
 - c. Conduit Fittings for Hazardous (Classified) Locations: UL 1203.
 - d. Expansion and Deflection Fittings: UL 651 with flexible external bonding jumper.

2.4 SOLVENT CEMENTS

- A. Performance Criteria:
 - 1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
 - 2. General Characteristics: As recommended by conduit manufacturer in accordance with UL 514B and UL CCN DWTT.
- B. Solvent Cements for Type PVC Raceways and Fittings:
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Oatey.

2.5 DUCT ACCESSORIES

- A. Duct Spacers: Factory-fabricated, rigid, PVC interlocking spacers; sized for type and size of duct with which used, and selected to provide minimum duct spacing indicated while supporting duct during concreting or backfilling.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. ABB, Electrification Business.
 - b. Allied Tube & Conduit; Atkore International.
 - c. Cantex Inc.
 - d. IPEX USA LLC.
- B. Underground-Line Warning Tape: In accordance with Section 260553 "Identification for Electrical Systems."
- C. Concrete Warning Planks: Nominal 12 by 24 by 3 inch in size, manufactured from 6000 psi concrete.
 - 1. Color: Red dye added to concrete during batching.
 - 2. Mark each plank with "ELECTRIC" in 2 inch high, 3/8 inch deep letters.

2.6 HANDHOLES AND BOXES FOR EXTERIOR UNDERGROUND WIRING

- A. Performance Criteria:
 - 1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
 - 2. General Characteristics:
 - a. ASTM C858 for design and manufacturing processes.
 - b. SCTE 77.
- B. Source Quality Control:
 - 1. Precast Concrete Utility Structures: Test and inspect in accordance with ASTM C1037.
 - 2. Polymer Concrete and Nonconcrete Handhole and Pull-Box Prototypes: Test prototypes of handholes and boxes for compliance with SCTE 77. Strength tests must be for specified tier ratings of products supplied. Testing machine pressure gages must have current calibration certification, complying with ISO 9000 and ISO 10012, and traceable to NIST standards.
 - a. Strength tests of complete boxes and covers must be by independent testing agency or manufacturer. Qualified registered professional engineer must certify tests by manufacturer.
- C. Precast Concrete Handholes and Boxes :
 - 1. Description: Factory-fabricated, reinforced-concrete, monolithically poured walls and bottom unless open-bottom enclosures are indicated. Frame and cover must form top of enclosure and must have load rating consistent with that of handhole or box.

2. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Christy Concrete Products.
 - b. Elmhurst-Chicago Stone Co.
 - c. Oldcastle Infrastructure Inc.; CRH Americas.
3. Configuration: Units must be designed for flush burial and have open bottom unless otherwise indicated.
4. Frame and Cover:
 - a. Weatherproof cast-iron frame, with cast-iron cover with recessed cover hook eyes and tamper-resistant, captive, cover-securing bolts.
 - b. Cover Finish: Nonskid finish must have minimum coefficient of friction of 0.50.
 - c. Cover Legend: Molded lettering, "ELECTRIC" or "COMMUNICATIONS" (as indicated on Drawings).
5. Extensions and Slabs: Designed to mate with bottom of enclosure. Same material as enclosure.
 - a. Extension must provide increased depth of 12 inch.
 - b. Slab: Same dimensions as bottom of enclosure, and arranged to provide closure.
6. Joint Sealant: Asphaltic-butyl material with adhesion, cohesion, flexibility, and durability properties necessary to withstand maximum hydrostatic pressures at installation location with ground-water level at grade.
7. Knockout Panels: Precast openings in walls, arranged to match dimensions and elevations of approaching duct, plus additional 12 inch vertically and horizontally to accommodate alignment variations.
 - a. Center window location.
 - b. Knockout panels must be located no less than 6 inch from interior surfaces of walls, floors, or frames and covers of handholes, but close enough to corners to facilitate racking of cables on walls.
 - c. Knockout panel opening must have cast-in-place, welded-wire fabric reinforcement for field cutting and bending to tie in to concrete envelopes of duct.
 - d. Knockout panels must be framed with at least two additional No. 3 steel reinforcing bars in concrete around each opening.
 - e. Knockout panels must be 1-1/2 to 2 inch thick.
8. Duct Entrances in Handhole Walls: Cast end-bell or duct-terminating fitting in wall for each entering duct.
 - a. Type and size: Match fittings to duct to be terminated.
 - b. Fittings must align with elevations of approaching duct and be located near interior corners of handholes to facilitate racking of cable.
 - c. Provide minimum of one cast end-bell or duct-terminating fitting of each size provided in each wall.
9. Handholes 12 inch wide by 24 inch long and larger must have inserts for cable racks and pulling-in irons installed before concrete is poured.

2.7 MANHOLES FOR EXTERIOR UNDERGROUND WIRING

A. Performance Criteria:

1. Regulatory Requirements: Listed and labeled in accordance with NFPA 70 and marked for intended location and use.
2. General Characteristics:

- a. ASTM C858 for design and manufacturing processes.
- b. SCTE 77.

B. Precast Concrete Manholes:

1. Refer to Drawings for physical dimensions of utility structures.
2. Description: One-piece units and units with interlocking mating sections, complete with accessories, hardware, and features.
3. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. American Concrete.
 - b. Christy Concrete Products.
 - c. Elmhurst-Chicago Stone Co.
 - d. Oldcastle Infrastructure Inc.; CRH Americas.
 - e. Utility Concrete Products, LLC.
 - f. Utility Vault Co.
4. Knockout Panels: Precast openings in walls, arranged to match dimensions and elevations of approaching duct, plus additional 12 inch vertically and horizontally to accommodate alignment variations.
 - a. Center window location.
 - b. Knockout panels must be located no less than 6 inch from interior surfaces of walls, floors, or roofs of manholes, but close enough to corners to facilitate racking of cables on walls.
 - c. Knockout panel opening must have cast-in-place, welded-wire fabric reinforcement for field cutting and bending to tie in to concrete envelopes of duct.
 - d. Knockout panel must be framed with at least two additional No. 3 steel reinforcing bars in concrete around each opening.
 - e. Knockout panels must be 1-1/2 to 2 inch thick.
5. Ground Rod Sleeve: Provide 3 inch PVC sleeve in manhole floors 2 inch from wall adjacent to, but not underneath, duct entering structure.
6. Joint Sealant: Asphaltic-butyl material with adhesion, cohesion, flexibility, and durability properties necessary to withstand maximum hydrostatic pressures at installation location with ground-water level at grade.
7. Source Quality Control: Test and inspect in accordance with ASTM C1037.

2.8 UTILITY STRUCTURE ACCESSORIES

- A. Description: Utility equipment and accessory items used for utility structure access and utility support, listed and labeled for intended use and application , and complying with the following local utility company requirements:
- B. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 1. BILCO Company (The).
 2. Christy Concrete Products.
 3. Elmhurst-Chicago Stone Co.
 4. Oldcastle Infrastructure Inc.; CRH Americas.
 5. Quazite; brand of Hubbell Utility Solutions; Hubbell Incorporated.

6. Utility Concrete Products, LLC.
- C. Manhole Frames, Covers, and Chimney Components: Comply with structural design loading specified for manhole.
1. Frame and Cover: Weatherproof, gray cast iron complying with ASTM A48/A48M, Class 30B with milled cover-to-frame bearing surfaces; diameter, 29 inch.
 - a. Cover Finish: Nonskid finish must have minimum coefficient of friction of 0.50.
 - b. Special Covers: Recess in face of cover designed to accept finish material in paved areas.
 2. Cover Legend: Cast in; selected to suit system.
 - a. Legend:
 - 1) "ELECTRIC-HV" for duct systems with medium-voltage cables.
 3. Manhole Chimney Components: Precast concrete rings with dimensions matched to those of roof opening.
 - a. Seal joints watertight using preformed plastic or rubber complying with ASTM C990. Install sealing material in accordance with sealant manufacturers' published instructions.
- D. Manhole Sump Frame and Grate: ASTM A48/A48M, Class 30B, gray cast iron.
- E. Pulling Eyes in Concrete Walls: Eyebolt with reinforcing-bar fastening insert, 2 inch diameter eye, and 1-by-4 inch bolt.
1. Working Load Embedded in 6 inch, 4000 psi Concrete: 13,000 lbf minimum tension.
- F. Pulling Eyes in Nonconcrete Walls: Eyebolt with reinforced fastening, 1-1/4 inch diameter eye, rated 2500 lbf minimum tension.
- G. Pulling-in and Lifting Irons in Concrete Floors: 7/8 inch diameter, hot-dip galvanized, bent steel rod; stress relieved after forming; fastened to reinforcing rod; and with exposed triangular opening.
1. Ultimate Yield Strength: 40,000 lbf shear and 60,000 lbf tension.
- H. Bolting Inserts for Concrete Utility Structure Cable Racks and Other Attachments: Flared, threaded inserts of noncorrosive, chemical-resistant, nonconductive thermoplastic material; 1/2 inch ID by 2-3/4 inch deep, flared to 1-1/4 inch minimum at base.
1. Tested Ultimate Pullout Strength: 12,000 lbf minimum.
- I. Ground Rod Sleeve: 3 inch PVC sleeve in manhole floors 2 inch from wall adjacent to, but not underneath, ducts routed from facility.
- J. Expansion Anchors for Installation after Concrete Is Cast: Zinc-plated, carbon-steel-wedge type with stainless steel expander clip with 1/2 inch bolt, 5300 lbf rated pullout strength, and minimum 6800 lbf rated shear strength.
- K. Nonmetallic Cable Rack Assembly: Components fabricated from nonconductive, fiberglass-reinforced polymer.

1. Stanchions: Nominal 36 inch high by 4 inch wide, with provisions to connect to other sections to form continuous unit, with minimum of nine holes for arm attachment.
 2. Arms: Arranged for secure, drop-in attachment in horizontal position at locations on cable stanchions, and capable of being locked in position. Arms must be available in lengths ranging from 3 inch with 450 lb minimum capacity to 20 inch with 250 lb minimum capacity. Top of arm must be nominally 4 inch wide, and arm must have slots along full length for cable ties.
- L. Fixed Manhole Ladders: Arranged for attachment to roof or wall and floor of manhole. Ladder and mounting brackets and braces must be fabricated from nonconductive, structural-grade, fiberglass-reinforced resin .
- M. Portable Manhole Ladders: UL-listed, heavy-duty fiberglass specifically designed for portable use for access to electrical manholes. Length must be not less than distance from deepest manhole floor to grade plus 36 inch. Two ladder(s) are required.
- N. Cover Hooks: Heavy duty, designed for lifts 60 lbf and greater . Two ladders(s) are required.

2.9 DUCT SEALING

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
1. ABB, Electrification Business.
 2. Gardner Bender.
 3. Ideal Industries, Inc.
 4. NSi Industries LLC.
- B. Duct-Sealing Compound: Nonhardening, safe for contact with human skin, not deleterious to cable insulation, and workable at temperatures as low as 35 deg F. Compound must be capable of withstanding temperature of 300 deg F without slump and adhering to clean surfaces of plastic ducts, metallic conduit, conduit and duct coatings, concrete, masonry, lead, cable sheaths, cable jackets, insulation materials, and common metals. Duct sealing compound must be removable without damaging ducts or cables.
- C. Inflatable Duct-Sealing System: Wraparound inflatable bladder that seals ducts that are empty or containing conductors against air and water infiltration. System is suitable for use in steel, plastic, or concrete ducts and penetrations.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Coordinate layout and installation of duct, duct bank, manholes, handholes, and boxes with final arrangement of other utilities, site grading, and surface features as determined in field. Notify Architect if there is conflict between areas of excavation and existing structures or archaeological sites to remain.

- B. Coordinate elevations of duct and duct-bank entrances into manholes, handholes, and boxes with final locations and profiles of duct and duct banks, as determined by coordination with other utilities, underground obstructions, and surface features. Revise locations and elevations as required to suit field conditions and to ensure that duct and duct bank will drain to manholes and handholes, and as approved by Architect.
- C. Clear and grub vegetation to be removed, and protect vegetation to remain in accordance with Section 311000 "Site Clearing." Remove and stockpile topsoil for reapplication in accordance with Section 311000 "Site Clearing."

3.2 SELECTION OF UNDERGROUND DUCTS

- A. Duct for Electrical Cables More Than 600 V: RTRC BG, concrete encased where installed under pavement or other locations subject to vehicular traffic.
- B. Duct for Electrical Feeders 600 V and Less: ,
 - 1. Secondaries (Between Transformer and Buildings): RTRC BG, concrete encased where installed under pavement or other locations subject to vehicular traffic.
 - 2. Other Feeders:
 - a. Under Pavement: PVC-80, concrete encased.
 - b. All Other Locations: PVC-40, direct buried, unless otherwise indicated.
- C. Duct for Electrical Branch Circuits: PVC-40 , direct buried unless otherwise indicated.
- D. Underground Ducts Crossing Driveways : PVC-40 encased in reinforced concrete.
- E. Underground Ducts Crossing Roadways : PVC-40, encased in reinforced concrete.
- F. Stub-ups: Concrete encased, ERMCS .

3.3 SELECTION OF UNDERGROUND ENCLOSURES

- A. Handholes and Boxes:
 - 1. Units in Roadways and Other Deliberate Traffic Paths: Precast concrete, AASHTO HB 17, H-20 structural load rating.
 - 2. Units in Driveway, Parking Lot, and Off-Roadway Locations, Subject to Occasional, Nondeliberate Loading by Heavy Vehicles: Precast concrete, AASHTO HB 17, H-10 Precast concrete, AASHTO H-20 structural load rating.
 - 3. Units in Sidewalk and Similar Applications with Safety Factor for Nondeliberate Loading by Vehicles: Precast concrete, AASHTO HB 17, H-10 structural load rating.
 - 4. Units Subject to Light-Duty Pedestrian Traffic Only: Fiberglass-reinforced polyester resin , structurally tested in accordance with SCTE 77 with 3000 lbf vertical loading.
 - 5. Cover design load must not exceed load rating of handhole or box.
- B. Manholes: Precast concrete.

1. Units Located in Roadways and Other Deliberate Traffic Paths by Heavy or Medium Vehicles: H-20 structural load rating in accordance with AASHTO HB 17.
2. Units Not Located in Deliberate Traffic Paths by Heavy or Medium Vehicles: H-10 load rating in accordance with AASHTO HB 17.

3.4 EARTHWORK

- A. Excavation and Backfill: Comply with Section 312000 "Earth Moving," but do not use heavy-duty, hydraulic-operated, compaction equipment.
- B. Restoration: Restore area after construction vehicle traffic in immediate area is complete.
- C. Restore surface features at areas disturbed by excavation, and re-establish original grades unless otherwise indicated. Replace removed sod immediately after backfilling is completed.
- D. Restore areas disturbed by trenching, storing of dirt, cable laying, and other work. Restore vegetation and include necessary topsoiling, fertilizing, liming, seeding, sodding, sprigging, and mulching. Comply with Section 329200 "Turf and Grasses."
- E. Cut and patch existing pavement in path of underground duct, duct bank, and underground structures in accordance with "Site Clearing."

3.5 INSTALLATION OF DUCTS AND DUCT BANKS

- A. Reference Standards:
 1. Unless more stringent requirements are specified in Contract Documents or manufacturers' published instructions, comply with NEMA TCB 2 for installation of underground ducts and duct banks.
 2. Consult Architect for resolution of conflicting requirements.
- B. Special Techniques:
 1. Where indicated on Drawings, install duct, spacers, and accessories into duct-bank configuration shown. Duct installation requirements in this Section also apply to duct bank.
 2. Steel raceway, bends, and fittings in single duct run or duct bank must be of same type.
 3. Slope: Pitch duct minimum slope of 1:300 down toward manholes and handholes and away from buildings and equipment. Slope duct from high point between two manholes to drain in both directions.
 4. Expansion and Deflection Fittings: Install expansion and deflection fitting in each duct in area of disturbed earth adjacent to manhole or handhole.
 5. Install expansion fitting near center of straight line duct with calculated expansion of more than 3/4 inch.
 6. Curves and Bends:
 - a. Use 5-degree angle couplings for small changes in direction. Use manufactured long sweep bends with minimum radius of 48 inch , both horizontally and vertically, at other locations unless otherwise indicated.

- b. Field bending must be in accordance with NFPA 70 minimum radii requirements, except bends over 45 degrees must be made with minimum radius of 48 inch . Use only equipment specifically designed for material and size involved. Use PVC heating bender for bending PVC conduit.
- 7. Joints: Use solvent-cemented joints in nonmetallic duct and fittings and make watertight in accordance with manufacturer's published instructions. Stagger couplings so those of adjacent duct do not lie in same plane. Couple steel conduits to ducts with adapters designed for this purpose, and encase coupling with minimum 3 inch of concrete for minimum of 12 inch on each side of coupling.
 - a. Install insulated grounding bushings on steel raceway terminations that are less than 12 inch below grade or floor level and do not terminate in hubs.
- 8. Installation Adjacent to High-Temperature Steam Lines: Where duct is installed parallel to underground steam lines, perform calculations showing duct will not be subject to environmental temperatures above 104 deg F. Where environmental temperatures are calculated to rise above 104 deg F, and anywhere duct crosses above underground steam line, install insulation blankets listed for direct burial to isolate duct bank from steam line to maintain maximum environmental temperature of 104 deg F.
- 9. End Bell Entrances to Manholes and Concrete and Polymer Concrete Handholes: Use end bells, spaced approximately 10 inch o.c. for 5 inch duct, and vary proportionately for other duct sizes.
 - a. Begin change from regular spacing to end-bell spacing 10 ft from end bell, without reducing duct slope and without forming trap in line.
 - b. Grout end bells into structure walls from both sides to provide watertight entrances.
- 10. Duct Terminators for Entrances to Cast-in-Place Manholes and Concrete Handholes: Use manufactured, cast-in-place duct terminators, with entrances into structure spaced approximately 6 inch o.c. for 4 inch duct, and vary proportionately for other duct sizes.
 - a. Begin change from regular spacing to terminator spacing 10 ft from terminator, without reducing duct line slope and without forming trap in line.
- 11. Install manufactured steel raceway elbows for stub-ups at poles unless otherwise indicated. Encase elbows for stub-up ducts throughout length of elbow.
 - a. Couple steel elbows to ducts with adapters designed for this purpose, and encase coupling with minimum 3 inch of concrete for minimum of 12 inch on each side of coupling.
- 12. Sealing: Provide temporary closure at terminations of duct with pulled cables. Seal spare duct at terminations. Use sealing compound and plugs to withstand at least 15 psig hydrostatic pressure.
- 13. Pulling Cord: Install 200 lbf test nylon cord in empty ducts.
- 14. Concrete-Encased Ducts and Duct Bank:
 - a. Excavate trench bottom to provide firm and uniform support for duct. Prepare trench bottoms as specified in Section 312000 "Earth Moving" for pipes 6 inch or less in nominal diameter.
 - b. Width: Excavate trench 12 inch wider than duct on each side.
 - c. Depth: Install so top of duct envelope is at least 24 inch below finished grade in areas not subject to deliberate traffic, and at least 30 inch below finished grade in deliberate traffic paths for vehicles unless otherwise indicated. Install so top of duct envelope is below local frost line.
 - d. Support duct on duct spacers coordinated with duct size, duct spacing, and outdoor temperature.

- e. Spacer Installation: Place spacers close enough to prevent sagging and deforming of duct, with not less than four spacers per 20 ft of duct. Place spacers within 24 inch of duct ends. Stagger spacers approximately 6 inch between tiers. Secure spacers to earth and to duct to prevent floating during concreting. Tie entire assembly together using fabric straps; do not use tie wires or reinforcing steel that may form conductive or magnetic loops around ducts or duct groups.
 - f. Minimum Space between Ducts: 3 inch between edge of duct and exterior envelope wall, 2 inch between ducts for like services, and 4 inch between power and communications ducts.
 - g. Elbows:
 - 1) Use manufactured steel elbows for stub-ups, at building entrances, and at changes of direction in duct run.
 - h. Stub-ups to Outdoor Equipment: Extend concrete-encased steel raceway horizontally minimum of 60 inch from edge of equipment base.
 - 1) Stub-ups must be minimum 4 inch above finished floor and minimum 3 inch from conduit side to edge of slab.
 - i. Stub-ups to Indoor Equipment: Extend concrete-encased steel raceway horizontally minimum of 60 inch from edge of wall. Install insulated grounding bushings on terminations at equipment.
 - 1) Stub-ups must be minimum 4 inch above finished floor and no less than 3 inch from conduit side to edge of slab.
 - j. Reinforcement: Reinforce concrete-encased duct where crossing disturbed earth and where indicated. Arrange reinforcing rods and ties without forming conductive or magnetic loops around ducts or duct groups.
 - k. Forms: Use walls of trench to form side walls of duct bank where soil is self-supporting and concrete envelope can be poured without soil inclusions; otherwise, use forms.
 - l. Concrete Cover: Install minimum of 3 inch of concrete cover between edge of duct to exterior envelope wall, 2 inch between duct of like services, and 4 inch between power and communications ducts.
 - m. Place minimum 6 inch of engineered fill above concrete encasement of duct.
 - n. Concreting Sequence: Pour each run of envelope between manholes or other terminations in one continuous operation.
 - 1) Start at one end and finish at other, allowing for expansion and contraction of duct as its temperature changes during and after pour. Use expansion fittings installed in accordance with manufacturer's published instructions, or use other specific measures to prevent expansion-contraction damage.
 - 2) If more than one pour is necessary, terminate each pour in vertical plane and install 3/4 inch reinforcing-rod dowels extending minimum of 18 inch into concrete on both sides of joint near corners of envelope.
 - o. Pouring Concrete: Comply with requirements in "Concrete Placement" Article in Section 033000 "Cast-in-Place Concrete." Place concrete carefully during pours to prevent voids under and between duct and at exterior surface of envelope. Do not allow heavy mass of concrete to fall directly onto ducts. Allow concrete to flow around duct and rise up in middle, uniformly filling open spaces. Do not use power-driven agitating equipment unless specifically designed for duct-installation application.
15. Direct-Buried Duct and Duct Bank:

- a. Excavate trench bottom to provide firm and uniform support for duct. Comply with requirements in Section 312000 "Earth Moving" for preparation of trench bottoms for pipes less than 6 inch in nominal diameter.
 - b. Width: Excavate trench 3 inch wider than duct on each side.
 - c. Depth: Install top of duct at least 36 inch below finished grade unless otherwise indicated.
 - d. Set elevation of top of duct bank below frost line.
 - e. Place minimum 3 inch of sand as bed for duct. Place sand to minimum of 6 inch above top level of duct.
 - f. Support ducts on duct spacers coordinated with duct size, duct spacing, and outdoor temperature.
 - g. Spacer Installation: Place spacers close enough to prevent sagging and deforming of duct, with not less than four spacers per 20 ft of duct. Place spacers within 24 inch of duct ends. Stagger spacers approximately 6 inch between tiers. Secure spacers to earth and to ducts to prevent floating during concreting. Tie entire assembly together using fabric straps; do not use tie wires or reinforcing steel that may form conductive or magnetic loops around ducts or duct groups.
 - h. Install duct with minimum of 3 inch between ducts for like services and 6 inch between power and communications duct.
 - i. Install manufactured duct elbows for stub-ups, at building entrances, and at changes of direction in duct direction unless otherwise indicated. Encase elbows for stub-up ducts throughout length of elbow.
 - j. Install manufactured steel elbows for stub-ups, at building entrances, and at changes of direction in duct.
 - 1) Couple RNC duct to steel raceway with adapters designed for this purpose, and encase coupling with minimum 3 inch of concrete.
 - 2) Stub-ups to Outdoor Equipment: Extend concrete-encased steel raceway horizontally minimum of 60 inch from edge of base. Install insulated grounding bushings on terminations at equipment.
 - a) Stub-ups must be minimum 4 inch above finished base and minimum 3 inch from conduit side to edge of base.
 - 3) Stub-ups to Indoor Equipment: Extend concrete-encased steel raceway horizontally on exterior of wall minimum of 60 inch from edge of wall. Install insulated grounding bushings on terminations at equipment.
 - 4) Stub-ups through interior floors must be minimum 4 inch above finished floor and no less than 3 inch from conduit side to edge of equipment pad or floor slab.
 - k. After installing first tier of duct, backfill and compact. Start at tie-in point and work toward end of duct run, leaving ducts at end of run free to move with expansion and contraction as temperature changes during this process. Repeat procedure after placing each tier. After placing last tier, hand place backfill to 4 inch over duct and hand tamp. Firmly tamp backfill around ducts to provide maximum supporting strength. Use hand tamper only. After placing controlled backfill over final tier, make final duct connections at end of run and complete backfilling with normal compaction. Comply with requirements in Section 312000 "Earth Moving" for installation of backfill materials.
16. Warning Planks: Bury warning planks approximately 12 inch above direct-buried duct, placing them 36 inch o.c. Align planks along width and along centerline of duct or duct bank. Provide additional plank for each 12 inch increment of duct-bank width over

- nominal 18 inch. Space additional planks 12 inch apart, horizontally across width of ducts.
17. Underground-Line Warning Tape: Bury conducting underground line specified in Section 260553 "Identification for Electrical Systems" no less than 12 inch above concrete-encased duct and duct banks and approximately 12 inch below grade. Align tape parallel to and within 3 inch of centerline of duct bank. Provide additional warning tape for each 12 inch increment of duct-bank width over nominal 18 inch. Space additional tapes 12 inch apart, horizontally across width of ducts.
 18. Ground ducts and duct banks in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."

3.6 INSTALLATION OF CONCRETE MANHOLES, HANDHOLES, AND BOXES

A. Reference Standards:

1. Precast Concrete Handholes: Comply with ASTM C891 unless otherwise indicated.
2. Consult Architect for resolution of conflicting requirements.

B. Special Techniques:

1. Cast-in-Place Manholes:
 - a. Finish interior surfaces with smooth-troweled finish.
 - b. Knockouts for Future Duct Connections: Form and pour concrete knockout panels 1-1/2 to 2 inch thick, arranged as indicated.
 - c. Comply with requirements in Section 033000 "Cast-in-Place Concrete" for cast-in-place concrete, formwork, and reinforcement.
2. Precast Concrete Handholes and Manholes:
 - a. Install units level and plumb and with orientation and depth coordinated with connecting duct to minimize bends and deflections required for proper entrances.
 - b. Unless otherwise indicated, support units on level bed of crushed stone or gravel graded from 1 inch sieve to No. 4 sieve and compacted to same density as adjacent undisturbed earth.
 - c. Field-cut openings for conduits in accordance with enclosure manufacturer's published instructions. Cut wall of enclosure with tool designed for material to be cut. Size holes for terminating fittings to be used, and seal around penetrations after fittings are installed.
3. Elevations:
 - a. Manhole Frame: In paved areas and trafficways, set frames flush with finished grade. Set other manhole frames 1 inch above finished grade.
 - b. Install handholes with bottom below frost line, .
 - c. Handhole Covers: In paved areas and trafficways, set surface flush with finished grade. Set covers of other handholes 1 inch above finished grade.
 - d. Where indicated, cast handhole cover frame integrally with handhole structure.
4. Manhole Access: Circular opening in manhole roof; sized to match cover size.
 - a. Install chimney, constructed of cast-iron collars and rings, and cast-iron frame to connect cover with manhole roof opening. Provide moisture-tight joints and waterproof grouting for frame and chimney.
5. Waterproofing: Apply waterproofing to exterior surfaces of manholes and handholes after concrete has cured at least three days. . After duct has been connected and grouted, and before backfilling, waterproof joints and connections, and touch up abrasions and

- scars. Waterproof exterior of manhole chimneys after mortar has cured at least three days.
6. Dampproofing: Apply dampproofing to exterior surfaces of manholes and handholes after concrete has cured at least three days. After ducts are connected and grouted, and before backfilling, dampproof joints and connections, and touch up abrasions and scars. Dampproof exterior of manhole chimneys after mortar has cured at least three days.
 7. Hardware: Install removable hardware, including pulling eyes, cable stanchions, and cable arms, as required for installation and support of cables and conductors and as indicated.
 8. Field-Installed Bolting Anchors in Manholes and Concrete Handholes: Do not drill deeper than 3-7/8 inch for manholes and 2 inch for handholes, for anchor bolts installed in field. Use minimum of two anchors for each cable stanchion.
 9. Ground manholes, handholes, and boxes in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."

3.7 INSTALLATION OF HANDHOLES AND BOXES OTHER THAN PRECAST CONCRETE

A. Reference Standards:

1. Consult Architect for resolution of conflicting requirements.

B. Special Techniques:

1. Install handholes and boxes level and plumb and with orientation and depth coordinated with connecting duct, to minimize bends and deflections required for proper entrances. Use box extension if required to match depths of duct, and seal joint between box and extension as recommended by manufacturer.
2. Unless otherwise indicated, support units on level bed of crushed stone or gravel, graded from 1/2 inch sieve to No. 4 sieve and compacted to same density as adjacent undisturbed earth.
3. Elevation: In paved areas and trafficways, set cover flush with finished grade. Set covers of other handholes 1 inch above finished grade.
4. Install handholes and boxes with bottom below frost line, .
5. Install removable hardware, including pulling eyes, cable stanchions, cable arms, and insulators, as required for installation and support of cables and conductors and as indicated. Select arm lengths to be long enough to provide spare space for future cables, but short enough to preserve adequate working clearances in enclosure.
6. Field cut openings for duct in accordance with enclosure manufacturer's published instructions. Cut wall of enclosure with tool designed for material to be cut. Size holes for terminating fittings to be used, and seal around penetrations after fittings are installed.
7. For enclosures installed in asphalt paving and subject to occasional, nondeliberate, heavy-vehicle loading, form and pour concrete ring encircling, and in contact with enclosure entry, and with top surface screeded to top of box cover frame. Bottom of ring must rest on compacted earth .
 - a. Concrete: 3000 psi, 28-day strength, complying with Section 033000 "Cast-in-Place Concrete," with troweled finish.
 - b. Dimensions: 10 inch wide by 12 inch deep .
8. Ground handholes and boxes in accordance with Section 260526 "Grounding and Bonding for Electrical Systems."

3.8 FIELD QUALITY CONTROL

A. Tests and Inspections:

1. Demonstrate capability and compliance with requirements on completion of installation of underground duct, duct bank, and utility structures.
2. Pull solid aluminum or wood test mandrel through duct to prove joint integrity and adequate bend radii, and test for out-of-round duct. Provide minimum 12 inch long mandrel equal to duct size minus 1/4 inch. If obstructions are indicated, remove obstructions and retest.
3. Test manhole and handhole grounding to ensure electrical continuity of grounding and bonding connections. Measure and report ground resistance as specified in Section 260526 "Grounding and Bonding for Electrical Systems."

B. Nonconforming Work:

1. Underground ducts, raceways, and structures will be considered defective if they do not pass tests and inspections.
2. Correct deficiencies and retest as specified above to demonstrate compliance.

C. Assemble and submit test and inspection reports.

D. Manufacturer Services:

1. Engage factory-authorized service representative to support field tests and inspections.

3.9 CLEANING

A. Pull leather-washer-type duct cleaner, with graduated washer sizes, through full length of duct until duct cleaner indicates that duct is clear of dirt and debris. Follow with rubber duct swab for final cleaning and to assist in spreading lubricant throughout ducts.

B. Clean internal surfaces of manholes, including sump, and building interiors affected by Work.

1. Sweep floor, removing dirt and debris.
2. Remove foreign material.

END OF SECTION 260543

SECTION 260553 - IDENTIFICATION FOR ELECTRICAL SYSTEMS

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Labels.
2. Bands and tubes.
3. Tapes and stencils.
4. Signs.
5. Cable ties.
6. Miscellaneous identification products.

B. Related Requirements:

1. Section 260010 "Supplemental Requirements for Electrical" for additional abbreviations, definitions, submittals, qualifications, testing agencies, and other Project requirements applicable to Work specified in this Section.

1.2 ACTION SUBMITTALS

A. Product Data:

1. Include construction details, material descriptions, dimensions of individual components and profiles, and finishes for electrical identification products.

B. Samples: For each type of label and sign to illustrate composition, size, colors, lettering style, mounting provisions, and graphic features of identification products.

C. Identification Schedule: For each piece of electrical equipment and electrical system components to be index of nomenclature for electrical equipment and system components used in identification signs and labels. Use same designations indicated on Drawings.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

A. Comply with ASME A13.1 and IEEE C2.

B. Comply with 29 CFR 1910.144 for color identification of hazards; 29 CFR 1910.145 for danger, caution, warning, and safety instruction signs and tags; and the following:

1. Fire-protection and fire-alarm equipment, including raceways, must be finished, painted, or suitably marked safety red.

2. Ceiling-mounted hangers, supports, cable trays, and raceways must be finished, painted, or suitably marked safety yellow where less than 7.7 ft above finished floor.
- C. Signs, labels, and tags required for personnel safety must comply with the following standards:
 1. Safety Colors: NEMA Z535.1.
 2. Facility Safety Signs: NEMA Z535.2.
 3. Safety Symbols: NEMA Z535.3.
 4. Product Safety Signs and Labels: NEMA Z535.4.
 5. Safety Tags and Barricade Tapes for Temporary Hazards: NEMA Z535.5.
- D. Comply with NFPA 70E requirements for arc-flash warning labels.
- E. Adhesive-attached labeling materials, including label stocks, laminating adhesives, and inks used by label printers, must comply with UL 969.
- F. Thermal Movements: Allow for thermal movements from ambient and surface temperature changes.
 1. Temperature Change: 120 deg F , ambient; 180 deg F , material surfaces .

2.2 COLOR AND LEGEND REQUIREMENTS

- A. Raceways and Cables Carrying Circuits at More Than 1000 V:
 1. Black letters on orange field.
 2. Legend: "DANGER - CONCEALED HIGH VOLTAGE WIRING."
- B. Warning Label Colors:
 1. Identify system voltage with black letters on orange background.
- C. Equipment Identification Labels:
 1. Black letters on white field.

2.3 LABELS

- A. Vinyl Wraparound Labels: Preprinted, flexible labels laminated with clear, weather- and chemical-resistant coating and matching wraparound clear adhesive tape for securing label ends.
 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Brady Corporation.
 - b. Champion America.
 - c. HellermannTyton.
 - d. Marking Services, Inc.
 - e. Panduit Corp.

- f. Seton Identification Products; a Brady Corporation company.
- B. Self-Adhesive Labels: Polyester , thermal, transfer-printed, 3 mil thick, multicolor, weather- and UV-resistant, pressure-sensitive adhesive labels, configured for intended use and location.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Brady Corporation.
 - b. HellermannTyton.
 - c. Marking Services, Inc.
 - d. Panduit Corp.
 - e. Seton Identification Products; a Brady Corporation company.
 - 2. Minimum Nominal Size:
 - a. 1-1/2 by 6 inch for raceway and conductors.
 - b. 3-1/2 by 5 inch for equipment.
 - c. As required by authorities having jurisdiction.

2.4 BANDS AND TUBES

- A. Snap-Around, Color-Coding Bands: Slit, pretensioned, flexible, solid-colored acrylic sleeves, 2 inch long, with diameters sized to suit diameters and that stay in place by gripping action.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Brady Corporation.
 - b. HellermannTyton.
 - c. Marking Services, Inc.
 - d. Panduit Corp.
- B. Heat-Shrink Preprinted Tubes: Flame-retardant polyolefin tubes with machine-printed identification labels, sized to suit diameter and shrunk to fit firmly. Full shrink recovery occurs at maximum of 200 deg F. Comply with UL 224.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Brady Corporation.
 - b. Panduit Corp.

2.5 TAPES AND STENCILS

- A. Marker Tapes: Vinyl or vinyl-cloth, self-adhesive wraparound type, with circuit identification legend machine printed by thermal transfer or equivalent process.
 - 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

- a. Carlton Industries, LP.
 - b. Champion America.
 - c. HellermannTyton.
 - d. Marking Services, Inc.
 - e. Panduit Corp.
- B. Self-Adhesive Vinyl Tape: Colored, heavy duty, waterproof, fade resistant; not less than 3 mil thick by 1 to 2 inch wide; compounded for outdoor use.
 1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Brady Corporation.
 - b. Carlton Industries, LP.
 - c. Marking Services, Inc.
- C. Tape and Stencil: 4 inch wide black stripes on 10 inch centers placed diagonally over orange background and are 12 inch wide. Stop stripes at legends.
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. HellermannTyton.
 - b. Marking Services, Inc.
 - c. Seton Identification Products; a Brady Corporation company.
- D. Underground-Line Warning Tape:
 1. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - a. Brady Corporation.
 - b. Marking Services, Inc.
 - c. Seton Identification Products; a Brady Corporation company.
 2. Tape:
 - a. Recommended by manufacturer for method of installation and suitable to identify and locate underground electrical and communications utility lines.
 - b. Printing on tape must be permanent and may not be damaged by burial operations.
 - c. Tape material and ink must be chemically inert and not be subject to degradation when exposed to acids, alkalis, and other destructive substances commonly found in soils.
 3. Color and Printing:
 - a. Comply with APWA Uniform Color Code using NEMA Z535.1 safety colors.
 - b. Inscriptions for Red Tapes: "CAUTION BURIED ELECTRIC LINE BELOW" .
 - c. Inscriptions for Orange Tapes: "CAUTION BURIED COMMUNICATION LINE BELOW" .
 4. Tape :
 - a. Detectable three-layer laminate, consisting of printed pigmented polyolefin film, solid aluminum-foil core, and clear protective film that allows inspection of continuity of conductive core; bright colored, continuous-printed on one side with inscription of utility, compounded for direct-burial service.
 - b. Width: 3 inch.
 - c. Overall Thickness: 5 mil.

- d. Foil Core Thickness: 0.35 mil.
 - e. Weight: 28 lb/1000 sq. ft.
 - f. Tensile in accordance with ASTM D882: 70 lbf and 4600 psi.
- E. Stenciled Legend: In nonfading, waterproof, black ink or paint. Minimum letter height must be 1 inch .

2.6 SIGNS

A. Baked-Enamel Signs:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Carlton Industries, LP.
 - b. Champion America.
 - c. emedco.
 - d. Marking Services, Inc.
2. Preprinted aluminum signs, punched or drilled for fasteners, with colors, legend, and size required for application.
3. 1/4 inch grommets in corners for mounting.
4. Nominal Size: 7 by 10 inch.

B. Metal-Backed Butyrate Signs:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Brady Corporation.
 - b. Champion America.
 - c. Marking Services, Inc.
2. Weather-resistant, nonfading, preprinted, cellulose-acetate butyrate signs, with 0.0396 inch galvanized-steel backing, punched and drilled for fasteners, and with colors, legend, and size required for application.
3. 1/4 inch grommets in corners for mounting.
4. Nominal Size: 10 by 14 inch.

C. Laminated Acrylic or Melamine Plastic Signs:

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Brady Corporation.
 - b. Carlton Industries, LP.
 - c. Marking Services, Inc.
 - d. Seton Identification Products; a Brady Corporation company.
2. Engraved legend.
3. Thickness:
 - a. For signs up to 20 sq. inch, minimum 1/16 inch thick.
 - b. For signs larger than 20 sq. inch, 1/8 inch thick.

- c. Engraved legend with black letters on white face .
- d. Self-adhesive.
- e. Framed with mitered acrylic molding and arranged for attachment at applicable equipment.

2.7 CABLE TIES

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. HellermannTyton.
 - 2. Ideal Industries, Inc.
 - 3. Marking Services, Inc.
 - 4. Panduit Corp.
- B. General-Purpose Cable Ties: Fungus inert, self-extinguishing, one piece, self-locking, and Type 6/6 nylon.
 - 1. Minimum Width: 3/16 inch.
 - 2. Tensile Strength at 73 deg F in accordance with ASTM D638: 12,000 psi.
 - 3. Temperature Range: Minus 40 to plus 185 deg F.
 - 4. Color: Black, except where used for color-coding.
- C. UV-Stabilized Cable Ties: Fungus inert, designed for continuous exposure to exterior sunlight, self-extinguishing, one piece, self-locking, and Type 6/6 nylon.
 - 1. Minimum Width: 3/16 inch.
 - 2. Tensile Strength at 73 deg F in accordance with ASTM D638: 12,000 psi.
 - 3. Temperature Range: Minus 40 to plus 185 deg F.
 - 4. Color: Black.
- D. Plenum-Rated Cable Ties: Self-extinguishing, UV stabilized, one piece, and self-locking.
 - 1. Minimum Width: 3/16 inch.
 - 2. Tensile Strength at 73 deg F in accordance with ASTM D638: 7000 psi.
 - 3. UL 94 Flame Rating: 94V-0.
 - 4. Temperature Range: Minus 50 to plus 284 deg F.
 - 5. Color: Black.

2.8 MISCELLANEOUS IDENTIFICATION PRODUCTS

- A. Paint: Provide paint system applicable for surface material and location (exterior or interior).
- B. Fasteners for Labels and Signs: Self-tapping, stainless steel screws or stainless steel machine screws with nuts and flat and lock washers.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Self-Adhesive Identification Products: Before applying electrical identification products, clean substrates of substances that could impair bond, using materials and methods recommended by manufacturer of identification product.

3.2 INSTALLATION

- A. Verify and coordinate identification names, abbreviations, colors, and other features with requirements in other Sections requiring identification applications, Drawings, Shop Drawings, manufacturer's wiring diagrams, and operation and maintenance manual. Use consistent designations throughout Project.
- B. Install identifying devices before installing acoustical ceilings and similar concealment.
- C. Verify identity of item before installing identification products.
- D. Coordinate identification with Project Drawings, manufacturer's wiring diagrams, and operation and maintenance manual.
- E. Apply identification devices to surfaces that require finish after completing finish work.
- F. Install signs with approved legend to facilitate proper identification, operation, and maintenance of electrical systems and connected items.
- G. System Identification for Raceways and Cables over 1000 V: Identification must completely encircle cable or conduit. Place adjacent identification of two-color markings in contact, side by side.
 - 1. Secure tight to surface of conductor, cable, or raceway.
- H. Accessible Fittings for Raceways: Identify cover of junction and pull box of the following systems with wiring system legend and system voltage. System legends must be as follows:
 - 1. "EMERGENCY POWER."
 - 2. "POWER."
 - 3. "UPS."
- I. Vinyl Wraparound Labels:
 - 1. Secure tight to surface of raceway or cable at location with high visibility and accessibility.
 - 2. Attach labels that are not self-adhesive type with clear vinyl tape, with adhesive appropriate to location and substrate.
- J. Self-Adhesive Labels:

1. Install unique designation label that is consistent with wiring diagrams, schedules, and operation and maintenance manual.
 2. Unless otherwise indicated, provide single line of text with 1/2 inch high letters on 1-1/2 inch high label; where two lines of text are required, use labels 2 inch high.
- K. Snap-Around Color-Coding Bands: Secure tight to surface at location with high visibility and accessibility.
- L. Heat-Shrink, Preprinted Tubes: Secure tight to surface at location with high visibility and accessibility.
- M. Marker Tapes: Secure tight to surface at location with high visibility and accessibility.
- N. Self-Adhesive Vinyl Tape: Secure tight to surface at location with high visibility and accessibility.
1. Field-Applied, Color-Coding Conductor Tape: Apply in half-lapped turns for minimum distance of 6 inch where splices or taps are made. Apply last two turns of tape with no tension to prevent possible unwinding.
- O. Underground Line Warning Tape:
1. During backfilling of trenches, install continuous underground-line warning tape directly above cable or raceway at 6 to 8 inch below finished grade. Use multiple tapes where width of multiple lines installed in common trench or concrete envelope exceeds 16 inch overall.
 2. Limit use of underground-line warning tape to direct-buried cables.
 3. Install underground-line warning tape for direct-buried cables and cables in raceways.
- P. Baked-Enamel Signs:
1. Attach signs that are not self-adhesive type with mechanical fasteners appropriate to location and substrate.
 2. Unless otherwise indicated, provide single line of text with 1/2 inch high letters on minimum 1-1/2 inch high sign; where two lines of text are required, use signs minimum 2 inch high.
- Q. Metal-Backed Butyrate Signs:
1. Attach signs that are not self-adhesive type with mechanical fasteners appropriate to location and substrate.
 2. Unless otherwise indicated, provide single line of text with 1/2 inch high letters on 1-1/2 inch high sign; where two lines of text are required, use labels 2 inch high.
- R. Laminated Acrylic or Melamine Plastic Signs:
1. Attach signs that are not self-adhesive type with mechanical fasteners appropriate to location and substrate.
 2. Unless otherwise indicated, provide single line of text with 1/2 inch high letters on 1-1/2 inch high sign; where two lines of text are required, use labels 2 inch high.

S. Cable Ties: General purpose, for attaching tags, except as listed below:

1. Outdoors: UV-stabilized nylon.
2. In Spaces Handling Environmental Air: Plenum rated.

3.3 IDENTIFICATION SCHEDULE

- A. Install identification materials and devices at locations for most convenient viewing without interference with operation and maintenance of equipment. Install access doors or panels to provide view of identifying devices.
- B. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, pull points, and locations of high visibility. Identify by system and circuit designation.
- C. Concealed Raceways, Duct Banks, More Than 1000 V, within Buildings: Tape and stencil. Stencil legend "DANGER - CONCEALED HIGH-VOLTAGE WIRING" with 3 inch high, black letters on 20 inch centers.
1. Locate identification at changes in direction, at penetrations of walls and floors, and at 30 ft maximum intervals.
- D. Accessible Raceways and Metal-Clad Cables, 1000 V or Less, for Service, Feeder, and Branch Circuits, More Than 30 A and 120 V to Ground: Identify with self-adhesive raceway labels .
1. Locate identification at changes in direction, at penetrations of walls and floors, at 50 ft maximum intervals in straight runs, and at 25 ft maximum intervals in congested areas.
- E. Power-Circuit Conductor Identification, 1000 V or Less: For conductors in vaults, pull and junction boxes, manholes, and handholes, use self-adhesive vinyl tape to identify phase.
1. Locate identification at changes in direction, at penetrations of walls and floors, at 50 ft maximum intervals in straight runs, and at 25 ft maximum intervals in congested areas.
- F. Control-Circuit Conductor Identification: For conductors and cables in pull and junction boxes, manholes, and handholes, use self-adhesive labels with conductor or cable designation, origin, and destination.
- G. Control-Circuit Conductor Termination Identification: For identification at terminations, provide heat-shrink preprinted tubes with conductor designation.
- H. Conductors to Be Extended in Future: Attach marker tape to conductors and list source.
- I. Auxiliary Electrical Systems Conductor Identification: Self-adhesive vinyl tape that is uniform and consistent with system used by manufacturer for factory-installed connections.
1. Identify conductors, cables, and terminals in enclosures and at junctions, terminals, and pull points. Identify by system and circuit designation.
- J. Locations of Underground Lines: Underground-line warning tape for power, lighting, communication, and control wiring and optical-fiber cable.

- K. Workspace Indication: Apply floor marking tape to finished surfaces. Show working clearances in direction of access to live parts. Workspace must comply with NFPA 70 and 29 CFR 1926.403 unless otherwise indicated. Do not install at flush-mounted panelboards and similar equipment in finished spaces.
- L. Instructional Signs: Self-adhesive labels, including color code for grounded and ungrounded conductors.
- M. Warning Labels for Indoor Cabinets, Boxes, and Enclosures for Power and Lighting: Metal-backed, butyrate warning signs.
 - 1. Apply to exterior of door, cover, or other access.
 - 2. For equipment with multiple power or control sources, apply to door or cover of equipment, including, but not limited to, the following:
 - a. Power-transfer switches.
 - b. Controls with external control power connections.
- N. Arc Flash Warning Labeling: Self-adhesive labels.
- O. Operating Instruction Signs: Laminated acrylic or melamine plastic signs.
- P. Emergency Operating Instruction Signs: Laminated acrylic or melamine plastic signs with white legend on red background with minimum 3/8 inch high letters for emergency instructions at equipment used for power transfer .

END OF SECTION 260553

SECTION 270526 - GROUNDING AND BONDING FOR COMMUNICATIONS SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Grounding conductors.
 - 2. Grounding connectors.
 - 3. Grounding labeling.

1.3 ACTION SUBMITTALS

- A. Product Data: For each type of product.
- B. Shop Drawings: For communications equipment room signal reference grid. Include plans, elevations, sections, details, and attachments to other work.

1.4 INFORMATIONAL SUBMITTALS

- A. As-Built Data: Plans showing as-built locations of grounding and bonding infrastructure, including the following:
 - 1. Ground rods.
 - 2. Ground and roof rings.
 - 3. BCT, TMGB, TGBs, and routing of their bonding conductors.
- B. Qualification Data: For Installer, installation supervisor, and field inspector.
- C. Qualification Data: For testing agency and testing agency's field supervisor.
- D. Field quality-control reports.

1.5 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For grounding to include in emergency, operation, and maintenance manuals.

1.6 QUALITY ASSURANCE

- A. Installer Qualifications: Cabling Installer must have personnel certified by BICSI on staff.
 - 1. Installation Supervision: Installation shall be under the direct supervision of ITS Level 2 Installer, who shall be present at all times when Work of this Section is performed at Project site.
 - 2. Field Inspector: Currently registered by BICSI as Technician to perform the on-site inspection.

PART 2 - PRODUCTS

2.1 SYSTEM DESCRIPTION

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- B. Comply with UL 467 for grounding and bonding materials and equipment.
- C. Comply with TIA-607-B.

2.2 CONDUCTORS

- A. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. Harger Lightning & Grounding; business of Harger, Inc.
 - 2. Panduit Corp.
 - 3. TE Connectivity Ltd.
- B. Comply with UL 486A-486B.
- C. Insulated Conductors: Stranded copper wire, green or green with yellow stripe insulation, insulated for 600 V, and complying with UL 83.
 - 1. Ground wire for custom-length equipment ground jumpers shall be No. 6 AWG, 19-strand, UL-listed, Type THHN wire.
 - 2. Lead Content: Less than 300 parts per million.
- D. Bare Copper Conductors:
 - 1. Solid Conductors: ASTM B3.
 - 2. Stranded Conductors: ASTM B8.
 - 3. Bonding Conductor: No. 4 or No. 6 AWG, stranded conductor.

2.3 CONNECTORS

- A. Irreversible connectors listed for the purpose. Listed by an NRTL as complying with NFPA 70 for specific types, sizes, and combinations of conductors and other items connected. Comply with UL 486A-486B.
- B. Compression Wire Connectors: Crimp-and-compress connectors that bond to the conductor when the connector is compressed around the conductor. Comply with UL 467.
 - 1. Electroplated tinned copper, C and H shaped.
- C. Welded Connectors: Exothermic-welding kits of types recommended by kit manufacturer for materials being joined and installation conditions.

2.4 GROUND RODS

- A. Ground Rods: Copper-clad steel , sectional type ; 3/4 inch by 10 feet in diameter.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine the ac grounding electrode system and equipment grounding for compliance with requirements for maximum ground-resistance level and other conditions affecting performance of grounding and bonding of the electrical system.
- B. Prepare written report, endorsed by Installer, listing conditions detrimental to performance of the Work.

3.2 INSTALLATION

- A. Comply with NECA 1.
- B. Comply with TIA-607-B.

3.3 APPLICATION

- A. Underground Grounding Conductors: Install bare tinned-copper conductor, No. 2 AWG minimum.
- B. Conductor Terminations and Connections:
 - 1. Underground Connections: Welded connectors except at test wells and as otherwise indicated.
- C. Conductor Support:

1. Secure grounding and bonding conductors at intervals of not less than 36 inches.

D. Grounding and Bonding Conductors:

1. Install in the straightest and shortest route between the origination and termination point, and no longer than required. The bend radius shall not be smaller than eight times the diameter of the conductor. No one bend may exceed 90 degrees.
2. Install without splices.
3. Support at not more than 36-inch intervals.

3.4 CONNECTIONS

3.5 GROUNDING UNDERGROUND DISTRIBUTION SYSTEM COMPONENTS

- A. Duct-Bank Grounding Conductor: Bury 12 inches above duct bank when indicated as part of duct-bank installation.
- B. Comply with IEEE C2 grounding requirements.
- C. Grounding Manholes and Handholes: Install a driven ground rod through manhole or handhole floor, close to wall, and set rod depth so 4 inches extends above finished floor. If necessary, install ground rod before manhole is placed and provide No. 1/0 AWG bare, tinned-copper conductor from ground rod into manhole through a waterproof sleeve in manhole wall. Protect ground rods passing through concrete floor with a double wrapping of pressure-sensitive insulating tape or heat-shrunk insulating sleeve from 2 inches above to 6 inches below concrete. Seal floor opening with waterproof, nonshrink grout.
- D. Grounding Connections to Manhole Components: Bond exposed-metal parts such as inserts, cable racks, pulling irons, ladders, and cable shields within each manhole or handhole, to ground rod or grounding conductor. Make connections with No. 4 AWG minimum, bonding conductor. Train conductors level or plumb around corners and fasten to manhole walls. Connect grounding conductors to cable armor and cable shields according to written instructions by manufacturer of splicing and termination kits.

3.6 FIELD QUALITY CONTROL

- A. Perform tests and inspections.
- B. Tests and Inspections:
 1. Inspect physical and mechanical condition. Verify tightness of accessible, bolted, electrical connections with a calibrated torque wrench according to manufacturer's written instructions.
 2. Test the bonding connections of the system using an ac earth ground-resistance tester, taking two-point bonding measurements in each telecommunications equipment room containing a TMGB and a TGB and using the process recommended by BICSI TDMM. Conduct tests with the facility in operation.

- a. Measure the resistance between the busbar and the nearest available grounding electrode. The maximum acceptable value of this bonding resistance is 100 milliohms.
3. Test for ground loop currents using a digital clamp-on ammeter, with a full-scale of not more than 10 A, displaying current in increments of 0.01 A at an accuracy of plus/minus 2.0 percent.
 - a. With the grounding infrastructure completed and the communications system electronics operating, measure the current in every conductor connected to the TMGB and in each TGB. Maximum acceptable ac current level is 1 A.
- C. Excessive Ground Resistance: If resistance to ground at the BCT exceeds 5 ohms, notify Architect promptly and include recommendations to reduce ground resistance.
- D. Grounding system will be considered defective if it does not pass tests and inspections.
- E. Prepare test and inspection reports.

END OF SECTION 270526

SECTION 270528 - PATHWAYS FOR COMMUNICATIONS SYSTEMS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Nonmetallic conduits and fittings.

1.3 ACTION SUBMITTALS

- A. Product data for the following:
 - 1. Surface pathways
 - 2. Boxes, enclosures, and cabinets.

PART 2 - PRODUCTS

2.1 METAL CONDUITS AND FITTINGS

- A. Description: Metal raceway of circular cross section with manufacturer-fabricated fittings.
- B. General Requirements for Metal Conduits and Fittings:
 - 1. Listed and labeled as defined in NFPA 70, by a nationally recognized testing laboratory, and marked for intended location and application.
 - 2. Comply with TIA-569-D.
- C. GRC: Comply with ANSI C80.1 and UL 6.
- D. IMC: Comply with ANSI C80.6 and UL 1242.
- E. EMT: Comply with ANSI C80.3 and UL 797.

2.2 NONMETALLIC CONDUITS AND FITTINGS

- A. Description: Nonmetallic raceway of circular section with manufacturer-fabricated fittings.

B. General Requirements for Nonmetallic Conduits and Fittings:

1. Listed and labeled as defined in NFPA 70, by an NRTL, and marked for intended location and application.
2. Comply with TIA-569-D.

C. RNC: Type EPC-80-PVC, complying with NEMA TC 2 and UL 651 unless otherwise indicated.

D. Fittings: Comply with NEMA TC 3; match to conduit or tubing type and material.

E. Solvents and Adhesives: As recommended by conduit manufacturer.

2.3 CONCRETE HANDHOLES

A. Refer to Section 26 05 43 Underground Ducts and Raceways for Electrical Systems for Telecommunication Structures.

PART 3 - EXECUTION

3.1 PATHWAY APPLICATION

A. Outdoors: Apply pathway products as specified below unless otherwise indicated:

1. Underground Conduit: RNC, Type EPC-80-PVC.

B. Do not install nonmetallic conduit where ambient temperature exceeds 120 deg F.

3.2 INSTALLATION OF UNDERGROUND CONDUIT

A. Direct-Buried Conduit:

1. Excavate trench bottom to provide firm and uniform support for conduit. Prepare trench bottom as specified in Section 312000 "Earth Moving" for pipe of less than 6 inches in nominal diameter.
2. Install backfill as specified in Section 312000 "Earth Moving."
3. After installing conduit, backfill and compact. Start at tie-in point, and work toward end of conduit run, leaving conduit at end of run free to move with expansion and contraction as temperature changes during this process. Firmly hand tamp backfill around conduit to provide maximum supporting strength. After placing controlled backfill to within 12 inches of finished grade, make final conduit connection at end of run and complete backfilling with normal compaction as specified in Section 312000 "Earth Moving."
4. Install manufactured rigid steel conduit elbows for stub-ups at poles and equipment and at building entrances through floor.
 - a. Couple steel conduits to ducts with adapters designed for this purpose, and encase coupling with 3 inches of concrete around conduit for a minimum of 12 inches on each side of the coupling.

- b. For stub-ups at equipment mounted on outdoor concrete bases and where conduits penetrate building foundations, extend steel conduit horizontally a minimum of 60 inches from edge of foundation or equipment base. Install insulated grounding bushings on terminations at equipment.
- 5. Underground Warning Tape: Comply with requirements in Section 260553 "Identification for Electrical Systems."

3.3 INSTALLATION OF UNDERGROUND HANDHOLES AND BOXES

- A. Install handholes and boxes level and plumb and with orientation and depth coordinated with connecting conduits to minimize bends and deflections required for proper entrances.
- B. Unless otherwise indicated, support units on a level bed of crushed stone or gravel, graded from 1/2-inch sieve to No. 4 sieve and compacted to same density as adjacent undisturbed earth.
- C. Elevation: In paved areas, set so cover surface will be flush with finished grade. Set covers of other enclosures 1 inch above finished grade.
- D. Install handholes with bottom below frost line, below grade.
- E. Install removable hardware, including pulling eyes, cable stanchions, cable arms, and insulators, as required for installation and support of cables and conductors and as indicated. Select arm lengths to be long enough to provide spare space for future cables, but short enough to preserve adequate working clearances in enclosure.
- F. Field cut openings for conduits according to enclosure manufacturer's written instructions. Cut wall of enclosure with a tool designed for material to be cut. Size holes for terminating fittings to be used, and seal around penetrations after fittings are installed.

3.4 PROTECTION

- A. Protect coatings, finishes, and cabinets from damage or deterioration.
 - 1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.

END OF SECTION 270528

SECTION 311000 - SITE CLEARING

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Protecting existing vegetation to remain.
2. Removing existing vegetation.
3. Clearing and grubbing.
4. Stripping and stockpiling topsoil.
5. Stripping and stockpiling rock.
6. Removing above- and below-grade site improvements.
7. Disconnecting, capping or sealing, and removing site utilities .
8. Temporary erosion and sedimentation control.

B. Related Requirements:

1. Section 312000 "Earth Moving".

1.2 DEFINITIONS

- A. Subsoil: Soil beneath the level of subgrade; soil beneath the topsoil layers of a naturally occurring soil profile, typified by less than 1 percent organic matter and few soil organisms.
- B. Topsoil: Top layer of the soil profile consisting of existing native surface topsoil or existing in-place surface soil; the zone where plant roots grow. Its appearance is generally friable, pervious, and black or a darker shade of brown, gray, or red than underlying subsoil; reasonably free of subsoil, clay lumps, gravel, and other objects larger than 2 inches in diameter; and free of weeds, roots, toxic materials, or other nonsoil materials.
- C. Plant-Protection Zone: Area surrounding individual trees, groups of trees, shrubs, or other vegetation to be protected during construction and indicated on Drawings.
- D. Tree-Protection Zone: Area surrounding individual trees or groups of trees to be protected during construction. .
- E. Vegetation: Trees, shrubs, groundcovers, grass, and other plants.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site .

1.4 MATERIAL OWNERSHIP

- A. Except for materials indicated to be stockpiled or otherwise remain Owner's property, cleared materials shall become Contractor's property and shall be removed from Project site.

1.5 SUBMITTALS

- A. Existing Conditions: Documentation of existing trees and plantings, adjoining construction, and site improvements that establishes preconstruction conditions that might be misconstrued as damage caused by site clearing.
 - 1. Use sufficiently detailed photographs or video recordings.
 - 2. Include plans and notations to indicate specific wounds and damage conditions of each tree or other plant designated to remain.
- B. Record Drawings: Identifying and accurately showing locations of capped utilities and other subsurface structural, electrical, and mechanical conditions.

1.6 QUALITY ASSURANCE

- A. Preconstruction Conference: Conduct conference at Project site.

1.7 PROJECT CONDITIONS

- A. Traffic: Minimize interference with adjoining roads, streets, walks, and other adjacent occupied or used facilities during site-clearing operations.
 - 1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from Owner and authorities having jurisdiction.
 - 2. Provide clear and safe alternate routes around closed or obstructed traffic ways if required by Owner or authorities having jurisdiction.
- B. Salvageable Improvements: Carefully remove items indicated to be salvaged and store on Owner's premises .
- C. Utility Locator Service: Notify Dig Safe System for area where Project is located before site clearing.
- D. Do not commence site clearing operations until temporary erosion- and sedimentation-control measures are in place.
- E. The following practices are prohibited within protection zones:
 - 1. Storage of construction materials, debris, or excavated material.
 - 2. Parking vehicles or equipment.
 - 3. Foot traffic.
 - 4. Erection of sheds or structures.
 - 5. Impoundment of water.

6. Excavation or other digging unless otherwise indicated.
7. Attachment of signs to or wrapping materials around trees or plants unless otherwise indicated.

F. Soil Stripping, Handling, and Stockpiling: Perform only when the soil is dry or slightly moist.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Satisfactory Soil Material: Requirements for satisfactory soil material are specified in Section 312000 "Earth Moving."
 1. Obtain approved borrow soil material off-site when satisfactory soil material is not available on-site.
 2. Onsite soil material approved for reuse as structural fill must be stockpiled separately from other soil material stockpiles.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Protect and maintain benchmarks and survey control points from disturbance during construction.
- B. Locate and clearly mark existing wetlands and other natural resources adjacent to the project area. Mark clearly in the field the extents of any permitted impacts to natural resource areas.
- C. Protect existing site improvements to remain from damage during construction.
 1. Restore damaged improvements to their original condition, as acceptable to Owner.

3.2 TEMPORARY EROSION AND SEDIMENTATION CONTROL

- A. Provide temporary erosion- and sedimentation-control measures to prevent soil erosion and discharge of soil-bearing water runoff or airborne dust to adjacent properties and walkways, according to erosion- and sedimentation-control Drawings and requirements of authorities having jurisdiction.
- B. Inspect, maintain, and repair erosion- and sedimentation-control measures during construction until permanent vegetation has been established.
- C. Remove erosion and sedimentation controls, and restore and stabilize areas disturbed during removal.

3.3 TREE AND PLANT PROTECTION

- A. Protect trees and plants remaining on-site.
- B. Repair or replace trees, shrubs, and other vegetation indicated to remain or be relocated that are damaged by construction operations.

3.4 EXISTING UTILITIES

- A. Locate, identify, disconnect, and seal or cap utilities indicated to be removed or abandoned in place.
 - 1. Arrange with utility companies to shut off indicated utilities.
 - 2. Owner will arrange to shut off indicated utilities when requested by Contractor.
 - 3. Comply with governing utility authority standards regarding abandonment of utilities.
- B. Locate, identify, and disconnect utilities indicated to be abandoned in place.
- C. Interrupting Existing Utilities: Do not interrupt utilities serving facilities occupied by Owner or others, unless permitted under the following conditions and then only after arranging to provide temporary utility services according to requirements indicated:
 - 1. Notify Owner and Architect not less than two days in advance of proposed utility interruptions.
 - 2. Do not proceed with utility interruptions without Architect's written permission.
- D. Excavate for and remove underground utilities indicated to be removed.

3.5 CLEARING AND GRUBBING

- A. Note on existing conditions: Existing conditions shown on the plans were accurate at the time of the survey. Contractor shall verify field condition.
- B. Remove obstructions, trees, shrubs, and other vegetation to permit installation of new construction.
 - 1. Do not remove trees, shrubs, and other vegetation indicated to remain or to be relocated.
 - 2. Grind down stumps and remove roots larger than 3 inches in diameter, obstructions, and debris to a depth of 18 inches below exposed subgrade.
 - 3. Use only hand methods or air spade for grubbing within protection zones.
 - 4. Chip removed tree branches and dispose of off-site .
- C. Fill depressions caused by clearing and grubbing operations with satisfactory soil material unless further excavation or earthwork is indicated.
 - 1. Place fill material in horizontal layers not exceeding a loose depth of 8 inches, and compact each layer to a density equal to adjacent original ground.

3.6 TOPSOIL STRIPPING

- A. Remove sod and grass before stripping topsoil.
- B. Remove subsoil and nonsoil materials from topsoil, including clay lumps, gravel, and other objects larger than 2 inches in diameter; trash, debris, weeds, roots, and other waste materials.
- C. Stockpile topsoil away from edge of excavations without intermixing with subsoil or other materials. Grade and shape stockpiles to drain surface water. Cover to prevent windblown dust and erosion by water.
 - 1. Limit height of topsoil stockpiles to 72 inches .
 - 2. Do not stockpile topsoil within protection zones.
 - 3. Dispose of surplus topsoil. Surplus topsoil is that which exceeds quantity indicated to be stockpiled or reused.

3.7 SITE IMPROVEMENTS

- A. Remove existing above- and below-grade improvements as indicated and necessary to facilitate new construction.
- B. Remove slabs, paving, curbs, gutters, and aggregate base as indicated.
 - 1. Unless existing full-depth joints coincide with line of demolition, neatly saw-cut along line of existing pavement to remain before removing adjacent existing pavement. Saw-cut faces vertically.
 - 2. Paint cut ends of steel reinforcement in concrete to remain with two coats of antirust coating, following coating manufacturer's written instructions. Keep paint off surfaces that will remain exposed.

3.8 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Remove surplus soil material, unsuitable topsoil, obstructions, demolished materials, and waste materials including trash and debris, and legally dispose of them off Owner's property.
- B. Separate recyclable materials produced during site clearing from other nonrecyclable materials. Store or stockpile without intermixing with other materials, and transport them to recycling facilities. Do not interfere with other Project work.
- C. Stump grindings and brush chips may be re-used for erosion control purposes on site.

END OF SECTION 311000

SECTION 312000 - EARTH MOVING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. REFERENCES

1. Maine Department of Transportation "Standard Specifications", latest edition, including all supplements.

1.2 SUMMARY

A. Section Includes:

1. Excavating and filling for rough grading the Site.
2. Preparing subgrades for slabs-on-grade, walks, pavements, turf and grasses and plants.
3. Excavating and backfilling for structures.
4. Subbase course for concrete and pavements.
5. Subbase course and base course for asphalt paving.
6. Excavating and backfilling trenches for utilities and pits for buried utility structures.

B. Related Requirements:

1. Section 311000 "Site Clearing" for site stripping, grubbing, stripping and stockpiling topsoil, and removal of above- and below-grade improvements and utilities.
2. Section 315000 "Excavation Support and Protection" for shoring, bracing, and sheet piling of excavations.
3. Section 329200 "Turf and Grasses" for finish grading in turf and grass areas, including preparing and placing planting soil for turf areas.

1.3 DEFINITIONS

A. Backfill: Soil material or controlled low-strength material used to fill an excavation.

1. Initial Backfill: Backfill placed beside and over pipe in a trench, including haunches to support sides of pipe.
2. Final Backfill: Backfill placed over initial backfill to fill a trench.

B. Base Course: Aggregate layer placed between the subbase course and hot-mix asphalt paving.

C. Utility Bedding Aggregate layer placed over the excavated subgrade in a trench before laying pipe/conduit.

D. Borrow Soil: Satisfactory soil imported from off-site for use as fill or backfill.

- E. Drainage Course: Aggregate layer supporting the slab-on-grade that also minimizes upward capillary flow of pore water.
- F. Excavation: Removal of material encountered above subgrade elevations and to lines and dimensions indicated.
 - 1. Authorized Additional Excavation: Excavation below subgrade elevations or beyond indicated lines and dimensions as directed by Owner or Architect. Authorized additional excavation and replacement material will be paid for according to Contract provisions for unit prices .
 - 2. Bulk Excavation: Excavation more than 10 feet in width and more than 30 feet in length.
 - 3. Unauthorized Excavation: Excavation below subgrade elevations or beyond indicated lines and dimensions without direction by Architect. Unauthorized excavation, as well as remedial work directed by Architect, shall be without additional compensation.
- G. Fill: Soil materials used to raise existing grades.
- H. Rock: Rock material in beds, ledges, unstratified masses, conglomerate deposits, and boulders of rock material that exceed 1 cu. yd. for bulk excavation or 3/4 cu. yd. for footing, trench, and pit excavation that cannot be removed by rock-excavating equipment equivalent to the following in size and performance ratings, without systematic drilling, ram hammering, ripping, or blasting, when permitted:
 - 1. Equipment for Footing, Trench, and Pit Excavation: Late-model, track-mounted hydraulic excavator; equipped with a 42-inch- maximum-width, short-tip-radius rock bucket; rated at not less than 138-hp flywheel power with bucket-curling force of not less than 28,700 lbf and stick-crowd force of not less than 18,400 lbf with extra-long reach boom.
 - 2. Equipment for Bulk Excavation: Late-model, track-mounted loader; rated at not less than 230-hp flywheel power and developing a minimum of 47,992-lbf breakout force with a general-purpose bare bucket.
- I. Structures: Buildings, footings, foundations, retaining walls, slabs, tanks, curbs, mechanical and electrical appurtenances, or other man-made stationary features constructed above or below the ground surface.
- J. Subbase Course: Aggregate layer placed between the subgrade and base course for hot-mix asphalt pavement, or aggregate layer placed between the subgrade and a cement concrete pavement or a cement concrete or hot-mix asphalt walk.
- K. Subgrade: Uppermost surface of an excavation or the top surface of a fill or backfill immediately below subbase, drainage fill, drainage course, or topsoil materials.
- L. Utilities: On-site underground pipes, conduits, ducts, and cables as well as underground services within buildings.

1.4 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct preexcavation conference at Project site . Contractor shall stake proposed utility trench location prior to preinstallation conference.

1. Review methods and procedures related to earthmoving, including, but not limited to, the following:
 - a. Personnel and equipment needed to make progress and avoid delays.
 - b. Coordination of Work with utility locator service.
 - c. Extent of trenching by hand or with air spade.
 - d. Field quality control.

1.5 ACTION SUBMITTALS

A. Product Data: For each type of the following manufactured products required:

1. Geotextiles.
2. Aggregates and other soil materials.
3. Controlled low-strength material, including design mixture.
4. Warning tapes.

B. Samples for Verification: For the following products, in sizes indicated below:

1. Geotextile: 12 by 12 inches.
2. Warning Tape: 12 inches long; of each color.

1.6 INFORMATIONAL SUBMITTALS

A. Qualification Data: For qualified testing agency.

B. Material Test Reports: For each on-site borrow soil material proposed for fill and backfill as follows:

1. Classification according to ASTM D2487.
2. Laboratory compaction curve according to ASTM D698 or ASTM D1557.

C. Preexcavation Photographs or Videotape: Show existing conditions of adjoining construction and site improvements, including finish surfaces that might be misconstrued as damage caused by earth-moving operations. Submit before earth moving begins.

1.7 QUALITY ASSURANCE

A. Geotechnical Testing Agency Qualifications: Qualified according to ASTM E329 and ASTM D3740 for testing indicated.

1.8 FIELD CONDITIONS

A. Traffic: Minimize interference with adjoining roads, streets, walks, and other adjacent occupied or used facilities during earth-moving operations.

1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from Owner and authorities having jurisdiction.

2. Provide alternate routes around closed or obstructed traffic ways if required by Owner or authorities having jurisdiction.
- B. Utility Locator Service: Notify "Dig Safe System" for area where Project is located before beginning earth-moving operations.
- C. Do not commence earth-moving operations until temporary site fencing and erosion- and sedimentation-control measures are in place.
- D. The following practices are prohibited within protection zones:
 1. Storage of construction materials, debris, or excavated material.
 2. Parking vehicles or equipment.
 3. Foot traffic.
 4. Erection of sheds or structures.
 5. Impoundment of water.
 6. Excavation or other digging unless otherwise indicated.
 7. Attachment of signs to or wrapping materials around trees or plants unless otherwise indicated.

PART 2 - PRODUCTS

2.1 SOIL MATERIALS

- A. General: Provide borrow soil materials when sufficient satisfactory soil materials are not available from excavations.
- B. Satisfactory Soils: Soil Classification Groups GW, GP, GM, SW, SP, and SM according to ASTM D2487 Groups A-1, A-2-4, A-2-5, and A-3 according to AASHTO M 145, or a combination of these groups; free of rock or gravel larger than 3 inches in any dimension, debris, waste, frozen materials, vegetation, and other deleterious matter.
- C. Unsatisfactory Soils: Soil Classification Groups GC, SC, CL, ML, OL, CH, MH, OH, and PT according to ASTM D2487 Groups A-2-6, A-2-7, A-4, A-5, A-6, and A-7 according to AASHTO M 145, or a combination of these groups.
 1. Unsatisfactory soils also include satisfactory soils not maintained within 2 percent of optimum moisture content at time of compaction.
- D. Gravel Borrow: Maine DOT Specification 703.20, Latest edition.
- E. Subbase Course: Naturally or artificially graded mixture of natural or processed gravel, or sand conforming to Maine DOT Specification 703.10 for aggregate subbase Type B.
- F. Base Course: Naturally or artificially graded mixture of natural or processed gravel, or sand. Conforming to MDOT Specification 703.10 for aggregate base Type A.

- G. Engineered Fill: Naturally or artificially graded mixture of natural or crushed gravel, crushed stone, and natural or crushed sand; ASTM D2940/D2940M; with at least 100 percent passing a 1-1/2-inch sieve and not more than 12 percent passing a No. 200 sieve.
- H. ME Structural Fill: Maine DOT Specification 703.16, Latest edition, Type B
- I. Drainage Course: Narrowly graded mixture of washed crushed stone, or crushed or uncrushed gravel; ASTM D448; coarse-aggregate grading Size 57; with 100 percent passing a 1-1/2-inch sieve and zero to 5 percent passing a No. 8 sieve.
- J. 2" Crushed Stone: Crushed stone shall be obtained from rock of uniform quality and shall consist of clean, angular fragments of quarried rock, free from soft disintegrated pieces or other objectionable matter. The stone, which shall be similar to railroad ballast, shall meet the gradation requirements of the table in Maine DOT Specification Section 703.31.
- K. 1 1/2" Crushed Stone: Crushed stone shall be obtained from rock of uniform quality and shall consist of clean, angular fragments of quarried rock, free from soft disintegrated pieces or other objectionable matter. The stone, which shall be similar to railroad ballast, shall meet the following gradation requirements: (Note percent denotes percentage by weight passing square mesh sieve)
 - 1. Gradation:
 - a. 2 Inch 100 %
 - b. 1 1/2 Inch 90-100 %
 - c. 1 Inch 0-15 %
 - d. No. 200 0-0.7 %
- L. 3/4 " Crushed Stone: Maine DOT Specification 703.13, 2014 edition.
- M. 1/2" Crushed Stone: Shall be a graded mixture of screened or crushed stone conforming to the following gradation requirements: (Note percent denotes percentage by weight passing square mesh sieve)
 - 1. Gradation:
 - a. 5/8 Inch 100 %
 - b. 1/2 Inch 85-100 %
 - c. 3/8 Inch 15-45 %
 - d. No. 40 15 %
 - e. No. 80 5 %
- N. 3/8" Crushed Stone: Shall be a graded mixture of screened or crushed stone conforming to the following gradation requirements: (Note percent denotes percentage by weight passing square mesh sieve)
 - 1. Gradation:
 - a. 1/2 Inch 100 %
 - b. 3/8 Inch 85-100 %
 - c. No. 420 50 %
 - d. No. 80 15 %
 - e. No. 160 5 %

- O. Utility Bedding Sand: ASTM C33/C33M; fine aggregate.
- P. Underdrain Backfill: Material for underdrains shall be free from organic matter, frozen material and shall conform to Maine DOT Specification, Type C in Section 703.22.
- Q. Stone Dust:
 - 1. Shall be that product from a stone crusher that completely passes a No. 4 sieve and not less than 40% passes a No. 8 sieve.
- R. Impervious Fill: Clayey gravel and sand mixture capable of compacting to a dense state.
- S. Aggregate Shoulders: Per Maine DOT Specifications 703.11.

2.2 GEOTEXTILES

- A. Subsurface Drainage Geotextile: Nonwoven needle-punched geotextile, manufactured for subsurface drainage applications, made from polyolefins or polyesters; with elongation greater than 50 percent; complying with AASHTO M 288 and the following, measured per test methods referenced:
 - 1. Survivability: As follows:
 - a. Grab Tensile Strength: 157 lbf; ASTM D4632.
 - b. Sewn Seam Strength: 142 lbf; ASTM D4632.
 - c. Tear Strength: 56 lbf; ASTM D4533.
 - d. Puncture Strength: 56 lbf; ASTM D4833.
 - 2. Apparent Opening Size: No. 60 sieve, maximum; ASTM D4751.
 - 3. Permittivity: 0.2 per second, minimum; ASTM D4491.
 - 4. UV Stability: 50 percent after 500 hours' exposure; ASTM D4355.
- B. Separation Geotextile: Woven geotextile fabric, manufactured for separation applications, made from polyolefins or polyesters; with elongation less than 50 percent; complying with AASHTO M 288 and the following, measured per test methods referenced:
 - 1. Survivability: As follows:
 - a. Grab Tensile Strength: 247 lbf; ASTM D4632.
 - b. Sewn Seam Strength: 222 lbf; ASTM D4632.
 - c. Tear Strength: 90 lbf; ASTM D4533.
 - d. Puncture Strength: 90 lbf; ASTM D4833.
 - 2. Apparent Opening Size: No. 60 sieve, maximum; ASTM D4751.
 - 3. Permittivity: 0.02 per second, minimum; ASTM D4491.
 - 4. UV Stability: 50 percent after 500 hours' exposure; ASTM D4355.

2.3 CONTROLLED LOW-STRENGTH MATERIAL

- A. Controlled Low-Strength Material: Self-compacting , low-density, flowable concrete material produced from the following:
 - 1. Portland Cement: ASTM C150/C150M, Type I or Type II .

2. Fly Ash: ASTM C618, Class C or F.
 3. Normal-Weight Aggregate: ASTM C33/C33M, 3/4-inch nominal maximum aggregate size.
 4. Water: ASTM C94/C94M.
- B. Produce conventional-weight, controlled low-strength material with 80-psi compressive strength when tested according to ASTM C495/C495M.

2.4 ACCESSORIES

- A. Detectable Warning Tape: Acid- and alkali-resistant, polyethylene film warning tape manufactured for marking and identifying underground utilities, a minimum of 6 inches wide and 4 mils thick, continuously inscribed with a description of the utility, with metallic core encased in a protective jacket for corrosion protection, detectable by metal detector when tape is buried up to 30 inches deep; colored as follows:
1. Red: Electric.
 2. Yellow: Gas, oil, steam, and dangerous materials.
 3. Orange: Telephone and other communications.
 4. Blue: Water systems.
 5. Green: Sewer systems.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earth-moving operations.
- B. Protect and maintain erosion and sedimentation controls during earth-moving operations.
- C. Protect subgrades and foundation soils from freezing temperatures and frost. Remove temporary protection before placing subsequent materials.

3.2 DEWATERING

- A. Provide dewatering system of sufficient scope, size, and capacity to control hydrostatic pressures and to lower, control, remove, and dispose of ground water and permit excavation and construction to proceed on dry, stable subgrades.
- B. Prevent surface water and ground water from entering excavations, from ponding on prepared subgrades, and from flooding Project site and surrounding area.
- C. Protect subgrades from softening, undermining, washout, and damage by rain or water accumulation.

1. Reroute surface water runoff away from excavated areas. Do not allow water to accumulate in excavations. Do not use excavated trenches as temporary drainage ditches.
- D. Dispose of water removed by dewatering in a manner that avoids endangering public health, property, and portions of work under construction or completed. Dispose of water and sediment in a manner that avoids inconvenience to others.

3.3 EXPLOSIVES

- A. Explosives: Do not use explosives.

3.4 EXCAVATION, GENERAL

- A. Classified Excavation: Excavate to subgrade elevations. Material to be excavated will be classified as earth and rock. Do not excavate rock until it has been classified and cross sectioned and calculated volumes are accepted by Owner. The Contract Sum will be adjusted for rock excavation according to unit prices included in the Contract Documents. Changes in the Contract Time may be authorized for rock excavation.
 1. Earth excavation includes excavating pavements and obstructions visible on surface; underground structures, utilities, and other items indicated to be removed; and soil, boulders, and other materials not classified as rock or unauthorized excavation.
 - a. Intermittent drilling; blasting, if permitted; ram hammering; or ripping of material not classified as rock excavation is earth excavation.
 2. Rock excavation includes removal and disposal of rock. Remove rock to lines and subgrade elevations indicated to permit installation of permanent construction without exceeding the following dimensions:
 - a. 24 inches outside of structures.
 - b. **[6 inches]** beneath pipe/conduit in trenches and 6" beyond pipe/conduit .

3.5 EXCAVATION FOR STRUCTURES

- A. Excavate to indicated elevations and dimensions within a tolerance of plus or minus 1 inch. If applicable, extend excavations a sufficient distance from structures for placing and removing concrete formwork, for installing services and other construction, and for inspections.
 1. Excavations for Footings and Foundations: Excavate for footings in accordance with geotechnical recommendations and structural drawings. Do not disturb bottom of excavation intended as bearing surfaces. Excavate by hand to final grade just before placing concrete reinforcement. Trim bottoms to required lines and grades to leave solid base to receive other work.
 2. Excavation for Underground Tanks, Basins, and Mechanical or Electrical Utility Structures: Excavate to elevations and dimensions indicated within a tolerance of plus or minus 1 inch. Do not disturb bottom of excavations intended as bearing surfaces.

3.6 EXCAVATION FOR WALKS AND PAVEMENTS

- A. Excavate surfaces under walks and pavements to indicated lines, cross sections, elevations, and subgrades.

3.7 EXCAVATION FOR UTILITY TRENCHES

- A. Excavate trenches to indicated gradients, lines, depths, and elevations.
 - 1. Beyond building perimeter, excavate trenches to allow installation of top of pipe below frost line.
- B. Excavate trenches to uniform widths to provide the following clearance on each side of pipe or conduit. Excavate trench walls vertically from trench bottom to 12 inches higher than top of pipe or conduit unless otherwise indicated.
 - 1. Clearance: As indicated.
- C. Trench Bottoms: Excavate and shape trench bottoms to provide uniform bearing and support of pipes and conduit. Shape subgrade to provide continuous support for bells, joints, and barrels of pipes and for joints, fittings, and bodies of conduits. Remove projecting stones and sharp objects along trench subgrade.
 - 1. For pipes and conduit less than 6 inches in nominal diameter, hand-excavate trench bottoms and support pipe and conduit on an undisturbed subgrade.
 - 2. For pipes and conduit 6 inches or larger in nominal diameter, shape bottom of trench to support bottom 90 degrees of pipe or conduit circumference. Fill depressions with tamped sand backfill.
 - 3. For flat-bottomed, multiple-duct conduit units, hand-excavate trench bottoms and support conduit on an undisturbed subgrade.
 - 4. Excavate trenches 6 inches deeper than elevation required in rock or other unyielding bearing material to allow for bedding course.

3.8 SUBGRADE INSPECTION

- A. Subgrade preparation should occur during periods of dry weather to minimize disturbance to excavated subgrade areas.
- B. Notify Architect when excavations have reached required subgrade.
- C. If Architect determines that unsatisfactory soil is present, continue excavation and replace with compacted backfill or fill material as directed.
- D. Footings on Soil:
 - 1. Grub the entire building footprint. Excavate the large cut areas at the site. Place Gravel Borrow or existing soil excavated from the large cuts at the site in 12-inch lifts, up to the desired elevation. Gravel Borrow or re-used site material should be placed in a maximum

- lift thickness of 12-inches and should be compacted to a minimum of 95% of ASTM D1557.
 2. After filling and leveling, proof roll the entire exposed surface of the building footprint by making a minimum of 4 passes in each of two perpendicular directions using a vibratory compactor.
 3. Excavate to the footing subgrade and proof roll the exposed soil by making a minimum of 4 passes using a vibratory plate compactor. The footings can be constructed directly on the proof rolled existing soil or Gravel Borrow. Voids left at the base of the excavation should be filled with 3/4-inch Crushed Stone or Structural Fill.
 4. Soft, wet, and unstable areas should be removed and replaced with 3/4-inch Crushed Stone or Structural Fill.
- E. Proof-roll subgrade below the building slabs and pavements with a pneumatic-tired and loaded 10-wheel, tandem-axle dump truck weighing not less than 15 tons to identify soft pockets and areas of excess yielding. Do not proof-roll wet or saturated subgrades.
1. Completely proof-roll subgrade in one direction , repeating proof-rolling in direction perpendicular to first direction. Limit vehicle speed to 3mph 3 mph.
 2. Excavate soft spots, unsatisfactory soils, and areas of excessive pumping or rutting, as determined by Architect, and replace with compacted backfill or fill as directed.
- F. Authorized additional excavation and replacement material will be paid for according to Contract provisions for unit prices .
- G. Reconstruct subgrades damaged by freezing temperatures, frost, rain, accumulated water, or construction activities, as directed by Architect, without additional compensation.

3.9 UNAUTHORIZED EXCAVATION

- A. Fill unauthorized excavation under foundations or wall footings by extending bottom elevation of concrete foundation or footing to excavation bottom, without altering top elevation. Lean concrete fill, with 28-day compressive strength of 2500 psi, may be used when approved by Architect.
1. Fill unauthorized excavations under other construction, pipe, or conduit as directed by Architect.

3.10 STORAGE OF SOIL MATERIALS

- A. Stockpile borrow soil materials and excavated satisfactory soil materials without intermixing. Place, grade, and shape stockpiles to drain surface water. Cover to prevent windblown dust.
1. Stockpile soil materials away from edge of excavations. Do not store within drip line of remaining trees.

3.11 BACKFILL

- A. Place and compact backfill in excavations promptly, but not before completing the following:

1. Construction below finish grade including, where applicable, subdrainage, dampproofing, waterproofing, and perimeter insulation.
2. Surveying locations of underground utilities for Record Documents.
3. Testing and inspecting underground utilities.
4. Removing concrete formwork.
5. Removing trash and debris.
6. Removing temporary shoring, bracing, and sheeting.
7. Installing permanent or temporary horizontal bracing on horizontally supported walls.

- B. Place backfill on subgrades free of mud, frost, snow, or ice.

3.12 UTILITY TRENCH BACKFILL

- A. Place backfill on subgrades free of mud, frost, snow, or ice.
- B. Place and compact bedding course on trench bottoms and where indicated. Shape bedding course to provide continuous support for bells, joints, and barrels of pipes and for joints, fittings, and bodies of conduits.
- C. Trenches under Footings: Backfill trenches excavated under footings and within 18 inches of bottom of footings with satisfactory soil; fill with concrete to elevation of bottom of footings. Concrete is specified in Section 033000 "Cast-in-Place Concrete."
- D. Trenches under Roadways: Provide 4-inch- thick, concrete-base slab support for piping or conduit less than 30 inches below surface of roadways. After installing and testing, completely encase piping or conduit in a minimum of 4 inches of concrete before backfilling or placing roadway subbase course. Concrete is specified in Section 033000 "Cast-in-Place Concrete."
- E. Backfill voids with satisfactory soil while removing shoring and bracing.
- F. Initial Backfill:
1. Soil Backfill: Place and compact initial backfill of subbase material , free of particles larger than 1 inch in any dimension, to a height of 12 inches over the pipe or conduit.
 - a. Carefully compact initial backfill under pipe haunches and compact evenly up on both sides and along the full length of piping or conduit to avoid damage or displacement of piping or conduit. Coordinate backfilling with utilities testing.
 2. Controlled Low-Strength Material: Place initial backfill of controlled low-strength material to a height of 12 inches over the pipe or conduit. Coordinate backfilling with utilities testing.
- G. Final Backfill:
1. Soil Backfill: Place and compact final backfill of satisfactory soil to final subgrade elevation.
 2. Controlled Low-Strength Material: Place final backfill of controlled low-strength material to final subgrade elevation.
- H. Warning Tape: Install warning tape directly above utilities, 12 inches below finished grade, except 6 inches below subgrade under pavements and slabs.

3.13 SOIL FILL

- A. Plow, scarify, bench, or break up sloped surfaces steeper than 1 vertical to 4 horizontal so fill material will bond with existing material.
- B. Place and compact fill material in layers to required elevations as follows:
 - 1. Under grass and planted areas, use satisfactory onsite soil material or material conforming to Common Borrow.
 - 2. Under walks and pavements, use satisfactory onsite material, or conforming to Granular Fill..
 - 3. Under steps and ramps, use material conforming to Structural Fill.
 - 4. Under building slabs, existing onsite soils can be used as fill beneath the Structural Fill or 3/4-inch crushed stone below the frost depth of 72 inches and in areas outside of the building footprint where will .
 - 5. Under footings and foundations, use engineered fill.
- C. Place soil fill on subgrades free of mud, frost, snow, or ice.

3.14 SOIL MOISTURE CONTROL

- A. Uniformly moisten or aerate subgrade and each subsequent fill or backfill soil layer before compaction to within 2 percent of optimum moisture content.
 - 1. Do not place backfill or fill soil material on surfaces that are muddy, frozen, or contain frost or ice.
 - 2. Remove and replace, or scarify and air dry, otherwise satisfactory soil material that exceeds optimum moisture content by 2 percent and is too wet to compact to specified dry unit weight.

3.15 COMPACTION OF SOIL BACKFILLS AND FILLS

- A. Place backfill and fill soil materials in layers not more than 8 inches in loose depth for material compacted by heavy compaction equipment and not more than 4 inches in loose depth for material compacted by hand-operated tampers.
- B. Place backfill and fill soil materials evenly on all sides of structures to required elevations and uniformly along the full length of each structure.
- C. Compact soil materials to not less than the following percentages of maximum dry unit weight according to ASTM D1557:
 - 1. Under structures, building slabs, steps, and pavements, scarify and recompact top 12 inches of existing subgrade and each layer of backfill or fill soil material at 95 percent.
 - 2. Under walkways, scarify and recompact top 6 inches below subgrade and compact each layer of backfill or fill soil material at 92 percent.
 - 3. Under turf or unpaved areas, scarify and recompact top 6 inches below subgrade and compact each layer of backfill or fill soil material at 85 percent.

4. For utility trenches, compact each layer of initial and final backfill soil material at 85 percent.

3.16 GRADING

- A. General: Uniformly grade areas to a smooth surface, free of irregular surface changes. Comply with compaction requirements and grade to cross sections, lines, and elevations indicated.
 1. Provide a smooth transition between adjacent existing grades and new grades.
 2. Cut out soft spots, fill low spots, and trim high spots to comply with required surface tolerances.
- B. Site Rough Grading: Slope grades to direct water away from buildings and to prevent ponding. Finish subgrades to elevations required to achieve indicated finish elevations, within the following subgrade tolerances:
 1. Turf or Unpaved Areas: Plus or minus 1 inch .
 2. Walks: Plus or minus 1 inch .
 3. Pavements: Plus or minus 1/2 inch .
- C. Grading inside Building Lines: Finish subgrade to a tolerance of 1/2 inch when tested with a 10-foot straightedge.

3.17 SUBBASE AND BASE COURSES UNDER PAVEMENTS AND WALKS

- A. Place subbase course and base course on subgrades free of mud, frost, snow, or ice.
- B. On prepared subgrade, place subbase course and base course under pavements and walks as follows:
 1. Place base course material over subbase course under hot-mix asphalt pavement.
 2. Shape subbase course and base course to required crown elevations and cross-slope grades.
 3. Compact subbase course and base course at optimum moisture content to required grades, lines, cross sections, and thickness to not less than 95 percent of maximum dry unit weight according to ASTM D1557.

3.18 FIELD QUALITY CONTROL

- A. Special Inspections: Owner will engage a qualified special inspector to perform the following special inspections:
 1. Determine suitability of onsite soil for reuse.
 2. Determine prior to placement of fill that site has been prepared in compliance with requirements.
 3. Determine that fill material classification and maximum lift thickness comply with requirements.

4. Determine, during placement and compaction, that in-place density of compacted fill complies with requirements.
- B. Testing Agency: Owner will engage a qualified geotechnical engineering testing agency to perform tests and inspections.
- C. Allow testing agency to inspect and test subgrades and each fill or backfill layer. Proceed with subsequent earth moving only after test results for previously completed work comply with requirements.
- D. Footing Subgrade: At footing subgrades, at least one test of each soil stratum will be performed to verify design bearing capacities. Subsequent verification and approval of other footing subgrades may be based on a visual comparison of subgrade with tested subgrade when approved by Architect.
- E. Testing agency will test compaction of soils in place according to ASTM D1556, ASTM D2167, ASTM D2937, and ASTM D6938, as applicable. Tests will be performed at the following locations and frequencies:
 1. Paved and Building Slab Areas: At subgrade and at each compacted fill and backfill layer, at least one test for every 2000 sq. ft. or less of paved area or building slab but in no case fewer than three tests.
 2. Foundation Wall Backfill: At each compacted backfill layer, at least one test for every 100 feet or less of wall length but no fewer than two tests.
 3. Trench Backfill: At each compacted initial and final backfill layer, at least one test for every 150 feet or less of trench length but no fewer than two tests.
- F. When testing agency reports that subgrades, fills, or backfills have not achieved degree of compaction specified, scarify and moisten or aerate, or remove and replace soil materials to depth required; recompact and retest until specified compaction is obtained.

3.19 PROTECTION

- A. Protecting Graded Areas: Protect newly graded areas from traffic, freezing, and erosion. Keep free of trash and debris.
- B. Repair and reestablish grades to specified tolerances where completed or partially completed surfaces become eroded, rutted, settled, or where they lose compaction due to subsequent construction operations or weather conditions.
 1. Scarify or remove and replace soil material to depth as directed by Architect; reshape and recompact.
- C. Where settling occurs before Project correction period elapses, remove finished surfacing, backfill with additional soil material, compact, and reconstruct surfacing.
 1. Restore appearance, quality, and condition of finished surfacing to match adjacent work, and eliminate evidence of restoration to greatest extent possible.

3.20 DISPOSAL OF SURPLUS AND WASTE MATERIALS

- A. Remove surplus satisfactory soil and waste materials, including unsatisfactory soil, trash, and debris, and legally dispose of them off Owner's property.
- B. Transport surplus satisfactory soil to designated storage areas on Owner's property. Stockpile or spread soil as directed by Architect.
 - 1. Remove waste materials, including unsatisfactory soil, trash, and debris, and legally dispose of them off Owner's property.

END OF SECTION 312000

SECTION 312319 - DEWATERING

PART 1 - GENERAL

1.1 SUMMARY

A. Section Includes:

1. Construction dewatering.

B. Related Requirements:

1. Section 312000 "Earth Moving" for excavating, backfilling, site grading, and controlling surface-water runoff and ponding.
2. Section 315000 "Excavation Support and Protection" for shoring, bracing, and sheet piling of excavations.

1.2 PERFORMANCE REQUIREMENTS

A. Dewatering Performance: Design, furnish, install, test, operate, monitor, and maintain dewatering system of sufficient scope, size, and capacity to control hydrostatic pressures and to lower, control, remove, and dispose of ground water and permit excavation and construction to proceed on dry, stable subgrades.

1. Continuously monitor and maintain dewatering operations to ensure erosion control, stability of excavations and constructed slopes, that excavation does not flood, and that damage to subgrades and permanent structures is prevented.
2. Prevent surface water from entering excavations by grading, dikes, or other means.
3. Accomplish dewatering without damaging existing buildings, structures, and site improvements adjacent to excavation.
4. Provide and maintain facilities to detain and filter water from excavation and earth moving operations so that sediments from construction activity are contained, in accordance with all local, State and Federal regulations.
5. Remove dewatering system when no longer required for construction.

1.3 QUALITY ASSURANCE

A. Regulatory Requirements: Comply with governing state and local EPA notification regulations before beginning dewatering. Comply with MDEP regulations on discharge of construction dewatering effluent. Comply with Town of Fort Kent regulations on discharge of construction dewatering effluent. Comply with hauling and disposal regulations of authorities having jurisdiction.

PART 2 - PRODUCTS

2.1 (Not Used)

PART 3 - EXECUTION

3.1 PREPARATION

- A. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by dewatering operations.
 - 1. Prevent surface water and subsurface or groundwater from entering excavations, from ponding on prepared subgrades, and from flooding site or surrounding area.
 - 2. Protect subgrades and foundation soils from softening and damage by rain or water accumulation.
- B. Install dewatering system to ensure minimum interference with roads, streets, walks, and other adjacent occupied and used facilities.
 - 1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from Owner and authorities having jurisdiction. Provide alternate routes around closed or obstructed traffic ways if required by authorities having jurisdiction.
- C. Provide temporary grading to facilitate dewatering and control of surface water.
- D. Monitor dewatering systems continuously.
- E. Promptly repair damages to adjacent facilities caused by dewatering.
- F. Protect and maintain temporary erosion and sedimentation controls during dewatering operations.

3.2 INSTALLATION

- A. Install dewatering system utilizing wells, well points, or similar methods complete with pump equipment, standby power and pumps, filter material gradation, valves, appurtenances, water disposal, and surface-water controls.
 - 1. Space well points or wells at intervals required to provide sufficient dewatering.
 - 2. Use filters or other means to prevent pumping of fine sands or silts from the subsurface.
- B. Place dewatering system into operation to lower water to specified levels before excavating below groundwater level.

- C. Provide sumps, sedimentation tanks, and other flow-control devices as required by authorities having jurisdiction.
- D. Provide standby equipment on-site, installed and available for immediate operation, to maintain dewatering on continuous basis if any part of system becomes inadequate or fails.

3.3 OPERATION

- A. Operate system continuously until drains, sewers, and structures have been constructed and fill materials have been placed or until dewatering is no longer required.
- B. Operate system to lower and control groundwater to permit excavation, construction of structures, and placement of fill materials on dry subgrades. Drain water-bearing strata above and below bottom of foundations, drains, sewers, and other excavations.
 - 1. Do not permit open-sump pumping that leads to loss of fines, soil piping, subgrade softening, and slope instability.
 - 2. Reduce hydrostatic head in water-bearing strata below subgrade elevations of foundations, drains, sewers, and other excavations.
 - 3. Dewatering systems that damage or threaten to cause damage to new or existing facilities shall be modified to correct such problems or shall be removed.
- C. Dispose of water removed by dewatering in a manner that avoids endangering public health, property, and portions of work under construction or completed. Dispose of water and sediment in a manner that avoids inconvenience to others.
- D. Remove dewatering system from Project site on completion of dewatering. Plug or fill well holes with sand or cut off and cap wells a minimum of 36 inches below overlying construction.

3.4 PROTECTION

- A. Protect and maintain dewatering system during dewatering operations.
- B. Promptly repair damages to adjacent facilities caused by dewatering.

END OF SECTION 312319

SECTION 312500 - EROSION AND SEDIMENTATION CONTROLS

PART 1 - PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Permanent erosion and sediment control.

1.2 RELATED REQUIREMENTS

- A. Section 312000 - Earth Moving: Base course.
- B. Section 329200 - Seeding: Turf and Grasses: Permanent turf for erosion control.

1.3 REFERENCE STANDARDS

- A. AASHTO M 147 - Standard Specification for Materials for Aggregate and Soil–Aggregate Subbase, Base, and Surface Courses; 2017 (Reapproved 2021).
- B. ASTM D4318 - Standard Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils; 2017, with Editorial Revision (2018).
- C. ASTM D5338 - Standard Test Method for Determining Aerobic Biodegradation of Plastic Materials Under Controlled Composting Conditions, Incorporating Thermophilic Temperatures; 2015 (Reapproved 2021).
- D. ASTM D7322/D7322M - Standard Test Method for Determination of Erosion Control Product (ECP) Ability to Encourage Seed Germination and Plant Growth Under Bench-Scale Conditions; 2017.
- E. ASTM D7367 - Standard Test Method for Determining Water Holding Capacity of Fiber Mulches for Hydraulic Planting; 2019, with Editorial Revision.
- F. ASTM D8298/D8298M - Standard Test Method for Determination of Erosion Control Products (ECP) Performance in Protecting Slopes from Continuous Rainfall-Induced Erosion Using a Tilted Bed Slope; 2020.
- G. EPA (NPDES) - National Pollutant Discharge Elimination System (NPDES), Construction General Permit; Current Edition.
- H. FHWA FLP-94-005 - Best Management Practices for Erosion and Sediment Control; 1995.
- I. USDA TR-55 - Urban Hydrology for Small Watersheds; USDA Natural Resources Conservation Service; 2015.

1.4 SUBMITTALS

- A. Inspection Reports: Submit report of each inspection; identify each preventive measure, indicate condition, and specify maintenance or repair required and accomplished.
- B. Maintenance Instructions: Provide instructions covering inspection and maintenance for preventive measures that must remain after Substantial Completion.

PART 2 - PART 2 PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Comply with requirements of EPA (NPDES) for erosion and sedimentation control, as specified by the NPDES, for Phases I and II, and in compliance with requirements of Construction General Permit (CGP).
- B. Comply with requirements of Maine DEP for erosion and sedimentation control.

2.2 MATERIALS

- A. Bonded Fiber Matrix (BFM):
 - 1. Physical Properties:
 - 2. Water Holding Capacity: Greater than or equal to 1,200 percent when tested in accordance with ASTM D7367.
 - 3. Material Color: Green .
 - 4. Cure Time: 4 to 24 hours.
 - 5. Functional Longevity: Observed, less than or equal to 6 months.
 - 6. Cover Factor: Less than or equal to 0.05 when tested in accordance with ASTM D8298/D8298M.
 - 7. Application Rate: 3,500 lbs per acre .
 - 8. Seed Germination and Vegetation Enhancement: Greater than or equal to 600 percent when tested in accordance with ASTM D7322/D7322M.
 - 9. Manufacturers:
 - 10. Profile Products : Hydro-Blanket BFM : www.profileproducts.com

2.3 ACCESSORY MATERIALS

- A. Fill Material: See Section 312000.
- B. Fill Material: Soil, concrete, granular fill, sand, crushed stone, or waste materials used to raise an existing grade, acceptable to authorities having jurisdiction, and in compliance with specified performance requirements.
- C. Geotextiles: Permeable, synthetic fabric used to stabilize loose soil and prevent erosion.

- D. Mulching Material: Oat or wheat straw, free from weeds, foreign matter detrimental to plant life, and dry. Hay or chopped cornstalks are not acceptable.
- E. Grass Seed for Permanent Cover: See Section 329200.
- F. Straw Wattle: 9" diameter straw wattle designed for sedimentation and erosion control. Provide stakes or other securing methods per details and manufacturer.
- G. Crushed Stone and Gravel: See Section 312000 for aggregate.

PART 3 - PART 3 EXECUTION

3.1 EXAMINATION

- A. Examine site and identify existing features that contribute to erosion resistance; maintain such existing features to greatest extent possible.

3.2 PREPARATION

- A. Schedule work so that soil surfaces are left exposed for the minimum amount of time.
- B. Do not begin clearing, grading, or other work involving disturbance of ground surface cover until applicable permits have been obtained; furnish documentation required to obtain applicable permits.
 - 1. Obtain and pay for permits and provide security required by authority having jurisdiction.
 - 2. Owner will withhold payment to Contractor equivalent to all fines resulting from non-compliance with applicable regulations.
- C. Timing: Put preventive measures in place as soon as possible after disturbance of surface cover and before precipitation occurs.

3.3 INSTALLATION

- A. Hydroseeding: Apply seeded slurry with a hydraulic seeder at a rate per manufacturer, evenly in two intersecting directions.
 - 1. Soil Amendment: Provide soil amendments for application with hydroseeding slurry at manufacturer's recommended rates based on soil test results.
- B. Do not seed areas in excess of that which can be mulched on same day.
- C. Apply water with a fine spray immediately after each area has been mulched. .
- D. Following germination, immediately reseed areas without germinated seeds that are larger than 8" x 8" . .

- E. Straw wattles: Install per manufacturer's recommendations, project details and where shown on plans.

3.4 PROTECTION

- A. Identify seeded areas with stakes and string around area periphery. Set string height to 30 inches. Space stakes at 60 inches.
- B. Cover seeded slopes where grade is 4" per 1 foot or greater with geotextile fabric. Roll fabric onto slopes without stretching or pulling.
- C. Lay fabric smoothly on surface, bury top end of each section in 6-inch deep excavated topsoil trench. Provide 12-inch overlap of adjacent rolls. Backfill trench and rake smooth, level with adjacent soil.
- D. Secure outside edges and overlaps at 36-inch intervals with stakes.
- E. Lightly dress slopes with topsoil to ensure close contact between fabric and soil.
- F. At sides of ditches, lay fabric laps in direction of water flow. Lap ends and edges minimum 6 inches.

3.5 MAINTENANCE

- A. See Section 329200 for post-occupancy maintenance.
- B. Control growth of weeds. Apply herbicides in accordance with manufacturer's instructions and with Owner acceptance. Remedy damage resulting from improper use of herbicides.
- C. Immediately reseed areas that show bare spots.
- D. Inspect preventive measures daily, within 24 hours after the end of any storm that produces 0.5 inches or more rainfall at the project site, and daily during prolonged rainfall.
- E. Repair deficiencies immediately.
- F. Place sediment in appropriate locations on site; do not remove from site.
- G. Protect seeded areas with warning signs during maintenance period.

3.6 CLEAN UP

- A. Clean out sediment control structures that are to remain as permanent measures.
- B. Remove all non-biodegradable erosion control elements upon site stabilization and dispose of properly.

END OF SECTION 312500

SECTION 315000 - EXCAVATION SUPPORT AND PROTECTION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes temporary excavation support and protection systems.
- B. Related Requirements:
 - 1. Section 312000 "Earth Moving" for excavating and backfilling, for controlling surface-water runoff and ponding, and for dewatering excavations.
 - 2. Section 312319 "Dewatering" for dewatering excavations.

1.3 PREINSTALLATION MEETINGS

- A. Preinstallation Conference: Conduct conference at Project site .
 - 1. Review existing utilities and subsurface conditions.
 - 2. Review coordination for interruption, shutoff, capping, and continuation of utility services.
 - 3. Review proposed excavations.
 - 4. Review proposed equipment.
 - 5. Review monitoring of excavation support and protection system.
 - 6. Review coordination with waterproofing.
 - 7. Review abandonment or removal of excavation support and protection system.

1.4 ACTION SUBMITTALS

- A. Delegated-Design Submittal: For excavation support and protection systems indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.(System design and calculations must be acceptable to authorities having jurisdiction)

1.5 INFORMATIONAL SUBMITTALS

- A. Qualification Data: For the following:
 - 1. Land surveyor.

2. Professional Engineer: Experience with providing delegated-design engineering services of the type indicated, including documentation that engineer is licensed in the state in which Project is located.

- B. Existing Conditions: Using photographs or video recordings, show existing conditions of adjacent construction and site improvements that might be misconstrued as damage caused by inadequate performance of excavation support and protection systems. Submit before Work begins.

1.6 CLOSEOUT SUBMITTALS

- A. Record Drawings: Identify locations and depths of capped utilities, abandoned-in-place support and protection systems, and other subsurface structural, electrical, or mechanical conditions.

1.7 FIELD CONDITIONS

- A. Interruption of Existing Utilities: Do not interrupt any utility-serving facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary utility according to requirements indicated:
 1. Notify Architect and Owner no fewer than two days in advance of proposed interruption of utility.
 2. Do not proceed with interruption of utility without Architect's written permission.
- B. Survey Work: Engage a qualified land surveyor or professional engineer to survey adjacent existing buildings, structures, and site improvements; establish exact elevations at fixed points to act as benchmarks. Clearly identify benchmarks, and record existing elevations.
 1. During installation of excavation support and protection systems, regularly resurvey benchmarks, maintaining an accurate log of surveyed elevations and positions for comparison with original elevations and positions. Promptly notify Architect if changes in elevations or positions occur or if cracks, sags, or other damage is evident in adjacent construction.

PART 2 - PRODUCTS

2.1 PERFORMANCE REQUIREMENTS

- A. Design, furnish, install, monitor, and maintain excavation support and protection system capable of supporting excavation sidewalls and of resisting soil and hydrostatic pressure and superimposed and construction loads, in accordance with applicable local, state and federal regulations.
 1. Delegated Design: Engage a qualified professional engineer to design excavation support and protection systems to resist all lateral loading and surcharge, including but not limited to, retained soil, groundwater pressure, adjacent building loads, adjacent traffic

loads, construction traffic loads, material stockpile loads, and seismic loads, based on the following:

- a. Compliance with OSHA Standards and interpretations, 29 CFR 1926, Subpart P.
 - b. Compliance with AASHTO Standard Specification for Highway Bridges or AASHTO LRFD Bridge Design Specification, Customary U.S. Units.
 - c. Compliance with requirements of authorities having jurisdiction.
 - d. Compliance with utility company requirements.
 - e. Compliance with railroad requirements.
2. Prevent surface water from entering excavations by grading, dikes, or other means.
 3. Install excavation support and protection systems without damaging existing buildings, structures, and site improvements adjacent to excavation.
 4. Monitor vibrations, settlements, and movements.
 5. Work includes removing excavation support and protection systems when no longer needed.

2.2 MATERIALS

- A. Provide materials that are either new or in serviceable condition.
- B. Structural Steel: ASTM A 36/A 36M, ASTM A 690/A 690M, or ASTM A 992/A 992M.
- C. Steel Sheet Piling: ASTM A 328/A 328M, ASTM A 572/A 572M, or ASTM A 690/A 690M; with continuous interlocks.
 1. Corners: Site-fabricated mechanical interlock .
- D. Wood Lagging: Lumber, mixed hardwood, nominal rough thickness of size and strength required for application .
- E. Cast-in-Place Concrete: ACI 301, of compressive strength required for application.
- F. Reinforcing Bars: ASTM A 615/A 615M, Grade 60, deformed.
- G. Tiebacks: Steel bars, ASTM A 722/A 722M.
- H. Tiebacks: Steel strand, ASTM A 416/A 416M.

PART 3 - EXECUTION

3.1 PREPARATION

- A. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards that could develop during excavation support and protection system operations.
 1. Shore, support, and protect utilities encountered.

3.2 INSTALLATION - GENERAL

- A. Locate excavation support and protection systems clear of permanent construction, so that construction and finishing of other work is not impeded.
- B. Install excavation support and protection systems to ensure minimum interference with roads, streets, walks, and other adjacent occupied and used facilities.
 - 1. Do not close or obstruct streets, walks, or other adjacent occupied or used facilities without permission from Owner and authorities having jurisdiction.
 - 2. Provide alternate routes around closed or obstructed traffic ways if required by authorities having jurisdiction.
- C. Install excavation support and protection systems without damaging existing buildings, structures, and site improvements adjacent to excavation.
- D. Monitor excavation support and protection systems daily during excavation progress and for as long as excavation remains open. Promptly correct bulges, breakage, or other evidence of movement to ensure that excavation support and protection systems remain stable.
- E. Promptly repair damages to adjacent facilities caused by installing excavation support and protection systems.

3.3 SOLDIER PILES AND LAGGING

- A. Install steel soldier piles before starting excavation.
 - 1. Extend soldier piles below excavation grade level to depths adequate to prevent lateral movement.
 - 2. Space soldier piles at regular intervals not to exceed allowable flexural strength of wood lagging.
 - 3. Accurately align exposed faces of flanges to vary not more than 2 inches from a horizontal line and not more than 1:120 out of vertical alignment .
- B. Install wood lagging within flanges of soldier piles as excavation proceeds.
 - 1. Trim excavation as required to install lagging.
 - 2. Fill voids behind lagging with soil, and compact.
- C. Install wales horizontally at locations indicated on Drawings and secure to soldier piles.

3.4 SHEET PILING

- A. Before starting excavation, install one-piece sheet piling lengths and tightly interlock vertical edges to form a continuous barrier.
- B. Accurately place the piling using templates and guide frames unless otherwise recommended in writing by the sheet piling manufacturer.

1. Limit vertical offset of adjacent sheet piling to 60 inches.
 2. Accurately align exposed faces of sheet piling to vary not more than 2 inches from a horizontal line and not more than 1:120 out of vertical alignment .
- C. Cut tops of sheet piling to uniform elevation at top of excavation.

3.5 TIEBACKS

- A. Drill, install, grout, and tension tiebacks.
- B. Test load-carrying capacity of each tieback, and replace and retest deficient tiebacks.
1. Have test loading observed by a qualified professional engineer responsible for design of excavation support and protection system.
- C. Maintain tiebacks in place until permanent construction is able to withstand lateral earth and hydrostatic pressures.

3.6 BRACING

- A. Locate bracing to clear columns, floor framing construction, and other permanent work. If necessary to move brace, install new bracing before removing original brace.
1. Do not place bracing where it will be cast into or included in permanent concrete work unless otherwise approved by Architect.
 2. Install internal bracing if required to prevent spreading or distortion of braced frames.
 3. Maintain bracing until structural elements are supported by other bracing or until permanent construction is able to withstand lateral earth and hydrostatic pressures.

3.7 FIELD QUALITY CONTROL

- A. Survey-Work Benchmarks: Resurvey benchmarks weekly during installation of excavation support and protection systems, excavation progress, and for as long as excavation remains open.
1. Maintain an accurate log of surveyed elevations and positions for comparison with original elevations and positions.
 2. Promptly notify Architect if changes in elevations or positions occur or if cracks, sags, or other damage is evident in adjacent construction.
- B. Promptly correct detected bulges, breakage, or other evidence of movement to ensure that excavation support and protection system remains stable.
- C. Promptly repair damages to adjacent facilities caused by installation or faulty performance of excavation support and protection systems.

3.8 REMOVAL AND REPAIRS

- A. Remove excavation support and protection systems when construction has progressed sufficiently to support excavation and earth and hydrostatic pressures.
 - 1. Remove in stages to avoid disturbing underlying soils and rock or damaging structures, pavements, facilities, and utilities.
 - 2. Fill voids immediately with approved backfill compacted to density specified in Section 312000 "Earth Moving."
 - 3. Repair or replace, as approved by Architect, adjacent work damaged or displaced by removing excavation support and protection systems.
- B. Leave excavation support and protection systems permanently in place.

END OF SECTION 315000

SECTION 321216 - ASPHALT PAVING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.
- B. Maine Department of Transportation, Standard Specification for Highways and Bridges, latest revision, hereafter designated as MDOT Specifications.
- C. Special Provisions, MDOT Division 400 Pavements, Section 401, Hot Mix Asphalt Pavement, is incorporated and made a part of this specification.

1.2 SUMMARY

- A. This Section includes the following:
 - 1. Hot-mix asphalt paving.
 - 2. Hot-mix asphalt patching.
 - 3. Hot-mix asphalt overlays.
- B. Related Sections include the following:
 - 1. Division 31 Section "Earthwork" for subbase and base courses and aggregate shoulders.

1.3 SYSTEM DESCRIPTION

- A. Provide hot-mix asphalt pavement according to the materials, workmanship, and other applicable requirements of this standard.
- B. Section and paragraph numbers in this specification refer to those in the MDOT Standard Specifications for Highway and Bridges, Revisions of April 1995 and Special Provision, Section 401.

1.4 SUBMITTALS

- A. All submittals required in the Special Provision 401.
- B. Qualification Data: For firms and persons specified in the "Quality Assurance" Article to demonstrate their capabilities and experience. Include lists of completed projects with project names and addresses, names and addresses of architects and owners, and other information specified.

1.5 QUALITY ASSURANCE

- A. Installer Qualifications: Engage an experienced installer who has completed hot-mix asphalt paving similar in material, design, and extent to that indicated for this Project and with a record of successful in-service performance.
- B. Manufacturer Qualifications: Engage a firm experienced in manufacturing hot-mix asphalt similar to that indicated for this Project and with a record of successful in-service performance.
- C. Testing Agency Qualifications: Demonstrate to Architect's satisfaction, based on Architect's evaluation of criteria conforming to ASTM D 3666, that the independent testing agency has the experience and capability to satisfactorily conduct the testing indicated without delaying the Work.

1.6 PROJECT CONDITIONS

- A. Environmental Limitations: Do not apply asphalt materials if substrate is wet or excessively damp or if ambient temperature is less than specified in Section 401.07.
- B. Pavement-Marking Paint: Proceed with pavement marking only on clean, dry surfaces and at a minimum ambient or surface temperature of 40 deg F for oil-based materials, 50 deg F for water-based materials, and not exceeding 95 deg F.

PART 2 - PRODUCTS

- 2.1 Paving materials shall be as specified in the Special Provision – Section 401.

2.2 AUXILIARY MATERIALS

- A. Herbicide: Commercial chemical for weed control, registered by Environmental Protection Agency (EPA). Provide granular, liquid, or wettable powder form.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Verify that subgrade is dry and in suitable condition to support paving and imposed loads.
- B. Proof-roll subbase using heavy, pneumatic-tired rollers to locate areas that are unstable or that require further compaction.
- C. Notify Construction Manager in writing of any unsatisfactory conditions. Do not begin paving installation until these conditions have been satisfactorily corrected.

3.2 COLD MILLING

- A. Clean existing paving surface of loose and deleterious material immediately before cold milling. Remove existing asphalt pavement, including hot-mix asphalt and, as necessary, unbound base course, by cold milling to grades and cross sections indicated.
 - 1. Repair or replace curbs, manholes, and other construction damaged during cold milling.

3.3 PATCHING AND REPAIRS

- A. Patching: Saw cut perimeter of patch and excavate existing pavement section to sound base. Recompact new subgrade. Excavate rectangular or trapezoidal patches, extending 12 inches into adjacent sound pavement, unless otherwise indicated. Cut excavation faces vertically.
 - 1. Tack coat faces of excavation and allow to cure before paving.
 - 2. Fill excavation with dense-graded, hot-mix asphalt base mix and, while still hot, compact flush with adjacent surface.
 - 3. Partially fill excavation with dense-graded, hot-mix asphalt base mix and compact while still hot. Cover asphalt base course with compacted, hot-mix surface layer finished flush with adjacent surfaces.

3.4 HOT-MIX ASPHALT

- A. Place and compact hot-mix asphalt mix on prepared surface in accordance with the construction requirements of Special Provision – Section 401

3.5 JOINTS

- A. Construct joints to ensure continuous bond between adjoining paving sections. Construct joints free of depressions with same texture and smoothness as other sections of hot-mix asphalt course.

3.6 FIELD QUALITY CONTROL

- A. Testing Agency: Owner will engage a qualified independent testing agency to perform field inspections and tests and to prepare test reports.
 - 1. Testing agency will conduct and interpret tests and state in each report whether tested Work complies with or deviates from specified requirements.
- B. Additional testing, at Contractor's expense, will be performed to determine compliance of corrected Work with specified requirements.

END OF SECTION 321216

SECTION 321218 - CURBING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings, the general provisions of the contract, including General and Supplemental Conditions apply to the work specified under this section.

1.2 SUMMARY

- A. Provide all materials, equipment, and labor necessary for the placement of concrete slipform curbing as shown on the Drawings and as specified herein.
- B. Related Sections include the following:
 - 1. Section 312000 "Earth Moving". Division
 - 2. Reference: "State of Maine, Department of Transportation, Standard Specifications – Highways and Bridges", latest revision, hereafter designated as MDOT Specifications.

PART 2 - PRODUCTS

2.1 STONE CURBING

- A. Stone/Granite curbing shall conform to MDOT Specification 712.04 "Stone Curbing and Edging".

PART 3 - INSTALLATION

3.1 GENERAL: Install curbing to the lines, grades, and details shown of the Drawings.

3.2 SUBGRADE

- A. Insure: all utilities and other improvements have been installed prior to backfill/subgrade preparation. Prepare the subgrade by removing all soft or spongy material and backfilling with suitable material.
- B. Compact: the surface uniformly to 95% Modified AASHTO Laboratory density (ASTM D-1557, Method).
- C. Subgrade: shall be approved by the Architect before the base is installed.

3.3 BASE

- A. Place: in maximum 6" layers.
- B. Compact: each layer uniformly to 95% Modified AASHTO Laboratory density (ASTM D-1557, Method C).

3.4 STONE CURBING

- A. Set curb on edge. Settle into place with a heavy wooden hand rammer.
- B. Vertical curb: Place a minimum of 2 CF of concrete at back of curb at each joint and continuously along the front face as shown on the Drawings. Insure that top exposed edge of curb face is consistent and true to line and grade. Support curb as required until concrete cures and all backfill operations have been completed.
- C. Omit concrete and mortar grout at 50 (+,-) foot intervals to allow for expansion.
- D. Backfill with approved material compacted to 95% Modified AASHTO Laboratory density (ASTM D-1557, Method C).
- E. Point joints with mortar for the full depth and width of curbing. Conform to the details on the Drawings.

END OF SECTION 321218

SECTION 329200 - TURF AND GRASSES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Seeding.
 - 2. Hydroseeding.
 - 3. Erosion-control materials.
- B. Related Requirements:
 - 1. Section 312000 "Earth Moving."

1.3 DEFINITIONS

- A. Compaction: A loss of soil aggregates; destroyed aeration pore spaces; crushed or collapsed pore spaces; and, undergone extensive resorting and packing of soil particles.
- B. Duff Layer: The surface layer of native topsoil that is composed of mostly decayed leaves, twigs, and detritus.
- C. Finish Grade: Elevation of finished surface of planting soil.
- D. Manufactured Topsoil: Soil produced off-site by homogeneously blending mineral soils or sand with stabilized organic amendments to produce topsoil or planting soil.
- E. Pesticide: A substance or mixture intended for preventing, destroying, repelling, or mitigating a pest. Pesticides include insecticides, miticides, herbicides, fungicides, rodenticides, and molluscicides. They also include substances or mixtures intended for use as a plant regulator, defoliant, or desiccant.
- F. Pests: Living organisms that occur where they are not desired or that cause damage to plants, animals, or people. Pests include insects, mites, grubs, mollusks (snails and slugs), rodents (gophers, moles, and mice), unwanted plants (weeds), fungi, bacteria, and viruses.
- G. Planting Soil: Existing, on-site soil; imported soil; or manufactured soil that has been modified with soil amendments and perhaps fertilizers to produce a soil mixture best for plant growth. .

- H. Subgrade: The surface or elevation of subsoil remaining after excavation is complete, or the top surface of a fill or backfill before planting soil is placed.
- I. Subsoil: all soil beneath the topsoil layer of the soil profile and typified by lack of organic matter and soil organisms.
- J. Surface Soil: Whatever soil is present at the top layer of the existing soil profile at the Project site. In undisturbed areas, the surface soil is typically topsoil, but in disturbed areas such as urban environments, the surface soil can be subsoil.
- K. Turfgrass: A contiguous community of grass plants that have the ability to withstand mowing and reasonable foot traffic.

1.4 REFERENCES

- A. Comply with applicable requirements of the following standards. Where these standards conflict with other specified requirements, the most restrictive requirements shall govern.
 - 1. American Society for Testing and Materials (ASTM)
 - 2. C 136 Sieve Analysis of Fine and Coarse Aggregates
 - 3. E 11 Wire-Cloth Sieves for Testing Purposes

1.5 SUBMITTALS

- A. Samples: The following samples and quantity shall be submitted:
 - 1. Topsoil – 1 lb
 - 2. Fertilizer – 1 lb
- B. Manufacturer's Product Data: Manufacturer's product data shall be submitted for the following materials if to be used on the project:
 - 1. Aluminum sulfate
 - 2. Fertilizer
 - 3. Lime
- C. Certificates: Labels from the manufacturer's container certifying that the product meets the specified requirements shall be submitted for the following materials:
 - 1. Grass seed mix (each)
 - 2. Commercial fertilizer
 - 3. Ground limestone
- D. Gradation and laboratory analysis: Gradation of granular material shall be determined in accordance with ASTM C 136. Sieves for determining material gradation shall be described in ASTM E 11. Test results that meet the specified requirements shall be submitted for the following materials:
 - 1. Topsoil without Admixture

2. Topsoil with Admixture

- E. Maintenance Data: Recommended procedures to be established by Owner for maintenance of turf and meadows during a calendar year. Submit before expiration of required maintenance periods.

1.6 QUALITY ASSURANCE

- A. Installer Qualifications: A qualified landscape installer whose work has resulted in successful turf and meadow establishment.

1. Professional Membership: Installer shall be a member in good standing of either the National Association of Landscape Professionals or AmericanHort.
2. Experience: Three years' experience in turf installation.
3. Installer's Field Supervision: Require Installer to maintain an experienced full-time supervisor on Project site when work is in progress.
4. Personnel Certifications: Installer's field supervisor shall have certification in one of the following categories from the National Association of Landscape Professionals:
 - a. Landscape Industry Certified Technician - Exterior.
 - b. Landscape Industry Certified Lawn Care Manager.
 - c. Landscape Industry Certified Lawn Care Technician.
5. Pesticide Applicator: State licensed, commercial.

- B. Soil Analysis:

1. Unless otherwise provided, the Contractor shall engage an independent testing agency, experienced in the testing of agricultural soils and acceptable to the Landscape Architect, to perform the following tests and analyses:
 - a. Soils- Mechanical analysis of soil indicating the percent passing by weight of the following sieve sizes: 1in, 1/2in, No.4, No.10, No.100, and No.20 Determination of pH, organic content, and nutrient content. Recommendations shall be made by the testing agency as to the type and quantity of soil additives required to bring pH, organic content, and nutrient content to satisfactory levels for planting and grassing.
 - b. Organic Amendments-Determination of moisture absorption capacity, organic matter content, and pH.
2. Report presence of problem salts, minerals, or heavy metals, if present, provide additional recommendations for corrective action.
3. Gradation of granular materials shall be determined in accordance with ASTM C136. Sieves for determining material gradation shall be described in ASTM E 11.

- C. Turfgrass:

1. The Contractor shall provide quality, genus, species variety of turfgrass indicated.
2. No changes or substitutions may be made without prior approval by the Landscape Architect, and municipal authority, if applicable.

- D. Owner's Inspections and Testing

1. Work may be subject to inspection at any time by the Landscape Architect. The Owner reserves the right to engage an independent testing laboratory to analyze and test materials used in the construction of the work. Where directed by the Landscape Architect, the testing laboratory will make material analyses and will report to the Landscape Architect whether materials conform to the requirements of this specification.
 - a. Cost of tests and material analyses made by the testing laboratory will be borne by the Owner when they indicate compliance with the specification, and by the Contractor when they indicate non-compliance.
 - b. Testing equipment will be provided by and tests performed by the testing laboratory. Upon request by the Landscape Architect or Owner, the Contractor shall provide such auxiliary personnel and services needed to accomplish the testing work and to repair damage caused thereto by the permanent work.

E. Contractor's Inspection and Testing

1. Testing, analyses, and inspection required by the Contractor for his own information or guidance shall be at his own expense.
2. Materials shall not be used in construction until test results have been reviewed by the Landscape Architect.

1.7 DELIVERY, STORAGE, AND HANDLING

A. Seed and Other Packaged Materials:

1. Deliver packaged materials in original, unopened containers showing weight, certified analysis, name and address of manufacturer, and indication of compliance with state and Federal laws, as applicable.
2. Deliver fertilizer in sealed waterproof bags, printed with manufacturer's name, weight, and guaranteed analysis.

B. Bulk Materials:

1. Do not dump or store bulk materials near structures, utilities, walkways and pavements, or on existing turf areas or plants.
2. Provide erosion-control measures to prevent erosion or displacement of bulk materials; discharge of soil-bearing water runoff; and airborne dust reaching adjacent properties, water conveyance systems, or walkways.
3. Accompany each delivery of bulk materials with appropriate certificates.

1.8 PLANTING SEASON AND CONDITIONS

A. Planting season for seeding shall be as follows:

1. Seed Mix – Late summer, early fall is preferred.

B. Weather Limitations: Proceed with planting only when existing and forecasted weather conditions permit planting to be performed when beneficial and optimum results may be obtained. Apply products during favorable weather conditions according to manufacturer's written instructions.

1.9 MAINTENANCE

- A. Turfgrass shall be maintained by the Contractor until Substantial Completion, as described in Part 3 of this Section.
- B. Following Substantial Completion, maintenance of turfgrass shall become the Owner's responsibility with the following provisions.
 - 1. The Contractor shall provide Owner with written recommended maintenance program at time of Substantial Completion.
 - 2. The Contractor may make as many periodic inspections as necessary during the guarantee period, at no additional cost to the Owner, to inspect the condition of all plant materials. Submit written report of each inspection to the Landscape Architect and Owner outlining corrective measures required to keep the guarantee valid.

1.10 ACCEPTANCE

- A. Acceptance:
 - 1. The Landscape Architect will inspect all work for Substantial Completion upon written request of the Contractor. The request shall be received at least ten calendar days before the anticipated date of inspection.
 - 2. Acceptance of material by the Landscape Architect will be for general conformance to specified requirements, and shall not relieve the Contractor of responsibility for full conformance to the Contract Documents.
 - 3. Upon satisfactory completion and re-inspection of all repairs or renewals necessary in the judgment of the Landscape Architect, the Landscape Architect will recommend to the Owner that the work of this Section be accepted.
- B. Sod and seed areas will be accepted when in compliance with all the following conditions:
 - 1. Roots are thoroughly knit to the soil;
 - 2. All areas show a uniform stand of specified grass in healthy condition, individual bare spots of under 72 square inches or multiple bare spots not in excess of 1 percent of the area.
 - 3. At least 60 days have elapsed since the completion of work under this Section, or as approved by the Landscape Architect.
 - 4. A minimum amount of weeds may be acceptable, commensurate with the intended use.

PART 2 - PRODUCTS

2.1 SEED

- A. Seed: Fresh, clean, dry, new-crop seed with clear percentages of the pure live seed (PLS) and bulk seed present.
- B. Turfgrass: It shall be standard grade seed of the most recent season's crop, with 0.5 percent or less weed seed, 1.75 percent or less crop seed by weight, and minimum 95 percent purity with

minimum 85 percent germination. Seed shall be dry and free of mold. Seed shall meet the following requirements:

1. Turf Grass Seed Species: Provide as follows:
 - a. General Lawn:
 - 1) 20 percent Creeping Red Fescue applied at 44 lbs/ac.
 - 2) 15 percent Perennial Ryegrass applied at 76 lbs/ac.
 - 3) 35 percent Kentucky Bluegrass applied at 42 lbs/ac.
 - 4) 15 percent Chewings Fescue applied at 33 lbs/ac.
 - 5) 15 percent Annual Ryegrass applied at 33 lbs/ac.
 - b. or a seed mix acceptable to UMaine Facilities and Grounds Department.

2.2 INORGANIC SOIL AMENDMENTS

- A. Lime: ASTM C602, agricultural liming material containing a minimum of 80 percent calcium carbonate equivalent, by weight. Class T is more finely ground and quicker acting but dustier than Class O.
- B. Sulfur: Granular, biodegradable, and containing a minimum of 90 percent sulfur.
- C. Iron Sulfate: Granulated ferrous sulfate containing a minimum of 20 percent iron and 10 percent sulfur.
- D. Aluminum Sulfate: Commercial grade, unadulterated.
- E. Perlite: Horticultural perlite, soil amendment grade.
- F. Agricultural Gypsum: Minimum 90 percent calcium sulfate, finely ground with 90 percent passing through No. 50 (0.30-mm) sieve.
- G. Sand: Clean, washed, natural or manufactured angular grains, free of toxic materials.

2.3 ORGANIC SOIL AMENDMENTS

- A. Compost: An organic substance produced by the biological and biochemical decomposition of source separated organic materials that may include leaves and lawn trimmings, food or industrial residuals, and/or municipal biosolids. The product shall not contain levels of substances toxic to plants and shall be reasonably free (< 1 percent by dry weight) of man-made foreign matter. It shall be well-composted, stable, and substantially weed-free organic matter, pH range of 5.5 to 8 percent, moisture content 35 to 55 percent by weight; soluble salt content of <3 mmhos/cm or <3 decisiemens/m and free of substances toxic to plantings; and as follows:
 1. The compost stock must mature for a minimum of 90 days. During this time, the compost stock shall achieve thermophilic temperatures (175 to 180 degrees F, 79 to 82 degrees C) for 15 days; multiple turnings may be required for the entire stockpile. A Solvita test may be requested to determine the maturity and stability of the compost.
 2. Frozen or muddy compost shall be unacceptable for use.

- B. Sphagnum Peat: Partially decomposed sphagnum peat moss, finely divided or of granular texture, with a pH range of 3.4 to 4.8.
- C. Muck Peat: Partially decomposed moss peat, native peat, or reed-sedge peat, finely divided or of granular texture, with a pH range of 6 to 7.5, and having a water-absorbing capacity of 1100 to 2000 percent. Peat is an acceptable alternative to composted soil admixtures to increase organic content. Additional lime in the pelletized form shall be provided to readjust the pH.
- D. Wood Derivatives: Decomposed, nitrogen-treated sawdust, ground bark, or wood waste; of uniform texture and free of chips, stones, sticks, soil, or toxic materials.
- E. E.Manure: Well-rotted, unleached, stable cattle manure containing not more than 25 percent by volume of straw, sawdust, or other bedding materials; free of toxic substances, stones, sticks, soil, weed seed, and material harmful to plant growth.
- F. Mycorrhizal Fungi: Dry, organic, granular root stimulant/inoculant containing at least 5300 spores per pound (0.45 kg) of vesicular-arbuscular mycorrhizal fungi and 95 million spores per pound (0.45 kg) of ectomycorrhizal fungi, 33 percent hydrogel, and a maximum of 5.5 percent inert material.
 - 1. Mycorrhizal fungi amendment shall be manufactured by one of the following, or approved equivalent:
 - a. Roots
 - b. Plant Health Care
 - c. Mycorrhizal Applications of Oregon

2.4 FERTILIZERS

- A. Commercial Fertilizer: Commercial-grade complete fertilizer of neutral character, consisting of fast- and slow-release nitrogen, 50 percent derived from natural organic sources of urea formaldehyde, phosphorous, and potassium in the following composition:
 - 1. Composition:
 - a. 1 lb/1000 sq. ft. of actual nitrogen, 4 percent phosphorous, and 2 percent potassium, by weight.
 - b. Nitrogen, phosphorous, and potassium in amounts recommended in soil reports from a qualified soil-testing laboratory.
- B. Slow-Release Fertilizer: Granular or pelleted fertilizer consisting of 50 percent water-insoluble nitrogen, phosphorus, and potassium in the following composition:
 - 1. Composition:
 - a. 20 percent nitrogen, 10 percent phosphorous, and 10 percent potassium, by weight.
 - b. Nitrogen, phosphorous, and potassium in amounts recommended in soil reports from a qualified soil-testing laboratory.

2.5 PLANTING MEDIA

- A. Topsoil, whether stripped from site or supplied from off-site, shall be a sandy loam or loam soil as defined by the USDA Soil Conservation Service, Soil Classification System, and shall have the following mechanical analysis:
1. Sand(0.05-2.0 Sand (0.05-2.0 mm dia. range): 45-75% of total weight (avg. 60%); Silt (0.002-0.05 mm dia. range: 15 to 35% of total weight (avg. 25%); Clay (less than 0.002 mm dia. range): 5-25% of total weight (avg 15%)
 2. 95% of topsoil shall pass a .07 ince inch (2.0mm) sieve
 3. Organic mater content shall be an average of 8% of total dry weight with a minimum of any sample being 6%.
 4. Topsoil shall be free of stones 1 in. in longest direction, earth clods, plant parts, and debris. All topsoil to be screened using a 3/8" screen.
 5. Topsoil shall have a pH value range of 6.0 to 6.5. Soil amendments shall be added to bring topsoil within required range.
- B. Compost Manufactured Topsoil: Uniform mixture of compost and base soil to achieve soil product as follows:
1. Compost: See above.
 2. Base soil: Topsoil and/or other soils (clay, silt, sand, sandy loam, loamy sand in texture according to USDA soils classification). Base soil shall be free of stones, clods, plant parts, weeds and other debris >2 inches in any dimension. It shall not contain levels of substances that shall inhibit or be harmful to plant growth.
- C. Product parameters:
1. Compost: 6.0-8.5 pH, 40% organic matter, Particle size <1 inch, salts/conductivity to be reported, Carbon:Nitrogen ratio = 15-25:1
 2. Base soil: 5.0-8.0 pH, 0-5% organic matter, Particle size <2 inches (USDA Class: Sand, Sandy loam, loamy sand), salts <2mmhos/cm after handling, placement and rainfall.
 3. ase soil: 5.0-8.0 pH, 0-5% organic matter, Particle size <2 inches (USDA Class: Sand, Sandy loam, loamy sand), salts <2mmhos/cm after handling, placement and rainfall.

2.6 WATER

- A. Potable.

2.7 MULCHES

- A. Straw Mulch: Provide air-dry, clean, mildew free and seed free salt hay or threshed straw of wheat, rye, oats or barley.
- B. Compost Mulch: Well composted, stable and weed free organic matter 50-60% of dry weight,, pH range of 5.5 - 8.0; moisture content 35-355% by weight, 100% passing through 1 inch sieve, soluble salt content 2-5 decisiemens/m, not exceeding 0.5 % inert contaminants and free of substances toxic to plants.

- C. Fiber Mulch: Biodegradable, dyed wood, cellulose fiber mulch,; nontoxic and free of plant growth and germination inhibitors; with a max. moisture content of 15%; and pH range of 4.5-6.5

2.8 CHEMICAL PROPERTIES

- A. All chemical products shall be allowed for application by State of Maine and The University of Maine for proposed use and on proposed site. Confirm allowable use prior to application. Conduct notification of application in accordance with applicable regulations.
- B. General: Pesticides, herbicides, fungicides, bactericides or any other chemical compounds shall be registered and approved by EPA, acceptable to authorities having jurisdiction, and of type recommended by manufacturer for each specific problem and as required for Project conditions and application. Do not use restricted pesticides unless a licensed and authorized applicator is present. Also applications will only be done with permission in writing by authorities having jurisdiction if applicable.
 - 1. Pre-Emergent Herbicide (Selective and Non-Selective): Effective for controlling the germination or growth of weeds within planted areas at the soil level directly below the mulch layer.
 - 2. Post-Emergent Herbicide (Selective and Non-Selective): Effective for controlling weed growth that has already germinated.
 - 3. Fungicide: Shall be zinc ethylene bisdithiocarbonate (Zineb), or equal, applied at manufacturer's suggested rates.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas to be planted for compliance with requirements and other conditions affecting installation and performance of the Work.
 - 1. Verify that no foreign or deleterious material or liquid such as paint, paint washout, concrete slurry, concrete layers or chunks, cement, plaster, oils, gasoline, diesel fuel, paint thinner, turpentine, tar, roofing compound, or acid has been deposited in soil within a planting area.
 - 2. Suspend planting operations during periods of excessive soil moisture until the moisture content reaches acceptable levels to attain the required results.
 - 3. Uniformly moisten excessively dry soil that is not workable or which is dusty.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.
- C. If contamination by foreign or deleterious material or liquid is present in soil within a planting area, remove the soil and contamination as directed by Architect and replace with new planting soil.

3.2 PREPARATION

- A. Protect structures; utilities; sidewalks; pavements; and other facilities, trees, shrubs, and plantings from damage caused by planting operations.
 - 1. Protect adjacent and adjoining areas from hydroseeding and hydromulching overspray.
 - 2. Protect grade stakes set by others until directed to remove them.
- B. Install erosion-control measures to prevent erosion or displacement of soils and discharge of soil-bearing water runoff or airborne dust to adjacent properties and walkways.

3.3 PREPARATION OF SUBGRADE

- A. Subgrade shall be examined to ensure that rough grading and all other subsurface work in lawn areas and other areas to be seeded is done prior to start of finish grading.
- B. Existing subgrade shall be loosened or scarified to a minimum depth of 8 inches (20 cm), or as required to alleviate excessive soil compaction, prior to spreading topsoil. Subgrade shall be brought to true and uniform grade, and shall be cleared of stones greater than 2 inches (5 cm), sticks, and other extraneous material.

3.4 PREPARATION OF TOPSOIL

- A. Topsoil shall not be spread until it is possible to follow immediately or within 24 hours with seeding or sodding operations. If topsoil is spread prior to this time it shall be cultivated to loosen soil prior to seeding or sodding.
- B. Topsoil shall not be placed when subgrade or topsoil material are frozen, excessively wet, or excessively dry.
- C. Topsoil shall be spread in a uniform layer, to a thickness, which will compact to the depth required to bring final lawn and grass surfaces to required elevation. Unless otherwise indicated minimum depth of topsoil shall be 6 inches (15 cm) after compaction.
- D. Surfaces shall be graded and smoothed, eliminating all sharp breaks by rounding, scraping off bumps and ridges, and filling in holes and cuts.
- E. Reduce elevation of topsoil to allow for soil thickness of sod.

3.5 FINISH GRADING

- A. Final surface of topsoil immediately before seeding shall be within + 1/2 inch (13 mm) of required elevation, with no ruts, mounds, ridges, or other faults, and no pockets or low spots in which water can collect. Stones, roots, and other debris greater than 1 in. in any dimension, which are visible at the surface, shall be removed and the resulting holes filled with topsoil, leaving a uniform planar surface.

- B. Finish grade surface with a drag or rake. Round out all breaks in grade, smooth down all lumps and ridges; fill in all holes and crevices. Rolling with a light roller is acceptable, if the surface is scarified afterward.
- C. In the event of settlement, the Contractor shall readjust the work to required finished grade.

3.6 PREPARATION FOR EROSION-CONTROL MATERIALS

- A. Prepare area as specified in "Turf Area Preparation" Article.
- B. For erosion-control mats, install planting soil in two lifts, with second lift equal to thickness of erosion-control mats. Install erosion-control mat and fasten as recommended by material manufacturer.
- C. Fill cells of erosion-control mat with planting soil and compact before planting.
- D. For erosion-control blanket or mesh, install from top of slope, working downward, and as recommended by material manufacturer for site conditions. Fasten as recommended by material manufacturer.
- E. Moisten prepared area before planting if surface is dry. Water thoroughly and allow surface to dry before planting. Do not create muddy soil.

3.7 SEEDING

- A. Sow seed with spreader or seeding machine. Do not broadcast or drop seed when wind velocity exceeds 5 mph.
 - 1. Evenly distribute seed by sowing equal quantities in two directions at right angles to each other.
 - 2. Do not use wet seed or seed that is moldy or otherwise damaged.
 - 3. Do not seed against existing trees. Limit extent of seed to outside edge of planting saucer.
- B. Sow seed at a total rate of per manufactures recommended specifications .
- C. Following seeding the area shall be lightly raked to incorporate seed with top 1/8 to 1/4 inch (3 mm to 6 mm) of soil. Area shall then be fine graded. Stones and other debris greater than 1 in. in any dimension which are visible on surface shall be removed. Surface shall be rolled with a hand roller having a weight of 60 to 90 pounds per foot (27 to 40 kg) of width, and a minimum diameter of 2 feet (0.6 m)
- D. Mulch seeded areas to prevent erosion and to protect seed from hot or dry weather or drying winds.
- E. Protect seeded areas with slopes exceeding 1:4 with erosion-control blankets installed and stapled according to manufacturer's written instructions.
- F. Protect seeded areas with erosion-control mats where indicated on Drawings; install and anchor according to manufacturer's written instructions.

- G. Following seeding, raking and rolling, entire area shall be watered. Initial watering shall continue until water has reached a depth of 2 inches (50 mm) over entire seeded surface, at a rate which will not dislodge the seed. Watering shall be repeated thereafter as frequently as required to prevent drying of the surface, until the grass is established. Watering methods and apparatus which may cause erosion of the surface shall not be permitted.

3.8 HYDROSEEDING

- A. Seeding may be done with the hydraulic spray method where approved. It shall be done with a commercial machine designed for the hydraulic application of seed mix in a slurry. The seed and additional material shall be mixed with sufficient water in the tank of the machine. The slurry shall be thoroughly and constantly agitated, so the materials are uniformly mixed and suspended in the water at all times until tank is emptied. The seed slurry will be uniformly distributed over the designated area to be seeded.
- B. Hydroseeding: Mix specified seed, fertilizer per manufacturers recommended specifications , and fiber mulch in water, using equipment specifically designed for hydroseed application. Continue mixing until uniformly blended into homogeneous slurry suitable for hydraulic application.
 - 1. Mix slurry with fiber-mulch manufacturer's recommended tackifier.
 - 2. Spray-apply slurry uniformly to all areas to be seeded in a one-step process. Apply slurry at a rate so that mulch component is deposited at not less than manufacturers recommended specification dry weight, and seed component is deposited at not less than the specified seed-sowing rate.
 - 3. Application rates used shall conform with the manufacturer's labels for the materials used in the slurry and as soil tests dictate.
- C. During the first two to three weeks or until uniform grass catch, water daily or more frequently, as necessary, to maintain moist soil to a minimum depth of 2 inches (50 mm).
- D. Erosion control material, such as netting or bonded fiber matrix, shall be used when the slope or water movements dictates.

3.9 APPLICATION OF FERTILIZER AND AMENDMENTS

- A. Fertilizer and conditioners shall be applied according to the Turfgrass Best Management Practices.
- B. Fertilizer and supplemental conditioners shall be applied according to the type, rate, and timing recommended by the test reports from a qualified soil-testing laboratory. and in accordance with applicable industry standards.
- C. Mixing with topsoil:
 - 1. Fertilizer and conditioners shall be spread over the entire areas designated at the recommended application rates.
 - 2. Materials shall be uniformly and thoroughly mixed into the top 4 in. of topsoil by disking, rototilling, or other approved method.

3.10 TURF MAINTENANCE

- A. General: Maintain and establish turf by watering, fertilizing, weeding, mowing, trimming, replanting, and performing other operations as required to establish healthy, viable turf. Roll, regrade, and replant bare or eroded areas and remulch to produce a uniformly smooth turf. Provide materials and installation the same as those used in the original installation.
 - 1. Fill in as necessary soil subsidence that may occur because of settling or other processes. Replace materials and turf damaged or lost in areas of subsidence.
 - 2. In areas where mulch has been disturbed by wind or maintenance operations, add new mulch and anchor as required to prevent displacement.
 - 3. Apply treatments as required to keep turf and soil free of pests and pathogens or disease. Use integrated pest management practices whenever possible to minimize the use of pesticides and reduce hazards.
- B. Maintenance of seeded areas shall begin upon completion of seeding and shall continue until full turf establishment and final acceptance of the lawn or seeded area.
- C. Maintenance of sodded area shall begin upon completion of sodding and shall continue until final acceptance.
- D. Watering: Install and maintain temporary piping, hoses, and turf-watering equipment to convey water from sources and to keep turf uniformly moist to a depth of 4 inches.
 - 1. Schedule watering to prevent wilting, puddling, erosion, and displacement of seed or mulch. Lay out temporary watering system to avoid walking over muddy or newly planted areas.
 - 2. Water turf with fine spray at a minimum rate of 1 inch per week unless rainfall precipitation is adequate.
- E. Mow turf as soon as top growth is tall enough to cut average height of grass is 3-5 inches) . Repeat mowing to maintain specified height without cutting more than one-third of grass height. Remove no more than one-third of grass-leaf growth in initial or subsequent mowings. Do not delay mowing until grass blades bend over and become matted. Do not mow when grass is wet. Schedule initial and subsequent mowings to maintain the following grass height:
 - 1. Mow cool season turf grass to a height of 2-3 inches.
- F. If lawn or grass is installed in the fall and maintenance is required to continue into spring months, lawn and grass shall receive an application of amendments and fertilizer in the spring in accordance with industry standards for new lawn establishment. Amendments and fertilizer shall be spread in a uniform layer over the entire lawn surface, as specified herein
- G. Turf Postfertilization: Apply commercial fertilizer after initial mowing and when grass is dry.
 - 1. Use fertilizer that provides actual nitrogen of at least 1 lb/1000 sq. ft. to turf area.

3.11 PESTICIDE APPLICATION

- A. Apply pesticides and other chemical products and biological control agents according to requirements of authorities having jurisdiction and manufacturer's written recommendations. Coordinate applications with Owner's operations and others in proximity to the Work. Notify Owner before each application is performed.
- B. Post-Emergent Herbicides (Selective and Nonselective): Apply only as necessary to treat already-germinated weeds and according to manufacturer's written recommendations.

3.12 CLEANUP AND PROTECTION

- A. Promptly remove soil and debris created by turf work from paved areas. Clean wheels of vehicles before leaving site to avoid tracking soil onto roads, walks, or other paved areas.
- B. Remove surplus soil and waste material, including excess subsoil, unsuitable soil, trash, and debris, and legally dispose of them off Owner's property.
- C. Erect temporary fencing or barricades and warning signs as required to protect newly planted areas from traffic. Maintain fencing and barricades throughout initial maintenance period and remove after plantings are established.
- D. Remove nondegradable erosion-control measures after grass establishment period.

END OF SECTION 329200

SECTION 330500 - COMMON WORK RESULTS FOR UTILITIES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes the following:
 - 1. Piping joining materials.
 - 2. Transition fittings.
 - 3. Sleeves.
 - 4. Identification devices.
 - 5. Grout.
 - 6. Flowable fill.
 - 7. Piped utility demolition.
 - 8. Piping system common requirements.
 - 9. Equipment installation common requirements.

1.3 DEFINITIONS

- A. Exposed Installations: Exposed to view outdoors or subject to outdoor ambient temperatures and weather conditions.
- B. Concealed Installations: Concealed from view and protected from weather conditions and physical contact by building occupants but subject to outdoor ambient temperatures. Examples include installations within unheated shelters.
- C. ABS: Acrylonitrile-butadiene-styrene plastic.
- D. PE: Polyethylene plastic.
- E. PVC: Polyvinyl chloride plastic.

1.4 ACTION SUBMITTALS

- A. Product Data: For the following:
 - 1. Dielectric fittings.
 - 2. Identification devices.

1.5 INFORMATIONAL SUBMITTALS

- A. Welding certificates.

1.6 QUALITY ASSURANCE

- A. Steel Support Welding: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- B. Steel Piping Welding: Qualify processes and operators according to ASME Boiler and Pressure Vessel Code: Section IX, "Welding and Brazing Qualifications."
1. Comply with provisions in ASME B31 Series, "Code for Pressure Piping."
 2. Certify that each welder has passed AWS qualification tests for welding processes involved and that certification is current.
- C. Comply with ASME A13.1 for lettering size, length of color field, colors, and viewing angles of identification devices.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver pipes and tubes with factory-applied end caps. Maintain end caps through shipping, storage, and handling to prevent pipe end damage and to prevent entrance of dirt, debris, and moisture.
- B. Store plastic pipes protected from direct sunlight. Support to prevent sagging and bending.

1.8 COORDINATION

- A. Coordinate installation of required supporting devices and set sleeves in poured-in-place concrete and other structural components as they are constructed.
- B. Coordinate installation of identifying devices after completing covering and painting if devices are applied to surfaces.
- C. Coordinate size and location of concrete bases. Formwork, reinforcement, and concrete requirements are specified in Section 033000 "Cast-in-Place Concrete."

PART 2 - PRODUCTS

2.1 PIPING JOINING MATERIALS

- A. Pipe-Flange Gasket Materials: Suitable for chemical and thermal conditions of piping system contents.
1. ASME B16.21, nonmetallic, flat, asbestos free, 1/8-inch maximum thickness, unless otherwise indicated.

- a. Full-Face Type: For flat-face, Class 125, cast-iron and cast-bronze flanges.
 - b. Narrow-Face Type: For raised-face, Class 250, cast-iron and steel flanges.
2. AWWA C110, rubber, flat face, 1/8 inch thick, unless otherwise indicated; and full-face or ring type, unless otherwise indicated.
- B. Flange Bolts and Nuts: ASME B18.2.1, carbon steel, unless otherwise indicated.
- C. Plastic, Pipe-Flange Gasket, Bolts, and Nuts: Type and material recommended by piping system manufacturer, unless otherwise indicated.
- D. Solder Filler Metals: ASTM B32, lead-free alloys. Include water-flushable flux according to ASTM B813.
- E. Brazing Filler Metals: AWS A5.8, BCuP Series, copper-phosphorus alloys for general-duty brazing, unless otherwise indicated; and AWS A5.8, BAgl, silver alloy for refrigerant piping, unless otherwise indicated.
- F. Welding Filler Metals: Comply with AWS D10.12/D10.12M for welding materials appropriate for wall thickness and chemical analysis of steel pipe being welded.
- G. Solvent Cements for Joining Plastic Piping:
 1. ABS Piping: ASTM D2235.
 2. CPVC Piping: ASTM F493.
 3. PVC Piping: ASTM D2564. Include primer according to ASTM F656.
 4. PVC to ABS Piping Transition: ASTM D3138.
- H. Fiberglass Pipe Adhesive: As furnished or recommended by pipe manufacturer.

2.2 TRANSITION FITTINGS

- A. Transition Fittings, General: Same size as, and with pressure rating at least equal to and with ends compatible with, piping to be joined.
- B. Transition Couplings NPS 1-1/2 and Smaller:
 1. Underground Piping: Manufactured piping coupling or specified piping system fitting.
 2. Aboveground Piping: Specified piping system fitting.
- C. AWWA Transition Couplings NPS 2 and Larger:
 1. Description: AWWA C219, metal sleeve-type coupling for underground pressure piping.
- D. Plastic-to-Metal Transition Fittings:
 1. Description: CPVC and PVC one-piece fitting with manufacturer's Schedule 80 equivalent dimensions; one end with threaded brass insert, and one solvent-cement-joint or threaded end.
- E. Plastic-to-Metal Transition Unions:

1. Description: MSS SP-107, CPVC and PVC four-part union. Include brass or stainless-steel threaded end, solvent-cement-joint or threaded plastic end, rubber O-ring, and union nut.

F. Flexible Transition Couplings for Underground Nonpressure Drainage Piping:

1. Description: ASTM C1173 with elastomeric sleeve, ends same size as piping to be joined, and corrosion-resistant metal band on each end.

2.3 SLEEVES

- A. Galvanized-Steel Sheet Sleeves: 0.0239-inch minimum thickness; round tube closed with welded longitudinal joint.
- B. Steel Pipe Sleeves: ASTM A53/A53M, Type E, Grade B, Schedule 40, galvanized, plain ends.
- C. Cast-Iron Sleeves: Cast or fabricated "wall pipe" equivalent to ductile-iron pressure pipe, with plain ends and integral waterstop, unless otherwise indicated.
- D. Molded PVC Sleeves: Permanent, with nailing flange for attaching to wooden forms.
- E. PVC Pipe Sleeves: ASTM D1785, Schedule 40.
- F. Molded PE Sleeves: Reusable, PE, tapered-cup shaped, and smooth outer surface with nailing flange for attaching to wooden forms.

2.4 GROUT

- A. Description: ASTM C1107, Grade B, nonshrink and nonmetallic, dry hydraulic-cement grout.
 1. Characteristics: Post hardening, volume adjusting, nonstaining, noncorrosive, nongaseous, and recommended for interior and exterior applications.
 2. Design Mix: 5000-psi, 28-day compressive strength.
 3. Packaging: Premixed and factory packaged.

2.5 FLOWABLE FILL

- A. Description: Low-strength-concrete, flowable-slurry mix.
 1. Cement: ASTM C150, Type I, portland.
 2. Density: 115- to 145-lb/cu. ft. .
 3. Aggregates: ASTM C33, natural sand, fine and crushed gravel or stone, coarse.
 4. Aggregates: ASTM C33, natural sand, fine.
 5. Admixture: ASTM C618, fly-ash mineral.
 6. Water: Comply with ASTM C94/C94M.
 7. Strength: 100 to 200 psig at 28 days.

PART 3 - EXECUTION

3.1 PIPED UTILITY DEMOLITION

- A. Refer to Section 024119 "Selective Demolition" for general demolition requirements and procedures.
- B. Disconnect, demolish, and remove piped utility systems, equipment, and components indicated to be removed.
 - 1. Piping to Be Removed: Remove portion of piping indicated to be removed and cap or plug remaining piping with same or compatible piping material.
 - 2. Piping to Be Abandoned in Place: Drain piping. Fill abandoned piping with flowable fill, and cap or plug piping with same or compatible piping material.
 - 3. Equipment to Be Removed: Disconnect and cap services and remove equipment.
 - 4. Equipment to Be Removed and Reinstalled: Disconnect and cap services and remove, clean, and store equipment; when appropriate, reinstall, reconnect, and make operational.
 - 5. Equipment to Be Removed and Salvaged: Disconnect and cap services and remove equipment and deliver to Owner.
- C. If pipe, insulation, or equipment to remain is damaged in appearance or is unserviceable, remove damaged or unserviceable portions and replace with new products of equal capacity and quality.

3.2 PIPING INSTALLATION

- A. Install piping according to the following requirements and in accordance with Sections specifying piping systems.
- B. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations. Install piping as indicated unless deviations to layout are approved on the Coordination Drawings.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping to permit valve servicing.
- E. Install piping at indicated slopes.
- F. Install piping free of sags and bends.
- G. Install fittings for changes in direction and branch connections.
- H. Select system components with pressure rating equal to or greater than system operating pressure.

- I. Sleeves are not required for core-drilled holes.
- J. Permanent sleeves are not required for holes formed by removable PE sleeves.
- K. Install sleeves for pipes passing through concrete and masonry walls and concrete floor and roof slabs.
 - 1. Cut sleeves to length for mounting flush with both surfaces.
 - a. Exception: Extend sleeves installed in floors of equipment areas or other wet areas 2 inches above finished floor level.
 - 2. Install sleeves in new walls and slabs as new walls and slabs are constructed.
 - a. PVC Pipe Sleeves: For pipes smaller than NPS 6.
 - b. Steel Sheet Sleeves: For pipes NPS 6 and larger, penetrating gypsum-board partitions.
- L. Verify final equipment locations for roughing-in.
- M. Refer to equipment specifications in other Sections for roughing-in requirements.

3.3 PIPING JOINT CONSTRUCTION

- A. Join pipe and fittings according to the following requirements and in accordance with Sections specifying piping systems.
- B. Ream ends of pipes and tubes and remove burrs. Bevel plain ends of steel pipe.
- C. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- D. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
 - 1. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.
 - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- E. Welded Joints: Construct joints according to AWS D10.12/D10.12M, using qualified processes and welding operators according to Part 1 "Quality Assurance" Article.
- F. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.
- G. Grooved Joints: Assemble joints with grooved-end pipe coupling with coupling housing, gasket, lubricant, and bolts according to coupling and fitting manufacturer's written instructions.
- H. Soldered Joints: Apply ASTM B813 water-flushable flux, unless otherwise indicated, to tube end. Construct joints according to ASTM B828 or CDA's "Copper Tube Handbook," using lead-free solder alloy (0.20 percent maximum lead content) complying with ASTM B32.

- I. Brazed Joints: Construct joints according to AWS's "Brazing Handbook," "Pipe and Tube" Chapter, using copper-phosphorus brazing filler metal complying with AWS A5.8.
- J. Pressure-Sealed Joints: Assemble joints for plain-end copper tube and mechanical pressure seal fitting with proprietary crimping tool to according to fitting manufacturer's written instructions.
- K. Plastic Piping Solvent-Cemented Joints: Clean and dry joining surfaces. Join pipe and fittings according to the following:
 - 1. Comply with ASTM F402 for safe-handling practice of cleaners, primers, and solvent cements.
 - 2. ABS Piping: Join according to ASTM D2235 and ASTM D2661 appendixes.
 - 3. CPVC Piping: Join according to ASTM D2846/D2846M Appendix.
 - 4. PVC Pressure Piping: Join schedule number ASTM D1785, PVC pipe and PVC socket fittings according to ASTM D2672. Join other-than-schedule-number PVC pipe and socket fittings according to ASTM D2855.
 - 5. PVC Nonpressure Piping: Join according to ASTM D2855.
 - 6. PVC to ABS Nonpressure Transition Fittings: Join according to ASTM D3138 Appendix.
- L. Plastic Pressure Piping Gasketed Joints: Join according to ASTM D3139.
- M. Plastic Nonpressure Piping Gasketed Joints: Join according to ASTM D3212.
- N. Plastic Piping Heat-Fusion Joints: Clean and dry joining surfaces by wiping with clean cloth or paper towels. Join according to ASTM D2657.
 - 1. Plain-End PE Pipe and Fittings: Use butt fusion.
 - 2. Plain-End PE Pipe and Socket Fittings: Use socket fusion.
- O. Bonded Joints: Prepare pipe ends and fittings, apply adhesive, and join according to pipe manufacturer's written instructions.

3.4 PIPING CONNECTIONS

- A. Make connections according to the following, unless otherwise indicated:
 - 1. Install unions, in piping NPS 2 and smaller, adjacent to each valve and at final connection to each piece of equipment.
 - 2. Install flanges, in piping NPS 2-1/2 and larger, adjacent to flanged valves and at final connection to each piece of equipment.
 - 3. Install dielectric fittings at connections of dissimilar metal pipes.

3.5 EQUIPMENT INSTALLATION

- A. Install equipment level and plumb, unless otherwise indicated.
- B. Install equipment to facilitate service, maintenance, and repair or replacement of components. Connect equipment for ease of disconnecting, with minimum interference with other installations. Extend grease fittings to an accessible location.

- C. Install equipment to allow right of way to piping systems installed at required slope.

3.6 CONCRETE BASES

- A. Concrete Bases: Anchor equipment to concrete base according to equipment manufacturer's written instructions and according to seismic codes at Project.
 - 1. Construct concrete bases of dimensions indicated, but not less than 4 inches larger in both directions than supported unit.
 - 2. Install dowel rods to connect concrete base to concrete floor. Unless otherwise indicated, install dowel rods on 18-inch centers around the full perimeter of base.
 - 3. Install epoxy-coated anchor bolts for supported equipment that extend through concrete base, and anchor into structural concrete floor.
 - 4. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
 - 5. Install anchor bolts to elevations required for proper attachment to supported equipment.
 - 6. Install anchor bolts according to anchor-bolt manufacturer's written instructions.
 - 7. Use 3000-psi , 28-day compressive-strength concrete and reinforcement as specified in Section 033000 "Cast-in-Place Concrete."

3.7 ERECTION OF METAL SUPPORTS AND ANCHORAGES

- A. Cut, fit, and place miscellaneous metal supports accurately in location, alignment, and elevation to support and anchor piped utility materials and equipment.
- B. Field Welding: Comply with AWS D1.1/D1.1M.

3.8 GROUTING

- A. Mix and install grout for equipment base bearing surfaces, pump and other equipment base plates, and anchors.
- B. Clean surfaces that will come into contact with grout.
- C. Provide forms as required for placement of grout.
- D. Avoid air entrapment during placement of grout.
- E. Place grout, completely filling equipment bases.
- F. Place grout on concrete bases and provide smooth bearing surface for equipment.
- G. Place grout around anchors.
- H. Cure placed grout.

END OF SECTION 330500