

University of Maine System – Board of Trustees Meeting
September 10-11, 2023
at the University of Southern Maine
Wishcamper & McGoldrick Center

AGENDA

Sunday, September 10, 2023

Call to Order @ 2:00 pm

The Board of Trustees will go directly into Executive Session under provisions: 1 MRSA Section 405 6-A, C, D & E.

Executive Session from 2:00 pm to 5:00 pm, Conference Room 102, Wishcamper

President Edmondson will provide a brief overview/presentation of the history of the McGoldrick Center, Room 102, Wishcamper

McGoldrick Center Tour for Trustees & System Staff @ 5:20pm – Lobby of McGoldrick Center
-USM will provide a short tour of the new facility.

Reception @ 6:15 pm (Cash Bar) – Mezzanine – Abromson Community Education Center, 88 Bedford Street, Portland Campus
(By Invitation Only)

Dinner @ 6:45 pm – Abromson Community Education Center, First Floor
(By Invitation Only)

Monday, September 11, 2023

Coffee & Networking @ 8:30 am

Call to Order/Reconvene @ 9:00 am – 2nd Floor Conference Room, McGoldrick Center

Citizen Comment

Individuals who wish to participate in Citizen Comment must indicate their name and topic on the signup sheet, which will be available in the meeting room on September 11, 2023 starting at 8:00 am until 8:25 am.

The Board of Trustees provides time for citizen comment prior to the business agenda at each meeting. The Chair of the Board will establish time limits (usually three minutes per person) and determine any questions of appropriateness and relevancy. Personnel decisions, collective bargaining issues, grievances, litigation and other areas excludable from public discussion under the Maine Freedom of Access Law shall not constitute appropriate matters for such input. A person who wishes to speak during the citizen comment period should arrive prior to the meeting start time and sign up on a sheet provided, indicating name and topic of remarks.

Approx. 9:15 am

Chair's Report

- President's Round Robin

Tab 1 – [Resolution for Trustee Beth Dobson](#)

Tab 2 - [Policy for Student Trustee Update](#)

Approx. 9:45 am

Chancellor's Report

- Chancellor's Update

Approx. 9:55 am

Vice Chancellor for Academic & Student Affairs' Report

Tab 3 – [Vice Chancellor for Academic and Student Affairs Update](#)

Approx. 10:25 am

Vice Chancellor for Research and Innovation's Report

Tab 4- [Vice Chancellor for Research and Innovation Update](#)

Approx. 10:55 am

Vice Chancellor for Strategic Initiatives' Report

Tab 5- [Strategic Initiatives Update/ Early College Update](#)

Approx. 11:35 am

Vice Chancellor for Finance and Administration & Treasurer's Report

Tab 6- [Finance & Administration Update](#)

Approx. 12:15 pm

Action Item

Tab 7 – [Confirmation of Faculty and Student Representatives to the Board](#)

Lunch Break (Timing of the lunch break will be at the discretion of the Chair)

Approx. 12:45 pm

Action Items

Tab 8– [Reimbursement Resolution, UM Factory of the Future](#)

Tab 9– [University of Maine, Advanced Structures and Composite Center \(ASCC\), Secure Smart Textiles Laboratory Authorization Increase](#)

Tab 10– [Renewal of Allagash Wilderness Camp Land Lease, UMFK](#)

Tab 11– [Deering Farmhouse Renovation Revenue Allocation, University of Southern Maine](#)

Approx. 1:30pm

Consent Agenda

Tab 12- [Acceptance of Minutes](#)

Date of the Next Meeting:

November 5-6, 2023, meeting will be held at the University of Maine at Presque Isle.

Public Meeting conclude at approximately 1:40 pm.

Executive Session – following the public meeting, if needed

Attachments

VCRI Sept 2023 Presentation
Annual Campus Profiles 2022
Reimbursement Resolution UM – Factory of the Future
Previously Approved AIS – Factory of the Future
University of Maine System Managed Investment Pool
University of Maine System Operating Fund Total Fund Performance
University of Maine System Pension Plan Total Fund Performance
Previously Approved AIS – Deering Farmhouse Renovation, USM
FY2024-FY2025 Capital Investment Plan
FY2024-FY2025 5 Year Capital Plan
Previously Approved AIS – Secure Laboratory, ASCC, UM
UMFK Allagash Wilderness Camp
Draft Student Trustee Policy

Reports

Agenda Calendar

Bond Report
Capital Projects Report
Capital Projects Summary
FY2024 FFT Committee Work Plan – Updated

Tabs noted in red text are action items.

Note: Times are estimated based upon the anticipated length for presentations or discussion of a particular topic. An item may be brought up earlier or the order of items changed for effective deliberation of matters before the Board.



University of Maine System Board of Trustees
Board Meeting Participant Instructions

Date: September 10-11, 2023

Location: University of Southern Maine, hosted by President Jacqueline Edmondson

Parking

Parking is available in the Abromson Parking Garage, 88 Bedford Street, Portland Campus.

Hotel

Overnight accommodations for those that requested, have been made at the AC Hotel by Marriott in Downtown Portland, 158 Fore St, Portland ME 04101, (207) 747-1640

Dinner Invitation

A reception and dinner are scheduled for the evening of September 10, 2023. Individuals invited to the reception and dinner have been notified and include Trustees, Faculty & Student Representatives to the Board, Chancellor Malloy, Vice Chancellors, Associate Vice Chancellor, Presidents, the UMS Senior Staff and honored guests of President Edmonson. All invited guests have been provided an invitation with further details.

Board of Trustees Meeting Location & Times

Please refer to the agenda for all information concerning locations and timing of the Board of Trustees meeting.

Faculty & Student Representative Meetings

The following rooms have been held on Monday, September 11th, starting at 7:00 am for the Faculty & Student Representatives to meet in their respective groups:

Faculty – 2nd Floor Board Room/Dining Room of the McGoldrick Center

Students – 2nd floor balcony or 1st floor lobby lounge (in front of the Pub) of the McGoldrick Center (this location will also be utilized for Sunday, September 10th at 1pm if needed)

Emergency Contact

In the event of a postponement, cancellation, or changes to the Board of Trustees meeting, every effort will be made to personally contact the Board of Trustees, the Presidents, System Staff Members and the Faculty and Student Representatives to the Board.

Incoming messages can be left with Kayla Flewelling at 745-2782.



- = Accessible Parking
- = Emergency Telephone
- = Bike Rack
- = Gorham-Bound METRO Husky Line
- = Portland Downtown METRO Husky Line
- = Crosswalk
- = Stairs
- = Food and Coffee
- = Portland Health Center
- = Admissions Welcome Center
- = Car Charging Station
- = Employee Parking
- = Student Parking
- = Student, Faculty, Staff, & Public Parking
- = Campus Building



University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Resolution for Beth Dobson

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND

Beth Dobson served as a Trustee for the University of Maine System from 2021 to 2023. She has been a dedicated and engaged Board member including service of the following standing committee:

Human Resources & Labor Relations Committee (Chair)
Executive Committee

TEXT OF PROPOSED RESOLUTION:

Beth Dobson served as a dedicated member of the Board of Trustees for the University of Maine System from 2021 to 2023, a short but impactful term. Throughout her time as a Trustee, Beth consistently demonstrated a judicial temperament that contributed to thoughtful and meticulous deliberations on all matters brought before the Board of Trustees and addressed at the Committee level. Beth also made significant contributions to the University of Maine System by actively participating as a member of the Chancellor's Review Team and played a crucial role in the search committee that successfully identified and appointed Dr. Jenifer Cushman as President of the University of Maine at Augusta. In addition, Beth has also exhibited exemplary leadership by overseeing the Human Resources and Labor Relations Committee's review of personnel policies, ensuring that best practices were identified and worked with a dedicated team of Human Resources personnel to ensure transparency and accountability.

The University of Maine System Board of Trustees hereby expresses its deep appreciation and gratitude to Beth Dobson for her outstanding service as a Trustee.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Student Trustee Policy Update

INITIATED BY: Trish Riley, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

Draft Policy enacting the Private and Special Law 2023, Chapter 14 (LD1656), Section 217
Selection of the University of Maine System Board of Trustees Students Members

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

Private and Special Law 2023, Chapter 14 requires that effective no later than July 1, 2024, the Board include two student members, one who is a full-time undergraduate student in good standing and the other who is a full-time graduate student in good standing. The Governor of the state shall appoint the undergraduate student member based on the joint recommendation of the undergraduate student government associations representing each student body at the University of Maine, the University of Maine at Augusta, the University of Maine at Farmington, the University of Maine at Fort Kent, the University of Maine at Machias, the University of Maine at Presque Isle and the University of Southern Maine and the graduate student member based on the joint recommendation of the graduate student government associations representing each graduate student body at the University of Maine, the University of Southern Maine and the University of Maine School of Law.

Attachment

[Draft Student Trustee Policy](#)

Governance and Legal Affairs**Section 217 Selection of University of Maine System Board of Trustees Student Members**

Effective: 11/6/23

Responsible Office: Clerk of the Board, Office of the Vice Chancellor of Academic and Student Affairs, University Student Government Associations

Policy Statement

Private and Special Law 2023, Chapter 14 requires that effective no later than July 1, 2024, the Board include two student members, one who is a full-time undergraduate student in good standing and the other who is a full-time graduate student in good standing. The Governor of the state shall appoint the undergraduate student member based on the joint recommendation of the undergraduate student government associations representing each student body at the University of Maine, the University of Maine at Augusta, the University of Maine at Farmington, the University of Maine at Fort Kent, the University of Maine at Machias, the University of Maine at Presque Isle and the University of Southern Maine and the graduate student member based on the joint recommendation of the graduate student government associations representing each graduate student body at the University of Maine, the University of Southern Maine and the University of Maine School of Law, though the graduate student may be enrolled at any UMS university.

The two student members may not be from the same institution and each must be enrolled at a different institution than their immediate predecessor.

Each student trustee serves a two-year term as a full voting member, and is subject to all relevant State laws and the policies and responsibilities of the Board, including, but not limited to, those detailed in the System's Charter (Private and Special Law 1985, Chapter 532); Board Policy 103, Bylaws of Board of Trustees; Board Policy 206, Ethics Code and Conflict of Interest; and University of Maine System Board of Trustees Operating Principles. Consistent with this, a student trustee may not send a proxy in their place to Board meetings in the event they are unable to attend.

This policy details the process by which student members to the Board are recommended to the Governor for appointment and confirmation by the Maine Legislature.

Definitions

Full-time: An undergraduate student shall be deemed to have full-time status if they are enrolled in 12 credit hours or more per regular (Fall/Spring) semester. Full-time status for a graduate student is defined as being enrolled in six or more credit hours per regular (Fall/Spring) semester, however, in the case of doctoral students who have been admitted to candidacy or who are on approved internships, one credit each fall and spring semester is considered full-time.

Good standing: A student shall be deemed to be in good standing if they are not on probation or under suspension for any reason.

Undergraduate student government association: Recognized undergraduate student government associations include: the University of Maine Student Senate, the University of Maine at Augusta General Assembly, the University of Maine at Farmington Student Senate, the University of Maine at Fort Kent Student Senate, the University of Maine at Machias Student Senate, the University of Maine at Presque Isle Student Government Association and the University of Southern Maine Student Government Association.

Graduate student government association: Recognized graduate student government associations include the University of Maine Graduate Student Government, the University of Southern Maine Graduate Student Board and the University of Maine School of Law Student Bar Association.

University of Maine System Student Trustee Selection Council: The UMS Student Trustee Selection Council is responsible for reviewing candidates provided by each eligible student government association and bringing forth a single candidate and an alternate candidate for each student trustee position.

The members of the Council responsible for selecting the undergraduate student who will be recommended to the Governor for appointment to the Board include the President of each undergraduate student government association or their designee and the undergraduate student representatives to the Board. The members of the Council responsible for selecting the graduate student who will be recommended to the Governor for appointment to the Board include the President of each graduate student government association or their equivalent or designee and the graduate student representatives to the Board. In the event that an individual who would otherwise serve as a member of the Council is a candidate for a student trustee position, they shall not be eligible to participate in the selection process and the student government association shall designate one of its members to take their place as an alternative Council member.

Prior to July 1, 2024, the Council will be chaired by the current student trustee, but they shall not be a voting member. Selection processes that occur after the initial appointments made consistent with P & SL 2023, Ch. 14 will be co-chaired by the President of the student government associations from which the most recent student trustees were enrolled, who shall also be eligible to vote on the candidate (undergraduate SGA representative for the undergraduate student trustee and graduate SGA representative for the graduate student trustee).

Procedures

Each undergraduate and graduate student government association shall determine its own process for selecting a student trustee candidate to put forward by Dec. 1, 2023 and by Nov. 1 every two years thereafter or as needed to the System Student Trustee Selection Council,

provided that process is inclusive, fair and that decisions are made entirely by students. Each student government association must adopt a policy detailing its selection process, which must be accessible to all members of the student body, including by being posted on the association's public website. In making their recommendations, both individual student government associations and the Council shall strive to put forth qualified candidates who are representative of the student body and who enhance the knowledge and diversity of the Board, including as it relates to education; experience; age, gender and gender identity, race, and socio-economic status; and geographic representation within the state.

To inform the selection process, the Board Office shall make a student trustee position prospectus available on its website. Student government associations must provide that prospectus to all interested candidates, and it will also be available on the Board Office website. Within available resources, the Board Office will also provide administrative support to the Council as requested by the Chair(s), including scheduling and hosting of Council meetings.

The undergraduate student government association from the university where the most recent undergraduate student trustee is/was enrolled and the graduate student government association from the university where the most recent graduate student trustee is/was enrolled may not bring forth candidates for the Council's consideration, but the Presidents of those associations and the student representatives to the Board from those universities do participate in the Council's selection as detailed above.

By Jan. 15, 2024 and Dec. 15 or as needed every two years thereafter, the Council shall determine and report to the Board Office its joint recommendation of a single candidate each for the undergraduate student trustee position and graduate student trustee position, as well as an alternate candidate for each position. The Council shall determine its own process for making its recommendations, and submit an overview of that to the Board Office, along with the names, contact information, and any materials on the recommended candidates that were considered during the selection process that may be helpful (e.g. CV/resume, letter of interest, etc.)

The Board Office will work with the candidates to prepare their required appointment materials and will then transmit the Council's recommendation and those completed appointment materials to the Office of the Governor no later than Feb. 15. Required appointment materials typically include, but may not be limited to, a current resume, tax clearance and the questionnaire for all confirmable positions that must be provided to the Legislature by the Office of the Governor. Candidates are also subject to a background check. If a candidate does not provide completed materials by Feb. 15 or if the review of submitted materials by the Office of the Governor prevents the nomination because of ethical concerns, findings from the background check, or legal conflicts of interest, the Board Office will notify the Council and begin to work with the alternate candidate(s) to prepare required appointment materials for submission. In the event that both the candidate and the alternate candidate cannot be appointed by the Governor and/or confirmed by the Legislature, the Governor shall appoint a student trustee at their discretion.

Student trustees serve a two-year term until a successor is appointed, except in the event that they are no longer a student at the University of Maine System or they are longer meet the eligibility requirements of this policy and P & SL 2023, Ch. 14, at which point the seat is vacated until a successor who serves a full two-year term is confirmed. However, a student trustee who graduates in May may continue to serve through June 30 of that same year.

In the event that a student trustee must resign their position prior to the expiration of their term, the Board Office will immediately notify the members of the UMS Student Trustee Selection Council, who must recommend a new candidate and an alternate candidate within 60 days, unless a greater duration is allowable due to the Legislature's schedule and mutually agreed upon by the Council and the Board Office.

If the student government associations do not make a joint recommendation by Jan. 15, 2024 and Dec. 15 or as needed every two years thereafter, the Governor shall appoint the student members at the Governor's discretion, but shall not appoint a student from the institution at which the most recent student trustee was also enrolled.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Vice Chancellor for Academic and Student Affairs update

INITIATED BY: Jeffrey St. John, Vice Chancellor for Academic and Student Affairs

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY: N/A

UNIFIED ACCREDITATION CONNECTION:

Board engagement and transparency

BACKGROUND:

Vice Chancellor for Academic and Student Affairs Jeffrey St. John will update the Board in three areas:

- Annual Campus Profiles
- Adult degree completion initiatives
- Math pathways partnership with the Maine Community College System

Attachment

[Annual Campus Profiles 2022 FINAL](#)

Annual University Profiles 2022



AUGUST 28, 2023

**Office of the Vice Chancellor for Academic
and Student Affairs**



The University of Maine System

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Introduction to the 2022 University of Maine System Campus Profiles

The University of Maine System (UMS) Annual Campus Profiles project was launched under Chancellor Dannel Malloy's direction as a collaboration among System and university staff. The Profiles are assembled and published in the calendar year following the completion of the academic year on which their data and accompanying narratives are based. Their purpose is to provide a clear and accurate overview of our universities and law school from year to year.

For questions about this report, please contact the Office of the Vice Chancellor for Academic and Student Affairs at VCASA@maine.edu.

Thank you.

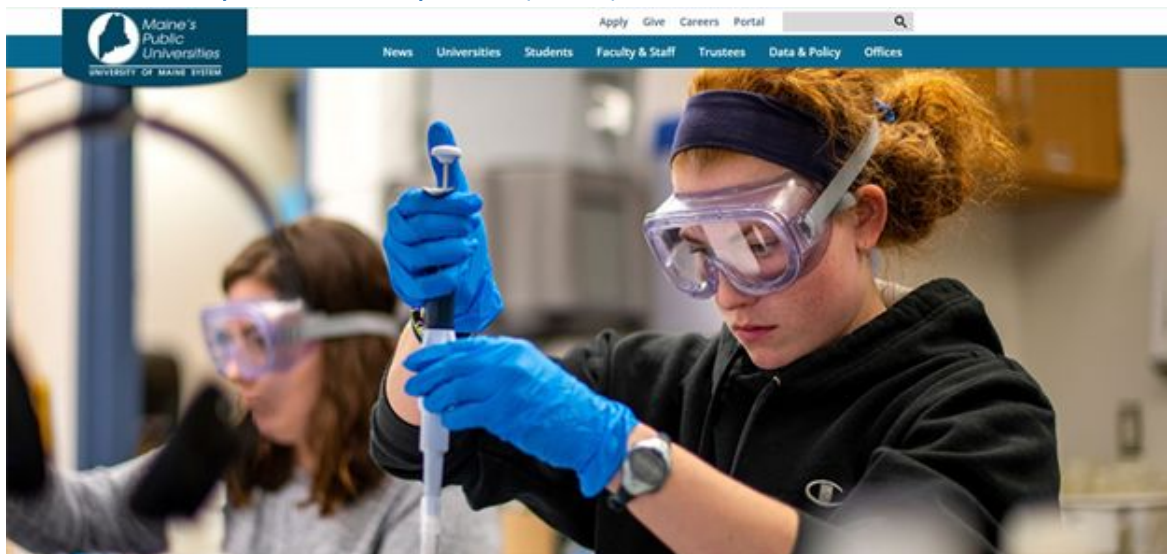
Jeffrey St. John, Vice Chancellor for Academic and Student Affairs
University of Maine System

Data Credits:

Budgeted State Support, Budgeted University Support: Miriam White
Research: Darla Reynolds
Employee FTE: James Clark
Undergrad Tuition and Fees: David Jones
Fall Enrollment, Degrees Conferred, Return Rates: Bob Zuercher
Core Financial Ratios: Darla Reynolds
Data Compilation: Office of the VCASA

The University of Maine System

The University of Maine System (UMS)



The University of Maine System (UMS) is Maine's largest educational enterprise, comprising seven universities, the Maine School of Law, and eight outreach centers. With more than 24,000 students of all ages enrolled across the state, UMS prepares our students to be leaders in business, education, government, health care, and other industries.

This report outlines trends for each university, and to the extent of the available data, provides a snapshot of the period 2014-2022. Following the System overview, highlights from each University of Maine System university and the Law School are included. System information is organized by university and reflects the following trend categories:

- Enrollment
- Student Success
- Academic Programs
- Staffing
- Finance
- Research

Enrollment

The University of Maine System has seen a -9.26% decrease in Fall FTE enrollment for 2018-2022. In 2018, UMS had 21,120 FTE students, and in 2022 had 19,163 FTE students. Total credit hours have decreased by -10.61% for the

The University of Maine System

same period. Compared to Fall 2018, the University of Maine System delivered 32,261 fewer credit hours for Fall 2022. There was a -16.89% decline in headcount and a -15.97% decline in credit hours for the 2014-2022 period. It is important to note that overall enrollment data does not include Early College students.

Student Success

Before the COVID pandemic, the University of Maine System demonstrated improvement in measures of student success. For example, in 2016, the average return rate (the rate at which students from one fall returned in the following fall) for students who had 0-29 credit hours was 66.19%, and for students who had 30-59 credit hours, it was 80.6%. In 2019, the return rate for students who had 0-29 credit hours rose to 68.6%, and for students who had 30-59 credit hours, it increased to 81%. Return rates declined in 2021 to 66.1% for students between 0-29 credit hours and 78.8% for students between 30-59 credit hours.

Academic Programs

The University of Maine System offers rich academic programs, including 258 bachelor's, 106 master's, and 38 doctoral or juris doctorate degrees. The largest program areas are Biological and Biomedical Sciences, Health Professions, Computer and Information Sciences, Business, Engineering, Education, Liberal Arts, and the Humanities. Since 2016-2017, bachelor's degree programs in Nursing have been among the fastest-growing across UMS, with 305 more students enrolled in 2020-21 compared to five years earlier (1,678 vs. 1,983, an 18% increase). Cyber/Information Security programs have also grown considerably (104 majors in 2015-16 compared to 283 in 2021-22, a 172% increase).

Early College

Early College enrollment has experienced significant increases during this reporting period. The five-year increase from 2018-2022 was 39%, and the unduplicated headcount increased by 352 students. System-wide, credit hours increased by 25% between FY20 and FY21. Total expenses for UMS for Early

The University of Maine System

College were \$1,710,403, and revenues were \$5,507,979, in FY2021. Expenses include System office expenses and allocations to support Early College offices at each university. Revenue includes tuition (self-pay and Aspirations program reimbursement from the Maine Dept. of Education) and state appropriation. Early College expenses do not include the lost opportunity to enroll students who would otherwise have paid full tuition at the time of matriculation. However, revenue does include tuition payments for concurrent enrollment courses taught at a student's high school, which are only generated through the Early College program.

Staffing

Staffing data is calculated as full-time equivalent (FTE) and is accurate as of October 31 of each reporting year. The data shows a -47.37% decrease in administrator positions and a -.64% decrease in salaried staff positions for 2013-2022. Full-time faculty positions have declined by -5.33% in the same period. The overall number of FTE positions has increased by 1.3% in the last five years, and by 5.8% over the last ten years. This can be compared to credit hour declines of -4.7% in the past five years and -12.1% in the past ten years.

Financial Resources

The Composite Financial Index (CFI) measures an institution's overall financial health based on four core ratios: primary reserve ratio, net operating revenues ratio, return on net position ratio, and viability ratio. The industry benchmark for an institution's CFI is 3.0, with a higher measure indicating a stronger financial position. A CFI snapshot offers a more balanced view of the institution's finances, because the strength of one measure may offset weakness in another. In 2015, the UMS CFI was 1.80. In 2021, it had improved to 5.30. In 2022, it declined to 2.7. Note that the 2021 CFI was inflated due to COVID-related federal recovery dollars.

Research

The University of Maine System (UMS) performs basic, applied, and developmental research. Spending is not an all-encompassing measure of

The University of Maine System

research activity, but research spending is one national measure by which institutions are compared. UMS has grown its research enterprise by 54.4% in four years. In 2018, UMS spent 117M on research; in 2022, that number rose to 180M. Most UMS research growth originated from the University of Maine.

Major Projects

After the successful March 2023 completion of a comprehensive evaluation led by our regional accreditor, the New England Commission of Higher Education (NECHE), the University of Maine System turned its attention to finalizing a new five-year system-wide strategic plan, our first in nearly two decades. We have also launched significant enrollment initiatives aimed at reaching traditional-age students and adult learners, respectively.

2023-2028 UMS Strategic Plan

The strategic plan is grounded in a student-centered, sustainability-focused approach to aligning and maximizing resources under unified accreditation. It is anchored in five core commitments:

1. Advancing the State of Maine through teaching, research, and service.
2. Strengthening our System through financial sustainability and effective academic and infrastructure portfolio management.
3. Making our System a destination for public higher education and a respected, engaging, and rewarding workplace.
4. Creating a sense of belonging in our System through our commitments to justice, equity, diversity, and inclusivity, including opportunities for learning through multiple modalities (i.e., types of instruction).
5. Expanding the ability of our universities and law school to collaborate and flourish through our unified accreditation.

Following the Board of Trustees' May 2023 plan approval, our presidents began campus-level preparations for meeting retention, research, enrollment, and workforce and economic development goals. Concurrently, System staff began developing an implementation plan in coordination with the Board's Strategic Planning Committee. A steering committee co-led by the Vice Chancellor for

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Strategic Initiatives and the Vice Chancellor for Academic and Student Affairs will oversee implementation in close consultation with the Chancellor, presidents, and vice chancellors.

Direct Admissions and Adult Degree Completion initiatives

Direct Admissions is a growing enrollment management strategy in which colleges and universities contact qualified potential students who have not applied to their institutions and offer them admission.

The University of Maine System introduced the first phase of a multi-stage Direct Admissions campaign in April 2023, initially targeting Early College high school students. As of mid-August 2023, we are on track to meet our Phase One enrollment goal (for the Fall class) by the end of August. This initiative will ultimately reach all qualified Maine high school seniors with an offer of admission to one of our universities.

To reach the more than 185,000 Maine adults with some college credits but no degree or other credential of value, the University of Maine System is rolling out a coordinated series of Adult Degree Completion (ADC) initiatives in the 2023-24 academic year. System leaders invited each of our universities and Maine Law to devise and deploy a strategic ADC initiative capitalizing on— and in many cases, augmenting— existing strengths: for example, a degree-completion academic program, or an adult learner advising center.

We will be developing university-level and System-wide degree-completion targets for these initiatives in fall 2023.

The University of Maine System

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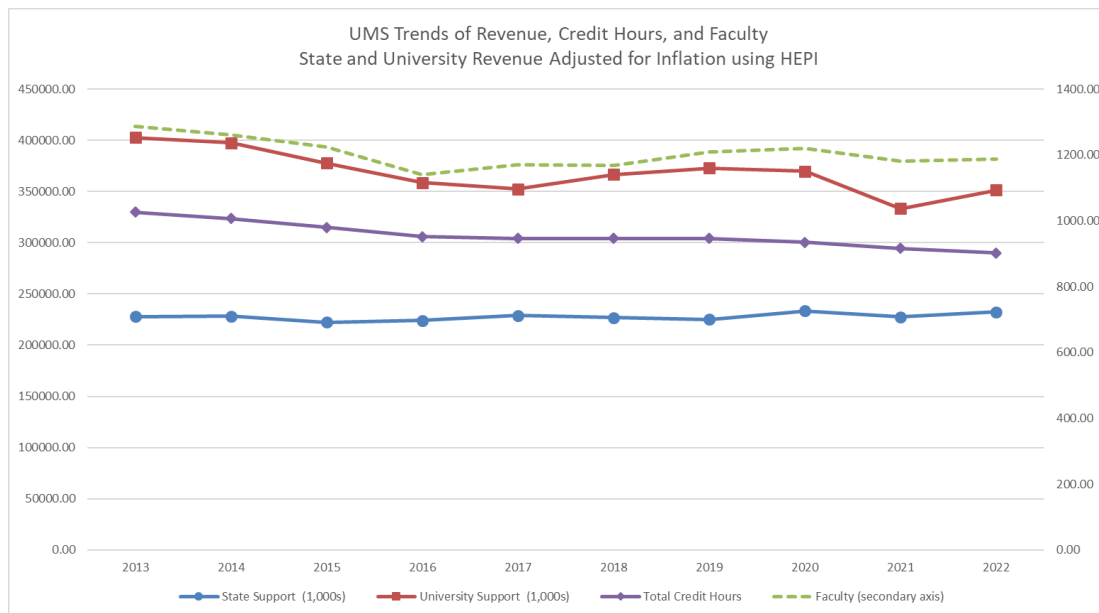


Figure 1. University of Maine System Comparison of Indicators

Figure 1 includes inflation-adjusted State funding, inflation-adjusted university-generated revenue (noted as University Support), total Fall credit hours, and total full-time faculty. State Funding and University Support numbers have been divided by 1,000 to compare credit hours. As shown in Figure 1, the number of full-time faculty has declined over ten years but rose slightly in the past five years. The growth in faculty is contrary to the other trends (flat state support, declining university support, and declining credit hours).

The University of Maine System

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The University of Maine (UM) and its regional campus the University of Maine at Machias (UMM)



Founded in 1865, the University of Maine is a land and Sea Grant institution and the flagship campus of the University of Maine System. This vibrant and dynamic university serves the residents of Maine, the nation, and the world through our acclaimed programs in teaching, research, and outreach.

The University of Maine advances learning and discovery through excellence and innovation in undergraduate and graduate academic programs while addressing the complex challenges and opportunities of the 21st century through research-based knowledge. Opportunity for all members of the University of Maine community is a cornerstone of our mission. The university welcomes students, research partners, and collaborators into an atmosphere that honors the heritage and diversity of our State and nation. Inspiring and dedicated teaching propels students into new fields of learning and promotes interdisciplinary understanding. Our educational goals are to help students develop their creative abilities, communication, critical thinking skills, and understanding of traditions in ethics and rationality within the arts, sciences, and professions.

The University of Maine System

Internationally recognized research, scholarship, and creative activity distinguish the University of Maine as the State's flagship university, where faculty and students contribute knowledge to issues of local, national, and international significance. As the State's doctoral-granting institution, research and education are inextricably linked. Comprehensive outreach, including public service, Cooperative Extension, continuing education, and distance learning, engages learners of all ages in improving their lives and communities. Using research-based knowledge, outreach efforts promote sustainable use of Maine's abundant natural resources and build intellectual, cultural, and economic capacity throughout Maine and beyond.

Through integrated teaching, research, and outreach, the University of Maine improves the quality of life for people in Maine and around the world, and promotes responsible stewardship of human, natural, and financial resources.

The University of Maine's regional campus, UMaine Machias, is Maine's Coastal University. Our location on the Bold Coast—including the land and sea, communities and natural ecosystems—provides the context for a unique learning and living experience. UMaine Machias' distinctive, high quality education centers on engaging students in the scientific, cultural, economic and social inquiry prompted by Maine's Bold Coast region. We provide students with active learning opportunities and leadership development, featuring close mentoring and tight community connections. Students are engaged in intellectually rigorous and innovative campus and community experiences, including academically integrated campus service, community engagement, social diversity, mentored research, and professional internships.

Through our distinctive baccalaureate programs, and student-centered community, the University of Maine at Machias creates enriching educational opportunities that prepare graduates for professional success and lifelong engagement with the world. UMaine Machias embodies an active community of diverse learners who share a commitment to exploration, leadership, collaboration, and interdisciplinary problem solving. Inspired by our unique

The University of Maine System

coastal location, UMaine Machias' creative energy, applied research, and community engagement enhance the social, cultural, economic, and natural environments of the State of Maine.

Enrollment – UM

From 2018 to 2022, UM's fall FTE decreased from 9,694 to 9,265 (a -4.4% decrease). Over the same period, in-state fall FTE fell -5.92% from 5,963 to 5,601, while non-resident fall FTE decreased by -2.02% from 3,740 to 3,665. Undergraduate fall FTE decreased by -8.88%, from 8,562 in 2018 to 7,801 in 2022, while graduate fall FTE increased by 29.31%, from 1,132 in 2017 to 1,464 in 2022. Total fall credit hours have declined over the last five years, from 138,613 in 2018 to 129,818 in 2022 (a -6.35% decrease).

Enrollment - UMM

From 2018 to 2022, UMM's fall FTE decreased from 403 to 293 (a -27.36% decrease). Over the same period, in-state fall FTE fell -43% from 352 to 201, while non-resident fall FTE increased by 80.18% from 51 to 92. Total fall credit hours have decreased over the last five years, from 6,108 in 2018 to 4,645 in 2022 (-23.95% decrease). Early College has increased by nearly 202%, from 22 to 66 students between 2018 and 2022.

Student Success - UM

Fall-to-fall return rates (the percentage of degree/certificate-seeking students who were enrolled in a fall term and who returned the following fall term, excluding completers) for students who had 0-29 credit hours have fluctuated between 71.6% and 75.4% over the last seven fall cohorts. Similarly, return rates for students with 30-59 credit hours ranged between 85.8% and 88.0% over the last seven fall cohorts.

Student Success - UMM

Fall-to-fall return rates (the percentage of degree/certificate-seeking students enrolled in a fall term and who returned the following fall term, excluding completers) for students who had 0-29 credit hours have fluctuated between

The University of Maine System

47.5% and 55.5% since 2018. Similarly, return rates for students with 30-59 credit hours ranged between 70% and 75%.

Staffing - UM

For 2018 – 2022, the overall employee FTE has grown by 1.49%. Administrator positions have been relatively stable, with little change between the 2018 and 2022 count, while salaried staff positions have increased by 22.18%, driven in part by the growth in funded research. Full-time faculty counts have experienced little change, while part-time faculty have seen a -13.38% decrease. In comparison, fall credit hours decreased by -6.35% in this period.

Staffing - UMM

In 2018 – 2022, the overall employee FTE remained steady. Administrator positions have been combined with the University of Maine. Salaried staff has decreased by -25%. Full-time faculty counts have decreased by -21.43%, from 27 to 22, while part-time faculty have seen a -32.72% decrease. As a comparison, fall credit hours decreased by -23.95%.

Financial Resources

University of Maine at Machias financial resources are integrated with the University of Maine. The Composite Financial Index (CFI) measures an institution's overall financial health based on four core ratios: primary reserve ratio, net operating revenues ratio, return on net position ratio, and viability ratio. The industry benchmark for an institution's CFI is 3.0, with a higher measure indicating a stronger financial position. A CFI snapshot offers a more balanced view of the institution's finances because the strength of one measure may offset the weakness in another. During the five-year reporting period (2018-2022), the University of Maine experienced a CFI measure of 2.20 in 2019 and a 2021 measure of 4.70. The 2022 measure is 3.80. Note that the 2021 CFI was inflated due to COVID-related federal recovery dollars.

The University of Maine System

3.1

UNIVERSITY OF MAINE						
Budgeted State Support		FY2018	FY2019	FY2020	FY2021	FY2022*
	E&G	\$ 83,854,217	\$ 83,592,496	\$ 84,071,731	\$ 84,067,571	\$ 90,449,439
	Debt Service	767,950	2,767,950	5,767,950	5,767,950	5,767,950
	MEIF	13,289,194	13,289,194	13,289,194	13,263,600	13,513,600
	Labor & Community Educ Ctr					
	Casco Bay Estuary	-	-	-	-	
	Maine New Ventures					
	Total	\$ 97,911,361	\$ 99,649,640	\$ 103,128,875	\$ 103,099,121	\$ 109,730,989

* FY22 Appropriation and Budgets were combined for UM and UMM

Research		FY2018	FY2019	FY2020	FY2021	FY2022*
	Internally Funded Research	\$29,971,000	\$48,644,000	\$52,889,000	\$56,407,000	\$60,064,000
	Externally Funded Research	\$76,723,000	\$81,269,000	\$81,294,000	\$90,394,000	\$111,867,000
	Total Research Expend.	\$106,694,000	\$129,913,000	\$134,183,000	\$146,801,000	\$171,931,000

Budgeted University Support		FY2018	FY2019	FY2020	FY2021	FY2022
	Gross Tuition & Fees	\$ 158,866,957	\$ 172,126,005	\$ 176,868,400	\$ 178,549,257	\$ 193,168,347
	E&G Fin. Aid	(49,180,484)	(54,516,538)	(58,258,672)	(61,700,166)	(64,725,292)
	E&G-Other	24,045,931	25,317,116	26,527,663	25,177,932	27,904,420
	Auxiliary	50,299,000	51,180,154	51,549,253	39,924,655	48,643,245
	Total	\$ 184,031,404	\$ 194,106,737	\$ 196,686,644	\$ 181,951,678	\$ 204,990,720

Employee (FTE) (as of October 31)		Fall 2017	Fall 2018	Fall 2019	Fall 2020	Fall 2021
	Administrators	26	27	27	26	29
	Faculty - Full-Time	607	622	621	589	605
	Faculty - Part-Time ***	86	92	85	83	94
	Staff	696	744	780	796	859
	Hourly	743	737	710	685	627
	Total	2,157	2,222	2,223	2,179	2,213

***Part-time faculty (FTE) is calculated based on teaching load, rather than HR classification; these numbers can differ from those published in the HR Statistical Report

The University of Maine System

3.1

UNIVERSITY OF MAINE MACHIAS						
Budgeted State Support		FY2018	FY2019	FY2020	FY2021	FY2022*
	E&G	\$ 5,252,559	\$ 5,205,449	\$ 5,366,231	\$ 5,359,101	
	Debt Service	-	-	-		
	MEIF	563,853	585,598	250,000	250,000	
	Labor & Community Educ Ctr					
	Casco Bay Estuary	-	-	-	-	
	Maine New Ventures					
	Total	\$ 5,816,412	\$ 5,791,047	\$ 5,616,231	\$ 5,609,101	\$ -
* FY22 Appropriation and Budgets were combined for UM and UMM						
Research		FY2018	FY2019	FY2020	FY2021	FY2022
	Internally Funded Research	\$548,489	\$456,315	\$489,083	\$320,013	\$0
	Externally Funded Research	\$157,830	\$32,875	\$26,575	\$43,063	\$52,514
	Total Research Expend.	\$706,319	\$489,189	\$515,658	\$363,076	\$52,514
Budgeted University Support		FY2018	FY2019	FY2020	FY2021	FY2022
	Gross Tuition & Fees	\$ 4,839,337	\$ 4,621,822	\$ 4,102,676	\$ 3,640,913	
	E&G Fin. Aid	(1,468,193)	(1,168,193)	(1,168,193)	(1,124,753)	
	E&G-Other	311,780	358,667	344,597	258,958	
	Auxiliary	1,849,457	1,703,826	1,627,453	1,355,699	
	Total	\$ 5,532,381	\$ 5,516,122	\$ 4,906,533	\$ 4,130,817	\$ -
Employee (FTE) (as of October 31)		Fall 2017	Fall 2018	Fall 2019	Fall 2020	Fall 2021
	Administrators	1	1	2	1	1
	Faculty - Full-Time	29	28	27	25	22
	Faculty - Part-Time ***	12	10	10	9	10
	Staff	23	24	21	18	17
	Hourly	19	17	20	16	14
	Total	84	80	80	69	64
***Part-time faculty (FTE) is calculated based on teaching load, rather than HR classification. As a result, these numbers can differ from those published in the HR Statistical Report						

The University of Maine System

3.1

The University of Maine at Augusta (UMA)



The University of Maine at Augusta transforms the lives of students of every age and background across the State of Maine and beyond through access to high-quality distance and on-site education, excellent student support and civic engagement, and innovative professional and liberal arts programs.

UMA is the third-largest public university in Maine. In addition to its main campus in the state's capital, UMA also serves students at its campus in Bangor and through UMA centers around the state. With its multiple locations and long-term expertise in online and distance learning, UMA is generally considered the university of choice for Mainers of all ages who want to attend college without uprooting their lives.

Enrollment

From 2018 to 2022, UMA's fall FTE decreased from 2,067 to 1,914 (a -7.43% decrease). Over the same period, in-state fall FTE fell -12.37% from 1,950 to 1,709, while non-resident fall FTE increased by 74.76% from 117 to 205. Undergraduate fall FTE decreased by -8.89%, from 2,067 in 2018 to 1,883 in 2022. UMA's first graduate program launched in 2020 and has increased from 23 to 30 students. Total fall credit hours decreased over the last five years, from 30,943 in 2018 to 28,510 in 2022 (-7.86% decrease). Early College has increased by nearly 100%, from 180 to 380 students between 2018 and 2022.

The University of Maine System

Student Success

Fall-to-fall return rates (the percentage of degree/certificate-seeking students who were enrolled in a fall term and who returned the following fall term, excluding completers) for students who had 0-29 credit hours have fluctuated between 52.5% and 55% since 2018. Similarly, return rates for students with 30-59 credit hours ranged between 64.5% and 68.9%.

Staffing

For 2018 – 2022, the overall employee FTE has remained steady. Administrator positions have decreased by -33.33%, while salaried staff positions have increased by 7.48%. Full-time faculty counts have increased by 15%, from 83 to 96, while part-time faculty have seen a -7.44% decrease. In comparison, fall credit hours decreased by -7.86%.

Financial Resources

The Composite Financial Index (CFI) measures an institution's overall financial health based on four core ratios: primary reserve ratio, net operating revenues ratio, return on net position ratio, and viability ratio. The industry benchmark for an institution's CFI is 3.0, with a higher measure indicating a stronger financial position. A CFI snapshot offers a more balanced view of the institution's finances because the strength of one measure may offset weakness in another. During the five-year reporting period (2018-2022), the University of Maine at Augusta experienced a low CFI measure of 3.50 in 2018 and a 2021 measure of 5.10, with a current 2022 rate of 4.30. Note that the 2021 CFI was inflated due to COVID-related federal recovery dollars.

The University of Maine System

3.1

UNIVERSITY OF MAINE AUGUSTA							
Budgeted State Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	E&G	\$ 17,193,899	\$ 17,428,401	\$ 18,366,360	\$ 18,373,781	\$ 19,466,151	\$ 21,193,991
	Debt Service	-	-				
	MEF	-	85,129	-			
	Labor & Community Educ Ctr						
	Casco Bay Estuary	-	-	-	-		
	Maine New Ventures	897,600	914,650	914,650	1,134,666	1,171,166	1,271,166
	Total	18,091,499	18,428,180	19,281,010	19,508,447	20,637,317	22,465,157

Research		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	Internally Funded Research	\$82,192	\$109,761	\$102,827	\$74,102	\$97,689	Not yet available
	Externally Funded Research	\$0	\$0	\$0	\$0	\$2,000	
	Total Research Expend.	\$82,192	\$109,761	\$102,827	\$74,102	\$99,689	

Budgeted University Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	Gross Tuition & Fees	\$ 22,457,993	\$ 21,317,704	\$ 22,741,777	\$ 23,941,841	\$ 22,629,669	\$ 22,343,828
	E&G Fin. Aid	(3,150,117)	(3,183,657)	(3,658,646)	(3,886,549)	(3,056,188)	(2,692,988)
	E&G-Other	610,750	547,566	891,419	424,612	664,449	832,443
	Auxiliary	1,191,000	1,093,637	1,375,413	474,682	549,645	623,505
	Total	\$ 21,109,626	\$ 19,775,250	\$ 21,349,963	\$ 20,954,586	\$ 20,787,575	\$ 21,106,788

Employee (FTE) (as of October 31)		Fall 2017	Fall 2018	Fall 2019	Fall 2020	Fall 2021	Fall 2022
	Administrators	9	9	9	8	7	6
	Faculty - Full-Time	84	83	92	89	95	
	Faculty - Part-Time ***	65	64	66	61	44	
	Staff	100	107	113	115	111	115
	Hourly	93	89	88	88	82	75
	Total	351	352	368	361	340	196

***Part-time faculty (FTE) is calculated based on teaching load, rather than HR classification. As a result, these numbers can differ from those published in the HR Statistical Report

The University of Maine System

3.1

The University of Maine at Farmington (UMF)



As a premier teacher education and public liberal arts college for the State of Maine, the University of Maine at Farmington prepares students for engaged citizenship, enriching professional careers, and an enduring love of learning.

Since 1864, the University of Maine at Farmington (UMF) has consistently been rooted in a vigorous tradition of education in service to the public interest. In embracing this tradition, UMF seeks to graduate individuals who will live purposeful, ethical, and personally rewarding lives, and who will strengthen the social fabric of the communities they inhabit in Maine and beyond. We recognize that success depends upon our ability to preserve continued, affordable access to higher education.

The university's focus is undergraduate education in a residential setting. UMF also provides limited graduate education and welcomes commuter students and continuing education opportunities where regional and statewide needs correspond with areas of academic strength in the university. Through its focus on high-quality academic programs in the arts and sciences, teacher education, and selected professional fields, the university challenges students to be active citizens in a campus community that helps them find and express with confidence their own voices, teaches them the humility to seek wisdom

The University of Maine System

from others, and prepares them for ongoing explorations of how knowledge can be put to use for their personal benefit and the common good.

Across our programs, academic rigor matters, as does a commitment to experience-based learning that enlivens theoretical understandings of different disciplines and the relationship of those studies to a rapidly changing world. An ethic of civic-mindedness and individual and collective duty to foster positive social change is realized through the practice of liberal learning and a recognition that innovation, collaboration, and service with community partners is fundamental to the educational enterprise.

UMF's sense of place is shaped by the aesthetic, environmental, recreational, and intellectual heritage of the western Maine region. The bounty of the landscape and the locale contributes materially to a range of academic fields at UMF, to the exciting diversity of the extra- and co-curricular life of the university, and to our reciprocal relationships in support of the important work of our neighbors in western Maine. To fulfill its complementary responsibilities to the region and to the State, the university also reaches out to national and international domains to broaden students' educational experience and assure that they are well prepared for employment and citizenship in contexts that are richly diverse and global.

Enrollment

From 2018 to 2022, UMF's fall FTE decreased from 1,621 to 1,226 (a -24.35% decrease). In the same period, in-state fall FTE fell -25.44% from 1,343 to 1,001, while non-resident fall FTE decreased -19.03% from 278 to 225. Undergraduate fall FTE decreased by -26.74%, from 1,507 in 2018 to 1,104 in 2022. Graduate enrollment in that period has increased by 7.44%. Total fall credit hours decreased over the last five years, from 25,134 in 2018 to 18,906 in 2022 (in total, a -24.78% decrease). Early College increased by 300% in this period, from 21 to 83 students between 2018 and 2022.

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Student Success

Fall-to-fall return rates (the percentage of degree/certificate-seeking students enrolled in a fall term and who returned the following fall term, excluding completers) for students with 0-29 credit hours have fluctuated between 64.3% and 70.4% since 2018. Similarly, return rates for students with 30-59 credit hours ranged between 82% and 83.4%.

Staffing

From 2018 – 2022, the overall employee FTE decreased by 9.5%. Administrator positions have decreased by -33.33%, while salaried staff positions decreased by -1.12%. Full-time faculty counts have decreased by 15.78%, from 115 to 97, while part-time faculty has seen a -14.63% decrease. As a comparison, fall credit hours decreased by -24.78%.

Financial Resources

The Composite Financial Index (CFI) measures an institution's overall financial health based on four core ratios: primary reserve ratio, net operating revenues ratio, return on net position ratio, and viability ratio. The industry benchmark for an institution's CFI is 3.0, with a higher measure indicating a stronger financial position. A CFI snapshot offers a more balanced view of the institution's finances because the strength of one measure may offset weakness in another. During the five-year reporting period (2018-2022), the University of Maine at Farmington experienced a low CFI measure of -.50 in 2018 and a 2021 measure of 1.0, with a 2022 rate of -.10. Note that the 2021 CFI was inflated due to COVID-related federal recovery dollars.

The University of Maine System

3.1

UNIVERSITY OF MAINE FARMINGTON							
Budgeted State Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	E&G	\$ 12,296,865	\$ 12,380,248	\$ 12,978,593	\$ 12,985,843	\$13,950,935	\$ 14,521,745
	Debt Service	-	-	-			
	MEIF	-	-	-			
	Labor & Community Educ Ctr						
	Casco Bay Estuary	-	-	-	-		
	Maine New Ventures						
	Total	\$ 12,296,865	\$ 12,380,248	\$ 12,978,593	\$ 12,985,843	\$13,950,935	\$ 14,521,745

Research		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	Internally Funded Research	\$56,050	\$14,379	\$1,802	\$126,312	\$52,075	Not yet available
	Externally Funded Research	\$546,808	\$511,395	\$822,041	\$1,208,255	\$1,350,456	
	Total Research Expend.	\$602,858	\$525,773	\$823,842	\$1,334,567	\$1,402,530	

Budgeted University Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	Gross Tuition & Fees	\$ 20,764,144	\$ 21,401,214	\$ 19,319,267	\$ 18,189,107	\$17,958,484	\$ 16,152,811
	E&G Fin. Aid	(3,488,511)	(4,141,336)	(4,605,057)	(4,516,249)	(4,516,249)	(4,146,668)
	E&G-Other	854,310	900,310	963,310	1,075,675	1,075,675	1,132,976
	Auxiliary	9,004,658	8,972,025	9,230,326	7,717,952	8,620,734	9,617,441
	Total	\$ 27,134,601	\$ 27,132,213	\$ 24,907,846	\$ 22,466,485	\$23,138,644	\$ 22,756,560

Employee (FTE) (as of October 31)		Fall 2017	Fall 2018	Fall 2019	Fall 2020	Fall 2021	Fall 2022
	Administrators	9	9	9	9	8	6
	Faculty - Full-Time	112	115	113	113	105	
	Faculty - Part-Time ***	19	20	20	18	22	
	Staff	90	89	90	89	91	88
	Hourly	91	94	94	88	84	88
	Total	320	327	326	318	309	182

***Part-time faculty (FTE) is calculated based on teaching load, rather than HR classification. As a result, these numbers can differ from those published in the HR Statistical Report

The University of Maine System

The University of Maine at Fort Kent (UMFK)



Located on the northernmost border of Maine, the University of Maine at Fort Kent is a career-focused campus offering relevant, experiential, academic programs and services with personalized attention. UMFK's diverse student population enjoys the opportunity to live and learn in a beautiful, natural setting and a caring, friendly atmosphere where they thrive as engaged members of the community.

UMFK will transform students into professionals who have the knowledge, skills, and dispositions necessary to meet the evolving demands of a modern workforce. Our values include Community, Student Experience, Student Career Aspirations, Campus Climate, Impact, Natural Setting, Collaborations, and Ingenuity.

Enrollment

From 2018 to 2022, UMFK's fall FTE decreased from 764 to 455 (a -40.39% decrease). In the same period, in-state fall FTE fell -66.09% from 588 to 200, while non-resident fall FTE increased by 45.94% from 175 to 256. Undergraduate fall FTE decreased by -41.69%, from 764 in 2018 to 445 in 2022. UMFK's first graduate program launched in 2022 and enrolled ten students in its first year. Total fall credit hours decreased over the last five years, from 11,455 in 2018 to

The University of Maine System

6,769 in 2022 (-40.91% decrease). Early College FTE decreased -41.56% in this period, from 191 to 111 students between 2018 and 2022.

Student Success

Fall-to-fall return rates (the percentage of degree/certificate-seeking students enrolled in a fall term and who returned the following fall term, excluding completers) for students with 0-29 credit hours have fluctuated between 58.8% and 62.4% since 2018. Similarly, return rates for students with 30-59 credit hours ranged between 64.9% and 77.8%.

Staffing

From 2018–2022, the overall employee FTE has decreased by -18.47%. Administrative positions remained steady at three FTEs, while salaried staff positions have decreased by -10.53%. Full-time faculty counts have decreased by -8.47%, from 30 to 27, while part-time faculty have seen a -44.63% decrease. As a comparison, fall credit hours decreased by -40.91%.

Financial Resources

The Composite Financial Index (CFI) measures an institution's overall financial health based on four core ratios: primary reserve ratio, net operating revenues ratio, return on net position ratio, and viability ratio. The industry benchmark for an institution's CFI is 3.0, with a higher measure indicating a stronger financial position. A CFI snapshot offers a more balanced view of the institution's finances because the strength of one measure may offset weakness in another. During the five-year reporting period (2018-2022), the University of Maine at Fort Kent experienced a low CFI measure of .20 in 2019 and a 2021 measure of 4.10, with a 2022 rate of 1.40. Note that the 2021 CFI was inflated due to COVID-related federal recovery dollars.

The University of Maine System

3.1

UNIVERSITY OF MAINE FORT KENT							
Budgeted State Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	E&G	\$ 6,513,346	\$ 6,877,413	\$ 7,818,910	\$ 7,818,908	\$ 8,590,375	\$8,737,138
	Debt Service	-	-	-			
	MEIF	182,500	-	-			
	Labor & Community Educ Ctr						
	Casco Bay Estuary	-	-	-	-		
	Maine New Ventures						
	Total	\$ 6,695,846	\$ 6,877,413	\$ 7,818,910	\$ 7,818,908	\$ 8,590,375	\$8,737,138

Research		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	Internally Funded Research	\$81,620	\$122,740	\$78,791	\$86,898	\$54,191	Not yet available
	Externally Funded Research	\$62,291	\$66,185	\$87,518	\$15,767	\$63	
	Total Research Expend.	\$143,911	\$188,925	\$166,309	\$102,665	\$54,254	

Budgeted University Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	Gross Tuition & Fees	\$ 8,737,323	\$ 9,381,658	\$ 7,976,774	\$ 7,501,555	\$ 7,043,430	\$5,542,553
	E&G Fin. Aid	(1,530,916)	(1,689,014)	(1,570,071)	(1,066,900)	(1,051,200)	(921,337)
	E&G-Other	283,730	249,382	221,700	319,350	333,400	971,388
	Auxiliary	1,658,520	1,510,100	1,451,895	1,107,726	1,176,181	1,053,980
	Total	\$ 9,148,657	\$ 9,452,126	\$ 8,080,298	\$ 7,861,731	\$ 7,501,811	\$6,646,584

Employee (FTE) (as of October 31)		Fall 2017	Fall 2018	Fall 2019	Fall 2020	Fall 2021	Fall 2022
	Administrators	2	3	2	3	3	3
	Faculty - Full-Time	32	30	30	30	28	
	Faculty - Part-Time ***	16	18	16	13	13	
	Staff	37	38	39	37	40	34
	Hourly	37	39	35	33	31	30
	Total	123	128	123	115	115	67

***Part-time faculty (FTE) is calculated based on teaching load, rather than HR classification. As a result, these numbers can differ from those published in the HR Statistical Report

The University of Maine System

3.1

The University of Maine at Presque Isle



Fiercely dedicated to delivering opportunity for all, The University of Maine at Presque Isle builds its success on an ethic of care. We inspire learners from near and far, of all ages and career stages, and support their personal journeys toward the future they seek. We value our team members and ensure they have the right tools, technology, and resources to provide learners with educational experiences they will draw upon for the rest of their lives.

We serve our community and strive to engage all in activities that strengthen and sustain a rich and rewarding life. Every day, in every way, it's about excellence for everyone.

The core philosophies that will drive our employees are captured in a set of institutional values, known collectively as "The UMPI Way." These values reflect our culture, guiding our actions and defining how we do business. UMPI differentiates by providing an Ethic of Care for each and every student, faculty, staff, and administrators. Innovative at our core, we rally around the need for change while supporting success for all within our community. We embrace collaborations with our peers, the communities we serve, and our fellow institutions, allowing us to provide students outstanding education focused on practical and authentic learning experiences.

The University of Maine System

Enrollment

From 2018 to 2022, UMPI's fall FTE grew from 695 to 901 (a 29.59% increase). Over the same period, in-state fall FTE fell -29.31% from 562 to 397, while non-resident fall FTE increased by 279.5% from 133 to 503. Undergraduate fall FTE increased by 27.28%, from 695 in 2018 to 884 in 2022. UMPI's first graduate program launched in 2021 and has grown from 10 to 17 students in one year. Total fall credit hours increased over the last five years, from 10,418 in 2018 to 13,410 in 2022 (28.72% increase). Early College has decreased -by 16.95% in this period, from 238 to 198 students between 2018 and 2022.

Student Success

Fall-to-fall return rates (the percentage of degree/certificate-seeking students enrolled in a fall term and who returned the following fall term, excluding completers) for students with 0-29 credit hours have fluctuated between 53.5% and 62.1% since 2018. Similarly, return rates for students with 30-59 credit hours ranged between 65.1% and 77%.

Staffing

For 2018 – 2022, the overall employee FTE increased by 8.66%. Administrator positions have increased by 50%, while salaried staff has grown by 18.37%. Full-time faculty counts have remained steady, from 36 to 35, while part-time faculty has seen a 64.23% increase. As a comparison, fall credit hours increased by 28.72%.

Financial Resources

The Composite Financial Index (CFI) measures an institution's overall financial health based on four core ratios: primary reserve ratio, net operating revenues ratio, return on net position ratio, and viability ratio. The industry benchmark for an institution's CFI is 3.0, with a higher measure indicating a stronger financial position. A CFI snapshot offers a more balanced view of the institution's finances because the strength of one measure may offset weakness

The University of Maine System

3.1

in another. During the five-year reporting period (2018-2022), the University of Maine at Presque Isle has experienced a low CFI measure of $-.30$ in 2018 and a 2021 measure of 2.80 , with a 2022 rate of 1.70 . Note that the 2021 CFI was inflated due to COVID-related federal recovery dollars.

The University of Maine System

3.1

UNIVERSITY OF MAINE PRESQUE ISLE							
Budgeted State Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	E&G	\$ 7,936,764	\$ 7,508,452	\$ 8,017,134	\$ 8,013,478	\$ 8,616,602	\$ 8,813,733
	Debt Service	-	-	-			
	MEIF	24,147	-	-			
	Labor & Community Educ Ctr						
	Casco Bay Estuary	-	-	-	-		
	Maine New Ventures						
	Total	\$ 7,960,911	\$ 7,508,452	\$ 8,017,134	\$ 8,013,478	\$ 8,616,602	\$ 8,813,733

		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
Research	Internally Funded Research	\$4,542	\$5,848	\$70	\$3,859	\$34,975	Not yet available
	Externally Funded Research	\$77,242	\$61,295	\$129,766	\$93,990	\$37,589	
	Total Research Expend.	\$81,784	\$67,143	\$129,836	\$97,849	\$72,564	

		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
Budgeted University Support	Gross Tuition & Fees	\$ 7,538,281	\$ 8,779,897	\$ 8,172,206	\$ 8,105,473	\$ 8,451,540	\$ 9,249,138
	E&G Fin. Aid	(1,405,800)	(1,778,532)	(1,552,900)	(953,000)	(870,500)	(920,000)
	E&G-Other	453,700	673,722	705,644	506,403	458,600	556,400
	Auxiliary	2,171,494	2,154,441	2,215,926	1,545,410	1,913,127	1,556,760
	Total	\$ 8,757,675	\$ 9,829,528	\$ 9,540,876	\$ 9,204,286	\$ 9,952,767	\$ 10,442,298

		Fall 2017	Fall 2018	Fall 2019	Fall 2020	Fall 2021	Fall 2022
Employee (FTE) (as of October 31)	Administrators	4	4	4	5	6	6
	Faculty - Full-Time	38	37	36	36	36	
	Faculty - Part-Time ***	18	19	17	20	17	
	Staff	48	49	55	54	55	58
	Hourly	40	43	42	38	39	35
	Total	148	152	154	153	153	99

***Part-time faculty (FTE) is calculated based on teaching load, rather than HR classification. As a result, these numbers can differ from those published in the HR Statistical Report

The University of Maine System

The University of Southern Maine (USM)



Committed to equity and excellence, the University of Southern Maine advances a culture of inquiry and belonging in which research, creativity, and innovation accelerate transformational development in our students, on our campuses, and in our communities. At USM, academic excellence finds expression in the four pillars of our academic vision: focus on relationships, future-forward curriculum, the integration of learning and work, and a mission of service and citizenship.

Enrollment

From 2018 to 2022, USM's fall FTE decreased from 5,630 to 4,859 (a -15.31% decrease). In the same period, in-state fall FTE fell -24.93% from 4,765 to 3,814, while non-resident fall FTE increased by 17.22%, growing from 865 to 1,045. Undergraduate fall FTE decreased by -18.13%, from 4,524 in 2018 to 3,704 in 2022. Graduate FTE has increased 4.32% from 1,106 to 1,156 students. Total fall credit hours decreased over the last five years, from 77,816 in 2018 to 66,111 in 2022 (-15.04% decrease). Early College has increased by 34.6% in this period, from 206 to 315 students between 2018 and 2022.

Student Success

Fall-to-fall return rates (the percentage of degree/certificate-seeking students who were enrolled in a fall term and who returned the following fall term,

The University of Maine System

excluding completers) for students who had 0-29 credit hours have fluctuated between 60% and 67.1% since 2018. Similarly, return rates for students with 30-59 credit hours ranged between 74.2% and 81%.

Staffing

For 2018–2022, the overall employee FTE has remained steady. Administrator positions have decreased by -12.5%, while salaried staff positions have increased by 8.83%. Full-time faculty counts have decreased by -2.53%, moving from 284 to 270, while part-time faculty has seen a 1.75% increase. As a comparison, fall credit hours decreased by -15.04%.

Financial Resources

The Composite Financial Index (CFI) measures an institution's overall financial health based on four core ratios: primary reserve ratio, net operating revenues ratio, return on net position ratio, and viability ratio. The industry benchmark for an institution's CFI is 3.0, with a higher measure indicating a stronger financial position. A CFI snapshot offers a more balanced view of the institution's finances because the strength of one measure may offset weakness in another. During the five-year reporting period (2018-2022), the University of Southern Maine experienced a low CFI measure of .10 in 2019 and a 2021 measure of 2.20, with a 2022 rate of 1.90. Note that the 2021 CFI was inflated due to COVID-related federal recovery dollars.

The University of Maine System

3.1

UNIVERSITY OF SOUTHERN MAINE							
Budgeted State Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	E&G	\$ 46,926,200	\$ 46,918,334	\$ 47,241,885	\$ 46,874,076	\$ 47,714,871	\$ 51,147,985
	Debt Service	-	-	-			
	MEIF	3,290,306	3,290,306	3,290,306	3,315,900	3,315,900	3,703,900
	Labor & Community Educ Ctr						400,000
	Casco Bay Estuary	35,000	35,000	35,000	35,000	35,000	35,000
	Maine New Ventures						
	Total	50,251,506	50,243,640	50,567,191	50,224,976	51,065,771	55,286,885

Research		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	Internally Funded Research	\$6,185,156	\$6,013,549	\$5,343,868	\$5,150,085	\$5,065,289	Not yet available
	Externally Funded Research	\$2,325,051	\$1,886,481	\$1,700,855	\$1,699,566	\$1,729,994	
	Total Research Expend.	\$8,510,207	\$7,900,029	\$7,044,723	\$6,849,651	\$6,795,283	

Budgeted University Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	Gross Tuition & Fees	\$ 74,307,908	\$ 76,548,315	\$ 75,728,193	\$ 75,312,732	\$ 74,201,706	\$ 71,385,164
	E&G Fin. Aid	(16,773,697)	(17,893,874)	(16,321,551)	(17,251,971)	(16,283,471)	(16,283,471)
	E&G-Other	8,189,449	7,241,293	7,078,151	5,805,146	6,924,632	7,482,290
	Auxiliary	13,869,864	15,034,396	14,252,984	7,454,985	13,046,027	14,502,637
	Total	\$ 79,593,524	\$ 80,930,130	\$ 80,737,777	\$ 71,320,892	\$ 77,888,894	\$ 77,086,620

Employee (FTE) (as of October 31)		Fall 2017	Fall 2018	Fall 2019*	Fall 2020	Fall 2021	Fall 2022
	Administrators	15	16	16	15	14	14
	Faculty - Full-Time	249	277	284	282	278	
	Faculty - Part-Time ***	144	143	148	145	140	
	Staff	397	419	427	445	462	456
	Hourly	262	255	252	241	227	228
	Total	1,067	1,111	1,128	1,128	1,121	698

The University of Maine System

3.1

University of Maine School of Law (Maine Law)



The University of Maine School of Law is the State's public and only law school, a vital resource serving our local, regional, national, and global community.

Maine Law is committed to providing an accessible and affordable student-focused program of legal education and achieving the highest standards of ethical behavior. Its rigorous doctrinal and experiential curriculum, influential scholarship, and signature programming prepare students to practice law, promote respect for the rule of law, and advance justice for all members of society.

Maine Law currently offers three degrees:

- The Juris Doctorate – J.D.
- A post-J.D. Master of Laws (LLM), and
- A Doctorate in the Science of Law (JSD).

Along with its excellent core programming in legal education, Maine Law offers signature programs in Privacy Law and in Environmental Law, including Arctic and Marine studies. The Privacy Law Program was one of the first in the country and has provided excellent career pathways for graduating Maine Law students.

The University of Maine System

The Law School's emphasis on Rural Practice and the Legal Aid Clinic, offering experience in Youth Justice, Family Law, Refugee Law, Human Rights Law, and Domestic Violence, draw students from across the country. Maine Law's nationally respected Clinic program provides a vital resource for Maine people who cannot afford representation. The Law School's dedication to experiential learning is evidenced by a new mandate that all graduating students must complete either a semester in the Legal Aid Clinic or a semester in one of Maine Law's well-structured externships.

The Education Committee of the Maine Legislature recently gave its unanimous approval to a bill providing the resources to expand the Law School's Legal Aid Clinic into rural counties, and the first Rural Practice Clinic opened successfully in Spring 2023.

New programs in JD and non-JD legal education are expanding. New certificates in Business and Transactional Law and in Public Policy Law and Social Justice have been added to the JD program. A new pre-JD Masters of Law program (MLS) is currently in design. Also, a certificate in Compliance (a non-JD executive education program) has been taken entirely online to attract businesses, the medical community, and other organizations.

Enrollment

From 2018 to 2022, the University of Maine School of Law's fall FTE increased from 247 to 250 (1.57% growth). Over the same period, in-state remained steady at 171 FTE, while non-resident fall FTE increased 5.59% from 75 to 79. Total fall credit hours increased over the last five years, from 3,699 in 2018 to 3,757 in 2022 (1.57% increase).

Early College

Maine Law does not participate in Early College. It does, however, have a Pre-Law Undergraduate Scholars program (or PLUS), dormant during COVID and expected to return in Summer 2024, which brings students from underrepresented communities to the Law School for a multi-week residential

The University of Maine System

program that introduces them to the legal profession and other potential professional career paths.

Student Success

Fall-to-fall return rates (the percentage of degree/certificate-seeking students enrolled in a fall term and who returned the following fall term, excluding completers) for students with 0-29 credit hours have fluctuated between 89.1% and 92% since 2018. Similarly, return rates for students with 30-59 credit hours ranged between 93.5% and 96%.

Staffing

For the 2021 – 2022 period, administrator positions have stayed flat at one (the dean), while salaried staff has mostly recovered with the filling of four vacancies that include the dean of students, an administrative coordinator for student services, an advancement coordinator, and the director of communications. This brings the staff count back to 27 from a low of 23 in 2021. Full-time faculty counts have increased with the addition of three fixed-length faculty positions, funded primarily by grants, who work in the rural practice clinic, externship program, and the youth justice clinic. This increases the full-time faculty FTE by 13%.

Note: University of Maine School of Law staffing levels are reported as a year-over-year comparison due to discrepancies in employment categories between data sources. Soon to be resolved.

Financial Resources

The Composite Financial Index (CFI) is not calculated for the University of Maine School of Law.

The University of Maine System

3.1

MAINE SCHOOL OF LAW							
Budgeted State Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	E&G	\$ 856,808	\$ 856,808	\$ 856,808	\$ 856,808	\$ 3,281,808	\$ 3,908,237
	Debt Service						
	MEIF						
	Labor & Community Educ Ctr						
	Casco Bay Estuary						
	Maine New Ventures						
	Total	856,808	856,808	856,808	856,808	3,281,808	3,908,237

Research		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	Internally Funded Research	\$0	\$0	\$0	\$0	\$0	Not yet available
	Externally Funded Research	\$0	\$0	\$0	\$0	\$0	
	Total Research Expend.	\$0	\$0	\$0	\$0	\$0	

Budgeted University Support		FY2018	FY2019	FY2020	FY2021	FY2022	FY2023
	Gross Tuition & Fees			\$ 6,248,048	\$ 6,138,289	\$ 6,235,771	\$ 7,464,022
	E&G Fin. Aid			(1,479,914)	(2,100,000)	(2,100,000)	(2,500,000)
	E&G-Other				72,915	73,333	74,689
	Total	\$ -	\$ -	\$ 4,768,134	\$ 4,111,204	\$ 4,209,104	\$ 5,038,711

Employee (FTE) (as of October 31)		Fall 2017	Fall 2018	Fall 2019	Fall 2020	Fall 2021	Fall 2022
	Administrators	1	1	1	1	1	1
	Faculty - Full-Time	16	16	14	18	22	25
	Faculty - Part-Time ***	3	3	3	1	0	0
	Staff	21	24	25	25	21	26
	Total	44	46	44	46	45	53

***Maine Law visiting professors, and professors of practice are not included in the PAFTA bargaining unit. As a result, these numbers can differ from those published in the HR Statistical Report

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Vice Chancellor for Research and Innovation Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

Unified accreditation requires that the University of Maine System demonstrate that it has addressed all of the NECHE standards. One of these, standard 7, describes expectations for teaching, learning and scholarship:

The institution supports teaching and learning through a well-qualified faculty and academic staff, who, in structures and processes appropriate to the institution, collectively ensure the quality of instruction and support for student learning. Scholarship, research, and creative activities receive support appropriate to the institution's mission. The institution's faculty has primary responsibility for advancing the institution's academic purposes through teaching, learning, and scholarship.

System-wide focus on research and innovation can support achievement of this standard. Additionally, such a focus helps advance the *Research Actions* articulated in the [University of Maine System Strategic Plan 2023-2024](#) and the recommendations of the [University of Maine System Research and Development Plan FY20 – FY24](#).

BACKGROUND:

Joan Ferrini-Mundy, UMS Vice Chancellor for Research and Innovation, will provide an update at the September 11, 2023 Board of Trustees meeting.

[Attachment](#)

[VCRI Sept 2023 Presentation](#)

Preparing a Workforce for Maine: UMS Research, Development, and Innovation as a Catalyst

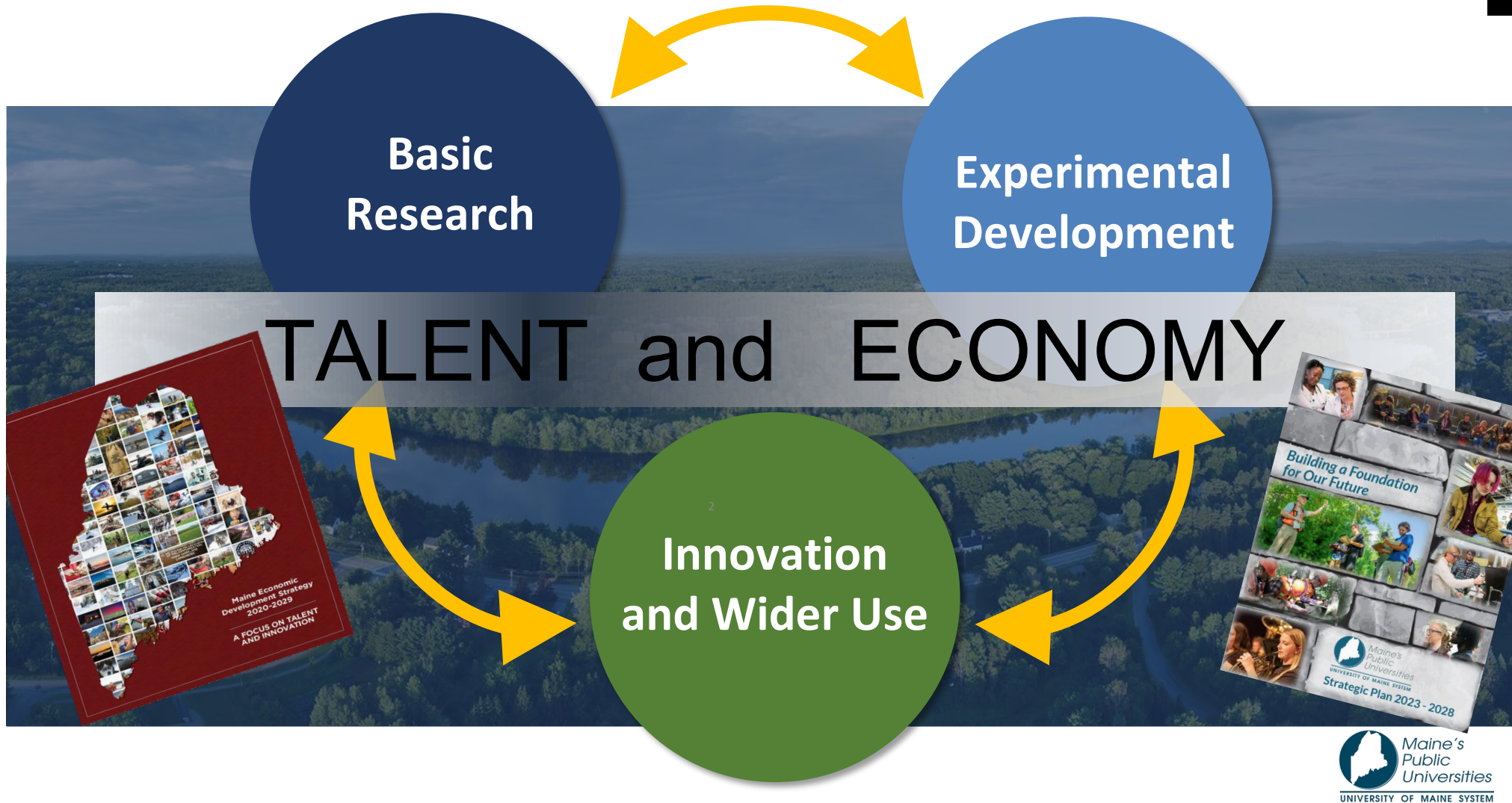
**UMS Board of Trustees
September 11, 2023**

Joan Ferrini-Mundy, Vice Chancellor for Research & Innovation, UMS

*Jason Charland, Senior Advisor to the President and
Executive Director of Research Development, UMaine*

Samantha Warren, Director of Government and Community Relations, UMS

Thank you to Isabella LoRusso for support in powerpoint development.



As we prepare a workforce for Maine today, we need to focus on the problems of tomorrow.

Teaching tomorrow's workforce in R&D environments prepares students to be problem-solvers and innovators.

Across UMS, R&D-based experiential learning is becoming pervasive.

UMS prepares the STEM workforce for Maine.

STEM workforce: individuals from all education levels who work in science and engineering (S&E), S&E-related, and middle-skill occupations.

S&E

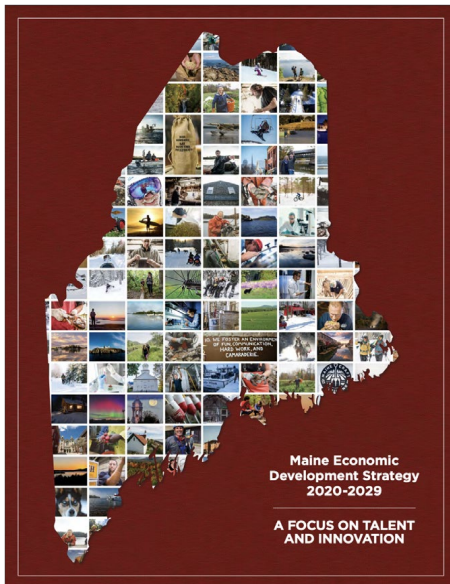
- Computer and mathematical scientists
- Life scientists
- Physical scientists
- Social scientists
- Engineers

S&E-related

- Health
- S&E managers
- S&E pre college teachers
- Technologists and technicians

Source: National Center for Science and Engineering Statistics (NCSES)

Maine's 10-year plan economic development goals ALL depend on a well-prepared workforce, and UMS can be central in that preparation.



1

Grow the average annual wage by **+10%** to the benefit of workers at all income levels.

2

Increase the value of what we sell per worker by **+10%.**

3

Attract **75,000** people to Maine's talent pool by increasing participating among Maine's existing population, and attracting new people from out of state - thus turning a potential labor force shrinkage into a gain.

Maine Jobs and Recovery Plan funds to UMS are catalyzing new ways to prepare the Maine workforce.

**\$35M over
2 years,
in 18
projects**

UNIVERSITY OF MAINE SYSTEM, BOARD OF TRUSTEES OF THE Educational and General Activities - UMS 0031

Initiative: Provides one-time funding to support workforce development plans designed to meet the needs of workers and students, as well as employers and industries, especially those most affected by the COVID-19 pandemic, and economic needs that are in demand and required for other economic opportunities.

6	FEDERAL EXPENDITURES FUND - ARP STATE	2021-22	2022-23
	FISCAL RECOVERY		
	All Other	\$15,000,000	\$20,000,000
	FEDERAL EXPENDITURES FUND - ARP STATE	\$15,000,000	\$20,000,000
	FISCAL RECOVERY TOTAL		

We considered key factors in the selection of UMS MJRP projects.



**Alignment with Congressional intent
of the American Rescue Plan**

**Ability to leverage other investments,
especially UMS TRANSFORMS**

**Alignment with State's 10-year
economic and Maine Jobs Recovery
plans, Climate Action Plan, etc.**

**Rapid ROI for all of
Maine and our students**

**Alignment with UMS strategic priorities,
unified accreditation, R&D plan**

**Fit and eligibility
(federal and state)**



Commitment 1:

Goal 1.6: Each university and the law school will set measurable goals by Oct. 1, 2023 for research and economic and workforce development outcomes through 27-28.

Goal 5.2: UMS will support an annual state-wide gathering and celebration of scholarship and creative activity and workforce and economic development in partnership with state, federal, and private sectors.

Commitment 2:

Action 1: Develop innovative programs and curricula responsive to changing economic and workforce needs, data-driven projections of growth areas, and technological innovation.

Goal 1.1: Focus on workforce readiness to help students gain clarity and insight into how academic pursuits can enhance employability and professional advancement.

- Our UMS MJRP Initiative is called
- Talent, Research & Innovation for Maine (TRI-Maine). It includes nine projects, as well as a small grants process. A portion of each budget is directly targeted for workforce development.

Project	Project Lead	Total Award (Workforce Development Budget commitment)
UMaine Green Engineering and Materials Factory of the Future (GEM)	Habib Dagher, Founding Director UMaine Advanced Structures & Composites Center	\$15M (\$750K)
UMaine Aquaculture Research Institute Sustainable Aquaculture Workforce and Innovation Center	Debbie Bouchard, Director, Aquaculture Research Institute and Extension Professor	\$3.5M (\$165K)
UMaine Food Innovation Cluster Food Quality Laboratory	Hannah Carter, UMaine Cooperative Extension Dean	\$2.5M (\$125K)
UMF Sweatt-Winter Early Childhood Education Center	Laurie Gardner, UMF Chief Business Officer	\$1M (\$100K)

4.1

Project	Project Lead	Total Award (Workforce Development Budget)
UMaine Aroostook Farm Research and Education Center	Diane Rowland, Dean, UMaine College of Natural Sciences, Forestry, and Agriculture	\$3M (\$150K)
USM Michael E. Dubyak Center for Digital Science and Innovation	Jeremy Qualls, Dean of the USM College of Science, Technology, and Health	\$1.5M (\$125K)
UMS Rural Career Pathway Center	John Volin, UMaine Executive VP for Academic Affairs and Provost	\$1.5M (\$75K)
UMS Solutions for Maine Research, Development and Innovation Hub	Jake Ward, UMaine Vice President for SPIRE	\$2.5M (\$125K)
UMM/Marine Science Field Station at Downeast Institute	Megan Walsh, UMaine Machias Dean and Campus Director	\$500,000

These MJRP projects exemplify the commitment to workforce development.



**UMaine Aroostook Farm
Research and Education Center**



**UMM/Downeast
Institute Internships**



**Rural Career
Pathway Center**



**Solutions for Maine
Research, Development,
and Innovation Hub**



**USM Michael E. Dubyak Center
for Digital Science and
Innovation**



**UMF Sweatt-Winter
Child Care and Early
Education Center**

UMaine Aroostook Farm Research and Education Center



Replacement of obsolete 1920s-era facilities in Presque Isle, home of UMaine's successful potato breeding program to better serve agricultural industry and government partners, while reducing ongoing operation costs.

UMM/Marine Science Field Station at Downeast Institute



UMM and the Downeast Institute are partnering to develop a workforce for the Blue Economy.

- *Scaling-up existing aquaculture internship program*
- *Creating new education and training pathways*

UMM/Marine Science Field Station at Downeast Institute



UMaine intern Mariah Pearson attended the First Annual Symposium for the Advancement of Maine's Blue Economy with the Downeast Institute. The event was held in Belfast and was sponsored by Educate Maine, Maine Career Catalyst, Aquaculture Research Institute, UMaine and Maine Aquaculture Association

UMS Rural Career Pathway Center



Training and mentorship to help at least 750 additional students find and succeed in internships, including rural employers, that lead to good-paying Maine jobs. Coordination of UMS-wide career preparation resources, including UMS TRANSFORMS Pathways to Careers.

Rural Career Pathways Center and Pathways to Careers: Our Approach (leverage UMS TRANSFORMS and MJRP)



**Coordinate by
economic sector**



**Design for greater
student inclusion**



**Support for High
Impact Practices**

UMS Solutions for Maine Research, Development, and Innovation Hub



Deployment of UMS resources to solve key state post-pandemic challenges and focus university R&D and other resources, including student interns, on innovation-driven Maine companies.

UMS Solutions for Maine Research, Development, and Innovation Hub

Program offering Maine companies with fewer than 250 employees access to technical assistance, counseling, student talent and other services provided by UMaine that will help their pandemic recovery and long-term growth.

The Solutions Hub opened intake in Spring 2023, since then:

72 Projects supporting
65 Companies providing
work experiences for
56 Students

USM Michael E. Dubyak Center for Digital Science and Innovation



Necessary renovations, technology and equipment investments to support hands-on skills development and innovation in high-growth cybersecurity, data and computer science, including maker and industry collaboration space for PK-20 students and industry.

UMF Sweatt-Winter Child Care and Early Education Center



The inside of the observation room in the new Sweatt-Winter Building at the University of Maine Farmington. Students will be able to sit and observe without disturbing the children. *Brian Ponce/Franklin Journal*

Photo credit: The Franklin Journal

Renovations of existing facility into expanded childcare facility (20 new slots) and ECE degree program enrollment expansion (20% increase)

UMS Talent, Research & Innovation Small Campus Competition

Intended for innovative initiatives that directly support career preparation and student retention and success in areas of state workforce need (healthcare, education, engineering, etc.)

Within MJRP \$4M were provided for a Small Campus Competitive Initiative. Nine projects were funded.

Project Name	Project Lead
THOR Institute to accelerate talent development, research/innovation in tourism, hospitality, and outdoor rec in Maine	Tracy Michaud (USM/UMS)
Teacher Retention in Maine	Patricia H. Williams (UMF) with UMaine
Mental Health & Human Services Program/Rehabilitation Services Program Training Collaborative	Wendy St. Pierre (UMA) & Karen Barrett (UMF)

Project Name	Project Lead
Statewide Expansion of Medical Laboratory Technology Programming	Leigh Belair (UMPI) & Elisha Sirois (UMA)
Health Sciences Immersive Simulation Center	Duane Belanger (UMFK) with UMPI
Transforming Geospatial Opportunities for a Post-Pandemic Workforce	Matthew McCourt (UMF) with Systemwide partners)

Project Name	Project Lead
USM Career Preparation Programming: Pre-Health Graduate School Advisor; Peer Career Guide; and Job Shadowing	Andrew Osheroff (USM)
USM Center for Teaching Innovation	Jo Williams (USM)
Information Privacy & Cybersecurity Law: Creating Opportunities for Students and Professionals	Scott Bloomberg (Maine Law)

A photograph of a person standing on a bridge railing, looking towards the camera. The background shows a cityscape with buildings and trees. The image is overlaid with a semi-transparent blue filter.

**Through MJRP investment across UMS, with
\$35M directly focused on training and
workforce development, UMS can make a
difference for Maine.**

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University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

5

NAME OF ITEM: Vice Chancellor for Strategic Initiatives (VCSI) Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

University of Maine System leadership is committed to fostering collaboration and synergy among its universities by seeking ways to help them share resources, streamline messaging, achieve greater efficiency, and maintain consistency in their operations.

BACKGROUND:

At the September 2023 Board of Trustees meeting, the Acting Vice Chancellor for Strategic Initiatives (VCSI) Carolyn Dorsey will offer an update on timely system-wide initiatives including the following items:

UMS Marketing and Communications Update

Acting Vice Chancellor Dorsey, with Director of External Communications Tory Ryden, and Director of Strategic Initiatives Jennifer O’Leary will discuss status of system-wide marketing and communications. This update will include current and planned marketing projects across the system.

UMS Strategic Plan Implementation Update

Acting Vice Chancellor Dorsey, with Vice Chancellor Jeffrey St. John, Trustee Emily Cain and Director of Strategic Initiatives Jennifer O’Leary will offer updates on Strategic Plan implementation efforts. The update will include the distribution and socialization of the Strategic Plan with internal and external stakeholders, work toward aligning with related System and University goals, completion of timeline, and KPI considerations.

8/22/2023

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

6

NAME OF ITEM: Vice Chancellor for Finance and Administration Update

INITIATED BY: Dannel P. Malloy, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

Policy 701 – [Operating & Capital Budgets](#)

UNIFIED ACCREDITATION CONNECTION:

BACKGROUND:

Vice Chancellor Low will provide 3 brief updates:

- 1) Flash Reports – Investment Update
- 2) Budget Update
- 3) 5-year Capital Plan

Attachment

[MIP Flash](#)

[OF Flash](#)

[Pension Flash](#)

[FY2024-FY2028 5-Year Capital Plan Presentation](#)

[FY2024-FY2028 5-Year Capital Plan Report](#)

University of Maine System Managed Investment Pool

TOTAL PLAN PERFORMANCE

July 31, 2023

6.1

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
MIP Composite	366,490,573	100.0	100.0	2.0	11.0	7.6	6.5	5.2	6.0	5.5
Allocation Index				2.5	12.0	8.3	7.5	6.2	6.9	6.2
Policy Index				2.5	11.9	8.6	7.2	6.0	7.0	6.4
Total Domestic Large Cap	94,168,738	25.7	25.0	3.2	20.6	13.0	13.7	12.1	13.2	12.6
S&P 500 Index				3.2	20.6	13.0	13.7	12.2	13.3	12.7
SSgA S&P 500	24,585,752	6.7	0.0	3.2	20.6	12.9	13.7	12.1	13.2	12.6
S&P 500 Index				3.2	20.6	13.0	13.7	12.2	13.3	12.7
SSgA Fossil Fuel Free S&P 500 Index	69,582,986	19.0	25.0	3.1	-	-	-	-	-	-
S&P 500 Fossil Fuel Free Index				3.1	-	-	-	-	-	-
Total Domestic Small/Mid Cap	18,494,798	5.0	5.0	4.2	13.6	11.1	17.4	8.9	11.9	10.3
Russell 2500 Index				5.0	14.2	8.0	12.6	7.2	9.7	9.2
Westfield Capital	9,156,836	2.5	2.5	1.2	14.6	9.5	8.7	8.4	12.1	10.5
Russell 2500 Growth Index				3.3	17.2	10.0	5.8	7.3	10.6	10.0
DFA	9,337,962	2.5	2.5	7.1	13.1	12.9	26.1	8.5	10.6	9.3
Russell 2000 Value Index				7.5	10.2	3.9	17.5	4.7	8.0	7.4
Global Equity	37,251,331	10.2	10.0	0.5	17.5	13.2	9.8	-	-	-
MSCI World Index (Net)				3.4	19.0	13.5	11.7	-	-	-
Walter Scott Global Equity	37,251,331	10.2	10.0	0.5	17.5	13.2	9.8	-	-	-
MSCI World Index (Net)				3.4	19.0	13.5	11.7	-	-	-
Total International Equity (including emerging markets)	72,145,570	19.7	19.0	4.0	14.4	13.3	3.0	1.3	3.5	3.0
MSCI AC World ex USA (Net)				4.1	13.9	13.4	7.1	3.9	6.2	4.7
Silchester International Value Equity	20,560,898	5.6	5.0	5.7	16.7	-	-	-	-	-
MSCI EAFE (Net)				3.2	15.3	-	-	-	-	-
JO Hambro	17,035,322	4.6	5.0	2.3	16.5	10.1	0.7	-	-	-
MSCI EAFE (Net)				3.2	15.3	16.8	9.3	-	-	-
Axiom International Small Cap Equity	7,629,675	2.1	2.0	3.1	9.5	3.2	-	-	-	-
MSCI EAFE Small Cap (Net)				4.4	10.2	7.9	-	-	-	-



University of Maine System Managed Investment Pool

TOTAL PLAN PERFORMANCE

July 31, 2023

6.1

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Emerging Markets Equity	26,919,675	7.3	7.0	4.0	13.5	11.4	5.7	4.3	4.4	2.9
Emerging Markets Equity Custom Benchmark				6.4	14.6	12.9	7.1	4.3	7.0	4.8
Aberdeen Emerging Mkts	18,286,074	5.0	5.0	4.5	9.9	6.6	-0.5	1.9	3.3	2.4
MSCI Emerging Markets (Net)				6.2	11.4	8.3	1.5	1.7	5.1	3.5
Mondrian EM Small Cap	8,633,601	2.4	2.0	3.1	19.8	18.8	12.5	6.9	5.4	-
MSCI Emerging Markets Small Cap				6.5	17.7	17.4	12.8	6.0	7.0	-
Total Fixed Income	90,299,638	24.6	26.5	0.5	3.7	-0.1	-0.1	2.1	2.0	2.6
Blmbg. U.S. Aggregate Index				-0.1	2.0	-3.4	-4.5	0.7	0.3	1.5
Commonfund	23,398,178	6.4	6.5	0.0	2.7	-3.0	-3.6	0.8	0.8	1.9
Blmbg. U.S. Aggregate Index				-0.1	2.0	-3.4	-4.5	0.7	0.3	1.5
Fidelity Intermediate Bond Fund[CE]	15,504,516	4.2	5.0	-0.2	-	-	-	-	-	-
Blmbg. U.S. Treasury Index				-0.4	-	-	-	-	-	-
Vanguard Inflation-Protected Securities	14,432,752	3.9	5.0	0.1	2.0	-5.6	-0.9	2.5	1.8	-
Blmbg. U.S. TIPS				0.1	2.0	-5.4	-0.8	2.6	1.9	-
Blackrock Strategic Income Opportunities	17,152,432	4.7	5.0	0.8	3.0	1.2	1.1	2.5	-	-
Libor 3 month Index				0.5	2.9	4.8	1.9	2.0	-	-
Bain Capital Senior Loan Fund	19,811,759	5.4	5.0	1.6	8.7	9.0	6.2	-	-	-
Credit Suisse Leveraged Loan Index				1.3	7.7	9.5	6.0	-	-	-
Total GAA	26,044,836	7.1	7.5	0.6	-0.8	-2.2	1.0	1.2	2.2	2.3
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills*				1.2	6.9	3.8	1.9	3.4	4.9	4.9
Newton Global Real Return	26,044,836	7.1	7.5	0.6	-0.8	-2.2	1.4	3.4	2.4	-
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills				1.2	6.9	3.8	1.9	3.5	3.7	-
Total Hedge Funds	26,187,355	7.1	7.0	0.1	2.6	6.3	7.5	6.0	6.0	4.4
HFRI Fund of Funds Composite Index				1.1	3.4	4.0	4.6	3.5	4.0	3.4
Lighthouse	26,187,355	7.1	7.0	0.1	2.6	6.3	7.5	6.0	6.4	-
Credit Suisse Long/Short Equity				1.3	7.5	8.9	6.1	4.4	5.4	-



University of Maine System Managed Investment Pool

TOTAL PLAN PERFORMANCE

July 31, 2023

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Private Equity	1,032,127	0.3	0.0	0.0	-2.7	-19.0	9.6	4.5	8.3	-
Landmark Equity Partners XV	1,032,127	0.3	0.0	0.0	-2.7	-19.0	9.6	4.5	8.3	-
CJA US All PE (1 Qtr Lag)				0.0	3.7	0.6	23.9	15.9	16.2	-
Total Cash	866,181	0.2	0.0							
Distribution Account	866,181	0.2	0.0	0.1	2.6	3.6	1.3	1.3	1.2	0.8
90 Day U.S. Treasury Bill				0.4	2.7	4.0	1.4	1.6	1.4	1.0

Notes:

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate, 30% Bloomberg U.S. TIPS 1-10YR, 10% S&P 500, 10% Bloomberg High Yield, 10% JPM EMBI+

Emerging Markets Equity Custom Benchmark consists of MSCI EM from Inception to 5/31/2019 and 50% MSCI EM / 50% MSCI EM Small Cap from 6/1/2019 to present.

Returns are net of manager fees

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.

6.1



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Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv



University of Maine System Operating Fund

July 31, 2023

TOTAL FUND PERFORMANCE

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy(%)	1 Mo (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Operating Funds Composite	261,433,641	100.0	100.0	1.0	4.3	4.0	2.5	3.2	3.1	2.7
<i>Allocation Index</i>				0.9	4.9	4.2	2.0	2.8	2.8	2.6
Liquidity Pool Composite	60,850,981	23.3	30.0	0.4	2.4	3.3	1.4	1.6	1.4	1.0
State Pool	30,179,240	11.5		0.4	1.8	2.5	1.3	1.5	1.4	1.1
BOA General Fund	3,006,399	1.1		0.2	1.1	1.7	0.9	0.8	0.6	0.5
Federated Gov't Obligations	12,934,111	4.9		0.4	3.3	4.7	1.6	1.7	-	-
JP Morgan US Gov't Money Market Fund	14,731,230	5.6		0.8	3.6	5.0	1.8	1.7	-	-
<i>90 Day U.S. Treasury Bill</i>				0.4	2.7	4.0	1.4	1.6	-	-
Income Pool Composite	130,432,167	49.9	47.5	0.6	3.1	1.7	-0.2	1.8	1.6	1.8
Income Research + Management	69,159,049	26.5	26.0	0.5	2.1	1.4	-0.3	1.8	1.4	1.4
<i>Blmbg. 1-3 Year Gov/Credit index</i>				0.4	1.6	0.4	-0.8	1.2	0.9	1.0
BlackRock Strategic Income Opportunities	18,189,728	7.0	7.0	0.8	2.9	1.2	1.1	2.5	2.7	-
<i>Libor 3 month Index</i>				0.5	2.9	4.8	1.9	2.0	1.8	-
Baird Aggregate Bond Fund - BAGIX	23,172,755	8.9	7.5	0.0	2.4	-2.5	-	-	-	-
<i>Blmbg. U.S. Aggregate Index</i>				-0.1	2.0	-3.4	-	-	-	-
Bain Senior Floating Rate Fund	19,910,635	7.6	7.0	1.6	8.7	9.0	-	-	-	-
<i>Credit Suisse Leveraged Loan Index</i>				1.3	7.7	9.5	-	-	-	-
Total Return Pool Composite	70,150,494	26.8	22.5	2.2	9.2	8.4	8.4	6.8	7.1	5.8
Lighthouse	16,406,836	6.3	5.0	0.1	2.6	6.3	7.5	6.0	6.4	-
<i>Credit Suisse Long/Short Equity</i>				1.3	7.5	8.9	6.1	4.4	5.4	-
Newton Global Real Return	11,501,431	4.4	4.0	0.6	-0.9	-2.3	1.4	3.4	-	-
<i>40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills</i>				1.2	6.9	3.8	1.9	3.5	-	-
PIMCO All Asset	12,092,517	4.6	4.0	2.3	6.4	3.0	6.6	4.9	5.5	4.4
<i>PIMCO All Asset Index III</i>				1.9	5.2	0.4	1.7	3.4	3.4	2.8
Silchester International Value Equity	10,179,269	3.9	3.3	5.7	16.7	-	-	-	-	-
<i>MSCI EAFE (Net)</i>				3.2	15.3	-	-	-	-	-
SSGA S&P 500 Index Fund NL CTF	5,101,402	2.0	0.0	3.2	20.6	-	-	-	-	-
<i>S&P 500 Index</i>				3.2	20.6	-	-	-	-	-
SSgA Fossil Fuel Free S&P 500 Index	14,869,039	5.7	6.2	3.1	-	-	-	-	-	-
<i>S&P 500 Fossil Fuel Free Index</i>				3.1	-	-	-	-	-	-

6.2



University of Maine System Operating Fund

TOTAL FUND PERFORMANCE

July 31, 2023

Notes:

Returns are net of manager fees.

The inception date for the allocation index is 07/01/2009

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate / 30% Bloomberg U.S. TIPS 1-10YR / 10% S&P 500 / 10% Bloomberg High Yield / 10% JPM EMBI+

Composite excludes external loans.

Blackrock SIO changed its share class in May 2018 to BSIKX.

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.

6.2



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Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv

University of Maine System Pension Plan

July 31, 2023

TOTAL FUND PERFORMANCE

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Pension Composite	18,772,791	100.0	100.0	0.5	6.7	3.4	3.7	4.6	4.8	4.7
Allocation Index				1.5	7.8	4.2	4.5	4.9	5.3	5.3
Policy Index				1.4	7.7	4.1	4.4	4.9	5.3	5.4
Total Global Equity	5,820,869	31.0	30.0	0.5	18.0	14.0	9.9	-	-	-
MSCI World Index (Net)				3.4	19.0	13.5	11.7	-	-	-
Walter Scott Global Equity Fund	5,820,869	31.0	30.0	0.5	18.0	14.0	9.9	-	-	-
MSCI World Index (Net)				3.4	19.0	13.5	11.7	-	-	-
Emerging Markets Equity	678,675	3.6	3.0	3.1	19.8	18.8	12.5	6.9	5.4	3.4
Emerging Markets Equity Benchmark				6.5	17.7	17.4	12.8	6.7	8.8	6.0
Mondrian EM Small Cap	678,675	3.6	3.0	3.1	19.8	18.8	12.5	6.9	5.4	-
MSCI Emerging Markets Small Cap				6.5	17.7	17.4	12.8	6.0	7.0	-
Total Fixed Income	7,787,899	41.5	48.0	0.3	3.1	-0.7	-2.0	1.6	1.2	2.0
Blmbg. U.S. Aggregate Index				-0.1	2.0	-3.4	-4.5	0.7	0.3	1.5
Baird Aggregate Bond Fund - BAGIX	4,625,109	24.6	25.0	0.0	2.4	-2.5	-	-	-	-
Blmbg. U.S. Aggregate Index				-0.1	2.0	-3.4	-	-	-	-
Fidelity Intermediate Bond Fund	835,358	4.4	5.0	-0.2	-	-	-	-	-	-
Blmbg. U.S. Treasury Index				-0.4	-	-	-	-	-	-
Vanguard Inflation-Protected Securities	414,807	2.2	8.0	0.1	2.0	-5.6	-0.9	2.5	-	-
Blmbg. U.S. TIPS				0.1	2.0	-5.4	-0.8	2.6	-	-
BlackRock Strategic Income Opportunities	935,312	5.0	5.0	0.8	2.9	1.2	1.1	2.5	-	-
Libor 3 month Index				0.5	2.9	4.8	1.9	2.0	-	-
Bain Capital Senior Loan Fund	977,315	5.2	5.0	1.6	8.7	9.0	6.2	-	-	-
Credit Suisse Leveraged Loan Index				1.3	7.7	9.5	6.0	-	-	-
Total GAA	1,485,589	7.9	8.0	0.6	-0.8	-2.2	1.5	2.8	3.2	3.1
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills*				1.2	6.9	3.8	1.9	3.4	4.9	4.9
Newton Global Real Return	1,485,589	7.9	8.0	0.6	-0.8	-2.2	1.5	3.4	2.5	-
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills				1.2	6.9	3.8	1.9	3.5	3.7	-

6.3



University of Maine System Pension Plan

July 31, 2023

TOTAL FUND PERFORMANCE

	Allocation			Performance (%)						
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	YTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Total Alternative Investments	713,472	3.8	5.0	0.1	2.6	6.3	7.5	6.0	6.0	4.7
HFRI Fund of Funds Composite Index				1.1	3.4	4.0	4.6	3.5	4.0	3.4
Lighthouse	713,472	3.8	5.0	0.1	2.6	6.3	7.5	6.0	6.4	-
Credit Suisse Long/Short Equity				1.3	7.5	8.9	6.1	4.4	5.4	-
Total Real Assets	1,786,423	9.5	3.0							
Principal	1,786,423	9.5	3.0	-0.2	-5.3	-10.8	7.0	5.7	6.5	8.2
NCREIF ODCE				0.0	-5.8	-10.0	8.0	6.5	7.0	8.7
Total Cash	499,864	2.7	3.0							
Distribution Account	499,864	2.7	3.0	0.3	2.2	3.1	1.1	1.2	1.1	0.7
90 Day U.S. Treasury Bill				0.4	2.7	4.0	1.4	1.6	1.4	1.0

Notes:

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate, 30% Bloomberg U.S. TIPS 1-10YR, 10% S&P 500, 10% Bloomberg High Yield, 10% JPM EMBI+ Emerging Markets Equity Benchmark consists of MSCI EM from inception to 5/31/2019 and MSCI EM Small Cap from 6/1/2019 to present.

Returns are net of manager fees.

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6.3



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Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv



FY2024-FY2028 Capital Investment Plan



Nate Harris
Assoc Director of Capital Planning

Overview

6.4

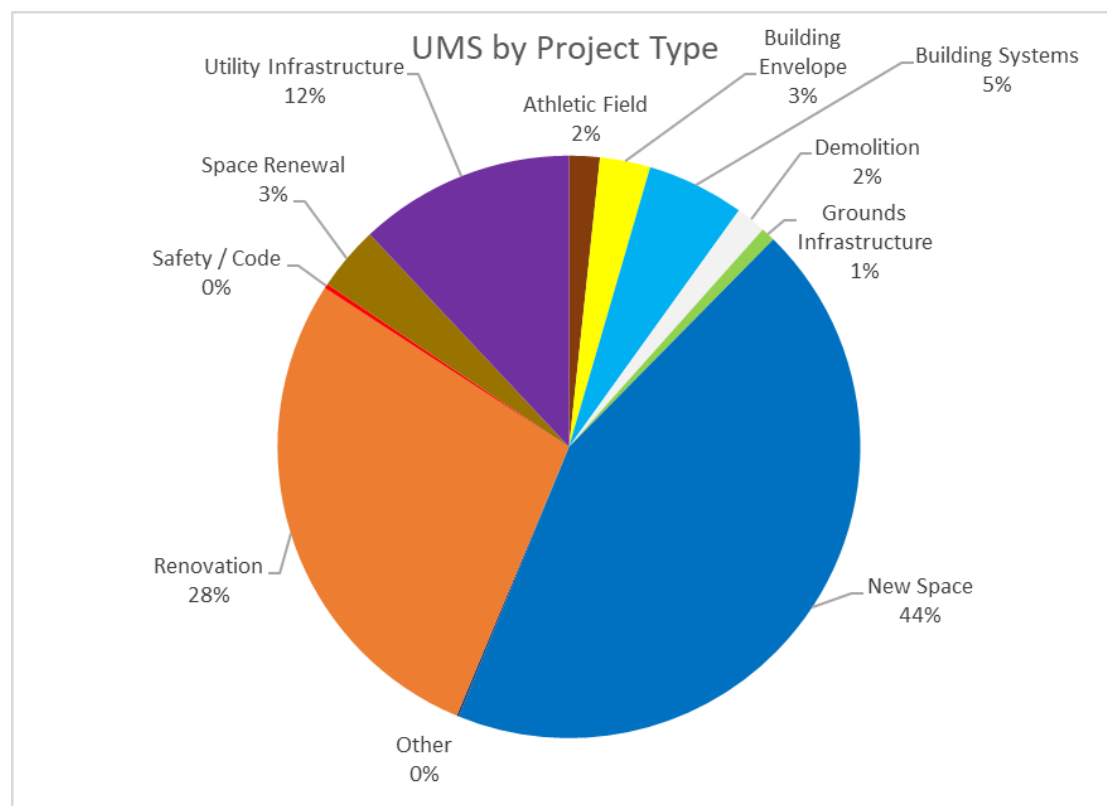
- 5-year plan is aspirational & includes projects without secured funds
- Direct ties to Unified Accreditation and UMS Strategic Plan
 - NECHE Standard 7- Physical Resources “bring facility age in line with postsecondary sector standards”
 - Commitment 1 Student Success (SS) Action 2 – Improve Retention
 - Commitment 1 SS Goal 4.2 – Maximize enrollment
 - Fiscal Sustainability Goal 3.2 – Identify infrastructure efficiencies & optimize upkeep of classrooms, etc.
 - FS Goal 3.3 – Identify reductions in energy consumption
 - Effective Infrastructure Portfolio (EIP) Goal 1.1 - Address physical plant needs in relation to other infrastructure needs
 - EIP Goal 2.1 - Target disused and low-Net Asset Value buildings for removal
 - Commitment 5 Unified Accreditation Goal 3.4 – Address facility planning goals
- \$1.2B supporting over 400 projects valued at over \$1.5B



FY24-FY28 Capital Plan

6.4

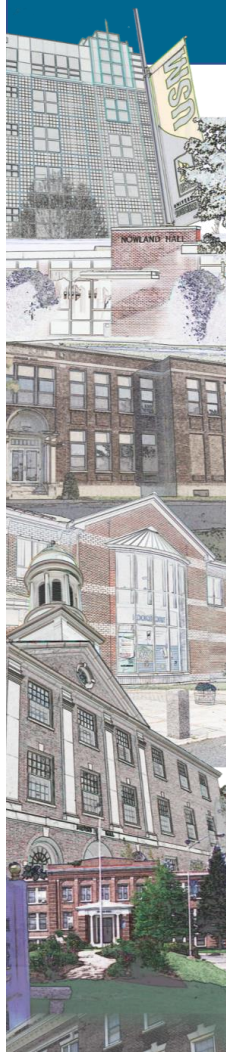
Row Labels	Sum of 5-Year Capital Plan
Athletic Field	\$ 19,555,704
Building Envelope	\$ 32,185,139
Building System	\$ 62,340,919
Demolition	\$ 19,240,464
Grounds Infrastructure	\$ 9,047,187
New Space	\$ 503,721,196
Other	\$ 1,642,909
Renovation	\$ 321,212,067
Safety / Code	\$ 2,877,035
Space Renewal	\$ 41,255,581
Utility Infrastructure	\$ 137,821,420
Grand Total	\$ 1,150,899,621



Capital Plan by Funding Source

6.4

- Over 20 funding sources in the capital plan
- Top sources include:
 - TBD - \$342M (30%)
 - Fundraising - \$182M (16%)
 - Revenue Bonds – \$162M (14%)
 - Earmarks - \$123M (11%)
 - HAF Grant - \$102M (9%)
 - E&G and Aux (including reserves) - \$94M (8%)
 - State Capital Improvement Funds - \$45M (4%)



Capital Plan by Cost Bracket

6.4

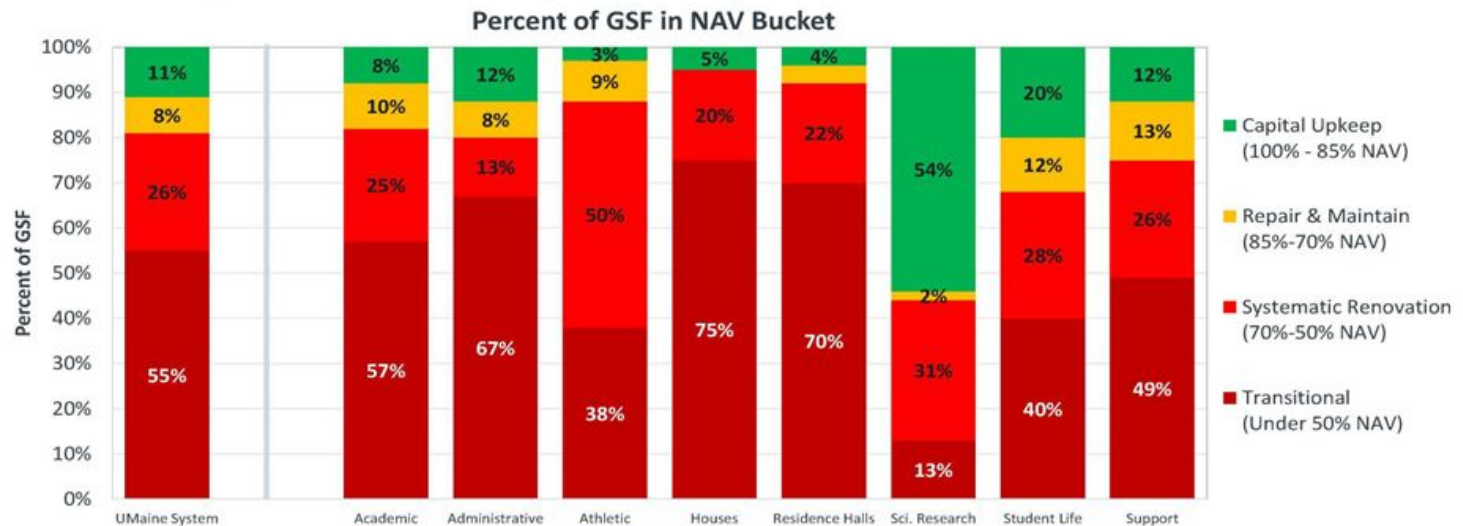
Cost Bracket	# Projects	5-Year	% total projects	% Capital Plan \$
>\$10M	22	792,764,171	5%	69%
≤\$10M - \$2M	54	232,456,366	13%	20%
≤\$2M - \$500K	82	80,330,331	20%	7%
≤\$500K	255	45,348,753	62%	4%

Portfolio View

6.4

- Portfolio view of facility inventory
 - Identifies areas with the lowest NAV (e.g. Residence Halls and Academic Facilities)

Looking at UMaine System By Functional Portfolio



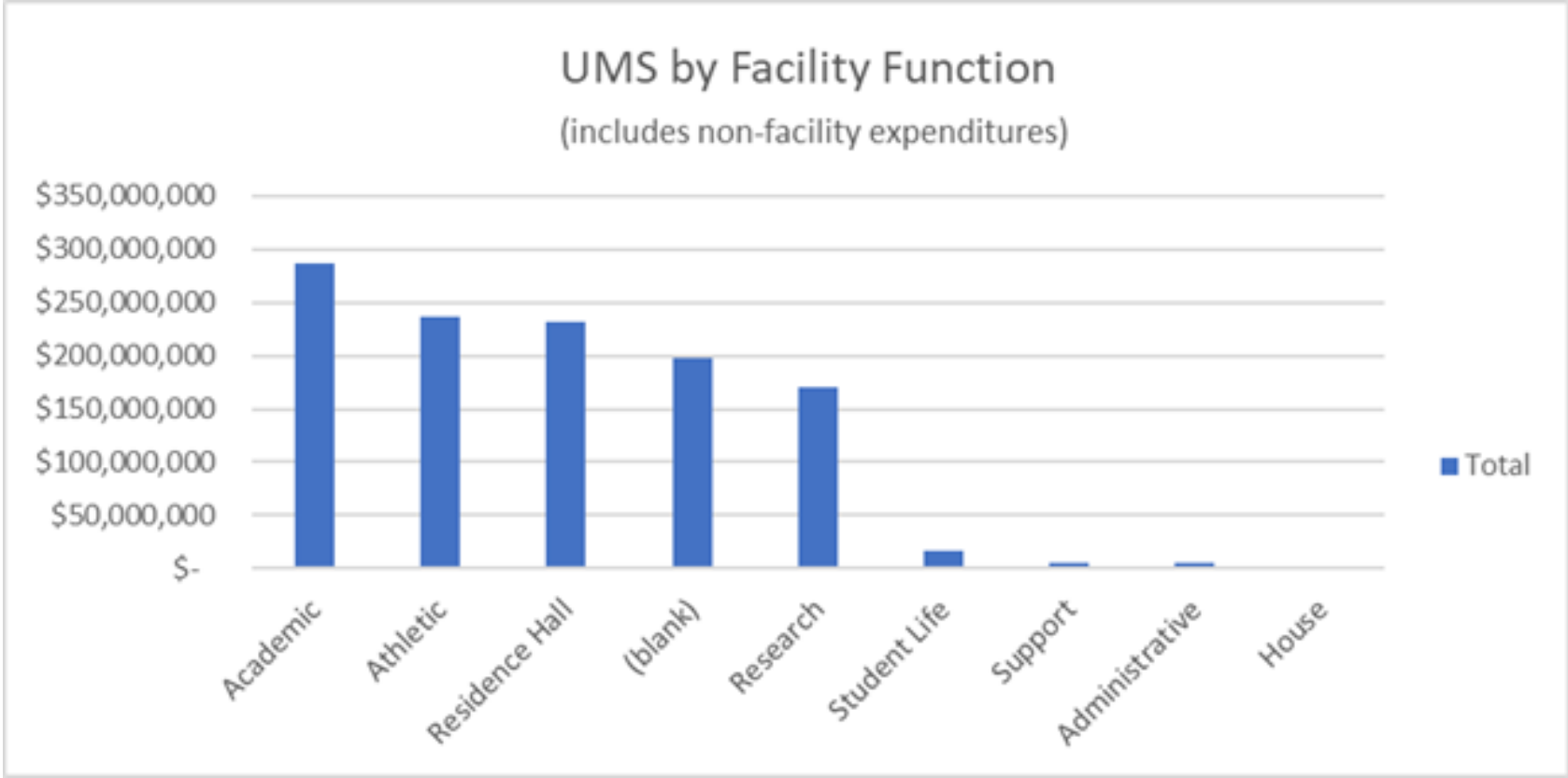
% of System GSF	100%	39%	8%	7%	1%	26%	6%	8%	5%
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Total Asset Reinvestment need for the UMS FY22, inclusive of all included buildings

GORDIAN

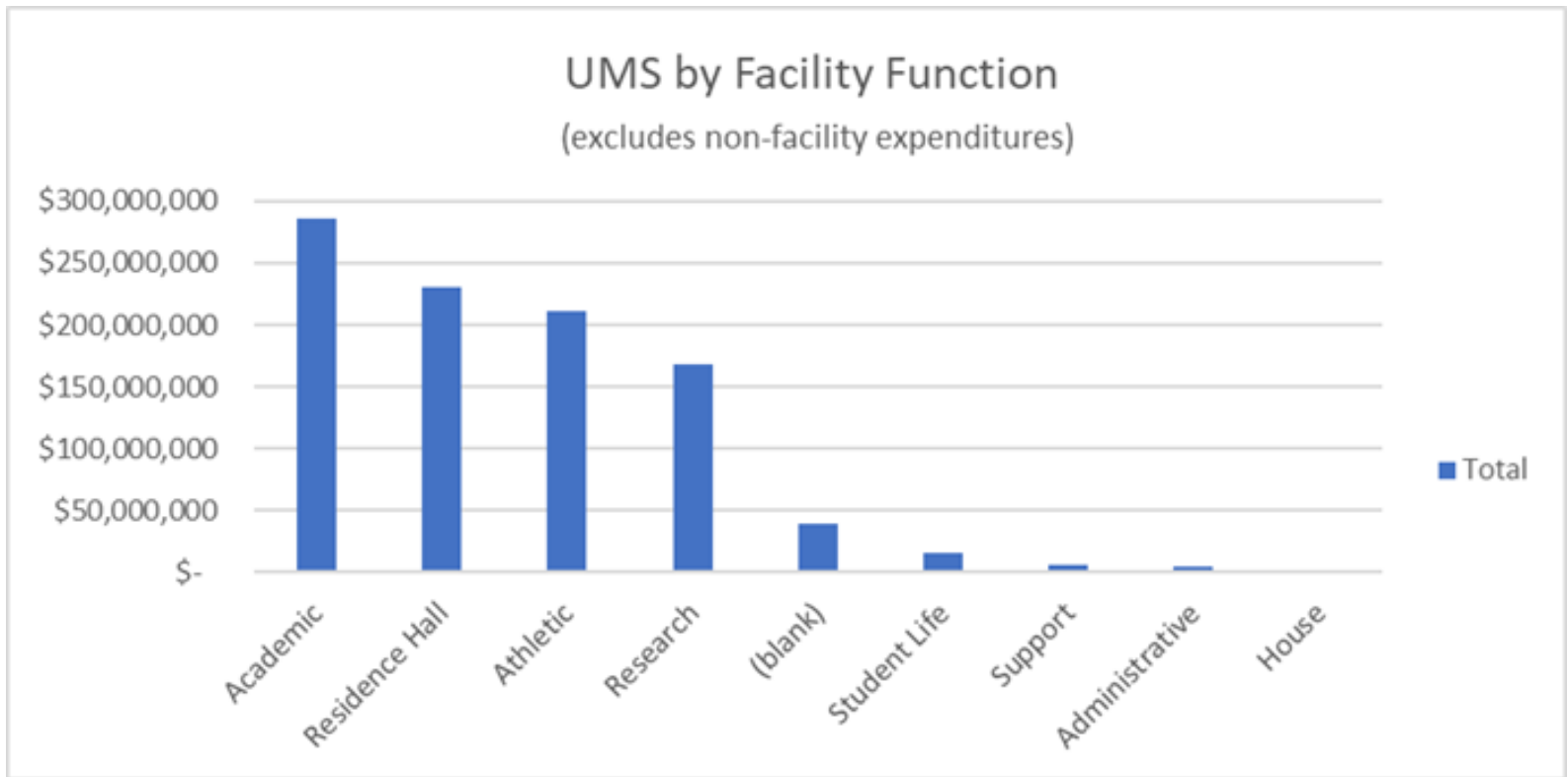
5-Year Plan through Portfolio lens

6.4



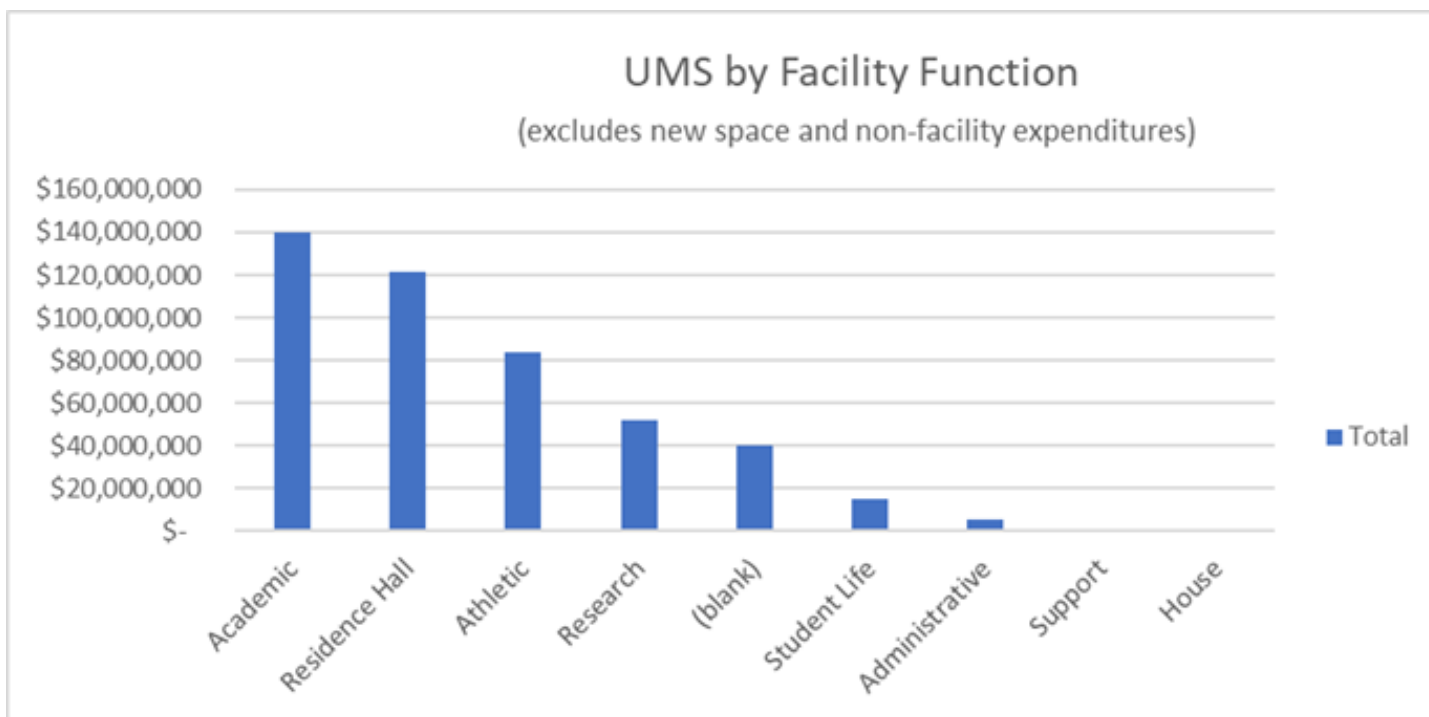
5-Year Plan through Portfolio lens

6.4



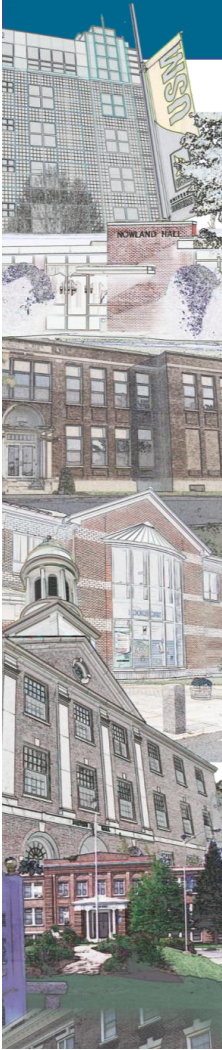
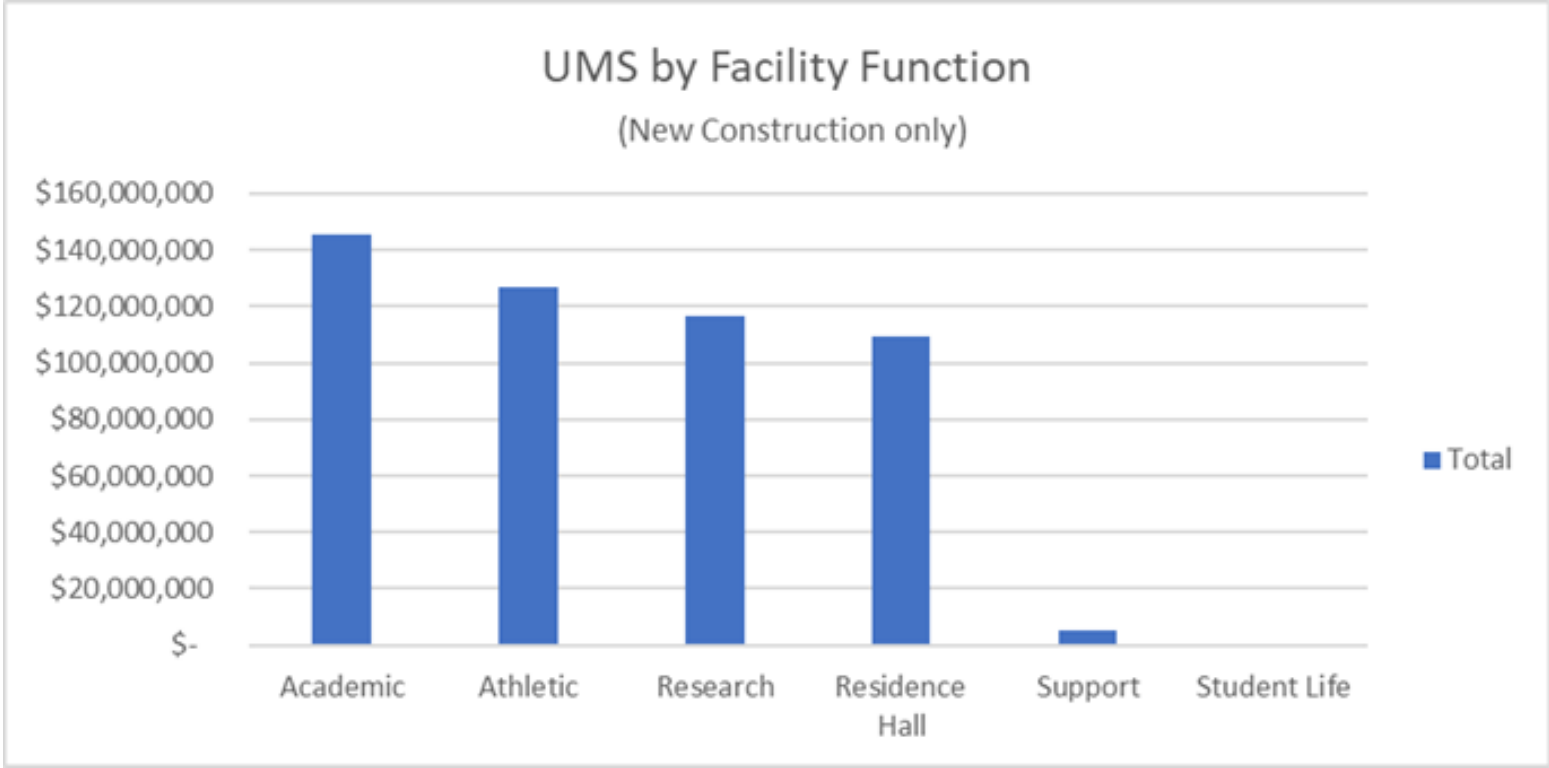
5-Year Plan through Portfolio lens

6.4



5-Year Plan through Portfolio lens

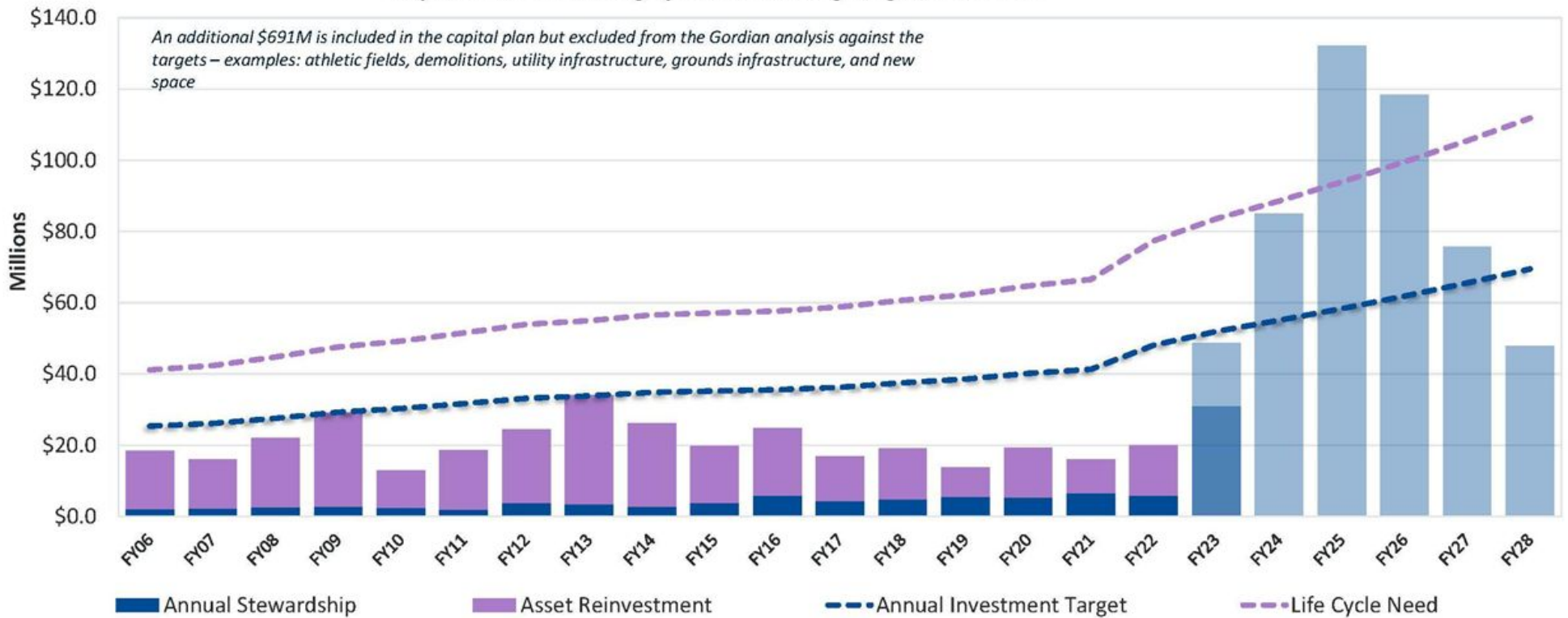
6.4



UMS FY24-28 Capital Plan Performance Against Gordian's Annual Targets

6.4

Capital Plan in Existing Space vs Funding Target Over Time



January 2023 RSMeans CCI catalog is 8% which is the escalation factor for the FY23 analysis. FY24-FY28 escalation factor is projected at 6%.

FY23 spend as of 1.31.23

GORDIAN®

FY2024-FY2028 Capital Investment Plan



Comments or Questions?

UNIVERSITY OF MAINE SYSTEM FY24-28 5-YEAR CAPITAL PLAN

John Nathan Harris

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Maine Law	24

UMS 5-Year Capital Plan

The five-year capital plan provides a mid-term view of planned capital investments across the system. Unlike the 1-year capital plan, the 5-year plan is designed to be aspirational. Universities not only identify projects they can execute but include important projects that still need funding secured. Each university has provided a narrative that is *italicized* in their section where they discuss their priorities, key projects, and any constraints faced in their plan. The UMS capital plan directly supports NECHE standard 7, physical resources, and address the call to “redress the buildup of critical maintenance and bring facility age in line with postsecondary sector standards” in their final report. This plan also directly addresses several key goals in the UMS Strategic Plan. All universities see their capital plan as supporting the strategic priorities of increasing enrollment (Commitment 1, Action 4, Goal 4.2) and retaining students (Commitment 1, Action 2) though modernizing and enhancing their physical plant (Commitment 2 – Fiscal Sustainability, Action 3, Goals 3.2 and 3.3) to maintain an effective infrastructure portfolio (Commitment 2, Action 1, Goal 1.1) within a fiscally sustainable environment.

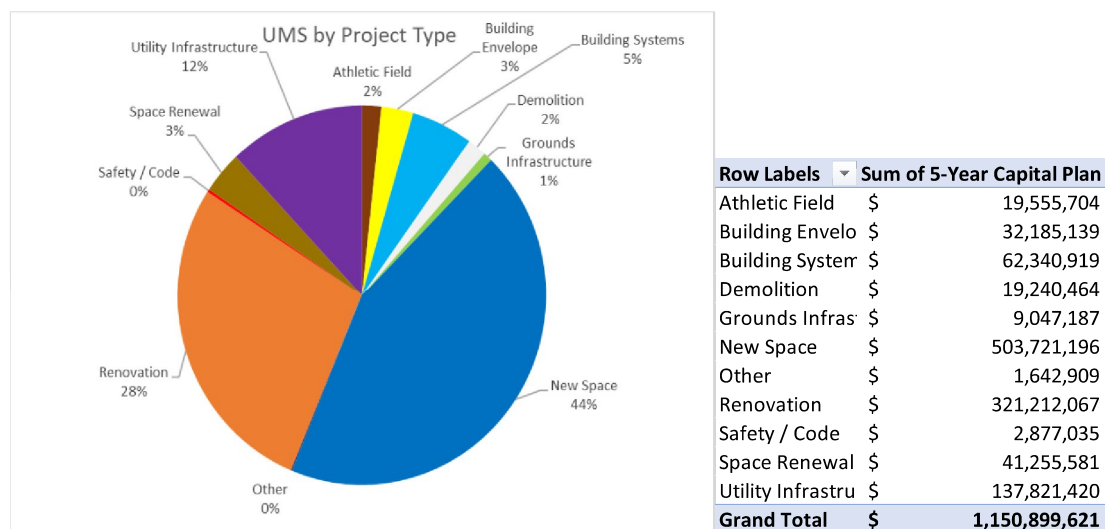
This five-year capital plan identifies \$1.2B of investment supporting over 400 projects valued at over \$1.5B. Funding sources across the plan are diverse and consist of over 20 different types. The list below highlights the largest contributors to the plan and accounts for 92% of all the funding:

- TBD - \$342M (30%)
- Fundraising - \$182M (16%)
- Revenue Bonds – \$162M (14%)
- Earmarks* - \$123M (11%)
- HAF Grant - \$102M (9%)
- E&G and Aux (including reserves - \$94M (8%)
- State Capital Improvement Funds - \$45M (4%)

***Note:** The term ‘Earmark’ is used throughout this report to collectively refer to Congressionally Directed Spending (CDS).

This funding breakout is similar to the previous 5-year plan. The largest difference is in the projected fundraising category, which more than doubled what was identified in the FY23-FY27 plan. This is the result of identifying HAF Grant matching funds for athletics and engineering projects at UMaine, and the fundraising needed for the Center for the Arts project at USM.

Examining the 5-year plan by project type provides insight into priorities and how the physical infrastructure on the universities may change.

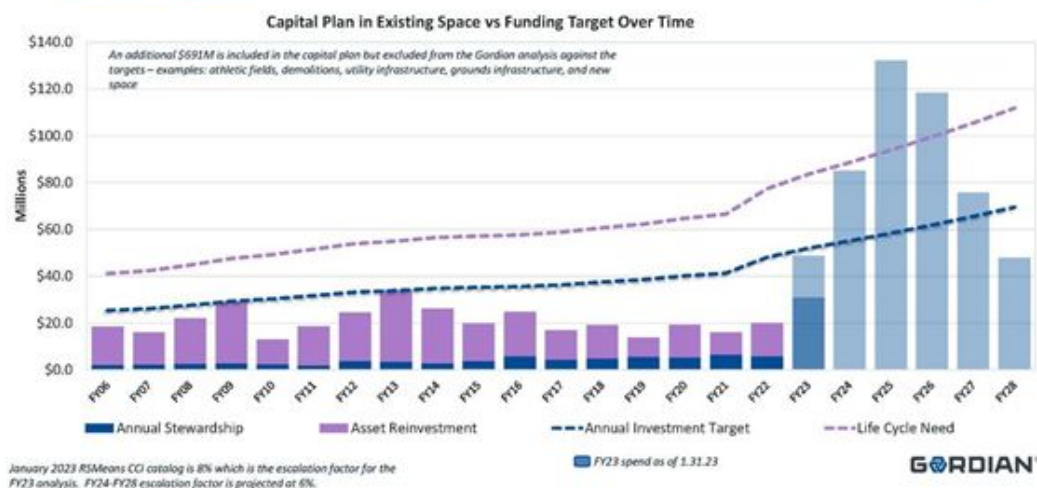


New construction is responsible for 44% of the 5-year plan, with expenditures in this category growing each fiscal year. The addition of new facilities and their square footage will require additional facility operations and maintenance funding if these new facilities are to be properly maintained. At the same time, new facilities will increase the Gordian funding targets if adequate offsets are not identified for closure and demolition. Significant funding mechanisms still need to be secured to execute the new construction outlined in the plan since 63% of the funding for new construction has TBD or Fundraising identified as the funding source.

The 5-year plan includes 18 demolition projects that are expected to cost over \$19M. These projects would remove approximately 340,000 sq/ft and slow the growth of Gordian funding targets by eliminating maintenance and lifecycle renewal obligations. At the same time, removing these facilities could reduce property insurance costs. These removal projects support the Strategic Plan's Commitment 2 – Effective Infrastructure Portfolio – Action 2, Goal 2.1. Currently, most demolition projects do not have funding identified (TBD) and will require fiscal solutions beyond university funding. The largest demolition projects include Dickey-Wood and the Law Building at USM; Hannibal Hamlin, Libby and Murray Hall at UMaine; and Normal Hall at UMPI.

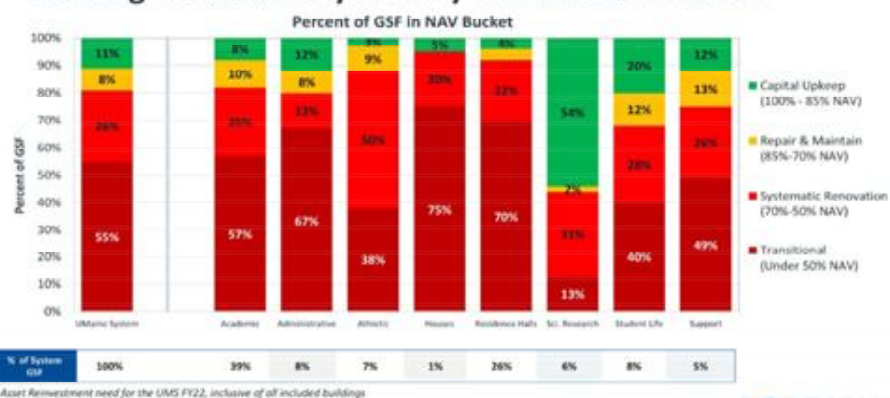
Renovations, renewals and investments in building systems and envelopes constitute 34% of this plan and are critical to preserving and modernizing existing facilities and support Strategic Plan Commitment 2 – Fiscal Sustainability- Action 3 Goals 3.2 and 3.3. When renovations are undertaken, necessary safety and code upgrades are addressed. Based on Gordian's analysis, our planned investments will meet their funding target range for FY24-FY27 and will help keep NAVs steady. This analysis assumes a 6% annual cost acceleration and does not include either the growth in these targets as new facilities come online or the impact of planned demolitions. This planned investment will make a noticeable impact on the facilities targeted, but funding strategies will need to be developed over the coming years since 29% of the planned \$390M investment is TBD funded and an additional 7% is made up of fundraising and unsecured earmarks.

UMS FY24-28 Capital Plan Performance Against Gordian's Annual Targets



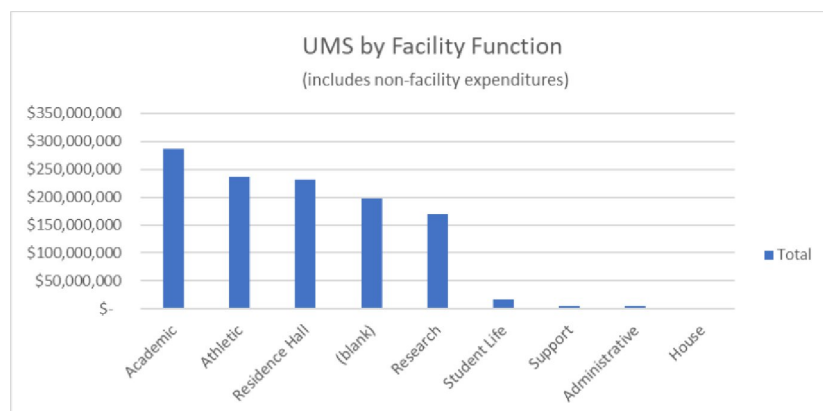
Beyond measuring investment in existing facilities, using a portfolio view of facilities clearly shows what type of facilities are receiving the most funds. Gordian's portfolio view provides a snapshot of the condition of facilities by their function and provides a tool to guide University decision-making. The graphic below shows that across the system, academic facilities and residence halls make up the majority of square footage and are in the most need of investment.

Looking at UMaine System By Functional Portfolio

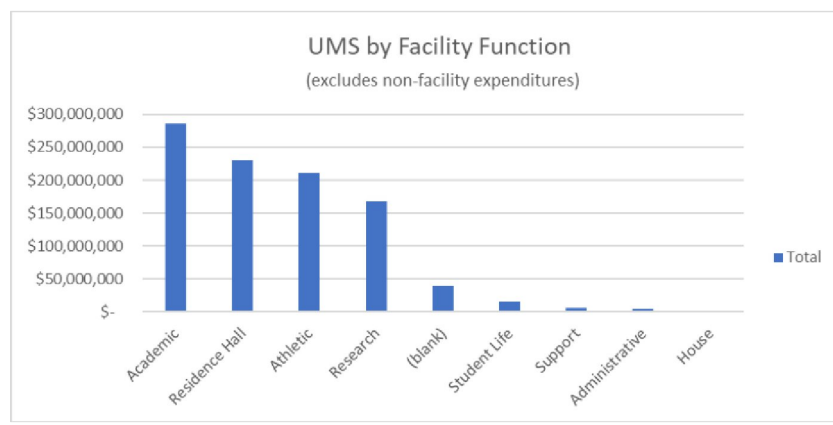


6.5

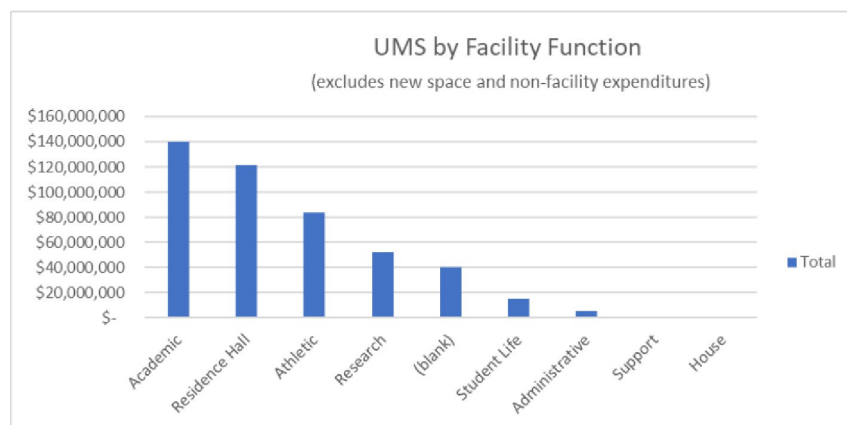
Comparing the 5-year capital plan against the portfolio view provides insights into strategic priorities and strategies. The graphic below captures the planned investment by facility function and includes non-facility work like athletic fields, grounds, and utility infrastructure projects. Athletic fields are captured under the Athletic category while grounds and utility infrastructure, such as UMaine's energy project, along with projects that span multiple facilities are captured in the Blank category. The athletics projects are the result of the UMS Transforms initiative funded by the Harold Alfond Grant. This same graphic can be found in each university's section.



Next, non-facility work such as athletic fields, grounds, and utility infrastructure projects are excluded from the graphic, resulting in academic facilities and residence halls receiving the highest investments levels.

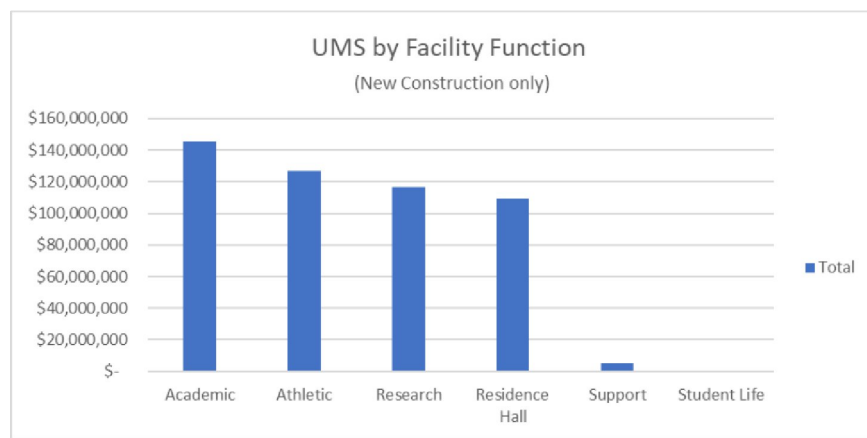


To better see how the planned investment aligns with Gordian's targets, new construction is excluded. The result is that academic facilities and residence halls continue to see the largest investments, but athletics drops significantly.



6.5

Finally, to better understand what functions are a priority for new construction, all projects types except new construction are removed. The new academic spaces are primarily the Center for the Arts at USM and the One Health Science building at UMaine. New athletic facilities are exclusively funded by the HAF Grant and associated matching funds.



The FY24-FY28 Capital Plan, can be further understood by grouping projects in cost brackets based on their total estimated cost. These brackets separate at break points where the character of projects – size, complexity of management and funding, and risk – usually changes. As illustrated below, projects expected to cost over \$10M account for 5% of the projects but account for 69% of the capital plan. These projects require enhanced coordination and oversight, and usually need a significant effort to bring together various funding sources to execute. At the bottom of the table, we see that the projects costing \$2M and under account for 82% of the total projects but only account for 11% of the planned expenditures. These projects pose much lower financial risk, but are labor intensive for staff, placing a significant workload on facilities and project management departments.

Cost Bracket	# Projects	5-Year	% total projects	% Capital Plan \$
>\$10M	22	792,764,171	5%	69%
≤\$10M - \$2M	54	232,456,366	13%	20%
≤\$2M - \$500K	82	80,330,331	20%	7%
≤\$500K	255	45,348,753	62%	4%

The FY24-FY28 5-year capital plan is a significant step forward for the system, with a renewed focus on investing in academic facilities and residence halls that will support key strategic priorities to gain and retain students. Because this plan is aspirational, funding will need to be secured in the coming years to fully execute all the identified projects.

6.5

UMaine/UMMNarrative:

At the University of Maine and its regional campus at Machias in the next five years of capital and infrastructure investment we will focus on: modernizing aging facilities; expressing our values of sustainability and justice, diversity, equity, and inclusion through building design; and further developing a student-centric teaching, learning, public service and engagement, and research campus. The UMaine and UMM 5-year capital plan will utilize multiple funding sources to strategically address deferred maintenance, update critical infrastructure to maintain the University's R1 status and commitment to excellent learning and living environments, increase space utilization, decrease energy consumption and the use of nonrenewable fuels, and improve athletics and computing and engineering space as per the Harold Alfond Foundation-funded UMS TRANSFORMS initiative. The plan is specifically reflective of the University of Maine System Strategic Plan 2023-2028, Commitment 2: Financial Sustainability and Effective Infrastructure Portfolio. Our planning is guided by the following UMS-SP actions and goals.

6.5

Financial Sustainability Actions

Action 3: UMS will partner with the universities and law school to identify and implement by spring 2024 new operational efficiencies leading to cost reductions for each institution in the System.

Goal 3.2: Identify efficiencies in infrastructure, including optimizing the upkeep and use of primary classroom and lecture spaces, meeting and conference rooms, artistic/performance spaces, and public gathering spaces.

Goal 3.3: Identify reductions in energy consumption, including strategic management of underused or unused buildings and offices during periods of peak energy consumption (winter and summer).

Effective Infrastructure Portfolio Actions

Action 1: Develop a system-wide capital plan and priorities for capital investment by May 2024.

Goal 1.1: Address system-wide physical plant needs in relation to other infrastructure needs (e.g. residence hall improvement).

Action 2: Achieve fiscal and energy efficiencies through physical space reduction.

Goal 2.1: Continue to target disused and low-Net Asset Value buildings for removal.

Goal 2.2: Identify multi-use/multi-purpose functionality for new buildings and heavily renovated buildings.

Our capital plans are also grounded in the principles of unified accreditation, as the renovation and building design of classroom and research facilities going forward will take into account the possibilities of the most modern approaches to remote instruction to enable participation of UMS students system-wide in the research and learning opportunities of the flagship.

The University of MaineFinancial Sustainability, Goal 3.2, Action 3.2: Operational efficiencies and optimization of space.

The University will work towards reducing deferred maintenance through the strategic use of grants, Congressionally Directed Spending (CDS), funded depreciation, indirect cost returns, and system funds to renovate spaces across the campus. The University has identified approximately \$85 million in CDS funding that have either recently been approved or are still under consideration. Those CDS proposals, if approved, will help address deferred maintenance while also improving teaching, research, student retention, and community engagement. Additionally, over the next five years the University will increase space utilization and density through space reduction by the removal of more than 150,000 square feet including the East Annex and Libby Hall.

UMaine will begin optimizing space through much needed improvements for teaching and research. The University anticipates bringing forth for approval in the next year the following projects funded by MJRP and/or CDS: Sustainable Aquaculture Workforce & Innovation Center (SAWIC), Blueberry Farm Research & Technology modernization, Sawmill Operations Training Facility, Food Innovation, Industry 4.0, and Nursing Skills lab. Funded depreciation will be prioritized for critical classroom and lab teaching space improvements. Meanwhile indirect cost returns will be invested in research space improvements. Priority projects will be reviewed annually in collaboration with faculty and campus leadership through shared governance,

In the next two years proposals for new and modernized engineering and computing spaces across campus will also be presented to the BOT. Those include the Green Engineering Manufacturing (GEM) or Factory of the Future facility to expand the Advanced Structures and Composites Center, and HAF Maine College of Engineering and Computing (MCEC)-funded renovations across the engineering and computing district. Future renovation projects will include classroom, research, and lab spaces in Boardman, Crosby, and Barrows Halls.

Financial Sustainability, Goal 3.2, Action 3.3: Energy Efficiencies.

The UMaine energy efficiency plan will include the improvement of building systems and envelope, energy efficient fixtures, and the replacement of the steam plant. The University will be replacing HVAC building systems in Sawyer Environmental Research Center and the 1987 wing of Hitchner Hall. The University is also planning to update thousands of interior lighting fixtures to LED light fixtures that will reduce electrical demand. The University anticipates the start of construction of the new central heating plant to replace the steam plant which is near the end of its useful life in the next five years.

Effective Infrastructure Portfolio, Action 1, Goal 1.1: Physical plant needs.

While continuing to focus on our space efficiency, UMaine will invest in critical and strategic physical plant needs. Investment will be made in student housing, athletics, health and life sciences, and teaching and research space.

Student Housing. More than 80% of UMaine's residential housing was built prior to 1975, or nearly 50 years ago. UMaine will launch a study this fall to evaluate student demand and optimum housing mix; conduct a building assessment; develop a renovation, removal or new-build housing plan; and assess funding/financing options, including recommendations of use of internal or external funds potentially through the use of P3s. UMaine anticipates renovating at least one residential building per annum starting in FY26. In FY24 and FY25, UMaine will invest auxiliary funded depreciation in student engagement space and critical deferred maintenance within residential facilities.

Athletics. The HAF Athletics grant-funded project improvements are well underway with the completion of the softball complex in April 2023, the August 2023 completion of the field hockey facility, and the current design of renovations and expansion to the Shawn Walsh Center and Alford Arena. Over the next five years the University anticipates starting design and construction on a new multipurpose arena to be named the Morse Arena, a new soccer stadium to be supported with additional external funding, and a track and field complex, while finishing the remaining roadways and pathways connecting all of the sports complexes.

Health and Life Sciences. UMaine and the University of Maine Foundation are developing a plan to launch our new comprehensive fundraising campaign in FY24. We anticipate additional capital priorities which will come before the BOT, most notably a new health and life sciences building that has been in several prior UMaine capital plans. Given recent legislation directing UMS to undertake a feasibility study for a public medical school, considerations of possible needed space in connection with a new health and life sciences facility will be included.

Teaching and Research Buildings. A key area of concern for the University is the continued degradation of building systems across the campus that have exceeded their useful life. UMaine has building systems that are near critical failure, lacking in energy efficiency and modern control technology. Additionally, according to Gordian, approximately 61% of the University's buildings have not seen a major renovation in over 50 years and for 78% it has been 25 years. While CDS projects and external grants provide funds to renovate specific spaces within buildings, these funds are generally not available for upgrading/renovating the building envelope or building systems. Thus we will continue to invest funded depreciation dollars to match CDS projects to maximize impact.

Effective Infrastructure Portfolio, Action 1, Goal 1.1: Multi-purpose functionality

To maximize use of space, UMaine building design and planning processes will include stakeholders from across campus. The first example of multi-purpose design is the GEM project which is heavily influenced by Maine College of Engineering and Computing faculty and administration, along with ASCC staff. The design team is working to include a "teaching hospital" concept for future MCEC students from across the system, an engagement space for community including K-12, R-1 research space, and adjacent outdoor gathering spaces. This type of deliberative engagement will be built into design processes going forward.

University of Maine's regional campus, the University of Maine at Machias

Continued declining enrollment at UMM presents a challenge as we seek to increase density of space usage. Additionally, once the State-supported bond funds expire, it will be difficult to identify funding for future projects and ongoing deferred maintenance at the campus. Therefore, our emphasis will be placed on operational efficiencies and optimization of space.

Financial Sustainability, Goal 3.2, Action 3.2: Operational efficiencies and optimization of space.

Over the next five years the plan for the University of Maine at Machias is to continue to improve building systems and envelopes and make renovations utilizing remaining UMM state appropriations from FY19. Additionally, we plan to make upgrades to the infrastructure including storm water management, repaving of parking lots and roadways, and walking pathways.

The University plans to make major renovations to the Reynolds Hall basketball court and Murdock Aquatics facility. These two facilities are heavily used not only by the students, faculty and staff at UMM, but also by the community on a regular basis, and are seen by the community as perhaps the most significant benefit available to them from UMM. Note that intercollegiate athletics at UMM was suspended by President Ferrini-Mundy and Head of Campus Dan Qualls effective June 21, 2020. Currently a review of new possibilities for expanded athletic and recreational sports options is underway at UMM, with recommendations expected in end of fall semester 2023/24.

The University plans on increasing density by making arrangements for use of existing spaces by groups external to UMM. This may include the use of office space and utilization of residential buildings for seasonal workforce. UMM is included in the housing study mentioned above.

September 10-11, 2023 Board of Trustees Meeting - Vice Chancellor for Finance and Administration and Treasurer's Update

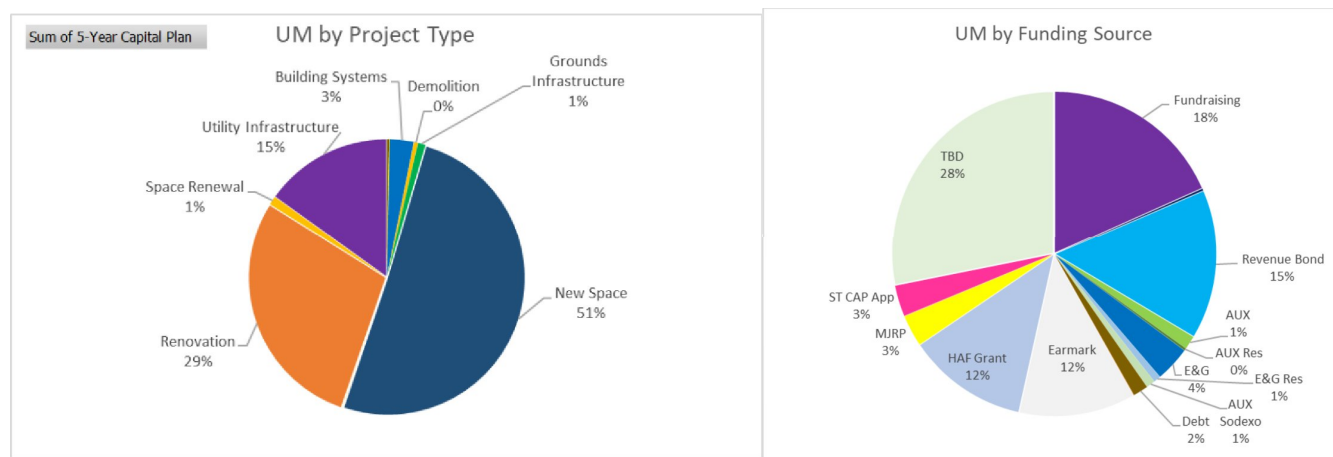
Campus	Facility Name	Project Name	Project Type	Funding Source	Total Estimated Project Cost	FY24 Budget	FY25 Budget	FY26 Budget	FY27 Budget	FY28 Budget	5-Year Capital Plan	Facility Function
UM	CUMBERLAND HALL	Cumberland Hall Renovation	TBD		\$7,887,029				\$3,943,514	\$3,943,515		\$7,887,029 Residence Hall
UM	UCU Credit Building	Relocation of Student Renovation	Dedicated System Fur		\$75,000	\$75,000						\$75,000
UM	Norman Smith Hall	Relocation of TRIO St Renovation	Dedicated System Fur		\$12,800	\$12,800						\$12,800 Support
UM	Boardman Hall	Relocation of CIS Res Renovation	Dedicated System Fur		\$35,000	\$35,000						\$35,000 Research
UM	HF HEN HOUSE	REMOVAL OF UMHF I Demolition	Dedicated System Fur		\$9,870	\$9,870						\$9,870
UM	UM Witter Farm	Witter Farm Modern Demolition	Dedicated System Fur		\$60,667	\$60,667						\$60,667
UM	East Annex	Demolition of East Ar Demolition	Dedicated System Fur		\$125,000	\$100,000	\$25,000					\$125,000
UM	MCEC Capital Renew	MCEC Capital Renew Renovation	Fundraising		\$23,250,000	\$250,000	\$1,004,150	\$1,759,500	\$14,271,500	\$4,614,850		\$21,900,000 Academic
UM	Softball Stadium & B.Umaine Athletics Cap	Athletic Field	Fundraising		\$1,871,500	\$66,500						\$66,500 Athletic
UM	Alford Arena video	Umaine Athletics Cap Building Systems	Fundraising		\$712,500	\$617,500						\$617,500 Athletic
UM	Replacement of Mah Replacement of Mah	Space Renewal	Fundraising		\$396,013	\$104,363						\$104,363 Athletic
UM	UM Athletics HAF Infrast	UM Athletics HAF Infr Grounds Infrastructure	Fundraising		\$1,098,976	\$386,479	\$712,497	\$0				\$1,098,976 Athletic
UM	HAF Athletics Alford	HAF Athletics Alford Renovation	Fundraising		\$7,824,399	\$2,471,235	\$4,370,000	\$885,001				\$7,726,236 Athletic
UM	HAF Athletics Future	Construction of new New Space	Fundraising	\$	110,000,000	\$2,000,000	\$10,000,000	\$40,000,000	\$40,000,000	\$15,000,000		\$107,000,000 Athletic
UM	Field Hockey Stadium Umaine Athletics Cap	Athletic Field	Fundraising		\$1,520,000	\$380,000						\$380,000 Athletic
UM	HAF Athletics Baseba	HAF Athletics Baseba Athletic Field	Fundraising		\$71,250	\$14,250						\$14,250 Athletic
UM	ASCC Secure Textile I	ASCC Secure Textile L Renovation	Earmark		\$5,282,000	\$2,682,000	\$250,000	\$750,000				\$3,682,000 Research
UM	Umaine ME-METAL	Umaine ME-METAL Renovation	Earmark		\$4,067,964	\$4,067,964						\$4,067,964 Research
UM	MCEC Capital Renew	MCEC Capital Renew Renovation	HAF Grant		\$16,200,000	\$156,000	\$1,080,000	\$8,760,000	\$4,104,000	\$2,100,000		\$16,200,000 Academic
UM	HAF Athletics Future	Construction of new New Space	Fundraising		\$14,151,592	\$1,000,000	\$5,000,000	\$5,000,000	\$2,000,000	\$3,467,592		\$16,467,592 Athletic
UM	Softball Stadium & B.Umaine Athletics Cap	Athletic Field	HAF Grant		\$7,978,500	\$283,500						\$283,500 Athletic
UM	Alford Arena video	Umaine Athletics Cap Building Systems	HAF Grant		\$3,037,500	\$2,632,500						\$2,632,500 Academic
UM	Replacement of Mah Replacement of Mah	Space Renewal	HAF Grant		\$2,430,000	\$1,741,500	\$405,000					\$2,146,500 Athletic
UM	Athletics HAF Infrast	UM Athletics HAF Infr Grounds Infrastructure	HAF Grant		\$4,685,109	\$1,647,621	\$3,037,488	\$0				\$4,685,109 Athletic
UM	HAF Athletics Alford	HAF Athletics Alford Renovation	HAF Grant		\$33,356,611	\$10,535,265	\$18,630,000	\$3,772,900				\$32,938,165 Athletic
UM	GEM Factory of the F GEM Factory of the F	New Space	HAF Grant		\$10,600,000	\$3,333,333	\$6,600,000					\$9,933,333 Research
UM	HAF Athletics Future	Construction of new New Space	HAF Grant		\$18,728,132	\$0	\$4,932,133	\$4,932,132	\$4,932,133	\$4,932,132		\$18,728,133 Athletic
UM	Field Hockey Stadium Umaine Athletics Cap	Athletic Field	HAF Grant		\$6,480,000	\$1,620,000						\$1,620,000 Athletic
UM	HAF Athletics Baseba	HAF Athletics Baseba Athletic Field	HAF Grant		\$303,750	\$60,750						\$60,750 Athletic
UM	Witter Farm Modern Witter Farm Modern	New Space	Other		\$359,333	\$309,333						\$309,333 Academic
UM	Boudreau Hall	Boudreau Hall renovi Renovation	Restricted Gifts		\$1,250,000	\$600,000	\$250,000	\$100,000	\$100,000	\$100,000		\$1,150,000 Academic
UM	Annual Call Hosmer	Annual Call Building Systems	Restricted Gifts		\$500,000	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000		\$500,000
UM	UMEC	Umaine Energy Proj Utility Infrastructure	Revenue Bond		\$132,000,000	\$18,000,000	\$50,000,000	\$37,200,000	\$22,000,000			\$127,200,000
UM	Existing On-campus I	Renovations of existi Renovation	TBD		\$76,000,000		\$8,000,000	\$8,000,000	\$8,000,000	\$8,000,000		\$32,000,000 Residence Hall
UM	Innovation Center A	Innovation Center Ad New Space	TBD		\$15,000,000		\$1,500,000	\$10,000,000	\$3,500,000			\$15,000,000 Research
UM	One Health Sciences	One Health Sciences New Space	TBD		\$100,000,000			\$10,000,000	\$20,000,000	\$50,000,000		\$80,000,000 Academic
UM	New On-campus Hix	Construction of new New Space	TBD		\$100,000,000			\$7,000,000	\$50,000,000	\$43,000,000		\$100,000,000 Residence Hall
UM	BARN-PATCH HOUSE REMOVAL OF UMHF I	Demolition	TBD		\$20,880		\$20,880					\$20,880
UM	HF BROODER HOUSE REMOVAL OF UMHF I	Demolition	TBD		\$100,000		\$100,000					\$100,000
UM	UM HANNIBAL HAML	HANNIBAL HAMLIN H Demolition	TBD		\$1,056,000		\$1,056,000					\$1,056,000
UM	UM SOUTH ANNEX E	SOUTH ANNEX E, F, & Demolition	TBD		\$184,275		\$184,275					\$184,275
UM	UM YORK VILLAGE BL	REMOVAL OF YORK V Demolition	TBD		\$88,400		\$88,400					\$88,400
UM	UM LIBBY HALL	REMOVAL OF LIBBY H Demolition	TBD		\$1,452,480			\$726,240	\$726,240			\$1,452,480
UM	UM UPARK	REMOVAL OF REMAID Demolition	TBD		\$227,990			\$227,990				\$227,990
UM	UM SOUTH ANNEX A	REMOVAL OF SOUTH Demolition	TBD		\$45,780		\$45,780					\$45,780
UM	Crossland Alumni Ce	Crossland Alumni Ce Demolition	TBD		\$559,050		\$559,050					\$559,050
UM	KNOX HALL	Knox Hall Lobby Renovation	Xfer from AUX		\$150,000	\$150,000						\$150,000 Residence Hall
UM	Hart Hall	Hart Hall north end e Renovation	Xfer from AUX		\$280,000		\$280,000					\$280,000 Residence Hall
UM	Hilltop	Build lobby Entry Foy Renovation	Xfer from AUX		\$150,000		\$150,000					\$150,000 Student Life
UM	Kennebec Hall	Kennebec Lobby Renovation	Xfer from AUX		\$200,000	\$200,000						\$200,000 Residence Hall
UM	KNOX HALL	Knox Hall Windows Renovation	Xfer from AUX		\$50,000	\$50,000						\$50,000 Residence Hall
UM	Somerset Hall	Somerset Hall Lobby Renovation	Xfer from AUX		\$150,000	\$150,000						\$150,000 Residence Hall
UM	Oxford Hall	Oxford Hall Lobby Renovation	Xfer from AUX		\$150,000	\$150,000						\$150,000 Residence Hall
UM	Penobscot Hall	Penobscot Hall Lobby Renovation	Xfer from AUX		\$300,000	\$300,000						\$300,000 Residence Hall
UM	KNOX HALL	Knox Single use bath Renovation	Xfer from AUX		\$70,000	\$70,000						\$70,000 Residence Hall
UM	Aux buildings	UM Aux Life safety u Building Systems	Xfer from AUX		\$400,000	\$100,000	\$100,000	\$100,000	\$100,000			\$400,000 Residence Hall
UM	Patch Hall	Patch Hall Air Condit Building Systems	Xfer from AUX		\$4,500,000		\$500,000	\$1,333,333	\$1,333,333	\$1,333,334		\$4,500,000 Residence Hall
UM	DTAV Smith Hall	UM-DTAV Smith Hall Building Systems	Xfer from AUX		\$300,000		\$300,000					\$300,000 Residence Hall
UM	Somerset Hall	Somerset A/C System Building Systems	Xfer from AUX		\$150,000		\$50,000	\$500,000	\$500,000			\$1,050,000 Residence Hall
UM	Penobscot Hall Roo	Penobscot Hall Roof I Building Envelope	Xfer from AUX		\$320,000	\$69,000						\$69,000 Residence Hall
UM	Gannett Hall	Gannett Hall Roof rep Building Envelope	Xfer from AUX		\$380,000	\$180,000						\$180,000 Residence Hall
UM	Repair & Paint Exteric	Building Envelope	Xfer from AUX		\$50,000		\$25,000					\$25,000 Residence Hall
UM	AUX	Various upgrade proj Space Renewal	Xfer from AUX		\$3,033,121			\$220,900	\$1,102,605	\$1,477,621		\$2,801,126 Residence Hall
UM	Hart Hall	Cover cork walls on fl Space Renewal	Xfer from AUX		\$386,000	\$126,592	\$120,000	\$120,000				\$366,592 Residence Hall
UM	Penobscot Hall	Penobscot Hall single Space Renewal	Xfer from AUX		\$85,000	\$85,000						\$85,000 Residence Hall
UM	Residence Halls	Residence Halls Space Renewal	Xfer from AUX		\$75,000		\$75,000					\$75,000 Residence Hall
UM	Aroostook Hall	Aroostook Hall gener Utility Infrastructure	Xfer from AUX		\$125,000		\$125,000					\$125,000 Residence Hall
UM	Kennebec Hall	Kennebec Hall gener Utility Infrastructure	Xfer from AUX		\$125,000		\$25,000	\$100,000				\$125,000 Residence Hall
UM	Oxford Hall	Steam valve/trap/rise Utility Infrastructure	Xfer from AUX		\$43,000	\$43,000						\$43,000 Residence Hall
UM	OXFORD HALL	Oxford Hall Renovati Renovation	Xfer From AUX Res		\$200,000		\$100,000	\$100,000				\$200,000 Residence Hall
UM	Patch Hall	Patch Hall Air Condit Building Systems	Xfer From AUX Res		\$1,000,000		\$333,333	\$333,334	\$333,333			\$1,000,000 Residence Hall
UM	Residence Halls	Single Use bathroom Space Renewal	Xfer From AUX Res		\$240,000		\$120,000	\$120,000				\$240,000 Residence Hall
UM	York Hall	York hall generator u Utility Infrastructure	Xfer From AUX Res		\$550,000		\$500,000					\$500,000 Residence Hall
UM	VARIOUS PROJECTS	Annual Funded Depr Renovation	Xfer from E&G		\$33,037,487	\$2,377,472	\$2,206,750	\$2,177,438	\$2,286,309	\$2,400,625		\$11,445,591
UM	VARIOUS PROJECTS	Annual Funded Depr Renovation	Xfer from E&G		\$11,063,148	\$300,000	\$300,000	\$300,000	\$300,000	\$300,000		\$1,500,000
UM	Crosby Hall	PFAS analytical lab Renovation	Xfer from E&G		\$1,450,000	\$950,000	\$500,000					\$1,450,000 Research
UM	Various Research Loc	Annual Renewal of R Renovation	Xfer from E&G		\$9,307,263	\$850,000	\$867,000	\$884,340	\$902,027	\$920,067		\$4,423,434 Research
UM	UCU Credit Building	Relocation of Student Renovation	Xfer from E&G		\$275,000	\$275,000						\$275,000
UM	Various Projects - Cap	Various Projects - Cap Renovation	Xfer from E&G		\$10,125,721	\$557,843	\$1,605,160	\$2,461,093	\$2,651,073	\$2,850,552		\$10,125,721
UM	HAF Athletics Future	Construction of new Renovation	HAF Grant		\$12,000,000	\$0	\$3,000,000	\$3,000,000	\$3,000,000	\$3,000,000		\$12,000,000 Athletic
UM	ALFOND ARENA	Alford Arena ADA Im Renovation	Other		\$727,480	\$48,500	\$48,500	\$48,500	\$48,500	\$48,500		\$48,500
UM	MEMORIAL GYM CO	Memorial Gym FD Other	Xfer from E&G		\$2,100,000	\$140,000	\$140,000	\$140,000	\$140,000	\$140,000		\$700,000 Athletic
UM	Cutler Health Center	Cutler Health Center Building Envelope	Xfer from E&G		\$300,000	\$300,000						\$300,000 Student Life
UM	East Annex	Demolition of East Ar Demolition	Xfer from E&G		\$50,000	\$25,000	\$25,000					\$50,000
UM	Bennett Hall	Renovation of 137 Be Renovation	Xfer from E&G Res		\$3,500,000		\$500,000	\$3,000,000				\$3,500,000 Academic
UM	North Engineering A	GEM Enabling Project Renovation	Xfer from E&G Res		\$450,000	\$450,000						\$450,000 Academic
UM	Witter Farm Modern Witter Farm Modern	New Space	Xfer from E&G Res		\$1,200,000	\$1,200,000						\$1,200,000 Academic
UM	CAMPUS Paving Mail	Paving Maintenance Grounds Infrastructure	Xfer from E&G Res		\$1,500,000	\$150,000	\$150,000	\$150,000	\$150,000	\$150,000		\$750,000
UM	Sculpture Studio	Demolition of Sculpture Demolition	Xfer from E&G Res		\$150,000		\$150,000					\$150,000
UM	Crosby Hall	PFAS analytical lab Renovation	MJRP		\$5,500,000	\$4,000,000	\$1,500,000					\$5,500,000 Research
UM	Food Innovation Cen	Food Innovation Cen Renovation	MJRP		\$2,500,000	\$2,500,000						\$2,500,000 Research
UM	Aroostook Farm mod	Aroostook Farm mod Space Renewal	MJRP		\$2,875,000	\$2,675,000						\$2,675,000 Research
UM	Sustainable Aquacult	SAWIC New Space	MJRP		\$3,500,000	\$1,500,000	\$1,900,000					\$3,400,000 Research
UM	GEM Factory of the F	GEM Factory of the F New Space	MJRP		\$13,800,000	\$4,000,000	\$6,000,000	\$2,800,000				\$12,800,000 Research
UM	Seawater Supply Infr	Seawater Supply Infr Renovation	Earmark		\$3,500,000	\$3,500,000						\$3,500,000 Research
UM	Industry 4.0 Readine	Industry 4.0 Readine Renovation	Earmark		\$7,000,000	\$7,000,000						\$7,000,000 Research
UM	Nursing Skills Lab	Nursing Skills Lab Renovation	Earmark		\$1,300,000	\$1,300,000						\$1,300,000 Academic
UM	Food Innovation Cen	Food Innovation Cen Renovation	Earmark		\$1,300,000	\$1,000,000	\$300,000					\$1,300,000 Research
UM	Alford Arena	Alford Arena ADA Im Renovation	Earmark		\$2,150,000	\$2,150,000						\$2,150,000 Athletic
UM	Deering Hall	Modernization & Exp Renovation	Earmark		\$5,000,000		\$500,000	\$4,500,000				\$5,000,000 Research
UM	Darling Marine Cente	Pilot Scale Kelp Nurse Grounds Infrastructure	Earmark		\$1,000,000			\$1,000,000				\$1,000,000 Research
UM	Sawing Operations T	Sawing Operations Tr New Space	Earmark		\$750,000	\$750,000						\$750,000 Academic
UM	Blueberry Farm Rese	UM Blueberry Farm R New Space	Earmark		\$3,000,000	\$2,000,000	\$1,000,000					\$3,000,000 Research
UM	GEM Factory of the F	GEM Factory of the F New Space	Earmark		\$44,000,000	\$10,000,000	\$23,000,000	\$10,000,000				\$43,000,000 Research
UM	Sustainable Aquacult	SAWIC New Space	Earmark		\$7,000,000		\$1,500,000	\$5,500,000				\$7,000,000 Research
UM	Forest Biomaterials I	Forest Biomaterials I New Space	Earmark		\$10,000,000		\$750,000	\$3,500,000	\$5,000,000	\$750,000		\$10,000,000 Research
UM	Black Bear Academy	Black Bear Academy New Space	Earmark									

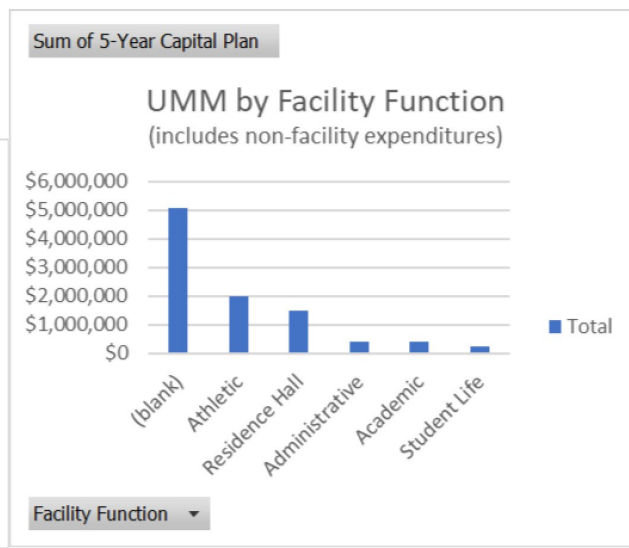
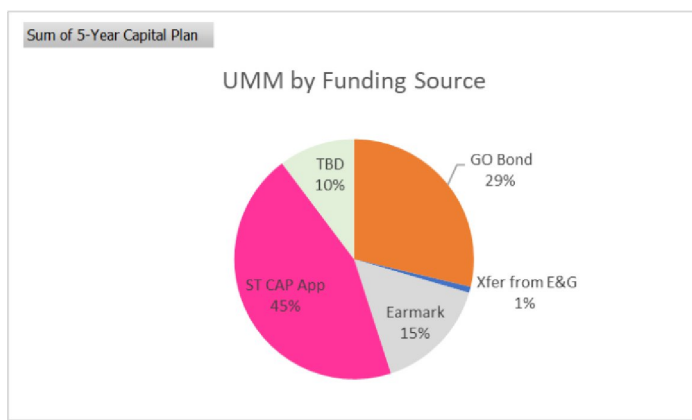
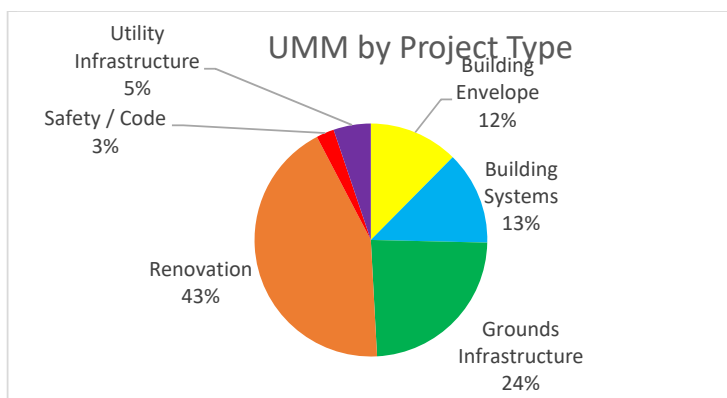
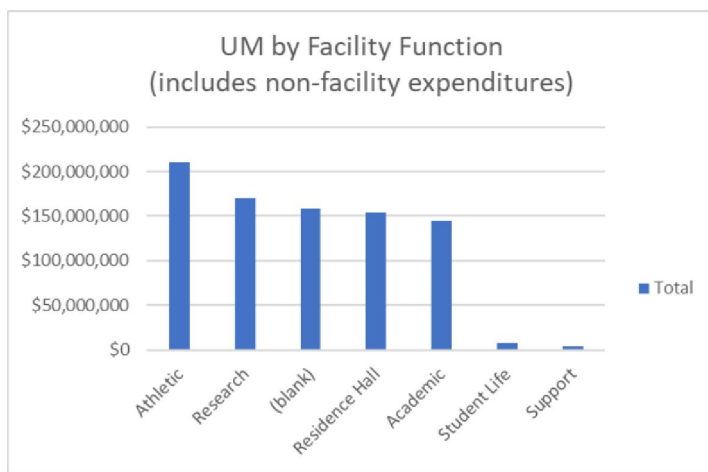
Campus	Facility Name	Project Name	Short Description	Project Type	Funding Source	Total Estimated Project Cost	FY24 Budget	FY25 Budget	FY26 Budget	FY27 Budget	FY28 Budget	5-Year Capital Plan	Facility Function
UMM	Machias various projects	UMM Campus Various	Various campus projects	Renovation	Xfer from E&G	\$94,900	\$17,845	\$18,916	\$20,051	\$21,253		\$78,065	
UMM	SCIENCE BLDG-MACHIAS	Renovate 2nd Floor	Renovate Existing Ch	Renovation	GO Bond	\$200,000	\$100,000					\$100,000	Academic
UMM	UMM-Reynolds	Reynolds basketball	Reynolds basketball floor	Renovation	GO Bond	\$500,000	\$250,000					\$250,000	Student Life
UMM	UM Machias Powers Hall	UM Machias Powers	UM Machias Powers	Renovation	GO Bond	\$225,000	\$175,000					\$175,000	Administrative
UMM	UM Machias Science Bldg	UM Machias Science	Renovation of existing	Renovation	GO Bond	\$200,000	\$150,000					\$150,000	Academic
UMM	UMM- Campus HVAC Imp	HVAC improvements	improvements in	Building Systems	GO Bond	\$700,000	\$350,000					\$350,000	
UMM	UM Machias Campus Cod	UM Machias Campus	UM Machias Campus	Building Systems	GO Bond	\$400,000	\$300,000					\$300,000	
UMM	UMM- Campus roof repla	roof replacements	Replace 2 roofs on th	Building Envelope	GO Bond	\$500,000	\$250,000					\$250,000	
UMM	UMM- Campus infrastruc	infrastructure upgrac	infrastructure	Utility Infrastructure	GO Bond	\$1,000,000	\$500,000					\$500,000	
UMM	CAMPUS GROUNDS	0 CAMPUS GROUNDS	Grounds Infrastructure	GO Bond		\$400,000	\$200,000					\$200,000	
UMM	UM Machias Pavement &	UM Machias Paveme	UM Machias Paveme	Grounds Infrastructure	GO Bond	\$400,000	\$300,000					\$300,000	
UMM		Campus Code Renov	Campus Code Renov	Safety / Code	GO Bond	\$399,314	\$199,314					\$199,314	
UMM	SENNETT HALL	Refurbish Student Ar	Refurbish Student Ar	Renovation	TBD	\$600,000		\$600,000				\$600,000	Residence Hall
UMM	SENNETT HALL	Exterior Window Wa	Replace Insulated W	Building Envelope	TBD	\$150,000		\$150,000				\$150,000	Residence Hall
UMM	SENNETT HALL	Sennett B-Wing Roof	Roof - install new EP	Building Envelope	TBD	\$100,000		\$100,000				\$100,000	Residence Hall
UMM	SENNETT HALL	Sennett C-Wing Roof	Roof - install new EP	Building Envelope	TBD	\$100,000				\$100,000		\$100,000	Residence Hall
UMM	DORWARD HALL	Install Fire Sprinkler	Install Fire Sprinkler	Safety / Code	TBD	\$43,000		\$43,000				\$43,000	Residence Hall
UMM	UM Machias Athletics Infr	UM Machias Athletic	UM Machias Athletic	Renovation	ST CAP App	\$500,000	\$300,000	\$200,000				\$500,000	Athletic
UMM	Resident Hall Improveme	Resident Hall Improv	Improvements to Do	Renovation	ST CAP App	\$100,000	\$100,000					\$100,000	Athletic
UMM	Dorward Hall	Black Bear Lounge	Creation of a new lo	Renovation	ST CAP App	\$450,000	\$400,000					\$400,000	Residence Hall
UMM	Powers Hall	Powers Hall Renovat	1st floor flooring rep	Renovation	ST CAP App	\$250,000	\$250,000					\$250,000	Administrative
UMM	Science Building	Lighting Upgrades	conversion to LED lig	Renovation	ST CAP App	\$70,000		\$70,000				\$70,000	Academic
UMM	UM Machias HVAC Impro	UM Machias HVAC	UM Machias HVAC	In Building Systems	ST CAP App	\$600,000	\$200,000	\$200,000	\$200,000			\$600,000	
UMM	UM Machias Roof Replac	UM Machias Roof Re	UM Machias Roof Re	Building Envelope	ST CAP App	\$500,000	\$250,000	\$250,000				\$500,000	
UMM	Multiple Buildings	Replacement of sele	Replacement of targ	Building Envelope	ST CAP App	\$100,000	\$100,000					\$100,000	Academic
UMM	UM Machias Campus Infr	UM Machias Campus	UM Machias Campus	Grounds Infrastructure	ST CAP App	\$800,000	\$500,000	\$300,000				\$800,000	
UMM	Multiple Infrastructure Up	Multiple Infrastructure	Improvements to the	Grounds Infrastructure	ST CAP App	\$1,000,000	\$400,000	\$600,000				\$1,000,000	
UMM	Murdoch Aquatics Facility	UMM Community Fit	The University of Ma	Renovation	Earmark	\$1,500,000		\$1,500,000				\$1,500,000	Athletic
						\$11,882,214	\$5,292,159	\$4,031,916	\$220,051	\$121,253	\$0	\$9,665,379	

Analysis:

UM's 5-year plan includes \$848M in planned expenditures on 116 projects, with an additional \$9.7M for 28 projects at UMM. UM's funding sources include TBD (28%), Fundraising (18%), revenue bonds (15%), HAF Grant (12%) and Earmarks (12%). New construction constitutes 51% of UM's projects, supporting athletic facilities, research, residence halls and academic spaces. New athletic facilities are exclusively funded by the HAF Grant and associated matching funds.

UMM's plan is primarily funded by state capital improvement funds (45%) and general obligation bonds (29%) supporting renovations (43%) and grounds infrastructure projects (24%). Their project to renovate their aquatics center and gym under the athletics facility function is part of the FY24 earmark submission that is still pending.





UMANarrative:

UMA's 5-year capital plan supports key University priorities of increasing enrollment and increasing retention by focusing on the student experience. This plan aligns with NECHE standard 7 and the UMS Strategic Plan: Action 2 and Action 4 Goal 4.2 Commitment 1; Goals 3.2 and 3.3 under fiscal sustainability and Goal 1.1 under effective infrastructure portfolio in Commitment 2; and Goal 3.4 under Commitment 5.

Projects to enhance our Med Lab Tech and Vet Tech programs are underway and will wrap up in FY24. The planned lease and renovation of the Marketplace in FY25 using federal earmarks, if awarded, will create a state-of-the-art space for our Nursing and Cybersecurity programs. The planned construction of a new 81-bed residence hall in FY26, though currently "TBD" funded, is being explored to meet ever growing student demand.

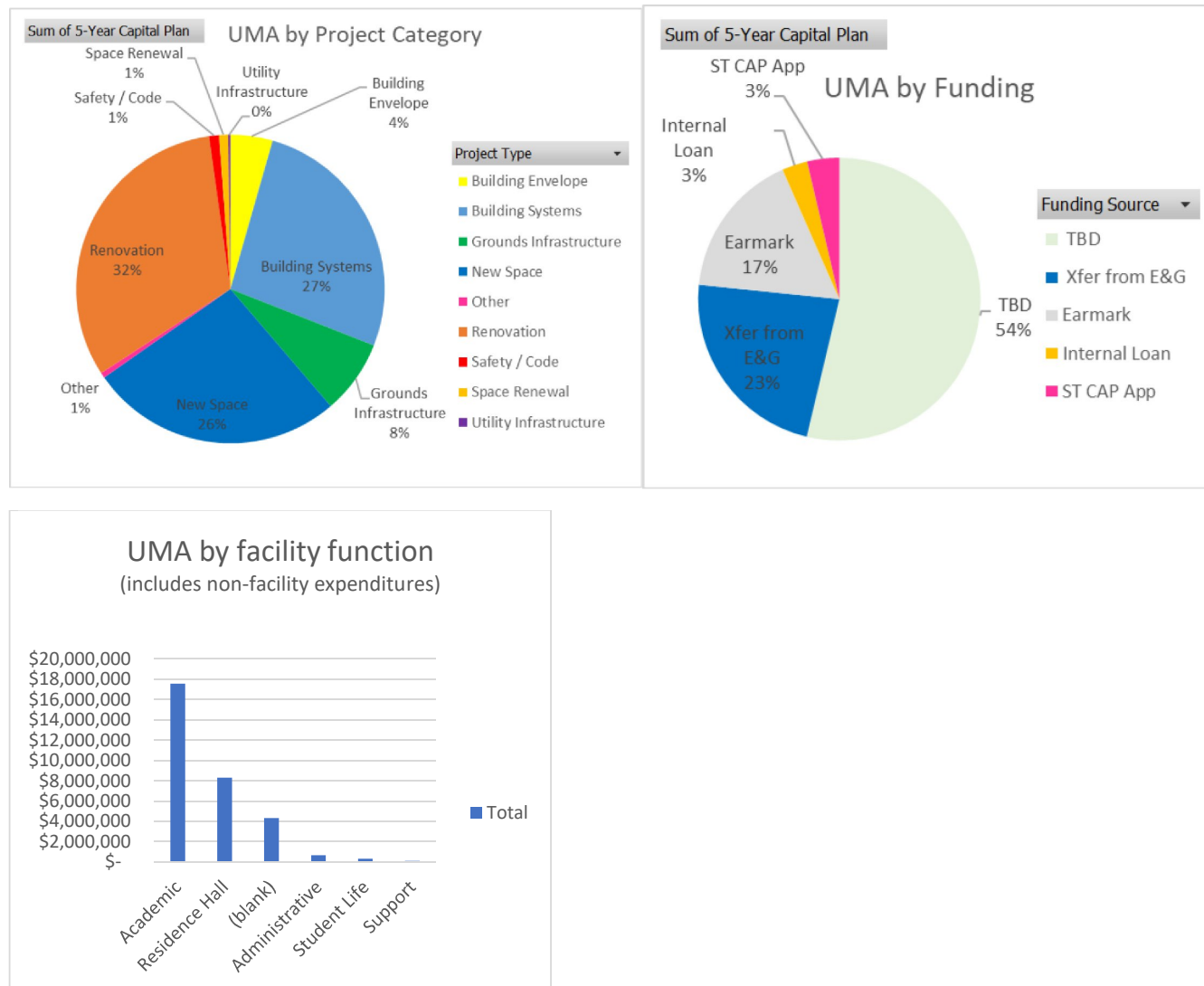
Throughout the plan, a focus on deferred maintenance of buildings with a NAV below 50%, including building envelope (roof and windows) and mechanical systems, will ensure our existing facilities remain comfortable and welcoming. There are also funds allocated for grounds infrastructure projects to beautify the campuses and repair roads, walkways and parking lots to enhance the university's curb appeal. While UMA has several high-dollar TBD or unsecured Earmark funded projects that are important to our goals, we are confident funding can be secured in the coming years through external sources or additional debt if needed.

Campus	Facility Name	Project Name	Short Description	Project Type	Total Estimated Project Cost	Funding Source	FY24 Budget	FY25 Budget	FY26 Budget	FY27 Budget	FY28 Budget	5-Year Capital Plan	Facility Function
UMA	BELFAST HALL	Belfast Hall Renovations	Space renewal on se	Renovation	\$1,200,000	Xfer from E&G			\$400,000	\$400,000	\$400,000	\$1,200,000	Academic
UMA	Lewiston Hall	Lewiston Hall Boiler Replace	Replace boiler	Building Systems	\$275,000	Xfer from E&G				\$275,000		\$275,000	Academic
UMA	Bangor	Campus Surveillance System	Safety / Code		\$125,000	Xfer from E&G						\$125,000	
UMA	EASTPORT HALL	Eastport Hall HVAC Upgrade	Install new HVAC sys	Building Systems	\$300,000	Xfer from E&G		\$300,000				\$300,000	Academic
UMA	Jewett Hall HVP	Jewett Hall HVAC	Install split units to p	Building Systems	\$300,000	Xfer from E&G					\$325,995	\$325,995	Academic
UMA	Augusta Roof	Augusta Roof Replacements		Building Envelope	\$400,000	Xfer from E&G		\$400,000				\$400,000	Academic
UMA	HANDLEY HALL	Handley Hall Interior Renov	Renovations to 2nd a	Renovation	\$101,535	Xfer from E&G				\$101,535		\$101,535	Academic
UMA	LEWISTON HALL	Camden Hall EFIS	Repair and replace t	Building Envelope	\$140,000	Xfer from E&G	\$140,000					\$140,000	Administrative
UMA	RANDALL STUD	Randall Boiler Replacement	Replace Randall Stud	Building Systems	\$250,000	Xfer from E&G					\$250,000	\$250,000	Administrative
UMA	RANDALL STUD	Randall Emergency Generat	Emergency Back-Up f	Building Systems	\$125,000	Xfer from E&G	\$125,000					\$125,000	Administrative
UMA	JEWETT HALL	Jewett Hall Entrances	Remove old light poli	Building Envelope	\$550,000	Xfer from E&G	\$50,000					\$50,000	Academic
UMA	FITNESS CENTE	Fitness Center Envelope Re	Fitness center envelo	Building Envelope	\$300,000	Xfer from E&G				\$300,000		\$300,000	Student Life
UMA		Remove 2 Old Tennis Courts		Grounds Infrastructu	\$40,000	Xfer from E&G			\$40,000			\$40,000	
UMA	Texas Ave. Rep	Texas Ave. Repair		Grounds Infrastructu	\$300,000	Xfer from E&G		\$100,000				\$200,000	\$300,000
UMA	Bangor Campus	Bangor Campus Walkways	Install new and resur	Grounds Infrastructu	\$621,575	Xfer from E&G	\$121,575					\$121,575	
UMA	Bangor Hall Int	Bangor Hall Interior Renova	Renovate Bangor Hal	Renovation	\$250,000	Xfer from E&G			\$250,000			\$250,000	Academic
UMA		NFPA Life Safety Fire Safety	NFPA Life Safety	Safety / Code	\$75,000	Xfer from E&G	\$75,000					\$75,000	
UMA		Classroom Upgrades IT	IT/Technology Classr	Other	\$100,000	Xfer from E&G	\$100,000					\$100,000	Academic
UMA	Katz Elevator Up	Katz Elevator Update		Safety / Code	\$125,000	Xfer from E&G				\$125,000		\$125,000	Academic
UMA	Augusta Forest	Augusta Forest Trail Mainte	Signs and grooming	Grounds Infrastructu	\$10,000	Xfer from E&G	\$10,000					\$10,000	Student Life
UMA	Belfast Hall Eme	Belfast Hall Emergency Exit	Stairwells Renovation	Renovation	\$200,000	Xfer from E&G		\$200,000				\$200,000	Academic
UMA		Augusta Campus Roof Repa	Make roofing repairs	Building Envelope	\$175,000	Xfer from E&G	\$175,000					\$175,000	
UMA	Bangor Vehicle	Bangor Vehicle Charging Stations		Utility Infrastructure	\$90,000	Xfer from E&G		\$68,330				\$68,330	Support
UMA	Eastport Hall Re	Eastport Hall Repave Parking Lot		Grounds Infrastructu	\$153,074	Xfer from E&G		\$153,074				\$153,074	
UMA	Camden Hall Fc	Camden Hall Floor Replacement	First floor	Space Renewal	\$100,000	Xfer from E&G	\$100,000					\$100,000	Academic
UMA	Bangor Hall Wa	Bangor Hall Walkway Repair		Grounds Infrastructu	\$50,000	Xfer from E&G	\$50,000					\$50,000	
UMA	Augusta Walkw	Augusta Walkway and Paving Repairs		Grounds Infrastructu	\$150,000	Xfer from E&G					\$150,000	\$150,000	
UMA	Bangor Hall Env	Bangor Hall Envelope Repairs		Building Envelope	\$100,000	Xfer from E&G		\$100,000				\$100,000	Academic
UMA		Augusta Landscaping	Augusta Landscaping	Grounds Infrastructu	\$100,000	Xfer from E&G	\$100,000					\$100,000	
UMA	Low NAV Gener	Low NAV General Maintenance Projects	Augusta Renovation		\$526,650	Xfer from E&G			\$327,237	\$299,413		\$626,650	
UMA	Low NAV Gener	Low NAV General Maintenance Projects	Bangor C Renovation		\$526,649	Xfer from E&G			\$327,237		\$250,000	\$577,237	
UMA		Bangor Campus Roof Repai	Make roofing repairs	Building Envelope	\$175,000	Xfer from E&G	\$175,000					\$175,000	
UMA		Undetermined	Undetermined/cont	Other	\$75,000	Xfer from E&G	\$75,000					\$75,000	
UMA	Texas Ave. Rep	Texas Ave. Repaving		Grounds Infrastructu	\$1,500,000	TBD			\$1,500,000			\$1,500,000	
UMA	Katz HVAC Phas	Katz HVAC Phase II		Building Systems	\$3,500,000	TBD		\$3,500,000				\$3,500,000	Academic
UMA	Katz HVAC Phas	Katz HVAC Phase III		Building Systems	\$3,500,000	TBD			\$3,500,000			\$3,500,000	Academic
UMA	81 Bed Residen	81 Bed Residence Hall with	Build an 81 bed resid	New Space	\$8,300,000	TBD			\$8,300,000			\$8,300,000	Residence Hall
UMA	UMA - Med Lab	UMA Med Lab Tech		Renovation	\$1,500,000	Internal Loan	\$835,000					\$835,000	Academic
UMA	Camden Hall Ve	Camden Hall Vet Tech		Renovation	\$1,271,000	Internal Loan	\$75,000					\$75,000	Academic
UMA	Marketplace	Marketplace renovation		Renovation	\$4,500,000	Earmark		\$4,500,000				\$4,500,000	Academic
UMA	Dental Clinic at	UMA Dental Clinic at UMPI		Renovation	\$750,000	Earmark	\$100,000	\$500,000	\$150,000			\$750,000	Academic
UMA	Jewett Paint, Re	Jewett Paint, Repair, Walkway		Renovation	\$375,000	ST CAP App	\$375,000					\$375,000	Academic
UMA	Belfast Hall - Pa	Belfast Hall - Restrooms, Windows		Renovation	\$375,000	ST CAP App	\$375,000					\$375,000	Academic
UMA	Farmhouse - Pa	Farmhouse - Paint, Repair		Space Renewal	\$50,000	ST CAP App		\$50,000				\$50,000	Administrative
UMA	Katz paint, Rep	Katz paint, Repair		Space Renewal	\$150,000	ST CAP App		\$150,000				\$150,000	Academic
UMA	Maintenance G	Maintenance Garage Doors		Building Envelope	\$45,000	ST CAP App		\$45,000				\$45,000	Support
UMA	Maintenance Sh	Maintenance Shop - Gutters, Repairs		Renovation	\$30,000	ST CAP App		\$30,000				\$30,000	Support
UMA	MOD III - HVAC	MOD III - HVAC		Building Systems	\$25,000	ST CAP App		\$25,000				\$25,000	Support
UMA	Robinson - Win	Robinson - Windows, Paint, repair		Renovation	\$100,000	ST CAP App		\$100,000				\$100,000	Administrative
					\$33,480,483		\$3,056,575	\$10,261,404	\$14,879,474	\$1,500,948	\$1,575,995	\$31,274,396	

Analysis:

UMA's 5-year plan will execute \$31.3M supporting 48 projects. The largest funding sources in their plan are TBD (54%), E&G (23%) and Earmarks (17%). The TBD funded projects include HVAC projects in the Katz Library and the construction of a new residence hall. The majority of the earmark funds in the plan are unsecured and would be used

to fund renovations in the Marketplace. The HVAC projects and Marketplace renovations both contribute the academic facility function receiving the most investment.



6.5

UMFNarrative:

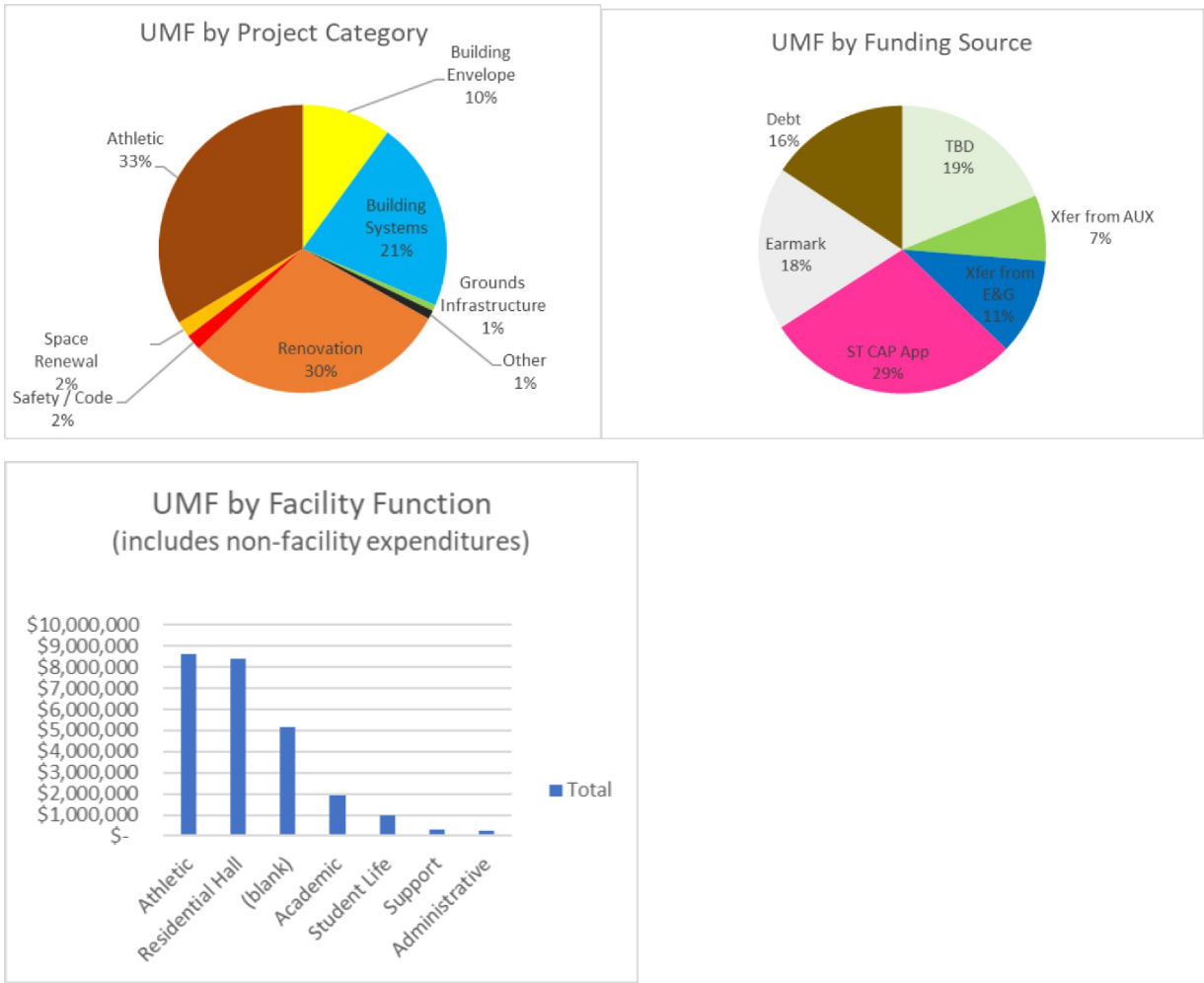
The capital work on our campus supports key initiatives and are designed to increase NAV and investment in areas to that will help retain students and attract new students. This plan supports UMF's efforts to support NECHE standard 7 and meet several goals identified in the UMS Strategic Plan: Action 2 and Action 4 Goal 4.2 Commitment 1; Goals 3.2 and 3.3 under fiscal sustainability and Goal 1.1 under effective infrastructure portfolio in Commitment 2; and Goal 3.4 under Commitment 5. UMF's 5-year capital plan focuses on moving the needle on deferred maintenance backlog. The campus is undergoing a large Energy Service Company (ESCO) project to renew our HVAC systems that will improve user experience across the campus. With the help of a state capital improvement funds, UMF is making a significant investment to updating the Mallett and Purington residence halls by creating new single user restrooms with updated plumbing and electrical infrastructure that today's students expect.

Over the next five years capital funds has been identified to support the ESCO project, replace roof systems, update campus walkways to be ADA compliant and transform our restrooms in E&G buildings to be user friendly and more inclusive for all. UMF has also requested earmark funds, which combined with other unsecured funds, will improve Prescott Field in order to bring it in line with other Division III programs in the state and conference.

Campus	Facility Name	Project Name	Project Type	Total Estimated P	Funding Source	FY24 Budget	FY25 Budget	FY26 Budget	FY27 Budget	FY28 Budget	5-Year Capital Plan	Facility Function
UMF	Roberts learning center	Furniture upgrade	Other	\$250,409	Xfer from E&G				\$250,409		\$250,409	Academic
UMF	Central Heat Plant	Boiler Upgrades	Building Systems	\$100,000	Xfer from E&G		\$125,000				\$125,000	Support
UMF	Main st-238 Look house	Look House Ventilation inst	Building Systems	\$60,000	Xfer from E&G			\$60,000			\$60,000	Administrative
UMF	Merrill Hall	Merrill hall elevator upgrad	Building Systems	\$80,000	Xfer from E&G					\$80,000	\$80,000	Academic
UMF	Main st-238, Look House	Look House Mechanical ven	Building Systems	\$109,563	Xfer from E&G		\$109,563				\$109,563	Administrative
UMF	Roberts Learning Center	Roberts learning center bat	Building Systems	\$350,000	Xfer from E&G		\$200,000	\$150,000			\$350,000	Academic
UMF	All campus	ESCO Audit Investment	Building Systems	200000	Xfer from E&G	\$200,000					\$200,000	
UMF	Central Heat Plant	Boiler rebuild	Building Systems	\$166,842	Xfer from E&G			\$66,842	\$100,000		\$166,842	Support
UMF	Education Center 186 HI	Roof Replacement	Building Envelope	\$188,598	Xfer from E&G				\$188,598		\$188,598	Academic
UMF	Franklin Hall, Main st-25	Franklin hall foundation rep	Building Envelope	\$60,000	Xfer from E&G		\$60,000				\$60,000	Administrative
UMF	Preble-Thomas hall	Preble hall concrete stair re	Building Envelope	\$6,000	Xfer from E&G	\$12,500					\$12,500	Academic
UMF	Preble-Thomas Hall	Preble-Thomas roof replace	Building Envelope	\$130,000	Xfer from E&G		\$130,000				\$130,000	Academic
UMF	Dearborn Lobby	Dearborn connector roof re	Building Envelope	\$34,000	Xfer from E&G	\$34,000					\$34,000	Athletic
UMF	Education Center	Education Center roof repla	Building Envelope	\$188,598	Xfer from E&G				\$188,598		\$188,598	Academic
UMF	Merrill hall	Merrill hall roof replaceme	Building Envelope	\$300,000	Xfer from E&G					\$300,000	\$300,000	Academic
UMF	FRC 152 Quebec St	Replace Lobby Flooring and Space Renewal		\$62,000	Xfer from E&G	\$62,000					\$62,000	
UMF	Preble-Thomas Hall	Preble Fume hood replacen	Space Renewal	\$300,000	Xfer from E&G			\$300,000			\$300,000	
UMF	Mantor Library	Mantor Library restroom re	Space Renewal	\$100,000	Xfer from E&G			\$100,000			\$100,000	Academic
UMF		E&G Campus paving	Grounds Infrastructu	\$50,000	Xfer from E&G	\$50,000					\$50,000	
UMF	Olsen Student Center 11	Roof Replacement	Building Envelope	\$660,000	TBD					\$660,000	\$660,000	Student Life
UMF	MERRILL HALL-UMF	Roof Replacement	Building Envelope	\$300,000	TBD					\$300,000	\$300,000	Academic
UMF	Prescott field	Track and field turf project	Athletic	\$8,565,352	TBD			\$1,722,432	\$2,142,920		\$3,865,352	Athletic
UMF	Scott Hall North	Scott Hall north Restroom r	Renovation	\$65,000	Xfer from AUX		\$65,000				\$65,000	Residential Hall
UMF	Residential buildings	Kitchen renovations	Renovation	\$135,000	Xfer from AUX	\$135,000					\$135,000	Residential Hall
UMF	Lockwood Hall	Lockwood all Plumbing upgr	Renovation	\$395,000	Xfer from AUX				\$395,000		\$395,000	Residential Hall
UMF	Lockwood Hall	Lockwood Hall bathroom re	Building Systems	\$195,000	Xfer from AUX		\$195,000				\$195,000	Residential Hall
UMF	All Campus	ESCO audit investment	Building Systems	175000	Xfer from AUX	\$175,000					\$175,000	
UMF	Black Hall 126 Lincoln St	Roof Replacement	Building Envelope	\$218,634	Xfer from AUX			\$131,000	\$87,634		\$218,634	
UMF	Scott Hall South	Scott South window replace	Building Envelope	\$143,982	Xfer from AUX		\$143,982				\$143,982	Residential Hall
UMF	Olsen Student Center	Olsen Student Center roof r	Building Envelope	\$317,962	Xfer from AUX			\$317,962			\$317,962	Student Life
UMF		Campus Paving	Grounds Infrastructu	\$200,000	Xfer from AUX		\$50,000				\$50,000	
UMF	Residential parking lots	Seal coating and pavement	Grounds Infrastructu	\$80,000	Xfer from AUX	\$80,000					\$80,000	
UMF	Mallett hall	Mallett Fire door install	Safety / Code	\$65,000	Xfer from AUX		\$65,000				\$65,000	Residential Hall
UMF	Purington Hall	Life safety upgrade	Safety / Code	\$44,591	Xfer from AUX		\$44,591				\$44,591	Residential Hall
UMF		UMF ESCO Multiple Projects	Building Systems	\$12,200,000	Debt	\$4,000,000					\$4,000,000	
UMF	Prescott field	Track and field turf project	Athletic		Earmark		\$4,700,000	\$0			\$4,700,000	Athletic
UMF	UMFPuringtonHall	UMF Purington Hall Renova	Renovation	\$3,390,000	ST CAP App	\$2,055,000	\$850,000	\$385,000			\$3,290,000	Residential Hall
UMF	UMFMallettHall	UMF Mallett Hall Renovatio	Renovation	\$3,760,000	ST CAP App	\$1,945,000	\$1,175,000	\$595,000			\$3,715,000	Residential Hall
UMF	All Residence halls	Dorm room lock replaceme	Safety / Code	\$350,000	ST CAP App	\$350,000					\$350,000	Residential Hall
				\$33,996,531		\$9,098,500	\$7,913,136	\$3,828,236	\$3,353,159	\$1,340,000	\$25,533,031	

Analysis:

UMF's 5-year plan consists of 38 projects costing \$25.5M in FY24-FY28. State capital improvement funds make up 29% of the total plan and fund critical renovations to modernize UMF's residence halls. TBD and unsecured earmarks constitute 37% of the plan and support UMF's effort to bring Prescott Field up to current collegiate standards. It is this project that creates the spike in athletics related expenditures. Finally, UMF's ESCO project will have a significant impact on both the user experience and NAV of facilities across the campus.



UMFK**Narrative:**

Over the next five years, UMFK will strategically leverage federal earmark funds, state capital improvement funds, remaining Space Reduction Initiative funds, and increasing amounts of operating funds. These efforts support NECHE standard 7 and the UMS Strategic Plan: Action 2 and Action 4 Goal 4.2 Commitment 1; Goals 3.2 and 3.3 under fiscal sustainability and Goal 1.1 under effective infrastructure portfolio in Commitment 2; and Goal 3.4 under Commitment 5.

The Renovation of Fox Auditorium (renovation age 54) will address deferred maintenance and net asset value for our second largest space on campus, and is one of the key Elements of the UMFK Master Plan Report.

Reducing deferred maintenance in the science wing of Cyr Hall will include upgrades to the labs, greenhouse, and vital HVAC upgrades. These labs support the science courses in all of our academic programs as well as academic research projects. Our faculty research and facilities provide opportunity not only for UMFK students, but provide a base for summer research projects for undergraduate and graduate students from other campuses as well.

Our vision for the next five years includes increasing density with a multi-faceted approach to attracting outside groups to campus. An agreement to contract with Sodexo for conferencing is already in place, and we are in the exploratory phase of other initiatives. The underlying key to preparation for all of these is updating the residence halls. The Lodge needs HVAC upgrades, including installation on the second and third floors. Powell Hall needs room and restroom renovations, including the addition of single-user restrooms. Crocker needs room and hallway upgrades, as well as a continuation in the upstairs lounge of the very well-received student-led renovations which occurred in the downstairs lounge.

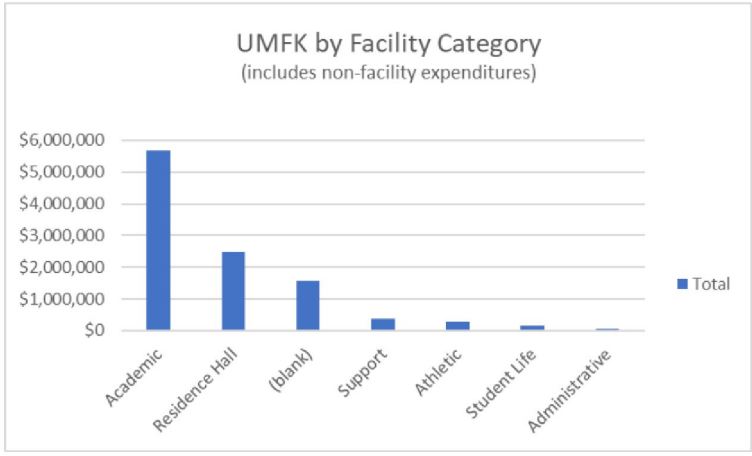
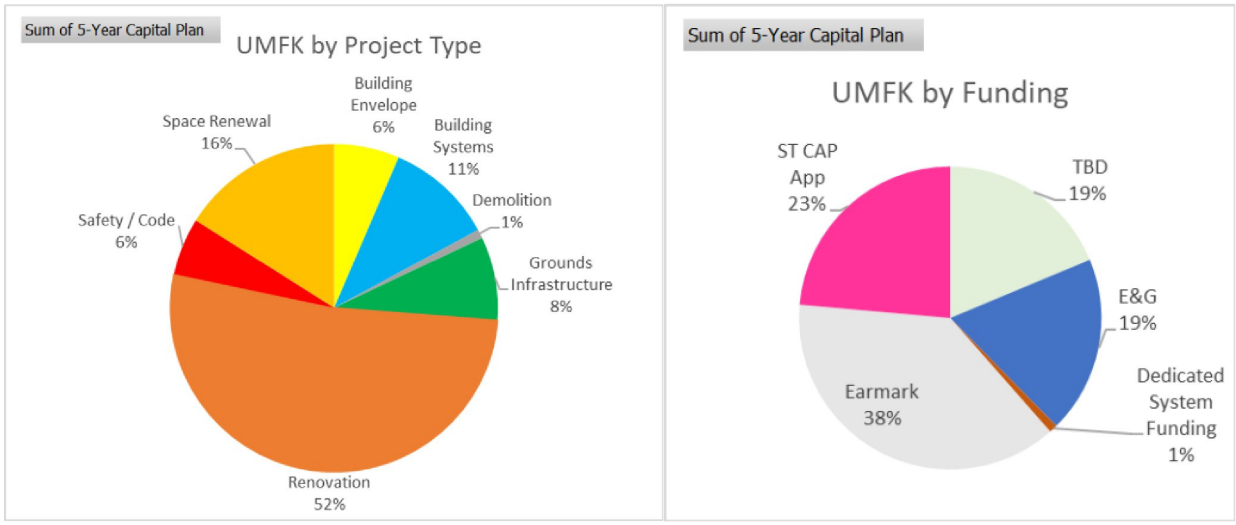
Areas of concern which are addressed in this five-year plan but for which funding has not yet been identified include HVAC in the Lodge, key card access campus-wide, and deferred maintenance/programmatic needs of Haenssler House.

We continue to thoughtfully match opportunity with need, and will be developing more plans for deferred maintenance and space reduction as well as increases to density, net asset value, and revenue generation.

Campus	Project Name	Short Description	Project Type	Funding Source	Total Estimated Project Cost	FY24 Budget	FY25 Budget	FY26 Budget	FY27 Budget	FY28 Budget	5-Year Capital Plan	Facility Function
UMFK	Nowland Hall Building Renovation	Replace windows, etc	Renovation	Xfer from E&G	\$300,000	59,231	92,366				151,597	Student Life
UMFK	Sports Center Renovation	Sports Center Renovation	Renovation	Xfer from E&G	\$286,181			155,000		131,181	286,181	Athletic
UMFK	Lodge upgrades	add/alter/repair ext	Renovation	Xfer from E&G	\$597,270			236,094	250,000		486,094	Residence Hall
UMFK	Cyr Hall renovations	Cyr Hall renovations	Renovation	Xfer from E&G	\$77,158	77,158					77,158	Administrative
UMFK	Energy Management System	Central computerize	Building Systems	Xfer from E&G	\$60,000		60,000				60,000	Support
UMFK	Plant	LP backup boiler and Building Systems		Xfer from E&G	\$301,166	63,324					63,324	Support
UMFK	Exterior Lighting	Parking lots and walkways	Infrastructure	Xfer from E&G	\$300,000					300,000	300,000	Support
UMFK	Roads and Walkways	Roads and Walkways	Infrastructure	Xfer from E&G	\$620,754	190,000	220,105		160,649		570,754	Support
UMFK	Lodge HVAC	HVAC installation on	Building Systems	TBD	\$1,000,000			500,000			1,000,000	Residence Hall
UMFK	Exterior envelope upgrades	Exterior envelope up	Building Envelope	TBD	\$125,000		125,000				125,000	Academic
UMFK	renovations and deferred m	address deferred m	Space Renewal	TBD	\$250,000		250,000				250,000	Support
UMFK	Card Access System - Aux	Card access system f	Safety / Code	TBD	\$200,000			200,000			200,000	
UMFK	Card Access System - E&G	Card access system f	Safety / Code	TBD	\$400,000			400,000			400,000	
UMFK	Cyr House Removal	Remove building and	Demolition	Dedicated System Funding	\$95,000	78,487					78,487	House
UMFK	St. David House Demolition	Raze Building and re	Demolition	Dedicated System Funding	\$50,000	14,202					14,202	Administrative
UMFK	Old Model School Renovation	Upgrade building en	Renovation	ST CAP App	\$60,000		20,000	40,000			60,000	Academic
UMFK	Renovate bathrooms and co	Renovate bathrooms	Renovation	ST CAP App	\$25,000			25,000			25,000	Academic
UMFK	Lodge upgrades	add/alter/repair ext	Renovation	ST CAP App	\$337,158	337,158					337,158	Residence Hall
UMFK	Cyr Hall renovations	Cyr Hall renovations	Renovation	ST CAP App	\$72,842	32,842	40,000				72,842	Administrative
UMFK	Crocker Hall Roof Replacement	repair/replace roofs	Building Envelope	ST CAP App	\$250,000	125,000	125,000	0	0		250,000	Residence Hall
UMFK	Cyr Hall Windows, Doors and	Replace windows in	Building Envelope	ST CAP App	\$305,000	100,000	100,000	105,000	0		305,000	Academic
UMFK	Crocker Hall Upgrade	Renovation and upgr	Space Renewal	ST CAP App	\$200,000	200,000			0		200,000	Residence Hall
UMFK	Cyr Hall Laboratory Upgrade	To upgrade greenho	Space Renewal	ST CAP App	\$1,000,000	200,000	800,000				1,000,000	Academic
UMFK	Powell Hall Renovations	Renovation and upgr	Space Renewal	ST CAP App	\$190,000	95,000	95,000				190,000	Residence Hall
UMFK	Enrollment & Advancement	Replacement	Space Renewal	ST CAP App	\$2,990,000	50,000					50,000	Administrative
UMFK	Fox - other renovations/upd	Fox - other renovati	Renovation	Earmark	\$4,000,000	500,000	3,500,000				4,000,000	Administrative
					\$14,092,529	\$2,122,402.00	\$5,927,471.00	\$1,661,094.00	\$ 410,649.00	\$ 431,181.00	\$ 10,552,797.00	

Analysis:

The University of Maine at Fort Kent plans to spend \$10.6M on 26 projects valued at over \$14M over the next 5 years. Earmark funds constitute 38% of UMFK's 5-year plan, state capital improvement funds 23%, E&G and TBD funding 19% each, and dedicated systems funding 1%. The \$4M renovation of Fox Auditorium dominates the overall \$5.7M investment in the academic facility function and the renovation project category. UMFK also plans to spend \$2.5M on their residence halls.



UMPINarrative:

UMPI's 5-year capital plan centers on initiatives designed to enhance our campus environment in order to attract and retain in-person students. Significant investments are planned in the dining facility and residence halls to meet our students' needs and grow enrollment. These investments align with NECHE standard 7 and support UMPI meeting goals outlined in the UMS Strategic Plan: Action 2 and Action 4 Goal 4.2 Commitment 1; Goals 3.2 and 3.3 under fiscal sustainability and Goal 1.1 and 2.1 under effective infrastructure portfolio in Commitment 2; and Goal 3.4 under Commitment 5.

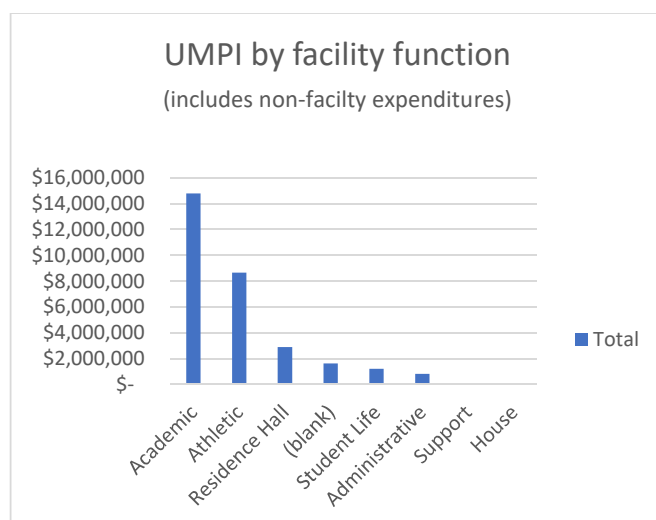
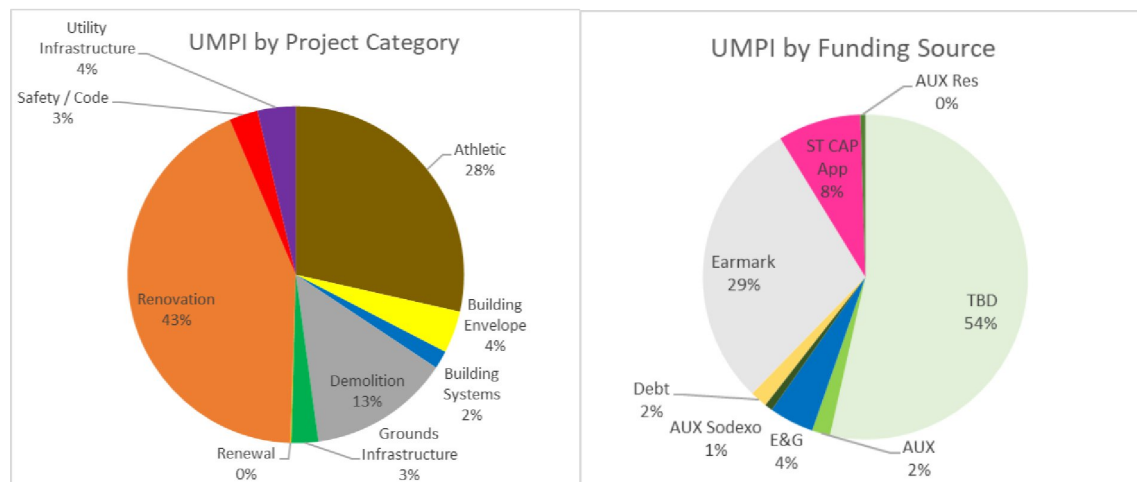
UMPI has applied for earmark funding to renovate the Gauvin Center and bring our athletic field up to current collegiate standards; both initiatives will enhance the student experience and strengthen our community ties.

Grounds, utility infrastructure and building system projects in this plan provide a critical foundation for all our projects. Because the 5-year plan is aspirational, we have several projects that don't yet have funding secured. We will continue to work over the coming fiscal year to identify funding opportunities and adjust our project timelines and priorities as necessary.

Campus	Facility Name	Project Name	Project Type	Funding Source	Total Estimated Project	FY24 Budget	FY25 Budget	FY26 Budget	FY27 Budget	FY28 Budget	5-Year Capital Plan	Facility Function
UMPI		FY28 Misc. Campus Paving	Grounds Infrastructure	Xfer from E&G	\$100,000					\$100,000	\$100,000	
UMPI	Library Bldg	Library Fire Panel	Safety / Code	Xfer from E&G	\$40,000			\$40,000			\$40,000	Academic
UMPI	Campus Center	Campus Center Fire Alarm	Safety / Code	Xfer from E&G	\$45,000	\$45,000					\$45,000	Administrative
UMPI	Campus Center	Campus Center AC	Building Systems	Xfer from E&G	\$150,000				\$150,000		\$150,000	Administrative
UMPI	Facilities Support	Facilities Support Boiler	Building Systems	Xfer from E&G	\$30,000			\$30,000			\$30,000	Support
UMPI	Gentle Hall	Gentle Pool Upgrades	Renovation	Xfer from E&G	\$100,000				\$100,000		\$100,000	Athletic
UMPI	Grounds	FY27 Misc. Campus Paving	Grounds Infrastructure	Xfer from E&G	\$74,870				\$74,870		\$74,870	
UMPI	Kiln	Kiln Roof	Building Envelope	Xfer from E&G	\$5,645			\$5,645			\$5,645	Academic
UMPI	Library Bldg	Library Elevator	Safety / Code	Xfer from E&G	\$132,435		\$132,435				\$132,435	Academic
UMPI	Norman Hall	Normal Hall Oil Tank	Utility Infrastructure	Xfer from E&G	\$150,000		\$150,000				\$150,000	Administrative
UMPI	Preble Hall	Preble Hall Boiler	Building Systems	Xfer from E&G	\$50,000	\$50,000					\$50,000	Administrative
UMPI	Smith House (Pr	Smith House Boiler	Building Systems	Xfer from E&G	\$8,000			\$8,000			\$8,000	House
UMPI	South Hall	South Hall Oil Tank	Utility Infrastructure	Xfer from E&G	\$110,000			\$110,000			\$110,000	Administrative
UMPI	South Hall	South Hall Fire Alarm Panel	Safety / Code	Xfer from E&G	\$17,695	\$17,695					\$17,695	Administrative
UMPI	Wieden Hall	Wieden Hall Oil Tank	Utility Infrastructure	Xfer from E&G	\$150,000	\$150,000					\$150,000	Academic
UMPI	Preble Hall	Preble Hall Brick Repointing	Building Envelope	Xfer from E&G	\$175,000					\$175,000	\$175,000	
UMPI	Kelley Commons	Kelley Commons Boiler II	Building Systems	TBD	\$26,405		\$26,405				\$26,405	Student Life
UMPI	Kelley Commons	Kelley Commons Oil Tank	Utility Infrastructure	TBD	\$150,000		\$150,000				\$150,000	Student Life
UMPI	MERRIMAN HAL	Merriman Hall Oil Tank	Utility Infrastructure	TBD	\$110,000			\$110,000			\$110,000	Residence Hall
UMPI		SAD1 Oil Tank	Utility Infrastructure	TBD	\$100,000		\$100,000				\$100,000	
UMPI	Preble Hall	Preble Hall Fire Alarm Panel	Safety / Code	TBD	\$60,000			\$60,000			\$60,000	Residence Hall
UMPI	Kelley Commons	Kelley Commons Elevator	Safety / Code	TBD	\$150,000		\$150,000				\$150,000	Student Life
UMPI		North Lot Paving	Grounds Infrastructure	TBD	\$150,000		\$150,000				\$150,000	
UMPI		CIL Lot Paving	Grounds Infrastructure	TBD	\$100,000			\$100,000			\$100,000	
UMPI		CIL Parking Lot Addition	Grounds Infrastructure	TBD	\$50,000		\$50,000				\$50,000	
UMPI		South Walkway Paving	Grounds Infrastructure	TBD	\$50,000		\$50,000				\$50,000	
UMPI		North Walkway Paving	Grounds Infrastructure	TBD	\$50,000		\$50,000				\$50,000	
UMPI	Preble Hall	Preble Hall Window Replace	Building Envelope	TBD	\$250,000				\$250,000		\$250,000	Residence Hall
UMPI	Campus Center	MPR Flooring	Renewal	TBD	\$30,000					\$30,000	\$30,000	Administrative
UMPI	Campus Center	Campus Center Access Control	Safety / Code	TBD	\$20,000					\$20,000	\$20,000	
UMPI	Library Bldg	Library Window Replacement	Building Envelope	TBD	\$400,000					\$400,000	\$400,000	Academic
UMPI	Library Bldg	Library Access Control	Safety / Code	TBD	\$20,000					\$20,000	\$20,000	Academic
UMPI	Campus Center	Campus Center Roof	Building Envelope	TBD	\$50,000		\$50,000				\$50,000	Administrative
UMPI	FOLSOM-PULLEN	FOLSOM ROOF	Building Envelope	TBD	\$45,000		\$45,000				\$45,000	Academic
UMPI	MERRIMAN HAL	Merriman Stairs/Lobby Upg	Renovation	TBD	\$30,000		\$30,000				\$30,000	Residence Hall
UMPI	PREBLE HALL CO	Coal Shed Removal	Demolition	TBD	\$67,383				\$67,383		\$67,383	Administrative
UMPI	South Hall	South Hall Elevator	Safety / Code	TBD	\$140,000			\$140,000			\$140,000	Administrative
UMPI	MERRIMAN HAL	Merriman Hall ADA Entrance	Renovation	TBD	\$150,000			\$150,000			\$150,000	Residence Hall
UMPI	Athletic Field	Turf Field	Athletic	TBD	\$3,865,352			\$1,722,432	\$2,142,920		\$3,865,352	Athletic
UMPI	Wieden Hall	Gauvin Center Renovation	Renovation	TBD	\$6,000,000			\$4,000,000	\$2,000,000		\$6,000,000	Academic
UMPI	Normal Hall	Removal of Normal Hall	Demolition	TBD	\$4,000,000				\$2,000,000	\$2,000,000	\$4,000,000	Academic
UMPI	Emerson Hall	Emerson Hall Roof	Building Envelope	Xfer from AUX	\$140,175			\$140,175			\$140,175	Residence Hall
UMPI	Emerson Hall	Emerson Hall Oil Tank	Utility Infrastructure	Xfer from AUX	\$131,005		\$131,005				\$131,005	Residence Hall
UMPI	Kelley Commons	Kelley Commons Boiler II	Building Systems	Xfer from AUX	\$121,848	\$121,848					\$121,848	Residence Hall
UMPI	Park Hall	Park Hall Roof	Building Envelope	Xfer from AUX	\$150,688				\$150,688		\$150,688	Residence Hall
UMPI	Emerson Hall	Emerson Hall ADA Entrance	Renovation	Xfer From AUX Res	\$150,000					\$150,000	\$150,000	
UMPI	Emerson Hall	Emerson Hall Upgrades	Renovation	ST CAP App	\$1,087,650	\$1,087,650					\$1,087,650	Residence Hall
UMPI	Merriman Hall	Merriman Hall Renovation	Renovation	ST CAP App	\$559,030		\$559,030				\$559,030	Residence Hall
UMPI	Park Hall	Park Hall Renovations	Renovation	ST CAP App	\$661,756	\$100,000	\$0	\$0	\$0	\$0	\$100,000	Residence Hall
UMPI		Access Control	Safety / Code	ST CAP App	\$410,000	\$200,000					\$200,000	
UMPI		Underground Utility Work	Utility Infrastructure	ST CAP App	\$200,000	\$100,000	\$100,000				\$200,000	
UMPI		Campus Paving	Grounds Infrastructure	ST CAP App	\$250,000	\$100,000	\$100,000				\$200,000	
UMPI	Kelley Commons	Boiler Project	Building Systems	ST CAP App	\$231,564	\$131,564					\$131,564	Student Life
UMPI	Kelley Commons	Kelley Commons Cafeteria	Renovation	Debt	\$511,000	\$511,000					\$511,000	Student Life
UMPI	Athletic Field	Turf Field	Athletic	Earmark	\$4,700,000		\$4,700,000				\$4,700,000	Athletic
UMPI	Wieden Hall	Gauvin Center Renovation	Renovation	Earmark	\$4,000,000		\$4,000,000				\$4,000,000	Academic
UMPI	Kelley Commons	Kelley Commons Cafeteria	Renovation	AUX Sodexo	\$239,000	\$239,000					\$239,000	Student Life
					\$30,946,501	\$2,853,757	\$10,673,875	\$6,666,252	\$6,935,861	\$2,895,000	\$30,024,745	

Analysis:

UMPI plans to spend \$30M on 55 projects over the next 5 years, with over half of those funds identified as TBD and almost 30% in unsecured earmarks. The two proposed earmark funded projects are for the renovation of the Gauvin Center in Wieden Hall and to build an artificial turf athletic field. The \$10M Gauvin Center (\$4M earmark and \$6M TBD) drives the investment in academic spaces whereas the turf field drives the spending in the athletic category.



USM

Narrative:

USM's 5-year capital plan supports the University's mission of staying student-focused every day and is directly tied to key initiatives that will improve enrollment and retention. This plan focuses on completing the vision of the Portland campus created in the Master Plan through the construction of Portland Commons, the McGoldrick Center, and the Center for the Arts. This plan also aligns with the UMS NECHE Standards¹ and Strategic Plan².

This plan includes revitalization of the residence halls on the Gorham campus. In addition, significant investments are planned for the University's academic spaces to create an enhanced learning environment. These include the Center for Teacher Excellence, the Dubyak Center, and a new E-Sports arena. Additional projects focus on lowering deferred maintenance on a priority and need basis in Mechanical, Electrical and Plumbing (MEP) and Envelope systems to improve the student learning environment and staff working comfort in academic facilities on all three campuses.

Because the 5-year plan is aspirational, there are several projects where the funding source has yet to be identified. Two such large projects are the removals of Dickey-Wood from the Gorham campus and the Law Building from the Portland Campus. Both projects are important to USM's overall appearance and will take a significant investment that is beyond USM's current fiscal capability. A thoughtful and creative approach to funding will be required if these projects are to be executed.

6.5

¹ NECHE Standard 7: Physical Resources

² UMS Strategic Plan Commitments: Action 2 and Action 4 Goal 4.2 Commitment 1; Goals 3.2 and 3.3 under fiscal sustainability and Goal 1.1 and 2.1 under effective infrastructure portfolio in Commitment 2; and Goal 3.4 under Commitment 5.

September 10-11, 2023 Board of Trustees Meeting - Vice Chancellor for Finance and Administration and Treasurer's Update

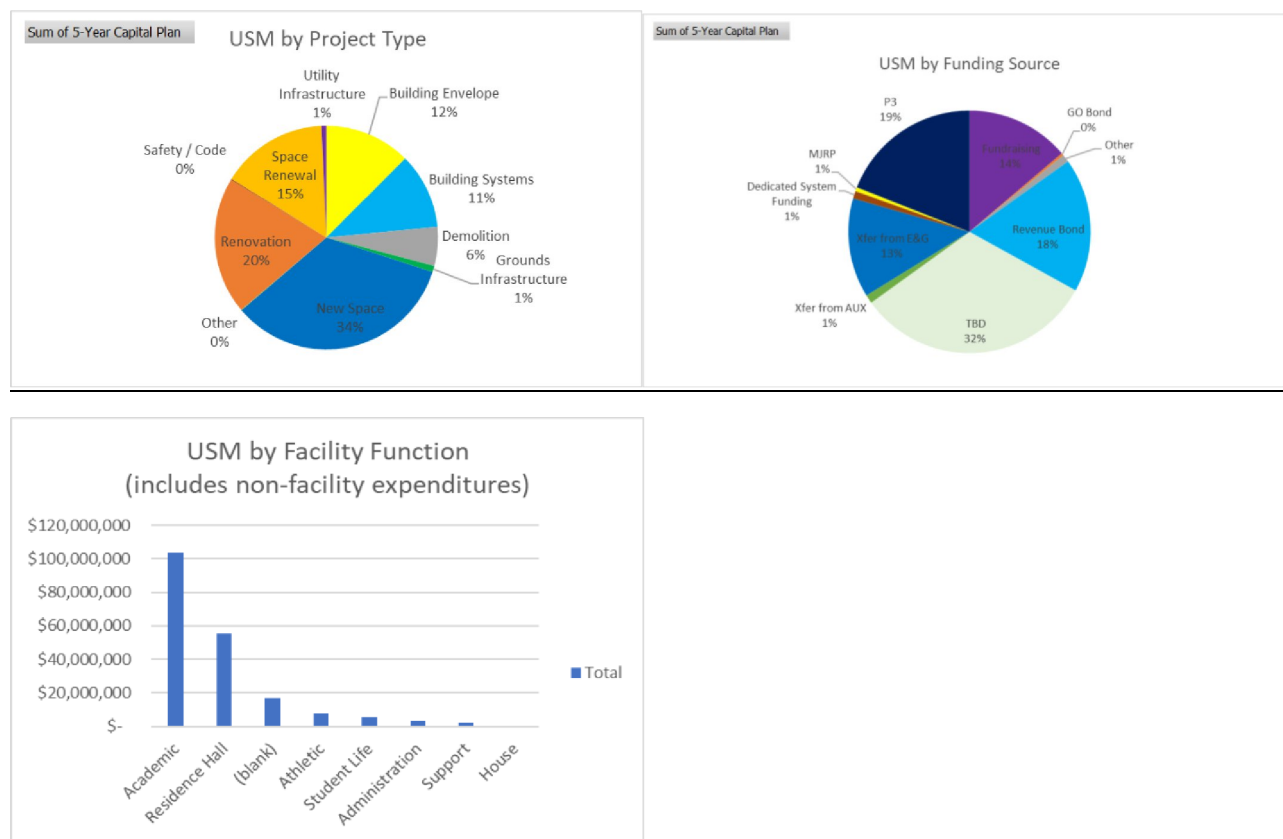
Campus	Facility Name	Project Name	Project Type	Funding Source	Total Estimated Project Cost	FY24 Budget	FY25 Budget	FY26 Budget	FY27 Budget	FY28 Budget	5-Year Capital Plan	Facility Function
USM	BAILEY HALL	BAILEY Hall Curtainwall Rep	Building Envelope	Xfer from E&G	\$ 2,500,000			\$ 500,000	\$ 500,000	\$ 500,000	\$ 1,500,000	Academic
USM	BEDFORD ST-1C	106 Bedford Renov	Renovation	Xfer from E&G	\$ 225,000					\$ 225,000	\$ 225,000	Administration
USM	DEERING AVE-2	228 Deering Renovation	Renovation	Xfer from E&G	\$ 175,000					\$ 175,000	\$ 175,000	Academic
USM	COLLEGE AVE-3	37 College Ave Renov	Renovation	Xfer from E&G	\$ 350,000					\$ 350,000	\$ 350,000	House
USM	EXETER ST-065	65 Exeter Renov	Renovation	Xfer from E&G	\$ 200,000					\$ 200,000	\$ 200,000	Administration
USM	BEDFORD ST-05	92 Bedford Renov	Renovation	Xfer from E&G	\$ 275,000					\$ 275,000	\$ 275,000	Administration
USM	BEDFORD ST-04	94 Bedford Renov	Renovation	Xfer from E&G	\$ 225,000					\$ 225,000	\$ 225,000	Academic
USM	BEDFORD ST-05	98 Bedford Renov	Renovation	Xfer from E&G	\$ 225,000					\$ 225,000	\$ 225,000	Academic
USM	THE BARN	The Barn Renovation	Renovation	Xfer from E&G	\$ 200,000				\$ 200,000		\$ 200,000	Support
USM	MCLELLAN HOU	McLellan House Renovation	Renovation	Xfer from E&G	\$ 250,000					\$ 250,000	\$ 250,000	Administration
USM	THE FARM HOU	Farm House Ext Upg	Building Envelope	Xfer from E&G	\$ 171,378			\$ 171,378			\$ 171,378	Administration
USM	BAILEY HALL	BAILEY Hall MEP Upg	Building Systems	Xfer from E&G	\$ 722,698			\$ 348,288	\$ 374,410		\$ 722,698	Academic
USM	BAILEY HALL	BAILEY Library Wing Roof Rep	Building Envelope	Xfer from E&G	\$ 300,000		\$ 300,000				\$ 300,000	Academic
USM	CORTHELL HALL	Corthell Basement Renovati	Space Renewal	Xfer from E&G	\$ 400,000				\$ 400,000		\$ 400,000	Academic
USM	CORTHELL HALL	Corthell Concert Hall Upg	Space Renewal	Xfer from E&G	\$ 250,000				\$ 250,000		\$ 250,000	Academic
USM	CORTHELL HALL	Corthell Lighting Upg	Building Systems	Xfer from E&G	\$ 325,502		\$ 325,502				\$ 325,502	Academic
USM	FIELD HOUSE, C	Field House MEP upgrades	Building Systems	Xfer from E&G	\$ 750,000		\$ 350,000	\$ 400,000			\$ 750,000	Athletic
USM	FIELD HOUSE, C	Field House LED Lighting up	Building Systems	Xfer from E&G	\$ 350,000		\$ 350,000				\$ 350,000	Athletic
USM		Gorham Elevator Repairs	Building Systems	Xfer from E&G	\$ 3,000,000		\$ 500,000	\$ 500,000	\$ 500,000	\$ 500,000	\$ 2,000,000	
USM	HOT WATER DI	Gorham Underground Util R	Utility Infrastructure	Xfer from E&G	\$ 675,000	\$ 200,000	\$ 75,000	\$ 75,000	\$ 75,000	\$ 75,000	\$ 500,000	
USM	HILL GYM, COS	Hill Gym MEP Upg	Building Systems	Xfer from E&G	\$ 750,000			\$ 750,000			\$ 750,000	Athletic
USM	LEWISTON-AUE	LAC Roof replacement	Building Envelope	Xfer from E&G	\$ 400,000					\$ 400,000	\$ 400,000	Academic
USM	LUTHER BONNI	Luther Bonney Roof Upg	Building Envelope	Xfer from E&G	\$ 300,000			\$ 300,000			\$ 300,000	Academic
USM	LUTHER BONNI	Luther Bonney Curtainwall F	Building Envelope	Xfer from E&G	\$ 500,000		\$ 500,000				\$ 500,000	Academic
USM	LUTHER BONNI	Luther Bonney Space renew	Space Renewal	Xfer from E&G	\$ 2,000,000			\$ 1,000,000		\$ 1,000,000	\$ 2,000,000	Academic
USM	LUTHER BONNI	Luther Bonney Sprinkler Upg	Safety / Code	Xfer from E&G	\$ 200,000			\$ 200,000			\$ 200,000	Academic
USM	MASTERTON H	MASTERTON Hall Envelope Re	Building Envelope	Xfer from E&G	\$ 1,000,000				\$ 1,000,000		\$ 1,000,000	Academic
USM	MAINTENANCE	MTB Renovation	Renovation	Xfer from E&G	\$ 200,000					\$ 200,000	\$ 200,000	Support
USM	PAYSON SMITH	Payson Smith Envelope Rep	Building Envelope	Xfer from E&G	\$ 503,979				\$ 355,014	\$ 148,965	\$ 503,979	Academic
USM	PAYSON SMITH	Payson Smith MEP Upg	Building Systems	Xfer from E&G	\$ 1,000,000	\$ 300,000				\$ 500,000	\$ 800,000	Academic
USM	PAYSON SMITH	Payson Smith Space Renewal	Space Renewal	Xfer from E&G	\$ 600,000			\$ 300,000	\$ 300,000		\$ 600,000	Academic
USM	PAYSON SMITH	Payson Smith Space Renewal	Building Systems	Xfer from E&G	\$ 300,000		\$ 300,000			\$ 200,568	\$ 500,568	Academic
USM	PAYSON SMITH	Payson Smith Bathroom Upg	Building Systems	Xfer from E&G	\$ 500,000		\$ 500,000				\$ 500,000	Academic
USM		Portland Elevator Repairs	Building Systems	Xfer from E&G	\$ 3,000,000		\$ 500,000	\$ 500,000	\$ 500,000	\$ 250,000	\$ 1,250,000	
USM	STEAM DISTRIE	Portland Underground Util F	Utility Infrastructure	Xfer from E&G	\$ 625,000	\$ 50,000	\$ 75,000	\$ 75,000	\$ 75,000	\$ 100,000	\$ 375,000	
USM	PRINT MAKING	Print Studio Renov	Renovation	Xfer from E&G	\$ 150,000					\$ 150,000	\$ 150,000	Academic
USM	ROBIE-ANDREV	Robie Andrews Transforme	Utility Infrastructure	Xfer from E&G	\$ 500,000				\$ 500,000		\$ 500,000	Residence Hall
USM	SCIENCE BLDG,	Science Building Envelope R	Building Envelope	Xfer from E&G	\$ 400,000		\$ 200,000	\$ 200,000			\$ 400,000	Academic
USM	FIELD HOUSE, C	Field House Curtain Replace	Other	Xfer from E&G	\$ 150,000		\$ 150,000				\$ 150,000	Athletic
USM	BAILEY HALL	BAILEY Bathroom Upgrades	Building Systems	Xfer from E&G	\$ 500,000	\$ 450,000					\$ 450,000	Academic
USM		Parking Lot Repairs	Grounds Infrastructu	Xfer from E&G	\$ 450,000			\$ 150,000	\$ 300,000		\$ 450,000	
USM		Sidewalk Repairs	Grounds Infrastructu	Xfer from E&G	\$ 300,000			\$ 150,000	\$ 150,000		\$ 300,000	
USM		Site Roadway Repairs	Grounds Infrastructu	Xfer from E&G	\$ 450,467	\$ 100,000	\$ 150,000		\$ 200,467		\$ 450,467	
USM	CENTER FOR TH	Center for Arts Construction	New Space	Xfer from E&G	\$ 500,000	\$ 250,000	\$ 250,000				\$ 500,000	Academic
USM	Russell Hall	Russell Hall Envelope Repair	Building Envelope	Xfer from E&G	\$ 475,000	\$ 450,000					\$ 450,000	Academic
USM	LUTHER BONNI	Luther Bonney Bathroom Up	Building Systems	Xfer from E&G	\$ 862,463	\$ 812,463					\$ 812,463	Academic
USM	ACADEMY BLDI	Academy Bldg Interior Reno	Renovation	Xfer from E&G	\$ 636,335		\$ 636,335				\$ 636,335	Academic
USM	BAILEY HALL	Center For Teacher Excellen	Space Renewal	Xfer from E&G	\$ 400,000	\$ 400,000					\$ 400,000	Academic
USM	GLICKMAN FAN	Glickman Fire Panel Replace	Safety / Code	Xfer from E&G	\$ 100,000	\$ 100,000					\$ 100,000	Academic
USM	Renovation wo	Renovation work - TBD	Renovation	Xfer from E&G	\$ 750,000	\$ 750,000					\$ 750,000	Administration
USM	HUSKEY DRIVE-	28 Husky Drive Renov	Renovation	TBD	\$ 300,000					\$ 300,000	\$ 300,000	
USM	ANDERSON HA	Anderson Interior Space Ren	Space Renewal	TBD	\$ 4,000,000		\$ 2,000,000	\$ 2,000,000			\$ 4,000,000	Residence Hall
USM	ANDERSON HA	Anderson MEP Upgrades	Building Systems	TBD	\$ 500,000			\$ 500,000			\$ 500,000	Residence Hall
USM	BAILEY HALL	BAILEY Classroom Renovatio	Space Renewal	TBD	\$ 1,800,000		\$ 500,000	\$ 300,000	\$ 500,000	\$ 500,000	\$ 1,800,000	Academic
USM	BROOKS STUDE	Brooks Dining Ctr Curtainwa	Building Envelope	TBD	\$ 2,000,000		\$ 1,000,000	\$ 1,000,000			\$ 2,000,000	Student Life
USM	BROOKS STUDE	Brooks MEP Upgrades	Building Systems	TBD	\$ 1,500,000			\$ 1,500,000			\$ 1,500,000	Student Life
USM	CORTHELL HAL	Corthell Envelope Rep/Upg	Building Envelope	TBD	\$ 3,000,000		\$ 1,500,000	\$ 1,500,000			\$ 3,000,000	Academic
USM	CORTHELL HALL	Corthell Hall MEP Upg	Building Systems	TBD	\$ 1,450,000		\$ 1,000,000				\$ 1,000,000	Academic
USM	Law School	Law School Demolition	Demolition	TBD	\$ 5,000,000		\$ 2,500,000	\$ 2,500,000			\$ 5,000,000	
USM	FIELD HOUSE, C	Field House Shell Upg	Building Envelope	TBD	\$ 1,500,000		\$ 1,500,000				\$ 1,500,000	Athletic
USM	GLICKMAN FAN	Glickman Library Envelope F	Building Envelope	TBD	\$ 4,000,000					\$ 2,000,000	\$ 2,000,000	Academic
USM	HILL GYM, COS	Hill Gym Shell Upgrades	Building Envelope	TBD	\$ 1,000,000			\$ 1,000,000			\$ 1,000,000	Athletic
USM	ICE ARENA, CO	Ice Arena Shell Upgrades	Building Envelope	TBD	\$ 1,500,000			\$ 1,500,000			\$ 1,500,000	Athletic
USM	JOHN MITCHEL	JMC interior & Lab Upg	Space Renewal	TBD	\$ 3,000,000		\$ 1,500,000	\$ 1,500,000			\$ 3,000,000	Academic
USM	LUTHER BONNI	Luther Bonney Envelope Rep	Building Envelope	TBD	\$ 2,000,000		\$ 1,000,000	\$ 1,000,000			\$ 2,000,000	Academic
USM	LUTHER BONNI	Luther Bonney MEP Upg	Building Systems	TBD	\$ 2,000,000		\$ 500,000	\$ 500,000	\$ 500,000	\$ 500,000	\$ 2,000,000	Academic
USM	MASTERTON H	MASTERTON Hall Space Renew	Space Renewal	TBD	\$ 1,000,000				\$ 1,000,000		\$ 1,000,000	Academic
USM	ICE ARENA, CO	Repl Ammonia Sys at Ice Ar	Building Systems	TBD	\$ 1,500,000		\$ 1,500,000				\$ 1,500,000	Athletic
USM	RUSSELL HALL	Russell Hall Space Renewal	Space Renewal	TBD	\$ 3,000,000			\$ 3,000,000			\$ 3,000,000	Academic
USM	RUSSELL HALL	Russell Hall MEP Upg	Building Systems	TBD	\$ 2,000,000			\$ 1,000,000	\$ 1,000,000		\$ 2,000,000	Academic
USM	SCIENCE BLDG,	Science Bldg MEP Upg	Building Systems	TBD	\$ 2,000,000			\$ 1,000,000	\$ 1,000,000		\$ 2,000,000	Academic
USM	SCIENCE BLDG,	Science Bldg Space Renewal	Space Renewal	TBD	\$ 1,000,000		\$ 500,000	\$ 500,000			\$ 1,000,000	Academic
USM	SULLIVAN REC	Sullivan Gym MEP Upgrades	Building Envelope	TBD	\$ 1,000,000		\$ 1,000,000				\$ 1,000,000	Student Life
USM	UPTON-HASTIN	Upton Hastings Envelope Rep	Building Envelope	TBD	\$ 4,000,000		\$ 2,000,000	\$ 2,000,000			\$ 4,000,000	Residence Hall
USM	UPTON-HASTIN	Upton Hastings Space Renew	Space Renewal	TBD	\$ 10,000,000			\$ 5,000,000	\$ 5,000,000		\$ 10,000,000	Residence Hall
USM	WOODWARD H	Woodward Envelope Rep	Building Envelope	TBD	\$ 750,000		\$ 750,000				\$ 750,000	Residence Hall
USM	DICKEY-WOOD	Dickey-Wood Demolition	Demolition	TBD	\$ 4,000,000		\$ 2,000,000	\$ 2,000,000			\$ 4,000,000	
USM	UPTON-HASTIN	UPTON HASTINGS Bathroom	Building Systems	Xfer from AUX	\$ 666,515				\$ 354,339	\$ 312,176	\$ 666,515	Residence Hall
USM	BROOKS STUDE	Brooks Freight Elevator Rep	Building Systems	Xfer from AUX	\$ 150,000	\$ 150,000					\$ 150,000	Student Life
USM	PHILLIP HALL	Upgrade Phillip HVAC	Building Systems	Xfer from AUX	\$ 314,234			\$ 314,234			\$ 314,234	Residence Hall
USM	Anderson Hall	Anderson Hall Bathroom Up	Building Systems	Xfer from AUX	\$ 545,398		\$ 295,398	\$ 250,000			\$ 545,398	Residence Hall
USM	PARKING GARA	Parking Garage Maintenan	Grounds Infrastructu	Xfer from AUX	\$ 546,447	\$ 146,447			\$ 200,000	\$ 200,000	\$ 546,447	
USM	SCIENCE BLDG,	Science Bldg Dubyak Ctr Ren	Space Renewal	GO Bond	\$ 1,000,000	\$ 500,000					\$ 500,000	Academic
USM	BAILEY HALL	Center For Teacher Excellen	Space Renewal	GO Bond	\$ 250,000	\$ 125,000					\$ 125,000	Academic
USM	BAILEY HALL	Center For Teacher Excellen	Space Renewal	Fundraising	\$ 250,000	\$ 250,000					\$ 250,000	Academic
USM	CENTER FOR TH	Center for Arts Construction	New Space	Fundraising	\$ 63,000,000	\$ 16,000,000	\$ 8,400,000				\$ 24,400,000	Academic
USM	23 Brighton Av	23 Brighton Ave Ptlid Renova	Renovation	Fundraising	\$ 1,435,000	\$ 750,000	\$ 685,000				\$ 1,435,000	Administration
USM	Brooks Dining C	E-Sports Arena	Space Renewal	Fundraising	\$ 750,000		\$ 100,000	\$ 650,000			\$ 750,000	
USM	CENTER FOR TH	Center for Arts Construction	New Space	Other	\$ 2,000,000		\$ 2,000,000				\$ 2,000,000	Academic
USM	New Parking G	Construction of new Structu	New Space	Revenue Bond	\$ 23,500,000	\$ 1,000,000					\$ 1,000,000	Support
USM	NEW STUDENT	Construction of Portland Co	New Space	Revenue Bond	\$ 74,000,000	\$ 1,200,000					\$ 1,200,000	Residence Hall
USM	CENTER FOR TH	Center for Arts Construction	New Space	Revenue Bond	\$ 32,000,000		\$ 16,000,000	\$ 16,000,000			\$ 32,000,000	Academic
USM	STUDENT SUCC	New Student Success and Cl	New Space	Revenue Bond	\$ 26,000,000	\$ 600,000					\$ 600,000	Student Life
USM	ROBIE-ANDREV	Robie Andrews Space Renew	Renovation	P3	\$ 33,000,000		\$ 2,000,000	\$ 15,000,000	\$ 13,000,000	\$ 3,000,000	\$ 33,000,000	Residence Hall
USM	ART BUILDING	New Art Building	New Space	P3	\$ 4,000,000		\$ 500,000	\$ 3,500,000			\$ 4,000,000	Academic
USM	DICKEY-WOOD	Dickey-Wood Demolition	Demolition	Dedicated System Fu	\$ 2,000,000		\$ 950,000	\$ 1,000,000			\$ 1,950,000	
USM	SCIENCE BLDG,	Science Bldg Dubyak Ctr Ren	Space Renewal	MJRP	\$ 1,500,000	\$ 1,000,000					\$ 1,000,000	Academic
USM	BAILEY HALL	Center For Teacher Excellen	Space Renewal	MJRP	\$ 30,000	\$ 30,000					\$ 30,000	Academic
					\$ 361,810,416	\$ 25,613,910	\$ 56,342,235	\$ 71,633,900	\$ 28,234,230	\$ 12,761,709	\$ 194,585,984	

6.5

Analysis:

Over the next 5 fiscal years USM will spend \$194.6M on over 90 projects primarily focused on academic space and residence halls. New construction (34%) is centered on completing construction of Portland Commons, McGoldrick Center, and parking garage and starting construction on the Center for the Arts. The Center for the Arts project both drives the amount of fundraising dollars anticipated (14%) and the large investment in academic spaces. The completion of Portland Commons, and future work on the residence halls on the Gorham campus are the bulk of the investment in residence halls at USM. USM's plan is made up of 32% TBD projects, which include work in both academic spaces and residence halls, along with the demolitions of the Law Building and Dickey-Wood.

6.5



Maine Law

Narrative:

In FY24-FY25 the Graduate & Professional Center located at 300 Fore Street intends to replace the failing RT Units that control the HVAC System for two floors of the building. At the same time, the roof membrane and building envelope study will be done to determine any and what work should be completed to remedy the leaks that the building currently experiences on bad weather days.

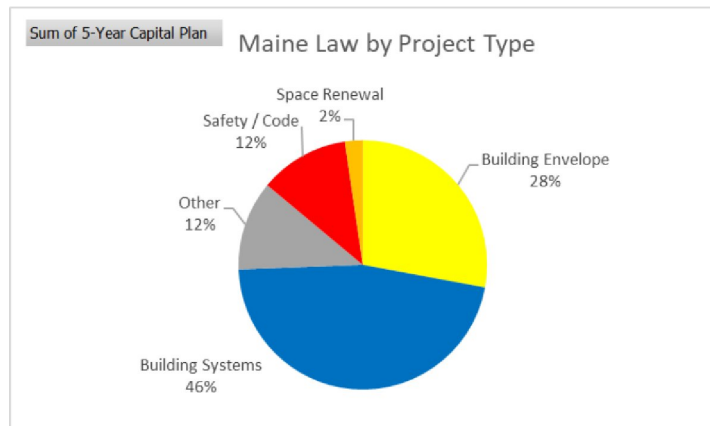
Additionally, we will be enlisting the services of a sign designer and work with the City of Portland to get new signage approved and installed on the external facade of the building. Inside the building, there are a few small flooring matters to work out on the first floor and some lighting and AV/Sound System that needs to go onto the first-floor stage area for events. There are a few classrooms that need technology installed so they can be utilized for hyflex teaching. Finally, some of the classrooms need to be outfitted with more multifunctional furniture and teaching lecterns that will accommodate both MBA courses and JD courses.

As a single facility that has recently undergone an extensive renovation, Maine Law does not anticipate any extensive capital needs beyond FY25 for 300 Fore Street at this time. We will work with Gordian and other experts to determine lifecycle replacement requirements to inform future capital plans. Furthermore, we will need to assess the needs and develop capital projects for 7 Custom House moving forward. is executed, there will be a need for capital projects to renovate the space to support the planned use and address anticipated building systems and envelope issues.

Campus	Facility Name	Project Name	Short Description	Project Type	Funding Source	Total Estimated Project Cost	FY24 Budget	FY25 Budget	FY26 Budget	FY27 Budget	FY28 Budget	5-Year Capital Plan	Facility Function	
Maine Law	Maine Law	AV Install	Install AV in the event room	Other	ST CAP App	\$125,000	\$	125,000				\$	125,000	Academic
Maine Law	Maine Law	RT HVAC Unit Replacement	Life cycle replacement of RT HVAC units	Building Systems	ST CAP App	\$500,000	\$	100,000	\$	400,000		\$	500,000	Academic
Maine Law	Maine Law	Building envelope study	Determine roof issues and repair needs	Building Envelope	ST CAP App	\$50,000	\$	50,000				\$	50,000	Academic
Maine Law	Maine Law	Roof Repair	Make appropriate repairs following study	Building Envelope	ST CAP App	\$250,000	\$	100,000	\$	150,000		\$	250,000	Academic
Maine Law	Maine Law	Flooring Replacement	Common Space flooring replacement/sound isolation	Space Renewal	ST CAP App	\$25,000	\$	25,000				\$	25,000	Academic
Maine Law	Maine Law	Security System upgrade	Security system upgrade	Safety / Code	ST CAP App	\$125,000	\$	125,000				\$	125,000	Academic
						\$1,075,000	\$	\$25,000	\$	\$50,000		\$	1,075,000	

Analysis:

Maine Law's Capital Plan is focused on making necessary improvements to 300 Fore Street, with most of the work focused on the building's envelope and systems. All of the projects are funded by State Capital Improvement Funds.



University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Confirmation of Faculty & Student Representatives to the Board of Trustees

INITIATED BY: Trish Riley, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

Policy 205 – Faculty & Student Representatives to the Board of Trustees

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

To create the environment for interaction among and between Faculty and Student Representatives, the Trustees and System administration, the Trustees have provided opportunities for participation in the meetings of the committees of the Board.

One faculty member and one undergraduate student from each of the seven universities and one graduate student from the University of Southern Maine and one graduate student from the University of Maine will be appointed by the Board as non-voting representatives to the Board of Trustees and invited to participate as non-voting members on the standing committees.

Normally, the representative is expected to complete a two year term; therefore, it is an expectation that the minimum term of service by Faculty and Student Representatives to the Board be two years. The nominations will be forwarded through the Presidents or Dean of the Law School to the Chancellor for submission to the Board for Trustee approval.

The following nominations are being recommended by the campus:

Student Representative:

JJ Chaboya, UMFk – Appointed for a 2-year term – September 2023 to September 2025

Faculty Representative:

Ivan Manev, UM – Appointed for a 2-year term – September 2023 to September 2025

Joe Staples, USM – Appointed for a 2-year term – September 2023 to September 2025

Patrick Cheek, UMA – Reappointed for a 2-year term – September 2023 to September 2025

Libin Thakkattil Louis, UMFk – Appointed for a 2-year term – September 2023 to September 2025

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the appointments of the Faculty Student Representatives to the Board of Trustees as presented.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Reimbursement Resolution, UM Factory of the Future

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

712 – Debt Policy

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The University of Maine System (UMS) acting through the University of Maine (UM) requests the Board to adopt the attached Resolution for Reimbursement of Project Expenditures in order to preserve flexibility for the reimbursement of costs incurred in connection with the construction of the Green Engineering and Materials (GEM) “Factory of the Future” with proceeds of future debt should the campus decide to seek financing for such costs.

On September 12, 2022, the Board approved authorization to expend up to \$13,800,000 in Maine Jobs & Recovery Act funds, in addition to the previously authorized \$1,500,000 campus reserves, for a total of \$15,300,000 to complete design for facility and equipment and ancillary pre-construction costs of the GEM Factory of the Future.

This project has mixed sources of funding, which are still being finalized, but which are expected to include University revenue bonds.

The resolution allows project expenditures for the GEM Factory of the Future made prior to bond issuance to be reimbursed with bond proceeds. It does not authorize the issuance of University revenue bonds for this purpose. The University will seek additional approval for the construction of the GEM “Factory of the Future” and the financing thereof at a future Board meeting.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the September 11, 2023 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, & Technology Committee and approves the Reimbursement of Project Expenditures prior to bond issuance.

Attachments:

Reimbursement of Project Expenditures

Previously Approved AIS - ASCC Building Addition Increase, UM; Green Engineering and Materials (GEM) “Factory of the Future, September 12, 2022

RESOLUTION OF THE TRUSTEES OF THE UNIVERSITY OF MAINE SYSTEM

REIMBURSEMENT OF PROJECT EXPENDITURES

Be it resolved that, for purposes of U.S. Treasury Regulation §1.150-2, the University of Maine System reasonably expects (1) to incur debt to reimburse expenditures (including expenditures made within the last 60 days) temporarily advanced from University resources, such expenditures to be made to pay the cost, or a portion of the cost, of planning, design, acquisition, construction, reconstruction, improvement, renovation, rehabilitation and equipping of the projects described below (the “Projects”) and (2) that the maximum principal amount of debt to be issued by the University of Maine System for the Projects including for reimbursement purposes is Fifteen Million Three Hundred Thousand Dollars \$15,300,000.

8.1

PROJECT

University of Maine:

Construction, furnishing and equipping of the Green Engineering and Materials (GEM) “Factory of the Future”, a proposed addition to the Advanced Structures and Composites Center at the University of Maine.

This Resolution shall take effect immediately.

ADOPTED: September 12, 2023

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: ASCC Building Addition Increase, UM; Green Engineering and Materials (GEM)
"Factory of the Future"

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

Continued strategic and opportunity-driven growth in research at the University of Maine in the areas of 3-D printing technologies and with integration of AI opens enormous possibilities for educating engineering and computing students across the University of Maine System. Through the partnership that is developing with the University of Maine and the University of Southern Maine engineering and computing faculty as a result of the Harold Alfond Foundation UMS TRANSFORMS initiative, a vision for the Maine College of Engineering, Computing, and Information Science is underway. Educational and research learning opportunities in frontier areas of engineering and computing will be available to students across the System through GEM, thereby using some of the key affordances of unified accreditation to share opportunities across campuses.

BACKGROUND:

a. Summary of the request

The University of Maine System, acting through the University of Maine, requests to increase the authorization for the Green Engineering and Materials (GEM) Factory of the Future project by up to \$13,800,000 in external funds, for a new total of \$15,300,000. The scope of this phase will include the full design of facilities and equipment and ancillary pre-construction costs for the GEM, a proposed addition to the Advanced Structures and Composites Center at the University of Maine. An Architectural & Engineering team has been competitively selected to complete this design and has recently concluded the visioning and technical definition phase and is now prepared to start the full design. This request is pursuant to Board Policy 701, which requires projects with a total cost of more than \$500,000 and any increases to those projects, be considered by the Board of Trustees or its Finance, Facilities & Technology (FFT) Committee. The request is that the FFT Committee forward the recommendation for Board consideration at the September 11-12, 2022 meeting.

b. Overall requested budget and funding source:

Funding for the \$13,800,000 is from external funds the University has received from the Maine Jobs & Recovery Act to support the GEM project, including design, construction and workforce development activities. In May of 2021, the Board authorized an initial \$1,500,000 in campus reserves.

c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.

8.2

10

GEM is identified within the University's 10-year rolling master plan, Long Term capital plan, and 1-year capital plan approved by the Trustees.

d. More detailed explanation of rationale for project and metrics for success of the project:

No changes from prior Board authorizations.

e. Explanation of the scope and substance of the project as needed to supplement (a) and (c) above:

No changes from prior Board authorizations.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project:

No changes from prior Board authorizations.

g. Budget for the project and further elaboration on funding source and selection as needed to supplement (b):

Full design of GEM will be funded by the recently awarded \$15 million from Maine Jobs & Recovery Act (MJRP), of which \$13.8 million of the award is for use in the design and construction of GEM.

Based on recently completed preliminary design of the GEM, the total project is estimated to cost \$95 million of which approximately \$69 million is for design and construction of the building and \$26 million for equipment. The University has identified the following funding sources however not all have been secured; the University is seeking funds up to approximately \$107 million.

Building Funding \$81.55 million

Source	Amount	Status
UMaine E&G Capital	\$1,500,000	Committed
Maine Jobs & Recovery Act	\$13,800,000	Committed
National Institute of Standards and Technology (NIST) FY22	\$10,000,000	Committed
EDX Grant, including UMaine Match	\$16,250,000	Pending Award Decision
NIST FY23	\$10,000,000	Pending Appropriations
Remaining TBD	\$30,000,000	

8.2

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Equipment \$26 million

Source	Amount	Status
FY22 Defense Appropriations	\$13,000,000	Appropriated, pending award
FY23 Defense Appropriations	\$13,000,000	Pending appropriations

8.2

h. Alternatives that were considered to meet the need being addressed by this project:

No changes from prior Board authorizations.

i. Timeline for start, occupancy, and completion:

The University anticipates full design to start immediately and completed by summer of 2023.

j. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this Project if full authority is not being requested from the outset.

The University will seek additional approval for the construction of the Green Engineering and Materials (GEM) "Factory of the Future" upon completion of design. Additional approval is anticipated for spring or summer of 2023.

k. Additional information that may be useful for consideration of the item.

Addressed in prior Board meetings.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the September 11-12, 2022 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee, and authorizes the University of Maine System, acting through the University of Maine to expend up to \$13,800,000 in Maine Jobs & Recover Act funds, in addition to the previously authorized \$1,500,000 campus reserves, for a total of \$15,300,000 to complete design for facility and equipment and ancillary pre-construction costs of a Green Engineering and Materials (GEM) "Factory of the Future," with all final terms and conditions subject to review and approval of the University of Maine System Treasurer and General Counsel.

Attachment

[Green Engineering and Materials \(GEM\) Factory of the Future Update – Background Materials](#)

9/1/2022

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University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: University of Maine, Advanced Structures and Composite Center (ASCC), Secure Smart Textiles Laboratory Authorization Increase

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: **BOARD ACTION:** X

BOARD POLICY: 701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION: N/A

BACKGROUND:

a. Summary of the request

The University of Maine System, acting through the University of Maine, requests to increase the authorization for phase 2 of the Secure Smart Textiles Laboratory within the Advanced Structures and Composite Center (ASCC) by an additional \$1,880,738 million. The Board of Trustees approved \$2,451,268 in March of 2022; thus, this additional request would bring the total approved expenditure up to \$4,332,006. This request is the second of three requests that will be needed for full completion and occupancy of the laboratory.

This project is in direct support of UMS Strategic Plan 2023-2028, Commitment 1, Research Actions, Goal 1.1. This project investment in infrastructure will enhance UMaine’s research capacity. This request is pursuant to Board Policy 701, which requires projects with a total cost of more than \$500,000 and any increases to those projects, be considered by the Board of Trustees or its Finance, Facilities & Technology (FFT) Committee. In this case the Committee’s recommendation will be forwarded to the Full Board for consideration.

b. Overall requested budget and funding source:

Full funding for this work (second phase) will be in the amount of \$4,282,006 and has been secured from U.S. Army Natick Soldiers System Center (Natick) contracts.

c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.

The ASCC secure smart textiles laboratory is identified within the University’s 10-year rolling master plan, 5-year capital plan, and 1-year capital plan approved by the Trustees.

d. More detailed explanation of rationale for project and metrics for success of the project:

Due to funding constraints, phase 1 approved scope of the secure lab with limited occupancy due to access and means of egress limitations; this current (second) phase will allow the

ASCC to begin production of the textiles for research in a provisionally compliant limited occupancy mode, and allows the lab to meet research contract obligations. Full occupancy would necessitate ADA compliant access to be later appended to an enclosed elevator-stair tower as a subsequent (third) phase.

Textile technologies are used throughout many ASCC research projects, and the proposed lab will allow these projects to be supported internally and expand further research opportunities. Capabilities and projects will further the development of green and bio-friendly materials for use in textiles, lightweight structures and 3D printing. “Smart” material systems will be developed, refined, and prototyped to the level of a commercial product.

e. Explanation of the scope and substance of the project as needed to supplement (a) and (c) above:

The scope includes addition of HVAC service and construction of an enclosed stair tower and an unenclosed exterior egress stair tower to meet provisional egress requirements for limited occupancy of the laboratory. This will yield a provisional, limited-occupancy functional laboratory for meeting research deliverables and milestones under the Natick contracts. An additional (third) phase would supplement the unenclosed exterior egress stair tower with an enclosed elevator stair tower and support regular occupancy and ADA accessibility.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project:

The design will add 960 square feet for an entry vestibule, stairways, and elevator shaft. The current (second) phase will add 510 square feet not including exterior staircase, the subsequent (third) phase will add an enclosed elevator-stair tower. Operating costs for this laboratory will be paid by University ASCC via facility and administrative (F&A) rates charged to federal projects that use the space.

g. Budget for the project and further elaboration on funding source and selection as needed to supplement (b):

Funding for construction is available in the correct accounts within US Army Natick contracts. These contracts allocate a budget of \$4,332,006 for design and construction of the laboratory for smart textile and rapidly deployable shelter systems through completion of phase two. Additional funding will need to be secured for the completion of the project in phase three.

h. Alternatives that were considered to meet the need being addressed by this project:

Available space to accommodate the activities required to be accomplished in the laboratory is presently not available within the ASCC or on the UMaine campus.

i. Timeline for start, occupancy, and completion:

Construction (phase one) is currently in process and phase two would start late fall of 2023 with limited occupancy anticipated by fall of 2024, subsequent regular occupancy could be provided by end of 2027.

- j. **Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this Project if full authority is not being requested from the outset.**

Additional authorization for costs of an additional (third) phase that includes the construction of a stair tower and elevator to meet code requirements for regular occupancy of the space will be presented once funding is secured. The University anticipates approval for the additional (third) phase in 2025 or 2026.

- k. **Additional information that may be useful for consideration of the item.**

Funds to complete construction of phase two are fully available and committed to this project through several contracts with them (Natick). This lab will allow expanded textile research and open new funding opportunities for textile and deployable shelter research with Natick and other funding agencies. The project is necessary to execute research tasks focused on developing advanced textile materials for the Department of Defense.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the September 11, 2023 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance Facilities and Technology Committee and authorizes the University of Maine System, acting through the University of Maine, to expend an additional \$1,880,738 for a total of \$4,332,006 to renovate a portion of the existing ASCC facility to accommodate installation of a Secure Smart Textiles Laboratory to be utilized for research on smart materials.

[Attachment](#)

[Previously Approved ASCC](#)

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Secure Laboratory, Advanced Structures and Composite Center (ASCC), UM

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

The Secure Lab will be located at the University of Maine campus and will include highly-specialized research facilities and equipment that can be leveraged for researchers across the University of Maine System.

BACKGROUND:

a. **Summary of the request.**

The University of Maine System acting through the University of Maine requests authorization to expend up to \$2,451,268 to construct approximately 4,000 square feet of space mostly within the Advanced Structures and Composites Center (ASCC) located at the University of Maine to create a secured clean laboratory for textiles research. Funds to complete construction are fully available and committed to this project through several contracts with the U.S. Army Natick Soldiers System Center (Natick).

b. **Overall requested budget and funding source.**

This request is pursuant to Board of Trustees Policy 701 which requires projects with a total cost of more than \$500,000 to be considered by the Board of Trustees or its Finance, Facilities and Technology Committee. In this case the Committee's recommendation will be forwarded to the Consent Agenda for Board of Trustee approval at the March 2022 Board meeting. The budget for this project is funded through a multi-year, multi-million-dollar contract with the U.S. Army Natick Soldier System Center. The additional square footage is dedicated to and in support of research activity so does not require Trustee authorization.

c. **More detailed explanation of rationale for project and metrics for success of the project (ROI or other).**

This project is the second and final part of a two-phase construction project that included secure offices and secure lab space. An initial phase of this project included construction of secure offices within the ASCC. That phase is now completed and the offices are occupied. This next phase will provide for construction of the secured clean lab space. This will include adding a 2nd floor above the existing, high bay, Thermoplastics Lab located at the ASCC.

This lab will allow expanded textile research and open new funding opportunities for textile and deployable shelter research with Natick and other funding agencies. The project is necessary to execute research tasks focused on developing advanced textile materials including photovoltaic wires and color changing filaments.

03/17/2022

Textile technologies are used throughout many ASCC research projects and the proposed lab will allow these projects to be supported internally and expand the options of what can be explored with further research. Capabilities and projects will further the development of green and bio-friendly materials for use in textiles, lightweight structures and 3D printing. "Smart" material systems will be developed, refined, and prototyped to the level of a commercial product.

d. Explanation of the scope and substance of the project as needed to supplement (a) and (c) above.

The second-floor construction above the existing Thermo-Plastic / Robotics Laboratory will include installation of a structural steel frame and concrete deck, stairs, mechanical, electrical, life safety/fire alarm, sprinkler, AV/IT, and architectural finishes to develop the second-floor space and all necessary requirements for continued operation of the first-floor laboratory.

e. Changes, if any, in net square footage or ongoing operating costs resulting from the project.

The design being developed will create an estimated 4,000 square feet, most of which is within the existing building footprint. Any increases in operating costs will be covered by ASCC contracts which support these costs through Facilities and Administrative (F&A) rates charged on research projects.

f. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above.

Funding for this construction phase is available and unencumbered in the correct accounts within contract W911QY-18-C-0101 P00006 and W911QY-20-C-0053.

g. Alternatives that were considered to meet the need being addressed by this project.

Many alternatives within and around the existing ASSC footprint were studied but found to be inadequate or cost prohibitive. Available space to accommodate the activities required to be accomplished in the Secure Laboratory are presently not available within the ASCC or cleanrooms at the UMaine campus.

h. Timeline for start, occupancy and completion

Design is currently in process with construction anticipated to start in the fall of 2022, with completion for occupancy in summer of 2023.

i. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

The present action is expected to accomplish the requirements of the laboratory with the funding available.

j. Additional information that may be useful to consideration of the item.

None

The Finance, Facilities & Technology Committee approved this recommendation to be forwarded to the Consent Agenda for Board of Trustee approval at the March 27-28, 2022 Board meeting.

9.1

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03/17/2022

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees, accepts the recommendation of the Finance, Facilities and Technology Committee, and authorizes the University of Maine System acting through the University of Maine to expend up to \$2,451,268 to construct approximately 4,000 square feet of space mostly within the existing ASCC facility to accommodate installation of a Secure Laboratory to be utilized for research on smart materials.

Board of Trustees approved March 28, 2022

9.1

03/17/2022

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University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Renewal of Allagash Wilderness Camp Land Lease

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY: 801 Acquisition of Real Property

UNIFIED ACCREDITATION CONNECTION:

In 2019 this longstanding lease within Maine Public Reserved Lands was amended to include language allowing usage of the camp by “other University of Maine System campuses for the purpose of natural resource-based education or research.”

BACKGROUND:

In 1998 an agreement was entered into between the University of Maine System (d/b/a UMFK) and the Maine Department of Conservation Bureau of Parks and Lands for a no-cost lease of a 2.3 acre parcel of land located in T13 R12 WELS, Round Pond Unit of Maine Public Reserved Lands. A wilderness camp was built on this remote site, within the proximity of the Allagash River watercourse, for instructional and other educational purposes. In 2019 the restricted use was amended to allow usage of the camp by “other University of Maine System campuses . . . for the purpose of natural resource-based education or research.” This request is simply to renew the no-cost lease for an additional term of ten (10) years.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the September 11, 2023 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the renewal of a ten (10) year no-cost lease of a 2.3 acre parcel of land in T13 R12 WELS, Round Pond Unit of Maine Public Reserved Lands as the continuing site for the Allagash Wilderness Camp.

Attachment

UMFK as Lessor, ME Department of Agriculture, Conservation, and Forestry

STATE OF MAINE
Department of Agriculture, Conservation, and Forestry

Maine Department of Conservation
Bureau of Parks and Lands

LEASE

Pursuant to 12 M.R.S.A., Section 1852 (3) the State of Maine, acting by and through the Director of the Bureau of Parks and Lands, Maine Department of Conservation (hereinafter referred to as “the Bureau” leases to the University of Maine System, acting through the University of Maine at Fort Kent, (hereinafter referred to as “the University”) the following land under the following terms and conditions:

1. **LOCATION:** a parcel of land two and one third (2.3) acres in size, located on Public Reserved Land in T13-R12 W.E.L.S., adjacent to the so-called “Sweeney Brook Road”, approximately one (1) mile in a westerly direction from its intersection with the so-called “Blanchette Road,” as depicted on the plan attached hereto as Exhibit A.
2. **TERM:** This Lease shall be for the term of ten (10) years commencing July 22, 2023 ending at midnight July 21, 2033.
3. **ANNUAL RENTAL PAYMENT:** There shall be no annual rental fee.
4. **USE:** Except as provided below, the University agrees that the property shall be used solely as the location of a base camp for educational and scientific studies, regardless of discipline. The facility may be used by other University of Maine System campuses or other educational organizations solely for the purpose of natural resource-based education or research. The facility may be used for campus-related administrative programs, recruitment initiatives, or other similar uses. The property may not be used for commercial or recreational purposes.

Occupancy of any building(s) constructed by the University on this premises shall not exceed a total of eighteen (18) persons in the aggregate, at any given time. The University may only use the premises when at least one faculty member and/or staff member is present. Faculty and students shall not access Round Pond or the Allagash River except by authorized access points on the Allagash River. Equipment, including but not limited to vehicles, canoes, trailers, tents, and snowmobiles shall not be left within the Restricted Zone of the Allagash Waterway.

Use of the premises, including buildings shall be conducted in such a manner as to be invisible and inaudible from the Allagash River watercourse. Round Pond and the Waterway’s Restricted Zone and shall not detract from the experience of visitors to the Waterway.

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5. TERMINATION: The bureau reserves the right to terminate this Lease at any time, when in its sole discretion it determines that termination is in the best interests of the State. No adjustment or compensation will be due to the University on account of any such termination. The University shall have the right to terminate this Lease for any or no reason upon ninety (90) days prior written notice to the Bureau.

6. PROPERTY: Upon termination of the Lease for any reason, the University will have 180 days to remove its real and personal property from the premises and restore the site to its condition at the beginning of this Lease. Any property not removed from the premises, including buildings, shall become the sole and exclusive property of the Bureau.

7. ROADS: The University shall construct no roads on or to the premises without the prior written approval of the Bureau. The Bureau shall have no obligation to erect or maintain any roads or paths or otherwise to provide access to the premises.

8. ALTERATIONS: The University may not install any structure or permanent improvement or make any addition or alteration to any structure or permanent improvement without the prior written consent of the Bureau Director. Structures or permanent improvement shall include, without limitation, buildings, foundations, added rooms, outbuildings, septic systems and roads.

9. CONDITIONS: The University shall comply with each and every condition set forth herein below, together with such other reasonable conditions as the Bureau may, in its own discretion, add hereto by written notice to the University. Upon receipt of such notice, the University shall have the option to terminate the Lease. The University may exercise that option to terminate by notifying the Bureau within 30 days after the Bureau's notice of additional conditions. Said termination will become effective immediately upon the University's notice of termination. If the University does not exercise its right to terminate under this section, the Bureau's additional conditions will become effective 31 days after the Bureau's notice of additional conditions.

A. Buildings shall be sided with logs or wooden shingles. Clapboards, boards and battens, tar paper, imitation brick or stone, asphalt shingles, canvas or sheathing paper shall not be used for exterior siding. Development of the property shall not detract from the existing character of the site. Equipment such as canoes, boats, trailers and vehicles shall be stored in a neat and orderly manner, screened from view. The Bureau may require any vegetative screening it deems appropriate to protect the predominantly natural character of the setting.

B. The University shall not cut or kill any living tree greater than four inches in diameter (four feet above the ground), without the prior written consent of the Bureau.

C. The University shall prevent all accelerated erosion of soils or sediment from the property into any waters, and shall use no chemical defoliant, brush killers or residual pesticides on the property.

D. The University shall maintain the property, including all improvements thereon, in a good neat and clean condition. No trash or solid waste shall be permitted to accumulate on the property and no incineration shall be permitted thereon.

E. Each chimney shall be equipped with a screen of one-quarter (1/4) inch mesh or smaller. Overhanging branches or foliage within three (3) feet horizontally or ten (10) feet vertically from the top of any chimney or stove pipe shall be removed.

F. The University shall not close or otherwise restrict access along any road or right-of-way without the prior written consent of the Bureau. At no time shall parked cars obstruct any road open to travel.

G. The Bureau, its employees, and its agents shall have the right to go onto and pass over the premises for any reasonable purpose, including inspection of the leased premises, during reasonable hours and shall have the right to make such use of the premises as shall not unreasonably interfere with the use thereof by the University, provided that the Bureau shall not use the building(s) and/or other improvements without the prior written consent of the University. Corner posts and lot lines indicating lot boundaries shall not be disturbed in any way.

H. The University shall be liable to the Bureau for loss and expenses incurred by the Bureau by reason of the University's failure to comply with the present or future conditions of this Lease.

I. The University shall comply with State Plumbing Code Subsurface Waste Water Disposal Regulations, the laws and rules of the Land Use Regulation Commission, and all other Federal, State and local laws, regulations, or requirements, including those of the Bureau that apply to the use of the Allagash Wilderness Waterway by the general public.

10. SURRENDER: Upon termination of this Lease for any reason, the University shall deliver the premises to the Bureau in reasonable good condition clear of trash and debris.

11. LIENS: The University shall be responsible for all tax levies, assessments, license fees and permit fees associated with the Lease. The University shall keep the demised premises free and clear from all mechanics liens for work or labor done, services performed, appliances, water supplied, sewerage disposed of, power contributed, used or furnished in or about the premises for or in connection with any operation of the University, or any alterations, improvements, repairs, or additions which the University may make or permit or cause to be made, or any work in connection, by, for, or permitted by the University on or about the premises.

12. INDEMNITY: The University shall indemnify, defend, and hold harmless the Bureau and its agencies, officers, agents, and employees, against and from all cost, expenses, liabilities, losses, damages, inspections, suits, actions, fines, penalties, claims, and demands of every kind and nature, including reasonable counsel fees, asserted by or on behalf of any person, utility or governmental authority whatsoever arising out of (a) any failure by the University to perform

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any of the agreements of this Lease on the University's part to be performed, (b) the use of the premises by the University or its employees, licensees, agents, guests, invitees, customers, contractors, or officers; (c) any accident, injury or damage that occurs in or about the leased premises by the University, or appurtenances thereof, however, occurring during the term of this Lease or any renewal thereof and (d) any matter or thing growing out of the condition, occupation, maintenance, alteration, repair, use of operation of the demised premises, appurtenances thereto or any part during the term of this Lease or any renewal thereof. This indemnification shall not extend to any claim or cost that is caused by the Bureau, its employees, agents, contractors or licensees. This indemnification provision is for purposes of allocating, as between the University and the Bureau, the risk of liability exposure, but this provision is not intended, and shall not be construed, to alter or waive the scope or limits of liability of the University, the Bureau, or the State of Maine under the Maine Tort Claims Act, 14 M.R.S.A. Section 8101 et seq. and other applicable law.

13. NONDISCRIMINATION: The University will not discriminate against any employee or applicant for employment because of race, sex, creed, color, or national origin, and will take affirmative action to insure that employees and applicants are afforded equal employment opportunities without discrimination because of race, sex, creed, color, or national origin. Such action shall be taken with reference but not limited to: recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff or termination, rates of pay or other forms of compensation and selection for training. The University will state, in all solicitations or advertisements for employees placed by or on behalf of the University, that all qualified applicants will be afforded equal employment opportunities without discrimination because of race, sex, creed, color or national origin.

14. NOTICES: Unless otherwise noted, wherever it is provided in this Lease that notice, demand, request, or other communication shall or may be given to or served upon either of the parties by the other, and whenever either of the parties desires to give or serve upon the other any notice, demand, request or other communication with respect to this Lease or the leased premises, each such notice, demand, request or other communication shall be in writing, and addressed to the University or the Bureau at the following addresses:

Director, Bureau of Parks and Lands	President, University of Maine at Fort Kent
22 State House Station	23 University Drive
Augusta, Maine 04333-0022	Fort Kent, Maine 04743-1292

A copy of all notices to the University should be sent to the Chief Financial Officer and Treasurer, University of Maine System, 107 Maine Avenue, Bangor, Maine 04401.

15. AMENDMENT: This Lease may only be amended, renewed or modified in a writing signed by both parties.

16. GENERAL PROVISIONS

A. Governing Law. This Lease shall be construed and interpreted in accordance with the laws of the State of Maine.

B. Savings Clause. The invalidity or unenforceability of any provision of this Lease shall not affect or impair the validity of any other provision. To the extent any provision herein is inconsistent with applicable State statute, the statute shall govern.

C. Paragraph Headings. The paragraph headings herein are for reference and convenience only and shall not affect the interpretation of this Lease.

D. Counterparts. This lease may be executed in any number of counterparts, each of which shall be an original, but all of the counterparts together shall constitute one and the same instrument.

E. Waiver. Failure on the part of either party to complain of any action or inaction on the part of the other party no matter how long the same may continue shall not be deemed to be a waiver of any of such party's rights hereunder. Furthermore, it is covenanted and agreed that no waiver at any time of any of the provisions hereof by either party shall be construed as a waiver of any other provisions hereof and that any waiver at any time of any of the provisions hereof shall not be construed at any subsequent time as a waiver of the same provisions. The approval of either party to or for any action by the other requiring that party's consent or approval shall not be deemed to waive or render unnecessary the party's consent or approval to or of any subsequent similar act by the other party.

F. Entire Agreement. This document constitutes the entire agreement between the parties; and no other representation, written, spoken, or implied, shall be binding upon either party, except as amendments to this Lease may be duly authorized and attached hereto.

G. Lessee's Representations. Lessee represents and warrants to Lessor that this Lease has been duly authorized by all necessary action on the part of Lessee.

IN WITNESS WHEREOF the parties have executed this Lease as of the day and year first above written.

LESSEE:

University of Maine System
23 University Drive
Fort Kent, ME 04743-1292

Authorized Agent

Date

Witness

Date

LESSOR:

State of Maine
Department of Agriculture, Conservation
and Forestry. Bureau of Parks and Lands

Andrew R. Cutko, Director

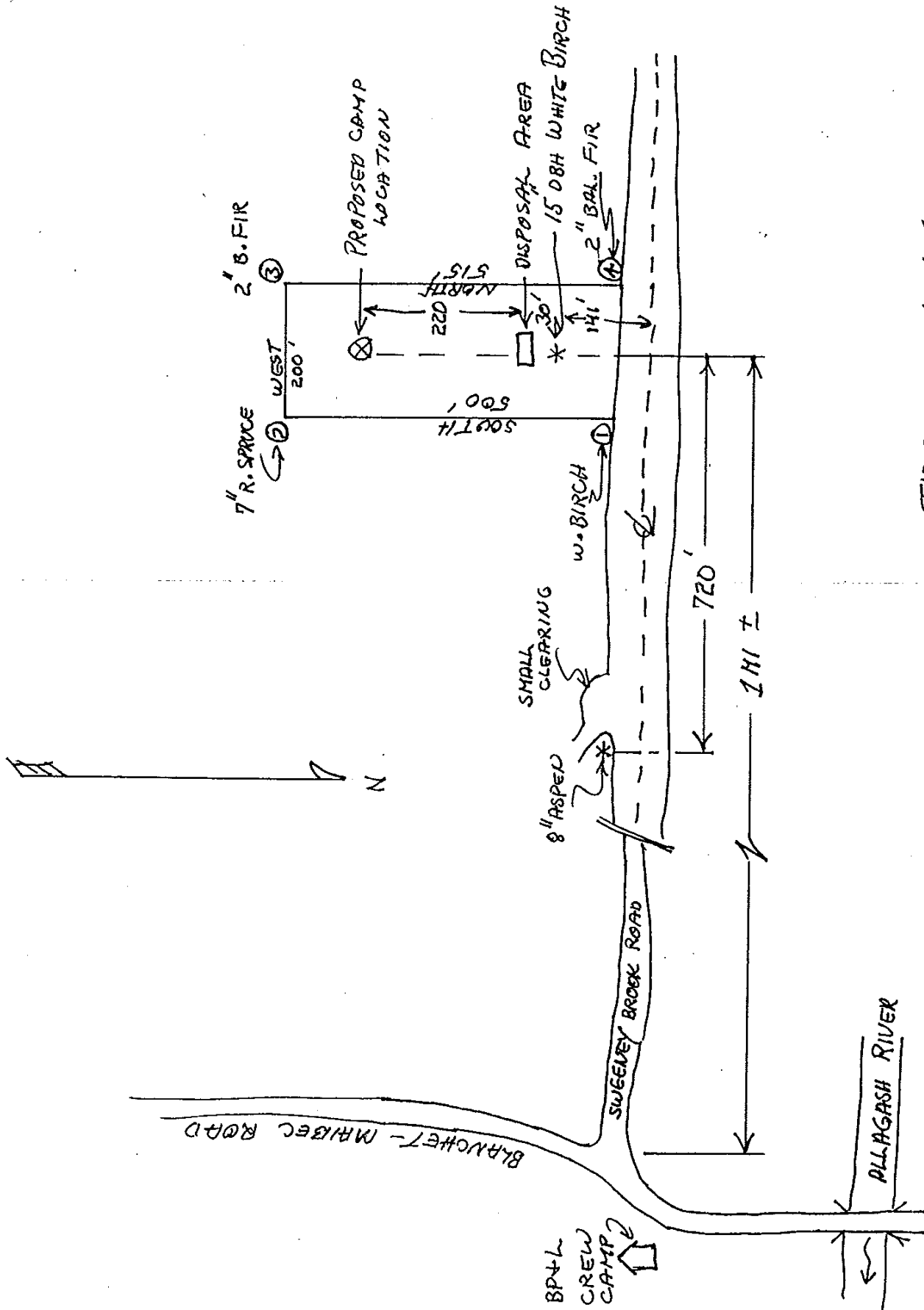
Date

Witness

Date

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EXHIBIT A



T13R12 6/22/98
NO SCALE L.H.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Deering Farmhouse Renovation Revenue Allocation, University of Southern Maine

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating, & Capital

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

a. Summary of the request

The University of Maine System, acting through the University of Southern Maine (USM), previously received authorization from the University of Maine System Board of Trustees to expend up to \$2,000,000 to renovate and relocate the historic Deering Farmhouse located on the Portland campus at its January 2023 meeting. The project was to be funded with University E&G funds including \$65,000 from FY22 and \$500,000 from FY23 with the remainder being budgeted in the FY24 and FY25 capital plans. With the approval in January 2023, the board asked the University of Southern Maine to explore alternate funding methods to University E&G funds, such as philanthropy and/or historic preservation resources. The Board also requested that USM return for further authorization before exceeding the funds approved from FY22 and FY23 totaling \$565,000. The project is currently under the approved amount of \$565,000.

The University is seeking authorization to expend an additional \$750,000 in University E&G resources to fund the next phase of the Deering Farmhouse project. This will enable the construction of the Center for the Arts (CFA) project to proceed and to stay on schedule since the structure is in the current footprint of the new CFA building. Because of escalating construction costs, the University currently plans to move and weatherize the structure. The University plans to delay the interior renovation until a later date.

b. Overall requested budget and funding source:

The overall approved budget of this project is \$2,000,000

Initially the project has been funded by University E&G funds including \$65,000 in FY22 and \$500,000 in FY23. USM is requesting that an additional \$750,000 be utilized from the FY24 capital funds. Any additional funds will be determined at a later date.

- c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.**
The Deering Farmhouse Renovation was not specifically identified in USM's FY24 Capital Plan but funds are available for this project.
- d. More detailed explanation of rationale for project and metrics for success of the project (ROI or other):**
Work being completed as part of the farmhouse relocation, specifically site utilities, will have a beneficial effect on the CFA project, thus reducing the sitework costs of that project.
- e. Explanation of the scope and substance of the project as needed to supplement (a) and (d) above.**
N/A
- f. Changes, if any, in net square footage or ongoing operating costs resulting from the project:**
N/A
- g. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above):**
The overall budget of this project is \$2,000,000 and will be funded by University E&G funds. A study for this project was funded in FY22 for \$65,000 and an additional \$500,000 were budgeted in FY23. The newly requested \$750,000 will be funded by University E&G and are budgeted in the FY24 Capital Plan. Any additional funds will be determined at a later date.
- h. Alternatives that were considered to meet the need being addressed by this project:**
This project preserves a historic structure currently unsuitable for use due to deferred maintenance and modernization needs for renovation at a later date, and it provides proximity to the proposed CFA.
- i. Timeline for start, occupancy and completion:**
The design is complete with sitework and preliminary construction activities beginning in June 2023. The move of the building will be completed Fall of 2023. Completion of the full project will be determined at a later date when funding is identified and approved.
- j. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.**
Authorization of additional expenditures to complete the project.
- k. Additional information that may be useful to consideration of the item.**
N/A...

The Finance, Facilities and Technology Committee approved this item to be forwarded to the September 11, 2023 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System acting through the University of Southern Maine to add \$750,000 in University E&G resources to the Deering Farmhouse Renovation project.

Attachment

[Previous AIS for Deering Farmhouse](#)

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Deering Farmhouse Renovation, University of Southern Maine

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

a. Summary of the request

The University of Maine System, acting through the University of Southern Maine (USM), is requesting authorization to expend up to \$2,000,000 to renovate and relocate the historic Deering Farmhouse located on the Portland campus. The renovated space in the building will be used for the office of the Director of the Osher School of Music and associated administrative space. The building will also house the music library for the Osher School of Music as well as general related storage.

The Deering farmhouse is the last remaining structure from the Deering Estate in Portland and dates from 1807. It is the only historically significant structure on the Portland campus and is in an inactive and degraded status. This project reactivates and preserves this structure while providing complementary functional space to the adjacent Center for the Arts (CFA). The University has received praise and support from the City of Portland Historic Preservation office as well as the Greater Portland Landmarks for the foresight and incorporation of this project into the overall site development.

b. Overall requested budget and funding source:

The overall budget of this project is \$2,000,000 to be funded by University E&G funds. This request is pursuant to Board of Trustees Policy 701 which requires projects with a total cost of more than \$500,000 to be considered by the Board of Trustees or its Finance, Facilities and Technology Committee. In this case the Committee's recommendation will be forwarded to the Full Board for consideration.

c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.

The Deering Farmhouse Renovation is identified in USM's Capital Plan

d. More detailed explanation of rationale for project and metrics for success of the project (ROI or other):

The cost of this project per square foot is approximately \$750 square feet. Similar space, if it were included in the CFA, would be approximately \$1400 square feet. In addition, adding the space to the

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CFA would put the building over a square footage threshold which would require additional features for fireproofing and changes in building materials. These requirements would have added significant cost to the CFA project. Work being completed as part of the farmhouse relocation, specifically site utilities, will have a beneficial effect on the CFA project, thus reducing the sitework costs of that project.

e. Explanation of the scope and substance of the project as needed to supplement (a) and (d) above.

N/A

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project:

N/A

g. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above):

The overall budget of this project is \$2,000,000 and will be funded by University E&G funds. A study for this project was funded in FY22 for \$65,000 and an additional \$500,000 were budgeted in FY23. The newly authorized funds will be budgeted in the FY24 and FY25 capital plan.

h. Alternatives that were considered to meet the need being addressed by this project:

Renovation and reuse of the Deering Farmhouse is the most cost-effective option for obtaining the necessary space identified as outlined in section d above. This project preserves a historic structure currently unsuitable for use due to deferred maintenance and modernization needs, and it provides proximity to the proposed CFA.

i. Timeline for start, occupancy and completion:

The design is complete with construction to start March/April 2023 and expected completion in Summer 2025.

j. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

No further consideration is anticipated at this time

k. Additional information that may be useful to consideration of the item.

N/A

The Finance, Facilities and Technology Committee approved this item to be forwarded to the January 29-30, 2023 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee and authorizes the University of Maine System, acting through the University of Southern Maine, to expend up to \$2,000,000 to renovate and relocate the Deering Farmhouse located on the Portland campus.

Attachment:

[Deering Farmhouse Background Information](#)

1/19/2023

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Acceptance of Minutes

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The following minutes will be presented to the Board of Trustees for approval at the September 11, 2023 Board meeting:

May 18, 2023 – Audit Committee Meeting
June 12, 2023 - HR/LR Committee Meeting
June 12, 2023 - ASA Committee Meeting
June 14, 2023 - FFT Committee Meeting
June 29, 2023 - Strategic Planning Committee Meeting
July 10, 2023 - Board of Trustees Virtual Meeting
August 21, 2023 - HR/LR Committee Meeting
August 23, 2023 - FFT Committee Meeting
August 24, 2023 - Strategic Planning Committee Meeting

The Board of Trustees website link to the minutes is: <http://www.maine.edu/about-the-system/board-of-trustees/meeting-minutes/>

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the minutes as presented.

2018 State Bond Project Status Report
Active Bond Projects
September 2023 - Board of Trustees
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UMA								
Randall Admissions Renovations (1200083)	Substantially Complete	2021	2023	Bond (47%) E&G (38%) HEERF (15%)	\$172,275	\$172,275	\$368,620	
Total Bond for Campus					\$172,275	\$172,275	\$368,620	
UMF								
274 Front St Renovation (2100096)	Construction in Progress	2020	2023	Bond (53%) E&G (<1%) Grants (46%)	\$1,400,000	\$1,400,000	\$3,100,000	Board approved up to \$3.1M in January 2022. \$1.4m in 2018 bonds, the remaining is from gifts, Maine Jobs Recovery Act funds and other congressional earmarks.
Olsen Center Renovations (2100102)	Construction in Progress	2023	2023	Bond (100%)	\$300,000	\$187,104	\$300,000	
Campus ADA Ramps (2100104)	Construction in Progress	2021	2023	Bond (100%)	\$100,000	\$34,554	\$100,000	
Roberts HVAC Upgrade (2100106)	Construction in Progress	2021	2023	Bond (100%)	\$150,000	\$71,761	\$150,000	
Ricker Addition Renovation (2100108)	Design in Progress	2021	2023	Bond (100%)	\$175,000	\$55,619	\$175,000	
Scott West Renovation (2100110)	Construction in Progress	2021	2023	Bond (100%)	\$175,000	\$77,341	\$175,000	
FRC Façade Replacement (2100112)	Construction in Progress	2022	2023	Bond (100%)	\$925,000	\$119,325	\$925,000	Board approved up to \$925,000 in May 2022.
Security Camera&Phone Install (2100115)	Construction in Progress	2023	2023	Bond (100%)	\$100,000	\$35,912	\$100,000	
Lockwood Hall Heat Conversion (2100116)	Substantially Complete	2023	2023	Bond (100%)	\$465,000	\$464,318	\$465,000	
*CAMPUS ESCO Project (2100117)	Construction in Progress	2023	2023	BOA Lease Oblig(100%) 2018 Bond (<1%)	\$169,821	\$8,600	\$3,424,811	Board approved up to \$11.7M in November 2022.
Exterior Merrill Hall (2200096)	Construction in Progress	2020	2023	Bond (100%)	\$450,000	\$68,752	\$450,000	
*CHP Boiler door rebuild (2200110)	Substantially Complete	2023	2023	Bond (100%)	\$25,000	\$2,059	\$25,000	
*FAB Emergency lighting (2200113)	Substantially Complete	2023	2023	Bond (100%)	\$29,000	\$9,870	\$29,000	
*Underground fuel tank removal (2200115)	Substantially Complete	2023	2023	Bond (100%)	\$22,230	\$22,230	\$22,230	
Total Bond for Campus					\$4,486,051	\$2,557,445	\$9,441,041	
UMFK								
UMFK Enrollment/Advancement Center (3100042)	Substantially Complete	2022	2023	Bond (100%)	\$2,990,000	\$2,902,999	\$3,249,000	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K for a total of \$3,249,000.
Total Bond for Campus					\$2,990,000	\$2,902,999	\$3,249,000	

2018 State Bond Project Status Report
Active Bond Projects
September 2023 - Board of Trustees
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UM								
Neville Hall Renovation (5100534)	Substantially Complete	2021	2023	Bond (100%)	\$1,500,000	\$1,411,408	\$1,500,000	Board approved up to \$1.5M expenditure in March 2021.
R-UMM Science Bldg Reno (5100581)	Design in Progress	2022	2023	Bond (100%)	\$50,000	\$8,260	\$50,000	
R-Campus Paving & Grounds Upgr (5100650)	Complete/Hold	2023	2023	Bond (100%)	\$400,000	\$57,537	\$400,000	
R-Dorward Hall Black Bear Lnge (5100666)	Design in Progress	2024	2024	Bond (100%)	\$450,000	\$23,718	\$450,000	
R-UMM O'Brien ADA Access Ramp (52000741)	Construction in Progress	2022	2023	Bond (100%)	\$50,000	\$19,016	\$50,000	
R-Powers Hall Building Upgra (5200776)	Design in Progress	2023	2023	Bond (100%)	\$225,000	\$13,054	\$225,000	
R-Science Building Drainage (5200777)	Complete	2023	2023	Bond (100%)	\$45,000	\$20,175	\$45,000	
R-Dorward Allergy Free Kitchen (5200786)	Substantially Complete	2023	2023	Bond (100%)	\$26,000	\$5,641	\$26,000	
R-Powers Hall Envelope Repair (5200802)	Pre-Design in Progress	2023	2023	State Appropriation (100%) Bond (0%)	\$50,398	\$0	\$225,000	
Total Bond for Campus					\$2,796,398	\$1,558,807	\$2,971,000	
USM								
Center of Excel. Teacher Ed. (6100299)	Construction in Progress	2023	2023	Campus Funds (43%) State Bond (54%) Gifts (3%)	\$250,000	\$250,000	\$925,000	Board approved \$700k in January 2023; Board approved increase to \$925k in March 2023
Career and Student Success Center (6100325)	Construction in Progress	2022	2023	Bond (76%) Gifts (5%) E&G (15%) Revenue Bond (4%)	\$18,950,000	\$18,950,000	\$26,551,000	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined with the residence hall project in January 2020. Board approved an increase by \$93.7M in February 2021, of that amount, the specific budget for the CSSC is \$26.6M.
Nursing Simulation Lab Science (6100327)	Complete	2021	2022	Bond (100%)	\$1,410,000	\$1,405,623	\$1,410,000	Board approved \$1.5M in January, 2020. Budget reduced to \$1.41M and moved to Portland Percent for Art project (6200306).
USM Dubyak Center (6100342)	Construction in Progress	2022	2023	Gifts (3%), State Bond(56%) Grant(41%)	\$989,500	\$989,500	\$2,750,000	Board approved up to \$2.5 million in January, 2022. Additional \$250,000 authorized by Chancellor June 29, 2023.
Upper Class Pipe Insul Replmnt (6100366)	Complete	2022	2023	Bond (100%)	\$112,584	\$54,571	\$112,584	
LAC Deferred Maint Projects (6100367)	Construction in Progress	2022	2023	Bond (100%)	\$300,000	\$122,049	\$300,000	
Upper Class Hall Online Locks (6100369)	Complete	2022	2023	Bond (100%)	\$379,021	\$376,123	\$399,021	

2018 State Bond Project Status Report
Active Bond Projects
September 2023 - Board of Trustees
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
USM continued								
IPE Lab Science Renov (6200286)	Substantially Complete	2022	2023	Bond (9%) Gifts (91%)	\$80,000	\$80,000	\$980,000	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.
Portland Percent Art (6200306)	Design in Progress	2024	2024	Bond (100%)	\$70,500	\$26,628	\$70,500	
Total Bond for Campus					\$22,541,605	\$22,254,493	\$33,498,105	
UMPI								
Wieden Renovation Bond (7100025)	Substantially Complete	2020	2023	2018 State Bonds (50%), Gifts(1%), Grants (19%), Campus Reserves/Internal Loan(30%)	\$3,757,280	\$3,566,123	\$7,652,280	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022.
Folsom 105 Nursing Renovation (7100026)	Complete	2020	2023	Bond (100%)	\$759,720	\$719,300	\$759,720	Board approved \$800K March, 2020. Reduced to allow Wieden funding.
Total Bond for Campus					\$4,517,000	\$4,285,423	\$8,412,000	
Totals:					\$37,503,330	\$33,731,441	\$57,939,766	

Completed Bond Projects								
Augusta Campus Welcome Center (1100077)	Closed	2021	2021	Bond (100%)	\$350,388	\$350,388	\$350,388	UMA
Randall 2nd Floor Renovations (1100083)	Complete	2021	2022	Bond (100%)	\$93,492	\$93,492	\$93,492	UMA
Randall Center Student Lounge (1100084)	Complete	2021	2022	Bond (100%)	\$143,675	\$143,675	\$143,675	UMA
Randall Welcome Center (1100085)	Complete	2021	2022	Bond (100%)	\$1,741,576	\$1,741,576	\$1,741,576	UMA
Bangor Campus Welcome Center (1100534)	Complete	2021	2022	Bond (95%) E&G (5%)	\$462,308	\$462,308	\$486,141	UMA
Jewett Hall Boiler Design Work (1200062)	Complete	2021	2021	Bond (100%)	\$305,000	\$321,287	\$321,287	UMA
ACC Nursing Upgrades (1200082)	Complete	2022	2022	Bond (95%) E&G (5%)	\$43,341	\$43,341	\$45,856	UMA
Dearborn Gym Hot Water Upgrades (2100087)	Complete	2019	2022	Bond (90%) Energy Bond (10%)	\$764,755	\$764,755	\$848,752	UMF
274 Front St Acquisition (2100089)	Complete	2019	2019	Bond (100%)	\$850,820	\$850,820	\$850,820	UMF
Scott Hall Renovations (2100092)	Complete	2019	2022	Bond (100%)	\$193,660	\$193,660	\$193,660	UMF
Dakin Hall Shower Renovations (2100093)	Complete	2019	2022	Bond (100%)	\$95,707	\$95,707	\$95,707	UMF
Lockwood Hall Shower Renovations (2100094)	Complete	2019	2022	Bond (100%)	\$87,103	\$87,103	\$87,103	UMF
Stone Hall Renovations (2100095)	Complete	2019	2022	Bond (100%)	\$181,117	\$181,117	\$181,117	UMF
UMF Campus Paving (2100097)	Complete	2019	2019	Bond (100%)	\$97,338	\$97,338	\$97,338	UMF
FRC Floor Renovation (2100098)	Complete	2019	2019	Bond (100%)	\$209,503	\$209,503	\$209,503	UMF
Mantor Library Renovations (2100103)	Complete	2021	2022	Bond (100%)	\$270,503	\$270,503	\$270,503	UMF
Dakin Flooring, Ceiling, Light (2100105)	Complete	2021	2021	Bond (100%)	\$206,187	\$206,187	\$206,187	UMF
Merrill Hall HVAC Upgrade (2100107)	Design Complete	2021	2022	Bond (100%)	\$35,127	\$35,127	\$35,127	UMF
Scott North Renovation (2100109)	Complete	2021	2022	Bond (100%)	\$98,605	\$98,605	\$98,605	UMF
FRC Roof Replacement (2100111)	Complete	2021	2022	Bond (100%)	\$325,000	\$308,727	\$325,000	UMF
Scott South Renovations (2200102)	Complete	2022	2022	Bond (100%)	\$132,222	\$132,222	\$132,222	UMF
Stone Hall Suite Conversion (2200109)	Complete	2022	2022	Bond (100%)	\$194,947	\$194,947	\$194,947	UMF
UMM Science Building Roof Repl (4100042)	Complete	2020	2020	Bond (100%)	\$280,487	\$280,487	\$280,487	UMM
UMM Dorward Hall Roof Replacement (4100043)	Complete	2020	2020	Bond (100%)	\$296,092	\$296,092	\$296,092	UMM

2018 State Bond Project Status Report
Active Bond Projects
September 2023 - Board of Trustees
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
Completed Bond Projects Continued								
UMM Sennett Roof Replacement (4100044)	Complete	2020	2020	Bond (100%)	\$201,257	\$201,257	\$201,257	UMM
UMM Reynolds Renewal (4100047)	Complete	2021	2022	Bond (100%)	\$320,475	\$320,475	\$320,475	UMM
UMM Reynolds Center Roof Repair (4200044)	Complete	2020	2020	Bond (100%)	\$154,226	\$154,226	\$154,226	UMM
UMM Site Work (4200045)	Complete	2020	2020	Bond (100%)	\$57,365	\$57,365	\$57,365	UMM
UMM Dorward Hall Roofing (4200048)	Complete	2021	2022	Bond (100%)	\$32,939	\$32,939	\$32,939	UMM
UMM Science Bldg Rm 010 Renovation (5100575)	Complete	2021	2022	Bond (100%)	\$100,885	\$100,885	\$100,885	UMM
R-Dorward Hall Access Upgrade (5100596)	Complete	2022	2023	Bond (100%)	\$133,276	\$133,276	\$133,276	UMM
R-UMM Building Signage (5200778)	Complete	2022	2022	Bond (100%)	\$26,856	\$26,856	\$26,856	UMM
Woodward Hall Renovations (6100301)	Complete	2019	2019	Bond (86%) E&G (14%)	\$1,008,395	\$1,008,395	\$1,172,840	USM
Ricci Lecture Hall Renovations (6100308)	Complete	2019	2020	Bond (31%) Gifts (43%), E&G (26%)	\$172,010	\$172,010	\$564,197	USM
Bailey Hall Fire Protection and Electrical Upgrades (6100316, 6100323)	Complete	2019	2022	Bond (35%) E&G (65%)	\$1,460,000	\$1,460,000	\$4,160,740	USM
Totals:					\$11,126,638	\$11,126,652	\$14,510,642	
GRAND Total (Active and Completed Projects)					\$48,629,968	\$44,858,094	\$72,450,408	
Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. Completed projects will remain on this report unless otherwise specified. *** Projects will be removed from the report.	Funding source(s) reflects primary source(s) for project.	Calendar Year unless otherwise noted.						Bond Funding expended reflects total expended as of June 30, 2023.

Capital Projects Status Report and 2018 Bond Projects Update, UMS

Executive Summary

The Capital Project Status Report for the September 11, 2023, meeting of the Board of Trustees of the University of Maine System is attached. This report provides a comprehensive update on the status of capital projects, market impacts, 2018 bond project status, research space approvals, and specific project details.

Key Capital Project Highlights:

- **Total Projects:** 39 projects are currently active, with three new additions since the last report; one at UMPI and two at UMF.
- **Approved Project Estimates:** Over \$400 million, with over 61% expended to date.
- **Project Highlighting:**
 - Yellow: Current P3 projects.
 - Green: Projects at Board approval level utilizing Harold Alfond Foundation (HAF) grant and matching money.
 - Separate Table: HAF projects below Board approval level.
- **2018 Bond Funds:** A few projects funded with 2018 bond funds remain on the Capital report pending the Percent for Art process realization.

COVID-19 and Current Market Impacts on Capital Construction

- Market instability, equipment delays, and labor shortages continue to create challenges for the bidding and estimating climate.

2018 Bond Project Status Report

- **Active Projects:** 37
- **Closed Projects:** 35
- **Total Projects:** 72
- **Funding:** Nearly all of the \$49 million in voter-approved general obligation bond funding has been allocated, with approximately \$45 million expended.
- **Supplemental Funding:** Over \$72 million, including bond funding and other resources.
- **Reporting:**
 - Projects above Board Threshold also appear on Capital Project report
 - As projects are closed, they are moved to the closed section and will remain on the report until all projects are completed.

Research Space Approvals

- No new approvals for Chancellor-approved increases in University-owned or occupied space for research purposes.

Specific Project Updates

UMF Campus ESCO Project:

- **Interior and Exterior Lighting Upgrades:** Completed.
- **Building Envelope Improvements:** Completed.
- **HVAC Duct and Piping Thermal Insulation:** Completed.
- **Water Fixture Upgrades:** Upgrades to efficient water fixtures are complete.
- **Building Automation System and Energy Management Control Systems:** 75% complete, including the replacement of Variable Air Volume units.
- **New Biomass Boiler and Plant Addition:** In construction; on target for delivery of boiler by end of August; goal to be operational by mid-September.
- **Roof Top Units:** Awaiting delivery on some; installation will be coordinated around building occupancy.
- **Residence Halls Work:** Completed.
- **Completion Timeline:** Most work will be complete by Fall 2023, with some items extending to summer 2024.

UMF Early Childhood Education Center Project:

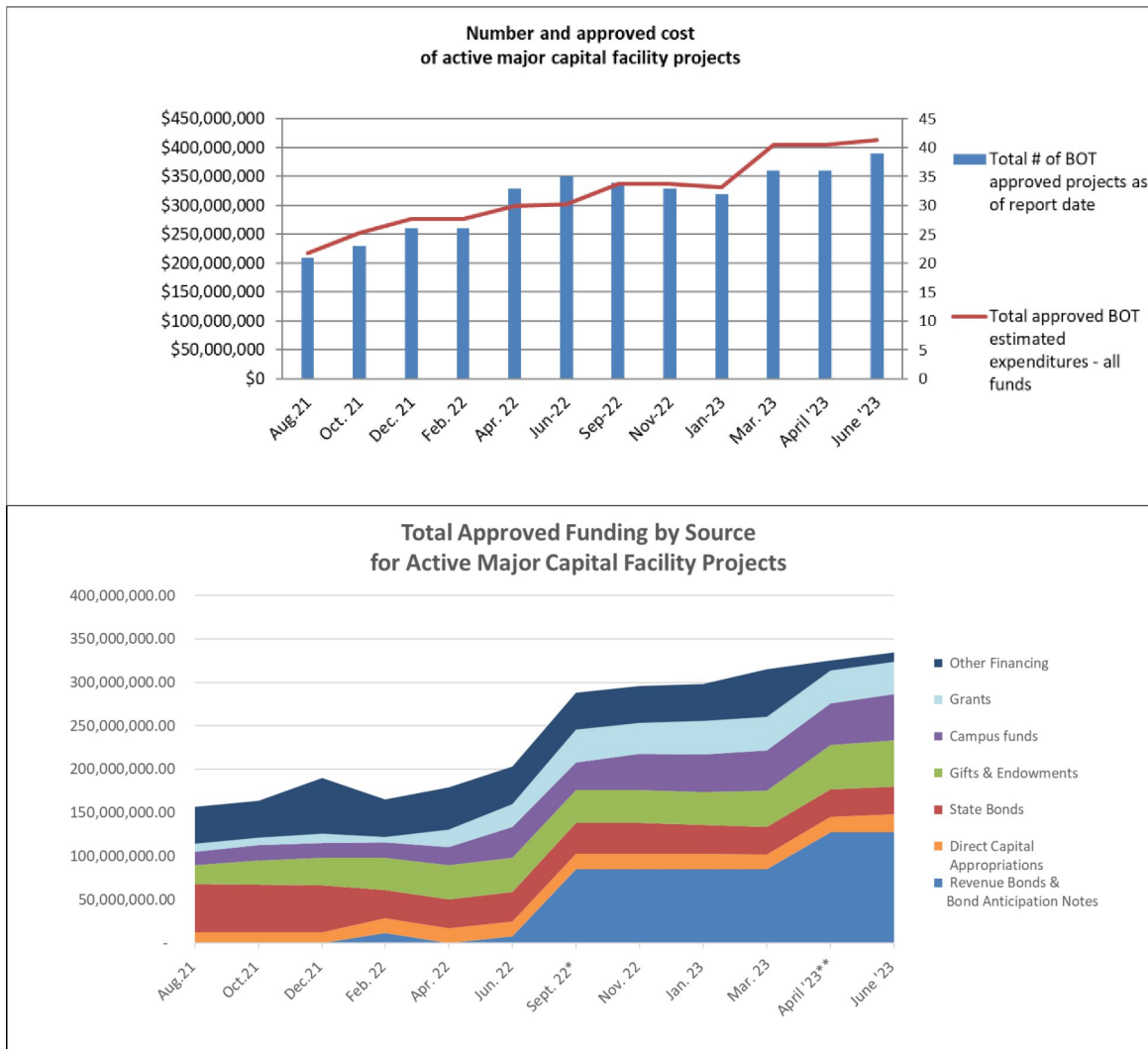
- **Delay in Roof Top Unit:** This has caused a delay in the center's opening until the end of September.
- **Class Start Date:** Scheduled to start in the space by October 2.
- **Completion Timeline:** All work, except for the Roof Top Unit, will be complete by mid-August.

Harold Alfond Foundation (HAF) Grant Funded Projects:

- **Athletics:** Projects continue as reported previously, with no significant changes.
- **MCEC:** No change in status.

USM Portland Development Projects:

- **Portland Commons Residence Hall:** On target for initial, partial occupancy by mid-August and full student move-in during the last week in August.
- **Career and Student Success Center (McGoldrick Center):** Substantially complete and occupied, with some finish work and punch list items ongoing.
- **Parking Garage:** Received approval for a partial occupancy permit; additional work related to delivery delays is ongoing.
- **Center for the Arts:** GMP accepted, and work has begun, marking the commencement of a significant cultural addition to the campus.

**Data Notes:****Explanation of Funding Categories:**

- **Direct Capital Appropriations Funds:** These consist of State capital appropriations in anticipation of UMS revenue bonding, as well as State MEIF funds. They represent specific allocations made by the State for capital projects.
- **Campus Funds:** This category may include internal loans or other funding mechanisms that are generated and managed within the University's financial structure.
- **Other Financing:** This category includes interim financing, master lease agreements, and other miscellaneous sources as available and/or expended. Due to the complexity and lower relative value of the funding sources in this category, such amounts are not reclassified if later refunded with other sources.
- **Reason for Categories:** These categories help in understanding the various sources of funding for the projects and provide transparency in how funds are allocated, utilized, and reported.

April 2023 - Reclassification of Bond Anticipation Note (BAN):

- **Details:** In April 2023, there was a reclassification of the Bond Anticipation Note (BAN) from the category “Other financing” to “Revenue Bonds & BANs.”
- **Reason:** This reclassification was made to better align project funding sources and ensure that the categorization accurately reflects the nature of the BAN within the financial reporting structure.

September 2022 - Adjustment to Reflect Incorrect Funding Sources:

- **Details:** The data for September 2022 was adjusted to correct inaccuracies in the reporting of funding sources that were identified in the October 2022 report.
- **Reason:** This adjustment ensures that the historical data accurately represents the funding sources and aligns with the correct categorization and accounting principles.

Attachment

Capital Board Report

Bond Board Report

Capital Project Status Report**Board Approved Projects**

September 2023 - Board of Trustees

With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UMA									
**Medical Laboratory Technology (1100093)	Campus Funds(55%) Grant(21%) Internal Loan(24%)	Construction in Progress	2023	2024	\$1,650,000	\$2,000,000	\$254,754	12.7%	Board approved \$1,650,000 in March, 2022. Board approved increase to \$2M in January 2023.
**Camden Hall Vet Tech (1100095)	Campus Funds(16%) Internal Loan(84%)	Substantially Complete	2023	2023	\$1,600,000	\$1,600,000	\$1,222,920	76.4%	Board approved \$1,600,000 in March, 2022.
**Handley Hall A/C replacement (1200029)	Campus Funds (11%),HEERF(89%)	Substantially Complete	2020	2023	\$575,000	\$1,230,000	\$233,843	19.0%	Board approved \$575K in September, 2019. Board approved \$1.2M in Emergency Relief Funds and up to \$30k in Campus Funds funds in Sept '21.
***Katz Library HVAC Repairs (1200061)	HERFF (100%)	Complete	2021	2023	\$1,100,000	\$1,335,000	\$1,233,860	92.4%	Board approved \$1.1M Sept 2021. Board authorized additional \$235,000 in June 2022.

UMF

274 Front St Renovation (2100096)	Bond (53%) E&G (<1%) Grants (46%)	Construction in Progress	2020	2023	\$450,000	\$3,100,000	\$2,619,965	84.5%	Board approved up to \$3.1M in January 2022.
FRC Façade Replacement (2100112)	Grants (46%)	Construction in Progress	2022	2023	\$925,000	\$925,000	\$119,325	12.9%	Board approved up to \$925,000 in May 2022.
Campus ESCO Project (2100117) (2100118-131 & 2200116-131)	BOA Lease Oblig(100%) 2018 Bond (<1%)	Construction in Progress	2024	2024	\$11,700,000	\$11,700,000	\$5,772,728	49.3%	Board approved up to \$11.7M in November 2022.
*Purinton Restroom Renovation (2100133)	State Appropriation (100%)	Design in Progress	2024	2024	\$2,700,000	\$2,700,000	\$30,102	1.1%	Board approved \$2.7M in July 2023
*Mallett restroom renovation (2100134)	State Appropriation (100%)	Design in Progress	2024	2024	\$2,700,000	\$2,700,000	\$30,140	1.1%	Board approved \$2.7M in July 2023

UMFK

UMFK Enrollment/Advancement Center (3100042)	2018 State Bond (100%)	Substantially Complete	2022	2023	\$3,249,000	\$3,249,000	\$2,902,999	89.4%	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K for a total of \$3,249,000.
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Capital Project Status Report

Board Approved Projects

September 2023 - Board of Trustees

With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UM									
UM Ferland Engineering, Education and Design Center (5100458, 5100493, 5100546, 5200604)	Campus Funds (2%), State Approp (24%) Gifts (32%), 2022 Revenue Bond (10%) Notes Payable(32%)	Substantially Complete	2024	2024	\$1,000,000	\$78,000,000	\$71,717,733	91.9%	Board approved \$1M in September, 2017. Board approved additional \$8M in May, 2018. Additional \$63M BOT approved March, 2020. Initial occupancy of this facility is expected in 2022; final completion in 2024. Board authorized up to \$78M in Jan' 22.
UM Energy Center Phase II (5100516, 5100517, 5200774)	Campus Funds (68%) Grants (24%), 2022 Revenue Bond(8%)	Design in Progress	2023	2023	\$5,700,000	\$5,700,000	\$1,036,996	18.2%	Board approved \$5.7M March, 2019.
Neville Hall Renovations (5100534)	State Bond (100%)	Substantially Complete	2021	2023	\$1,500,000	\$1,500,000	\$1,411,408	94.1%	Board approved up to \$1.5M expenditure in March 2021.
**ASCC Secure Clean Lab Suite (5100560)	Grants (100%)	Design in Progress	2023	2023	\$2,451,268	\$2,451,268	\$1,931,681	78.8%	Board authorized \$2,451,268 in March 2022.
Steampit SA10 (5100563)	Campus Funds (100%)	Substantially Complete	2022	2022	\$640,000	\$640,000	\$548,220	85.7%	Board authorized \$640,000 in May 2022.
ASCC Building Addition GEM Lab (5100579)	Grants (90% -MJRP) Operating Reserves (10%)	Design in Progress	2025	2025	\$1,500,000	\$15,300,000	\$2,914,907	19.1%	Board approved \$1.5M May 2021. Board authorized additional \$13.8M September 2022.
HVAC Systems & Controls Upgrades (5100587, 5100647, 5100654)	Campus Funds (100%)	Pre-Design in Progress	2024	2024	\$10,000,000	\$10,000,000	\$179,253	1.8%	Board authorized up to \$10M in May 2022.
UM Priority 1 Athletics fields (Soccer - 5100593, Field Hockey - 5100594, Softball - 5100597)	Gifts (8%) HAF Grant (56%) Internal Loan(36%)	Construction in Progress (5100594), Substantially Complete (5100597); Pre-Design in Progress (5100593)	2023	2024	\$14,000,000	\$33,000,000	\$13,307,353	40.3%	Board authorized \$14M in January 2022. Board authorized additional \$19M in September 2022.
UM Phase 2 Projects (Baseball Stad. Scoreboard - 5100652, Alfond Arena Video Boards - 5100653, Shawn Walsh Renovation - 5100658, Master Plan - 5200696)	HAF Grant (30%) Internal Loan (70%)	Construction in Progress (5100652, 5100653); Design in Progress (5100658, 5200696)	2024	2024	\$7,000,000	\$5,000,000	\$1,594,241	31.9%	Board authorized \$7M in September 2022. Reduced to \$5M to reflect up to \$2M for Mahaney Dome replacement project (5100664)
**Modernization of Witter Farm (5100631)	System Reserves (28%) Campus Funds (72%)	Design and Bidding in Progress	2023	2023	\$800,000	\$2,600,000	\$343,325	13.2%	Authorized by FFT at June, 2022 meeting. Increase to \$2.6M approved by BOT July 2023.
**Boudreau Hall renovation (5100663)	Gifts (100%)	Construction in Progress	2023	2023	\$700,000	\$700,000	\$98,971	14.1%	Board authorized \$700,000 in March 2023.
Mahaney Dome replacement (5100664)	Campus(100%)- Will be Insurance proceeds	Construction in Progress	2023	2023	\$3,000,000	\$3,000,000	\$347,740	11.59%	Board authorized \$3M in March 2023 - partially funded from August 2022 HAF Athletics authorization.
UM Adaptive Reuse project/Historic P3 (5200661 5200765)	Campus Funds- Aux and E&G Reserves (100%)	Construction in Progress	2023	2024	\$2,000,000	\$3,000,000	\$1,679,932	56.0%	Board authorized for UM contribution of up to \$2M in October 2021. Board authorized additional \$1M in March 2022.

Capital Project Status Report

Board Approved Projects

September 2023 - Board of Trustees

With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
USM									
Center for Teaching Innovation (6100299)	Campus Funds (43%) State Bond (54%) Gifts (3%)	Construction in Progress	2023	2023	\$700,000	\$925,000	\$463,795	50.1%	Board authorized \$700,000 in January 2023; increase to \$925,000 authorized in March 2023.
**USM Center for the Arts (6100300)	Gifts (100%)	Construction in Progress	2022	2025	\$1,000,000	\$63,000,000	\$4,415,830	7.0%	Board approved \$1M in January, 2018. Board authorized an additional \$3.2M for a total of \$4.2M in November 2021. Board authorized new budget of \$63M in March 2023.
**Career and Student Success Center and Portland Residence Hall (6100325, 6100338)	2018 State Bond (21%), 2022 Revenue Bond (53%) Notes Payable (21%) Campus Funds(4%) Gifts(1%)	McGoldrick Center - Substantially Complete Portland Commons - Construction in Progress	2020	2023	\$1,000,000	\$100,600,000	\$90,727,071	90.2%	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined for the two projects in January 2020. Board approved an increase by \$93.7M in February 2021. Chancellor approved additional \$1.2M for Res. Hall in June, 2022.
**Structured Parking Garage (6100331)	Campus Funds (1%) 2022 Revenue Bond (95%) Notes Payable (4%)	Substantially Complete	2022	2023	\$1,200,000	\$23,500,000	\$21,061,942	89.6%	Board approved in March 2020 with initial spending limit of \$400,000; addtl \$800,000 authorized by the Chancellor and VCFA and Treasurer in April, 2021. Board authorized a new total of \$23m in November, 2021. Chancellor approved additional \$0.5M in June, 2022.
Academy Building Renovation (6100332)	Campus Funds (100%)	Construction in Progress	2022	2023	\$800,000	\$1,300,000	\$681,093	52.4%	Authorized by FFT at June, 2022 meeting. Board approved additional \$500,000 in October 2022.
**USM Dubyak Center (6100342)	Gifts (3%), State Bond(56%) Grant(41%)	Construction in Progress	2022	2023	\$2,500,000	\$2,750,000	\$1,777,567	64.6%	Board approved up to \$2.5 million in January, 2022. Additional \$250,000 authorized by Chancellor June 29, 2023.
Relocation of Deering Farmhouse (6100360)	Campus Funds (100%)	Construction in Progress	2025	2025	\$2,000,000	\$2,000,000	\$344,396	17.2%	Board authorized \$2M in January 2023.
Hannaford Field Turf Repl (6100362)	Campus Funds (100%)	Substantially Complete	2022	2022	\$900,000	\$900,000	\$796,299	88.5%	Board approved up to \$900,000 in March 2022.
USM IPE Lab (6200286)	Gifts (100%) State Bonds(9%)	Substantially Complete	2022	2023	\$482,000	\$980,000	\$914,280	93.3%	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.

Capital Project Status Report

Board Approved Projects

September 2023 - Board of Trustees

With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UMPI									
UMPI Solar Array (7100023)	Campus Funds (100%)	Complete	2020	2022	\$700,000	\$1,144,240	\$1,020,529	89.2%	Board approved \$700K June, 2020. Board approved an increase to \$1,144,240 during the August 2021 Executive Committee.
Wieden Renovation Bond (7100025)	2018 State Bonds (50%), Gifts(1%), Grants (19%), Campus Reserves/Internal Loan(30%)	Substantially Complete	2020	2023	\$3,757,000	\$7,652,280	\$7,197,862	94.1%	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022.
Folsom 105 Nursing Renovation (7100026)	2018 State Bonds (100%)	Complete	2020	2023	\$800,000	\$760,000	\$719,300	94.6%	Board approved \$800K March, 2020. Budget reduced by \$40K due to funds to Wieden Renovation.
**Park Hall Improvements (7100029)	State Appropriation 100%	Substantially Complete	2023	2023	\$662,000	\$662,000	\$550,342	83.1%	Board approved \$662,000 at June 2022 FFT meeting.
Emerson Hall Improvements (7100032)	State Appropriation 100%	Construction in Progress	2024	2024	\$920,000	\$920,000	\$139,678	15.2%	Board approved \$920,000 at April 25, 2023 FFT meeting
*Kelley Commons Dining Upgrades (7100033)	Campus Funds (100%)	Design in Progress	2023	2024	\$750,000	\$750,000	\$383	0.1%	Board approved \$750,000 at June, 2023 FFT meeting

UMS/Law School

300 Fore St Portland Renovation (8100152)	Gifts (41%), Campus Funds(59%)	Substantially Complete	2022	2022	\$6,000,000	\$13,827,396	\$13,723,972	99.3%	Board approved \$6M September 2021. Board approved increase to \$11.5M in Jan '22. Board authorized additional \$1,327,396 in March 2022.
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\$413,101,184

HAF projects which are currently below board level

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Total Expense to Date	Prior Actions, Information & Notes
UM - Engineering Ph III - MCECIS Master Planning (5200692)	HAF Grant/HAF Match (100%)	Pre-Design	TBD	TBD	480,485.48	HAF Funded project. Below Board level.
UM - Morse field Turf Replacement (5100559)	Campus Funds (59%) Gifts (41%)	Complete	2021	2021	445,516.76	HAF Funded project. Below Board level.

Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. *** This project has been completed since the last report and is not expected to appear on the next report. Highlighted: Board level HAF and P3 Projects	Funding source(s) reflects primary source(s) for project.		Calendar Year unless otherwise noted.					Percentage expended reflects total expended as of June 30, 2023 as a percentage of the current approved project estimate.
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University of Maine System
Board of Trustees

**Finance, Facilities & Technology Committee
Work Plan FY2024**

Standing Agenda

1. Finance, Facilities or Technology items requiring Committee and/or Board approval
2. Updates from staff on finance, facilities and technology informational items
 - Including regular update on enrollment
3. Capital Projects Status Report for all projects requiring Committee and/or Board approval
4. Major Technology Projects Status Report for all projects requiring Committee and/or Board approval

Ad Hoc Agenda

- Review of 5-year Capital Plan (July meeting)
- Request for Appropriation (Sept. meeting)
- **Joint Meeting of the Audit Committee and the Finance/Facilities/Technology Committee** (late October/early November meeting)
 - External Auditor Report including Required Communications Letter and Summary of Audit Results
 - Presentation of the Annual Financial Report (Audited Financial Statements)
 - Update on Internal Audit
- Annual State of IT Report (February meeting)
- Sightlines Annual Report on the status of the facilities portfolio (January meeting)
- First reading of the Annual Operating, Capital Budget and Tuition Charges (March meeting)
- Second reading of the Annual Operating, Capital Budget and Tuition Charges (April meeting)
- Approval of Annual Operating Budget, Capital Budget and Tuition Charges (May meeting)
- Multi-Year Financial & Structural Gap Analysis (May meeting)

Informational Reports to the Board

Every Board Meeting

Each meeting will include a deep dive into one topic. (examples: MYFA, Allocation Model, etc.)

November Meeting

Annual Report on Acquisition & Disposition of Real Property
Current Fiscal YTD Forecast to Budget

January Meeting

Annual Report on Gifts, Fund Raising and Endowments (w/ Foundations)
Maine Economic Improvement Fund Report
Student Financial Aid Report
State of IT Report
Sightlines Report

March Meeting

Student Charges Report
Current Fiscal YTD Forecast to Budget

May Meeting

Multi-Year Financial Analysis
5-year Capital Plan
Current Fiscal YTD Forecast to Budget

Committee Meeting Schedule

The Committee meets seven times during the year in advance of the Board of Trustees meetings.

In March, the Committee schedules a full day meeting to review, in depth, the Annual Operating Budget and Tuition Charges for the upcoming fiscal year. The Committee will meet again in April to review operating budget a second time.

Additional meetings may be scheduled as required.