

University of Maine System – Board of Trustees Meeting
April 7-8, 2024
at the University of Maine at Augusta

Sunday, April 7, 2024

Call to Order @ 12:00pm

The Board of Trustees will go directly into Executive Session under provisions: 1 MRSA Section 405 6-A, C, D & E.

Executive Session from 12 pm to 5:00 pm, Thompson Conference Room, 2nd Floor, Randall Student Center.

Reception @ 5:15 pm (Cash Bar) – Balcony, Katz Library
(By Invitation Only)

Dinner @ 6:00 pm – Katz Library
(By Invitation Only)

Monday, April 8, 2024

Breakfast served @ 7:00 am

Coffee & Networking @ 7:00 am

Call to Order @ 8:00 am – Fireside Lounge, Randall Student Center

Citizen Comment

Individuals who wish to participate in Citizen Comment must indicate their name and topic on the signup sheet, which will be available in the meeting room on April 8, 2024 starting at 7:00 am until 8:00am.

The Board of Trustees provides time for citizen comment prior to the business agenda at each meeting. The Chair of the Board will establish time limits (usually three minutes per person) and determine any questions of appropriateness and relevancy. Personnel decisions, collective bargaining issues, grievances, litigation and other areas excludable from public discussion under the Maine Freedom of Access Law shall not constitute appropriate matters for such input. A person who wishes to speak during the citizen comment period should arrive prior to the meeting start time and sign up on a sheet provided, indicating name and topic of remarks.

Approx. 8:05 am

University of Maine at Augusta Discussion – President Jen Cushman

Approx 9:05 am

Chair's Report

- President's Round Robin
- Update on future board meeting structure

Tab 1 – Finalized Board Policies 202-206

Tab 2 – Approval of FY2025-6 Board & Committee Meeting Calendar

Approx 9:35am.

Vice Chancellor for Academic and Student Affairs – presented by Jamie Ballinger

Tab 3- [VCASA Update](#)

Tab 4 – Vote to Approve University of Maine System 2024 Tenure Nominations

Approx. 10:00 am.

Vice Chancellor for Strategic Initiatives’ Report

Tab 5 - [Strategic Initiatives Update / Lewiston-Auburn Campus Update](#)

Tab 6 – Approval of Collective Bargaining Agreement Changes, UMS Fraternal Order of Police Employees (MainePERS)

Approx. 10:30 am

Vice Chancellor for Research and Innovation

Tab 7 - [Vice Chancellor for Research and Innovation Update](#)

Approx. 11:10 am

Vice Chancellor for Finance and Administration & Treasurer’s Report

Tab 8 - [Finance & Administration Update](#)

Approx. 11:30

Action Items

Tab 9- Sale of 85+/- acre parcel of land – Map 2, Lot 7 Harmony, ME

Tab 10 – Board of Agriculture Appointment Confirmation

Tab 11 – UMaine Advanced Manufacturing Center (AMC) Renovations – UMS TRANSFORMS Maine College of Engineering & Computing (MCEC) Student Success Center & Industry 4.0 Renovations

Tab 12 - ASCC Building Addition UM; Green Engineering and Materials (GEM) “Factory of the Future”

Tab 13 – University of Maine (UM) Electrical Infrastructure Upgrade/Renewal

Tab 14- University of Maine Soccer complex/Track and Field complex/Parking Lots & Roadways/ Infrastructure – UMS TRANSFORMS Athletics Field Projects

Tab 15- UM Stewardship/Deferred Maintenance: HVAC Systems Upgrades – Hitchner Hall '87 Wing & Bennett Hall Lecture Wing

Tab 16 - UM Hancock Hall Single-Use Bathroom Renovations

Approx. 12:00 pm

Chancellor’s Report

- Chancellor’s Update

Approx. 12:05 pm

Consent Agenda

Tab 17- Acceptance of Minutes

Tab 18 – University of Maine at Fort Kent Fox Facility Renovation

Tab 19 - Ground Lease Authorization, UMaine, Delta Tau Delta Fraternity

Tab 20 – Confirmation of Student Representative

Date of the Next Meeting:

May 19-20, 2024, meeting will be held at the University of Maine at Fort Kent.

Public Meeting conclude at approximately 12:10 pm.

To go box lunches at end of session

Executive Session – following the public meeting, if needed

Attachments

UMS Managed Investment Pool

UMS Pension Flash Report

UMS Operating Flash Report

Reports

Agenda Calendar

2018 State Bond Project Status Report

UMS Capital Project Status Report

UMS Capital Project Status and 2018 State Bond Project Status Summary

Tabs noted in red text are action items.

Note: Times are estimated based upon the anticipated length for presentations or discussion of a particular topic. An item may be brought up earlier or the order of items changed for effective deliberation of matters before the Board.

Subject: April 2024 Board Meeting Logistics for Trustees

Trustees,

The April 2024 Board meeting will be held in person at the University of Maine at Augusta. Parking is in the Randall Student Center Lot.

Below is an abbreviated schedule for you.

SUNDAY, April 7 AGENDA

There will be a lunch provided for Trustees prior to entering Executive Session.

Thompson Conference Room, 2nd Floor, Randall Student Center.

The start time for Executive Session is 12:00pm.

The estimated end time for Executive Session is 5:00 pm.

Coffee and Refreshments will be provided throughout Executive Session.

The Student Reps will meet on Sunday at noon, in Conference Room 248 - Randall Student Center.

5:15pm – 6:00pm - Reception (Balcony, Katz Library)

6:00pm - Dinner (Katz Library)

If you requested a hotel reservation, your room is reserved at Homewood Suites by Hilton Augusta, 377 Western Ave, Augusta ME 04330. Check in at 3:00pm.

MONDAY, April 8 AGENDA

7:00am – 8:00am - Breakfast & Networking - Fireside Lounge, Randall Student Center

8:00am – 1:00pm - Public Meeting - Fireside Lounge, Randall Student Center

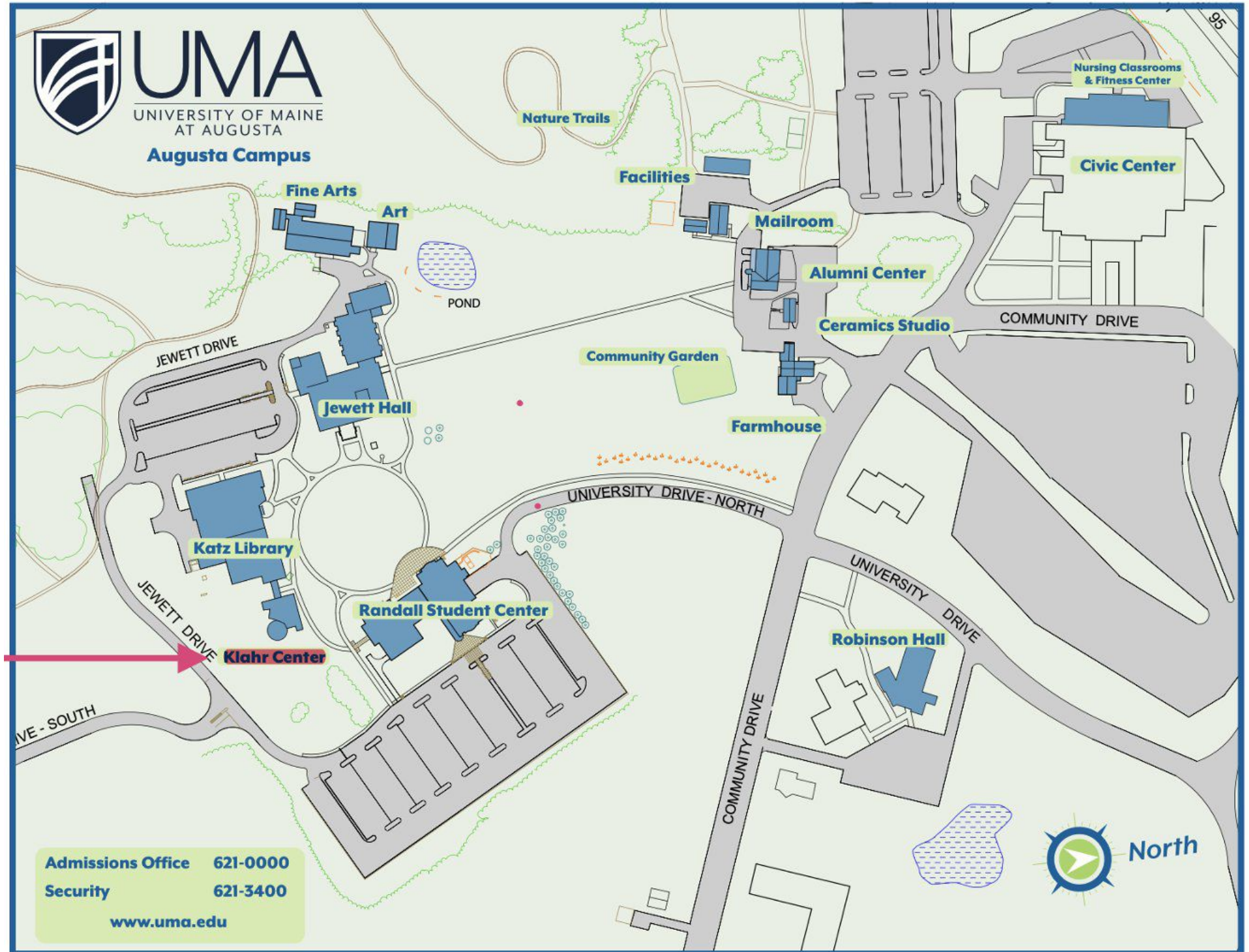
1:00 pm Box Lunches available - Fireside Lounge, Randall Student Center

Executive Session Following the Public Meeting if needed.

Thompson Conference Room, 2nd Floor, Randall Student Center.

Please let us know if you have any questions or need any additional information.

Elizabeth Stickler – Personal – (207) 323-3987 Office (207) 581-5840



University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Proposed Change to Board of Trustee Policies 202 - 206

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY: Sections 202-206

UNIFIED ACCREDITATION CONNECTION: N/A

BACKGROUND:

At the direction of Chair Riley and agreement of the Board at the January 2023 meeting, the Bylaws Working Group has completed its review of the current Bylaws and recommended the changes noted in the redline format included in meeting materials. It has been the intent of the Working Group throughout this process to clarify the current wording of the Bylaws, add necessary details to reflect ongoing Board practice, and to include content in the Bylaws derived from our Charter and other operating principles where it was determined that language was important to include.

These initial provisions and redlined changes were presented as an information item at the January 2024 Board of Trustees Meeting for consideration and further recommendations. The proposed Bylaw changes are being presented at the April 2024 Board of Trustees for final approval.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approve the final version of the edited Board Policies 202 through 206 as initially presented in redlined form at the January 2024 Board Meeting and provided at the April 2024 Board Meeting materials in final form.

Attachments

[Bylaws Working Group Report/Cover Letter](#)
[Board Policy 202 – Appearances Before the Board](#)
[Board Policy 203 – Chancellor Appointment & Responsibilities](#)
[Board Policy 203.1 – Chancellor Evaluation Process](#)
[Board Policy 204 – Presidents – Appointment & Responsibilities](#)
[Board Policy 204.1 – Evaluation Process for Presidents](#)
[Board Policy 205 – Faculty and Student Representatives to the Board](#)
[Board Policy 206 – Conflict of Interest](#)



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The University of Maine

University of Maine
at Augusta

University of Maine
at Farmington

University of Maine
at Fort Kent

University of Maine
at Machias

University of Maine
at Presque Isle

University of
Southern Maine

University of Maine
School of Law

March 1, 2024

TO: UMS Board of Trustees

FM: Report and Recommendations from the Policy Review Subgroup: Second Reading of Policy Amendments

Your Policy Review Subgroup, composed of myself, Trustee Lisa Marchese Eames, Trustee Patrick Flood, and with the assistance of the Board Clerks Kayla Flewelling and Elizabeth Stickler and General Counsel Paul Chan, has reviewed the comments received at the previous Board of Trustees meeting on January 28-29, 2024 and propose that the following changes to the Board Policies be adopted in the Second Reading at the forthcoming meeting on April 7-8, 2024:

Section 202: Appearances before the Board of Trustees

RESPONSE TO COMMENTS: Several Trustees suggested that the Board consider the option of allowing public input via Zoom when the Board is meeting in person. Our Policy Review Subgroup does not recommend that we adopt any change in our current Board policy at this time due to the significant potential impact this might have in our ability to conduct the mandatory Board business as well as the potential to take away the time from the local in-person campus input that we schedule as part of our meetings. We also discussed the potential for more informal opportunities for Board engagement with the public and our various constituencies with publicizing visits by Trustees and holding an occasional “open house” opportunity at a campus in the future. However, those potential ideas are not necessary to be reflected in our Board policies.

Section 203: Chancellor Appointment and Responsibilities

RESPONSE: We corrected a minor error in the seventh bullet.

Section 203-1: Chancellor Evaluation Process

RESPONSE: In response to Comments, we expanded the authority of the Board Chair with respect to the Trustee participation in the Review Committee and we added the word “scope” in addition to the process of the Chancellor Review Process in the first paragraph. We do not view these changes as have any substantive impact on the current review process.

Section 204: Presidents—Appointment and Responsibilities

RESPONSE: In response to Comments, we revised the obligation of the Presidents to prioritize all their resources, including Staff, to meet their obligations under the approved budgets and the Strategic Plan.

Section 204-1: Evaluation Process Presidents

No changes.

Section 205: Faculty and Students Representatives to the Board

No changes.

Section 206: Board of Trustees—Ethics Code and Conflict of Interest

No changes.

We welcome your consideration for final adoption at the April Board meeting. We will continue our review of Board policies in the coming weeks.

Sincerely,

Barbara Alexander
Member, Board of Trustees

UNIVERSITY OF MAINE SYSTEM

Policy Manual

PROPOSED REDLINE CHANGES FOR ADOPTION AT THE APRIL, 2024 MEETING OF THE BOARD OF TRUSTEES

GOVERNANCE AND LEGAL AFFAIRS

Section 202 Appearances Before Board of Trustees

Effective: 6/10/71

Last Revised: 7/22/91

Responsible Office: Clerk of the Board

Policy Statement:

The Board of Trustees recognizes a responsibility and duty to hear the views of interested persons on issues directly related to University System policy and ongoing efforts of the University of Maine System. Each regular meeting of the Board will include an opportunity, prior to the business agenda, for public citizen input at which time individuals citizens will be permitted to address the Board for a period of time established by the Chair.

Personnel decisions, collective bargaining issues, grievances, litigation, and other areas excludable from public discussion under the Maine Freedom of Access Law shall not constitute appropriate matters for such input. The Chair of the Board will determine any questions of appropriateness and relevancy.

Procedures:

For in person regularly scheduled Board meetings, ~~Individuals wanting to speak during CitizenPublic~~ Comment must be physically present at the meeting and ~~make a presentation shall~~ indicate their name and topic on the sign-up sheet at the Board Office table prior to the start of the meeting. The sign-up sheet will be available ~~25 minutes~~ prior to the start of the meeting. Individuals who are not available to attend the meeting can provide a statement in writing to the Board Office ~~two days prior to the meeting date~~ prior to the scheduled meeting date. The written statements will be provided to the members of the Board.

For remote meetings, the Board Office will enable multiple resources to allow ~~individuals citizens~~ to indicate their desire to participate in ~~Public Citizens~~ Comment. Individuals wanting to speak during a virtual ~~Public Citizen~~ Comment must notify the Board Office in writing (email to ums.trustees@maine.edu) ~~by 4 pm the Wednesday~~ prior to the meeting date with their name and topic. An online registration link to sign up for ~~Public Citizens~~ Comment for virtual meetings will be placed on the Trustee website. This link will allow the individual to fill out an online form that will be submitted directly to the Board Office. The Board Office will ensure appropriate follow-up and communications to all who sign up occurs prior to the start of the meeting. The Board Office will supply those registered individuals with the appropriate zoom link and will be ~~During the remote meeting, the individuals who have indicated they wish to speak during Citizen Comment will be provided the zoom link and~~ admitted to the public meeting when they are called on by the Chair to speak. Each individual is given three minutes to

provide their comments, and upon completion of their time will be asked to leave the zoom meeting and will be invited to view the remainder of the Board Meeting through the live stream made available to the general public, on YouTube. They will be removed from the zoom meeting following their remarks. Individuals who are not available to attend the meeting can provide a statement in writing to the Board Office two days prior to the meeting date. The written statements will be provided to the members of the Board with appropriate follow up by the Board Office if needed.

~~submit a written request to the Clerk of the Board prior to the time of the scheduled meeting or shall sign an appearance sheet prior to the commencement of the scheduled public meeting. This request shall a) identify the individual making the presentation and b) specify the subject matter to be addressed.~~

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PROPOSED REDLINE VERSION FOR ADOPTION AT THE APRIL 2024 BOARD MEETING

GOVERNANCE AND LEGAL AFFAIRS

Section 203 Chancellor - Appointment and Responsibilities

Effective: 2/82

Last Revised: 7/17/89, 1/28/19

Responsible Office: Clerk of the Board

Policy Statement:

The Chancellor of the University of Maine System is appointed by the Board of Trustees and serves at its pleasure as the chief executive and education officer of the University System. The Chancellor is accountable to the Board for making certain that the System achieves its mission and operates to serve students and the state of Maine in accordance with Board-established directions, Maine Statutes, and the policies and procedures of the University of Maine System.

The Chancellor is responsible for:

- Governance and administration of the entire University System, including oversight of shared services provided to the entire university system, legal affairs and identification and management of risk.
- Implementation of Board priorities and objectives, policy and procedures, [the System Strategic Plan](#) and other such duties as the Board may delegate or assign.
- Providing the Board with professional, strategic judgments on all matters affecting the System and the ~~universities;~~[universities.](#)
- System planning, in conjunction with campus Presidents and major system staff, for academic affairs, student affairs, research and public service programs, financial operations, human resources, labor relations, capital plans, and resource utilization.
- Preparation of all operating, capital, and auxiliary enterprise budgets, appropriation requests, bond issues, and statutory changes.
- Presentation of appropriation requests, bond issues, and statutory changes to executive or legislative branches of Maine government in accordance with the provisions of the Maine statutes.
- Nomination of persons [for approval by the Board](#) to head the campuses [and other System leadership whom, by nature of their work and contract, also serve at the pleasure of the Board, brought forth by a search committee where applicable or appropriate, and other System major staff for appointment by the Board of Trustees to include other System leadership whom, by nature of their work and contract, also serve at the pleasure of the Board.](#)

- Lead and implement; ~~and for implementation of~~ professional development programs and performance review of the presidents and major System staff;.
- Seeking consultation and advice from the Presidents, both collectively and individually, on matters pertaining to the System and, as appropriate, to each campus.
- Development of an effective statewide communications, public relations and legislative program.
- Providing leadership in the relationships of the University of Maine System with the Maine Community College System, pre-K to 12 education, the New England Commission of Higher Education, and the employer community;.
- Serving as a leading spokesperson for higher education in Maine.

UNIVERSITY OF MAINE SYSTEM

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REDLINE VERSION FOR ADOPTION AT THE APRIL 2024 MEETING OF THE BOARD OF TRUSTEES

GOVERNANCE AND LEGAL AFFAIRS

Section 203.1 Chancellor - Evaluation Process

Effective: 2/82

Last Revised: 7/17/89; 7/12/10,

Responsible Office: Clerk of the Board

Policy Statement:

The Board of Trustees shall conduct an annual review of the Chancellor's performance to be completed in May. In the third year of the Chancellor's service ~~and every four years thereafter~~ or at the discretion of the Chair of the Board, the review will be a comprehensive review as described below. The Board may modify the timing and scope of an annual or comprehensive review as needed to meet needs of the System, with notice to the Chancellor. More frequent reviews may also be conducted at the discretion of the Board.

In years in which there is not a comprehensive review of the Chancellor, the Board Chair, the Vice Chair, Chair of the Human Resources and Labor Relations Committees, ~~and one up to two other committee members~~ selected by the Board Chair will comprise a Review Group, chaired by the Board of Trustees Chair, to conduct the review of the Chancellor's performance. ~~The Chancellor will submit a self assessment, together with a statement of proposed goals for the coming fiscal year, in March, which the Chair will distribute to all Board members along with a request for written input on the Chancellor's performance. Utilizing the Chancellor's self assessment and the input from the Board members, the Review Group will meet with the Chancellor, to discuss both performance strengths and weaknesses and to finalize the Chancellor's goals for the coming year. Following the meeting, the Chair will prepare a letter to the Chancellor summarizing the performance review and:~~ Before the Board acts on extending the Chancellor's contract, the Review Group will report to the Board on the outcome of the review, and review and will recommend to the Board any adjustments to the Chancellor's compensation for the coming year ~~and whether to extend the Chancellor's contract.~~

The Comprehensive Review in the third year of service, ~~and every four years thereafter~~ or at the discretion of the Chair of the Board, will be conducted according to the following guidelines:

1. The Review Group will establish a specific schedule, beginning in January, and time frame for the completion of the tasks necessary for the process.
2. The Review Group will select an external reviewer to conduct the comprehensive review and to report to the Review Group.
3. The external reviewer will work with the Review Group to establish the review process, develop criteria for assessing the Chancellor's performance and leadership, develop assessment tools, determine the parties to be consulted, and identify all issues and areas to be

considered.

4. The Comprehensive Review will include at a minimum:

- a. A self-assessment statement prepared by the Chancellor addressing the areas and issues determined by the Review Group and external reviewer, and
- b. Interviews with, or other means of obtaining feedback from, all Board members, Presidents, senior staff, and any other parties selected by the reviewer and Review Group.

5. The external reviewer will meet with the Review Group to review the findings of the review process, following which the Review Group and the reviewer will meet with the Board.

6. The external reviewer will prepare a draft report. The external reviewer and the Review Group will then meet with the Chancellor to discuss the draft report.

7. The external reviewer will finalize the report and forward it to the Review Group and the Chancellor, who will discuss the final report. Following any further revisions by the outside reviewer which may be requested by the Review Group, the Review Group will approve the final report and will forward the final report to the Board.

8. . Before the Board acts on extending the Chancellor's contract, the Review Group will report to the Board on the outcome of the review and will recommend to the Board any adjustments to the Chancellor's compensation for the coming year and whether to extend the Chancellor's contact.

7.

UNIVERSITY OF MAINE SYSTEM

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PROPOSED REDLINE VERSION FOR ADOPTION AT APRIL 2024 BOARD MEETING

GOVERNANCE AND LEGAL AFFAIRS

Section 204 President - Appointment and Responsibilities

Effective: 1/23/89 -----

Last Revised: 1/27/14

Responsible Office: Clerk of the Board

Policy Statement:

Presidents of the University System shall be elected by the Board on the nomination of the Chancellor and shall serve at the pleasure of the Board. The President is the chief administrative and educational officer of the respective institution and is responsible for all aspects of operations within the approved mission, and policies and procedures set forth by the Chancellor and the Board of Trustees. The President reports directly to the Chancellor.

The President is responsible for:

1. 1. the implementation of plans, policies, and directives from the Chancellor as approved by the Board of Trustees. ~~and the Chancellor~~ and the Chancellor.
2. active participation in strategic leadership of the University of Maine System through leadership of System-wide initiatives, promoting collaborative efforts at all levels among UMS universities, participation in Presidents Council, engagement in System-wide planning, and participation in Board of Trustees meetings, strategic planning, and other activities. ~~;~~
3. the establishment of an effective communications link between the President and the Chancellor and between the President and all of the constituencies at the institutional level: faculty, students, staff, alumni, and community partners. ~~;~~
4. the academic leadership of the institution through established planning processes and prioritizing of goals and objectives, promotion of academic excellence, development and motivation of faculty and staff to accomplish the University and System missions, and promotion of innovative, collaborative and efficient use of resources to meet the needs of students and the State. ~~;~~
5. the development and administration of the institution's operational and auxiliary enterprise budgets as approved by the Chancellor and Board of Trustees, including establishment of priorities for expenditures and achievement of revenue and expense projections as set forth in the approved budgets. ~~;~~

6. the development, maintenance and operation of the physical plant and the development of long-range capital facilities priorities in accordance with the mission and guidelines set forth by the Board of Trustees.;
7. the administration of all programs affecting student life and promotion of the learning environment for the welfare of the student body.;
8. the development of an effective public service program for both the internal and external communities.;
9. the development of an effective and coordinated marketing, fundraising, and community relations program, including the Board of Visitors.;
10. identification, assessment and management of risks and ensuring regulatory compliance.;
11. establishing the means to assess the effectiveness of the institution;institution.
12. assuring that the institution prioritize employs resources -staff sufficient in role, number and qualifications appropriate to the institution to achieve the university's approved budget, mission and System Strategic Plan;
13. consultation with faculty, students, other administrators and staff in accordance with established institutional mechanisms.

13.

UNIVERSITY OF MAINE SYSTEM

Policy Manual

PROPOSED REDLINE VERSION FOR JANUARY 2024 BOARD MEETING

GOVERNANCE AND LEGAL AFFAIRS

Section 204.1 Presidents - Evaluation Process

Effective: 1/23/89

Last Revised: 11/30/95; 6/30/98; 7/12/2010

Responsible Office: Clerk of the Board

Policy Statement:

The Chancellor shall conduct an annual review of each President's performance to be completed in May. In the third year of the President's service ~~and every four years thereafter or at the discretion of the Chancellor~~, the review will be a comprehensive review as described below. The Chancellor may modify the timing of an annual or comprehensive review as needed to meet needs of the System, with notice to the President.

In years in which there is not a comprehensive review of the President, the President will submit a self assessment, together with a statement of proposed goals for the coming fiscal year, in March. Utilizing the President's self assessment, the Chancellor will meet with the President, to discuss both performance strengths and weaknesses and to finalize the President's goals for the coming year. Following the meeting, the Chancellor will prepare a letter to the President summarizing the performance review. ~~Before the Chancellor acts on extending the President's contract, the Chancellor will report to the Board on the outcome of the review and will recommend to the Board any adjustment to the President's compensation for the coming year and whether to extend the President's contract.~~

The Comprehensive Review in the third year of service ~~and every four years thereafter~~, or at the discretion of the Chancellor, will be conducted according to the following guidelines:

1. The Chancellor will confer with the President and will establish a specific schedule, beginning in October, and time frame for the completion of the tasks necessary for the process.
2. The Chancellor ~~will~~ **may** select an external reviewer to conduct the comprehensive review and to report to the Chancellor.
3. The ~~external reviewer~~ **Chancellor** will ~~work with the Chancellor to~~ establish the review process, develop criteria for assessing the President's performance and leadership, develop assessment tools, determine the parties to be consulted, and identify all issues and areas to be considered.
4. The Comprehensive Review will include at a minimum:
 - a. A self-assessment statement prepared by the President addressing the areas and issues determined by the Chancellor and external reviewer **(if applicable)**, and
 - b. Interviews with, or other means of obtaining feedback from, all Board members, representatives of faculty, students and staff, Board of Visitors, and any other parties selected by the reviewer and Chancellor.

5. The ~~external reviewer will meet with the~~ Chancellor, ~~and the external reviewer (if applicable)~~, to review the findings of the review process.
6. Under the Chancellor's direction, based on the self -assessment, interviews and Chancellor's assessment, a draft report will be prepared and shared with the President.
7. Under the Chancellor's direction, a final report will be prepared and forwarded to the Board.
8. Before the Chancellor acts on extending the President's contract, the Chancellor will report to the Board on the outcome of the review, and will recommend to the Board any adjustment to the President's compensation for the coming year and whether to extend the President's contract.

UNIVERSITY OF MAINE SYSTEM

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REDLINE VERSION FOR ADOPTION AT THE APRIL 2024 MEETING OF THE BOARD OF TRUSTEES

GOVERNANCE AND LEGAL AFFAIRS

Section 205 Faculty and Student Representation to Board of Trustees

Effective: 11/18/71

Last Revised: 07/10/06; 1/11/10; 7/15/13; 9/28/2020,

Responsible Office: Clerk of the Board

Policy Statement:

The Trustees endorse the concept of faculty and student access to the University System decision-making process to provide advice and opinions on matters of common interest, and to enhance communication and sense of community within the University of Maine System.

The implementation of Private and Special Law 2023, chapter 14 sets forth the policy governing the process for recommending the appointment of student trustees to the Board of Trustees and supersedes any conflicting requirements of this Policy.

To create the environment for interaction among and between faculty and student representatives, the Trustees and System administration, the Trustees will provide opportunities for participation in the meetings of the committees of the Board.

One faculty member from each of the universities and Law School, one undergraduate student from each of the universities, and one graduate student each from the University of Southern Maine, the University of Maine, and the Law School will be appointed by the Board as non-voting representatives to the Board of Trustees and invited to participate as non-voting members on the Academic & Student Affairs Committee and the Finance/Facilities & Technology Committee.

The faculty and student governing bodies at each university are charged to develop procedures through the institution's formal committee selection processes for the election of one member each as representatives to the Board of Trustees. Normally, the representative is expected to complete a two year term; therefore, it is an expectation that the minimum term of service by both faculty and student representatives to the Board be two years. The nominations will be forwarded through the Presidents to the Chancellor for submission to the Board for Trustee approval.

Related Documents:

Administrative Guidelines for Faculty and Student Representatives

Shared Governance Statement

Duties of the UMS Student Representatives to the Board of Trustees

UNIVERSITY OF MAINE SYSTEM
Board of Trustees

**ADMINISTRATIVE GUIDELINES
FOR FACULTY AND STUDENT REPRESENTATIVES**

1. Appointment to Committees

Faculty and student representatives are responsible for submitting nominations to the Clerk of the Board for appointment to standing committees by August 15 of each academic year.

Faculty and student representatives will be appointed, as appropriate, by the Chair of the Board to Trustee ad hoc Committees.

2. Attendance at Committee Meetings

Faculty and student representatives are encouraged to attend all meetings of their committees and will be notified of the time and place of the meetings by the Clerk of the Board. Committee meetings, except for discussion of issues which are covered by law, are open to the public.

Faculty and student representatives will be provided an opportunity to meet in their groups in conjunction with the regularly scheduled Board meetings.

3. Attendance at Board of Trustees Meetings

Faculty and student representatives are encouraged to attend all public meetings of the Board of Trustees. Participation in Board meetings is limited to Board members and the Chancellor. Other persons, including faculty and student representatives, are occasionally invited by the Trustees or the Chancellor to make comments pertinent to the subject under discussion. Faculty and student representatives are urged to make their input within the committees to the end that committee recommendations are properly reflective of their viewpoints.

Executive sessions of the Board of Trustees and its Committees are not open to faculty and student representatives.

4. Reimbursement for Travel Expenses

Faculty and student representatives are entitled to reimbursement for in-state travel expenses incurred in fulfilling their obligations as a representative. If a representative needs to travel from out-of-state, he/she must confer with the Board Office prior to the travel date to see if the travel is reimbursed. Attendance by technology is encouraged as an alternative to out of state travel. Travel vouchers and information on travel arrangements and policies may be obtained from the Board Office. All travel vouchers are to be submitted to the Board Office.

5. Procedures for Access to the Board Agenda

In order to provide increased opportunities for more effective linkages between student and faculty thinking and Board action, the Chancellor will schedule periodic meetings with the representatives for discussion of mutual issues and concerns.

All formal recommendations regarding campus-level affairs made to the Board of Trustees must be through the campus President to the Chancellor, and through the Chancellor to the Board of Trustees. Recommendations either system-wide in nature or affecting more than one campus must be made through the Chancellor for Board consideration.

July 2014

University of Maine System

Board of Trustees

Statement on Shared Governance

The University of Maine System is a public body created by charter and state statutes to carry out responsibilities on behalf of the citizens of Maine. Authority to carry out these responsibilities is vested in the Board of Trustees, appointed by the Governor and confirmed by the Legislature. The following statement on Shared Governance expresses the System's commitment to fostering an atmosphere of trust, communication, and participation. The statement, however, is in no way intended to jeopardize, modify or minimize the authority of the Board of Trustees assigned by the State of Maine.

Shared governance relates to collaboration in specific areas where the mission of a University is strengthened by the joint participation of administrators and faculty members. Shared governance does not mean everything has to be done by joint efforts or by delegating decision making to faculty members; rather, it is an approach whereby the talents and collective intelligence of the university community are used to make effective and efficient decisions in specific areas.

The Board of Trustees affirms its support of governance systems and processes that are characterized by collaboration between the Board, the administration, faculty, students and staff in communication and decision making. Collaboration benefits the quality of education by:

Creating an atmosphere that fosters trust: Effective decision-making depends on accountability and the development of trust among the parties. This trust then provides the foundation for effective activities and efficient use of participants' time and reflects the collective knowledge of both faculty members and administrators.

Enhancing communication and participation: Effective communication is essential for successful shared governance. With respect to major decisions that could affect the educational process, such as budgeting, communication and program changes, input from all involved groups should be sought early in the process and final decisions should be communicated to all parties. Channels for communication should be widely known and participation encouraged.

Encouraging participation and efficiency: Those involved in shared governance need to be sufficiently informed to participate effectively. Efficiency in implementing decisions is the result of clearly defined roles and willing participation or understanding among those affected. Suitable resources and support must be made available for effective and efficient implementation of collaborative decisions.

Through governance bodies established at the university level, and through the roles of faculty and student representatives to the Board of Trustees, the University of Maine System Board of Trustees strives to strengthen communication and participation of faculty, students and staff.

At the university level, there are three major areas in which shared governance plays a role, as described below:

1. Academic policies.
2. Peer Review and Academic Administrative Selection processes
3. Budget and Strategic Planning

Faculty have a critical role in fundamental areas such as curriculum, instruction, research and student life. Faculty engagement in these areas is important to assure the competence and quality of university graduates.

Faculty participate in the selection and review of their peers, including recommendations for appointment, reappointment, promotion and tenure, in accordance with the boundaries of the collective bargaining agreement. Faculty also participate in the selection process for academic administrators.

In major decisions regarding the direction of the university, such as mission, strategic plans and budgets, it is desirable that input be sought from all involved groups early in the process and that final decisions be communicated to all parties. Channels for communication, consultation and information dissemination should be widely known and documented. Faculty participation in discussion of these topics should be encouraged.

Policy

Each university is expected to have in place a policy that clearly outlines how collaborative discussion of critical academic issues occurs at the university. This policy will be consistent with the guidelines in this document and in most cases will be embodied in the by-laws of the faculty governance body.

Approved by the UMS Board of Trustees on March 23, 2007.



Duties of UMS Student Representative to the Board of Trustees

Goals of defining duties:

- a) Improve efficacy of Student Representatives in conjunction with the Board Policy Manual (Governance and Legal Affairs, Section 205, Faculty and Student Representation to Board)
- b) To ensure Student Representatives are meeting Board expectations

Outline of Duties

It shall be the responsibility of the Student Representative to:

- Attend bimonthly UMS Board of Trustees meetings
- Be familiar with the nature, needs, and concerns of their student body
- Be familiar with the nature and process of decision making within the System
- Act as a liaison between the Board and their respective Student Government
- Advocate in the best interest of the students who are enrolled at their respective campus
- Provide reports of Board meetings to their respective student government
- Serve as a non-voting member of the committee to which they have been appointed
- Take notes during respective committee meetings and forwarding to appropriate contacts
- Communicate between Board meetings with other student representatives
- Serve as an advocate for the University of Maine System
- Serve as a meeting facilitator on a rotating basis with other student representatives
- Meet any additional expectations of their respective student government

UNIVERSITY OF MAINE SYSTEM

Policy Manual

REDLINE VERSION FOR ADOPTION AT THE APRIL 2024 MEETING OF THE BOARD OF TRUSTEES

GOVERNANCE AND LEGAL AFFAIRS

Section 206 Board of Trustees: Ethics Code and Conflict of Interest

Effective: 4/24/74

Last Revised: 11/6/95; 7/13/09; 11/20/17

Responsible Office: Clerk of the Board

Policy Statement:

A Trustee ~~has is in potential~~ a conflict of interest when an action by the University of Maine System, whether isolated, recurring or continuous, is to the financial or other advantage of the Trustee, the Trustee's employer or client, the Trustee's spouse, parent, or child, ~~to a degree greater than to the Maine citizenry taken on the whole.~~

A Trustee who is an attorney or a firm or partnership of which the Trustee is a part may not represent or provide legal advice or services to the University of Maine System or knowingly represent clients in litigation or other contested matters which involves the assertion of any claim against the University of Maine System or which is adverse to its interests or are otherwise prohibited by the Maine Rules of Professional Conduct.

Except to fill a temporary vacancy in a position on an interim basis, a Trustee may not seek or hold a position in the University of Maine System prior to one year following the end of their service as a member of the Board. This prohibition does not apply to the Student Trustee or the Commissioner of Education serving in an ex officio capacity, provided however that those Trustees remain otherwise subject to the Board's conflict of interest policies and requirements during their service as Board members.

In order to implement the requirements of Private and Special Law 2023, chapter 14, a Student Trustee Members of the Board may be accorded a liberal interpretation of applicable conflict of interest statutes and policies so as to ensure their participation in the conduct of the Board's business to the greatest extent possible —in recognition that their primary role is as students, regardless of status as student employees.

Procedures:

1. Each Trustee is responsible for identifying and notifying the Chair of a conflict of interest. A Trustee may consult with the System's General Counsel to determine whether a conflict exists in any situation. When a conflict exists, the Trustee shall ~~When there is a potential conflict of interest, the Trustee upon becoming aware of a conflict shall~~ declare such conflict at the earliest opportunity and either eliminate the conflict or refrain from debate and discussion at Board Committee meetings or Board meetings unless invited to participate

by a majority vote of the Board Committee or by the Board. Further, the Trustee shall register an abstention when a vote is taken on the matter by the Board or Board Committee.

2. Each Trustee, upon appointment to the Board, and, as a minimum, for each Annual Meeting thereafter, shall file a Financial Disclosure Form (copy of which may be obtained from the Clerk's Office) with the Clerk of the Board. Such a form shall include, when completed, a listing of all:
 - a. continuous conflicts of interest known to the Trustee.
 - b. real and personal property owned by the Trustee, the Trustee's spouse, or minor child with a value of one hundred thousand dollars (\$100,000) or more. The homestead, household furnishings, personal effects, life insurance, and bank deposits may be excluded, and no monetary valuations need be included.
 - c. personal liabilities of fifty thousand dollars (\$50,000) or more, excluding loans secured by the homestead and by life insurance.
 - d. positions of Trustees and spouses as officers, directors, partners or employees of any non-profit or ~~profit making~~ profit-making corporation, partnership, labor union, or association, together with an indication with respect to each relationship whether the service is gratuitous or compensated.
3. The Financial Disclosure Form shall be available for public inspection in the Office of the Clerk of the Board.
4. Alleged violations of this policy, from whatever source presented, shall be received by the Clerk of the Board. The Chair, or the Vice Chair if the Chair is involved, shall appoint a ~~three person~~ three-person ad hoc committee to investigate the allegations and report its findings and recommendations to the Board of its determination.

~~NOTE TO SUBGROUP: IS IT NECESSARY THAT WE INCLUDE THE FORM IN OUR POLICY BOOK? SHOULD BE A NEW CONFLICT OF INTEREST FORM WILL BE DEVELOPED BY THE SYSTEM OFFICE WITH APPROVAL OF THE BOARD AND ROUTINELY REVIEWED. THE NEW FORM WILL NOT BE INCLUDED IN THE FINAL POLICY.~~

~~UNIVERSITY OF MAINE SYSTEM~~~~Board of Trustees~~~~FINANCIAL CODE OF ETHICS (FCOE)~~~~Disclosure Form~~~~NAME:~~~~DATE:~~~~OCCUPATION:~~~~TERM OF OFFICE:~~~~See attached Code before completing.~~~~A. Continuous or known as forthcoming during the current fiscal year conflicts of interest (see 1st paragraph and 2.a FCOE)~~~~1.~~~~2.~~~~3.~~~~B. Real and Personal Property valued in excess of \$100,000. (No dollar amounts needed - paragraph 2.b FCOE)~~~~Exclude homestead, household furnishings, personal effects, life insurance and bank deposits. Indicate self, spouse or minor child as owner.~~~~Indicate self, spouse~~~~or minor child~~~~1.~~~~2.~~~~3.~~~~4.~~

~~5.~~~~6.~~~~7.~~~~8.~~~~9.~~~~10.~~~~C. Personal Liabilities of \$50,000 or more. (No dollar amounts needed paragraph 2.c FCOE)~~~~Exclude loans secured by homestead or life insurance. Indicate self, spouse or minor child as debtor.~~~~_____ Indicate self, spouse~~~~_____ or minor child~~~~1.~~~~2.~~~~3.~~~~4.~~~~5.~~~~D. Positions Officer, director, partner, employee. Please indicate if nature of service is gratuitous or compensated. Please indicate if it is spouse's position. (paragraph 2.d FCOE)~~~~_____ Gratuitous or _____ Self or~~~~_____ compensated _____ spouse~~

~~1.~~

~~2.~~

~~3.~~

~~4.~~

~~5.~~

~~6.~~

~~7.~~

~~8.~~

~~9.~~

~~10.~~

~~Append additional page if necessary for any of the four disclosures.~~

~~I hereby agree to report any further situation that may develop before completion of my next annual disclosure form.~~

Signature

Date

~~FCOE Form~~
~~11/20/17~~

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Approval of the Board of Trustees Meeting Calendar for FY2025 & FY2026

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

In accordance with the University System's Charter and the Board's By-laws, the proposed calendar is submitted for approval. In order to allow as much flexibility as possible in planning schedules, the proposed calendar has been developed on a 2-year cycle based on the fiscal year (July to June). The only change to FY25 is the location of the November 17-18 meeting from UMA to UM.

Fiscal Year 2025

July 14-15, 2024 – UM
September 15-16, 2024 – UMF
October 6-7, 2024 -Board Retreat
October 25, 2024 – Special BOT Meeting
November 17-18, 2024 – UM
January 12-13, 2025 – USM
March 9-10, 2025 – UM
May 18-19, 2025 – UMPI

Fiscal Year 2026

July 14, 2025 – UM
September 14-15, 2025 - TBA
October 5-6, 2025 – Board Retreat
October 27, 2025 – Special BOT Meeting
November 16-17, 2025 - TBA
January 25-26, 2026 - TBA
March 15-16, 2026 - TBA
May 17-18, 2026 - TBA

The Board of Trustees Office in consultation with the Chancellor and the Board Chair can modify the Board meeting calendar as necessary to accommodate the needs of the Board. Several of the Board meeting locations for FY2026 are “to be announced” (TBA) and will be formulated based on the need to constrain costs and the desire for campuses to host Board meetings.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the Board of Trustees meeting calendar for FY2025 & FY2026, as presented.

Attachments

[FY2025 BOT & Committee Meeting Schedule](#)
[FY2026 BOT & Committee Meeting Schedule](#)

FY2025 Board of Trustees & Committee Meeting Schedule

JULY 2024

Meeting	Date	Time	Location	Materials	Release	Notes
BOT	7/14/2024	Refer to Agenda	UM	6/28/2024	7/5/2024	
BOT	7/15/2024	Refer to Agenda	UM	6/28/2024	7/5/2024	Virtual Meeting

AUGUST 2024

Meeting	Date	Time	Location	Materials	Release	Notes
ASA	8/26/2024	9:00 am – 12:00 pm	Zoom	8/15/2024	8/16/2024	
HR	8/26/2024	1:00 pm – 3:00 pm	Zoom	8/15/2024	8/16/2024	
FFT	8/28/2024	9:00 am – 12:00 pm	Zoom	8/16/2024	8/19/2024	
SP	8/29/2024	9:00 am – 10:30 am	Zoom	8/16/2024	8/16/2024	

SEPTEMBER 2024

Meeting	Date	Time	Location	Materials	Release	Notes
BOT	9/15/2024	Refer to Agenda	UMF	8/30/2024	9/5/2024	
BOT	9/16/2024	Refer to Agenda	UMF	8/30/2024	9/5/2024	
INV	9/26/2024	9:00 am – 12:00 pm	Zoom	9/12/2024	9/13/2024	

OCTOBER 2024

Meeting	Date	Time	Location	Materials	Release	Notes
Board Retreat	10/06/2024	Refer to Agenda	TBD			
Board Retreat	10/07/2024	Refer to Agenda	TBD			
AUD	10/25/2024	9:00 am – 11:30 am	Zoom	10/11/2024	10/15/2024	
SBOT	10/25/2024	12:00 pm – 1:00 pm	Zoom	10/11/2024	10/15/2025	
ASA	10/28/2024	9:00 am – 12:00 pm	Zoom	10/17/2024	10/18/2024	
HR	10/28/2024	1:00 pm – 3:00 pm	Zoom	10/17/2024	10/18/2024	
FFT	10/30/2024	9:00 am – 12:00 pm	Zoom	10/18/2024	10/21/2024	
SP	10/31/2024	9:00 am – 10:30 am	Zoom	10/18/2024	10/21/2024	

FY2025 Board of Trustees & Committee Meeting Schedule

NOVEMBER 2024

Meeting	Date	Time	Location	Materials	Release	Notes
BOT	11/17/2024	Refer to Agenda	UM	11/1/2024	11/7/2024	
BOT	11/18/2024	Refer to Agenda	UM	11/1/2024	11/7/2024	

DECEMBER 2024

Meeting	Date	Time	Location	Materials	Release	Notes
INV	12/12/2024	9:00 am – 12:00 pm	Zoom	11/27/2024	12/2/2024	
ASA	12/16/2024	9:00 am – 12:00 pm	Zoom	12/5/2024	12/6/2024	
HR	12/16/2024	1:00 pm – 3:00 pm	Zoom	12/5/2024	12/6/2024	
FFT	12/18/2024	9:00 am – 12:00 pm	Zoom	12/6/2024	12/9/2024	
SP	12/19/2024	9:00 am – 10:30 am	Zoom	12/6/2024	12/9/2024	

JANUARY 2025

Meeting	Date	Time	Location	Materials	Release	Notes
BOT	1/12/2025	Refer to Agenda	USM	12/27/2025	1/2/2025	
BOT	1/13/2025	Refer to Agenda	USM	12/27/2025	1/2/2025	

FEBRUARY 2025

Meeting	Date	Time	Location	Materials	Release	Notes
INV	2/27/2025	9:00 am – 12:00 pm	Zoom	2/14/2025	2/18/2025	
ASA	2/10/2025	9:00 am – 1:45 pm	Zoom	1/30/2025	1/31/2025	
HR	2/10/2025	2:00 pm – 4:00 pm	Zoom	1/30/2025	1/31/2025	
FFT	2/12/2025	9:00 am – 12:00 pm	Zoom	2/3/2025	2/4/2025	
SP	2/20/2025	9:00 am – 10:30 am	Zoom	2/7/2025	2/10/2025	

MARCH 2025

Meeting	Date	Time	Location	Materials	Release	Notes
BOT	3/9/2025	Refer to Agenda	UM	2/20/2025	2/27/2025	
BOT	3/9/2025	Refer to Agenda	UM	2/20/2025	2/27/2025	
FFT	3/19/2025	9:00 am – 5:00 pm	Zoom	3/5/2025	3/7/2025	

FY2025 Board of Trustees & Committee Meeting Schedule

APRIL 2025

Meeting	Date	Time	Location	Materials	Release	Notes
FFT	4/16/2025	9:00 am – 12:00 pm	Zoom	4/3/2025	4/4/2025	
ASA	4/28/2025	9:00 am – 12:00 pm	Zoom	4/17/2025	4/18/2025	
HR	4/28/2025	1:00 pm – 3:00 pm	Zoom	4/17/2025	4/18/2025	
FFT	4/30/2025	9:00 am – 12:00 pm	Zoom	4/21/2025	4/22/2025	

MAY 2025

Meeting	Date	Time	Location	Materials	Release	Notes
SP	5/1/2025	9:00 am – 10:30 am	Zoom	4/21/2025	4/22/2025	
INV	5/15/2025	9:00 am – 12:00 pm	Zoom	5/5/2025	5/6/2025	
AUD	5/15/2025	12:30 pm – 3:30 pm	Zoom	5/5/2025	5/6/2025	
BOT	5/18/2025	Refer to Agenda	UMPI	5/1/2025	5/8/2025	
BOT	5/19/2025	Refer to Agenda	UMPI	5/1/2025	5/8/2025	

JUNE 2025

Meeting	Date	Time	Location	Materials	Release	Notes
ASA	6/23/2025	9:00 am – 12:00 pm	Zoom	6/12/2025	6/13/2025	
HR	6/23/2025	1:00 pm – 3:00 pm	Zoom	6/12/2025	6/13/2025	
FFT	6/25/2025	9:00 am – 12:00 pm	Zoom	6/13/2025	6/16/2025	
SP	6/26/2025	9:00 am – 10:30 am	Zoom	6/13/2025	6/16/2025	

FY2026 Board of Trustees & Committee Meeting Schedule

JULY 2025

Meeting	Date	Time	Location	Materials	Release	Notes
BOT	7/14/2025	Refer to Agenda	Zoom	6/27/2025	7/7/2025	

AUGUST 2025

Meeting	Date	Time	Location	Materials	Release	Notes
ASA	8/26/2025	9:00 am – 12:00 pm	Zoom	8/14/2025	8/15/2025	
HR	8/26/2025	1:00 pm – 3:00 pm	Zoom	8/14/2025	8/15/2025	
FFT	8/28/2025	9:00 am – 12:00 pm	Zoom	8/15/2025	8/18/2025	
SP	8/29/2025	9:00 am – 10:30 am	Zoom	8/16/2025	8/16/2025	

SEPTEMBER 2025

Meeting	Date	Time	Location	Materials	Release	Notes
BOT	9/14/2025	Refer to Agenda	TBD	9/4/2025	9/5/2025	
BOT	9/15/2025	Refer to Agenda	TBD	9/4/2025	9/5/2025	
INV	9/26/2025	9:00 am – 12:00 pm	Zoom	9/11/2025	9/12/2025	

OCTOBER 2024

Meeting	Date	Time	Location	Materials	Release	Notes
Board Retreat	10/05/2025	Refer to Agenda	TBD			
Board Retreat	10/06/2025	Refer to Agenda	TBD			
AUD	10/24/2025	9:00 am – 11:30 am	Zoom	10/10/2025	10/14/2025	
SBOT	10/27/2025	12:00 pm – 1:00 pm	Zoom	10/10/2025	10/20/2025	
ASA	10/28/2025	9:00 am – 12:00 pm	Zoom	10/16/2025	10/17/2025	
HR	10/28/2025	1:00 pm – 3:00 pm	Zoom	10/17/2025	10/20/2025	
FFT	10/30/2025	9:00 am – 12:00 pm	Zoom	10/20/2025	10/21/2025	
SP	10/31/2025	9:00 am – 10:30 am	Zoom	10/20/2025	10/21/2025	

FY2026 Board of Trustees & Committee Meeting Schedule

NOVEMBER 2025

Meeting	Date	Time	Location	Materials	Release	Notes
BOT	11/16/2025	Refer to Agenda	TBD	11/3/2025	11/7/2025	
BOT	11/17/2025	Refer to Agenda	TBD	11/3/2025	11/7/2025	

DECEMBER 2025

Meeting	Date	Time	Location	Materials	Release	Notes
INV	12/12/2025	9:00 am – 12:00 pm	Zoom	11/26/2025	12/1/2025	
ASA	12/15/2025	9:00 am – 12:00 pm	Zoom	12/4/2025	12/5/2025	
HR	12/15/2025	1:00 pm – 3:00 pm	Zoom	12/8/2025	12/9/2025	
FFT	12/17/2025	9:00 am – 12:00 pm	Zoom	12/9/2025	12/10/2025	
SP	12/18/2025	9:00 am – 10:30 am	Zoom	12/9/2025	12/10/2025	

JANUARY 2026

Meeting	Date	Time	Location	Materials	Release	Notes
BOT	1/25/2026	Refer to Agenda	TBD	1/16/2026	1/19/2026	
BOT	1/26/2026	Refer to Agenda	TBD	1/16/2026	1/19/2026	

FEBRUARY 2026

Meeting	Date	Time	Location	Materials	Release	Notes
ASA	2/10/2026	9:00 am – 1:45 pm	Zoom	1/29/2026	1/30/2026	
HR	2/10/2026	2:00 pm – 4:00 pm	Zoom	1/29/2026	1/30/2026	
FFT	2/12/2026	9:00 am – 12:00 pm	Zoom	2/2/2026	2/3/2026	
SP	2/20/2026	9:00 am – 10:30 am	Zoom	2/6/2025	2/9/2026	
INV	2/27/2026	9:00 am – 12:00 pm	Zoom	2/13/2026	2/17/2026	

MARCH 2026

Meeting	Date	Time	Location	Materials	Release	Notes
BOT	3/15/2026	Refer to Agenda	TBD	3/2/2026	3/6/2026	
BOT	3/16/2026	Refer to Agenda	TBD	3/2/2026	3/6/2026	
FFT	3/18/2026	9:00 am – 5:00 pm	Zoom	3/4/2026	3/6/2026	

FY2026 Board of Trustees & Committee Meeting Schedule

APRIL 2026

Meeting	Date	Time	Location	Materials	Release	Notes
FFT	4/16/2026	9:00 am – 12:00 pm	Zoom	4/2/2026	4/3/2026	
ASA	4/28/2026	9:00 am – 12:00 pm	Zoom	4/16/2026	4/17/2026	
HR	4/28/2026	1:00 pm – 3:00 pm	Zoom	4/16/2026	4/17/2026	
FFT	4/30/2026	9:00 am – 12:00 pm	Zoom	4/21/2026	4/22/2026	

MAY 2026

Meeting	Date	Time	Location	Materials	Release	Notes
SP	5/1/2026	9:00 am – 10:30 am	Zoom	4/21/2026	4/22/2026	
INV	5/8/2026	9:00 am – 12:00 pm	Zoom	4/30/2026	5/1/2026	
AUD	5/8/2026	12:30 pm – 3:30 pm	Zoom	4/30/2026	5/1/2026	
BOT	5/17/2026	Refer to Agenda	TBD	5/1/2026	5/8/2026	
BOT	5/18/2026	Refer to Agenda	TBD	5/1/2026	5/8/2026	

JUNE 2026

Meeting	Date	Time	Location	Materials	Release	Notes
ASA	6/24/2026	9:00 am – 12:00 pm	Zoom	6/11/2026	6/12/2026	
HR	6/24/2026	1:00 pm – 3:00 pm	Zoom	6/11/2026	6/12/2026	
FFT	6/25/2026	9:00 am – 12:00 pm	Zoom	6/14/2026	6/15/2026	
SP	6/26/2026	9:00 am – 10:30 am	Zoom	6/15/2026	6/16/2026	

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Vice Chancellor for Academic and Student Affairs update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY: N/A

UNIFIED ACCREDITATION CONNECTION:

The University of Maine System's Direct Admissions initiative embodies the principles and aims of Unified Accreditation. As a cooperative function involving all seven universities, this initiative brings together the resources of each university to strengthen university admissions. It also expressly seeks to increase access, particularly among Maine's rural and underserved populations.

This presentation reflects the progressive fulfillment of the following Action and Goal in the 2023-2028 University of Maine System Strategic Plan:

Commitment 2, Action 2: Each University will build on spring 2023 direct admissions initiatives by developing and implementing a comprehensive direct admissions plan for the fall 2024 recruitment cycle.

BACKGROUND:

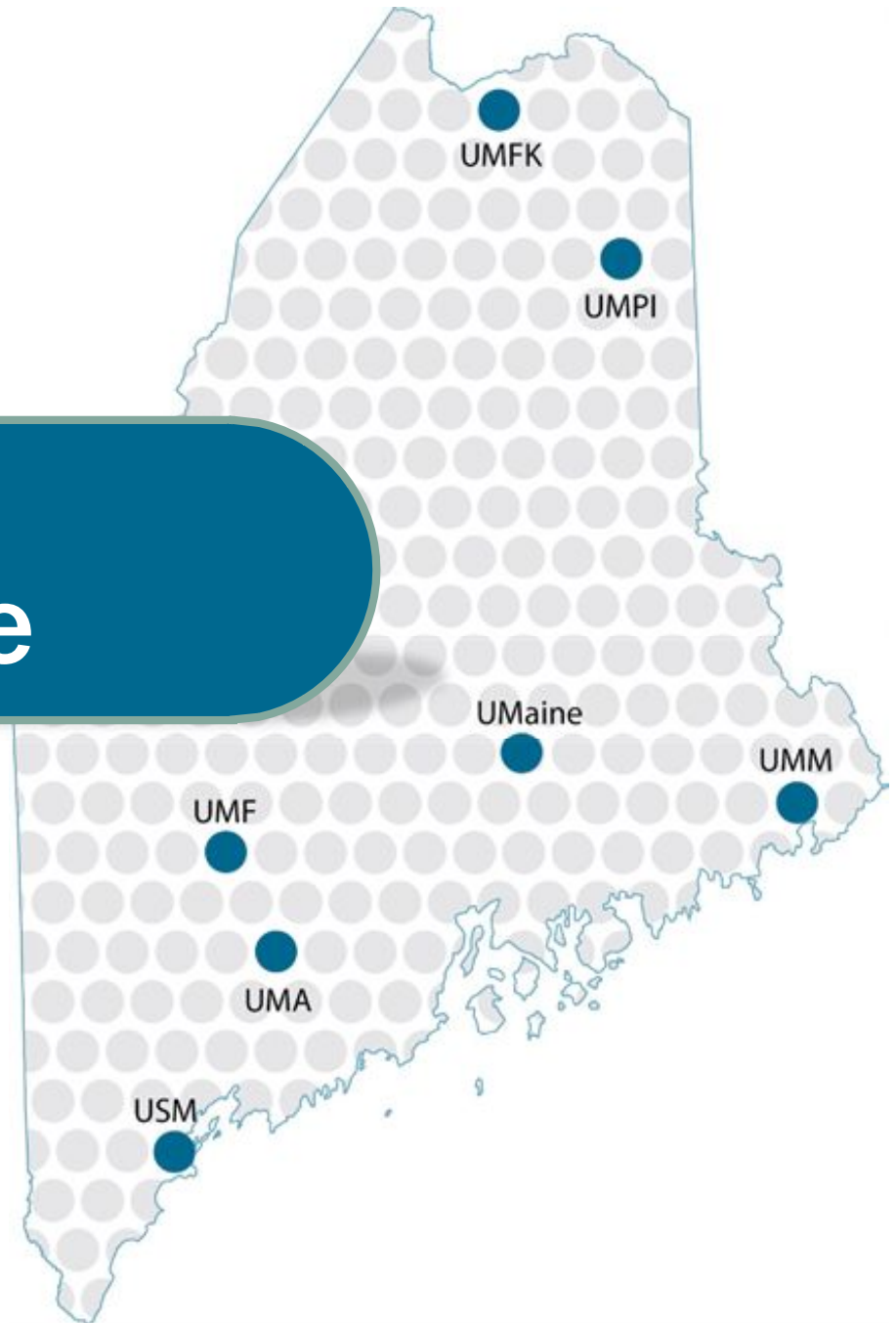
Director of Academic and Enrollment Initiatives Jamie Ballinger will update the Board on the UMS Direct Admissions initiative and answer questions about its progress to date.

[Attachment](#)

[Direct Admission VCASA Update](#)



Direct Admit Maine





Overview of Direct Admissions

3.1

- Direct admissions augments the traditional admissions process by proactively admitting qualified students to college based on a GPA match.
- Our program offers direct admission to one or more UMS universities to qualified students.
- The program also increases access for all students, particularly first-generation, low-socioeconomic status, and underserved populations.

“I didn’t think any college would accept me, but I was wrong.”

Focus Group Direct Admission Student from Twin Falls, Idaho, 2019



Phase One: Early College

3.1

2023-24 Early College Direct Admissions phase

- 14,330 admission letters were sent to 3,112 UMS Early College students
- We received 1,082 responses from 791 unique students
- 218 students have confirmed to date
 - 27.5% yield from respondents
- 61.95% of confirmed students are underserved
 - Federally designated rural school districts
 - Students of color
 - Low socioeconomic status
 - Students from non-traditional academic backgrounds



Phase Two: Maine High Schools

3.1

2024 Maine High Schools Direct Admissions Pilot

- We are working with 31 high schools across Maine
- We are holding informational sessions throughout May and June for current juniors and their families
- Admission letters will be delivered in September
- We are communicating regularly with the participating high schools
- Enrollment managers at each university are deeply involved in the planning and implementation

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: UMS 2024 Tenure Nominations

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

Board Policy 310: Tenure

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

Candidates recommended for tenure in the University of Maine System are brought forward for approval by the Board of Trustees in April with action to take effect September 1, 2024. The following material and information is relevant to the tenure approval process:

Items in italics are for Board of Trustees only in a supplemental publication.

- Board Policy 310 - Tenure
- *Names of candidates for tenure for 2024, listed by institution*
- *Brief abstracts of candidates*
- Table 1: Tabular analysis of 2024 candidates
- Table 2: Summary of campus tenure promotions for 2024 and the previous five years
- Report on Tenure Statistics

That the Academic and Student Affairs Committee approved the following resolution to be forwarded for Board of Trustee approval at the April 7-8, 2024 Board Meeting.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendations for tenure submitted by the Universities of the University of Maine System. Approvals will take effect September 1, 2024 for faculty with academic year appointments and on July 1, 2024 for faculty with fiscal year appointments.

[Attachment](#)

[Tenure 2024 Memo to the Chancellor](#)



UMS Academic and Student Affairs
239 Estabrooke Hall
Orono, ME 04469
207-581-5842
www.maine.edu

4.1

Date: February 21, 2024
To: Dannel Malloy, Chancellor
University of Maine System (UMS)
From: Jeffrey St. John, VCASA
Regarding: 2023 AY Tenure Applications

Forty-two applications were submitted to the University of Maine System (UMS) for evaluation in the 2023 academic year. Each of these applications was reviewed by me with respect to (a) adherence to BOT policy, (b) documentation, and (c) applicant quality and productivity. The pool includes 31 females (59%), with an overall distribution among the seven UMS universities as follows:

UM	19
UMA	4
UMF	4
UMFK	2
UM Law	0
UMPI	0
USM	13

The academic contributions of each of these applicants appear to meet the UMS and University standards for tenure; and, in fact, all applicants were recommended across four levels of review at their respective Universities.

In summary, I concur with the University recommendations to grant tenure to each of the 42 applicants. I am happy to address any questions or provide additional details as needed.

Chancellor Dannel Malloy
Date

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

5

NAME OF ITEM: Vice Chancellor for Strategic Initiatives (VCSI) Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

University of Maine System leadership is committed to fostering collaboration and synergy among its universities by seeking ways to help them share resources, streamline messaging, achieve greater efficiency, and maintain consistency in their operations.

BACKGROUND:

At the April 2024 Board of Trustees meeting, the Acting Vice Chancellor for Strategic Initiatives (VCSI) Carolyn Dorsey will offer an update on timely system-wide initiatives including the following items:

Lewiston- Auburn Campus (LAC) Update

President Jacqueline Edmondson and Dr. Netty Provost (LAC Director and Coordinator of Undergraduate Nursing), will offer a presentation highlighting the strides taken at the University of Southern Maine Lewiston-Auburn Campus (LAC), showcasing advancements in current academic offerings and exciting proposals for new programs that will utilize LAC. Discussions will also include collaborative initiatives with universities across the UMS and the Maine Community College System, and recent engagement with the community and corporate entities.

8/22/2023

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: UMS Fraternal Order of Police Employees – MainePERS Changes

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

407 – Labor Relations

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

UMS and the Fraternal Order of Police, Lodge # 100 (“FOP”) reached a tentative agreement in negotiations of a successor collective bargaining agreement. This tentative agreement will be before the UMS Board of Trustees for ratification at the April 7-8, 2024, meeting.

Within this tentative agreement is a provision that FOP unit members who participate in MainePERS will be able to purchase credit for service at their own cost.

In order for UMS police unit employees who participate in MainePERS to be able to purchase credit, the UMS Board is required to approve limited participation with MainePERS on the terms set forth in the resolution below.

The Human Resources and Labor Relations Committee approved the following resolution to be forwarded to the Board of Trustees for approval at the April 7-8, 2024, Board Meeting.

TEXT OF PROPOSED RESOLUTION

That the UMS Board of Trustees accepts the recommendation of the Human Resources and Labor Relations Committee and agrees to allow the purchase of prior service. Prior service is defined as service to the University of Maine System Prior to July 1, 2022. The Board of Trustees agrees to the following terms:

- a) To allow its eligible police union members who elected to join MainePERS, to purchase credit for service to UMS prior to July 1, 2022, upon the employees’ full payment of all associated costs. UMS will not participate in the purchase of prior service and so employees who wish to purchase prior service are responsible for paying the full liability associated with it; and
- b) To authorize the UMS Chancellor Dannel Malloy to sign the amended agreement between the University of Maine System and the Maine Public Employees Retirement System.

3/8/2024

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Vice Chancellor for Research and Innovation Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

The VCRI is responsible for the implementation of the *Research Actions and Goals* articulated in the [University of Maine System Strategic Plan 2023-2028](#)

Unified accreditation requires that the University of Maine System demonstrate that it has addressed all of the NECHE standards. One of these, standard 7, describes expectations for teaching, learning and scholarship:

The institution supports teaching and learning through a well-qualified faculty and academic staff, who, in structures and processes appropriate to the institution, collectively ensure the quality of instruction and support for student learning. Scholarship, research, and creative activities receive support appropriate to the institution's mission. The institution's faculty has primary responsibility for advancing the institution's academic purposes through teaching, learning, and scholarship.

BACKGROUND:

University of Maine System Vice Chancellor for Research and Innovation Dr. Joan Ferrini-Mundy will provide an update of activities during the April 8, 2024 board meeting.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Finance and Administration Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

Primary Outcomes - Enhance fiscal positioning.

BACKGROUND:

The Vice Chancellor for Finance and Administration and Treasurer Ryan Low will provide 2 updates at the April 7/8th, 2024, Board of Trustees meeting.

- 1) Investment Update - Flash Reports
- 2) Current Fiscal Year-to-Date Forecast to Budget

Attachments

[Managed Investment Pool Flash Reports](#)

[Pension Fund Flash Reports](#)

[Operating Fund Flash Reports](#)

University of Maine System Managed Investment Pool

January 31, 2024

TOTAL PLAN PERFORMANCE

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
MIP Composite	378,460,447	100.0	100.0	0.4	5.7	9.6	3.4	6.7	6.3	5.4
Allocation Index				0.4	6.4	10.5	4.6	7.5	7.1	6.1
Policy Index				0.4	6.4	10.4	4.3	7.4	7.2	6.3
Total Domestic Large Cap	95,939,510	25.3	25.0	1.7	10.3	21.6	11.1	14.4	13.4	12.6
S&P 500 Index				1.7	9.9	20.8	11.0	14.3	13.4	12.6
SSgA Fossil Fuel Free S&P 500 Index	95,939,510	25.3	25.0	1.7	10.1	21.9	-	-	-	-
S&P 500 Fossil Fuel Free Index				1.7	10.1	21.9	-	-	-	-
Total Domestic Small/Mid Cap	18,858,221	5.0	5.0	-1.7	6.3	5.6	7.7	11.7	10.5	9.5
Russell 2500 Index				-2.6	5.1	4.0	2.5	8.7	8.4	8.3
Westfield Capital	9,321,713	2.5	2.5	-0.2	3.1	5.8	1.7	10.8	11.5	9.4
Russell 2500 Growth Index				-2.3	2.5	5.7	-4.3	8.5	9.5	8.7
DFA	9,536,508	2.5	2.5	-3.2	9.4	5.8	13.5	11.5	8.6	8.7
Russell 2000 Value Index				-4.5	6.8	-0.1	4.5	6.7	5.5	6.7
Global Equity	38,966,408	10.3	10.0	2.4	6.1	15.6	6.7	-	-	-
MSCI World Index (Net)				1.2	8.8	17.0	8.1	-	-	-
Walter Scott Global Equity	38,966,408	10.3	10.0	2.4	6.1	15.6	6.7	-	-	-
MSCI World Index (Net)				1.2	8.8	17.0	8.1	-	-	-
Total International Equity (including emerging markets)	70,391,918	18.6	19.0	-1.7	3.3	6.0	-3.1	2.9	3.4	2.6
MSCI AC World ex USA (Net)				-1.0	4.6	5.9	1.1	5.3	5.7	4.2
Silchester International Value Equity	19,307,896	5.1	5.0	-1.5	7.3	10.5	-	-	-	-
MSCI EAFE (Net)				0.6	6.5	10.0	-	-	-	-
JO Hambro	19,490,978	5.2	5.0	1.0	5.7	11.4	-3.6	-	-	-
MSCI EAFE (Net)				0.6	6.5	10.0	4.6	-	-	-
Axiom International Small Cap Equity	7,591,410	2.0	2.0	-0.4	2.6	0.6	-	-	-	-
MSCI EAFE Small Cap (Net)				-1.6	5.5	3.6	-	-	-	-

8.1



University of Maine System Managed Investment Pool

January 31, 2024

TOTAL PLAN PERFORMANCE

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Emerging Markets Equity	24,001,633	6.3	7.0	-4.4	-1.4	0.8	-4.5	3.9	3.9	3.0
Emerging Markets Equity Custom Benchmark				-3.2	4.9	5.7	-1.0	4.6	6.1	4.7
Aberdeen Emerging Mkts	16,808,940	4.4	5.0	-5.3	-4.0	-7.6	-12.0	0.3	2.1	2.2
MSCI Emerging Markets (Net)				-4.6	-0.2	-2.9	-7.5	1.0	3.5	2.9
Mondrian EM Small Cap	7,192,693	1.9	2.0	-2.3	4.3	16.7	5.2	8.5	6.3	-
MSCI Emerging Markets Small Cap				-1.7	10.2	15.0	5.8	8.4	7.3	-
Total Fixed Income	97,663,194	25.8	26.5	0.3	4.3	4.7	-0.1	2.6	2.6	2.7
Blmbg. U.S. Aggregate Index				-0.3	3.1	2.1	-3.2	0.8	1.2	1.6
Commonfund	24,076,122	6.4	6.5	-0.1	2.9	2.3	-3.1	1.0	1.5	1.9
Blmbg. U.S. Aggregate Index				-0.3	3.1	2.1	-3.2	0.8	1.2	1.6
Fidelity Intermediate Treasury Bond Index (FUAMX)	18,743,519	5.0	5.0	0.2	2.7	-	-	-	-	-
Blmbg. U.S. Treasury Index				-0.3	2.1	-	-	-	-	-
Vanguard Inflation-Protected Securities	19,094,870	5.0	5.0	0.3	2.3	2.0	-1.1	2.8	2.3	-
Blmbg. U.S. TIPS				0.2	2.2	2.2	-1.0	2.9	2.4	-
Blackrock Strategic Income Opportunities	17,938,464	4.7	5.0	0.3	5.5	5.3	0.9	3.2	-	-
Libor 3 month Index				0.5	3.4	5.4	2.9	2.3	-	-
Bain Capital Senior Loan Fund	17,810,218	4.7	5.0	0.8	7.3	11.5	5.3	5.4	-	-
Credit Suisse Leveraged Loan Index				0.8	7.1	11.1	5.5	5.2	-	-
Total GAA	28,659,959	7.6	7.5	0.6	4.9	1.9	0.8	2.5	2.8	2.4
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills*				0.2	4.6	6.8	1.4	4.6	5.2	4.7
Newton Global Real Return	28,659,959	7.6	7.5	0.6	4.9	1.9	0.8	4.1	4.0	-
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills				0.2	4.6	6.8	1.4	4.2	4.1	-
Total Hedge Funds	26,817,529	7.1	7.0	0.6	2.5	4.4	2.9	7.8	5.9	4.3
HFRI Fund of Funds Composite Index				0.8	4.8	5.0	2.7	4.8	4.1	3.4
Lighthouse	26,817,529	7.1	7.0	0.6	2.5	4.4	2.9	7.8	6.3	-
Credit Suisse Long/Short Equity				2.4	7.1	10.0	6.1	6.3	6.0	-

8.1



University of Maine System Managed Investment Pool

January 31, 2024

TOTAL PLAN PERFORMANCE

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Private Equity	927,372	0.2	0.0	0.0	-2.8	-5.3	-3.3	4.2	6.2	-
Landmark Equity Partners XV	927,372	0.2	0.0	0.0	-2.8	-5.3	-3.3	4.2	6.2	-
CJA US All PE (1 Qtr Lag)				0.0	3.2	7.2	17.6	14.6	15.4	-
Total Cash	236,336	0.1	0.0							
Distribution Account	236,336	0.1	0.0	0.4	2.1	4.0	2.0	1.6	1.4	1.0
90 Day U.S. Treasury Bill				0.4	3.1	5.1	2.3	1.9	1.8	1.3

Notes:

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate, 30% Bloomberg U.S. TIPS 1-10YR, 10% S&P 500, 10% Bloomberg High Yield, 10% JPM EMBI+

Emerging Markets Equity Custom Benchmark consists of MSCI EM from Inception to 5/31/2019 and 50% MSCI EM / 50% MSCI EM Small Cap from 6/1/2019 to present.

Returns are net of manager fees

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.

8.1



DISCLAIMERS & DISCLOSURES

Past performance is no guarantee of future results.

Returns for pooled funds, e.g. mutual funds and collective investment trusts, are collected from third parties; they are not generally calculated by NEPC. Returns for separate accounts, with some exceptions, are calculated by NEPC. Returns are reported net of manager fees unless otherwise noted.

A “since inception” return, if reported, begins with the first full month after funding, although actual inception dates (e.g. the middle of a month) and the timing of cash flows are taken into account in Composite return calculations.

NEPC’s preferred data source is the plan’s custodian bank or record-keeper. If data cannot be obtained from one of the preferred data sources, data provided by investment managers may be used. Information on market indices and security characteristics is received from additional providers. While NEPC has exercised reasonable professional care in preparing this report, we cannot guarantee the accuracy of all source information contained within. In addition, some index returns displayed in this report or used in calculation of a policy index, allocation index or other custom benchmark may be preliminary and subject to change.

All investments carry some level of risk. Diversification and other asset allocation techniques are not guaranteed to ensure profit or protect against losses.

The opinions presented herein represent the good faith views of NEPC as of the date of this presentation and are subject to change at any time. Neither fund performance nor universe rankings contained in this report should be considered a recommendation by NEPC.

This report may contain confidential or proprietary information and may not be copied or redistributed to any party not legally entitled to receive it.

Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv





University of Maine System Pension Plan

January 31, 2024

TOTAL FUND PERFORMANCE

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Pension Composite	18,295,995	100.0	100.0	0.8	4.1	6.8	2.5	5.7	5.2	4.7
Allocation Index				0.4	4.8	7.2	3.3	5.7	5.6	5.2
Policy Index				0.5	5.2	7.6	3.4	5.8	5.7	5.3
Total Global Equity	5,691,602	31.1	30.0	2.6	7.1	17.3	7.3	-	-	-
MSCI World Index (Net)				1.2	8.8	17.0	8.1	-	-	-
Walter Scott Global Equity Fund	5,691,602	31.1	30.0	2.6	7.1	17.3	7.3	-	-	-
MSCI World Index (Net)				1.2	8.8	17.0	8.1	-	-	-
Emerging Markets Equity	687,081	3.8	3.0	-2.3	4.3	16.7	5.2	8.5	6.3	4.2
Emerging Markets Equity Benchmark				-1.7	10.2	15.0	5.8	8.0	8.6	6.4
Mondrian EM Small Cap	687,081	3.8	3.0	-2.3	4.3	16.7	5.2	8.5	6.3	-
MSCI Emerging Markets Small Cap				-1.7	10.2	15.0	5.8	8.4	7.3	-
Total Fixed Income	8,015,043	43.8	48.0	0.1	4.2	4.0	-1.2	2.0	2.1	2.2
Blmbg. U.S. Aggregate Index				-0.3	3.1	2.1	-3.2	0.8	1.2	1.6
Baird Aggregate Bond Fund - BAGIX	4,570,115	25.0	25.0	-0.2	3.8	2.8	-	-	-	-
Blmbg. U.S. Aggregate Index				-0.3	3.1	2.1	-	-	-	-
Fidelity Intermediate Treasury Bond Index (FUAMX)	860,022	4.7	5.0	0.2	2.7	-	-	-	-	-
Blmbg. U.S. Treasury Index				-0.3	2.1	-	-	-	-	-
Vanguard Inflation-Protected Securities	675,119	3.7	8.0	0.3	2.3	2.0	-1.1	2.8	-	-
Blmbg. U.S. TIPS				0.2	2.2	2.2	-1.0	2.9	-	-
BlackRock Strategic Income Opportunities	877,717	4.8	5.0	0.3	5.5	5.3	0.9	3.3	-	-
Libor 3 month Index				0.5	3.4	5.4	2.9	2.3	-	-
Bain Capital Senior Loan Fund	1,032,070	5.6	5.0	0.8	7.3	11.5	5.3	5.4	-	-
Credit Suisse Leveraged Loan Index				0.8	7.1	11.1	5.5	5.2	-	-
Total GAA	1,495,782	8.2	8.0	0.6	4.9	1.9	0.8	4.2	4.0	3.2
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills*				0.2	4.6	6.8	1.4	4.6	5.2	4.7
Newton Global Real Return	1,495,782	8.2	8.0	0.6	4.9	1.9	0.8	4.1	4.1	-
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills				0.2	4.6	6.8	1.4	4.2	4.1	-

8.2



University of Maine System Pension Plan

January 31, 2024

TOTAL FUND PERFORMANCE

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Total Alternative Investments	730,641	4.0	5.0	0.6	2.5	4.4	3.0	7.8	5.9	4.6
HFRI Fund of Funds Composite Index				0.8	4.8	5.0	2.7	4.8	4.1	3.4
Lighthouse	730,641	4.0	5.0	0.6	2.5	4.4	3.0	7.8	6.3	-
Credit Suisse Long/Short Equity				2.4	7.1	10.0	6.1	6.3	6.0	-
Total Real Assets	1,490,775	8.1	3.0							
Principal	1,490,775	8.1	3.0	0.0	-6.2	-10.1	4.1	3.7	4.9	6.9
NCREIF ODCE				0.0	-6.6	-12.0	4.9	4.2	5.3	7.3
Total Cash	185,071	1.0	3.0							
Distribution Account	185,071	1.0	3.0	0.2	2.3	4.2	1.7	1.4	1.3	0.9
90 Day U.S. Treasury Bill				0.4	3.1	5.1	2.3	1.9	1.8	1.3

Notes:

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate, 30% Bloomberg U.S. TIPS 1-10YR, 10% S&P 500, 10% Bloomberg High Yield, 10% JPM EMBI+ Emerging Markets Equity Benchmark consists of MSCI EM from inception to 5/31/2019 and MSCI EM Small Cap from 6/1/2019 to present.

Returns are net of manager fees.

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.

8.2



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Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv



University of Maine System Operating Fund

January 31, 2024

TOTAL FUND PERFORMANCE

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy(%)	1 Mo (%)	FYTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Operating Funds Composite	345,033,601	100.0	100.0	0.4	4.7	6.4	2.3	3.9	3.5	2.9
Allocation Index				0.5	4.7	6.9	2.4	3.4	3.2	2.8
Liquidity Pool Composite	148,778,656	43.1	30.0	0.4	2.6	4.3	2.0	1.8	1.7	1.2
State Pool	74,572,701	21.6		0.3	2.3	3.5	1.8	1.7	1.6	1.2
BOA General Fund	8,991,697	2.6		0.2	1.1	1.9	1.1	0.9	0.8	0.6
Federated Gov't Obligations	11,801,589	3.4		0.4	3.5	6.2	2.7	2.1	1.9	-
JP Morgan US Gov't Money Market Fund	53,412,670	15.5		0.4	3.1	5.4	2.5	1.9	1.7	-
90 Day U.S. Treasury Bill				0.4	3.1	5.1	2.3	1.9	1.8	-
Income Pool Composite	127,136,095	36.8	47.5	0.4	4.7	5.4	0.5	2.3	2.1	2.0
Income Research + Management	71,741,910	20.8	26.0	0.5	4.1	4.7	0.6	2.1	1.9	1.6
Blmbg. 1-3 Year Gov/Credit index				0.4	3.8	4.2	0.2	1.5	1.5	1.3
BlackRock Strategic Income Opportunities	19,023,296	5.5	7.0	0.3	5.5	5.3	0.9	3.2	3.0	-
Libor 3 month Index				0.5	3.4	5.4	2.9	2.3	2.2	-
Baird Aggregate Bond Fund - BAGIX	20,888,866	6.1	7.5	-0.2	3.7	2.8	-	-	-	-
Blmbg. U.S. Aggregate Index				-0.3	3.1	2.1	-	-	-	-
Bain Senior Floating Rate Fund	15,482,024	4.5	7.0	0.8	7.3	11.5	-	-	-	-
Credit Suisse Leveraged Loan Index				0.8	7.1	11.1	-	-	-	-
Total Return Pool Composite	69,118,850	20.0	22.5	0.5	6.3	9.3	4.7	8.1	7.3	5.9
Lighthouse	13,232,458	3.8	5.0	0.6	2.5	4.4	2.9	7.8	6.3	-
Credit Suisse Long/Short Equity				2.4	7.1	10.0	6.1	6.3	6.0	-
Newton Global Real Return	14,486,120	4.2	4.0	0.6	4.9	1.9	0.8	4.1	4.0	-
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills				0.2	4.6	6.8	1.4	4.2	4.1	-
PIMCO All Asset	10,765,740	3.1	4.0	-0.2	4.2	2.9	2.9	5.2	5.2	4.4
PIMCO All Asset Index III				-0.4	3.5	3.4	0.1	3.6	3.5	2.9
Silchester International Value Equity	9,290,356	2.7	3.3	-1.5	7.3	10.4	-	-	-	-
MSCI EAFE (Net)				0.6	6.5	10.0	-	-	-	-
SSgA Fossil Fuel Free S&P 500 Index	21,344,177	6.2	6.2	1.7	10.1	21.9	-	-	-	-
S&P 500 Fossil Fuel Free Index				1.7	10.1	21.9	-	-	-	-

8.3



University of Maine System Operating Fund

January 31, 2024

TOTAL FUND PERFORMANCE

Notes:

Returns are net of manager fees.

The inception date for the allocation index is 07/01/2009

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate / 30% Bloomberg U.S. TIPS 1-10YR / 10% S&P 500 / 10% Bloomberg High Yield / 10% JPM EMBI+ Composite excludes external loans.

Blackrock SIO changed its share class in May 2018 to BSIKX.

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.

8.3



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Returns for pooled funds, e.g. mutual funds and collective investment trusts, are collected from third parties; they are not generally calculated by NEPC. Returns for separate accounts, with some exceptions, are calculated by NEPC. Returns are reported net of manager fees unless otherwise noted.

A “since inception” return, if reported, begins with the first full month after funding, although actual inception dates (e.g. the middle of a month) and the timing of cash flows are taken into account in Composite return calculations.

NEPC’s preferred data source is the plan’s custodian bank or record-keeper. If data cannot be obtained from one of the preferred data sources, data provided by investment managers may be used. Information on market indices and security characteristics is received from additional providers. While NEPC has exercised reasonable professional care in preparing this report, we cannot guarantee the accuracy of all source information contained within. In addition, some index returns displayed in this report or used in calculation of a policy index, allocation index or other custom benchmark may be preliminary and subject to change.

All investments carry some level of risk. Diversification and other asset allocation techniques are not guaranteed to ensure profit or protect against losses.

The opinions presented herein represent the good faith views of NEPC as of the date of this presentation and are subject to change at any time. Neither fund performance nor universe rankings contained in this report should be considered a recommendation by NEPC.

This report may contain confidential or proprietary information and may not be copied or redistributed to any party not legally entitled to receive it.

Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv



University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Sale of 85+/- acre parcel of land - Map 2, Lot 7, Harmony, ME

CAMPUS PRESENTER(S): UMFK President Deb Hedeon and/or Pam Ashby, CBO

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

802 – Disposition of Real Property

UNIFIED ACCREDITATION CONNECTION: N/A

UMS STRATEGIC PLAN CONNECTION:

This proposed sale is consistent with UMS Strategic Plan Commitment 2, Effective Infrastructure Portfolio Actions, Action 2: Achieve fiscal efficiencies through physical space reduction.

BACKGROUND:

In 1997, the University of Maine System received a donation of an approximately 85-acre parcel of land, Map 2, Lot 7 in Harmony, Maine. According to the warranty deed, this land was received “for the benefit of its University of Maine at Fort Kent.” Aside from various easements and snowmobile trail use permits throughout the years, the remote parcel has remained inactive since 1997.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the April 8, 2024 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System, acting through the University of Maine at Fort Kent, to sell an approximately 85-acre parcel of land located on Map 2, Lot 7, in Harmony, Maine. All final terms and conditions of the sale agreement are subject to review and approval of the University of Maine System Treasurer and General Counsel.

3/22/24

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Approval of Appointment to the Board of Agriculture

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY: n/a

UNIFIED ACCREDITATION CONNECTION: N/A

BACKGROUND:

In 1998 the Maine Legislature formed the Board of Agriculture to advise the Chancellor and the University of Maine President on matters concerning agricultural research and extension. The legislation forming the board stipulates that members of the board serve five-year terms. They may be reappointed or replaced at the end of that five-year period.

The legislation stipulates that the Chancellor of the University of Maine System makes one appointment to the Board. As you know, you reappointed the Hon. Donald Marean in 2018 to this seat. Mr. Marean's appointment expired as of February 23, 2023, but he has graciously agreed to continue to serve on the Board of Agriculture, subject to Board approval.

We are requesting that the Board of Trustees reappoint Mr. Marean. During his legislative service, he served four terms on the Agriculture, Conservation, and Forestry Committee. He is active in the Standard bred equine industry in the state and is very knowledgeable about the industry. Appointing a representative from the equine industry also adds diversity to the board, as the equine industry is not among the agricultural groups formally designated to have representation. Mr. Marean's viewpoint would also be helpful during Board discussions related to increasing student recruitment to our academic programs, particularly the Animal and Veterinary Sciences program.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the following research faculty re-appointment to the Board of Agriculture: The Hon. Donald Marean for a five-year term effective April 8, 2024.

Attachments

[Memo from Chancellor Malloy](#)

[Memo from Dean Diane Rowland](#)



Office of the Chancellor
15 Estabrooke Drive
Orono, ME 04469

Tel: 207-973-3205
www.maine.edu

Dannel P. Malloy
Chancellor

January 25, 2024

Diane Rowland, Dean and Director
College of Natural Sciences, Forestry,
and Agriculture
University of Maine
5782 Winslow Hall
Orono, ME 04469

The University of Maine

University of Maine
at Augusta

University of Maine
at Farmington

University of Maine
at Fort Kent

University of Maine
at Machias

University of Maine
at Presque Isle

University of
Southern Maine

University of Maine
School of Law

Dear Dean Rowland:

Thank you for your letter regarding the University of Maine System appointment to the UMaine Board of Agriculture.

I endorse your recommendation for the reappointment of Mr. Donald Marean to that Board. Please convey my appreciation to Mr. Marean for his willingness to continue to serve.

At their April 8 meeting, the UMS Board of Trustees will take up the presented recommendation for appointment to the UMaine Board of Agriculture for a five-year term. The information you provided has been forwarded to the Clerk's office for inclusion on the agenda.

Should you need further assistance with this please let me know.

Regards,

Dannel P. Malloy
Chancellor

cc: Dr. Joan Ferrini-Mundy, President and Vice Chancellor for Research and Innovation
Dr. John Volin, Executive Vice President for Academic Affairs & Provost
Dr. Hannah Carter, Dean and Associate Provost for Online and Continuing Education
Dr. Jessica Leahy, Associate Dean and Director
Dr. George Criner, Associate Dean and Director
Ms. Samantha Warren, Director of Government and Community Relations
Mr. Donald Marean

Office of the Dean and Director

*College of Natural Sciences,
Forestry, and Agriculture
Maine Agricultural and Forest
Experiment Station*



5782 Winslow Hall
Orono, Maine 04469-5782
Tel: 207-581-3202
Fax: 207-581-3207
www.umaine.edu

April 14, 2023

Chancellor Dannel Malloy
University of Maine System
Chancellor's Office
15 Estabrooke Drive
Orono, ME 04469

Dear Chancellor Malloy,

In 1998 the Maine Legislature formed the Board of Agriculture to advise the Chancellor of the research and extension education needs related to Maine agriculture. The legislation forming the Board of Agriculture stipulates that members of the Board serve five-year terms, and the members have to be reappointed or replaced at the end of that five-year period.

The legislation also stipulates that the Chancellor of the University of Maine System makes one appointment to the Board. As you know, you reappointed Mr. Donald Marean in 2018 to this seat. Mr. Marean's appointment expired as of February 23, 2023, but he has graciously agreed to continue to serve on the Board of Agriculture, subject to your approval. We are requesting that you reappoint Mr. Marean. During his legislative service, he served four terms on the Agriculture, Conservation, and Forestry Committee. He is active in the Standardbred equine industry in the state and is very knowledgeable about the industry. Appointing a representative from the equine industry also adds diversity to the board, as the equine industry is not among the agricultural groups formally designated to have representation. Mr. Marean's viewpoint would also be helpful during Board discussions related to increasing student recruitment to our academic programs, particularly the Animal and Veterinary Sciences program.

Thank you for your consideration of this appointment. We look forward to receiving notification from your office when the appointment process has been completed. When we receive that notification we will notify your nominee. If you have any questions, please do not hesitate to contact me.

Sincerely,

A handwritten signature in blue ink that reads 'Diane L. Rowland'.

Diane Rowland
Dean and Director

C: President and Vice Chancellor for Research and Innovation Joan Ferrini-Mundy
Executive Vice President for Academic Affairs & Provost John Volin
Dean and Associate Provost for Online and Continuing Education Hannah Carter
Associate Dean and Director Jessica Leahy
Associate Dean and Director George Criner
Director of Government and Community Relations Samantha Warren
Assistant Director & Clerk to the Board of Agriculture Linden Schneider
Donald Marean

MAINE'S LAND GRANT AND SEA GRANT UNIVERSITY
A Member of the University of Maine System

Donald G. Marean

233 Bonny Eagle Rd
Hollis, Maine 04042

Life long Maine resident
Resident Town of Hollis 35 years
Small Business Owner 40 years
Lindon Farm owner operator 35 years
Married 63 years with three children and three grandchildren
Four great grandchildren

Community Service

Served six terms 12 years in Maine Legislature
Agriculture-Conservation- Forestry four terms
Chair of the Board of Land For Maine's Future
Current Commissioner of Harness Race Commission
Director & Past President
Maine Standardbred Breeders and Owners Assoc.
Director of Maine Harness Horsemen's Assoc.
Board of Agriculture of Univ Me Orono
Board of Corporators Gorham Savings Bank
Town of Hollis Selectboard
Director United States Trotting Assoc.
Director of Standardbred Transition Alliance
Trustee American Horse Council
Trustee Director New England Exposition

Business Experience

40 Years Retail Management
Small Business Owner
Opened Standish Hardware 1973 - 2003
Founded Lindon Farm 1988 to Present
A commercial Breeding Farm and Racing Stable
Current member and Past Master of Standish Masonic Lodge

Office of the Dean and Director

*College of Natural Sciences,
Forestry, and Agriculture
Maine Agricultural and Forest
Experiment Station*



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April 14, 2023

Chancellor Dannel Malloy
University of Maine System
Chancellor's Office
15 Estabrooke Drive
Orono, ME 04469

Dear Chancellor Malloy,

In 1998 the Maine Legislature formed the Board of Agriculture to advise the Chancellor of the research and extension education needs related to Maine agriculture. The legislation forming the Board of Agriculture stipulates that members of the Board serve five-year terms, and the members have to be reappointed or replaced at the end of that five-year period.

The legislation also stipulates that the Chancellor of the University of Maine System makes one appointment to the Board. As you know, you reappointed Mr. Donald Marean in 2018 to this seat. Mr. Marean's appointment expired as of February 23, 2023, but he has graciously agreed to continue to serve on the Board of Agriculture, subject to your approval. We are requesting that you reappoint Mr. Marean. During his legislative service, he served four terms on the Agriculture, Conservation, and Forestry Committee. He is active in the Standardbred equine industry in the state and is very knowledgeable about the industry. Appointing a representative from the equine industry also adds diversity to the board, as the equine industry is not among the agricultural groups formally designated to have representation. Mr. Marean's viewpoint would also be helpful during Board discussions related to increasing student recruitment to our academic programs, particularly the Animal and Veterinary Sciences program.

Thank you for your consideration of this appointment. We look forward to receiving notification from your office when the appointment process has been completed. When we receive that notification we will notify your nominee. If you have any questions, please do not hesitate to contact me.

Sincerely,

Diane Rowland
Dean and Director

C: President and Vice Chancellor for Research and Innovation Joan Ferrini-Mundy
Executive Vice President for Academic Affairs & Provost John Volin
Dean and Associate Provost for Online and Continuing Education Hannah Carter
Associate Dean and Director Jessica Leahy
Associate Dean and Director George Criner
Director of Government and Community Relations Samantha Warren
Assistant Director & Clerk to the Board of Agriculture Linden Schneider
Donald Marean

MAINE'S LAND GRANT AND SEA GRANT UNIVERSITY
A Member of the University of Maine System

10.2

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: UMaine Advanced Manufacturing Center (AMC) Renovations – UMS TRANSFORMS Maine College of Engineering & Computing (MCEC) Student Success Center & Industry 4.0 Renovations

CAMPUS PRESENTER(S): Joan Ferrini-Mundy, President and Vice Chancellor for Research & Innovation; Kelly Sparks, Vice President of Finance & Administration & Chief Business Officer; Giovanna Guidoboni, Dean, Maine College of Engineering and Computing (MCEC)

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

Standard Seven of NECHE's Standards for Accreditation states that UMS must "devote[s] sufficient resources to maintain and enhance its information, physical, and technological resources." On April 1, 2023, UMS formally established the Maine College of Engineering and Computing (MCEC) at the University of Maine, thanks to the Harold Alfond Foundation UMS TRANSFORMS initiative.

MCEC builds a partnership between engineering programs at the University of Maine and the University of Southern Maine. In addition, there are engineering pathways programs at the University of Maine at Augusta, Farmington, Machias, and Presque Isle. Continued strategic and opportunity-driven growth in research at the University of Maine in AI robotics opens enormous possibilities for educating engineering and computing students across the University of Maine System, and they will have access to these facilities.

UMS STRATEGIC PLAN CONNECTION:

Commitment Two of the UMS Strategic Plan states, "The University of Maine System will advance a financially sustainable and effective academic and infrastructure portfolio at every university and our law school supported through innovative programming and strategic collaborations." At its core, the MCEC Student Success Center is student-centric in teaching, learning, service, and research in assisting students to reach their full potential and success at the university.

The Industry 4.0 Manufacturing Training Innovation Center (MTIC) proposes a multi-faceted approach to provide accessible and sustainable workforce development training to Maine's Department of Defense (DoD) manufacturers and their supporting ecosystem, fostering strategic partnerships with community colleges and technical high schools. Each Center will modernize an aging facility and improve organizational infrastructure.

BACKGROUND:

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a. Summary of the request

The University of Maine System, acting through the University of Maine, seeks authorization to allocate up to \$4.5 million for renovations within the existing space of the Advanced Manufacturing Center, known as the AMC. Renovations include creating a new MCEC Student Success Center on the 2nd floor and creating an Industry 4.0 Manufacturing Training Innovation Center (MTIC) on the 2nd floor of the AMC. Additionally, a new staircase will be added that will provide easier access for students, researchers, and visitors to the Student Success Center and Industry 4.0 Manufacturing Training Innovation Center.

This request follows Board Policy 701 – Budgets, Operating, and Capital, necessitating consideration by the Board of Trustees or its Finance, Facilities, and Technology Committee for projects exceeding \$500,000 in total costs.

b. Overall requested budget and funding source(s):

Funding for this project comes from two sources, \$1 million will come from HAF MCEC for the creation of the Student Success Center, open to Engineering students across UMS, and the addition of the new staircase. A total of \$3.5 million will come from the Department of Defense Industrial Base Analysis and Sustainment of which \$500,000 is for renovations within AMC to create the Industry 4.0 Manufacturing Training Innovation Center, \$1.67 million for equipment within the Center, and the remaining \$1.33M for staff and indirect expenses.

c. Is the project included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees?

Yes, these projects are included in the University's 1-year capital plan most recently approved by the UMS Board of Trustees in May 2023, known as Industry 4.0.

d. Detailed explanation of rationale for project and metrics for success of the project (ROI or other)**MCEC Student Success Center**

The Maine College of Engineering and Computing (MCEC) is launching the Student Success Center to ensure that new students at UMaine and USM, as well as Engineering pathways students UMS-wide, receive the necessary resources and support to graduate within four years. The center focuses on five priorities:

Holistic Student Support: MCEC will implement a caseload management approach, assigning each new student a dedicated student success advocate for up to 2 years to provide personalized support.

Digital Degree Maps: Accessible degree maps are crucial for students' academic and professional planning. The student success center will collaborate to create both hard copy and digital degree maps for all MCEC students, enhancing their ability to graduate on time.

Sense of Belonging: All incoming students will be part of the MCEC learning community, fostering strong support structures among peers with similar backgrounds and goals, contributing to higher retention and graduation rates.

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Data-Informed Decision Making: The use of learning analytics, specifically EAB's Navigate, will enable student success advocates to monitor student progress, track success markers, and provide timely resources to address academic challenges.

Access to Academic Support: MCEC will centralize the Engineering Tutoring Center, collaborating with student success advocates to offer seamless pathways for students to receive necessary academic support.

Student Population: Beginning Fall 2024, all new first-time in college, full-time first-year, and transfer students at MCEC will be served by the Student Success Center. First-year students will have student success advocates for the first two years, transitioning to faculty mentors for the last two years. Transfer students will have a student success coordinator for the first semester before transitioning to a faculty mentor. MCEC anticipates supporting approximately 900 first- and second-year students each year, with a caseload ratio of 450/1 for student success advocates, and around 115 transfer students transitioning to faculty mentors by the second term.

Industry 4.0 Manufacturing Training Innovation Center

The University of Maine's Advanced Manufacturing Center (AMC), in partnership with Southern Maine Community College (SMCC), proposes a multi-faceted approach to provide accessible and sustainable workforce development training to Maine's DOD manufacturers and their supporting ecosystem. By developing two Manufacturing Training Innovation Centers (MITC) one at the University of Maine and one at SMCC. The MITC at UMaine will allow the university to continue providing workforce development opportunities for companies and businesses throughout the state well after the initial project is completed. For our sustainability model, we will be offering fee-for-service training including Factory authorized robotics training with four robotics vendors, Industry 4.0 workforce training for companies and businesses throughout New England, utilize space and equipment for the Maine College of Engineering and Computing to add technical electives and a new minor in advanced manufacturing, and work with CTE schools across the state to train teachers in teaching for advanced manufacturing. Additionally, adult education courses could be developed and provided using the equipment and space.

- e. Explanation of the scope and substance of the project as needed to supplement (a) through (d) above. Note: scope includes an explanation of community impact, how the project ties to the University's mission, etc.**

The MCEC Student Success Center will be located on the 2nd floor of the AMC within space that is currently vacant. The Student Success Center will incorporate approximately 4 to 5 offices for staff, a front help desk, and open space for students. Industry 4.0 Manufacturing Training Innovation Center will also be located on the 2nd floor of the AMC within the industrial area that has housed many different research projects however is currently underutilized. The MITC will have learning spaces for students and industry to train on various types of robotics being implemented within industrial manufacturing. Both Centers will share a student / visitor lounge area. Additionally, a new main stairway that is easy to locate will bring students, faculty, staff, and visitors directly to both Centers on the 2nd floor.

- f. Changes, if any, in net square footage or ongoing operating costs resulting from the project**

No additional square footage will be added nor does the University anticipate additional operating costs resulting from the project.

3/22/24

g. Alternatives that were considered to meet the need being addressed by this project

N/A

h. Project Timeline for: 1. Start 2. Occupancy and 3. Completion

The University is currently in the beginning phase of hiring an engineering firm to complete design documents. Completion and occupancy of both Centers is anticipated for as early as the fall of 2024.

i. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

The University does not anticipate further consideration or action will be required.

j. Additional information that may be useful to consideration of the item.

Located in the center of the Engineering District next to Clock Plaza the Advanced Manufacturing Center (AMC) opened in 2007. With the Dean of MCEC currently located in the AMC and the creation of these two Centers the AMC will experience a revitalization.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the April 8, 2024 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System, acting through the University of Maine to expend up to \$4.5 million for renovations within the Advanced Manufacturing Center for the creation of the MCEC Student Success Center and 4.0 Industry Manufacturing Training Innovation Center.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: ASCC Building Addition UM;
Green Engineering and Materials (GEM) "Factory of the Future"

CAMPUS PRESENTER(S): Kelly Sparks, Vice President of Finance and Administration;
Chief Business Officer; and Habib Dagher, Executive Director, Advanced Structures and
Composites Center; Giovanna, Guidoboni, Dean, Maine College of Engineering and Computing

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets – Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

Standard Seven of NECHE's Standards for Accreditation states that UMS must "devote[s] sufficient resources to maintain and enhance its information, physical, and technological resources." On April 1, 2023, UMS formally established the Maine College of Engineering and Computing (MCEC) at the University of Maine, thanks to the Harold Alfond Foundation UMS TRANSFORMS initiative. MCEC builds a partnership between engineering programs at the University of Maine and the University of Southern Maine. In addition, there are engineering pathways programs at other UMS universities.

Educational and research learning opportunities in frontier areas of engineering and computing will be available to students across the System through GEM, thereby using some of the key affordances of unified accreditation to share opportunities across campuses.

UMS STRATEGIC PLAN CONNECTION:

Commitment One of the UMS Strategic Plan states that "The University of Maine System will serve the state of Maine, its constituents, and its economy through world-class research, teaching, and service to build new knowledge, create new technologies, and prepare students to find solutions to challenges in Maine and beyond."

Continued strategic and opportunity-driven growth in research at the University of Maine in the areas of 3-D printing technologies and with integration of AI opens enormous possibilities for educating engineering and computing students across UMS. Green Energy and Materials (GEM) Factory of the Future represents the latest in the development of a system-wide hub for the convergence of engineering and computing through MCEC.

BACKGROUND:

a. Summary of the request

The University of Maine System, through the University of Maine, is requesting an increase in the authorization for the Green Engineering and Materials (GEM) Factory of the Future

3/22/24

project by up to \$66,000,000 in external funds, making the new total \$81,300,000. The Board of Trustees authorized the initial expenditure of up to \$15,300,000 at the September 11th/12th, 2022 meeting. This additional funding is required for the full construction of the GEM, scheduled to begin in late summer/fall of 2024. The urgency stems from long lead times for essential components, including mass timber, electrical equipment, and other early-release construction packages to meet critical project milestones required by the funding sources.

GEM is an extension to the south of the Advanced Structures and Composites Center (ASCC) at the University of Maine and represents a convergence between MCEC educational and research objectives and the ASCC's commitment to being a world-leading interdisciplinary center for research, education, and economic development in material sciences, advanced manufacturing, and engineering of composites and structures.

This request follows Board Policy 701 – Budgets, Operating, and Capital, necessitating consideration by the Board of Trustees or its Finance, Facilities, and Technology Committee for projects exceeding \$500,000 in total cost.

b. Overall requested budget and funding source(s):

Funding for this project is from multiple sources, including \$1.5 million from UM E&G Capital, \$13.8 million from Maine Jobs & Recovery Act, \$17.82 million from National Institute of Standards & Technology (NIST), \$10.6 million from HAF MCEC, \$10.6 million from State of Maine Appropriations for Engineering, \$26 million from the Office of Secretary of Defense, and \$1 million from the Northern Board Regional Commission; overall funding from these sources combines to \$81.3 million.

c. Is the project included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees?

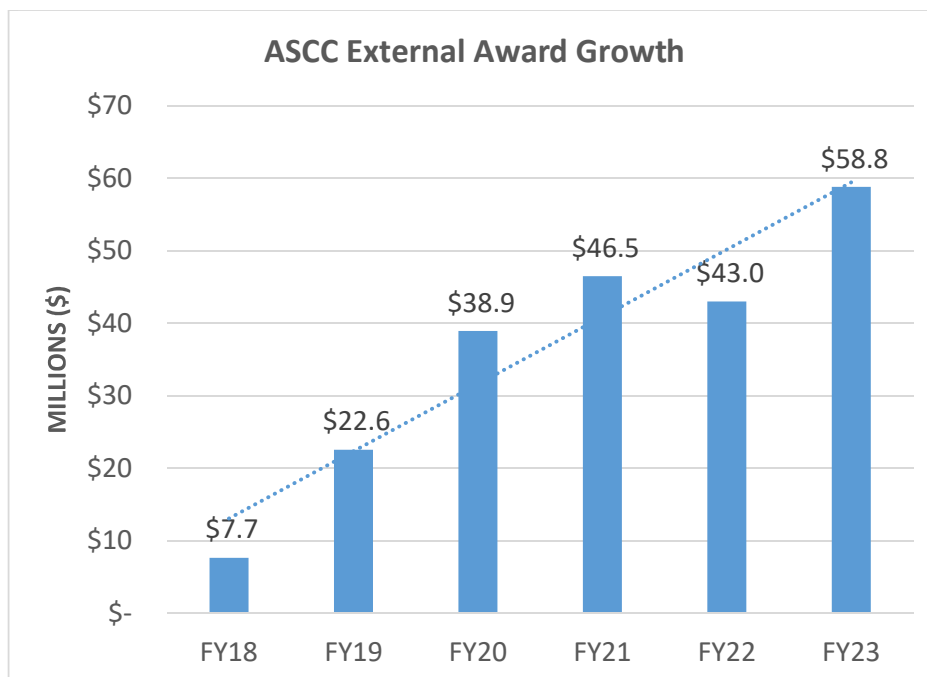
Yes, GEM is included in the University's Master Plan and 1-year capital plan most recently approved by the Trustees.

d. Detailed explanation of rationale for project and metrics for success of the project (ROI or other)

GEM will provide outstanding educational and economic opportunities in Maine by ushering in the next generation of large-scale bio-based additive manufacturing. GEM will house the *Factory of the Future* with the purpose of designing, manufacturing, and testing new products constructed from wood-derived nano-cellulose and other advanced materials, collaborating with industry, and training the next-generation manufacturing workforce, including undergraduates, graduate students, and working professionals. The *Factory of the Future* consists of highly instrumented arrays of AI-enabled 3D printers, high-performance computers, continuous fiber deposition heads, and machining systems and will enable research and development of novel bio-based feedstock materials as well as next-generation digital manufacturing processes. This facility represents a fulfillment of the shared vision for Maine and the University of Maine, as expressed in the UMS Board of Trustees Declaration of Strategic Priorities, Maine's Economic Recovery Committee Recommendations, Maine 10-year Economic Development Strategy, Harold Alfond UMS Transforms strategy aimed at re-imagining the future of Maine through innovation-led economic development. These plans coalesce around bio-based materials and climate-friendly technologies, sectors where Maine has competitive strengths, as the focus of future investment. GEM seizes these opportunities to provide world-class research and educational programs for UMS while serving as a multiplier for regional economic development and business formation.

3/22/24

GEM will support 150+ students annually in immersive research projects, increase the ability to confer PhDs to maintain UMaine’s R1 status, and significantly increase the ability to attract new funding for UMaine. ASCC’s current focus on manufacturing has increased federal funding by 160% in 5 years, contributing substantially to UMaine’s national reputation, attracting new faculty and research hires, providing opportunities for new students, and contributing substantial cash to UMaine’s operating budget.



MCEC will leverage the new GEM facilities to develop a concept analogous to that of a “teaching hospital” applied to engineering and computing. The mini-GEM lab will allow students across multiple academic programs to gain hands-on experience with bio-based materials used in manufacturing via 3D printing, along with data collection via multiple sensing technologies, data analysis to identify defects as they onset, and redirect the manufacturing process to address them in real time. Such an immersive and interactive learning environment also brings in aspects of physics, chemistry, and materials science, along with psychology and human-machine interactions in the factory of the future. The high quality of MCEC educational and research programs is recognized locally and nationally, with mechanical engineering being the largest major by enrollment across the University of Maine System, our graduate programs being ranked among the top 100 in the nation by the US News & World Report, and the Surveying Engineering Technology program being ranked among the top 2 in the nation. From fall 2022 to fall 2023, the MCEC total enrollment has grown of +2.86%, with the enrollment in doctoral and master’s degrees having increased of +9.91%. The new educational opportunities and learning environment made available by the new GEM facility will boost this growth and catalyze a substantial enrollment increase across many programs.

- e. **Explanation of the scope and substance of the project as needed to supplement (a) through (d) above. Note: scope includes an explanation of community impact, how the project ties to the University’s mission, etc.**

3/22/24

The facility is a two-story building, approximately 47,000 gross square feet. The facility includes two high-bay labs that each are approximately 7,800 square feet and full height and will house cutting-edge 3-D printing equipment. The south lab will provide students the opportunity to work directly with researchers on cutting-edge equipment. The north lab will provide the ASCC space to complete research projects that require security clearance. The facility also includes a dedicated space for learning and educational activities. These include: (i) a mini-GEM lab, which will be used as a hands-on learning lab in support of academic courses, capstone projects, and discovery-driven learning; (ii) two active learning classrooms, which will be equipped for remote connections to enable students from across the system to be engaged in the cutting-edge, immersive learning environment within GEM; and (iii) a multi-purpose collaborative space, which will be used for computing-based capstones and projects, engagement and outreach activities with K-12 students, panels and networking activities with industry, legislature, and more. Additionally, the facility will include open workspaces, offices, lab space, a research gateway, and other ancillary spaces.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project

The facility will add approximately 47,000 square feet to the University's total square footage and approximately \$1 million to annual operating costs. Operating costs will be supported by F&A rates applied to federal grants and contracts. These will be funded by a proportionate share of space between MCEC and ASCC. Currently, that breakdown is approximately 25% MCEC and 75% ASCC.

g. Alternatives that were considered to meet the need being addressed by this project

Due to the size of hybrid manufacturing equipment and the need for dedicated arrays of sensors and supporting infrastructure, alternatives are not available. In addition, the funders of this building require the construction of a dedicated facility to house purpose-built equipment.

h. Project Timeline for: 1. Start 2. Occupancy and 3. Completion

The project is estimated to be completed and occupied by the fall of 2026.

i. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

The ASCC is exploring additional funding for phase 2, including an 80' expansion of the two high-bay labs and the teaching/office/lab section. No timeline is currently set for phase 2.

j. Additional information that may be useful to consideration of the item.

The GEM project has received multiple approvals and has provided informational presentations at FFT and BOT meetings, notably on May 24, 2021, and September 11th/12th, 2023. Renderings of the GEM facility are provided.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the April 8, 2024 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System, acting through the

3/22/24

University of Maine to expend up to an additional \$66 million for a total of \$81.3 million for the construction of the Green Engineering and Materials (GEM) Factory of the Future.



3/22/24



3/22/24



LEVEL 1 - FLOOR PLAN - PHASE I

3/8" = 1'-0"



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University of Maine System
Board of Trustees
AGENDA ITEM SUMMARY

NAME OF ITEM: University of Maine (UM) Electrical Infrastructure Upgrade/Renewal

CAMPUS PRESENTER(S): Joan Ferrini-Mundy, President and Vice Chancellor for Research & Innovation; Kelly Sparks, Vice President for Finance and Administration & Chief Business Officer

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

712 – Debt Policy

UNIFIED ACCREDITATION CONNECTION:

According to Standard 7 of NECHE Standards for Accreditation, the University of Maine System (UMS) shall ensure, "Facilities are constructed and maintained in accordance with legal requirements to ensure access, safety, security, and a healthy environment with consideration for environmental and ecological concerns." In addition, UMS shall provide our community members with "physical and electronic environments [that] provide an atmosphere conducive to study and research."

In our 2020 NECHE Self-Study Documentation, UMS stated that it is "in ongoing planning and investment to improve the design and condition of its facilities, and to keep current with teaching methodologies and the ever-changing needs of students and faculty." The electrical infrastructure upgrade at the University of Maine (UM) serves as a pivotal project for both the Green Engineering Manufacturing (GEM) factory of the future and the University of Maine Energy Center (UMEC).

UMS STRATEGIC PLAN CONNECTION:

The enhancement of UM's electrical infrastructure is a key component of Commitment 2 - Effective Infrastructure Portfolio Actions, Goal 1.1. This goal focuses on addressing system-wide physical plant needs in conjunction with other infrastructure requirements, such as residence hall improvements.

The project is essential as it involves replacing critical infrastructure that has surpassed its useful life. GEM aligns with the goals of the University of Maine System Maine College of Engineering and Computing (MCEC) to expand statewide enrollment, while UMEC contributes to reducing the university's dependence on fossil fuels, thereby supporting the University of Maine System's carbon commitment goals.

BACKGROUND:

a. Summary of the request

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The University of Maine is seeking authorization to invest up to \$25 million in a crucial electrical infrastructure upgrade/renewal. This upgrade is necessary for the existing campus electrical distribution system, which is beyond its useful life and near its rated capacity. It is a prerequisite for future capital construction projects, including Green Engineering & Materials (GEM), University of Maine Energy Center (UMEC), Harold Alfond Foundation (HAF) UMS TRANSFORMS Athletics projects such as a new multipurpose arena, and high-speed EV charging capacity. Additionally, the upgrade is required to establish a foundation compatible with the future conversion to beneficial electrification of the campus.

b. Overall requested budget and funding source(s):

Funding for this project will be facilitated through a thirty-year University Bond, with debt service covered by UM E&G-funded depreciation and reduced energy costs.

c. Is the project included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees?

This project is identified in the 1-year capital plan most recently approved by the Trustees.

d. Detailed explanation of rationale for project and metrics for success of the project (ROI or other)

This project will reduce the risks of failures in our primary electrical infrastructure while aiming to support future campus projects that will require additional capacity.

e. Explanation of the scope and substance of the project as needed to supplement (a) through (d) above. Note: scope includes an explanation of community impact, how the project ties to the University's mission, etc.

The upgrade is essential due to the campus's electrical load growth, coupled with the age and capacity limitations of the existing system. It addresses immediate capacity needs for mission-critical services and aligns the university for anticipated future infrastructure projects to meet long-term growth. Anticipated electrical load growth, driven by increased air conditioning loads, beneficial electrification, and EV car charging, necessitates this upgrade. The peak demand is expected to double over the next 10 years.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project

The project will not increase net square footage.

g. Alternatives that were considered to meet the need being addressed by this project.

No alternatives exist, as this is an upgrade/renewal of the University's electrical infrastructure.

h. Project Timeline for: 1. Start 2. Occupancy and 3. Completion

Design is ongoing and expected to be completed by the summer of 2024. Work will commence in the summer of 2024, but due to long lead times for certain components, completion is anticipated in the summer of 2026. Projects like UMEC and GEM cannot be operational until electrical upgrades are complete.

i. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

N/A

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j. Additional information that may be useful to consideration of the item.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the April 8, 2024 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System, acting through the University of Maine to expend up to \$25 million for crucial upgrades and renewal of the university's electrical infrastructure.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: University of Maine Soccer complex / Track & field complex / Parking Lots & Roadways / Infrastructure – UMS TRANSFORMS Athletics Field Projects

CAMPUS PRESENTER(S): Joan Ferrini-Mundy, President, and Vice Chancellor for Research & Innovation; Kelly Sparks, Vice President of Finance & Administration & Chief Business Officer; Jude Killy, Director of Athletics

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

712 – Debt Policy

UNIFIED ACCREDITATION CONNECTION:

Standard 5.16 of NECHE's Standards for Accreditation states, "If the institution offers recreational and athletic programs, they are subordinate to the educational program and conducted in a manner that adheres to institutional mission, sound educational policy, and standards of integrity. The institution is responsible for the control of these programs, including their financial aspects. The institution maintains the same academic expectations for and affords the same academic opportunities to student-athletes as other students."

In alignment with NECHE standards and the system-wide investment by the Harold Alfond Foundation UMS TRANSFORMS initiative, the University of Maine Division of Athletics is actively engaging with other UMS universities across the state to offer access to our new athletic facilities. Club Lacrosse teams will use the new multiuse field located within the track. UM will proactively seek UMS-wide use of the new soccer complex and the new track & field complex.

UMS STRATEGIC PLAN CONNECTION:

Improvements of the University of Maine's athletics buildings and fields will improve access to recreational activities and serve communities across Maine in support of the University of Maine System Commitment 1 – Service Actions, Action 1: UMS will identify ways to support faculty, staff, and administration seeking to serve Mainers and Maine communities through outreach projects and related activities. Two primary examples include the future planned use of the new track and field complex for the Maine Special Olympics and the indoor practice field for local sports organizations.

Additionally, the investment in our D1 athletics facilities is in direct support of Commitment 2 - Effective Infrastructure Portfolio Actions, Goal 1.1: Address system-wide physical plant needs in relation to other infrastructure needs (e.g., residence hall improvement). We will modernize our aging facilities and improve net asset value. New athletic facilities will support the recruitment and retention of student-athletes and spectators.

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BACKGROUND:**a. Summary of the request**

The University of Maine System, acting through the University of Maine, requests authorization to spend up to \$27.3 million for the construction of a new soccer complex, new track & field complex, new parking lot to be located north of Alford Stadium, new roadway to be named Alford Way connecting the new complexes listed above as well as Field Hockey complex, and infrastructure to support existing and new athletic facilities as part of UMS TRANSFORMS. Projects are included in the UM Athletics 10-year Master Plan.

This request is pursuant to board policy 701, which requires board approval for projects with a value of \$500,000 or greater. Also, board policy 712 applies regarding the potential need for short-term borrowing of funds to complete the projects.

b. Overall requested budget and funding source(s):

Funding for this work will be from the Harold Alford Foundation (HAF) grant and matching gift contributions. The Harold Alford Foundation has made a \$170 million commitment to the University of Maine for major upgrades to the athletics facilities, and the university is expected to match these funds with \$38 million in gifts.

c. Is the project included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees?

The project is part of the Athletics 10-year Master Plan, which is reflected in the University's Master Plan and 1-year capital plan most recently approved by the Trustees.

d. Detailed explanation of rationale for project and metrics for success of the project (ROI or other)

As mentioned in previous requests, the mission of the University of Maine's intercollegiate athletics program is to provide equitable intercollegiate athletic opportunities and academic support for hundreds of university students. The renovations and construction will transform Maine's only Division I athletics program while improving athlete safety and advancing gender equity. This project is to upgrade and make modifications to an existing and outdated facility to reduce deferred maintenance, modernize prominent athletic facilities, positively affect student-athlete recruitment and retention, and improve fan experience within the facility.

e. Explanation of the scope and substance of the project as needed to supplement (a) through (d) above. Note: scope includes an explanation of community impact, how the project ties to the University's mission, etc.

The ambitious scope of this transformative project extends beyond the mere construction of a new Soccer Complex and Track & Field Complex. It envisions a comprehensive enhancement of the University of Maine's athletic facilities, involving the creation of cutting-edge sports infrastructure and associated amenities. Each facet of this multifaceted endeavor is being meticulously designed to not only meet current athletic needs but also the future of the university's sports facilities.

- **Soccer Complex:** The proposed soccer complex, to be located to the north of Mahaney Diamond, is poised to become a hub for the University of Maine's women's soccer program. The scope encompasses a state-of-the-art turf soccer field, ensuring optimal playing conditions. The elevated grandstand, with a seating capacity of approximately

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500, will provide an enhanced viewing experience for spectators. Additionally, the complex will feature an elevated press box, covered team areas, new fencing with branded windshield, protective ball netting at end lines, scoreboards, sound system, LED sports lighting, and broadcast capabilities. The planning of the complex is aimed at not only elevating the game experience for athletes but also creating a vibrant and engaging atmosphere for fans.

- **Track & Field Complex:** The proposed track & field complex, strategically positioned to the north of the new field hockey complex, is designed to be a versatile and dynamic facility. Serving the University of Maine's men's and women's track teams as well as various club sports, the complex will house a 400-meter eight-lane oval track. The comprehensive scope includes dedicated spaces for steeplechase, pole vault, high jump, long/triple jump, an interior multipurpose turf field, and exterior shot put, hammer/discus, and javelin areas. Spectator amenities such as seating with a capacity of approximately 350, a press box, a scoreboard, and a track timing system are integral to the design. A small parking lot primarily for bus drop-offs and ADA parking further ensures accessibility and convenience.
- **Infrastructure / Parking Lots / Roadways:** Beyond the individual complexes, the project extends to critical infrastructure improvements, roadways, and parking facilities. Electrical infrastructure enhancements originating from the University's east substation will traverse down to the athletic fields, ensuring a robust and sustainable power supply for the multiple field complexes and potential future construction, such as the planned multi-purpose arena. The creation of "Alfond Way," a new road along the north side of the football field, will seamlessly connect the new soccer complex, Mahaney Diamond, and a small parking lot between the track & field complex and the field hockey complex. Additionally, a new parking lot to the north of Alfond Stadium will replace event parking spaces that will be affected by the construction of the multi-purpose arena. This new parking facility will not only address logistical needs but also serve as a new tailgating location for football home games, enhancing the overall fan experience.

This expanded scope underscores the integrated approach of the project, showcasing how each component is intricately connected to create a comprehensive and modern athletic infrastructure for the University of Maine.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project

The projects include a minimal net increase to square footage related to dugouts, press boxes, and storage buildings for related field equipment. The University of Maine will set aside a reserve for building maintenance and renewal. Incremental costs will be funded through additional concessions, merchandise, rental fees for external users, and ticket sales across all athletic sporting events.

g. Alternatives that were considered to meet the need being addressed by this project

In the evaluation process, various scenarios were considered, including the possibility of integrating the soccer field within the track. However, due to the distinct playing size of a soccer field, this option was deemed impractical within the confines of a standard track.

h. Project Timeline for: 1. Start 2. Occupancy and 3. Completion

Design for the projects is underway, the soccer field design has been completed, and construction is anticipated to start this summer with completion prior to the start of the fall 2025 women's soccer season. Track and field design is ongoing, with construction

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anticipated to start in the summer of 2024 with substantial completion in the fall of 2025. Parking lots, roadways, and related infrastructure will be completed by the fall of 2025.

i. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

The university does not anticipate the need for further consideration for these projects.

j. Additional information that may be useful to consideration of the item.

At the September 11/12, 2022, BOT meeting, the Board approved the University of Maine System, acting through the University of Maine, to expend an additional \$26 million for a total of \$40 million to construction and make improvements to the phase 1 priority projects and to continue design work under the Athletics 10-year Master Plan. The soccer complex and track & field complex are included in the Athletics 10-year Master Plan, and design for this project is included in the approved design work.

The university is contracted with Consigli Construction for the remaining athletic field projects and multipurpose arena identified in the Athletics 10-year Master Plan. The university anticipates receiving a Guaranteed Maximum Price (GMP) from Consigli for the remaining field projects, roadways, parking lots, and infrastructure in the summer of 2024.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the April 8, 2024 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System, acting through the University of Maine to expend up to \$27.3 million for the design and construction of the soccer complex, Track & field complex, parking lots, roadway to be named “Alfond Way” and needed infrastructure as part of the UMS TRANSFORMS project and included in the Athletics 10-year Master Plan.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: UM Stewardship/Deferred Maintenance: HVAC Systems Upgrades – Hitchner Hall '87 Wing & Bennett Hall Lecture Wing

CAMPUS PRESENTER(S): Joan Ferrini-Mundy, President, and Vice Chancellor for Research & Innovation; Kelly Sparks, Vice President of Finance & Administration & Chief Business Officer

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

According to Standard 7 of NECHE Standards for Accreditation, the University of Maine System (UMS) shall ensure, "Facilities are constructed and maintained in accordance with legal requirements to ensure access, safety, security, and a healthy environment with consideration for environmental and ecological concerns." In addition, UMS shall provide our community members with "physical and electronic environments [that] provide an atmosphere conducive to study and research." Hitchner Hall '87 Wing is primarily used by faculty for research and lab work, while Bennett Hall Lecture Wing is a heavily used classroom space with multiple lecture halls.

In our 2020 NECHE Self-Study Documentation, UMS stated that it is "in ongoing planning and investment to improve the design and condition of its facilities, and to keep current with teaching methodologies and the ever-changing needs of students and faculty." The HVAC Systems Upgrades at the University of Maine (UM) serve this purpose.

UMS STRATEGIC PLAN CONNECTION:

The enhancement of UM's HVAC infrastructure is a key component of Commitment 2 - Effective Infrastructure Portfolio Actions, Goal 1.1. This goal focuses on addressing system-wide physical plant needs in conjunction with other infrastructure requirements, such as residence hall improvements.

We will modernize our aging facilities and improve net asset value. New HVAC systems in Hitchner Hall '87 Wing and Bennett Hall Lecture Wing will support the recruitment and retention of students, researchers, faculty, and staff who rely on these facilities for learning and scholarship activities.

BACKGROUND:

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a. Summary of the request

The University of Maine System, acting through the University of Maine, requests authorization for expenditure of up to \$8.5 million for Stewardship/Deferred Maintenance: HVAC Systems & Controls Upgrades for the replacement and upgrade of obsolete building HVAC systems within Hitchner Hall '87 wing and Bennett Hall Lecture Wing.

This request is pursuant to board policy 701 which requires board approval for projects with a value of \$500,000 or greater.

b. Overall requested budget and funding source(s):

Funding for these projects will be a combination of UM capital reserves (\$2M), E&G funded depreciation (\$1M), and external borrowing (\$5.5M) which will be repaid in part with energy savings and E&G funded depreciation.

c. Is the project included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees?

These projects are reflected in the university's 1-year capital plan most recently approved by the Trustees.

d. Detailed explanation of rationale for project and metrics for success of the project (ROI or other)

The existing systems have become unreliable, consume large amounts of staff time and financial resources to maintain, and are well past their useful life. Additionally, they are no longer meeting the needs of the buildings and the occupants. We anticipate that optimization of new HVAC building systems and controls will yield improved operational efficiencies, energy savings, and address a portion of the buildings' deferred maintenance.

e. Explanation of the scope and substance of the project as needed to supplement (a) through (d) above. Note: scope includes an explanation of community impact, how the project ties to the University's mission, etc.

The comprehensive scope of this initiative involves allocating up to \$8.5 million to address the critical need for renewing aging and obsolete HVAC building systems within the Hitchner Hall '87 Wing and Bennett Hall Lecture Wing. These systems, well beyond their useful life, have proven unreliable, putting university research at risk, creating an uncomfortable work and learning environments for faculty, staff, and students, and imposing a substantial burden on staff time and financial resources for maintenance.

Hitchner Hall '87 Wing, an integral research facility, will benefit from the modernization efforts, enhancing operational efficiency and reducing the strain on maintenance resources.

Bennett Hall Lecture Wing, a key lecture space for students, is an essential component of this project's scope. The existing HVAC system in Bennett Hall has become outdated, negatively impacting the learning environment for students attending lectures. Upgrading the HVAC systems in this lecture wing is paramount to creating a conducive and comfortable atmosphere, enhancing the overall educational environment for students.

The impact of these deferred maintenance initiatives is twofold. Firstly, the upgraded HVAC system of Hitchner Hall 87 Wing is crucial for enhancing the reliability and efficiency of building operations, supporting ongoing research activities, and preventing disruptions that could impede scientific advancements. Secondly, the modernization of Bennett Hall Lecture

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Wing's HVAC system is integral to providing students with an optimal learning environment, creating a healthier and more comfortable environment, and fostering engagement during the learning process.

The ramifications of not addressing this project promptly include the imminent risk of system failures, potentially disrupting both research activities and student lectures. By investing in these HVAC upgrades, the University not only aims to propel its research capabilities forward but also prioritizes the enhancement of the educational experience for students across the Hitchner Hall '87 Wing and Bennett Hall Lecture Wing. The impact of this project extends to the broader community, as the University's commitment to providing appropriate facilities to contribute to the success, satisfaction, and future achievements of its academic and research community.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project

There will be no change in net square footage. Anticipated benefits include reduced operating costs resulting from the installation of modern, energy-efficient building systems.

g. Alternatives that were considered to meet the need being addressed by this project.

No viable alternatives were identified to address the specific needs addressed by this project.

h. Project Timeline for: 1. Start 2. Occupancy and 3. Completion

Design is currently in progress, with an expected completion date in the summer of 2025.

i. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

N/A

j. Additional information that may be useful to consideration of the item.

At the May 23, 2022, Board of Trustees (BOT) meeting, approval was granted for the University of Maine System to expend up to \$10 million on HVAC system upgrades. These projects build upon the previously approved \$10 million that will be utilized for the renovations of the HVAC system within the Sawyer Building.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the April 8, 2024 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System, acting through the University of Maine to expend up to \$8.5 million for the replacement and upgrade of obsolete building HVAC systems within Hitchner Hall '87 Wing and Bennett Hall Lecture Wing.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: UM Hancock Hall Single-Use Bathroom Renovations

CAMPUS PRESENTER(S): Joan Ferrini-Mundy, President and Vice Chancellor of Research & Innovation; Kelly Sparks, Vice President for Finance and Administration & Chief Business Officer

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

NECHE Standard Five (Students) says that accredited institutions of higher education must, “recognize[s] the variations in services that are appropriate for residential students, at the main campus, at off-campus locations, and for distance education programs as well as the differences in circumstances and goals of students pursuing degrees.” In the UMS 2020 NECHE self-study, we identified that “Many UMS residence halls are outdated. To accommodate current and future students appropriately, UMS is focused on increasing the quality and diversity of housing options available System-wide.”

Renovating existing residence facilities to accommodate single-use bathrooms provides a greater diversity of housing options available on the University of Maine campus, which is in demand with our existing and prospective students. It will also enhance our capacity to cater to learners from across the University of Maine System who may travel to the Orono campus for teaching, research, or service opportunities.

UMS STRATEGIC PLAN CONNECTION:

Commitment Two of the UMS Strategic Plan states, “The University of Maine System will advance a financially sustainable and effective academic and infrastructure portfolio at every university and our law school supported through innovative programming and strategic collaborations.” Through strategic renovation of our existing residence facilities, we can better serve our campus community and attract prospective students who seek more diverse residential housing options. Under Effective Infrastructure Portfolio Actions Goal 1.1, we are committed to addressing system-wide physical plant needs in relation to other infrastructure needs (e.g., residence hall improvement).

BACKGROUND:

a. Summary of the request

The University of Maine System, acting through the University of Maine, seeks authorization to allocate up to \$1.5 million for renovating existing space to create single-use bathrooms

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within residential buildings, specifically Hancock Hall. The estimated project cost of \$1.5 million covers materials, labor, design, and associated expenses.

The proposed renovations at Hancock Hall include adding three single-use bathrooms on the basement level, two on the first floor, and six to seven on both the third and fourth floors, without reducing the overall number of residential rooms.

b. Overall requested budget and funding source(s):

Funding for this project will be sourced from the University's auxiliary capital reserves account.

c. Is the project included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees?

Yes, this project aligns with the University's Master Plan, Long-Term Capital Plan, and the most recently approved 1-Year Capital Plan by Trustees.

d. Detailed explanation of rationale for project and metrics for success of the project (ROI or other)

The University of Maine's decision to embark on the renovation project for Hancock Hall is driven by the university's goal to enhance the residential experience for students. The average age of our residential facilities is 63, and the net asset value is 48. The oldest building is Balentine, built in 1914, and the newest building, Dr. Edith Patch Hall, was built in 1991. As such, our buildings have experienced significant wear and tear and no longer meet the needs of our 21st-century students. While we complete a housing study with an external consultant and with the input of our students, residential life, student life, and housing staff, this project will achieve a critical first step towards improving our facilities around current and future students' needs.

e. Explanation of the scope and substance of the project as needed to supplement (a) through (d) above. Note: scope includes an explanation of community impact, how the project ties to the University's mission, etc.

The scope of this transformative project involves renovating existing spaces within Hancock Hall to create single-use bathrooms, responding directly to the preferences and needs of on-campus students. The renovations are part of a broader initiative strategically designed to optimize the University's infrastructure, enhance the student experience, and align with long-term goals. Key elements of the scope include:

Hancock Hall: The addition of three single-use bathrooms on the basement level, two on the first floor, and six to seven on both the third and fourth floors without reducing the overall number of residential rooms. Paint, flooring, and general refresh to shared-use spaces.

Hancock Hall, offering river views and situated near Wells Common, undergoing modifications, is positioned as one of the University's premium residential halls.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project

The project will not increase net square footage or operating costs.

g. Alternatives that were considered to meet the need being addressed by this project

The University explored alternatives, but the low occupancy, location, and floorplan made these two buildings optimal for single-use bathroom additions.

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h. Project Timeline for: 1. Start 2. Occupancy and 3. Completion

The University has engaged an engineering firm and a construction manager at risk (CMaR) for the work. Completion is targeted for early August to accommodate students moving in for the fall 2024 semester.

i. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

No further action is anticipated for this project directly. However, the University expects additional requests for renovation improvements within Hancock Hall and other existing residential halls.

j. Additional information that may be useful to consideration of the item.

Note:

N/A

The Finance, Facilities and Technology Committee approved this item to be forwarded to the April 8, 2024 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System acting through the University of Maine to spend up to \$1.5 million to make renovations within Hancock Hall Hall for the creating of single-use bathrooms for students that seek upgraded residential hall amenities not currently available within the two existing buildings.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Acceptance of Minutes

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The following minutes will be presented to the Board of Trustees for approval at the April 8, 2024, Board meeting:

June 6, 2023 – Executive Committee Meeting

September 21, 2023 – Investment Committee Meeting

October 13, 2023 – Audit Committee Meeting – Executive Session

October 16, 2023 – Academic and Student Affairs Committee Meeting

October 16, 2023 – Human Resources and Labor Relations Committee Meeting

October 18, 2023 – Finance, Facilities and Technology Committee Meeting

October 19, 2023 – Strategic Planning Committee Meeting

October 27, 2023 – Audit Committee Meeting

October 27, 2023 – Special Board Meeting

November 5-6th, 2023 – Board of Trustees Meeting

November 13, 2023 – Joint Executive Committee Meeting

December 6, 2023 – Executive Committee Meeting

December 18, 2023 – Investment Committee Meeting

January 8, 2024 – Academic and Student Affairs Committee Meeting

January 8, 2024 – Human Resources and Labor Relations Committee Meeting

January 10, 2024 – Finance, Facilities and Technology Committee Meeting

January 18, 2024 – Strategic Planning Committee Meeting

January 28-29, 2024, Board of Trustees Meeting

February 6, 2024 - Finance, Facilities and Technology Committee Meeting

March 4, 2024 – Academic and Student Affairs Committee Meeting

March 4, 2024 - Human Resources and Labor Relations Committee Meeting

March 4, 2024 - Executive Committee Meeting

March 6, 2024- Finance, Facilities and Technology Committee Meeting

March 7, 2024 - Strategic Planning Committee Meeting

The Board of Trustees website link to the minutes is: <http://www.maine.edu/about-the-system/board-of-trustees/meeting-minutes/>

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the minutes as presented.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: University of Maine at Fort Kent Fox Facility Renovation

CAMPUS PRESENTER(S): President Deb Hedeon and/or Pam Ashby, CBO

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY: 701- Budgets Operating & Capital

UNIFIED ACCREDITATION CONNECTION: Guiding Principle -preserve the academic, financial, and administrative operations of the University of Maine System universities that best serve the interests of University of Maine System students and the State and provide the highest quality educational experience. The Fox Auditorium upgrade will best serve the people of the St. John Valley to ensure that community members are engaged with the campus (serve interests of the public, NECHE standards 2 and 9); provide a space for cross campus collaborative efforts such as interprofessional continuing education (CE), ceremonies such as honor society induction ceremonies, pinning ceremonies, the Scholar's Symposium (to all of which UMPI students are invited). The Fox Auditorium upgrade assists continued compliance with NECHE Accreditation Standards because it supports the goals of Unified Accreditation in terms of ensuring the viability of our campus, providing meeting and ceremonial space for the public and our students.

UMS STRATEGIC PLAN CONNECTION:

The renovation of the Fox facility aligns with UMS Strategic Plan Commitment 1 by serving the State of Maine and its constituents, in partnership with Commitment 2 by addressing physical plant needs in a fiscally responsible manner.

BACKGROUND:

In 1969 the University of Maine System, through the University of Maine at Fort Kent, built the 20,937 square-foot Fox facility, which houses Fox auditorium. The seating capacity of 450 serves as the largest gathering and performing arts center in the St. John Valley.

Approximately 55 years is not only the construction age, but also the renovation age of Fox. Renovation is essential to continued use. The latest Gordian data indicates a Net Asset Value of 27%. Renovations will include installing a sprinkler system, auditorium carpeting, seating, painting, improved ADA accessibility, and lighting and sound system upgrades, with consideration to improvements to the stage, loading, and climate control.

This request is for authorization to spend up to \$4.5 million dollars for the renovation of Fox.

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Thanks to Maine's congressional delegation, \$4 million for this project was part of the FY23 appropriations bill for UMS projects. Contingency funding in addition to these earmark funds would be reallocated from other appropriate E&G funding sources if necessary.

The Finance, Facilities and Technology Committee approved this recommendation to be forwarded to the Consent Agenda for Board of Trustee approval at the April 8, 2024 Board meeting.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System, acting through the University of Maine at Fort Kent, to spend up to \$4.5 million dollars for renovations of the Fox building and auditorium.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Ground Lease Authorization, UMaine, Delta Tau Delta Fraternity

CAMPUS PRESENTER(S): Joan Ferrini-Mundy, President, and Vice Chancellor for Research & Innovation; Kelly Sparks, Vice President of Finance & Administration & Chief Business Officer

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

802 – Disposition of Real Property

UNIFIED ACCREDITATION CONNECTION:

Standard Five of the NECHE Standards for Accreditation states that, "As appropriate, the institution provides co-curricular activities and supports opportunities for student leadership and participation in campus organizations and governance." Fraternities and sororities enhance the quality of student life at the University of Maine. Their commitment to the ideals of the social Greek-letter community promotes and provides for their members' academic support, leadership development, avenues in which to develop life-long friendships, and opportunities to participate in service to the community. These community standards and efforts are an important contribution to the excellence of the University of Maine and the University of Maine System as a whole.

UMS STRATEGIC PLAN CONNECTION:

Commitment Four, Action Three of the UMS Strategic Plan states that the University of Maine System will, "Deliver student life and co-curricular programming that brings students together, fosters social cohesion, and is responsive to all students— traditional and adult, commuter and residential— regardless of instructional format." We are also committed to "Support[ing] community social and cultural gatherings and events to promote collegiality, fun, and a sense of institutional affiliation." The University of Maine's Office of Fraternity and Sorority Affairs under the Division of Student Life supports the UMS Strategic Plan in this area.

BACKGROUND:

a. Summary of the request:

The University of Maine System, acting through the University of Maine requests authorization of renewal of a ground lease for thirty years with Delta Tau Delta Fraternity for the land located at 111 College Avenue in Orono, Maine.

This request is pursuant to Board Policy 802, Disposition of Real Property, which requires leases with a total value of over \$100,000 and with a term greater than 5 years to be considered by the Board of Trustees or its Finance, Facilities and Technology Committee. In

this case, given the duration of the lease, the committee recommendation will be forwarded to the Consent Agenda at the April 7th/8th, 2024, Board meeting.

The chapter is in good standing with the University Office of Fraternity and Sorority Life. The proposed lease carries a term of thirty years with an annual rent of \$1. Delta Tau Delta lease dates back to 1921.

- b. Overall requested budget and funding source(s):**
N/A
- c. Is the project included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees?**
N/A
- d. Detailed explanation of rationale for project and metrics for success of the project (ROI or other).**
N/A
- e. Explanation of the scope and substance of the project as needed to supplement (a) through (d) above. Note: scope includes an explanation of community impact, how the project ties to the University's mission, etc.**
N/A
- f. Changes, if any, in net square footage or ongoing operating costs resulting from the project.**
N/A
- g. Alternatives that were considered to meet the need being addressed by this project**
N/A
- h. Project Timeline for: 1. Start 2. Occupancy and 3. Completion**
N/A
- i. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.**
N/A
- j. Additional information that may be useful to consideration of the item.**
N/A

The Finance, Facilities and Technology Committee approved this recommendation to be forwarded to the Consent Agenda for Board of Trustee approval at the April 8, 2024 Board meeting.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System, acting through the University of Maine to enter into a ground lease for the land at 111 College Avenue, Orono,

3/23/24

Maine for the term of thirty years with all final terms and conditions subject to review and approval of the University of Maine System Treasurer and General Counsel.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Confirmation of Student Representative to the Board of Trustees

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

Policy 205 – Faculty & Student Representatives to the Board of Trustees

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

To create the environment for interaction among and between Faculty and Student Representatives, the Trustees and System administration, the Trustees have provided opportunities for participation in the meetings of the committees of the Board.

One faculty member and one undergraduate student from each of the seven universities and one graduate student from the University of Southern Maine, one graduate student from the University of Maine, and one graduate student from the Maine Law School will be appointed by the Board as non-voting representatives to the Board of Trustees and invited to participate as non-voting members on the standing committees.

Normally, the representative is expected to complete a two-year term; therefore, it is an expectation that the minimum term of service by Faculty and Student Representatives to the Board be two years. The nominations will be forwarded through the Presidents or Dean of the Law School to the Chancellor for submission to the Board for Trustee approval.

The following nomination is being recommended by the campus:

Undergraduate Student Representative

Tristan Mitchell, UMF – Appointed for a 2-year term – April 2024 to April 2026

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the appointment of the Student Representative to the Board of Trustees as presented.

3/19/2024

2018 State Bond Project Status Report
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Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UMF								
274 Front St Renovation (2100096)	Substantially Complete	2020	2023	Bond (45%), Grants (55%)	\$1,400,000	\$1,400,000	\$3,100,000	Board approved up to \$3.1M in January 2022. \$1.4m in 2018 bonds, the remaining is from gifts, Maine Jobs Recovery Act funds and other congressional earmarks.
Olsen Center Renovations (2100102)	Construction in Progress	2023	2024	Bond (100%)	\$300,000	\$187,877	\$300,000	
**Campus ADA Ramps (2100104)	Complete	2021	2023	Bond (100%)	\$61,000	\$60,043	\$61,000	
Roberts HVAC Upgrade (2100106)	Substantially Complete	2021	2023	Bond (100%)	\$150,000	\$90,211	\$150,000	
Ricker Addition Renovation (2100108)	Construction in Progress	2021	2024	Bond (100%)	\$175,000	\$56,042	\$175,000	
**Scott West Renovation (2100110)	Complete	2021	2023	Bond (100%)	\$175,000	\$77,341	\$175,000	
FRC Façade Replacement (2100112)	Construction in Progress	2022	2024	Bond (100%)	\$925,000	\$719,751	\$925,000	Board approved up to \$925,000 in May 2022.
Dorm parking lot Paving (2100114)	Construction in Progress	2023	2024	Bond (100%)	\$60,000	\$0	\$60,000	
Security Camera&Phone Install (2100115)	Construction in Progress	2023	2024	Bond (100%)	\$100,000	\$37,587	\$100,000	
CAMPUS ESCO Project (2100117)	Construction in Progress	2024	2024	BOA Lease Oblig(100%) 2018 Bond & Campus (<1%)	\$169,821	\$169,821	\$11,700,000	Board approved up to \$11.7M in November 2022.
FRC Outdoor Court Install (2100136)	Construction in Progress	2024	2024	Bond (100%)	\$165,000	\$95,000	\$165,000	
*Percent for Art at UMF (2100137)	Construction in Progress	2024	2024	Bond (100%)	\$45,000	\$4,184	\$45,000	
Exterior Merrill Hall (2200096)	Substantially Complete	2020	2023	Bond (100%)	\$475,213	\$475,213	\$475,213	
Total Bond for Campus					\$4,201,034	\$3,373,070	\$17,431,213	
UMFK								
UMFK Enrollment/Advancement Center (3100042)	Substantially Complete	2022	2023	Bond (100%)	\$2,990,000	\$2,931,680	\$3,249,000	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K for a total of \$3,249,000.
Total Bond for Campus					\$2,990,000	\$2,931,680	\$3,249,000	

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Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UM								
Neville Hall Renovation (5100534)	Substantially Complete	2021	2023	Bond (100%)	\$1,500,000	\$1,443,638	\$1,500,000	Board approved up to \$1.5M expenditure in March 2021.
R-UMM Science Bldg Reno (5100581)	Construction in Progress	2022	2024	Bond (37%) State Appropriation (63%)	\$50,000	\$50,000	\$200,000	
R-Campus Paving & Grounds Upgr (5100650)	Design in Progress	2023	2024	Bond (100%)	\$400,000	\$95,262	\$400,000	
R-Dorward Hall Black Bear Lnge (5100666)	Design in Progress	2024	2024	Bond (100%)	\$450,000	\$40,719	\$450,000	
R-UMM O'Brien ADA Access Ramp (52000741)	Substantially Complete	2022	2023	Bond (100%)	\$50,000	\$25,274	\$50,000	
R-Powers Hall Building Upgra (5200776)	Construction in Progress	2023	2024	Bond (100%)	\$425,000	\$97,262	\$425,000	
R-Dorward Allergen Free Kitchen (5200786)	Substantially Complete	2023	2023	Bond (100%)	\$26,000	\$6,722	\$26,000	
R-Powers Hall Envelope Repair (5200802)	Pre-Design in Progress	2023	2024	State Appropriation (100%) Bond (0%)	\$50,398	\$0	\$225,000	
Total Bond for Campus					\$2,951,398	\$1,758,877	\$3,276,000	
USM								
Center of Excel. Teacher Ed. (6100299)	Substantially Complete	2023	2023	Campus Funds (45%) State Bond (27%) Gifts (28%)	\$250,000	\$250,000	\$998,000	Board authorized \$700,000 in January 2023; increase to \$925,000 authorized in March 2023. Chancellor approved \$73k increase Sept. 2023.
Career and Student Success Center (6100325)	Substantially Complete	2022	2023	Bond (71%) Gifts (18%) E&G (7%) Revenue Bond (4%)	\$18,950,000	\$18,950,000	\$26,600,000	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined with the residence hall project in January 2020. Board approved an increase by \$93.7M in February 2021, of that amount, the specific budget for the CSSC is \$26.6M.
USM Dubyak Center (6100342)	Substantially Complete	2022	2023	Gifts (2%), State Bond(41%) Grant(57%)	\$989,500	\$989,500	\$2,645,000	Board approved up to \$2.5 million in January, 2022. Additional \$250,000 authorized by Chancellor June 29, 2023. \$10.5k moved to % for Art (6200306).
LAC Deferred Maint Projects (6100367)	Substantially Complete	2022	2023	Bond (100%)	\$300,000	\$251,912	\$300,000	
IPE Lab Science Renov (6200286)	Substantially Complete	2022	2023	Bond (9%) Gifts (91%)	\$80,000	\$80,000	\$980,000	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.
Portland Percent Art (6200306)	Construction in Progress	2024	2024	Bond (100%)	\$70,500	\$48,148	\$70,500	
Total Bond for Campus					\$20,640,000	\$20,569,560	\$31,593,500	

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Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UMPI								
Wieden Renovation Bond (7100025)	Substantially Complete	2020	2023	2018 State Bonds (48%), Gifts(1%), Grants (19%), Campus Reserves/Internal Loan(32%)	\$3,757,280	\$3,704,636	\$7,852,280	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022. Chancellor approved \$200k increase Oct. 2023.
Folsom 105 Nursing Renovation (7100026)	Complete	2020	2023	Bond (100%)	\$759,720	\$719,300	\$759,720	Board approved \$800K March, 2020. Reduced to allow Wieden funding.
Total Bond for Campus					\$4,517,000	\$4,423,935	\$8,612,000	
Totals:					\$35,299,432	\$33,057,123	\$64,161,713	

Completed Bond Projects								
Augusta Campus Welcome Center (1100077)	Closed	2021	2021	Bond (100%)	\$350,388	\$350,388	\$350,388	UMA
Randall 2nd Floor Renovations (1100083)	Complete	2021	2022	Bond (100%)	\$93,492	\$93,492	\$93,492	UMA
Randall Center Student Lounge (1100084)	Complete	2021	2022	Bond (100%)	\$143,675	\$143,675	\$143,675	UMA
Randall Welcome Center (1100085)	Complete	2021	2022	Bond (100%)	\$1,741,576	\$1,741,576	\$1,741,576	UMA
Bangor Campus Welcome Center (1100534)	Complete	2021	2022	Bond (95%) E&G (5%)	\$462,308	\$462,308	\$486,141	UMA
Jewett Hall Boiler Design Work (1200062)	Complete	2021	2021	Bond (100%)	\$321,287	\$321,287	\$321,287	UMA
ACC Nursing Upgrades (1200082)	Complete	2022	2022	Bond (95%) E&G (5%)	\$43,341	\$43,341	\$45,856	UMA
Randall Admissions Renovations (1200083)	Complete	2021	2023	Bond (47%) E&G (38%) HEERF (15%)	\$172,275	\$172,275	\$368,620	UMA
Dearborn Gym Hot Water Upgrades (2100087)	Complete	2019	2022	Bond (90%) Energy Bond (10%)	\$764,755	\$764,755	\$848,752	UMF
274 Front St Acquisition (2100089)	Complete	2019	2019	Bond (100%)	\$850,820	\$850,820	\$850,820	UMF
Scott Hall Renovations (2100092)	Complete	2019	2022	Bond (100%)	\$193,660	\$193,660	\$193,660	UMF
Dakin Hall Shower Renovations (2100093)	Complete	2019	2022	Bond (100%)	\$95,707	\$95,707	\$95,707	UMF
Lockwood Hall Shower Renovations (2100094)	Complete	2019	2022	Bond (100%)	\$87,103	\$87,103	\$87,103	UMF
Stone Hall Renovations (2100095)	Complete	2019	2022	Bond (100%)	\$181,117	\$181,117	\$181,117	UMF
UMF Campus Paving (2100097)	Complete	2019	2019	Bond (100%)	\$97,338	\$97,338	\$97,338	UMF
FRC Floor Renovation (2100098)	Complete	2019	2019	Bond (100%)	\$209,503	\$209,503	\$209,503	UMF
Mantor Library Renovations (2100103)	Complete	2021	2022	Bond (100%)	\$270,503	\$270,503	\$270,503	UMF
Dakin Flooring, Ceiling, Light (2100105)	Complete	2021	2021	Bond (100%)	\$206,187	\$206,187	\$206,187	UMF
Merrill Hall HVAC Upgrade (2100107)	Design Complete	2021	2022	Bond (100%)	\$35,127	\$35,127	\$35,127	UMF
Scott North Renovation (2100109)	Complete	2021	2022	Bond (100%)	\$98,605	\$98,605	\$98,605	UMF
FRC Roof Replacement (2100111)	Complete	2021	2022	Bond (100%)	\$308,727	\$308,727	\$308,727	UMF
**Lockwood Hall Heat Conversion (2100116)	Complete	2023	2023	Bond (100%)	\$464,318	\$464,318	\$464,318	UMF
Scott South Renovations (2200102)	Complete	2022	2022	Bond (100%)	\$132,222	\$132,222	\$132,222	UMF
Stone Hall Suite Conversion (2200109)	Complete	2022	2022	Bond (100%)	\$194,947	\$194,947	\$194,947	UMF
CHP Boiler door rebuild (2200110)	Complete	2023	2023	Bond (100%)	\$2,059	\$2,059	\$2,059	UMF

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Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
Completed Bond Projects Continued								
FAB Emergency lighting (2200113)	Complete	2023	2023	Bond (100%)	\$9,870	\$9,870	\$9,870	UMF
Underground fuel tank removal (2200115)	Complete	2023	2023	Bond (100%)	\$22,230	\$22,230	\$22,230	UMF
UMM Science Building Roof Repl (4100042)	Complete	2020	2020	Bond (100%)	\$280,487	\$280,487	\$280,487	UMM
UMM Dorward Hall Roof Replacement (4100043)	Complete	2020	2020	Bond (100%)	\$296,092	\$296,092	\$296,092	UMM
UMM Sennett Roof Replacement (4100044)	Complete	2020	2020	Bond (100%)	\$201,257	\$201,257	\$201,257	UMM
UMM Reynolds Renewal (4100047)	Complete	2021	2022	Bond (100%)	\$320,475	\$320,475	\$320,475	UMM
UMM Reynolds Center Roof Repair (4200044)	Complete	2020	2020	Bond (100%)	\$154,226	\$154,226	\$154,226	UMM
UMM Site Work (4200045)	Complete	2020	2020	Bond (100%)	\$57,365	\$57,365	\$57,365	UMM
UMM Dorward Hall Roofing (4200048)	Complete	2021	2022	Bond (100%)	\$32,939	\$32,939	\$32,939	UMM
UMM Science Bldg Rm 010 Renovation (5100575)	Complete	2021	2022	Bond (100%)	\$100,885	\$100,885	\$100,885	UMM
R-Dorward Hall Access Upgrade (5100596)	Complete	2022	2023	Bond (100%)	\$133,276	\$133,276	\$133,276	UMM
R-Science Building Drainage (5200777)	Complete	2023	2023	Bond (100%)	\$20,175	\$20,175	\$20,175	UMM
R-UMM Building Signage (5200778)	Complete	2022	2022	Bond (100%)	\$26,856	\$26,856	\$26,856	UMM
Woodward Hall Renovations (6100301)	Complete	2019	2019	Bond (86%) E&G (14%)	\$1,008,395	\$1,008,395	\$1,172,840	USM
Ricci Lecture Hall Renovations (6100308)	Complete	2019	2020	Bond (31%) Gifts (43%), E&G (26%)	\$172,010	\$172,010	\$564,197	USM
Bailey Hall Fire Protection and Electrical Upgrades (6100316, 6100323)	Complete	2019	2022	Bond (35%) E&G (65%)	\$1,460,000	\$1,460,000	\$4,160,740	USM
Nursing Simulation Lab Science (6100327)	Complete	2021	2022	Bond (100%)	\$1,405,623	\$1,405,623	\$1,405,623	USM
Upper Class Pipe Insul Replmnt (6100366)	Complete	2022	2023	Bond (100%)	\$62,671	\$62,671	\$62,671	USM
Upper Class Hall Online Locks (6100369)	Complete	2022	2023	Bond (100%)	\$376,123	\$376,123	\$376,123	USM
Totals:					\$13,661,996	\$13,661,996	\$17,226,058	
GRAND Total (Active and Completed Projects)					\$48,961,429	\$46,719,119	\$81,387,771	
Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. Completed projects will remain on this report unless otherwise specified. *** Projects will be removed from the report.	Funding source(s) reflects primary source(s) for project.	Calendar Year unless otherwise noted.						Bond Funding expended reflects total expended as of January 31, 2024.

Capital Project Status Report
Board Approved Projects
April 2024 - Board of Trustees
With Grand Totals and % Expended of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UMA									
LAC Dental Expansion (1100088)	Grants (100%)	Design in Progress	2024	2024	\$665,000	\$665,000	\$6,521	1.0%	Board approved \$665,000 in August, 2023.
Medical Laboratory Technology (1100093)	Campus Funds(14%) Grant(26%) Internal Loan(60%)	Substantially Complete	2023	2024	\$1,650,000	\$2,000,000	\$1,524,451	76.2%	Board approved \$1,650,000 in March, 2022. Board approved increase to \$2M in January 2023.
Camden Hall Vet Tech (1100095)	Campus Funds(16%) Internal Loan(84%)	Substantially Complete	2023	2023	\$1,600,000	\$1,600,000	\$1,420,388	88.8%	Board approved \$1,600,000 in March, 2022.
*Capital Center Nursing (1100102)	Campus Funds (100%)	Pre-Design in Progress	2024	2024	\$6,300,000	\$6,300,000	\$34,506	0.5%	Board approved \$6,300,000 in January 2024

UMF

274 Front St Renovation (2100096)	Bond (45%), Grants (54%), Campus Funds (1%)	Substantially Complete	2020	2023	\$450,000	\$3,100,000	\$3,119,703	100.6%	Board approved up to \$3.1M in January 2022.
FRC Façade Replacement (2100112)	Bond (100%)	Construction in Progress	2022	2024	\$925,000	\$925,000	\$719,751	77.8%	Board approved up to \$925,000 in May 2022.
Campus ESCO Project (2100117) (2100118-131 & 2200116-131)	BOA Lease Oblig(100%) 2018 Bond and Campus Funds (<1%)	Construction in Progress	2024	2024	\$11,700,000	\$11,700,000	\$10,231,756	87.5%	Board approved up to \$11.7M in November 2022.
Purington Restroom Renovation (2100133)	State Appropriation (100%)	Construction in Progress	2024	2024	\$2,700,000	\$2,700,000	\$204,423	7.6%	Board approved \$2.7M in July 2023
Mallett restroom renovation (2100134)	State Appropriation (100%)	Construction in Progress	2024	2024	\$2,700,000	\$2,700,000	\$219,504	8.1%	Board approved \$2.7M in July 2023

UMFK

UMFK Enrollment/Advancement Center (3100042)	2018 State Bond (100%)	Substantially Complete	2022	2023	\$3,249,000	\$3,249,000	\$2,931,680	90.2%	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K for a total of \$3,249,000.
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Capital Project Status Report
Board Approved Projects
April 2024 - Board of Trustees
With Grand Totals and % Expended of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UM									
UM Ferland Engineering, Education and Design Center (5100458, 5100493, 5100546, 5200604)	Campus Funds (<3%), State Approp (24%) Gifts (31%), 2022 Revenue Bond (10%) Notes Payable(32%)	Substantially Complete	2024	2024	\$1,000,000	\$78,000,000	\$71,003,158	91.0%	Board approved \$1M in September, 2017. Board approved additional \$8M in May, 2018. Additional \$63M BOT approved March, 2020 Initial occupancy of this facility is expected in 2022; final completion in 2024. Board authorized up to \$78M in Jan' 22.
**UM Energy Center Phase II (5100516, 5100517, 5200774)	Campus Funds (41%) Grants (43%), 2022 Revenue Bond (16%)	Design in Progress	2023	2024	\$5,700,000	\$8,700,000	\$1,161,870	13.4%	Board approved \$5.7M March, 2019. Board approved additional \$3M in January 2024.
Neville Hall Renovations (5100534)	State Bond (100%)	Substantially Complete	2021	2023	\$1,500,000	\$1,500,000	\$1,443,638	96.2%	Board approved up to \$1.5M expenditure in March 2021.
**ASCC Secure Clean Lab Suite (5100560)	Grants (100%)	Construction in Progress	2023	2024	\$2,451,268	\$4,732,006	\$2,056,822	43.5%	Board authorized \$2,451,268 in March 2022. Board approved increase to \$4,332,006 August 2023. Chancellor approved additional \$400k in January 2024
**ASCC Building Addition GEM Lab (5100579), *GEM-North Eng Annex Renov (5100690), *GEM Equipment (5100692), *GEM Demo Sculpture Studio (5200852), *GEM ASCC Willcott Relocation (5200853), *GEM Haz Waste-FSB Relocate (5200854)	Grants (82% -MJRP) Operating Reserves (18%)	Design in Progress	2025	2025	\$1,500,000	\$15,300,000	\$3,952,725	25.8%	Board approved \$1.5M May 2021. Board authorized additional \$13.8M September 2022.
*Hauck Lighting System (5100582)	Campus Funds (100%)	Design in Progress	2024	2024	\$740,000	\$740,000	\$124,349	16.8%	Board approved \$740,000 in January, 2024.
HVAC Systems & Controls Upgrades (5100587, 5100647, 5100654)	Campus Funds (100%)	Pre-Design in Progress	2024	2024	\$10,000,000	\$10,000,000	\$182,330	1.8%	Board authorized up to \$10M in May 2022.
UM Priority 1 Athletics fields (Soccer - 5100593, Field Hockey - 5100594, Softball - 5100597), HAF West Connector Roadway (5100678)	Gifts (7%) HAF Grant (93%)	Substantially Complete (5100594, 5100597, 5100678); Design in Progress (5100593)	2023	2024	\$14,000,000	\$33,000,000	\$17,326,999	52.5%	Board authorized \$14M in January 2022. Board authorized additional \$19M in September 2022.
**UM Phase 2 Projects (Baseball Stad. Scoreboard - 5100652, Alford Arena Video, Sound, Lights - 5100653, Athletics Infrastructure - 5100672, *HAF Track & Field Design - 5100680, Master Plan - 5200696)	HAF Grant (85%) Internal Loan (9%), Gifts (6%)	Design in Progress (5100680); Construction in Progress (5100672); Substantially Complete (5100652, 5100653, 5200696)	2024	2024	\$7,000,000	\$5,000,000	\$4,159,458	83.2%	Board authorized \$7M in September 2022. Reduced to \$5M to reflect up to \$2M for Mahaney Dome replacement project (5100664)
Modernization of Witter Farm (5100631, 5200846, 5200847)	System Reserves (30%) Campus Funds (70%)	Design in Progress	2023	2024	\$800,000	\$2,600,000	\$475,880	18.3%	Authorized by FFT at June, 2022 meeting. Increase to \$2.6M approved by BOT July 2023.
*Aroostook Farm Modernization (5100655)	Grants (100%)	Design in Progress	2025	2025	\$2,875,000	\$2,875,000	\$36,323	1.3%	Board approved \$2,875,000 in January 2024.
**Shawn Walsh Renovation (5100658)	HAF Grant (77%) Internal Loan (23%)	Design in Progress	2025	2025	\$46,000,000	\$46,000,000	\$2,166,852	4.7%	Board approved \$46M in January 2024.
**Boudreau Hall renovation (5100663)	Gifts (100%)	Substantially Complete	2023	2024	\$700,000	\$700,000	\$572,985	81.9%	Board authorized \$700,000 in March 2023.
**Mahaney Dome replacement (5100664)	Campus(100%)- Will be Insurance proceeds	Substantially Complete	2023	2024	\$3,000,000	\$3,000,000	\$1,999,812	66.66%	Board authorized \$3M in March 2023 - partially funded from August 2022 HAF Athletics authorization.
*PFAS Lab (5100676)	Campus (100%)	Design in Progress	2025	2025	\$7,000,000	\$7,000,000	\$8,641	0.1%	Board approved \$7,000,000 in January 2024.
*Dunn Nursing Simulation Lab (5100689)	Campus (100%)	Construction in Progress	2025	2025	\$2,000,000	\$2,000,000	\$21,319	1.1%	Board approved \$2,000,000 in January 2024.
**UM Adaptive Reuse project/Historic P3 (5200661, 5200765)	Campus Funds- Aux and E&G Reserves (100%)	Construction in Progress	2023	2024	\$2,000,000	\$3,500,000	\$3,458,306	98.8%	Board authorized for UM contribution of up to \$2M in October 2021. Board authorized additional \$1M in March 2022. Board approved additional \$500,000 in January 2024.

Capital Project Status Report

Board Approved Projects

April 2024 - Board of Trustees

With Grand Totals and % Expended of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
USM									
Center for Teaching Innovation (6100299)	Campus Funds (45%) State Bond (27%) Gifts (28%)	Substantially Complete	2023	2023	\$700,000	\$998,000	\$927,043	92.9%	Board authorized \$700,000 in January 2023; increase to \$925,000 authorized in March 2023. Chancellor approved \$73k increase Sept. 2023.
USM Center for the Arts (6100300)	Gifts (100%)	Construction in Progress	2022	2025	\$1,000,000	\$63,000,000	\$9,523,925	15.1%	Board approved \$1M in January, 2018. Board authorized an additional \$3.2M for a total of \$4.2M in November 2021. Board authorized new budget of \$63M in March 2023.
Career and Student Success Center and Portland Residence Hall (6100325, 6100338)	2018 State Bond (20%), 2022 Revenue Bond (54%) Notes Payable (19%) Campus Funds(2%) Gifts(5%)	Substantially Complete	2020	2023	\$1,000,000	\$100,600,000	\$97,476,529	96.9%	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined for the two projects in January 2020. Board approved an increase by \$93.7M in February 2021. Chancellor approved additional \$1.2M for Res. Hall in June, 2022.
Structured Parking Garage (6100331)	Campus Funds (<1%) 2022 Revenue Bond (95%) Notes Payable (5%)	Substantially Complete	2022	2023	\$1,200,000	\$23,500,000	\$21,375,316	91.0%	Board approved in March 2020 with initial spending limit of \$400,000; addtl \$800,000 authorized by the Chancellor and VCFA and Treasurer in April, 2021. Board authorized a new total of \$23m in November, 2021. Chancellor approved additional \$0.5M in June, 2022.
Academy Building Renovation (6100332)	Campus Funds (100%)	Construction in Progress	2022	2024	\$800,000	\$1,300,000	\$1,013,059	77.9%	Authorized by FFT at June, 2022 meeting. Board approved additional \$500,000 in October 2022.
USM Dubyak Center (6100342)	Gifts (2%), State Bond(41%) Grant(57%)	Substantially Complete	2022	2023	\$2,500,000	\$2,750,000	\$2,425,618	88.2%	Board approved up to \$2.5 million in January, 2022. Additional \$250,000 authorized by Chancellor June 29, 2023.
Relocation of Deering Farmhouse (6100360)	Campus Funds (100%)	Construction in Progress	2025	2025	\$2,000,000	\$2,000,000	\$890,934	44.5%	Board authorized \$2M in January 2023 with a limit of \$565k in Campus funding. Additional \$750k of Campus funding authorized in August 2023.
*Brooks Esports Arena (6100394)	Gifts (100%)	Design in Progress	2024	2024	\$750,000	\$750,000	\$24,879	3.3%	Board approved \$750,000 in January 2024.
USM IPE Lab (6200286)	Gifts (91%) State Bonds(9%)	Substantially Complete	2022	2023	\$482,000	\$980,000	\$937,328	95.6%	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.

Capital Project Status Report
Board Approved Projects
April 2024 - Board of Trustees
With Grand Totals and % Expended of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UMPI									
UMPI Solar Array (7100023)	Campus Funds (100%)	Complete	2020	2022	\$700,000	\$1,144,240	\$1,061,578	92.8%	Board approved \$700K June, 2020. Board approved an increase to \$1,144,240 during the August 2021 Executive Committee.
Wieden Renovation Bond (7100025)	2018 State Bonds (48%), Gifts(1%), Grants (19%), Campus Reserves/Internal Loan(32%)	Substantially Complete	2020	2023	\$3,757,000	\$7,852,280	\$7,677,721	97.8%	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022. Chancellor approved \$200k increase Oct. 2023.
Folsom 105 Nursing Renovation (7100026)	2018 State Bonds (100%)	Complete	2020	2023	\$800,000	\$760,000	\$719,300	94.6%	Board approved \$800K March, 2020. Budget reduced by \$40K due to funds to Wieden Renovation.
**Park Hall Improvements (7100029)	State Appropriation 100%	Complete	2023	2023	\$662,000	\$662,000	\$634,208	95.8%	Board approved \$662,000 at June 2022 FFT meeting.
Emerson Hall Improvements (7100032)	State Appropriation 100%	Construction in Progress	2024	2024	\$920,000	\$920,000	\$395,094	42.9%	Board approved \$920,000 at April 25, 2023 FFT meeting
**Kelley Commons Dining Upgrades (7100033)	Campus Funds (100%)	Complete	2023	2024	\$750,000	\$750,000	\$37,975	5.1%	Board approved \$750,000 at June, 2023 FFT meeting

UMS/Law School

300 Fore St Portland Renovation (8100152)	Gifts (16%), Campus Funds(66%), State Appropriation (18%)	Substantially Complete	2022	2022	\$6,000,000	\$13,827,396	\$13,777,256	99.6%	Board approved \$6M September 2021. Board approved increase to \$11.5M in Jan '22. Board authorized additional \$1,327,396 in March 2022.
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\$481,379,922 \$289,461,913

HAF projects which are currently below board level

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Total Expense to Date	Prior Actions, Information & Notes
UM - Morse field Turf Replacement (5100559)	Campus Funds (59%) Gifts (41%)	Complete	2021	2021	445,516.76	HAF Funded/Cost share project. Below Board level.
MCEC Boardman Hall Study (5100679)	HAF Grant/HAF Match (100%)	Pre-Design	TBD	2024	10,238.81	HAF Funded/Cost share project. Below Board level.
*HAF Multi Purpose Arena (5100688)	HAF Grant/HAF Match (100%)	Design in Progress	2024	2024	16,437.67	HAF Funded/Cost share project. Below Board level.
UM - Engineering Ph III - MCECIS Master Planning (5200692)	HAF Grant/HAF Match (100%)	Complete	TBD	2023	480,485.48	HAF Funded/Cost share project. Below Board level.
Alfond Stadium Ventilation (5200844)	HAF Grant/HAF Match (100%)	Construction in Progress	TBD	2024	44,321.52	HAF Funded/Cost share project. Below Board level.
Mahaney Baseball Clubhouse Deck (5200850)	HAF Grant/HAF Match (100%)	Construction in Progress	TBD	2024	64,287.39	HAF Funded/Cost share project. Below Board level.

Explanatory Notes:

* Project is new as of this report.

** Details of this project include updates since the last report.

*** This project has been completed since the last report and is not expected to appear on the next report.

Highlighted: Board level HAF and P3 Projects

Funding source(s) reflects primary source(s) for project.

Calendar Year unless otherwise noted.

Percentage expended reflects total expended as of January 31, 2024 as a percentage of the current approved project estimate.

Capital Projects Status Report and 2018 Bond Projects Update, UMS

Executive Summary

The Capital Project Status Report for the March 6, 2024, meeting of the Finance, Facilities, and Technology Committee of the University of Maine System is attached. This report provides a comprehensive update on the status of capital projects, market impacts, 2018 bond project status, research space approvals, and specific project details.

Key Capital Project Highlights:

- **Total Projects:** 43 projects are currently active, with one new each at UMA and USM, and five new projects at UM since the last report.
- **Approved Project Estimates:** Over \$480 million approved an increase of 20% since the February meeting; with approximately 60% expended to date.
- **Project Highlighting:**
 - Yellow: Current P3 projects.
 - Green: Projects at Board approval level utilizing Harold Alfond Foundation (HAF) grant and matching money.
 - Separate Table: HAF projects below Board approval level.
- **2018 Bond Funds:** A few projects funded with 2018 bond funds remain on the Capital report pending the Percent for Art process realization and project closeout.

Continuing Market Impacts on Capital Construction

- Market instability, equipment delays, and labor shortages continue to create challenges for the bidding and estimating climate.

2018 Bond Project Status Report

- **Active Projects:** 30; one new project added at UMF
- **Closed Projects:** 44
- **Total Projects:** 74
- **Funding:** Nearly all of the \$49 million in voter-approved general obligation bond funding has been allocated, with over \$46.7 million expended.
- **Supplemental Funding:** Over \$81 million, including bond funding and other resources.
- **Reporting:**
 - Active Projects above Board Threshold also appear on Capital Project report.
 - As projects are closed, they are moved to the closed section and will remain on the report until all projects are completed.

Research Space Approvals

- Gulf of Maine Research Institute – 350 Commercial St. 3,264sf of wet lab and office space

Project Updates

- **UMA MLT Lab**

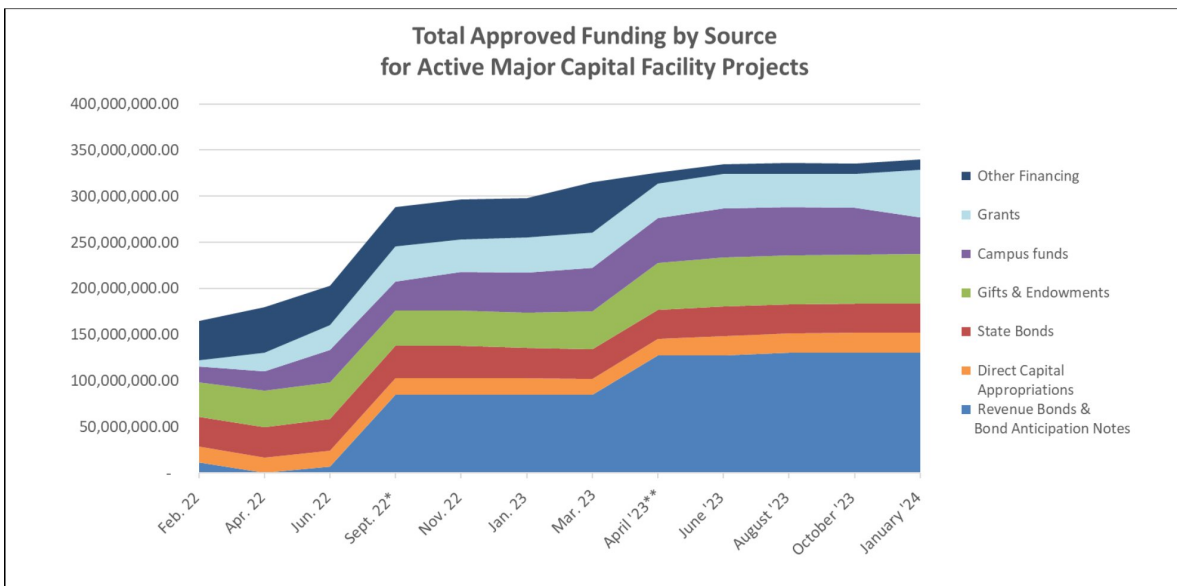
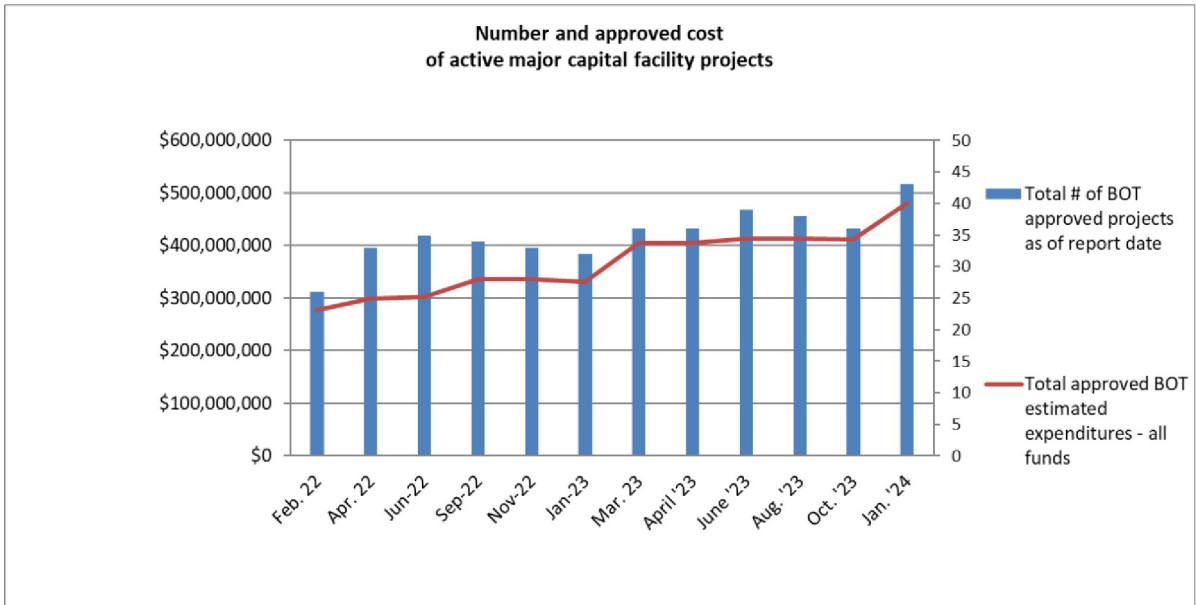
Construction and fitout of the Medical Laboratory Technology program space in Robinson Hall in Augusta was completed early this year, followed by a ribbon cutting/grand opening on February 14.

- **USM Center for the Arts**

Construction continues with an anticipated completion of summer of 2025. Current construction activities: above grade structural masonry is nearing completion; structural steel is approximately 25 % complete; under slab utility installation is underway; site utility work is complete; structural footings and foundations are complete.

- **UM GEM**

UMaine's Green Engineering and Materials project continues through the design process. Current design development level plans and specifications are being reviewed and estimated to confirm costs are in alignment with the construction budget. The next phase of design, Construction Documents, is anticipated to begin in April 2024 upon reconciliation of the estimate and budget.

Data**Data Notes:****Explanation of Funding Categories:**

- **Direct Capital Appropriations Funds:** These consist of State capital appropriations in anticipation of UMS revenue bonding, as well as State MEIF funds. They represent specific allocations made by the State for capital projects.
- **Campus Funds:** This category may include internal loans or other funding

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mechanisms that are generated and managed within the University's financial structure.

- **Other Financing:** This category includes interim financing, master lease agreements, and other miscellaneous sources as available and/or expended. Due to the complexity and lower relative value of the funding sources in this category, such amounts are not reclassified if later refunded with other sources.
- **Reason for Categories:** These categories help in understanding the various sources of funding for the projects and provide transparency in how funds are allocated, utilized, and reported.

April 2023 - Reclassification of Bond Anticipation Note (BAN):

- **Details:** In April 2023, there was a reclassification of the Bond Anticipation Note (BAN) from the category “Other financing” to “Revenue Bonds & BANs.”
- **Reason:** This reclassification was made to better align project funding sources and ensure that the categorization accurately reflects the nature of the BAN within the financial reporting structure.

September 2022 - Adjustment to Reflect Incorrect Funding Sources:

- **Details:** The data for September 2022 was adjusted to correct inaccuracies in the reporting of funding sources that were identified in the October 2022 report.
- **Reason:** This adjustment ensures that the historical data accurately represents the funding sources and aligns with the correct categorization and accounting principles.

Attachments
Board Report 20024
Bond Report