University of Maine System – Board of Trustees Meeting

March 26-27, 2023

at the University of Maine Wells Conference Center

AGENDA

Sunday, March 26, 2023

Call to Order @ 2:45 pm

The Board of Trustees will go directly into Executive Session under provisions: 1 MRSA Section 405 6-A, C, D, & E.

Executive Session from 2:45 pm to 4:30 pm, Room 3, Wells Conference Center

Public Meeting @ 5:00 pm – Room 1, Wells Conference Center

Tab 1 - UMaine Campus Presentation (60 minutes)

Tab 2 - Resolution for James O. Donnelly

Tab 3 - Resolution for Sven P. Bartholomew

Reception @ 6:30 pm (**Cash Bar**) – Ferland Engineering Education and Design Center (*By Invitation Only*)

Dinner @ 7:00 pm – Ferland Engineering Education and Design Center (*By Invitation Only*)

Monday, March 27, 2023

Coffee & Networking @ 8:00 am

Call to Order/Reconvene @ 8:30 am – Room 1, Wells Conference Center

Citizen Comment (10 minutes)

Individuals who wish to participate in Citizen Comment must indicate their name and topic on the signup sheet, which will be available in the meeting room on March 27, 2023 starting at 8:00 am until 8:25 am.

The Board of Trustees provides time for citizen comment prior to the business agenda at each meeting. The Chair of the Board will establish time limits (usually three minutes per person) and determine any questions of appropriateness and relevancy. Personnel decisions, collective bargaining issues, grievances, litigation and other areas excludable from public discussion under the Maine Freedom of Access Law shall not constitute appropriate matters for such input. A person who wishes to speak during the citizen comment period should arrive prior to the meeting start time and sign up on a sheet provided, indicating name and topic of remarks.

Chair's Report (30 minutes)

Tab 4 - Trustee Nominating Committee (5 minutes)

Chancellor's Report (20 minutes)

Tab 5 - Unified Accreditation and Unified Catalog Update

Approx. 9:30 am

Vice Chancellor for Research and Innovation's Report (30 minutes)

Tab 6 - Research and Innovation Update

Approx. 10:00 am

Vice Chancellor for Strategic Initiatives' Report (30 minutes)

Tab 7 - Strategic Initiatives Update

Vice Chancellor for Finance and Administration & Treasurer's Report (20 minutes)

Tab 8 - Finance & Administration Update

Approx. 10:50 am

Action Items (60 minutes)

Tab 9 - UMS 2023 Tenure Nominations

Tab 10 - Tenure at Time of Hire, Professor in the Department of History, UM

Tab 11 - Tenure at Time of Hire, Professor in the Department of Kinesiology, Physical Education & Athletic Training, UM

Tab 12 - University of Maine Mahaney Dome Replacement (10 minutes)

Tab 13 - Maine College of Engineering and Computing Naming and UMaine/USM Engineering Framework Approval (15 minutes)

Tab 14 - Proposed New Board Policy 216 – Policy on University of Maine System Policies (10 minutes)

Tab 15 - Approval of Off-Cycle Honorary Degree Nominations for 2023, UMF

Tab 16 - Approval of Off-Cycle Honorary Degree Nominations for 2024, UMF

Tab 17 - Authorization, USM Center for the Arts (15 minutes)

Tab 18 - Approval of a Tentative Collective Bargaining Agreement with AFUM (5 minutes)

Approx. 11:50 am

Consent Agenda (5 minutes)

Tab 19 - Acceptance of Minutes

Discussion Item

Tab 20 - Wabanaki Center Overview (30 minutes)

Date of the Next Meeting:

May 21-22, 2023 at the University of Maine hosted by the University of Maine System

Public Meeting conclude at approximately 12:30 pm

Lunch Break (20 minutes) (Timing of the lunch break will be at the discretion of the Chair)

Executive Session – Following the public meeting – Room 3, Wells Conference Center The Board of Trustees will go into Executive Session under provision: 1 MRSA Section 405 6-C.

Attachments

Managed Investment Pool Flash Reports

Pension Fund Flash Reports

Operating Fund Flash Reports

Fiscal Year-to-Date Forecast to Budget

Names of Candidates for Tenure 2023 (Confidential)

Brief Abstracts of Tenure 2023 Candidates (Confidential)

Tenure Table 1

Tenure Table 2

AY2022 Tenure Application Memo to the Chancellor

Board of Trustees Policy 310 Tenure

Tenure Statistics Report

UM Tenure at Time of Hire Background - Department of History, UM (Confidential)

UM Tenure at Time of Hire Background - Department of Kinesiology, Physical Education &

Athletic Training, (Confidential)

Honorary Degree Nomination for 2023 - List – Off Cycle (Confidential)

Honorary Degree Nomination for 2023 – Narrative – Off Cycle (Confidential)

Honorary Degree Nomination for 2024 - List – Off Cycle (Confidential)

Honorary Degree Nomination for 2024 – Narrative – Off Cycle (Confidential)

Proposed New Board of Trustees Policy 216: University of Maine System Policy on Policies

Proposed New APL Y-A – University of Mane System Policy Proposal Guidelines

Reports

Agenda Calendar
Capital & Bond Project Report Executive Summary
Capital Project Status Report
Capital Project Status Report — Bond Report
Management Group Appointments Report
Gordian Report Executive Summary
Gordian Annual Report

Presentations

UMaine Campus Presentation
Research and Innovation Presentation - March 2023
USM Center for the Arts Presentation
UM Native America Programs Presentation
Maine College of Engineering and Computing (MCEC) Presentation

Tabs noted in red text are action items.

Note: Times are estimated based upon the anticipated length for presentations or discussion of a particular topic. An item may be brought up earlier or the order of items changed for effective deliberation of matters before the Board.



University of Maine System Board of Trustees **Board Meeting Participant Instructions**

Date: March 26-27, 2023 Location: University of Maine

Parking

Parking is available in the Wells parking lot across from Wells Commons.

Hotel

Overnight accommodations for those that requested, have been made at the Courtyard by Marriott, 236 Sylvan Rd, Bangor. PH: 207-262-0070

Dinner Invitation

A reception and dinner are scheduled for the evening of March 26th, at Ferland Hall. Individuals invited to the reception and dinner have been notified and include: Trustees, Faculty & Student Representatives to the Board, Chancellor Malloy, Vice Chancellors, Associate Vice Chancellor, Presidents, the UMS Vice President for Finance & Controller, the UMS Strategic Advisor & Director of External Affairs, and UMS General Counsel.

Board of Trustees Meeting Location & Times

Please refer to the agenda for all information concerning locations and timing of the Board of Trustees meeting.

Faculty & Student Representative Meetings

The following rooms have been held on Sunday, March 26th, starting at 1:00 pm for the Faculty & Student Representatives to meet in their respective groups:

Faculty - RM 2, Wells Conference Center

Students - RM 134, Corbett Hall

Emergency Contact

In the event of a postponement, cancellation, or changes to the Board of Trustees meeting, every effort will be made to personally contact the Board of Trustees, the Presidents, System Staff Members and the Faculty and Student Representatives to the Board.

Incoming messages can be left with Heather Massey at 991-4724 or Ellen Doughty at 949-4905.

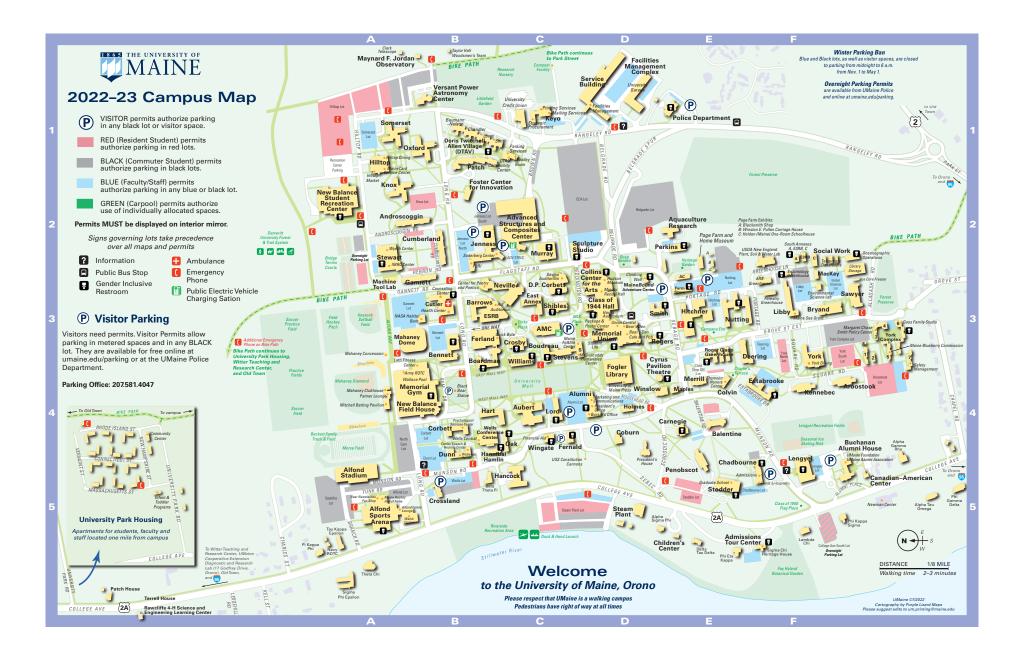


Directions to UM Campus

From the South on I-95: take exit 191 to Kelly Road and turn right. Continue on Kelly Road for 1 mile until you reach the traffic light, then turn left onto Route 2 and go through downtown Orono. Cross the river, turn left at the lights onto College Avenue.

From the North on I-95: take exit 193 to Stillwater Avenue and turn left. Drive straight for one mile and turn right onto College Avenue. Drive one mile and turn left onto the UMaine campus (Long Road).

The Wells Conference Center and parking locations are noted on the UM campus map.



Board of Trustees Meeting - March 26-27, 2023 - UM Campus Map & Directions

Classroom Code	Building Name	Map Location
AC	AC Building	D-3
	Admissions Office (Heritage House)	F-5
ASC	Advanced Structures and Composites Center	- C-2
	Advanced Manufacturing Center (AMC)	C-3
	Ag Research Station Greenhouse ⊗	F-3
AA	Alfond Arena	A-5
	Alfond Family Lounge (Alfond Arena)	A-5
	Alfond Stadium	A-4
	Alpha Gamma Rho	F-4
	Alpha Sigma Phi	D-5
	Alpha Tau Omega	F-5
	Alumni Hall	C-4
	Androscoggin Hall ⊗	B-2
	Aroostook Hall ⊗	F-4
ARC	Aquaculture Research Center	E-2
	Army ROTC (Memorial Gym)	A-4
Α	Aubert Hall	C-4
BAL	Balentine Hall	E-4
BW	Barrows Hall	B-3
	Bear Necessities Fan Shop	A-5
	Bear's Den (Memorial Union)	D-3
	Bear's Den Cafe and Pub (Memorial Union)	D-3
	Beckett Family Track and Field	A-4
В	Bennett Hall Beta Theta Pi	B-3
BD	Boardman Hall	B-5 B-3
BD	Bookstore (Memorial Union)	D-3
	Karen L. Boudreau, Esq. Hall	C-3
BGSC	Bryand Global Sciences Center	F-3
	Buchanan Alumni House	F-5
CA	Canadian-American Center ⊗	F-5
CR	Carnegie Hall	E-4
CHD	Chadbourne Hall	E-5
	Child Develop. Learning Center (Merrill)	E-4
	Children's Center ⊘	D-5
	Clark Telescope ⊗	A-1
1944	Class of 1944 Hall	D-3
	Coburn Hall ⊗	D-4
	Collins Center for the Arts	D-3
COL	Colvin Hall	E-4
СО	Corbett Hall	B-4
CY	Crosby Hall	C-3
	Crossland Hall	B-5
	Cumberland Hall ⊗	B-2
	Cutler Health Center	B-3
DPC	D.P. Corbett Business Building	C-3

ВС	pard of Trustees Meeting - Marc	n 26-
Classroom Code		Map cation
D	Deering Hall	E-3
	Delta Tau Delta	E-5
	Demeritt University Forest	A-1
	Devino Auditorium (DPC)	C-3
	Doris Twitchell Allen Village Comm. Center	B-1
	DTAV-A Bauman-Nelson House	B-1
	DTAV-B Chandler House	B-1
	DTAV-C Smith House	B-1
	DTAV-D Lown House	B-1
	Delta Tau Delta	E-5
DU	Dunn Hall	B-4
	East Annex	C-3
	Edith Patch Hall	B-1
ESRB	Engineering and Science Research Building	B-3
	Entomology Greenhouse	F-2
	Environmental Science Lab	F-3
	Estabrooke Hall	E-4
	Fay Hyland Arboretum ⊗	E-5
	Ferland Engineering Education and Design Center	B-3
F	Fernald Hall	C-4
	Financial Aid (Wingate Hall)	C-4
LY	Fogler Library	D-4
	Forest Bioproducts Research Institute	B-2
	Forestry Greenhouse and Annex ⊗	E-3
	Foster Center for Innovation	B-1
	Franco-American Centre (Crossland Hall)	B-5
	Gannett Hall	B-2
	Goos Family Studio (York Complex)	F-3
	Hancock Hall	C-5
НН	Hannibal Hamlin Hall	B-4
	Hart Hall ⊘	B-4
HAU	Hauck Auditorium (Memorial Union)	D-3
	Hill Auditorium (Barrows Hall)	B-3
	Hilltop, Hilltop Dining, Hilltop Market	A-1
HR	Hitchner Hall	E-3
110	Holden Schoolhouse	E-2
НО	Holmes Hall ⊗	D-4 E-4
	Honors College (Colvin Hall)	D-3
	Hudson Museum (Collins Center) Hutchins Concert Hall (Collins Center)	D-3
J	Jenness Hall	D-3 B-2
,	Kennebec Hall 🛇	Б-2 F-4
	Kessock Stadium	A-3
	Keyo Building ⊗	C-1
	Knox Hall	A-1
	T. T. C. T.	Α-1

, 2025 -	OW Campus Wap & Direction	
Classroom Code	Building Name	Map Location
	Lafayette and Rawcliffe 4-H Science and Engineering Learning Center	A-5
	Lambda Chi Alpha	F-5
LL	Lengyel Hall and Gym	F-4
LIB	Libby Hall	E-3
	Littlefield Ornamentals Trial Garden ⊗	B-1
	Lord Hall	C-4
	McGillicuddy Humanities Center (Stevens South)	C-3
MTL	Machine Tool Lab	A-2
	MacKay Building	F-2
	Mahaney Diamond and Clubhouse	A-3
	Mahaney Dome	A-3
	Maine Bound Adventure Center	D-3
	Maine Folklife Center (Stevens South)	C-3
	MaineCard Service Center (Hilltop)	A-1
MAP	Maples, The ⊗	D-4
	Margaret Chase Smith Policy Center (York Complex)	F-3
	Maynard F. Jordan Observatory	A-1
	Memorial Gym	A-4
	Memorial Union	D-3
ML	Merrill Hall	E-4
	Minsky Recital Hall	D-3
	Morse Field (Alfond Stadium)	A-4
М	Murray Hall	C-2
	Naval ROTC	A-5
NBSRC	New Balance Student Recreation Center	A-2
	New Balance Field House	A-4
NV	Neville Hall	C-2
	New England Plant, Soil and Water Lab	E-2
	Newman Center	F-5
	North Athletic Fields	A-3
N	Nutting Hall	E-3
	Oak Hall	C-4
	Oceanographic Operations	F-2
	Oxford Hall	A-1
	Package & Postal Center (Memorial Union)	D-3
	Page Farm and Home Museum	E-2
	Palmer Lounge	A-3
	Parking Office (DTAV)	C-1
David	Patch House	A-5
PAV	Pavilion Theatre, Cyrus	D-4
	Penobscot Hall ⊗	E-4
	Perkins Hall	D-2
	Phi Eta Kappa	E-5

Classroom Code	Building Name	Map Location
Code	Phi Gamma Delta	F-5
	Phi Kappa Sigma	F-5
	Pi Kappa Phi	A-5
	Police Department	E-1
	President's House	D-4
	President's Office (Alumni Hall)	C-4
	Quick Byte	B-3
	Riverside Recreation Area	B-5
G	Roger Clapp Greenhouse	E-3
R	Rogers Hall	D-3
	Safety Management (York Complex)	F-3
SERC	Sawyer Environmental Research Center	F-3
FSTA	Sculpture Studio	C-2
SL	Shibles Hall	C-3
	Sigma Chi Heritage House	E-5
	Sigma Phi Epsilon	A-5
SM	Smith Hall	D-3
SWB	Social Work, South Annex C	F-2
	Soderberg Center (Jenness Hall)	B-2
	Somerset Hall	A-1
SANX	South Annex A, E3RB, C	F-2
	Steam Plant	D-5
S	Stevens Hall, Center	C-3
SS	Stevens Hall, South ⊗	C-3
	Stewart Commons	A-2
	Stodder Hall	E-5
	Tau Kappa Epsilon	A-5
	Terrell House	A-5
	Theta Chi	A-5
	University Credit Union	C-1
	University Garage	D-1
	University of Maine Press (Fogler Library)	D-4
	Versant Power Astronomy Center	A-1
	Wabanaki Center (Dunn Hall)	B-4
	Wallace Pool (Memorial Gym)	B-3
WL	Wells Central	B-4
	Wells Conference Center	B-4
	Williams Hall	C-3
	Wingate Hall	C-4
WW	Winslow Hall ⊗	D-4
	Witter Teaching and Research Center	A-5
	Woodsmen Team Shed (Taylor Hall) ⊗	B-1
	Woolley Room (DTAV)	C-1
	York Hall, York Dining	F-3
	York Complex	F-3

AGENDA ITEM SUMMARY

NAME OF ITEM: UMaine Campus Presentation

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION: X BOARD ACTION:

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

UM/UMM will offer an overview of collaborative programs, initiatives, research and experiences across UMS institutions.

BACKGROUND:

The President, Faculty and Student Representatives to the Board, along with representative faculty and students of the University of Maine and the University of Maine at Machias will provide an overview of the UMaine Compass, a framework which provides tenets and focal areas for strategic vision and values. The intent of the overview is to create a dialogue with the Board that will generate ideas about what areas could be emphasized going forward. This compass will be used to guide and give direction to what we do and what we hope to become.

Attachment:

UMaine Campus PowerPoint Presentation

AGENDA ITEM SUMMARY

NAME OF ITEM: Resolution for James O. Donnelly

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

Mr. James O. Donnelly served as a Trustee for the University of Maine System from September 30, 2014 to December 12, 2022. He has been a dedicated and engaged Board member including service of the following standing committees:

Academic & Student Affairs Committee
Audit Committee (6 years; 2 years as Chair)
Executive Committee (7 years)
Finance, Facilities & Technology Committee (9 years; 1 year as Chair)
Human Resources & Labor Relations Committee
Investment Committee (8 years)
Strategic Planning Committee

Mr. Donnelly was Vice Chair of the Board from May 2020 to May 2021. He served for several years as the Trustee representative to the Maine Center Ventures Board and the University of Maine Foundation Board. He is a proud graduate of the University of Maine at Presque Isle.

He considers the long-term effects of any decision made by the Board. As a former Maine elected official with experience on the Appropriations Committee, he has assisted the University of Maine System on many occasions with legislative matters.

He was a wonderful mentor to many Trustees and his business acumen was a great asset. His many years of experience and his willingness to advocate for change have made a major impact on the success of the University of Maine System.

TEXT OF PROPOSED RESOLUTION:

A resolution will be presented at the March 26-27, 2023 Board of Trustees meeting for Board approval.

AGENDA ITEM SUMMARY

NAME OF ITEM: Resolution for Sven P. Bartholomew

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

Mr. Sven P. Bartholomew served as a Trustee for the University of Maine System from May 5, 2019 to March 3, 2023. He was a dedicated and engaged Board member including service on several standing committees. He served on the Audit Committee and the Investment Committee during his entire service as a Trustee. He also served on the Executive Committee and the Human Resources and Labor Relations (HR) Committee. He served as Chair of the HR Committee for one year.

Through Mr. Bartholomew's successful career in banking, he always brought sound financial experience and wisdom to the Board's discussions. He was thoughtful and realistic about the myriad of issues facing the Board and one of his top priorities was the commitment to the students at the University of Maine System. He is a proud graduate of the University of Maine at Farmington.

Mr. Bartholomew had a great understanding of the fiduciary responsibilities of the Trustees and his desire to find reasonable and responsible solutions was appreciated by everyone. His expertise and perspective have added greatly to the quality, vision, and future direction of the University of Maine System.

TEXT OF PROPOSED RESOLUTION:

A resolution will be presented at the March 26-27, 2023 Board of Trustees meeting for Board approval.

AGENDA ITEM SUMMARY

NAME OF ITEM: Establishment of the Trustee Nominating Committee

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION: X BOARD ACTION:

BOARD POLICY: Bylaws, Section 3.2

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The Board of Trustees annually in May approves officers to serve one-year terms. Per Bylaw Section 3.2, the Board is not required to approve the appointment of members to the Committee for the Nomination of Officers. The Chair of the Board shall appoint three Trustees to the Nominating Committee, one who shall be designated as Chair of that Committee.

The Committee for Nomination of Officers shall nominate, from members of the Board, a Chair and Vice Chair to serve for the next fiscal year.

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University of Maine System Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Unified Accreditation and Unified Catalog Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X BOARD ACTION:

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

Board Engagement/Transparency

BACKGROUND:

Interim Vice Chancellor for Academic and Student Affairs Jeff St. John will update the Board of Trustees in two areas:

- 1.) The incorporation of recommended actions from the fall 2022 NECHE evaluation team report in the 2023-2028 UMS Strategic Plan.
- 2.) Brief updates on three Unified Catalog initiatives:
 - System-wide landing page and search capacity for summer 2023 course offerings
 - Unified Catalog Working Group meeting with UMS transfer students
 - Ongoing development of the international and domestic "study away" forms in online formats.

AGENDA ITEM SUMMARY

NAME OF ITEM: Vice Chancellor for Research and Innovation Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X BOARD ACTION:

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

Unified accreditation requires that the University of Maine System demonstrate that it has addressed all of the NECHE standards. One of these, standard 7, describes expectations for teaching, learning and scholarship:

The institution supports teaching and learning through a well-qualified faculty and academic staff, who, in structures and processes appropriate to the institution, collectively ensure the quality of instruction and support for student learning. Scholarship, research, and creative activities receive support appropriate to the institution's mission. The institution's faculty has primary responsibility for advancing the institution's academic purposes through teaching, learning, and scholarship.

System-wide focus on research and innovation can support achievement of this standard. Additionally, such a focus helps advance the recommendations of the <u>University of Maine System Research and Development Plan FY20 – FY24.</u>

BACKGROUND:

Joan Ferrini-Mundy, UMS Vice Chancellor for Research and Innovation, will provide an update at the March 27, 2023 Board of Trustees meeting.

Attachment:

Research & Innovation Presentation – March 2023

AGENDA ITEM SUMMARY

NAME OF ITEM: Vice Chancellor for Strategic Initiatives (VCSI) Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X BOARD ACTION:

BOARD POLICY:

301 Mission and Strategic Plan Policy

UNIFIED ACCREDITATION CONNECTION:

To prepare and adopt a strategic plan by which the University of Maine System, acting through its universities and the University of Maine School of Law, will take full advantage of Unified Accreditation to achieve the UMS tripartite mission of teaching, research, and public service for the benefit of all UMS students and the State of Maine, with national and global impact as well.

BACKGROUND:

At the March 2023 Board of Trustees meeting, the Acting Vice Chancellor for Strategic Initiatives (VCSI) Carolyn Dorsey will offer an update on timely system-wide initiatives including the following items:

UMS Strategic Plan

The Board's July 26, 2021 UMS Strategic Planning Resolution charged Chancellor Dannel Malloy to begin the work necessary to prepare a 5-year strategic plan for UMS. The Board was presented an initial timeline and plan for the work at the September 2021 meeting and has continued to receive regular updates.

Acting Vice Chancellor of Strategic Initiatives Dorsey, Interim Vice Chancellor of Academic Affairs St. John and Trustee Cain (Chair, Strategic Planning Committee) will update the Board on the ongoing work and progress toward plan completion.

UMS Hiring Policies & Procedures Review Update

At the July 2022 Board of Trustees meeting, Chancellor Malloy presented a summary of a preliminary UMS Employment Search Process Review. Following the July meeting, the Chair of the Board tasked the Human Resources & Labor Relations Committee to work with System Staff to complete a review of all UMS hiring policies and procedures and report findings and recommendations back to the Board. An initial draft of the review findings was presented to the Human Resources & Labor Relations (HR/LR) Committee at its February 2023 meeting.

Acting Vice Chancellor of Strategic Initiatives Dorsey will offer an overview of the review work to date and discuss next steps.

University of Maine System Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Finance and Administration Update

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: X BOARD ACTION:

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

Primary Outcomes:

Enhance fiscal positioning

BACKGROUND:

The Vice Chancellor for Finance and Administration and Treasurer Ryan Low will provide three brief updates at the March 27-28, 2022 Board of Trustees meeting.

- 1. UMS Flash Reports
- 2. Current Fiscal Year-to-Date Forecast to Budget
- 3. Recap of March 22nd Finance, Facilities, & Technology Committee Meeting

Attachments:

Managed Investment Pool Flash Reports Pension Fund Flash Reports Operating Fund Flash Reports Fiscal Year-to-Date Forecast to Budget

University of Maine System Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: UMS 2023 Tenure Nominations

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

Board Policy 310: Tenure

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

Candidates recommended for tenure in the University of Maine System are brought forward for approval by the Board of Trustees in March with action to take effect September 1, 2023.

The following additional material and information is relevant to the tenure approval process: A confidential supplemental publication is available in Diligent under the Academic & Student Affairs Committee section for Trustees only to view Full Individual Tenure Packets.

The Academic and Student Affairs Committee, approved the following resolution to be forwarded for Board of Trustee approval at the March 26-27, 2023, Board Meeting.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Academic & Student Affairs Committee to accept the recommendations for tenure submitted by the Universities of the University of Maine System. Approvals will take effect September 1, 2023, for faculty with academic year appointments and on July 1, 2023, for faculty with fiscal year appointments.

Attachments:

Board Policy 310 - Tenure

Table 1: Tabular analysis of 2023 candidates

Table 2: Summary of campus tenure promotions for 2023 and the previous 5 years

Report on Tenure Statistics

AY2022 Tenure Application Memo to the Chancellor

Names of Candidates for Tenure 2023 (Confidential)

Brief Abstracts of Tenure 2023 Candidates (Confidential)

AGENDA ITEM SUMMARY

NAME OF ITEM: Tenure at time of hire, Professor in the Department of History, UM

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

Board Policy 310: Tenure

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The University of Maine has requested that Dr. Gabriel Paquette be awarded tenure at the rank of Professor in the Department of History within the College of Liberal Arts and Sciences at the University of Maine, effective February 3, 2023, in accordance with Board of Trustee Policy 310. Dr. Paquette was selected after an extensive search and rigorous evaluation process. Dr. Paquette's credentials have been reviewed by the faculty of the Department of History within the College of Liberal Arts and Sciences at the University of Maine and received their full support.

The Academic and Student Affairs Committee forwarded this item to the March 26-27, 2023, Board of Trustees meeting for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Academic & Student Affairs Committee and approves tenure at time of hire, Professor in the College of Liberal Arts and Sciences at the University of Maine with tenure to be effective February 1, 2023

Attachment

UM Tenure at Time of Higher Background Info – Department of History (Confidential)

AGENDA ITEM SUMMARY

NAME OF ITEM: Tenure at Time of Hire, Professor in the Department of Kinesiology, Physical

Education, and Athletic Training, UM

INITIATED BY: David M. MacMahon, Chair

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

Board Policy 310: Tenure

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The University of Maine has requested that Dr. T Scott Marzilli be awarded tenure at the rank of Professor in the Department of Kinesiology, Physical Education, and Athletic Training within the College of Education and Human Development at the University of Maine, effective February 1, 2023, in accordance with Board of Trustee Policy 310. Dr. Marzilli was selected after an extensive search and rigorous evaluation process. Dr. Marzilli's credentials have been reviewed by the faculty of the College of Education and Human Development at the University of Maine and received their full support.

The Academic and Student Affairs Committee forwarded this item to the March 26-27, 2023, Board of Trustees meeting for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Academic & Student Affairs Committee and approves tenure at time of hire, Professor in the School of Education and Human Development at the University of Maine with tenure to be effective February 1, 2023.

Attachments:

UM Tenure at Time of Higher Background Info – Department of Kinesiology, Physical Education, and Athletic Training (*Confidential*)

AGENDA ITEM SUMMARY

NAME OF ITEM: University of Maine Mahaney Dome Replacement

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

Section 701 Operating & Capital Budgets

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

a. Summary of the request

The University of Maine System, acting through the University of Maine, requests authorization to spend up to \$3,000,000 to replace the Mahaney Dome with a new structure of equal size, replacement turf, and a ten-foot-wide by approximately seventy-six-foot-long connector from the new structure to the Memorial Gym. The existing Mahaney Dome collapsed January 26, 2023 and is not repairable. The University is considering replacement options based on life expectancy of a new structure, project costs, total cost of ownership, available funding, safety, insurability, product warranty, and construction timeline. Based on the UMaine D-1 athletic program needs, a new structure is required to be in place and operational by the end of 2023.

This request is pursuant to Board Policy 701 Operating & Capital Budgets Section VIII. Capital Budget, which requires Board approval for Capital budget items that have a total cost of \$500,000 or more.

b. Overall requested budget and funding source:

Funding for this work with be from the insurance claim of the existing dome and from the Harold Alfond Foundation (HAF) grant for Athletics Master Plan.

c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.

The replacement of Mahaney Dome is identified in the HAF Athletics Master Plan and within the University's 10-year rolling master plan, 5-year capital plan, and 1-year capital plan approved by the Trustees.

d. More detailed explanation of rationale for project and metrics for success of the project (ROI or other)

The Mahaney Dome provides an indoor practice facility for multiple University sports teams, student organizations, and local community sports teams and camps. Due to the collapse Athletics removed snow from the playing fields for the spring sports teams to be able to conduct spring practices. Teams are now subject to weather, temperature, and field

conditions. Additionally, the University has lost revenue from rentals of the dome to local community spring camps and training. A connector from the Memorial Gym to the new structure would allow student athletes to be able to traverse between the gym where the locker rooms and restrooms are located to the new structure without having to go outside. Additionally, with the connector this will greatly reduce the amount of snow, ice, and water from being tracked into the new structure, reducing wear and tear.

e. Explanation of the scope and substance of the project as needed to supplement (a) and (c) above.

The existing Mahaney Dome collapsed with a vertical tear that was substantial deeming the dome unrepairable to standards prior to the collapse. The University, working with FM Global, deemed the dome non repairable. The University will replace the Mahaney Dome with a new structure with the same footprint of 195 feet x 195 feet x ~65-foot-tall. Additionally, the new structure will include new HVAC equipment, lighting, and modern controls. The University, as part of the project, will replace the existing turf that is approximately 18 years old and construct the connector from the new structure to Memorial Gym. The University seeks approval now due to time constraints to be able to have a new structure operational by the end of this year of 2023.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project.

The new structure will be built on the same footprint of the Mahaney Dome and will not increase net square footage. The new connector will increase the University's net square footage by approximately 760 square feet. A new structure will have a higher insulation R-value and a modern HVAC system with controls. The University anticipates operating costs to decrease when compared to the operating costs, including both energy and maintenance costs, of the Mahaney Dome when it was operational.

g. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above)

The University is seeking a solution not to exceed \$3 million that will either be a 3-to-5-year temporary solution or a longer-term solution. The solution for a new structure will be based on the following guidelines: life expectancy, cost, immediate available funding, total cost of ownership, safety, insurability, warranty, and ability to be operational by the end of 2023.

Funding for the project will be from the insurance claim with FM Global and approved HAF Athletics funding. The Mahaney Dome was insured for up to \$2.5 million with a \$500,000 deductible. FM Global will replace the depreciated replacement value of the dome plus lost revenue minus the deductible. The University anticipates the insurance claim to be approximately \$1 to \$1.5 million. The remaining costs not covered by the insurance claim will come from the approved \$40 million of the HAF Athletics Master Plan the Board of Trustees approved at the September 11-12, 2022, Board meeting.

h. Timeline for start, occupancy, and completion

The University anticipates construction to start this summer with completion and occupancy by the end of this year, 2023.

i. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

N/A

j. Additional information that may be useful to consideration of the item.

As part of the HAF Athletics Master Plan, the University will consider the design and construction of a more permanent structure. The Mahaney Dome was constructed in 2005 with insulation added in 2009, new furnace in 2012, lighting upgrades in 2017, and HVAC blower in 2018. The original budget was \$750,000 with a 10-year warranty and a 15-to-20-year useful life. The University was able to maintain the facility for 18 years. The new structure will have a minimum 20-year warranty and anticipated 25-year useful life. To replace the dome with a steel structured building of the same size, the approximate cost is estimated at 3-to-5 times a dome replacement cost. Additionally, a steel structure may not be able to achieve the height of a dome and would have less interior space due to columns. The Mahaney Dome has collapsed four times over the past 18 years. The 2007 collapse resulted in approximately \$15,000 in costs/repairs, the 2019 collapse resulted in approximately \$35,000 in costs/repairs, and the final collapse in January of 2023 resulted in complete loss of the dome.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the March 26-27, 2023 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, & Technology Committee authorizes the University of Maine System acting through the University of Maine (UM) to expend up to \$3,000,000 to replace the Mahaney Dome with a same size structure, new turf within the facility, and to construct a connector from a new structure to the Memorial Gym.

AGENDA ITEM SUMMARY

NAME OF ITEM: Maine College of Engineering and Computing Naming and UMaine/USM

Engineering Framework Approval

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

309 - Organization & Establishment of Major Units

UNIFIED ACCREDITATION CONNECTION:

The formation of a statewide Maine College of Engineering and Computing (MCEC) is a signature initiative of the Harold Alfond Foundation's \$240 million grant commitment to the University of Maine System, which relative to MCEC (formerly referred to as MCECIS) is intended to facilitate greater academic collaboration between UMS universities to better meet state needs for engineers and computer and information scientists.

BACKGROUND:

As part of a larger UMS TRANSFORMS \$240 million investment by the Harold Alfond Foundation, the Maine College of Engineering, Computing and Information Science initiative was awarded \$75 million over 12 years (2022-2033), \$50 million of which is intended to renovate existing engineering infrastructure and \$25 million of which is intended to support scholarships; new faculty, faculty development, and curricular innovation; planning, development, and administration of the new college; and assessment and marketing. UMS is required to match an additional \$75 million that advances the initiative through privately raised funds and corporate philanthropy, state support (bonding, debt service, or targeted appropriation), and federal grants and congressionally directed spending.

USM engineering faculty and administration requested in November 2021 that UMS commit in writing to an arrangement that allowed USM to continue granting engineering degrees in its own programs even as they partner with University of Maine engineering programs in MCEC. Further, USM requested to retain administrative control over the USM Department of Engineering and continue to be the "home" of USM engineering faculty.

A proposed MOU was reviewed and approved by the Academic & Student Affairs (ASA) Committee at its May 2022 meeting and was originally intended to go before the Board for consideration in June 2022. Given the arrival of a new president at USM, a new Dean of Engineering at UM, and the desire to review reports received from numerous system-wide consultative working groups convened to consider all areas of impact of MCEC, the proposed MOU was not moved forward to the Board.

The proposed MCEC MOU presented at the February 2023 ASA Committee meeting has been modified based on the feedback from all the stakeholder groups noted above.

Vice Chancellors Joan Ferrini-Mundy and Carolyn Dorsey (co-Principal Investigators for the UMS TRANSFORMS Harold Alfond Foundation grant initiatives) and USM President Jacqueline Edmondson will discuss the revised MCEC MOU framework highlighting modifications made from the initial version, offer an overview of the stakeholder approval process to date, and offer next steps in the planning process.

The Academic & Student Affairs Committee approved this item to be forwarded to the March 26-27, 2023 Board of Trustees meeting, for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees:

- Approves the renaming of the University of Maine College of Engineering to be the Maine College of Engineering and Computing (MCEC), to be effective April 1, 2023;
- Approves, under Board Policy 309 Organization and Establishment of Major Units, the conceptual framework for collaboration and participation by USM engineering programs as a cooperating department with MCEC as described in the February 2023 MCEC MOU; and
- Encourages and confirms the faculty's shared governance (March 2007 document attached to <u>Board Policy # 205 Faculty and Student Representatives to Board of Trustees</u>) responsibility for curriculum and academic policy development, program and university collaboration, and a focus and strong emphasis on DEI within MCEC and UMS TRANSFORMS.

Attachment:

Maine College of Engineering and Computing (MCEC) Presentation

AGENDA ITEM SUMMARY

NAME OF ITEM: Proposed New Board of Trustee Policy 216: University of Maine System Policy on Policies

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

New UMS Board of Trustee Policy under the Governance Section in the Board Policy Manual

UNIFIED ACCREDITATION CONNECTION:

Establishing a consistent process for the development of Board Policies will provide uniformity and ensure key stakeholders are involved in the creation of the Policies.

BACKGROUND:

A new Board of Trustee Policy 216: *University of Maine System Policy on Policies* is being proposed to address the process for development and maintenance of all Board of Trustees Policies. The purpose of this new Policy is to provide the UMS community with a well-developed framework and instructions for development of new Board policies and revisions to active Board Policies. This new Policy will also establish a review cycle of every three years or earlier as the need arises to ensure Policies are kept up-to-date and in alignment with strategic priorities. The Board of Trustees review and approval process for Board Policies have not changed and the steps required are included in the instructions in this new Policy to provide consistency. Board Policies are located on the Board of Trustees website under the Policy Manual.

This new Policy will apply to all UMS departments, employees, faculty, staff and students. Development and administration of Administrative Practice Letters (APLs) are not included in this policy and will adhere to their own unified processes.

To provide additional details and instructions for the Policy, an Administrative Practice Letter (APL) has been developed to augment the Policy. The proposed new Policy and APL Y-A are attached. The proposed Policy and APL have been in development for the past year with broad feedback from the General Counsel's Office, Vice Chancellor for Academic Affairs Office, Vice Chancellor for Strategic Initiatives and campus foundation staff.

The new Policy was presented to the Board at the January 2023 Board of Trustees meeting as an information item and feedback was encouraged to be submitted to the Clerk of the Board by February 24, 2023. No additional feedback was received and the proposed new Board Policy 216 – *University of Maine System Policy on Policies* is being presented at the March 26-27, 2023 Board of Trustees meeting for approval.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the proposed new Board of Trustees Policy 216 *University of Maine System Policy* on Policies as presented.

Attachments:

Proposed New Board of Trustees Policy 216: *University of Maine System Policy on Policies* Proposed New APL Y-A – University of Mane System Policy Proposal Guidelines

AGENDA ITEM SUMMARY

NAME OF ITEM: Off-cycle Honorary Degree Nomination for 2023, UMF

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

213 - Honorary Degrees

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

Honorary Degree nominations from the University of Maine System campuses are presented annually for approval. In accordance with Board practice, the nominations are presented a year in advance and will be reviewed in Executive Session. Action will be taken in the public meeting without identification of the individual honorees. Publicity is the responsibility of the campus after arrangements for conferral of the degree are complete.

At the January 2023 Board of Trustees meeting, the Board approved the Honorary Degree nominations for 2024. UMF is requesting to move the Board approved Honorary Degree nomination for 2024 to May 2023, due to the nominee's availability and timeliness of the topic.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the off-cycle 2023 nomination for Honorary Degree for UMF, as presented.

Attachment:

Honorary Degree Nomination for 2023 - List – Off Cycle (*Confidential*) Honorary Degree Nomination for 2023 – Narrative – Off Cycle (*Confidential*)

University of Maine System Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Off-cycle Honorary Degree Nomination for 2024, UMF

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

213 - Honorary Degrees

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

Honorary Degree nominations from the University of Maine System campuses are presented annually for approval. In accordance with Board practice, the nominations are presented a year in advance and will be reviewed in Executive Session. Action will be taken in the public meeting without identification of the individual honorees. Publicity is the responsibility of the campus after arrangements for conferral of the degree are complete.

At the January 2023 Board of Trustees meeting, the Board approved the Honorary Degree nominations for 2024. Since UMF requested to move the Board approved Honorary Degree nomination for 2024 to May 2023, they are now proposing a new 2024 Honorary Degree candidate for approval.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the off-cycle 2024 nomination for Honorary Degree for UMF, as presented.

Attachment:

Honorary Degree Nomination for 2024 - List – Off Cycle (*Confidential*) Honorary Degree Nomination for 2024 – Narrative – Off Cycle (*Confidential*)

AGENDA ITEM SUMMARY

NAME OF ITEM: Authorization, USM Center for the Arts

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

701 Budgets – Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

USM's proposed Center for the Arts would be a Portland-located, state-of-the-art music facility that could serve music students throughout the University of Maine System. It would also house the Kate Cheney Chappell '83 '12H Center for Book Arts, a discipline with opportunities for cross-connection with UMaine Machias' Book Arts program.

BACKGROUND:

a. Summary of the request

The University of Maine System acting through the University of Southern Maine (USM) is requesting authorization to expend up to \$63 million to proceed with construction of the University's Center for the Arts (CFA) project. The Board authorized \$1,000,000 at the January 2018 meeting and an additional \$3,200,000 at their November 2021 meeting for a total previous authorization of \$4,200,000. The University has completed design development and is approaching the completion of the construction documents phase. USM's proposed CFA is a long-planned project with considerable donor support that would relocate the Dr. Alfred and D. Suzi Osher School of Music from its current Gorham campus home in Corthell Hall to a newly constructed, state-of-the-art music and instruction building on USM's Portland campus. In addition, the Kate Cheney Chappell '83 Center for the Book Arts will be housed in the building along with flexible space for targeted Visual Arts and Theatre instruction and programming.

b. Overall requested budget and funding source

USM proposes to spend up to \$63 million for the construction of the Center for the Arts. The project is funded by \$29 million in philanthropy, \$9 million in State bond funds, \$2 million from the sale of real estate (Chamberlain Avenue houses), and up to \$23 million in USM financing.

c. More detailed explanation of rationale for project and metrics for success of the project (ROI or other)

In proposing the new facility, USM envisions several key developments:

• Short-term growth of Osher School of Music enrollment of approximately 30 percent, representing an increase of approximately 40 students over the current 140-student enrollment. Music majors already have a high retention rate, and the Music Department expects that the new building would drive recruitment and retention, with a longer-term enrollment goal of 232 students.

- Replacement of inadequate facility that is a detriment to recruitment and retention with a new, intentionally designed facility that would draw renewed attention to USM's exceptional music program.
- Allows for repurposing of Corthell Hall, a significant historic asset for the University
 but not a suitable home for a modern school of music. While the building is not suited to
 housing the Osher School of Music, repurposing Corthell would enable USM to add
 another lecture hall on its Gorham campus, a dance studio, classrooms and
 administrative space into which the University would likely transition Art and Theatre
 Department programming.

d. Explanation of the scope and substance of the project as needed to supplement (a) and (c) above

Over the years, the proposed Center for the Arts has had multiple iterations. Most recently, in USM's 2019 BOT-approved master plan, the project included a 1,000-seat theatrical performance hall, a 600-seat music theater, a black box theater, and a fine arts gallery space. Over the past two years, USM determined that the project, as envisioned, would have been cost-prohibitive and worked with its architectural partner, Pfeiffer, to revise the facility such that it would fit the \$65 million facility authorized by the BOT in 2018 and within USM's realistic budget. The current structure no longer includes a 1,000-seat performance hall or 600-seat music theater but rather contains a 200-seat performance hall that would be suitable to most Osher School of Music performances. The proposed CFA maintains a fine arts gallery space that would be centrally located in the building, serving as a lobby and circulation space as well.

e. Changes, if any, in net square footage or ongoing operating costs resulting from the project

As currently designed, USM's proposed CFA would be approximately 40,000 square feet. There is no planned corresponding reduction in facility square footage, and the University estimates operating costs at approximately \$200,000 annually. The increased operating expenses would be funded by the additional net revenue from increased enrollment and an increased comprehensive fee.

f. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above)

USM financing would be serviced from additional annual net revenue from an increased comprehensive fee and increased enrollment. In addition, several USM debt service commitments will be paid in full in FY24 that would be reallocated to cover the balance needed for the new financing. Any additional revenue from the identified sources (sale of Chamberlain houses, philanthropy, etc.) would be used to reduce the amount of financing and/or offset debt service.

g. Alternatives that were considered to meet the need being addressed by this project Under prior administrations, USM considered extensive renovations to Corthell Hall.

h. Timeline for start, occupancy and completion

If approved, the USM/UMS team, Pfeiffer, and Consigli plan to begin construction in late summer 2023 once site plan approval is received from the City of Portland with a goal of completing the project in late summer 2025.

i. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset No further approvals are foreseen at this time.

j. Additional information that may be useful to consideration of the item

In addition to enthusiasm within the USM community for the CFA project, there is considerable donor support. To date, the USM Foundation has raised nearly \$24 million for this project.

The Finance, Facilities and Technology Committee approved this item to be forwarded to the March 26-27, 2023 Board of Trustees meeting for approval of the following resolution:

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee, and authorizes the University of Southern Maine acting through the University of Maine System to increase the authorization to expend up to \$63 million to proceed with the construction of the Center for the Arts on the University of Southern Maine's Portland campus, and to increase the total square footage to 40,000 gross square feet.

Attachment:

USM Center for the Arts Presentation

University of Maine System Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Approval of Collective Bargaining Agreement, AFUM

INITIATED BY: Dannel P. Malloy, Chancellor

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

Section 407 Labor Relations

UNIFIED ACCREDITATION CONNECTION: N/A

BACKGROUND:

The Board of Trustees delegates responsibility for negotiating collective bargaining agreements to the Chancellor. The Chancellor reports tentative agreements to the Board for approval prior to executing the agreements.

The University has reached a tentative agreement with the Associated Faculties of the Universities of Maine (AFUM). The Board will be briefed on the terms of the Agreement at the meeting.

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees authorizes the Chancellor to execute the collective bargaining agreement with the Associated Faculties of the Universities of Maine (AFUM) upon notice that the agreement has been ratified.

University of Maine System Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Acceptance of Minutes

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION: BOARD ACTION: X

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The following minutes will be presented to the Board of Trustees for approval at the March 26-27, 2023 Board meeting:

January 9, 2023 – Academic & Student Affairs Committee Meeting

January 9, 2023 – Human Resources and Labor Relations Committee Meeting

January 12, 2023 – Finance, Facilities & Technology Committee Meeting

January 17, 2023 - Executive Committee Meeting

January 18, 2023 – Strategic Planning Committee Meeting

January 29-30, 2023 – Board of Trustees Meeting

February 24, 2023 – Strategic Planning Committee Meeting

March 2, 2023 – Investment Committee Meeting

The Board of Trustees website link to the minutes is: http://www.maine.edu/about-the-system/board-of-trustees/meeting-minutes/

TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the minutes as presented.

University of Maine System Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Wabanaki Center Overview

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION: X BOARD ACTION:

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION: N/A

BACKGROUND:

Darren J. Ranco, Chair of Native American Programs, Professor of Anthropology Coordinator of Native American Research, and Faculty Fellow, Mitchell Center for Sustainability Solutions will provide an overview of the University of Maine's Native American Programs.

Attachment:

UM Native America Programs Presentation

University of Maine System Managed Investment Pool

January 31, 2023

TOTAL PLAN PERFORMANCE

	Allocation					Per	formand	ce (%)	e (%)					
	Market	% of	Policy	1 Mo	FYTD	1 Yr	3 Yrs	5 Yrs	7 Yrs	10 Yrs				
	Value (\$)	Portfolio	(%)	(%)	(%)	(%)	(%)	(%)	(%)	(%)				
MIP Composite	356,246,076	100.0	100.0	4.9	6.7	-5.7	4.5	3.7	6.5	5.2				
Allocation Index				5.2	6.9	-5.1	5.4	4.5	7.2	5.9				
Policy Index				5.3	7.4	-5.1	5.1	4.4	7.5	6.1				
Total Domestic Large Cap	82,765,814	23.2	22.0	6.2	8.7	-8.3	9.8	9.5	13.2	12.4				
S&P 500 Index				6.3	8.7	-8.2	9.9	9.5	13.3	12.7				
SSgA S&P 500	63,391,064	17.8	22.0	6.3	8.7	-8.3	9.8	9.5	13.2	12.6				
S&P 500 Index				6.3	8.7	-8.2	9.9	9.5	13.3	12.7				
SSgA Fossil Fuel Free S&P 500 Index	19,374,750	5.4		-	-	-	-	-	-	-				
Total Domestic Small/Mid Cap	23,602,742	6.6	6.0	9.7	18.9	1.0	13.7	9.1	13.9	11.5				
Russell 2500 Index				10.0	14.8	-2.1	9.1	7.3	11.8	10.3				
Westfield Capital	10,857,419	3.0	3.0	10.3	17.9	-5.8	9.1	8.6	14.0	11.4				
Russell 2500 Growth Index				10.0	15.1	-6.5	6.2	7.0	12.1	10.9				
DFA	12,745,323	3.6	3.0	9.2	19.7	7.5	16.9	8.5	12.6	10.7				
Russell 2000 Value Index				9.5	13.3	-0.5	9.9	5.8	10.7	8.8				
Global Equity	34,026,263	9.6	10.0	7.3	12.6	-6.0	-	-	-	-				
MSCI World Index (Net)				7.1	10.3	-7.5	7.6	6.5	10.6	9.1				
Walter Scott Global Equity	34,026,263	9.6	10.0	7.3	12.6	-6.0	-	-	-	-				
MSCI World Index (Net)				7.1	10.3	-7.5	7.6	6.5	10.6	9.1				
Total International Equity (including emerging markets)	66,782,019	18.7	21.0	7.3	10.9	-10.9	0.3	-1.5	3.9	2.3				
MSCI AC World ex USA (Net)				8.1	11.3	-5.7	3.6	1.4	7.0	4.2				
Silchester International Value Equity	18,899,006	5.3	5.0	7.2	-	-	-	-	-	-				
MSCI EAFE Index				8.1	15.1	-2.3	4.7	2.6	7.4	5.4				
JO Hambro	12,949,530	3.6	5.0	8.0	7.6	-17.9	-	-	-	-				
MSCI EAFE (Net)				8.1	15.0	-2.8	4.3	2.1	6.8	4.9				
Kabouter International Opportunities Offshore Fund II	375,609	0.1												
MSCI EAFE Small Cap (Net)				7.5	12.2	-8.9	2.5	0.4	6.8	6.4				
Axiom International Small Cap Equity	9,236,368	2.6	4.0	8.3	9.2	-	-	-	-	-				
MSCI EAFE Small Cap (Net)				7.5	12.2	-8.9	2.5	0.4	6.8	6.4				



University of Maine System Managed Investment Pool

January 31, 2023

TOTAL PLAN PERFORMANCE

	Allocation					Per	formand	e (%)		
	Market	% of	Policy	1 Mo	FYTD	1 Yr	3 Yrs	5 Yrs	7 Yrs	10 Yrs
	Value (\$)	Portfolio	(%)	(%)	(%)	(%)	(%)	(%)	(%)	(%)
Emerging Markets Equity	25,321,506	7.1	7.0	6.7		-12.9	4.0	0.4	5.7	1.2
Emerging Markets Equity Custom Benchmark				6.9	6.7	-10.5	5.1	0.3	8.7	3.0
Aberdeen Emerging Mrkts	12,967,138	3.6	3.5	9.4		-17.8	0.9	-1.3	6.2	1.3
MSCI Emerging Markets (Net)				7.9	4.7	-12.1	1.4	-1.5	7.3	2.1
Mondrian EM Small Cap	12,354,368	3.5	3.5	4.0	8.8	-8.7	6.5	1.6	4.7	-
MSCI Emerging Markets Small Cap				5.9	8.6	-9.1	8.6	1.1	<i>7</i> .5	3.5
Total Fixed Income	93,564,632	26.3	26.5	2.8	1.1	-5.8	0.3	2.0	2.9	2.5
Blmbg. U.S. Aggregate Index				3.1	0.0	-8.4	-2.3	0.9	1.1	1.4
Commonfund	44,858,990	12.6	9.5	3.3	-0.4	-9.3	-2.2	0.9	1.7	1.8
Blmbg. U.S. Aggregate Index				3.1	0.0	-8.4	-2.3	0.9	1.1	1.4
Vanguard Inflation-Protected Securities	5,667,432	1.6	3.5	2.1	-1.6	-8.3	1.1	2.6	-	-
Blmbg. U.S. TIPS				1.8	-1.4	-8.4	1.1	2.7	2.7	1.4
Vanguard Short-Term Inflation-Protected Securities	6,227,457	1.7	3.5	0.7	-0.7	-1.6	2.5	-	-	-
Blmbg. U.S. TIPS 1-5 Year Index				0.7	-1.2	-2.6	2.4	2.7	2.3	1.3
Blackrock Strategic Income Opportunities	18,043,380	5.1	5.0	2.2	2.0	-2.5	1.3	-	-	-
Libor 3 month Index				0.4	2.5	3.1	1.2	1.7	1.5	1.1
Bain Capital Senior Loan Fund	18,767,373	5.3	5.0	3.0	5.3	-1.0	3.4	-	-	-
Credit Suisse Leveraged Loan Index				2.6	6.2	1.1	3.0	3.5	4.8	3.9
Total GAA	27,917,316	7.8	7.5	1.4	0.4	-3.4	1.2	1.2	3.9	2.6
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills*				3.5	3.6	-4.9	1.7	2.1	6.0	4.8
Newton Global Real Return	27,917,316	7.8	7.5	1.4	0.4	-3.4	2.7	4.4	-	-
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills				3.5	3.6	-4.9	1.7	2.7	4.0	-
Total Hedge Funds	25,686,938	7.2	7.0	0.6	3.4	5.4	8.1	6.0	6.3	4.4
HFRI Fund of Funds Composite Index				2.5	3.9	-0.4	4.4	3.0	4.1	3.5
Lighthouse	25,686,938	7.2	7.0	0.6	3.4	5.4	8.1	6.2	6.5	-
Credit Suisse Long/Short Equity				3.3	6.0	-0.2	4.7	3.4	4.6	5.2



University of Maine System Managed Investment Pool

January 31, 2023

TOTAL PLAN PERFORMANCE

	All	Performance (%)								
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Private Equity	1,107,580	0.3	0.0	0.0	-15.7	-13.7	4.7	6.0	9.3	-
Landmark Equity Partners XV	1,107,580	0.3	0.0	0.0	-15.7	-13.7	4.7	6.0	9.3	-
C A US All PE (1 Qtr Lag)				0.0	-3.5	2.9	19.4	16.8	15.6	15.0
Total Cash	792,771	0.2	0.0							
Distribution Account	792,771	0.2	0.0	0.6	1.7	1.9	0.7	1.1	0.9	0.6
90 Day U.S. Treasury Bill				0.3	1.6	1.8	0.8	1.3	1.1	0.8

Notes:

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate, 30% Bloomberg U.S. TIPS 1-10YR, 10% S&P 500, 10% Bloomberg High Yield, 10% JPM EMBI+

Emerging Markets Equity Custom Benchmark consists of MSCI EM from Inception to 5/31/2019 and 50% MSCI EM / 50% MSCI EM Small Cap from 6/1/2019 to present.

Returns are net of manager fees

Landmark market value is estimated as of 1/31/2023.

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.



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Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv



University of Maine System Pension Plan

January 31, 2023

TOTAL FUND PERFORMANCE

	Al	location			Performance (%)					
	Market	% of	Policy	1 Mo	FYTD	1 Yr	3 Yrs	5 Yrs	7 Yrs	10 Yrs
	Value (\$)	Portfolio	(%)	(%)	(%)	(%)	(%)	(%)	(%)	(%)
Pension Composite	19,988,406	100.0	100.0	3.6	4.0	-4.7	3.6	3.9	5.4	4.6
Allocation Index				3.8	3.9	-4.4	3.9	3.9	5.8	5.2
Policy Index				3.8	4.1	-4.4	3.8	3.9	5.9	5.4
Total Global Equity	6,056,470	30.3	30.0	7.2	12.7	-6.1	7.5	-	-	-
MSCI World Index (Net)				7.1	10.3	-7.5	7.6	6.5	10.6	9.1
Walter Scott Global Equity Fund	6,056,470	30.3	30.0	7.2	12.7	-6.1	7.5	-	-	-
MSCI World Index (Net)				7.1	10.3	-7.5	7.6	6.5	10.6	9.1
Emerging Markets Equity	588,858	2.9	3.0	3.9	8.7	-8.8	6.4	1.6	4.7	0.9
Emerging Markets Equity Benchmark				5.9	8.6	-9.1	8.6	1.9	9.9	3.8
Mondrian EM Small Cap	588,858	2.9	3.0	3.9	8.7	-8.8	6.4	1.6	4.7	-
MSCI Emerging Markets Small Cap				5.9	8.6	-9.1	8.6	1.1	7.5	3.5
Total Fixed Income	8,397,421	42.0	43.0	3.0	1.3	-6.4	-0.8	1.6	2.0	1.8
Blmbg. U.S. Aggregate Index				3.1	0.0	-8.4	-2.3	0.9	1.1	1.4
Baird Aggregate Bond Fund - BAGIX	5,583,042	27.9	26.0	3.3	0.6	-8.4	-	-	-	-
Blmbg. U.S. Aggregate Index				3.1	0.0	-8.4	-2.3	0.9	1.1	1.4
Vanguard Inflation-Protected Securities	415,401	2.1	3.5	2.1	-1.6	-8.3	1.1	2.6	-	-
Blmbg. U.S. TIPS				1.8	-1.4	-8.4	1.1	2.7	2.7	1.4
Vanguard Short-Term Inflation-Protected Securities - VTSPX	445,996	2.2	3.5	0.7	-0.7	-1.6	2.5	-	-	-
Blmbg. U.S. TIPS 1-5 Year Index				0.7	-1.2	-2.6	2.4	2.7	2.3	1.3
BlackRock Strategic Income Opportunities	928,810	4.6	5.0	2.2	2.1	-2.5	1.3	-	-	-
Libor 3 month Index				0.4	2.5	3.1	1.2	1.7	1.5	1.1
Bain Capital Senior Loan Fund	1,024,172	5.1	5.0	3.0	5.3	-1.0	3.4	-	-	-
Credit Suisse Leveraged Loan Index				2.6	6.2	1.1	3.0	3.5	4.8	3.9
Total GAA	1,519,469	7.6	8.0	1.4	0.4	-3.4	2.7	3.1	5.1	3.2
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills*				3.5	3.6	-4.9	1.7	2.1	6.0	4.8
Newton Global Real Return	1,519,469	7.6	8.0	1.4	0.4	-3.4	2.7	4.4	-	-
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills				3.5	3.6	-4.9	1.7	2.7	4.0	-



University of Maine System Pension Plan

January 31, 2023

TOTAL FUND PERFORMANCE

	Allocation				Performance (%)					
	Market Value (\$)	% of Portfolio	Policy (%)	1 Mo (%)	FYTD (%)	1 Yr (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Total Alternative Investments	1,195,549	6.0	5.0	0.6	3.4	5.4	8.1	6.0	6.2	4.6
HFRI Fund of Funds Composite Index				2.5	3.9	-0.4	4.4	3.0	4.1	3.5
Lighthouse	1,195,549	6.0	5.0	0.6	3.4	5.4	8.1	6.2	6.5	-
Credit Suisse Long/Short Equity				3.3	6.0	-0.2	4.7	3.4	4.6	5.2
Total Real Assets	1,867,044	9.3	8.0							
Principal	1,867,044	9.3	8.0	-1.0	-6.9	2.0	8.1	7.5	7.8	9.4
NCREIF ODCE				0.0	-4.5	7.5	9.9	8.7	8.5	10.1
Total Cash	363,596	1.8	3.0							
Distribution Account	363,596	1.8	3.0	0.2	1.0	1.1	0.4	0.9	8.0	0.5
90 Day U.S. Treasury Bill				0.3	1.6	1.8	0.8	1.3	1.1	0.8

Notes:

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate, 30% Bloomberg U.S. TIPS 1-10YR, 10% S&P 500, 10% Bloomberg High Yield, 10% JPM EMBI+ Emerging Markets Equity Benchmark consists of MSCI EM from inception to 5/31/2019 and MSCI EM Small Cap from 6/1/2019 to present. Returns are net of manager fees.

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.



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Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv



University of Maine System Operating Fund

January 31, 2023

TOTAL FUND PERFORMANCE

	A	location			Performance (%)					
	Market	% of	Policy(%)	1 Mo	FYTD	1 Yr		5 Yrs	7 Yrs	10 Yrs
	Value (\$)	Portfolio		(%)	(%)	(%)	(%)	(%)	(%)	(%)
Operating Funds Composite	350,911,895	100.0	100.0	1.7	2.6	-1.0	2.3	2.7	3.3	2.4
Allocation Index				1.9	2.5	-1.2	1.6	2.3	2.8	2.4
Liquidity Pool Composite	140,654,415	40.1	30.0	0.3	1.3	1.5	0.9	1.3	1.1	8.0
State Pool	76,066,966	21.7		0.2	1.1	1.3	1.0	1.4	1.2	0.9
BOA General Fund	1,003,209	0.3		0.1	0.7	0.9	0.6	0.7	0.5	0.4
Federated Gov't Obligations	29,593,555	8.4		0.4	1.8	2.0	8.0	1.2	-	-
JP Morgan US Gov't Money Market Fund	33,990,685	9.7		0.3	1.8	2.0	8.0	1.2	-	-
90 Day U.S. Treasury Bill				0.3	1.6	1.8	0.8	1.3	1.1	0.8
Income Pool Composite	143,513,549	40.9	47.5	1.8	1.6	-2.9	0.2	1.6	1.9	1.6
Income Research + Management	80,693,509	23.0	26.0	1.0	0.9	-1.7	0.6	1.6	1.4	1.3
Blmbg. 1-3 Year Gov/Credit index				0.8	0.2	-2.2	-0.2	1.1	1.0	1.0
BlackRock Strategic Income Opportunities	20,577,090	5.9	7.0	2.2	2.0	-2.5	1.3	2.1	3.0	-
Libor 3 month Index				0.4	2.5	3.1	1.2	1.7	1.5	1.1
Baird Aggregate Bond Fund - BAGIX	23,381,913	6.7	7.5	3.3	0.6	-8.4	-	-	-	-
Blmbg. U.S. Aggregate Index				3.1	0.0	-8.4	-2.3	0.9	1.1	1.4
Bain Senior Floating Rate Fund	18,861,037	5.4	7.0	3.0	5.3	-1.0	-	-	-	-
Credit Suisse Leveraged Loan Index				2.6	6.2	1.1	3.0	3.5	4.8	3.9
Total Return Pool Composite	66,743,931	19.0	22.5	3.9	6.6	-3.0	6.8	5.6	7.7	5.5
Lighthouse	16,093,316	4.6	5.0	0.6	3.4	5.4	8.1	6.2	6.5	-
Credit Suisse Long/Short Equity				3.3	6.0	-0.2	4.7	3.4	4.6	5.2
Newton Global Real Return	11,768,273	3.4	4.0	1.4	0.4	-3.4	2.7	4.4	-	-
40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91-day T-Bills				3.5	3.6	-4.9	1.7	2.7	4.0	-
PIMCO All Asset	11,973,647	3.4	4.0	5.3	6.0	-5.3	5.8	4.0	7.2	4.0
PIMCO All Asset Index III				3.4	2.3	-6.6	2.1	2.5	4.4	2.2
Silchester International Value Equity	9,356,499	2.7	3.3	7.2	-	-	-	-	-	-
MSCI EAFE Index				8.1	15.1	-2.3	4.7	2.6	7.4	5.4
SSGA S&P 500 Index Fund NL CTF	13,232,151	3.8	6.2	6.3	-	-	-	-	-	-
S&P 500 Index				6.3	<i>8.7</i>	-8.2	9.9	9.5	13.3	12.7
SSgA Fossil Fuel Free S&P 500 Index	4,320,046	1.2		-	-	-	-	-	-	-



University of Maine System Operating Fund

January 31, 2023 **TOTAL FUND PERFORMANCE**

Returns are net of manager fees.

The inception date for the allocation index is 07/01/2009

Fiscal YTD begins 7/1

Blended Index: 40% Bloomberg Aggregate / 30% Bloomberg U.S. TIPS 1-10YR / 10% S&P 500 / 10% Bloomberg High Yield / 10% JPM EMBI+ Composite excludes external loans.

Blackrock SIO changed its share class in May 2018 to BSIKX.

In Sept 2021, the benchmark for Newton Global Real Return changed to 40% Bloomberg Agg/30% MSCI ACWI/30% ICE BoA 91- day T-Bills.



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Source of private fund performance benchmark data: Cambridge Associates, via Refinitiv



		E & G and AU	(ILIARY			
		FY2023 February	y Forecast			
		Operations		Fundi	ng	NET
Institution	Budget Forecast Variance		Reserve	Stabilization		
UMAINE/UMM	\$ (17,702,138)	\$ (17,702,138)	\$ -	\$ 17,702,138	\$ -	\$ -
UMA	(1,066,765)	(411,609)	655,156	411,609	-	-
UMF ¹	(2,516,722)	(3,135,351)	(618,629)	-	1,861,631	(1,273,720)
UMFK ¹	(938,000)	(127,326)	810,674	-	127,326	-
UMPI ¹	(2,458,206)	(2,237,459)	220,747	-	2,237,459	-
USM	(974,995)	(5,452,245)	(4,477,250)	5,452,245	-	-
Law ¹	(1,255,512)	(1,255,512)	-	-	1,255,512	-
Campus Total	(26,912,338)	(30,321,640)	(3,409,302)	23,565,992	5,481,928	(1,273,720)
Governance	-	-	-	-	-	-
University Services	-	500,000	500,000	-	-	500,000
Employee Benefit Pool	-	-	-	-	-	-
TOTAL	\$ (26,912,338)	\$ (29,821,640)	\$ (2,909,302)	\$ 23,565,992	\$ 5,481,928	\$ (773,720)

Approved FY23 Budget Stabilization Fund transfers up to \$6,513,349 to offset deficits at year end as follows: \$1,861,631 for UMF; \$938,000 for UMFK; \$2,458,206 for UMPI; \$1,255,512 for the Law School

Unrestricted Investment Income	\$	2,637,125	\$	4,487,218	\$	1,850,093	February preliminary results
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University of Maine System Travel & Contributions

FY2023 as of 2/28/23

Travel, Meals & Entertainment								
Funding Source		Budget	Actuals	Unexpended				
E&G/Auxiliary	\$	6,605,454	\$ 4,603,362	\$ 2,002,092	30.3%			
Restricted/Other	\$	2,000,000	2,715,832	(715,832)	-35.8%			
Total	\$	8,605,454	\$ 7,319,194	\$ 1,286,260	14.9%			

Memberships, Gifts, Donations & Sponsorships									
Funding Source	Budget Actuals Unexpended					nded			
E&G/Auxiliary	\$	1,200,068	\$	964,685	\$	235,383	19.6%		
Restricted/Other		400,000		309,019		90,981	22.7%		
Total	\$	1,600,068	\$	1,273,704	\$	326,364	20.4%		

Public Law 2011, Chapter 616 requires periodic reporting of the actual travel & contribution costs to the Board of Trustees

TABLE I

Numbers of Exceptions, Numbers of Women Candidates, and Total Numbers of Candidates for Tenure, 2023

Campus	Number	Exception to Board Policy	Women	Percentage of candidates who are women
UM	21		11	52%
UMA	4		2	50%
UMF	2		2	100%
UMFK	2		0	0%
UM Law School	1		0	0%
UMM	0		0	N/A
UMPI	1		1	100%
USM	21	4	15	71%
Total	52		31	59%

51% faculty are men; 49 % of faculty are women 58.1% of the male faculty are tenured; 41.9 % of the women faculty are tenured

Table II. Numbers of Candidates Considered at Campus Level and Numbers Forwarded for Board Approval, 2017-2023

	2017/2018	2018/2019	2019/2020	2020/2021	2021/2022	2022/2023	Total
UMaine							
Considered	13	21	17	17	28	21	117
Recommended	13	21	17	17	28	21	117
UM - Augusta							
Considered	0	2	2	3	3	4	14
Recommended	0	2	2	3	3	4	14
UM - Farmington							
Considered	4	1	5	7	3	2	22
Recommended	4	1	5	7	3	2	22
UM - Fort Kent							
Considered	0	0	1	0	0	2	3
Recommended	0	0	1	0	0	2	3
UM - School of Law							
Considered				0	0	1	1
Recommended				0	0	1	1
UM - Machias							
Considered	1	0	1	0	0	0	2
Recommended	1	0	1	0	0	0	2
UM - Presque Isle							
Considered	3	1	4	2	2	1	13
Recommended	3	1	4	2	2	1	13
USM							
Considered	2	3	3	5	14	21	48
Recommended	2	3	3	5	14	21	48
System Total							
Considered	23	28	33	34	50	52	220
Recommended	23	28	33	34	50	52	220



Vice Chancellor for Academic Affairs 15 Estabrooke Drive Orono, ME 04469

> Tel: 207-973-3211 Fax: 207-581-9212 www.maine.edu

Date: February 13, 2023

To: Dannel Malloy, Chancellor

University of Maine System (UMS)

From: Dr. Jeffrey St. John, Interim VCAA

Regarding: 2022 AY Tenure Applications

The University of Maine

University of Maine at Augusta

University of Maine at Farmington

University of Maine at Fort Kent

University of Maine at Machias

University of Maine at Presque isle

> University of Southern Maine

Fifty-two applications were submitted to the University of Maine System (UMS) for evaluation in the 2022 academic year. Each of these applications were reviewed by me with respect to (a) adherence to BOT policy, (b) documentation, and (c) applicant quality and productivity. The pool includes 31 females (59%), with an overall distribution among the seven UMS universities as follows:

UM 21 UMA 4 UMF 2 UMFK 2 UM Law 1 UMPI 1 USM 21

The academic contributions of each of these applicants appear to meet the UMS and campus standards for tenure; and, in fact, all applicants were recommended across four levels of review on their respective campuses.

In summary, I concur with the campus recommendations to grant tenure to each of the 52 applicants. I am happy to address any questions or provide additional details as needed.

Chancellor Dannel Malloy

2022 - 2023 Faculty And Tenure Report

James Clark, UMS Interim Director of Compensation February 6, 2023

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INTRODUCTION

The following report provides summary information regarding tenure status and demographic characteristics of the regular faculty at the University of Maine System. Current information and trends since 1981 are provided. All data reported is as of the fall census date (October 31).

For the purpose of this report, a regular faculty member is defined as any benefits eligible professional employee with a rank of professor, associate professor, assistant professor, instructor, lecturer, senior lecturer, principal lecturer, or clinical faculty. Included are teaching faculty and administrators with rank who may or may not be teaching. Faculty belonging to the Maine Part-Time Faculty Association (PATFA) are not included in this report.

<u>Data Source</u>: PeopleSoft HRPRD Database; the University of Maine System.

HIGHLIGHTS

- 1,189 faculty are included in this report. This is a decrease of 12 (-1.0%) faculty from last year. Tenured faculty decreased to 611 from 631 and the number of faculty without tenure increased to 578. There are 52 faculty going up for tenure this coming academic year.
- 51.4% (611) faculty have tenure which is a 1.1% decrease from last year's figure. 48.6% (578) of faculty do not have tenure. Of this group, 48.6% (281) are tenure eligible, while 51.4% (297) are not eligible. On average, a faculty member serves 5.2 years before being awarded tenure.
- There were 123 new faculty hires of which 50 (40.7%) are tenure eligible and 2 (1.6%) were hired with tenure. Of these 123 hires, 60 (48.8%) are women and 63 (51.2%) are men; 19 (15.4%) are of minority status.
- Of the total faculty 49.0% (583) are women and 51.0% (606) are men. The percentage of faculty who are women has increased from 40.6% in 2009 to its present level, which is the <u>highest percentage of women faculty ever reported</u> at the University of Maine System. With respect to tenured faculty, 41.9% (256) of all women faculty have tenure while 58.1% (355) of all men faculty have tenure.
- Minority faculty members have increased from last year at 131 from 130, or 11.0% of total faculty. In 2003, 4.0% of faculty were minority. The present figure of 11.0% is the <u>highest level of minority representation ever reported</u> at the University of Maine System. However, the current figure should also be put in the context of the current demographic profile for the State of Maine, which shows a 5.8% minority population as reported by the U.S. Census Bureau.
- The average age of all faculty is 51. For tenured faculty the average age is 56 and for non-tenured the average age is 45. The average age by rank is: professors, 60; associate professors, 52; assistant professors, 42; instructors, 45; lecturers, 43; senior lecturers, 51; principal lecturers, 59; clinical faculty, 46. 226 tenured faculty (293 total faculty) are over the age of 60 and 126 tenured faculty (159 total faculty) are over the age of 65.
- Education is the discipline area with the largest number of faculty (152), followed by Health Sciences (103), Physical Sciences (92), Social Sciences (88), and Biological and Life Sciences (86). The top ten disciplines have remained relatively constant for the past five years.
- The AFUM collective bargaining agreement provides 51 sabbaticals per year across all Universities. Additional sabbaticals may be granted at the discretion of the departments if there are no additional costs to the University and the Chief Administrative Officer recommends additional awards. The total number of sabbaticals fluctuate over a 3-to-5-year period. There were 64 faculty on sabbatical this year, which is up 30 from the prior year.

TENURE STATUS BY RANK BY CAMPUS

University of Maine

Status	Rank	Men	Women	Grand Total
Tenure	Professor	111	50	161
Tenure	Associate Professor	87	60	147
Tenure	Total	198	110	308
Non-Tenure	Professor	6	1	7
Non-Tenure	Associate Professor	6	7	13
Non-Tenure	Assistant Professor	70	63	133
Non-Tenure	Instructor	0	1	1
Non-Tenure	Lecturer	52	44	96
Non-Tenure	Senior Lecturer	7	13	20
Non-Tenure	Principal Lecturer	11	12	23
Non-Tenure	Clinical Faculty	1	0	1
Non-Tenure	Total	153	141	294
Total	Grand Total	351	251	602

University of Maine Augusta

Status	Rank	Men	Women	Grand Total
Tenure	Professor	10	10	20
Tenure	Associate Professor	10	12	22
Tenure	Total	20	22	42
Non-Tenure	Professor	0	0	0
Non-Tenure	Associate Professor	0	0	0
Non-Tenure	Assistant Professor	10	25	35
Non-Tenure	Instructor	0	0	0
Non-Tenure	Lecturer	3	13	16
Non-Tenure	Senior Lecturer	1	0	1
Non-Tenure	Principal Lecturer	1	4	5
Non-Tenure	Clinical Faculty	0	0	0
Non-Tenure	Total	15	42	57
Total	Grand Total	35	64	99

University of Maine Farmington

Status	Rank	Men	Women	Grand Total
Tenure	Professor	18	19	37
Tenure	Associate Professor	14	22	36
Tenure	Total	32	41	73
Non-Tenure	Professor	0	0	0
Non-Tenure	Associate Professor	0	0	0
Non-Tenure	Assistant Professor	7	13	20
Non-Tenure	Instructor	0	0	0
Non-Tenure	Lecturer	0	6	6
Non-Tenure	Senior Lecturer	0	1	1
Non-Tenure	Principal Lecturer	0	3	3
Non-Tenure	Clinical Faculty	0	0	0
Non-Tenure	Total	7	23	30
Total	Grand Total	39	64	103

University of Maine Fort Kent

Status	Rank	Men	Women	Grand Total
Tenure	Professor	6	3	9
Tenure	Associate Professor	1	0	1
Tenure	Total	7	3	10
Non-Tenure	Professor	0	0	0
Non-Tenure	Associate Professor	0	0	0
Non-Tenure	Assistant Professor	7	6	13
Non-Tenure	Instructor	0	1	1
Non-Tenure	Lecturer	0	0	0
Non-Tenure	Non-Tenure Senior Lecturer		1	1
Non-Tenure	Non-Tenure Principal Lecturer		1	2
Non-Tenure	Clinical Faculty	0	0	0
Non-Tenure	Total	8	9	17
Total	Grand Total	15	12	27

University of Maine Machias

Status	Rank	Men	Women	Grand Total
Tenure	Professor	5	4	9
Tenure	Associate Professor	4	5	9
Tenure	Total	9	9	18
Non-Tenure	Professor	0	0	0
Non-Tenure	Associate Professor	0	0	0
Non-Tenure	Assistant Professor	0	0	0
Non-Tenure	Instructor	1	0	1
Non-Tenure	Lecturer	1	1	2
Non-Tenure	Senior Lecturer	0	0	0
Non-Tenure	Principal Lecturer	1	0	1
Non-Tenure	Clinical Faculty	0	0	0
Non-Tenure	Total	3	1	4
Total	Grand Total	12	10	22

University of Maine Presque Isle

Status	Rank	Men	Women	Grand Total
Tenure	Professor	9	4	13
Tenure	Associate Professor	5	8	13
Tenure	Total	14	12	26
Non-Tenure	Professor	0	0	0
Non-Tenure	Associate Professor	2	0	2
Non-Tenure	Assistant Professor	4	4	8
Non-Tenure	Instructor	0	0	0
Non-Tenure	Lecturer	0	0	0
Non-Tenure	Senior Lecturer	0	0	0
Non-Tenure	Principal Lecturer	2	0	2
Non-Tenure	Clinical Faculty	0	0	0
Non-Tenure	Total	8	4	12
Total	Grand Total	22	16	38

University of Southern Maine

Status	Rank	Men	Women	Grand Total
Tenure	Professor	40	19	59
Tenure	Associate Professor	31	36	67
Tenure	Total	71	55	126
Non-Tenure	Professor	0	0	0
Non-Tenure	Associate Professor	0	6	6
Non-Tenure	Assistant Professor	30	48	78
Non-Tenure	Instructor	0	0	0
Non-Tenure	Lecturer	9	22	31
Non-Tenure	Senior Lecturer	7	13	20
Non-Tenure	Principal Lecturer	4	6	10
Non-Tenure	Clinical Faculty	0	4	4
Non-Tenure	Total	50	99	149
Total	Grand Total	121	154	275

Maine Law

Status	Rank	Men	Women	Grand Total
Tenure	Professor	4	3	7
Tenure	Associate Professor	0	1	1
Tenure	Total	4	4	8
Non-Tenure	Professor	3	6	9
Non-Tenure	Associate Professor	3	1	4
Non-Tenure	Assistant Professor	0	0	0
Non-Tenure	Instructor	0	0	0
Non-Tenure	Lecturer	turer 0		0
Non-Tenure	Senior Lecturer	0	0	0
Non-Tenure	Principal Lecturer	0	0	0
Non-Tenure	Clinical Faculty	1	1	2
Non-Tenure	Total	7	8	15
Total	Grand Total	11	12	23

University of Maine System

Status	Rank	Men	Women	Grand Total
Tenure	Professor	203	112	315
Tenure	Associate Professor	152	144	296
Tenure	Total	355	256	611
Non-Tenure	Professor	9	7	16
Non-Tenure	Associate Professor	11	14	25
Non-Tenure	Assistant Professor	128	159	287
Non-Tenure	Instructor	1	2	3
Non-Tenure	Lecturer	65 86		151
Non-Tenure	Non-Tenure Senior Lecturer		28	43
Non-Tenure	nure Principal Lecturer		26	46
Non-Tenure	Clinical Faculty	2	5	7
Non-Tenure	Total	251	327	578
Total	Grand Total	606	583	1,189

PROFESSORS BY GENDER AND CAMPUS

Women and Men Professors Compared to All Faculty

Campus	Women Professors	All Women	Men Professors	All Men	% of Women Who Are Professors	% of Women Who Are Professors
University of Maine	51	251	117	351	20.3%	33.3%
University of Maine Augusta	10	64	10	35	15.6%	28.6%
University of Maine Farmington	19	64	18	39	29.7%	46.2%
University of Maine Fort Kent	3	12	6	15	25.0%	40.0%
University of Maine Machias	4	10	5	12	40.0%	41.7%
University of Maine Presque Isle	4	16	9	22	25.0%	40.9%
University of Southern Maine	19	154	40	121	12.3%	33.1%
Maine Law	9	12	7	11	75.0%	63.6%
Total	119	583	212	606	20.4%	35.0%

TENURE STATUS BY GENDER AND CAMPUS

Tenured Faculty by Gender by Campus

Campus	Women	% of All Women	Men	% of All Men	Tenured Faculty	% of All Faculty
University of Maine	110	43.8%	198	56.4%	308	51.2%
University of Maine Augusta	22	34.4%	20	57.1%	42	42.4%
University of Maine Farmington	41	64.1%	32	82.1%	73	70.9%
University of Maine Fort Kent	3	25.0%	7	46.7%	10	37.0%
University of Maine Machias	9	90.0%	9	75.0%	18	81.8%
University of Maine Presque Isle	12	75.0%	14	63.6%	26	68.4%
University of Southern Maine	55	35.7%	71	58.7%	126	45.8%
Maine Law	4	33.3%	4	36.4%	8	34.8%
Total	256	43.9%	355	58.6%	611	51.4%

Tenure Status by Campus

		Not Tenured Or Tenure Tenure Total Not Tenure				
Campus	Tenured	Eligible	Eligible	Tenured	Eligible	Total
University of Maine	308	127	167	294	435	602
University of Maine Augusta	42	35	22	57	77	99
University of Maine Farmington	73	16	14	30	89	103
University of Maine Fort Kent	10	13	4	17	23	27
University of Maine Machias	18	1	3	4	19	22
University of Maine Presque Isle	26	10	2	12	36	38
University of Southern Maine	126	77	72	149	203	275
Maine Law	8	2	13	15	10	23
Total	611	281	297	578	892	1,189

Tenure Status by Campus (Percentages)

Campus	Tenured	Tenure Eligible	Not Tenure Eligible	Total Not Tenured	Tenured Or Tenure Eligible
University of Maine	51.2%	21.1%	27.7%	48.8%	72.3%
University of Maine Augusta	42.4%	35.4%	22.2%	57.6%	77.8%
University of Maine Farmington	70.9%	15.5%	13.6%	29.1%	86.4%
University of Maine Fort Kent	37.0%	48.1%	14.8%	63.0%	85.2%
University of Maine Machias	81.8%	4.5%	13.6%	18.2%	86.4%
University of Maine Presque Isle	68.4%	26.3%	5.3%	31.6%	94.7%
University of Southern Maine	45.8%	28.0%	26.2%	54.2%	73.8%
Maine Law	34.8%	8.7%	56.5%	65.2%	43.5%
Total	51.4%	23.6%	25.0%	48.6%	75.0%

ETHNICITY AND MINORITY FIGURES

Ethnicity by Tenure Status

					Not	Not		
			Tenure	Tenure	Tenure	Tenure		
	Tenure	Tenure	Eligible	Eligible	Eligible	Eligible	Total	Total
Ethnicity	Number	Percent	Number	Percent	Number	Percent	Number	Percent
White	558	91.3%	231	37.8%	269	44.0%	1,058	89.0%
Minority	53	8.7%	50	8.2%	28	4.6%	131	11.0%
Total	611	100%	281	46%	297	49%	1,189	100%

Minority by Campus

Campus	Minority Number	Minority Percent
University of Maine	81	13.5%
University of Maine Augusta	2	2.0%
University of Maine Farmington	6	5.8%
University of Maine Fort Kent	3	11.1%
University of Maine Machias	1	4.5%
University of Maine Presque Isle	2	5.3%
University of Southern Maine	34	12.4%
Maine Law	2	8.7%
Total	131	11.0%

YEARS TO TENURE

Average Years of Service to Tenure

Campus	Women	Men	All
University of Maine	5.3	5.0	5.1
University of Maine Augusta	5.5	6.2	5.8
University of Maine Farmington	4.9	5.1	5.0
University of Maine Fort Kent	4.7	5.4	5.2
University of Maine Machias	6.0	5.6	5.8
University of Maine Presque Isle	5.5	4.9	5.2
University of Southern Maine	5.1	5.3	5.2
Maine Law	4.0	2.8	3.4
Total	5.2	5.1	5.2

AVERAGE AGE BY GENDER BY CAMPUS AND RANK

Average Age by Gender by Campus

	Tenured	Tenured		Non- Tenured	Non- Tenured	Non-	All
Campus	Women	Men	Tenured	Women	Men	Tenured	Faculty
University of Maine	54	56	55	42	43	43	49
University of Maine Augusta	58	59	59	48	51	49	53
University of Maine Farmington	55	57	56	46	44	46	53
University of Maine Fort Kent	54	58	57	47	47	47	51
University of Maine Machias	60	57	59	70	39	47	56
University of Maine Presque Isle	57	59	58	48	51	50	56
University of Southern Maine	56	60	58	48	46	47	52
Maine Law	53	59	56	50	45	47	50
Total	55	57	56	46	44	45	51

University of Maine

Status	Rank	Men	Women	All Faculty
Tenure	Professor	60	60	60
Tenure	Associate Professor	49	50	50
Tenure	Total	54	56	55
Non-Tenure	Professor	74	69	69
Non-Tenure	Associate Professor	49	55	52
Non-Tenure	Assistant Professor	39	39	39
Non-Tenure	Instructor	62		62
Non-Tenure	Lecturer	40	40	40
Non-Tenure	Senior Lecturer	46	44	45
Non-Tenure	Principal Lecturer	58	58	58
Non-Tenure	Clinical Faculty		30	30
Non-Tenure	Total	42	43	43
Total	Grand Total	48	50	49

University of Maine Augusta

		or manne / taga		
Status	Rank	Men	Women	All Faculty
Tenure	Professor	64	62	63
Tenure	Associate Professor	54	56	55
Tenure	Total	58	59	59
Non-Tenure	Professor			
Non-Tenure	Associate Professor			
Non-Tenure	Assistant Professor	46	44	45
Non-Tenure	Instructor			
Non-Tenure	Lecturer	47	60	50
Non-Tenure	Senior Lecturer		63	63
Non-Tenure	Principal Lecturer	69	74	70
Non-Tenure	Clinical Faculty			
Non-Tenure	Total	48	51	49
Total	Grand Total	52	55	53

University of Maine Farmington

Status	Rank	Men	Women	All Faculty
Tenure	Professor	58	61	59
Tenure	Associate Professor	53	51	52
Tenure	Total	55	57	56
Non-Tenure	Professor			
Non-Tenure	Associate Professor			
Non-Tenure	Assistant Professor	41	44	42
Non-Tenure	Instructor			
Non-Tenure	Lecturer	47		47
Non-Tenure	Senior Lecturer	68		68
Non-Tenure	Principal Lecturer	62		62
Non-Tenure	Clinical Faculty			
Non-Tenure	Total	46	44	46
Total	Grand Total	52	54	53

University of Maine Fort Kent

Status	Rank	Men	Women	All Faculty
Tenure	Professor	54	57	56
Tenure	Associate Professor		61	61
Tenure	Total	54	58	57
Non-Tenure	Professor			
Non-Tenure	Associate Professor			
Non-Tenure	Assistant Professor	45	46	46
Non-Tenure	Instructor	34		34
Non-Tenure	Lecturer			
Non-Tenure	Senior Lecturer	52		52
Non-Tenure	Principal Lecturer	69	52	61
Non-Tenure	Clinical Faculty			
Non-Tenure	Total	47	47	47
Total	Grand Total	49	52	51

University of Maine Machias

Status	Rank	Men	Women	All Faculty
Tenure	Professor	65	60	62
Tenure	Associate Professor	56	54	55
Tenure	Total	60	57	59
Non-Tenure	Professor			
Non-Tenure	Associate Professor			
Non-Tenure	Assistant Professor			
Non-Tenure	Instructor		38	38
Non-Tenure	Lecturer	70	34	52
Non-Tenure	Senior Lecturer			
Non-Tenure	Principal Lecturer		44	44
Non-Tenure	Clinical Faculty			
Non-Tenure	Total	70	39	47
Total	Grand Total	61	53	56

University of Maine Presque Isle

Status	Rank	Men	Women	All Faculty
Tenure	Professor	60	62	61
Tenure	Associate Professor	55	55	55
Tenure	Total	57	59	58
Non-Tenure	Professor			
Non-Tenure	Associate Professor		46	46
Non-Tenure	Assistant Professor	48	50	49
Non-Tenure	Instructor			
Non-Tenure	Lecturer			
Non-Tenure	Senior Lecturer			
Non-Tenure	Principal Lecturer		59	59
Non-Tenure	Clinical Faculty			
Non-Tenure	Total	48	51	50
Total	Grand Total	54	56	56

University of Southern Maine

Status	Rank	Men	Women	All Faculty
Tenure	Professor	57	63	61
Tenure	Associate Professor	56	56	56
Tenure	Total	56	60	58
Non-Tenure	Professor			
Non-Tenure	Associate Professor	58		58
Non-Tenure	Assistant Professor	47	41	45
Non-Tenure	Instructor			
Non-Tenure	Lecturer	43	46	44
Non-Tenure	Senior Lecturer	51	61	55
Non-Tenure	Principal Lecturer	58	57	58
Non-Tenure	Clinical Faculty	50		50
Non-Tenure	Total	48	46	47
Total	Grand Total	51	54	52

Maine Law

Status	Rank	Men	Women	All Faculty
Tenure	Professor	57	59	58
Tenure	Associate Professor	40		40
Tenure	Total	53	59	56
Non-Tenure	Professor	51	49	50
Non-Tenure	Associate Professor	43	40	41
Non-Tenure	Assistant Professor			
Non-Tenure	Instructor			
Non-Tenure	Lecturer			
Non-Tenure	Senior Lecturer			
Non-Tenure	Principal Lecturer			
Non-Tenure	Clinical Faculty	49	46	48
Non-Tenure	Total	50	45	47
Total	Grand Total	51	50	50

University of Maine System

Status	Rank	Men	Women	All Faculty
Tenure	Professor	59	61	60
Tenure	Associate Professor	52	52	52
Tenure	Total	55	57	56
Non-Tenure	Professor	54	62	59
Non-Tenure	Associate Professor	53	49	51
Non-Tenure	Assistant Professor	43	41	42
Non-Tenure	Instructor	48	38	45
Non-Tenure	Lecturer	43	42	43
Non-Tenure	Senior Lecturer	49	53	51
Non-Tenure	Principal Lecturer	61	58	59
Non-Tenure	Clinical Faculty	50	38	46
Non-Tenure	Total	46	44	45
Total	Grand Total	50	52	51

FACULTY DISCIPLINES

Faculty by Disciplines by Campus

			•						
Discipline	UM	UMA	UMF	UMFK	UMM	UMPI	USM	LAW	Total
AGRICICULTURE BUSINESS & PRODUCTION	44	2	0	5	0	0	3	0	54
ARCHITECTURE & RELATED PROGRAMS	1	3	0	0	0	0	0	0	4
AREA ETHNIC & CULTURAL STUDIES	0	2	0	0	0	0	3	0	5
BIOLOGICAL SCIENCES/LIFE SCIENCES	53	6	6	0	5	3	13	0	86
BUSNS MNGMT & ADMINISTRATIVE SERVICES	34	7	3	2	1	2	21	0	70
COMMUNICATIONS	11	1	0	0	0	0	6	0	18
COMPUTER & INFORMATION SCIENCES	11	6	1	2	0	3	5	0	28
CRIMINAL JUSTICE AND CORRECTIONS	0	4	0	1	0	0	0	0	5
EDUCATION	74	3	33	0	3	4	35	0	152
ENGINEERING	72	0	0	0	0	0	8	0	80
ENGINEERING OR RELATED TECHNOLOGIES	19	0	0	0	0	0	0	0	19
ENGLISH LANGUAGE & LITERATURE	27	9	12	2	2	6	11	0	69
FORIEGN LANGUAGES & LITERATURE	6	1	1	0	0	0	5	0	13
HEALTH SCIENCES	25	22	1	8	0	3	44	0	103
HISTORY	11	2	2	1	0	3	6	0	25
HOME ECONOMICS & FAMILY AND CONSUMER LIFE	9	0	0	0	0	0	0	0	9
LAW AND LEGAL STUDIES	0	0	0	0	0	0	0	23	23
LIBERAL ARTS & SCIENCES	3	0	1	0	0	0	1	0	5
LIBRARY SCIENCE	0	2	0	0	0	0	0	0	2
MATHEMATICS	30	4	8	1	2	2	8	0	55
MULTI/INTERDISCIPLINARY STUDIES	6	2	0	1	0	0	0	0	9
PARKS, RECREATION, LEISURE & FITNESS STUDIES	10	0	0	0	1	2	13	0	26
PHILOSOPHY & RELIGION	7	1	0	0	0	0	4	0	12
PHYSICAL SCIENCES	64	2	8	1	2	4	11	0	92
PSYCHOLOGY	15	6	8	1	4	2	8	0	44
PUBLIC ADMINISTRATION & SOCIAL SERVICES	10	0	1	0	0	2	23	0	36
SOCIAL SCIENCES	36	8	10	1	1	2	30	0	88
VISUAL & PERFORMING ARTS	24	6	8	1	1	0	17	0	57
Total	602	99	103	27	22	38	275	23	1,189

Disciplines with the Greatest Number of Faculty

Discipline	Faculty
EDUCATION	152
HEALTH SCIENCES	103
PHYSICAL SCIENCES	92
SOCIAL SCIENCES	88
BIOLOGICAL SCIENCES/LIFE SCIENCES	86
ENGINEERING	80
BUSNS MNGMT & ADMINISTRATIVE SERVICES	70
ENGLISH LANGUAGE & LITERATURE	69
VISUAL & PERFORMING ARTS	57
MATHEMATICS	55

Disciplines with the Greatest Number of Faculty 2018/19 to 2022/23

Discipline	2018/19	2019/20	2020/21	2021/22	2022/23
BIOLOGICAL SCIENCES/LIFE SCIENCES	98	95	93	89	86
BUSNS MNGMT & ADMINISTRATIVE SERVICES	74	73	70	71	70
EDUCATION	141	151	150	144	152
ENGINEERING	78	79	81	85	80
ENGLISH LANGUAGE & LITERATURE	73	70	65	65	69
HEALTH SCIENCES	90	99	97	106	103
MATHEMATICS	61	62	55	56	55
PHYSICAL SCIENCES	95	93	95	89	92
SOCIAL SCIENCES	94	94	91	90	88
VISUAL & PERFORMING ARTS	64	63	59	57	57

GENDER COMPARISONS

Percent of Faculty Composition by Gender 1981 to 2022

	Tenured		Non-Tenured	Non-Tenured		
Year	Women	Tenured Men	Women	Men	All Women	All Men
2022	21.5%	29.9%	27.5%	21.1%	49.0%	51.0%
2021	21.1%	31.5%	26.5%	20.9%	47.6%	52.4%
2020	21.2%	31.6%	26.3%	20.9%	47.5%	52.5%
2017	22.2%	34.5%	23.1%	20.2%	45.3%	54.7%
2014	24.6%	41.8%	17.7%	15.9%	42.3%	57.7%
2011	24.2%	42.0%	17.7%	16.1%	41.9%	58.1%
2008	23.1%	41.6%	17.4%	17.9%	40.6%	59.4%
2002	19.8%	42.3%	18.8%	19.1%	38.6%	61.4%
1997	17.5%	52.2%	14.5%	15.8%	32.0%	68.0%
1993	13.9%	51.8%	15.2%	19.1%	29.1%	70.9%
1987	10.0%	48.8%	15.8%	25.4%	25.9%	74.1%
1981	8.1%	55.1%	13.8%	23.0%	21.9%	78.1%

Total Number of Faculty from 1990 to 2022

Year	Faculty	Percent Men	Percent Women
2022	1,189	51.0%	49.0%
2021	1,201	52.4%	47.6%
2020	1,212	52.5%	47.5%
2019	1,244	52.8%	47.2%
2017	1,180	54.7%	45.3%
2014	1,198	57.7%	42.3%
2011	1,335	57.9%	42.1%
2008	1,400	59.4%	40.6%
2005	1,380	60.5%	39.5%
2002	1,388	61.1%	38.9%
1999	1,310	64.9%	35.1%
1996	1,288	68.0%	32.0%
1993	1,325	69.7%	30.3%
1990	1,394	72.0%	28.0%

Percent Tenured Faculty by Gender from 1990 to 2022

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		Percent of All		Percent
Year	Count	Faculty	Percent Men	Women
2022	611	51.4%	58.6%	43.9%
2021	631	52.5%	60.1%	44.3%
2020	640	52.8%	60.2%	44.6%
2019	650	52.3%	60.1%	43.4%
2017	669	56.7%	63.1%	49.0%
2014	795	66.4%	72.4%	58.2%
2011	876	65.6%	71.9%	56.9%
2008	906	64.7%	70.0%	57.0%
2005	870	63.0%	69.0%	53.9%
2002	848	61.1%	69.7%	47.6%
1999	832	63.5%	70.5%	50.7%
1996	897	69.6%	76.7%	54.6%
1993	907	68.5%	75.8%	51.6%
1990	856	61.4%	68.6%	42.8%

STUDENT VERSUS FACULTY METRICS

Student Headcount and Credit Hours versus Faculty Headcount

Metric	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022
Student	29,871	28,881	27,867	27,939	26,726	26,750	26,403	26,250	26,111	24,808
Headcount	25,071	20,001	27,007	27,333	20,720	20,730	20,403	20,230	20,111	24,000
Student Credit	323,408	314,820	307,143	307,456	304,285	304,185	300,550	294,620	289,936	271,924
Hours										
Faculty	1 272	1 100	1 1 1 1	1 150	1 100	1 226	1 244	1 212	1 201	1 100
Headcount	1,272	1,198	1,144	1,156	1,180	1,226	1,244	1,212	1,201	1,189

TENURE AND TENURE ELIGIBLE TRENDS

Tenure Status by Percent by Year

Status	1987	1997	2009	2016	2019	2021	2022
Tenured	58.8%	67.3%	66.6%	59.3%	52.3%	52.5%	51.4%
Eligible	27.4%	19.0%	14.8%	17.8%	22.1%	24.2%	23.6%
Not Eligible	13.8%	13.7%	18.6%	22.9%	25.6%	23.3%	25.0%

New Hire Faculty on Tenure Track

	recw fine faculty of female frack						
Year	All Faculty	All New Hires	Tenure Track				
2022	1,189	123	50				
2021	1,201	83	38				
2020	1,212	73	50				
2019	1,244	96	45				
2018	1,226	117	52				
2017	1,180	85	44				
2016	1,156	63	38				
2015	1,144	95	40				
2013	1,272	68	24				
2008	1,400	62	37				

FACULTY PROFILE TRENDS

Academic Rank Profile

Vasu	Dueferson	Associate	Assistant	la church au	Lastunana
Year	Professor	Professor	Professor	Instructor	Lecturers
2022	331	321	287	3	247
2021	360	309	304	9	219
2020	373	304	319	10	206
2019	373	310	318	22	221
2017	379	317	269	25	190
2016	392	327	228	19	190
2014	431	398	188	25	156
2011	463	461	211	32	168
2008	471	487	252	51	139
2005	435	474	303	52	116
2002	433	454	350	45	106
1999	404	459	323	39	85
1996	417	513	249	42	67
1993	428	505	297	56	59
1990	414	469	417	56	59
1987	387	417	339	77	54

Academic Rank Profile (Percentages)

2022 27.8% 27.0% 24.1% 0.3% 20.8% 2021 30.0% 25.7% 25.3% 0.8% 18.2% 2020 30.8% 25.1% 26.3% 0.8% 17.0% 2019 30.0% 24.9% 25.6% 1.8% 17.8% 2017 32.1% 26.9% 22.8% 2.1% 16.1% 2016 33.9% 28.3% 19.7% 1.7% 16.4% 2014 36.0% 33.2% 15.7% 2.1% 13.0% 2011 34.7% 34.5% 15.8% 2.4% 12.6% 2008 33.6% 34.8% 18.0% 3.6% 9.9% 2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%				•	<u> </u>	
2022 27.8% 27.0% 24.1% 0.3% 20.8% 2021 30.0% 25.7% 25.3% 0.8% 18.2% 2020 30.8% 25.1% 26.3% 0.8% 17.0% 2019 30.0% 24.9% 25.6% 1.8% 17.8% 2017 32.1% 26.9% 22.8% 2.1% 16.1% 2016 33.9% 28.3% 19.7% 1.7% 16.4% 2014 36.0% 33.2% 15.7% 2.1% 13.0% 2011 34.7% 34.5% 15.8% 2.4% 12.6% 2008 33.6% 34.8% 18.0% 3.6% 9.9% 2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%						
2021 30.0% 25.7% 25.3% 0.8% 18.2% 2020 30.8% 25.1% 26.3% 0.8% 17.0% 2019 30.0% 24.9% 25.6% 1.8% 17.8% 2017 32.1% 26.9% 22.8% 2.1% 16.1% 2016 33.9% 28.3% 19.7% 1.7% 16.4% 2014 36.0% 33.2% 15.7% 2.1% 13.0% 2011 34.7% 34.5% 15.8% 2.4% 12.6% 2008 33.6% 34.8% 18.0% 3.6% 9.9% 2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%	Year	Professor	Professor	Professor	Instructor	Lecturers
2020 30.8% 25.1% 26.3% 0.8% 17.0% 2019 30.0% 24.9% 25.6% 1.8% 17.8% 2017 32.1% 26.9% 22.8% 2.1% 16.1% 2016 33.9% 28.3% 19.7% 1.7% 16.4% 2014 36.0% 33.2% 15.7% 2.1% 13.0% 2011 34.7% 34.5% 15.8% 2.4% 12.6% 2008 33.6% 34.8% 18.0% 3.6% 9.9% 2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%	2022	27.8%	27.0%	24.1%	0.3%	20.8%
2019 30.0% 24.9% 25.6% 1.8% 17.8% 2017 32.1% 26.9% 22.8% 2.1% 16.1% 2016 33.9% 28.3% 19.7% 1.7% 16.4% 2014 36.0% 33.2% 15.7% 2.1% 13.0% 2011 34.7% 34.5% 15.8% 2.4% 12.6% 2008 33.6% 34.8% 18.0% 3.6% 9.9% 2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%	2021	30.0%	25.7%	25.3%	0.8%	18.2%
2017 32.1% 26.9% 22.8% 2.1% 16.1% 2016 33.9% 28.3% 19.7% 1.7% 16.4% 2014 36.0% 33.2% 15.7% 2.1% 13.0% 2011 34.7% 34.5% 15.8% 2.4% 12.6% 2008 33.6% 34.8% 18.0% 3.6% 9.9% 2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%	2020	30.8%	25.1%	26.3%	0.8%	17.0%
2016 33.9% 28.3% 19.7% 1.7% 16.4% 2014 36.0% 33.2% 15.7% 2.1% 13.0% 2011 34.7% 34.5% 15.8% 2.4% 12.6% 2008 33.6% 34.8% 18.0% 3.6% 9.9% 2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%	2019	30.0%	24.9%	25.6%	1.8%	17.8%
2014 36.0% 33.2% 15.7% 2.1% 13.0% 2011 34.7% 34.5% 15.8% 2.4% 12.6% 2008 33.6% 34.8% 18.0% 3.6% 9.9% 2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%	2017	32.1%	26.9%	22.8%	2.1%	16.1%
2011 34.7% 34.5% 15.8% 2.4% 12.6% 2008 33.6% 34.8% 18.0% 3.6% 9.9% 2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%	2016	33.9%	28.3%	19.7%	1.7%	16.4%
2008 33.6% 34.8% 18.0% 3.6% 9.9% 2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%	2014	36.0%	33.2%	15.7%	2.1%	13.0%
2005 31.5% 34.5% 22.0% 3.8% 8.4% 2002 31.2% 32.7% 25.2% 3.2% 7.6%	2011	34.7%	34.5%	15.8%	2.4%	12.6%
2002 31.2% 32.7% 25.2% 3.2% 7.6%	2008	33.6%	34.8%	18.0%	3.6%	9.9%
	2005	31.5%	34.5%	22.0%	3.8%	8.4%
1999 30.8% 35.0% 24.7% 3.0% 6.5%	2002	31.2%	32.7%	25.2%	3.2%	7.6%
33.070 35.070 3.070 0.370	1999	30.8%	35.0%	24.7%	3.0%	6.5%
1996 32.4% 39.8% 19.3% 3.3% 5.2%	1996	32.4%	39.8%	19.3%	3.3%	5.2%
1993 31.9% 37.6% 22.1% 4.2% 4.2%	1993	31.9%	37.6%	22.1%	4.2%	4.2%
1990 29.3% 33.1% 29.5% 4.0% 4.2%	1990	29.3%	33.1%	29.5%	4.0%	4.2%
1987 30.4% 32.7% 26.6% 6.0% 4.2%	1987	30.4%	32.7%	26.6%	6.0%	4.2%

Average Faculty Age

				Percent of
Year	Average Age	Tenured	Non-Tenured	Tenured Over 40
2022	51	56	45	92%
2021	52	57	46	95%
2020	52	57	46	96%
2019	52	57	45	97%
2017	52	57	45	97%
2016	53	58	46	98%
2014	54	57	47	96%
2011	53	57	47	97%
2008	54	57	47	97%
2005	52	55	46	96%
2002	50	54	44	95%
1999	50	53	43	96%
1996	50	53	43	94%
1993	49	51	43	90%
1990	48	51	42	89%
1987	46	50	40	88%

Years to Tenure by Gender

Year	Average	Women	Men
2022	5.2	5.2	5.1
2021	5.2	5.2	5.3
2020	5.2	5.1	5.3
2019	5.2	5.2	5.3
2017	5.7	5.5	6.1
2014	5.6	5.4	6.1
2011	5.6	5.3	6.0
2008	5.4	5.2	5.8
2005	5.5	5.2	5.9
2002	5.3	5.1	5.8
1999	5.4	5.2	6.1
1996	5.3	5.0	5.9
1993	5.1	4.9	5.8
1990	5.1	5.0	5.9
1987	5.2	5.0	6.1

Minority Faculty Profile

Year	Count	Percent
2022	131	11.0%
2021	130	10.8%
2020	132	10.9%
2019	110	8.8%
2017	109	8.5%
2016	94	8.1%
2014	81	6.8%
2011	80	6.0%
2008	73	5.2%
2005	63	4.6%
2002	57	4.1%
1999	55	4.2%
1996	39	3.0%
1993	34	2.6%
1990	40	2.9%

TENURE ELIGIBLE PROJECTIONS

Tenure Eligible Faculty by Anticipated Year of Tenure by Gender

Year	Gender	UM	UMA	UMF	UMFK	UMM	UMPI	USM	LAW	Total
2024	Men	10			1	1		8		20
2024	Women	11	4	2				13		30
2025	Men	14	3	2			2	8	1	30
2025	Women	11	10	1	2		1	11		36
2026	Men	10		2	2		1	12	1	28
2026	Women	8	1	2	2			11		24
2027	Men	7			1					8
2027	Women	8	3	1			1			13
2028	Men	14	5	1	1		2	2		25
2028	Women	18	6	2	1		2	3		32

Tenure Eligible Faculty by Anticipated Year of Tenure by Ethnicity

Year	Gender	UM	UMA	UMF	UMFK	UMM	UMPI	USM	LAW	Total
2024	Minority	4						5		9
2024	White	17	4	2	1	1		16		41
2025	Minority	6						3		9
2025	White	19	13	3	2		3	16	1	57
2026	Minority	4	1					9		14
2026	White	14		4	4		1	14	1	38
2027	Minority	4								4
2027	White	11	3	1	1		1			17
2028	Minority	8		1	1					10
2028	White	24	11	2	1		4	5		47

UNIVERSITY OF MAINE SYSTEM – 2022-2023 FACULTY AND TENURE REPORT

RETIREMENT PROJECTIONS

Number of Faculty Reaching Retirement Age (65) by Year

2023	2024	2025	2026	2027	2028	2029	2030	2031	2032
35	18	24	27	34	30	37	37	32	30

Number of Faculty Reaching Retirement Age (65) by Year (cont.)

	2034								
30	25	27	30	35	30	35	21	30	27

UNIVERSITY OF MAINE SYSTEM – 2022-2023 FACULTY AND TENURE REPORT

FACULTY SABBATICALS

Faculty Sabbaticals 2011/12 to 2022/23

		•	•	
Year	Fall	Spring	Academic Year	Total
2011/12	26	35	14	75
2014/15	25	26	28	79
2017/18	21	31	14	66
2020/21	12	21	11	44
2021/22	14	11	9	34
2022/23	26	23	15	64

UNIVERSITY OF MAINE SYSTEM

Policy Manual

GOVERNANCE AND LEGAL AFFAIRS



Section 216 University of Maine System Policy on Policies

Effective: Last Revised:

Responsible Office: Clerk of the Board

Contact: Board of Trustees Office, 581-5840, ums.trustees@maine.edu

Review Cycle: every three years

Policy Statement

All University of Maine System (UMS) policies are classified as Board of Trustees Policies and are accessed on the <u>Board of Trustees website</u> under the Policy Manual section. A UMS Board of Trustees policy is a philosophical foundation or principle which establishes a behavioral norm and standards, expectations, required action(s) and consequences for violation(s) of: i) policy and ii) standards identified in policy.

This University of Maine System Policy on Polices addresses the process for developing, issuing, and maintaining all UMS Board of Trustees policies. The purpose of this policy is to provide the UMS community with a well-developed and understandable framework for Board policies. Furthermore, uniformity of process is intended to enhance shared governance under Unified Accreditation.

This policy applies to all UMS departments, employees, faculty, staff and students. Administrative Practice Letters are not included and will adhere to their own unified processes.

Definitions: Policies

<u>Academic Policies</u>: Academic policies are policies which primarily address the pedagogical and research mission of the System.

<u>Facilities Policies</u>: Facilities policies relate to the institution's ownership of real property and physical facilities.

<u>Financial Policies</u>: Financial policies outline the financial responsibilities and obligations of the System.

<u>Governance & Legal Affairs Policies</u>: Administrative policies primarily address governing the operations of the System.

<u>Human Resources & Labor Relations Policies</u>: Human Resources & Labor Relations (HR & LR) policies primarily address employment, contract, and equity policies.

<u>Information Technologies Policies</u>: Information technologies policies relate to information security and accessibility.

Research & Public Service Policies: Specific research and public service policies.

<u>Student Affairs Policies</u>: Student policies are policies which primarily address student life at the System.

Definitions - Other

<u>Policy Initiator</u>: The policy initiator is any employee, faculty or staff member who develops a policy proposal.

Procedure: Operational standards that define how a policy is implemented and followed.

<u>Red-lined version</u>: A document comparison by which changes are identified between two versions of the same document for the purposes of document editing and review that has either been added to or removed from the current policy.

<u>Responsible Official</u>: The responsible official is the appropriate office (Office of the Vice Chancellor, Office of General Counsel, Office of the President, Office of the Provost, Office of the Vice President for Research, or other leadership office) whose jurisdiction covers the subject matter of the policy. The responsible official is also responsible for coordinating all required tasks associated with the policy review and revision.

<u>Stakeholder</u>: Stakeholders are UMS community members who are affected by the policy being developed.

NEW POLICIES

Policy Development

The Policy Administrator for UMS Board of Trustees policies is the Clerk of the Board of Trustees in consultation with whomever is appropriate for each new policy proposal. The Clerk may also designate someone else to act as the Policy Administrator on a case-by-case basis and at his/her discretion.

All proposed new UMS Board of Trustees policies will be put forward by the Policy Initiator. The development of the proposed policy will follow the process set forth in the Administrative Practice Letter (APL) for Policy Proposals: APL Y-A.

Revisions or substantial amendments to current Board policies do not require a policy proposal. The Responsible Official for the content area of the current Board Policy should prepare a red lined version of the current policy. When the Responsible Official determines the version is ready, this red lined version will be submitted to the Board of Trustees Office to follow the normal policy approval process.

Otherwise, for a new proposal the Policy Initiator will submit the proposal for review and approval to the correct Responsible Official who is designated for the content area of the proposed policy or policy change. In many, if not most, cases the Responsible Official will be the Vice Chancellor for that content area. Upon receipt of the proposed policy from the Initiator, the Responsible Official may return it to the Initiator and request additional information and/or suggest amendment/s. The Responsible Official may also reject the proposed policy and in doing so, must provide the Initiator with a clear rationale for the rejection of the proposed policy. If the Responsible Official approves the policy, it is then forwarded to the Chancellor for approval.

After the proposed policy is approved by the Responsible Official and the Chancellor, the Responsible Official will develop the draft policy to submit to the Clerk of the Board. The draft policy will not include all of the information contained in the policy proposal. The Responsible Official shall consult with the Clerk of the Board to determine what information is appropriate for the draft policy and, if needed, determine what details should more properly be placed in an APL to be linked to the draft policy.

Policy Format

A standard policy format is intended to provide clarity and consistency. Although not all policies will contain all of the format elements, UMS Board of Trustees policies are required to be written and maintained following the basic outline below:

Section Category
Policy Number and Title
Effective Date
Last Revised Date
Responsible Official
Contact
Review Cycle
Policy Statement
Definitions
Policy Body
Related Documents & Resources

For policies that predate this Policy on Policies, the format described above is not applicable. Policies undergoing revision are required to be reformatted in the above-indicated approved policy format. All policy text and related documents, including policies under revision, are required to use gender-neutral pronouns and language and be developed using the model of unified accreditation and diversity, equity and inclusion (DEI).

Policy Approval Process

When the Responsible Official and the Clerk of the Board determine that a new or revised Board of Trustee policy is ready to move forward, it will first be submitted to the appropriate Committee of the Board as an information item. Following this Committee review, the policy will be reviewed in a full Board of Trustees meeting where the Board may provide input on the proposed new or revised policy. Any suggested changes to the policy may then be implemented by the Originator and the Responsible Official and resubmitted to the Board Committee which conducted the initial review for approval. Following approval by the Committee, the policy will again be presented at a full meeting of the Board of Trustees for final approval. The date of full approval by the Board will be the effective date of the policy. More detail about this process may be found in APL Y-A.

An exception to the policy approval process could be deemed necessary to align Board Policies with State and Federal Laws that are time sensitive and would require authorization by the Responsible Official, the Clerk of the Board and the Board Chair.

Policy Section Category

The Board of Trustees Policy Manual web site will list policies by name and category and will provide links to related procedures. Policies will be assigned to one of the following categories which indicate the appropriate Responsible Official:

- Section 100: Introduction Clerk of the Board Section 200: Governance & Legal – Clerk of the Board and General Counsel
- Section 300: Academic Affairs Vice Chancellor for Academic Affairs
- Section 400: Human Resources & Labor Relations Vice Chancellor for Strategic Initiatives
- Section 500: Student Affairs Vice Chancellor for Academic Affairs
- Section 600: Research & Public Service Vice Chancellor for Research and Innovation
- Section 700: Financial Affairs Vice Chancellor for Finance and Administration
- Section 800: Facilities Vice Chancellor for Finance and Administration
- Section 900: Information Technologies Vice Chancellor for Finance and Administration
- Section 100: Other As applicable

EXISTING POLICIES

Policy Revisions Process

Revisions or substantial amendments to current policies do not require a policy proposal. The Responsible Official for the current policy should submit a red-lined version of the current policy to the Vice Chancellor responsible for the content area for review and approval. If the Vice Chancellor determines the red-lined version of the policy is ready to present to the Board of Trustees, it will be submitted to the Clerk of the Board to follow the policy approval process as outlined in APL Y-A.

Policy Changes Without Board Approval

Certain policy changes that are non-substantive or which are required by a change in the law or administrative regulations may be made without the approval of the full Board as set forth in the procedure above. The Clerk of the Board, in consultation with the Responsible Official putting forward the proposed change, shall determine if the proposed change falls within the category that does not require full Board approval. The Responsible Official and the Clerk of the Board may consult with any other party they deem necessary or helpful to make this determination. Non-substantive changes, other than those required by law or regulation may take many forms, including but not limited to grammar or spelling corrections or other technical changes of that nature, including changes in the names of programs or departments or required renumbering.

Policy Retirement or Deactivation

If a Responsible Official believes that a policy they oversee is no longer useful, applicable, or is more effective if combined with another UMS policy, they may choose to deactivate the policy with the permission of the Clerk of the Board and approval of the Board of Trustees. The Responsible Official should confer with applicable UMS governance groups and subject matter experts as appropriate to ensure overall impact of deactivating the policy is considered. A deactivated policy will be securely archived and will display as repealed.

Policy Location

To ensure ready access to institutional policies, the University of Maine System will maintain an official Board of Trustees Policies web page (https://www.maine.edu/board-of-trustees/policy-manual/) with links to applicable procedures. The web page will be maintained by the Board of Trustees Office in a standard electronic format. The documents on this web page will constitute the official electronic depository for all current System-wide policies for the University of Maine System.

Related Documents & Resources

This section may list resources including links to related documents, resources or contacts in addition to the one listed in the header under "Contact" from Responsible Office. These can include the following:

- Documents such as policies, guidelines, manuals, contracts, APLs etc.
- Other documents such as laws, regulations, statutes, etc.
- Departmental websites where procedures are maintained
- Contact information for relevant subject matter experts

University of Maine System Board of Trustees Policy Manual

Administrative Practice Letter (APL)



APL Y-A (Section Y – Governance) **University of Maine System Policy Proposal Guidelines**

All University of Maine System (UMS) policies are classified as Board of Trustees Policies and are accessed on the <u>Board of Trustees website</u> under the Policy Manual section. This APL supplements the information outlined in Board of Trustee Policy 216 *Policy on Policies* to provide additional details on the information required to submit a proposal for a new Board of Trustees Policy. Board Policy 216 and this APL do not apply to administrative or departmental procedures or guidelines or administrative practice letters.

Below is a description of the information that should be included in the proposal.

POLICY PROPOSAL FORMAT

Section Category:

The section category will be preliminarily assigned by the Policy Initiator and confirmed by the Clerk of the Board and will be one of the follow areas:

Section 100: Introduction

Section 200: Governance & Legal Section 300: Academic Affairs

Section 400: Human Resources & Labor Relations

Section 500: Student Affairs

Section 600: Research & Public Service

Section 700: Financial Affairs

Section 800: Facilities

Section 900: Information Technology

Section 1000: Other

Policy Number & Title:

The policy number will refer to the appropriate section within the Board of Trustees Policy Manual. The policy number will be preliminarily assigned by the Policy Initiator and confirmed by the Clerk of the Board. The policy title is the primary means of identifying, locating and referring to a policy. It should be clear and concise and accurately represent the policy content.

Proposal Date:

The date the policy proposal was initiated.

Responsible Official:

The responsible official is the office who will be responsible for maintaining the policy.

Contact:

The policy contact staff member will serve as the primary person to respond to comments and specific questions about the policy. Provide an email address, preferably an office email rather than an individual's personal email. Please include telephone numbers and URLs if appropriate.

Review Cycle:

The review cycle refers to the frequency with which the policy will be reviewed for relevance or revision. Policies should be reviewed no less than every three years.

Policy Initiator:

The policy initiator is any administrator, faculty, staff member, Trustee or Board Committee who identifies an issue and develops a policy proposal.

Stakeholder Group(s):

Stakeholders are UMS community members who are affected by the policy being developed.

Proposed Effective Date:

The date the policy initiator is proposing as the effective date of the policy. This needs to take into consideration the Board Policy Approval process before establishing the proposed effective date for the policy.

Policy Statement:

This section broadly describes the policy's core provisions or requirements. This section should generally be very brief.

Definitions:

Define any specialized terms used in the policy proposal. List terms alphabetically using a standard outline format (i.e., I.A.1.a)

Policy:

This should include all details of the policy being proposed. Please use a standard outline format (i.e., I.A.1.a).

Related Documents & Resources:

This section may list resources including links to related documents, resources or contacts in addition to the one listed in the header under "Contact" from Responsible Office. These can include, but not limited to:

- Documents such as policies, guidelines, manuals, contracts, APLs etc.
- Other documents such as laws, regulations, statutes, etc.
- Departmental websites where procedures are maintained
- Contact information for relevant subject matter experts

POLICY PROPOSAL PROCESS

A Policy Initiator identifies a policy issue and develops it into a policy proposal with the written approval of the Responsible Official after consulting with the Clerk of the Board. The Policy Initiator may establishment a working group to assist with research, data gathering and development of the policy proposal. The Policy Initiator will submit the policy proposal to the Responsible Official for review.

The Responsible Official will socialize the policy proposal with the appropriate content-area stakeholder(s) and leadership groups for review, feedback, information sharing and impact on members of the UMS community. The Responsible Official and the Clerk of the Board will determine the appropriate content-area stakeholder(s) and leadership groups required to be consulted, which may include, but is not limited to:

- General Counsel, Vice Chancellor for Academic Affairs, Vice Chancellor for Finance and Administration, Vice Chancellor for Strategic Initiatives, Vice Chancellor for Research and Innovation, Presidents Council and key campus stakeholders,
- Campus-level departmental leadership groups such as Chief Academic Officers, Enrollment Managers, Chief Student Affairs Officers, etc., and
- Campus foundation and/or advancement staff

If the policy is endorsed by these appropriate content-area stakeholder and leadership groups and by the Clerk of the Board, the Responsible Official will submit the signed policy proposal to the Vice Chancellor responsible for the content area. Once a policy proposal is approved and signed by a Vice Chancellor and the Chancellor, the Responsible Official will develop the draft policy from the policy proposal and submit it to the Vice Chancellor who will provide it to the Clerk of the Board for review prior to submission and review by the appropriate Board Committee.

If the policy is not endorsed by these appropriate content-area stakeholder and leadership groups, the Policy Initiator will confer with the Responsible Official, the Clerk of the Board and other applicable UMS leadership to determine how to proceed:

- proceed with the proposed policy as presented,
- modify the proposed policy and resubmit,
- do not proceed with the proposed policy, or
- develop the information as an APL or internal administrative/departmental guideline or procedure.

Stakeholder Groups

Appropriate stakeholder and leadership groups may include, but are not limited to, to the following individuals or departments:

- subject-matter experts,
- operational groups affected by the policy, and
- those responsibility to fund, implement, oversee or establish compliance with policy provisions.

The Responsible Official will work closely with the Office of General Counsel to address legal, regulatory and compliance concerns prior to finalizing a draft policy that will be circulated to others, including stakeholders and leadership, for review.

Policy Approval

The Vice Chancellor, in consultation with the Clerk of the Board, will determine whether a draft policy is ready to present to the Board of Trustees. The Clerk will discuss the policy proposal with Board Leadership. If the draft policy and the final policy proposal is ready to move forward, the documents will be submitted to the Board of Trustees Office to include in the meeting materials for the appropriate Board of Trustees Committee and full Board of Trustees for a first read (an information sharing agenda item). Following any substantive revisions, the

policy and the final policy proposal will be presented to the appropriate Board of Trustees Committee and full Board of Trustees for a second read (an actionable agenda item) at the next regularly scheduled Committee and Board of Trustees meetings.

The Responsible Official will maintain the official copy of the policy proposal. Draft policies that are approved by the Board of Trustees become active UMS Board of Trustees policies and will be updated with the appropriate effective date. The Board of Trustees Office will maintain a historic record of all versions of approved Board policies and will post an electronic version of current policies on the Board of Trustees website under the Policy Manual section.

The Responsible Official will be responsible for educating applicable UMS groups about any such policy; such education is to be guided by recommendations from the Clerk of the Board.

Policy Review Cycle

The Clerk of the Board will notify the Responsible Officials when policies are scheduled for review or revision and is available to work with the Responsible Official during all phases of the policy review process, including, if applicable, implementation of a training schedule. This notification will be done by using a Review Cycle Tracking Sheet initiated by the Clerk of the Board to the Responsible Official. The Responsible Official will complete the Review Cycle Tracking Sheet and return it to the Clerk of the Board by the deadline date indicated on the form.

The Responsible Official is responsible for monitoring compliance and facilitating remedies for noncompliance as directed by the policy and as informed by conversations with appropriate leadership. Legal, regulatory and compliance concerns regarding policy noncompliance are required to be sent to the Office of General Counsel for advice and counsel.

University of Maine System Board of Trustees Policy Proposal

Section Category: Academic Affairs Policy Number & Title: 320 Academic Classroom Materials Proposal Date: November 16, 2022 Responsible Official: Vice Chancellor for Academic Affairs Contact: Jane Jones, Office Manager, UMS Academic Affairs Office, 581-XXX, xxxxxx@maie.edu Review Cycle: every three years Policy Initiator: Professor John Smith, Department of Biology, University of Maine Stakeholder Group(s): UMS Faculty and Adjunct Professors Proposed Effective Date: September 2023 **Policy Statement: Definitions: Policy: Related Documents & Resources:** Policy 301 Mission Policy Policy Initiator's Name Policy Initiator's Signature Date Responsible Official's Name Responsible Official's Signature Date

Chancellor's Signature

Clerk of the Board's Signature

Date

Date

Chancellor's Name

Clerk of the Board's Name

Capital Projects Status Report and 2018 Bond Projects Update, UMS

Executive Summary

Overview:

Attached is the Capital Project Status Report that was presented at the March 1, 2023, meeting of the Finance, Facilities and Technology Committee. The report reflects a total of 32 projects, with two projects removed, one at UMF and one at USM, and one added since the last report. Note that the projects highlighted in yellow reflect current P3 projects. Additionally, projects which are at Board approval level utilizing Harold Alfond Foundation (HAF) grant and matching money are highlighted in green. HAF projects below Board approval level are noted in a separate table at the end of the report as well.

An adjustment to the reporting of Board authorized University of Maine HAF Athletics projects is reflected on this report. The projects are reported on two separate lines with multiple projects on each reflecting the total budgets as they were approved.

A handful of projects funded in whole or in part with 2018 bond funds which were completed in 2022 remain on the reports while awaiting realization of the Percent for Art process.

COVID-19 and Current Market Impacts on Capital Construction:

• Market instability and labor shortages continue to create very difficult conditions for the bidding and estimating climate.

Bond Project Status Report:

The special portion of this report calling out only projects funded with the 2018 State bonds reflects sixty-four (64) projects with one new project having been added. The projects are currently estimated to account for over \$48 million of the \$49 million in voter approved general obligation bond funding. Over \$42 million of that has been expended.

Supplemental funding is being leveraged for some of these projects and the total estimated project value across all funds is nearly \$68 million, including the bond funding and other project resources.

- Eleven (11) of the active bond projects also appear on the Capital Project Status Report with approved budgets above board threshold.
- The remaining bond funded projects do not have budgets that meet the threshold for Board of Trustees consideration and are therefore not present on the Capital Projects Status Report.
- As projects are closed, they are moved to the completed projects section on this report and remain on the report for documenting purposes until all Bond Projects are completed.
- The Completed project section reflects twenty-eight (28) projects that are complete. There are another ten (10) projects in the active projects table listed as complete and substantially complete. These will move to the completed section once closeout is finalized.

Research space approvals:

This report provides timely and appropriate disclosure of Chancellor-approved increases in University owned or occupied space when the space is for research purposes, as approved by the Board of Trustees at the January 2020 Board Meeting: none

02/17/2023

Harold Alfond Foundation (HAF) Grant funded projects:

Athletics

Work on the Softball complex project continues with completion anticipated in time for the first game on April 1st.

Work on the Field Hockey complex continues with completion is anticipated in time for the 2023 season

Scoreboards for Baseball are expected to be installed by Spring 2023 in time for the season.

Design continues for the Alfond Arena renovation and expansion. The scoreboards are in procurement and are expected to be completed this fall.

Design for the Morse Multipurpose Arena is anticipated to begin this summer.

<u>UMaine Mahaney Dome</u>

In late January the indoor practice facility on the Orono campus, Mahaney Dome, collapsed after a heavy, wet snowfall event. The University is exploring its options for replacement and expects to be before the Board in the coming months with a request for replacement.

MCECIS

The master plan report has been delivered by the design team. Additional discussions continue with the new Dean of Engineering.

300 Fore Street Renovation:

The MELAW School is in session as scheduled. Project closeout activity is ongoing while remaining punch list items are being addressed.

USM Portland Development Projects:

Portland Commons

The first wave of owner punchout of units, 41 of 385 units, were completed on February 1, 2023 located in the eight story D-wing. Meanwhile multitrade work including framing, sheet rocking and utility installation continues as the crews make their way from the 2 eight story wings to the 2 five story wings. Exterior wall panel and siding installation continues.

Career and Student Success Center

Finish work continues on schedule.

Parking Garage

Construction continues in line with the contractual completion date. Due to shipping delays, the city mandated EV chargers will be installed but not energized until the final electrical switchgear arrives. The City of Portland has indicated a partial Certificate of Occupancy would be feasible to allow for this work to take place after receipt of all equipment.

Center for the Arts

A site review application for the City of Portland was submitted in December and a review workshop is scheduled for February 28. Design completion and GMP delivery are anticipated for late spring final approval. Schedule is subject to the Building Permit review time with the city. Groundbreaking is anticipated in July/August 2023.

Chamberlain Street Property

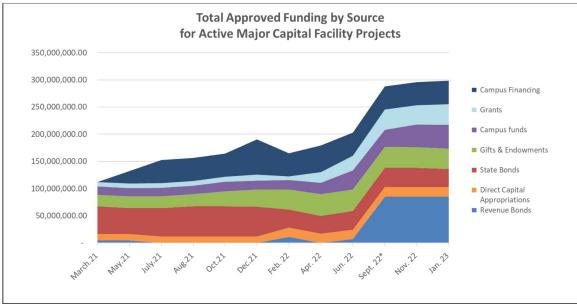
As stated in the CFA agenda item, it is the intention of USM to consider the sale of properties located on Chamberlain Street. The properties are currently leased to Avesta Housing through 02/17/2023

July of this year. After the termination of the lease the University will work to obtain a valuation/assessment of these properties followed by marketing through a broker. Formal Board authorization will be requested prior to listing the properties.

Relocation of Dairy Operations, UMaine

Some unexpected complexities discovered during the initiation of this project has caused the University to review and re-work the scope. An updated authorization request is expected in the coming months to address these changes.





^{*} September 2022 data has been adjusted to reflect incorrect funding sources reported on the October 2022 report.

02/17/2023

⁻Direct Capital Appropriations funds consist of capital appropriations in anticipation of revenue bonding, as well as MEIF funds.

⁻Campus Financing demonstrates the use of interim financing in the form of a Bond Anticipation Note.

Capital Project Status Report

Board Approved Projects
March 2023 - Board of Trustees
With Grand Totals and % of Current Approve

	With Grand Totals and % of Current Approved Estimates											
	Funding Source(s) & each		Original			Current						
G . D	source's share of expenditures	g	Estimated	Current Est.	Original Approved	Approved	Total Expense	% Expended of Current				
Campus, Project Name (Project ID)	to date	Status	Completion	Completion	Estimate	Estimate	to Date	Approved Estimate	Prior Actions, Information & Notes			
UMA												
***Randall Welcome Center (1100085)	2018 State Bond (100%)	Complete	2021	2022	\$2,150,000	\$2,150,000	\$1,741,576	81.0%	Board approved \$2.15M May 2021. The approval of 1100085 in May of '21 replaces 1100077.			
Medical Laboratory Technology (1100093)	E&G(100%)	Design in Progress	2023	2023	\$1,650,000	\$1,650,000	\$49,842	3.0%	Board approved \$1,650,000 in March, 2022.			
Camden Hall Vet Tech (1100095)	E&G(100%)	Construction in Progress	2023	2023	\$1,600,000	\$1,600,000	\$357,126	22.3%	Board approved \$1,600,000 in March, 2022.			
Handley Hall A/C replacement (1200029)	E&G (20%),HEERF(80%)	Design in Progress	2020	2023	\$575,000	\$1,230,000	\$135,504	11.0%	Board approved \$575K in September, 2019. Board approved \$1.2M in Emergency Relief Funds and up to \$30k in E&G funds in Sept '21.			
Katz Library HVAC Repairs (1200061)	HERFF (100%)	Construction in Progress	2021	2022	\$1,100,000	\$1,335,000	\$1,135,202	85.0%	Board approved \$1.1M Sept 2021. Board authorized additional \$235,000 in June 2022.			
UMF												
274 Front St Renovation (2100096)	2018 State Bond (100%)	Construction in Progress	2020	2023	\$450,000	\$3,100,000	\$1,309,876	42.3%	Board approved up to \$3.1M in January 2022.			
**FRC Façade Replacement (2100112)	2018 State Bond (100%)	Construction in Progress	2022	2023	\$925,000	\$925,000	\$98,846	10.7%	Board approved up to \$925,000 in May 2022.			
UMFK												
**UMFK Enrollment/Advancement Center (3100042)	2018 State Bond (100%)	Substantially Complete	2022	2023	\$3,249,000	\$3,249,000	\$2,885,296	88.8%	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K for a total of \$3,249,000.			
UM												
UM Ferland Engineering, Education and Design Center (5100458, 5100493, 5100546, 5200604)	Campus Funds (3%), State Approp (25%) Gifts (28%), 2022 Revenue Bond (10%) Notes Payable(34%)	Substantially Complete	2024	2024	\$1,000,000	\$78,000,000	\$67,525,962	86.6%	Board approved \$1M in September, 2017. Board approved additional \$8M in May, 2018. Additional \$63M BOT approved March, 2020 Initial occupancy of this facility is expected in 2022; final completion in 2024. Board authorized up to \$78M in Jan' 22.			
UM Energy Center Phase II (5100516, 5100517)	Campus E&G Funds (69%) Grants (27%), 2022 Revenue Bond(4%)	Design in Progress	2023	2023	\$5,700,000	\$5,700,000	\$923,137	16.2%	Board approved \$5.7M March, 2019.			
**Neville Hall Renovations (5100534)	State Bond (100%)	Substantially Complete	2021	2023	\$1,500,000	\$1,500,000	\$1,342,073	89.5%	Board approved up to \$1.5M expenditure in March 2021.			
ASCC Secure Clean Lab Suite (5100560)	Grants (100%)	Construction in Progress	2023	2023	\$2,451,268	\$2,451,268	\$544,412	22.2%	Board authorized \$2,451,268 in March 2022.			
Steampit SA10 (5100563)	E&G (100%)	Substantially Complete	2022	2022	\$640,000	\$640,000	\$522,494	81.6%	Board authorized \$640,000 in May 2022.			
ASCC Building Addition GEM Lab (5100579)	Grants (77% -MJRP)Operating Reserves (23%)	Design in Progress	2025	2025	\$1,500,000	\$15,300,000	\$1,164,737	7.6%	Board approved \$1.5M May 2021.			
HVAC Systems & Controls Upgrades (5100588)	Campus Funds (100%)	Pre-Design in Progress	2024	2024	\$10,000,000	\$10,000,000	\$49,277	0.5%	Board authorized up to \$10M in May 2022.			
**UM Priority 1 Athletics fields (Soccer - 5100593, Field Hockey - 5100594, Softball - 5100597)	Gifts (18%) HAF Grant (82%)	Construction in Progress (5100594, 5100597); Design in Progress (5100593)	2023	2023	\$14,000,000	\$33,000,000	\$8,050,706	24.4%	Board authorized \$14M in January 2022. Board authorized additional \$19M in August 2022.			
*UM Phase 2 Projects (Baseball Stad. Scoreboard - 5100652, Alfond Arena Video Boards - 5100653, Shawn Walsh Renovation - 5100658, Master Plan - 5200696)	E&G (4%) HAF Grant (96%)	Construction in Progress (5100652, 51100653); Design in Progress (5100658, 5200696)	2024	2024	\$7,000,000	\$7,000,000	\$598,643	8.6%	Board authorized \$7M in August 2022.			
Relocation of Dairy Operations; Dairy Barn Demo (5100631, 5200747)	System Reserves(68%) E&G (32%)	Design and Bidding in Progress	2023	2023	\$800,000	\$800,000	\$262,744	32.8%	Authorized by FFT at June, 2022 meeting.			
UM Adaptive Reuse project/Historic P3 (5200661 5200765)	Campus Funds- Aux and E&G Reserves (100%)	Construction in Progress	2023	2024	\$2,000,000	\$3,000,000	\$1,641,286	54.7%	Board authorized for UM contribution of up to \$2M in October 2021. Board authorized additional \$1M in March 2022.			

Capital Project Status Report

Board Approved Projects March 2023 - Board of Trustees

			With Gr	and Totals and %	of Current Approved	l Estimates			
	Funding Source(s) & each		Original		•	Current			
Campus, Project Name (Project ID)	source's share of expenditures to date	Status	Estimated Completion	Current Est. Completion	Original Approved Estimate	Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
Campus, Project Name (Project ID)	to date	Status	Completion	Completion	Estimate	Estillate	to Date	Approved Estimate	r not Actions, information & Notes
USM									
USM Center for the Arts (6100300)	Gifts (100%)	Design in Progress	2022	2025	\$1,000,000	\$4,200,000	\$2,345,299	55.8%	Board approved \$1M in January, 2018. Board authorized an additional \$3.2M for a total of \$4.2M in November 2021.
**Career and Student Success Center and Portland Residence Hall (6100325, 6100338)	2018 State Bond (28%), 2022 Revenue Bond (46%) Notes Payable (26%)	Construction in Progress	2020	2023	\$1,000,000	\$100,600,000	\$72,489,569	72.1%	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined for the two projects in January 2020. Board approved an increase by \$93.7M in February 2021. Chancellor approved additional \$1.2M for Res. Hall in June, 2022.
**Structured Parking Garage (6100331)	Campus E&G Funds (1%), 2022 Revenue Bond (93%) Notes Payable (6%)	Construction in Progress	2022	2023	\$1,200,000	\$23,500,000	\$16,409,453	69.8%	Board approved in March 2020 with initial spending limit of \$400,000; addtl \$800,000 authorized by the Chancellor and VCFA and Treasurer in April, 2021. Board authorized a new total of \$23m in November, 2021. Chancellor approved additional \$0.5M in June, 2022.
Academy Building Renovation (6100332)	Campus E&G Funding (100%)	Construction in Progress	2022	2023	\$800,000	\$1,300,000	\$377,972	29.1%	Authorized by FFT at June, 2022 meeting. Board approved additional \$500,000 in October 2022.
USM Dubyak Center (6100342)	Gifts (34%), State Bond(66%)	Construction in Progress	2022	2023	\$2,500,000	\$2,500,000	\$219,071	8.8%	Board approved up to \$2.5 million in January, 2022. \$1M of bond funds to cover the total \$2.5m project budget. Addtl \$1.5M funding is from Maine Jobs Recovery funds.
Hannaford Field Turf Repl (6100362)	E&G (100%)	Substantially Complete	2022	2022	\$900,000	\$900,000	\$757,184	84.1%	Board approved up to \$900,000 in March 2022.
Fitness Equipment Purchase and Space Renovation USM Gorham Costello Gym Reno (6100370), Sullivan Gym Equip Repl (6100371), LAC Gym Equip Repl (6200295)	Campus E&G Funds (100%)	Substantially Complete	2020	2022	\$700,000	\$770,000	\$701,398	91.1%	Board Approved March, 2020. No expenditures as of yet. An increase of \$70k was authorized by the Chancellor to \$770k in December 2021.
USM IPE Lab (6200286)	Gifts (100%)	Construction in Progress	2022	2023	\$482,000	\$980,000	\$618,413	63.1%	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.
UMPI									
UMPI Solar Array (7100023)	Campus E&G (100%)	Substantially Complete	2020	2022	\$700,000	\$1,144,240	\$1,008,619	88.1%	Board approved \$700K June, 2020. Board approved an increase to \$1,144,240 during the August 2021 Executive Committee.
**Wieden Renovation Bond (7100025)	2018 State Bonds (53%), Gifts(2%), Grants (22%), Campus Reserves(23%)	Substantially Complete	2020	2023	\$3,757,000	\$7,652,280	\$6,201,992	81.0%	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022.
**Folsom 105 Nursing Renovation (7100026)	2018 State Bonds (100%)	Complete	2020	2023	\$800,000	\$760,000	\$719,300	94.6%	Board approved \$800K March, 2020. Budget reduced by \$40K due to funds to Wieden Renovation.
Park Hall (7100029)	State Appropriation 100%	Design in Progress	2023	2023	\$662,000	\$662,000	\$52,283	7.9%	Board approved \$662,000 at June 2022 FFT meeting.

Capital Project Status Report Board Approved Projects March 2023 - Board of Trustees With Grand Totals and % of Current Approved Estimates

			77 Ith G1	and Totals and % o	or current ripproved	Listilliates				
	Funding Source(s) & each source's share of expenditures		Original Estimated	Current Est.	Original Approved	Current Approved	Total Expense	% Expended of Current		
Campus, Project Name (Project ID)	to date	Status	Completion	Completion	Estimate	Estimate	to Date	Approved Estimate	Prior Actions, Information & Notes	
UMS/Law School										
**300 Fore St Portland Renovation (8100152)	Gifts (29%), E&G(71%)	Substantially Complete	2022	2022	\$6,000,000	\$13,827,396	\$11,299,005	81.7%	Board approved \$6M September 2021. Board approved increase to \$11.5M in Jan '22. Board authorized additional \$1,327,396 in March 2022.	
HAF projects which are currently below board level										
Campus		Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est.	Total Expense to Date	Prior Actions, Information & Notes			
UM - Engineering Ph III - MCECIS Master Planning (52	200692)			HAF Grant/HAF Match (100%)	Pre-Design	TBD	TBD	480,485.48	HAF Funded project. Below Board level.	
		(100%)								
UM - Morse field Turf Replacement (5100559)				Campus Funds (59%) Gifts (41%)	Complete	2021	2021	445,516.76	HAF Funded project. Below Board level.	

Active Bond Projects

March 2023 - Board of Trustees

With Grand Totals and % of Current Approved Estimates

		Original Estimated	Current Est.	Funding Source(s) of expenditures to date & each	Estimated Bond Funding for	Bond Funding	Total Estimated Project	
Campus, Project Name (Project ID)	Status	Completion	Completion	source's share	Project	Expended	Cost	Prior Actions, Information & Notes
UMA								
**Randall 2nd Floor Renovations (1100083)	Complete	2021	2022	Bond (100%)	\$93,492	\$93,492	\$93,492	
**Randall Welcome Center (1100085)	Complete	2021	2022	Bond (100%)	\$1,741,576	\$1,741,576	\$1,741,576	Board approved \$2.15M May 2021. The approval of 1100085 in May of '21 replaces 1100077.
**Bangor Campus Welcome Center (1100534)	Complete	2021	2022	Bond (95%) E&G (5%)	\$462,308	\$462,308	\$486,141	
Randall Admissions Renovations (1200083)	Construction in Progress	2021	2023	Bond (57%) E&G (43%)	\$172,275	\$111,396	\$306,717	
UMF				Total Bond for Campus	\$2,469,651	\$2,408,772	\$2,627,926	
274 Front St Renovation (2100096)	Construction in Progress	2020	2023	Bond 100%	\$1,400,000	\$1,303,617	\$3,100,000	Board approved up to \$3.1M in January 2022. \$1.4m in 2018 bonds, the remaining is from gifts, Maine Jobs Recovery Act funds and other congressional earmarks.
Olsen Center Renovations (2100102)	Design in Progress	2023	2023	Bond (100%)	\$300,000	\$107,535	\$300,000	
**Campus ADA Ramps (2100104)	Construction in Progress	2021	2023	Bond (100%)	\$100,000	\$32,956	\$100,000	
**Roberts HVAC Upgrade (2100106)	Construction in Progress	2021	2023	Bond (100%)	\$150,000	\$70,661	\$150,000	
**Ricker Addition Renovation (2100108)	Design in Progress	2021	2023	Bond (100%)	\$175,000	\$55,619	\$175,000	
**Scott West Renovation (2100110)	Construction in Progress	2021	2023	Bond (100%)	\$175,000	\$77,341	\$175,000	
FRC Roof Replacement (2100111)	Complete	2021	2022	Bond (100%)	\$325,000	\$308,727	\$325,000	
**FRC Façade Replacement (2100112)	Construction in Progress	2022	2023	Bond (100%)	\$925,000	\$98,846	\$925,000	Board approved up to \$925,000 in May 2022.
**Security Camera&Phone Install (2100115)	Construction in Progress	2023	2023	Bond (100%)	\$100,000	\$35,912	\$100,000	
Lockwood Hall Heat Conversion (2100116)	Construction in Progress	2023	2023	Bond (100%)	\$465,000	\$262,204	\$465,000	
**Exterior Merrill Hall (2200096)	Bidding	2020	2023	Bond (100%)	\$450,000	\$52,962	\$450,000	
	•		4	Total Bond for Campus	\$4,565,000	\$2,406,379	\$6,265,000	
UMFK	1		1		1		1	
UMFK Enrollment/Advancement Center (3100042)	Substantially Complete	2022	2023	Bond (100%)	\$2,990,000	\$2,885,296	\$3,249,000	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K for a total of \$3,249,000.
				Total Bond for Campus	\$2,990,000	\$2,885,296	\$3,249,000	

Active Bond Projects

March 2023 - Board of Trustees

With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est.	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UM	2 1111111							
**UMM Dorward Hall Roofing (4200048)	Substantially Complete	2021	2022	Bond (100%)	\$45,000	\$32,939	\$45,000	
**Neville Hall Renovation (5100534)	Substantially Complete	2021	2023	Bond (100%)	\$1,500,000	\$1,342,073	\$1,500,000	Board approved up to \$1.5M expenditure in March 2021.
R-UMM Science Bldg Reno (5100581)	Pre-design in Progress	2022	2023	Bond (100%)	\$200,000	\$8,260	\$200,000	
**R-Dorward Hall Access Upgrade (5100596)	Construction in Progress	2022	2023	Bond (100%)	\$187,111	\$133,276	\$187,111	
**R-Campus Paving & Grounds Upgr (5100650)	Design and Construction in Progress	2023	2023	Bond (100%)	\$400,000	\$31,801	\$400,000	
**R-UMM O'Brien ADA Acess Ramp (52000741)	Pre-design in Progress	2022	2023	Bond (100%)	\$50,000	\$114	\$50,000	
**R-Powers Hall Building Upgra (5200776)	Design in Progress	2023	2023	Bond (100%)	\$225,000	\$12,119	\$225,000	
**R-Science Building Drainage (5200777)	Substantially Complete	2023	2023	Bond (100%)	\$45,000	\$15,250	\$45,000	
**R-UMM Building Signage (5200778)	Substantially Complete	2022	2022	Bond (100%)	\$45,000	\$26,405	\$45,000	
*R-Dorward Allergy Free Kitchen (5200786)	Design and Construction in Progress	2023	2023	Bond (100%)	\$37,000	\$372	\$37,000	
USM				Total Bond for Campus	\$2,734,111	\$1,602,609	\$2,734,111	
**Center of Excel. Teacher Ed. (6100299)	Bidding	2023	2023	Bond (80%) Gifts (20%)	\$250,000	\$55,085	\$320,000	
Career and Student Success Center (6100325)	Construction in Progress	2022	2023	Bond (93%) Gifts (7%)	\$19,000,000	\$18,950,000	\$26,551,000	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined with the residence hall project in January 2020. Board approved an increase by \$93.7M in February 2021, of that amount, the specific budget for the CSSC is \$26.6M.
Nursing Simulation Lab Science (6100327)	Complete	2021	2022	Bond (100%)	\$1,500,000	\$1,408,318	\$1,500,000	Board approved \$1.5M in January, 2020.
**USM Dubyak Center (6100342)	Construction in Progress	2022	2023	Bond (66%) Gifts (23%) Grants (11%)	\$1,000,000	\$145,284	\$2,500,000	Board approved up to \$2.5 million in January, 2022. \$1M of bond funds to cover the total \$2.5m project budget. Addtl \$1.5M funding is from Maine Jobs Recovery funds.
**Upper Class Pipe Insul Replmnt (6100366)	Construction in Progress	2022	2023	Bond (93%) E&G (7%)	\$112,584	\$39,764	\$112,584	
**LAC Deferred Maint Projects (6100367)	Construction in Progress	2022	2023	Bond (100%)	\$300,000	\$84,600	\$300,000	
**Upper Class Hall Online Locks (6100369)	Construction in Progress	2022	2023	Bond (99%) E&G (1%)	\$379,021	\$372,987	\$399,021	

Active Bond Projects

March 2023 - Board of Trustees

With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
USM Continued								
IPE Lab Science Renov (6200286)	Construction in Progress	2022	2023	Gifts (100%)	\$80,000	\$0	\$980,000	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.
UMPI				Total Bond for Campus	\$22,621,605	\$21,056,037	\$32,662,605	
Wieden Renovation Bond (7100025)	Construction in Progress	2020	2023	2018 State Bonds (53%), Gifts(2%), Grants (22%), Campus Reserves(23%)	\$3,757,000	\$3,276,462	\$7,652,280	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022.
Folsom 105 Nursing Renovation (7100026)	Complete	2020	2023	Bond (100%)	\$760,000	\$719,300	\$760,000	Board approved \$800K March, 2020. Reduced by \$40K to allow Wieden funding.
•				Total Bond for Campus	\$4,517,000	\$3,995,762	\$8,412,280	

Totals: \$39,897,367 \$34,354,853 \$55,950,922

			Con	pleted Bond Projects				
Augusta Campus Welcome Center (1100077)	Closed	2021	2021	Bond (100%)	\$350,388	\$350,388	\$350,388	UMA
Randall Center Student Lounge (1100084)	Complete	2021	2022	Bond (100%)	\$143,675	\$143,675	\$143,675	UMA
Jewett Hall Boiler Design Work (1200062)	Complete	2021	2021	Bond (100%)	\$305,000	\$321,287	\$321,287	UMA
ACC Nursing Upgrades (1200082)	Complete	2022	2022	Bond (95%) E&G (5%)	\$43,341	\$43.341	\$45.856	UMA
Dearborn Gym Hot Water Upgrades (2100087)	Complete	2019	2022	Bond (90%) Energy Bond (10%)	\$848,752	\$764,755	\$848,752	UMF
274 Front St Acquisition (2100089)	Complete	2019	2019	Bond (100%)	\$850,820	\$850,820	\$850,820	UMF
Scott Hall Renovations (2100092)	Complete	2019	2022	Bond (100%)	\$193,660	\$193,660	\$193,660	UMF
Dakin Hall Shower Renovations (2100093)	Complete	2019	2022	Bond (100%)	\$95,707	\$95,707	\$95,707	UMF
Lockwood Hall Shower Renovations (2100094)	Complete	2019	2022	Bond (100%)	\$87,103	\$87,103	\$87,103	UMF
Stone Hall Renovations (2100095)	Complete	2019	2022	Bond (100%)	\$181,117	\$181,117	\$181,117	UMF
UMF Campus Paving (2100097)	Complete	2019	2019	Bond (100%)	\$97,338	\$97,338	\$97,338	UMF
FRC Floor Renovation (2100098)	Complete	2019	2019	Bond (100%)	\$209,503	\$209,503	\$209,503	UMF
Mantor Library Renovations (2100103)	Complete	2021	2022	Bond (100%)	\$270,503	\$270,503	\$270,503	UMF
Dakin Flooring, Ceiling, Light (2100105)	Complete	2021	2021	Bond (100%)	\$206,187	\$206,187	\$206,187	UMF
Merrill Hall HVAC Upgrade (2100107)	Design Complete	2021	2022	Bond (100%)	\$35,127	\$35,127	\$35,127	UMF
Scott North Renovation (2100109)	Complete	2021	2022	Bond (100%)	\$98,605	\$98,605	\$98,605	UMF
Scott South Renovations (2200102)	Complete	2022	2022	Bond (100%)	\$132,222	\$132,222	\$132,222	UMF
Stone Hall Suite Conversion (2200109)	Complete	2022	2022	Bond (100%)	\$275,000	\$194,947	\$275,000	UMF
UMM Science Building Roof Replacement (4100042)	Complete	2020	2020	Bond (100%)	\$280,487	\$280,487	\$280,487	UMM
UMM Dorward Hall Roof Replacement (4100043)	Complete	2020	2020	Bond (100%)	\$296,092	\$296,092	\$296,092	UMM
UMM Sennett Roof Replacement (4100044)	Complete	2020	2020	Bond (100%)	\$201,257	\$201,257	\$201,257	UMM
UMM Reynolds Renewal (4100047)	Complete	2021	2022	Bond (100%)	\$320,475	\$320,475	\$320,475	UMM
UMM Reynolds Center Roof Repair (4200044)	Complete	2020	2020	Bond (100%)	\$154,226	\$154,226	\$154,226	UMM
UMM Site Work (4200045)	Complete	2020	2020	Bond (100%)	\$57,365	\$57,365	\$57,365	UMM

Active Bond Projects

March 2023 - Board of Trustees

With Grand Totals and % of Current Approved Estimates

		Original		Funding Source(s) of	Estimated Bond	Bond	Total Estimated	
		Estimated	Current Est.	expenditures to date & each	Funding for	Funding	Project	
Campus, Project Name (Project ID)	Status	Completion	Completion	source's share	Project	Expended	Cost	Prior Actions, Information & Notes
			Completed	l Bond Projects Continued				
UMM Science Bldg Rm 010 Renovation (5100575)	Complete	2021	2022	Bond (100%)	\$100,885	\$100,885	\$100,885	UMM
Woodward Hall Renovations (6100301)	Complete	2019	2019	Bond (86%) E&G (14%)	\$1,008,395	\$1,008,395	\$1,172,840	USM
Ricci Lecture Hall Renovations (6100308)	Complete	2019	2020	Bond (31%) Gifts (43%), E&G (26%)	\$172,010	\$172,010	\$564,197	USM
Bailey Hall Fire Protection and Electrical Upgrades (6100316, 6100323)	Complete	2019	2022	Bond (35%) E&G (65%)	\$1,460,000	\$1,460,000	\$4,160,740	USM
				Totals:	\$8,475,241	\$8,327,478	\$11,751,416	
				GRAND Total (Active and Completed Projects)	\$48,372,608	\$42,682,332	\$67,702,337	
Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report.	Funding source(s) reflects primary source(s) for project.		Calendar	Year unless otherwise noted.				Bond Funding expended reflects total expended as of January 31, 2023.
Completed projects will remain on this report unless otherwise specified. *** Projects will be removed from the report.								



University of Maine System Management Group Appointments/Changes Board of Trustees Meeting March 2023

Campus	Name	Position Title	Effective Date	Prior Salary	New Salary	Previous Position Title	Notes
U GOV	Paul Chan	General Counsel	11/1/2022		\$250,000		New Hire
U SVC	Victoria Ryden	Strategic Advisor and Director of External Affairs	1/16/2023		\$165,000		New Hire
UM	Gabriel Paquette	Associate Provost for Academic Affairs and Faculty Development	2/3/2023		\$208,000		New Hire
UM	Giovanna Guidoboni	Dean, College of Engineering	1/16/2023		\$250,000		New Hire
UM	Jude Killy	Director of Athletics	1/30/2023		\$250,000		New Hire
UM	Thomas Marzilli	Associate Provost for Student Success and Innovation	2/1/2023		\$175,000		New Hire
UMM	Megan Walsh	Dean and Campus Director	12/19/2022		\$135,000		New Hire

EXECUTIVE SUMMARY Gordian Annual Facilities Report, Fiscal Year 2022

A key metric formally adopted by Trustees – density, as a measure of the intensity or efficiency of the use of our space, has continued its longer-term downward trend. This is illustrated on Slide 10 in the slide numbering sequence. The Trustee's commitment to constrain space growth has kept the overall gross square footage (GSF) flat (Slide 8) which helped to slow the decline in density, but declining enrollment continues to impact this metric. UMS remains far less dense than public higher education overall and faces challenges to reach the established long-term goal. Looking forward there are several factors that could drive density lower in the coming years:

- Continued enrollment contraction
- Projected growth of GSF: several large facilities will come online in the coming years and increase the system's footprint (Ferland EEDC, GEM, Portland Commons and CSSC to name a few), decreasing overall density.
- Change in measurement methodology: density is currently calculated using all credit hours regardless of modality. UMS and Gordian are exploring if this approach still makes sense given the growth of online learning credit hours and their increasing share of total credit hours. Such an approach would lead to a decline in measured density.

Beyond density, the Gordian data continues to reflect a challenging situation in which the condition of the University's facilities, as measured by renovation age and net asset value, have continued to decline. More than half of all University space has reached a renovation age of 50 years old or older, and the University is on pace to see that grow to 60 percent by FY2027 without a change in investment strategy. This is illustrated on Slide 19 in the slide numbering sequence.

The measures of condition or quality of the University's facilities such as renovation age and net asset value are not expected to measurably improve overall until and unless substantially more financial investment is consistently made in existing facilities each year. For more than a decade, the University generally has invested \$20 million +/- in its existing facilities each year. Looking forward, the Gordian target would have the University investing at least three times that amount annually in order to maintain existing NAVs. For a visual representation of this challenge, please see slides 29 and 30 (using the deck slide numbers) of the Gordian deck.

Despite these challenges, there are several examples where NAV and facility age are being addressed in meaningful ways. UMF's ESCO project is projected to increase their NAV from 55% to 67%, which will meet the System interim goal (see Slide 37 using the deck slide numbers). UM's Engineering building is projected to increase the campus NAV (slide 38) and with the addition of UMFK's Enrollment and Advancement Center the campus renovation age profile improved (slide 39). Additionally, USM's planned revitalization of Robie-Andrews will bring that facility's NAV up from 3.5% to 100%.

If the Universities execute their FY2023 Capital Plan as presented in May of 2022, and spend \$48.7 million on existing facilities, they will approach the new inflation adjusted Gordian target for this fiscal year; a marked improvement over recent history. New funding for these existing space needs, and to aid in reaching that target, include State Capital Improvement Funds of \$18 million to address small campus deferred maintenance from FY2023-FY2026.

3/16/2023

To help further address NAV and age challenges, the University has continued its focus on removing space and constraining the growth of space. The current financial crisis potentially provides the framework to make changes that have been evident to this group for some time. Development of a space management plan based on current utilization and modality trends will focus discussions on which assets are not essential to the core mission and strategy of each institution. Divesting facilities will increase density and Net Asset Value.

The University also has been seeking new and novel sources of investment. Revenue bonds, public-private partnerships, potential new state support, energy services company agreements, Congressionally Directed Spending, Maine Jobs and Recovery Act and other revenue sources are all being pursued or are in progress above and beyond more traditional E&G, grant or general obligation bond resources.

Additional slides of potential particular interest may include:

- Slides 16-20 show the continued increase in renovation age of the UMS portfolio, another measure of condition and investment. Over half of all UMS facility space now has a renovation age of 50 years old or greater.
- Slide 30 illustrates the ongoing gap between current investment levels and the levels that would be needed to stabilize and improve the net asset value of existing facilities.
- Slide 35 illustrates the long-term trend of deteriorating facility condition.
- Slides 37 -39 illustrate examples of how investments can make a difference in Net Asset Value at the campus level.
- The appendix (in the full slide deck starting at slide 51) contains an annual accounting of key performance indicators previously identified by Trustees in this area. FY2022 energy data is not currently available for all UMS campuses. Slides 65 67 will be updated as the information becomes accessible.

The University of Maine System

FY22 Return on Physical Assets

March 1, 2023

University of the Sciences in Philadelphia University of Toledo **University of Vermont** University of Washington University of West Florida University of Wisconsin - Madison Vanderbilt University Virginia Commonwealth University Wake Forest University Washburn University **Washington State University** Washington State University - Tri-Cities Campus Washington State University - Vancouver Washington University in St. Louis Wayne State University Wellesley College Wesleyan University West Chester University West Virginia Health Science Center West Virginia University Western Oregon University Westfield State University Widener University Williams College Worcester Polytechnic Institute



Gordian and Sightlines

Owners of the largest verified facilities database in higher education



Sightlines members serve over 20% of US College Enrollment





Vocabulary for Return on Physical Assets (ROPA)

Annual Stewardship

The annual investment needed to ensure buildings will properly perform and reach their useful life.

"Keep-Up Costs".

Asset Reinvestment

The accumulation of repair and modernization needs and the definition of resource capacity to correct them.

"Catch-Up Costs"

Operational Effectiveness

The effectiveness of the facilities operating budget, staffing, supervision, and energy management.

Service

The measure of service process, the maintenance quality of space and systems, and the customers opinion of service delivery.

Asset Value Change

Operations Success





Vocabulary for Return on Physical Assets (ROPA)

Annual Stewardship

Operating Budget Planned Maintenance

Funded Depreciation

"Keep-Up Costs".

Asset Reinvestment

State Funding
University Revenue
Campus Capital
Accounts
Bonds, Grants, Gifts

"Catch-Up Costs"

Asset Value Change

Operational Effectiveness

Facilities Operating Budget

Staffing and Supervision

Energy Cost and Consumption

Service

Work Order Process Analysis

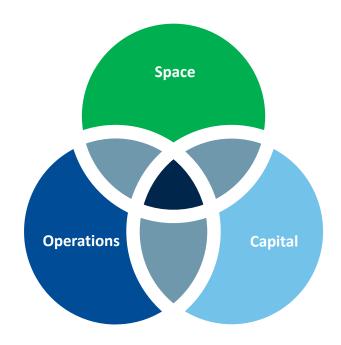
Customer Satisfaction Survey

Operations Success



Facilities Factors Impacting UMaine System

- 1. Decreasing campus populations
- 2. Aging of space and converging waves of life cycle need
- 3. Escalating construction costs and increasing annual targets to keep up with life cycle needs.
- 4. Increasing operational investments necessary to properly maintain space



Throughout the presentation UMS will be compared to the Gordian Public Higher Ed. Database Average for FY22. This subset of the database includes institutions like the University of Massachusetts, University of New Hampshire, University of Iowa, University of New Mexico and University of Washington.



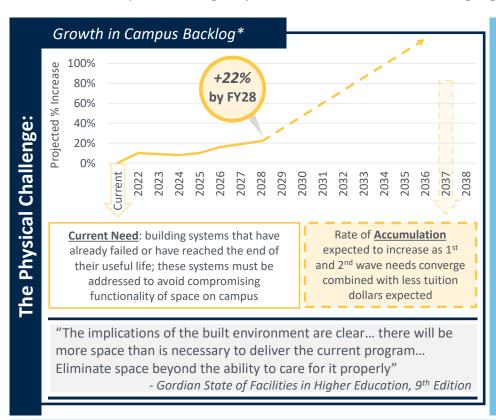


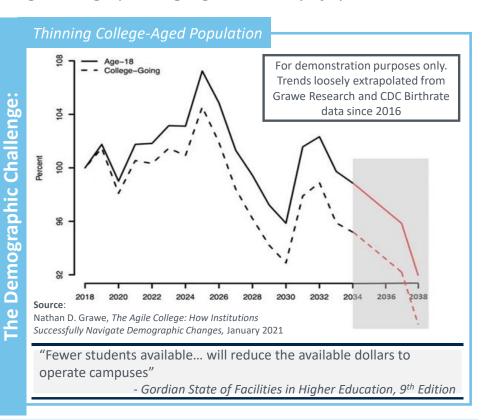
2 Key Challenges Facing Higher Education



Two Key Challenges Higher Education is Facing

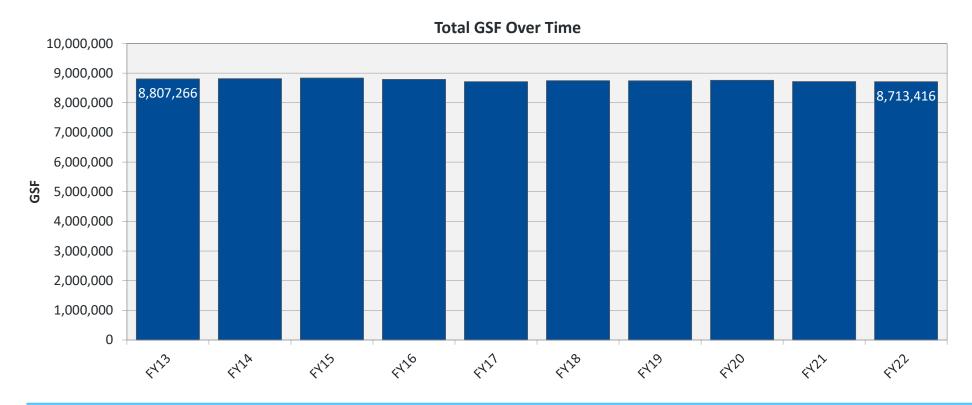
Growth in campus backlog, expected decrease in the "college-going" demographic, highlights necessity of space reduction







GSF Remains Steady Over Time

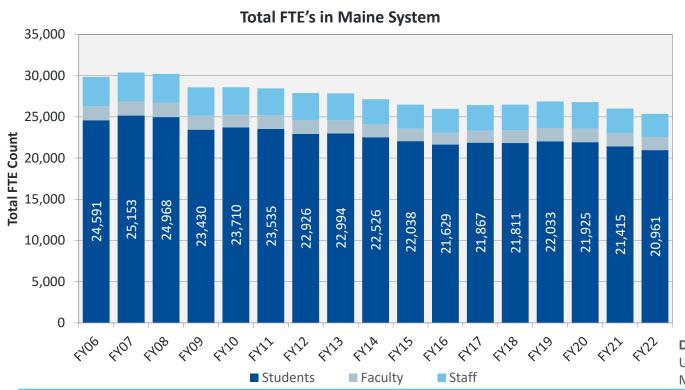






8

Student Enrollment Has Not Recovered To Pre-Pandemic Levels



Density Affects:



Staffing Levels

More space will require more staff to clean/maintain space to meet facility standards.



Material and Supplies

Material and supply demand influenced by how often the space is used.



Wear and Tear of Facilities

High traffic and space usage result in earlier lifecycle replacement.

Density: Measures number of users per 100,000 GSF
Users include all student, faculty and staff FTEs
Measures campus building usage on a daily basis



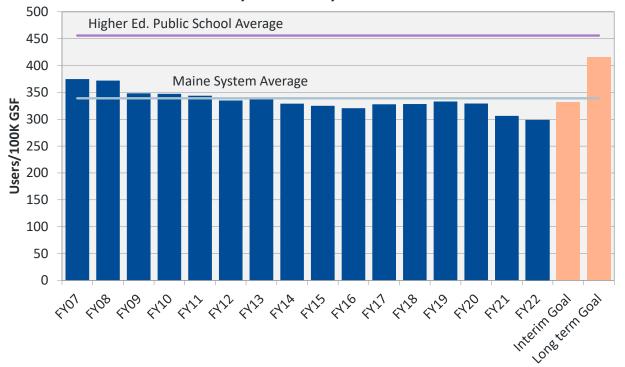
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Enrollment information comes from the Fall 2021 System Enrollment Report

Density Across the Maine System Decreases

Density decreased to 298 users/100K GSF in FY22

Density at Maine System Level



Density Affects:



Staffing Levels

More space will require more staff to clean/maintain space to meet facility standards.



Material and Supplies

Material and supply demand influenced by how often the space is used.



Wear and Tear of Facilities

High traffic and space usage result in earlier lifecycle replacement.

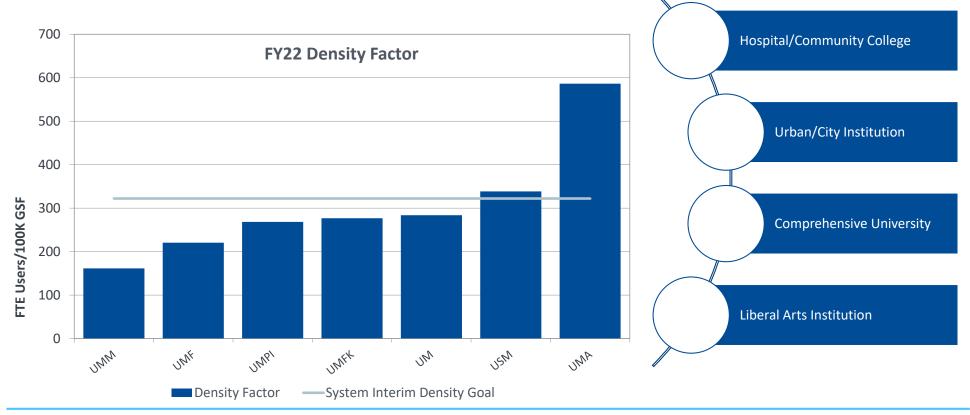
Density: Measures number of users per 100,000 GSF Users include all student, faculty and staff FTEs Measures campus building usage on a daily basis



Gordian is diving deeper into online learnings long term effects on space usage. The density factor will likely change in coming years to account for the increased, continued shift to distance learning.

Density Across the System

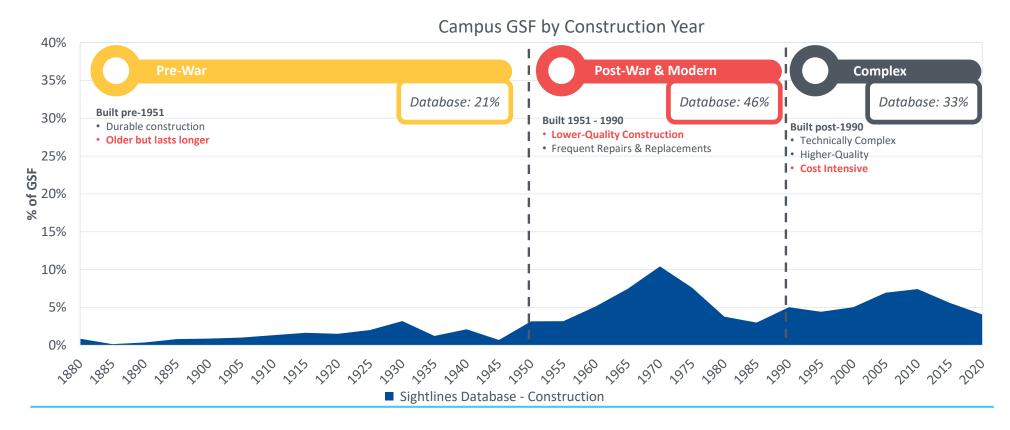
UMaine campuses should strive to match population to desired campus feel





Putting Your Campus Building Age in Context

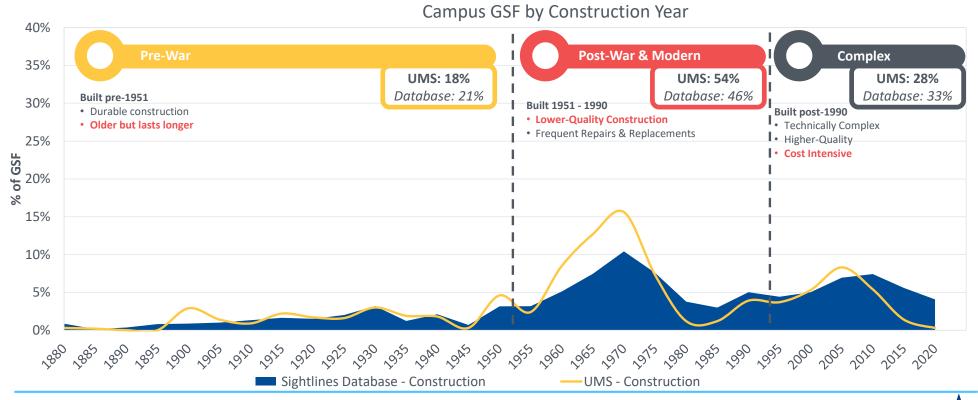
Campus age drives the overall risk profile





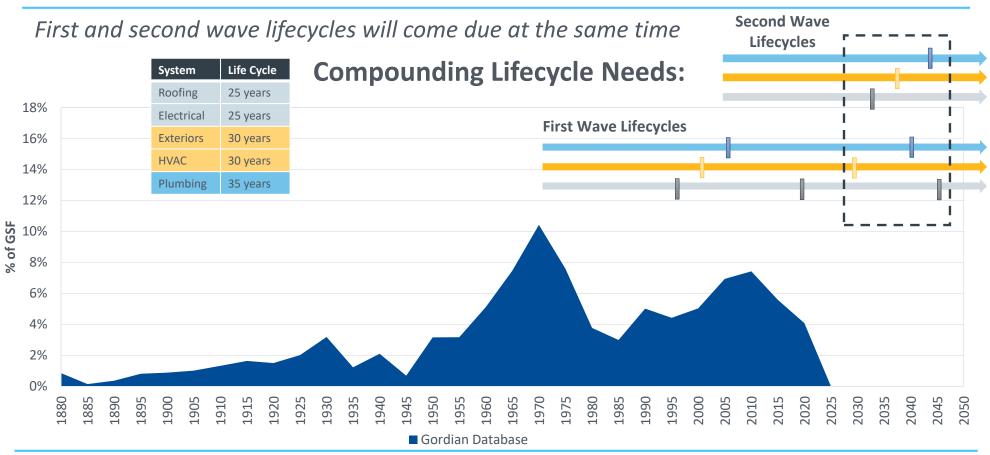
Putting Your Campus Building Age in Context

Campus age drives the overall risk profile





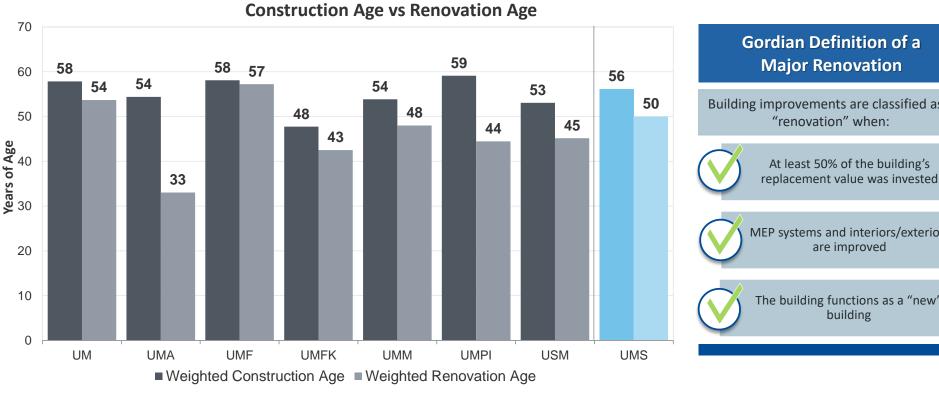
Database Waves of Construction





Renovations Help Reset A Building's Life

UMA has offset its age the most through renovations: 21 Years





Building improvements are classified as a "renovation" when:

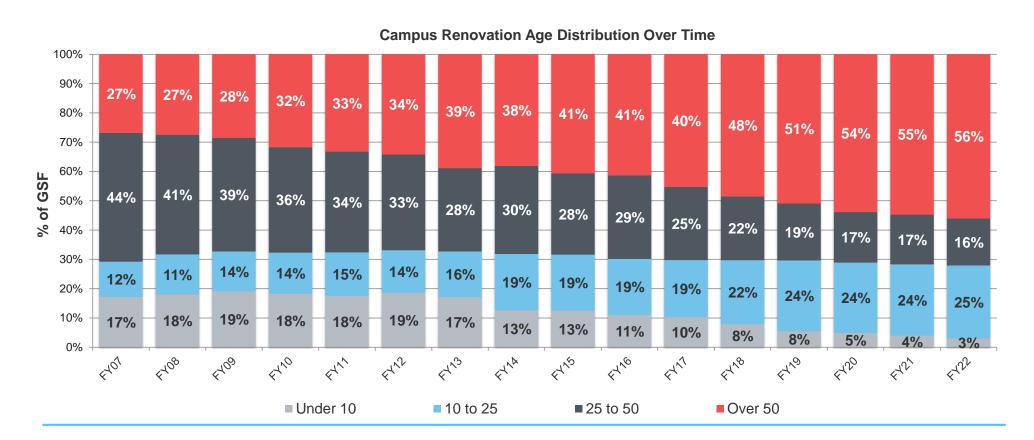
MEP systems and interiors/exteriors

The building functions as a "new"



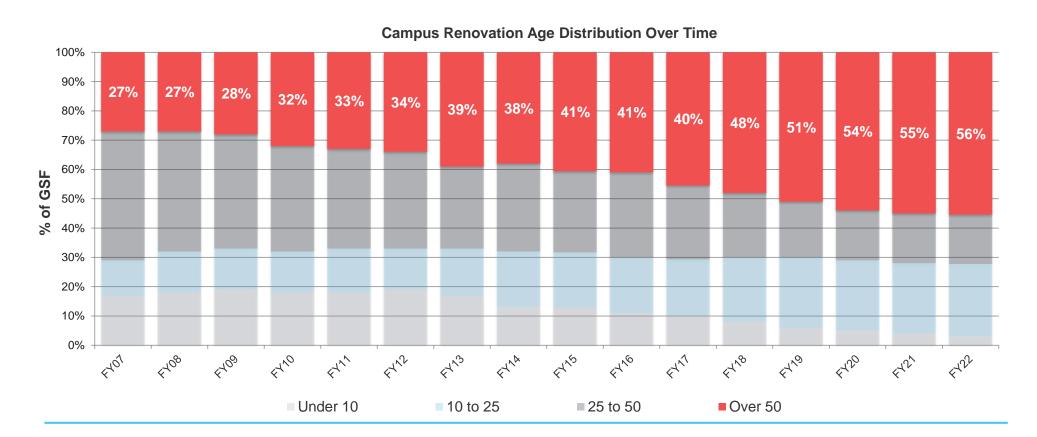


Oldest Spaces on Campus Get Older





Oldest Spaces on Campus Get Older

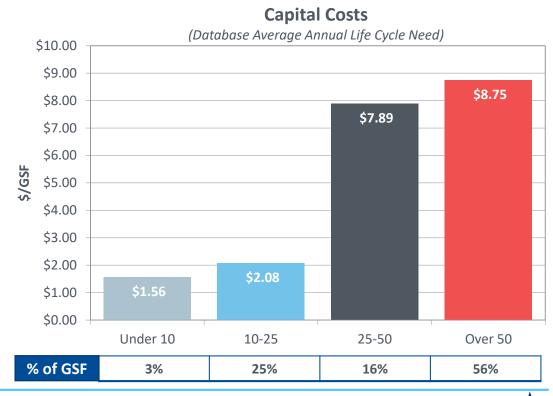




Oldest Buildings Create Fiscal Challenges at UMS Campuses

As buildings age the capital demands coming due progressively increase

Operational Capital Risk: Demands: Highest Risk: Life cycles of major components past due – end of building life cycle approaching. Higher Risk: Life Cycles coming due in core building components. Medium Risk: Lower cost space renewal updates needed. Low Risk: Focus on PM: "Honeymoon" period little need for capital reinvestment.

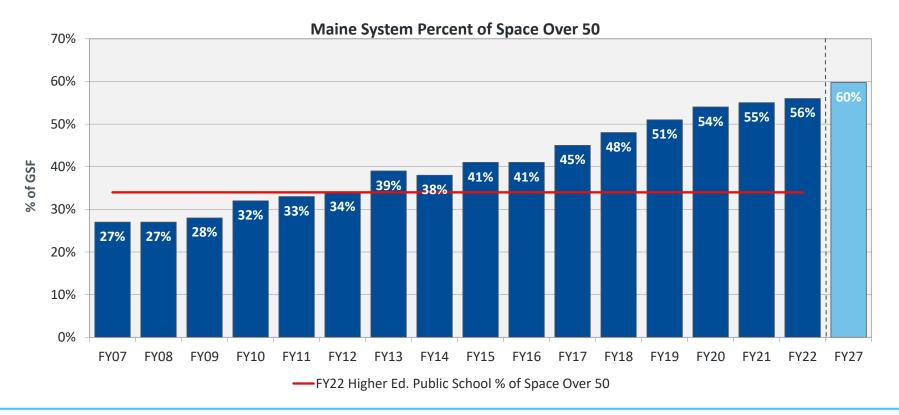






60% of Space Will be Over 50 Years Old by FY27

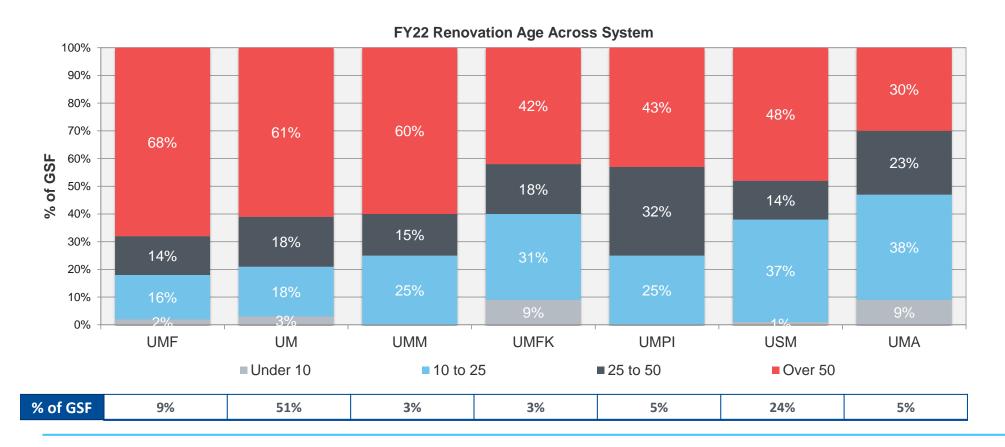
Public institutions operate with 22% less high-risk space







UM Drives Older System Profile

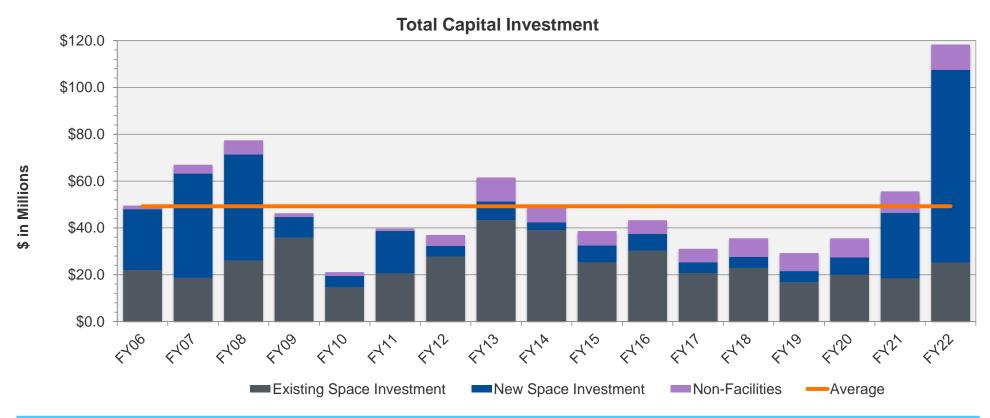




Escalating Construction Costs and Capital Impacts



Total Capital Investment Increases in FY22



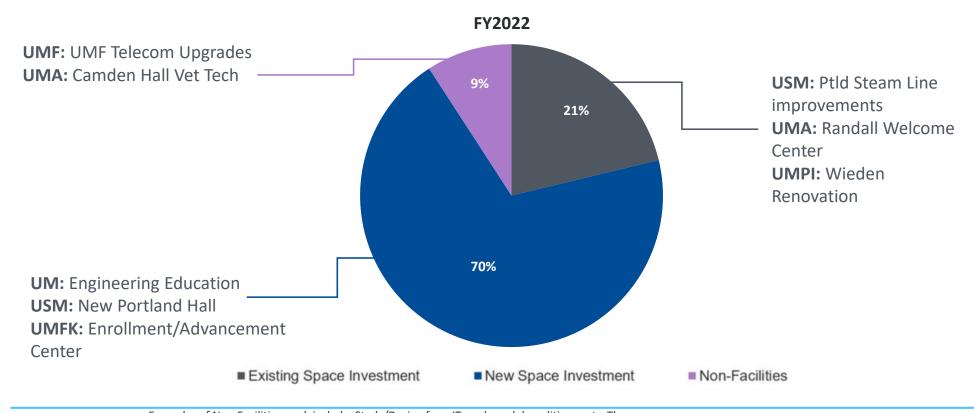


Examples of Non-Facilities work include: Study/Design fees, IT work, and demolition costs. These are necessary capital costs for Facilities Operations but do not add value/enhance existing buildings.



New Space Drives FY22 Investment

Existing space investments help to slow backlog growth

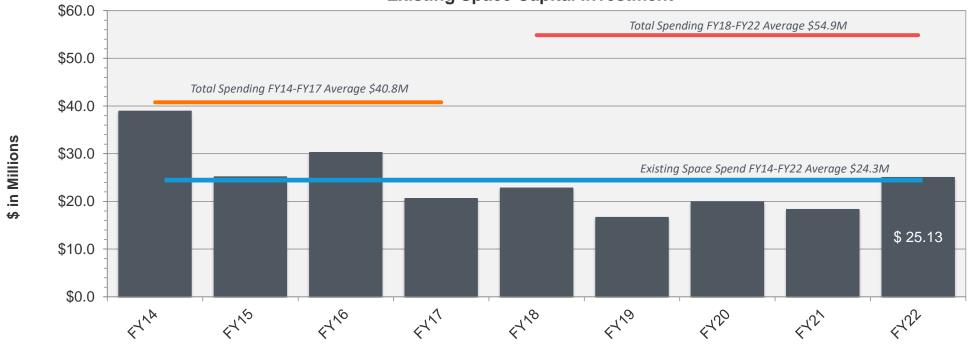




Examples of Non-Facilities work include: Study/Design fees, IT work, and demolition costs. These are necessary capital costs for Facilities Operations but do not add value/enhance existing buildings.

Existing Space Investment Increases in FY22

Existing Space Capital Investment

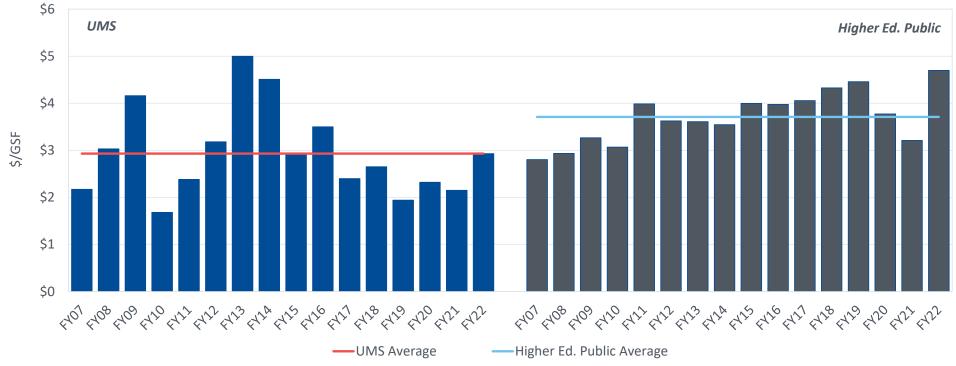




Existing Space Investment Less Than Public Institutions

Peers invest an average of \$.78/GSF or \$6.8M more annually than UMS

Existing Space Investment vs. Peers

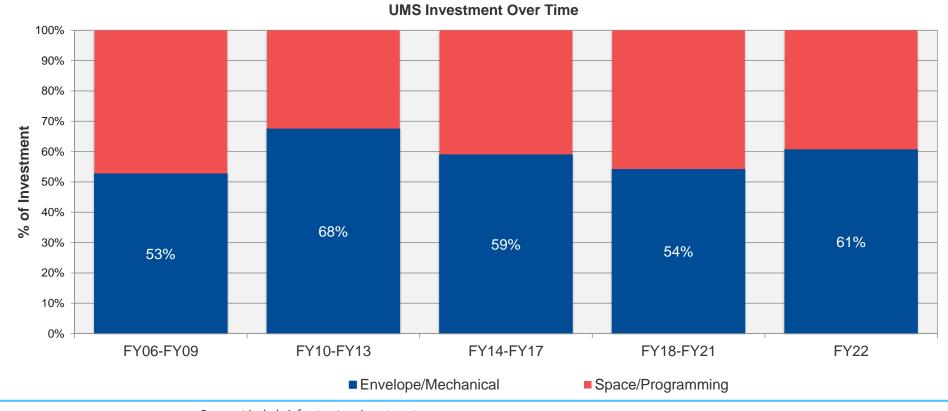






Investment Focus Shifts Towards Envelope/Mechanical in FY22

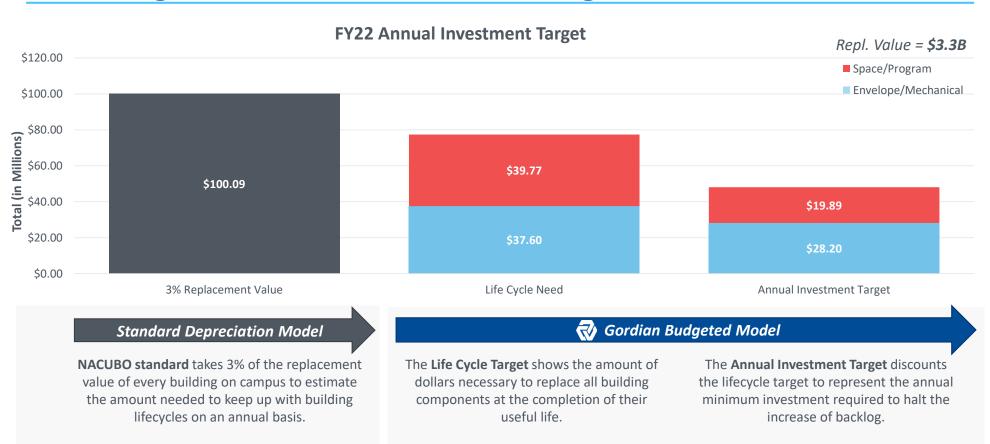
ROI is higher in envelope/mechanical investments than space/program



Does not include infrastructure investments.



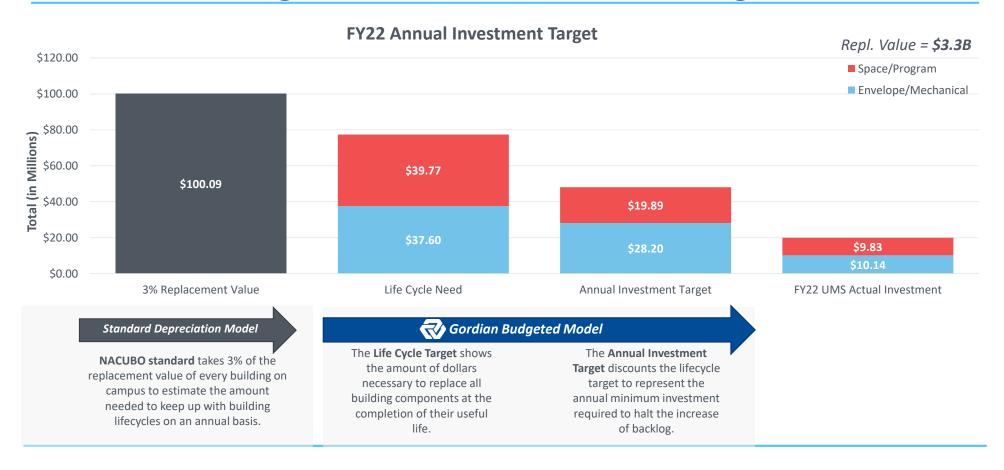
Defining an Annual Investment Target





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Performance Against Annual Investment Target



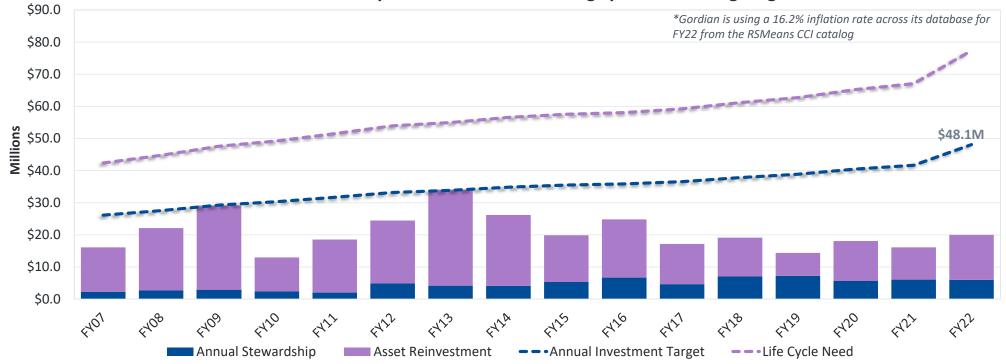


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UMS Falls \$28.1M Short of Annual Investment Target in FY22

Escalating construction costs create additional challenges for Facilities to meet targets

Historical Capital Investment in Existing Space vs Funding Target





*January 2023 RSMeans CCI catalog is 15.1% which will be utilized in the FY23 analysis. Maine specific CCI was 16.1% for January 2022 and 11% for January 2023.

Does not include infrastructure, new space or non-facilities spending.

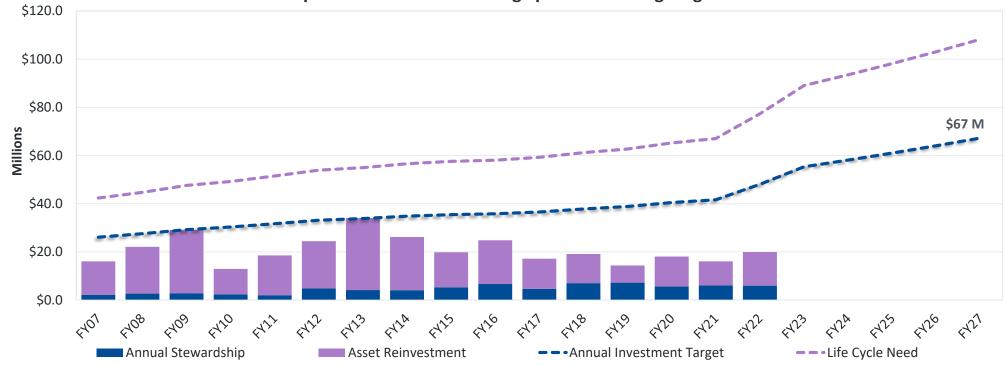
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Construction Costs Expected to Remain High Moving Forward

Approximately \$60-70M needed each year to keep System assets at steady NAV

Capital Investment in Existing Space vs Funding Target Over Time



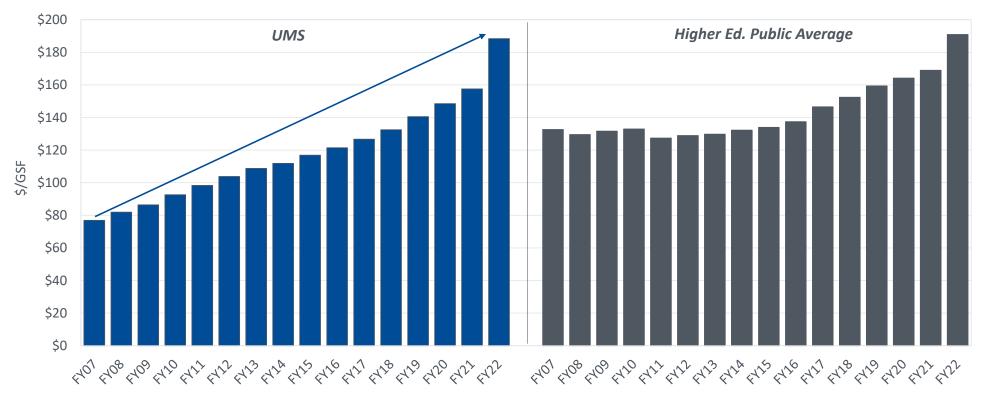


*January 2023 RSMeans CCI catalog is 15.1% which will be utilized in the FY23 analysis. Maine specific CCI was 16.1% for January 3 2022 and 11% for January 2023.



Asset Reinvestment Need Growth Similar to Higher Ed. Public

Asset Reinvestment Need vs. Peers





\$1.62B of Need at UMS Over the Next 10 Years

Infrastructure & Modernization:

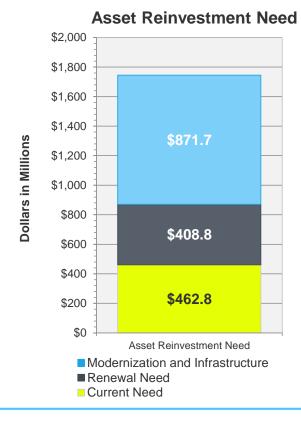
Estimated based on building function and age, against a Sightlines database of needs.

Renewal Need:

 Life cycle needs coming due between FY23-FY32.

Current Need:

- The subsystem has met its useful life cycle.
- The subsystem is functioning with substantial degradation of efficiency or performing at increased cost.



UMaine System 10 Year Investment \$2,000 \$1,800 \$1,600 \$1.400 **Dollars in Millions** \$1,200 \$1,000 \$800 \$600 \$611 \$400 \$200 \$261.0 \$0 Asset Reinvestment Need







Strategy 1: Planning Investments Over the Next Ten Years

Infrastructure & Modernization:

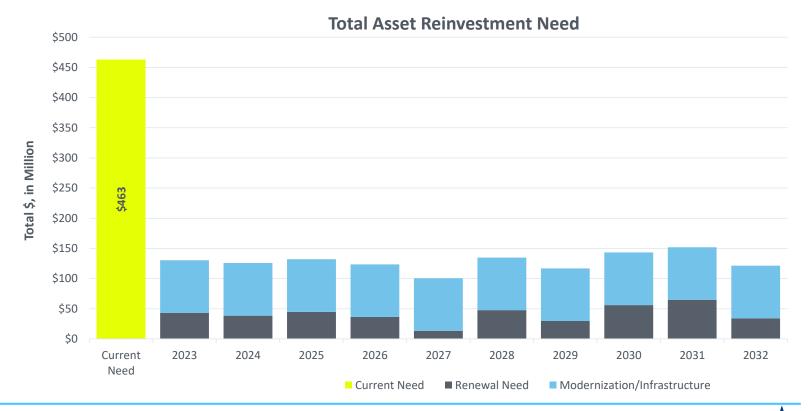
 Estimated based on building function and age, against a Sightlines database of needs.

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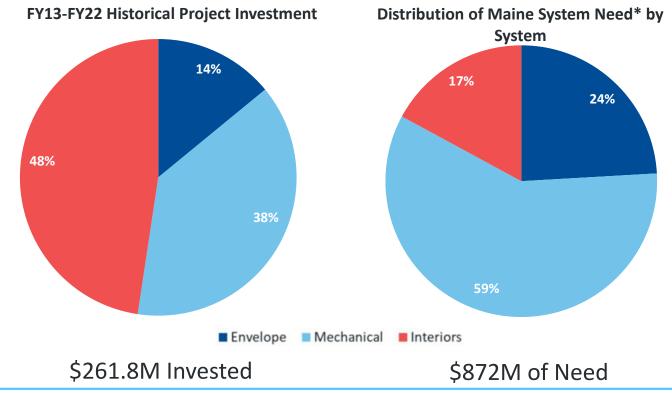






Strategy 2: Map Investment To Type Of Need Coming Due

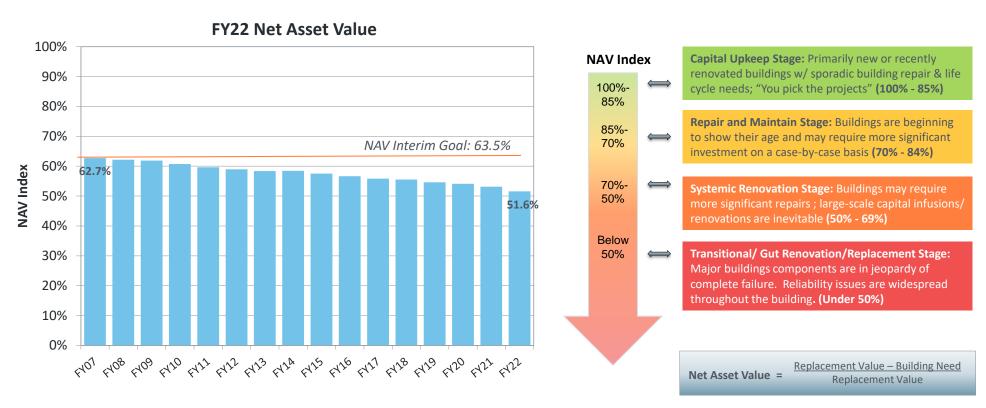
UMS invested 24% of 10 year need in the prior 10 years



*Need includes backlog and renewal projects, not modernization or infrastructure work



Rate of Deferral Slows But NAV Continues to Decrease





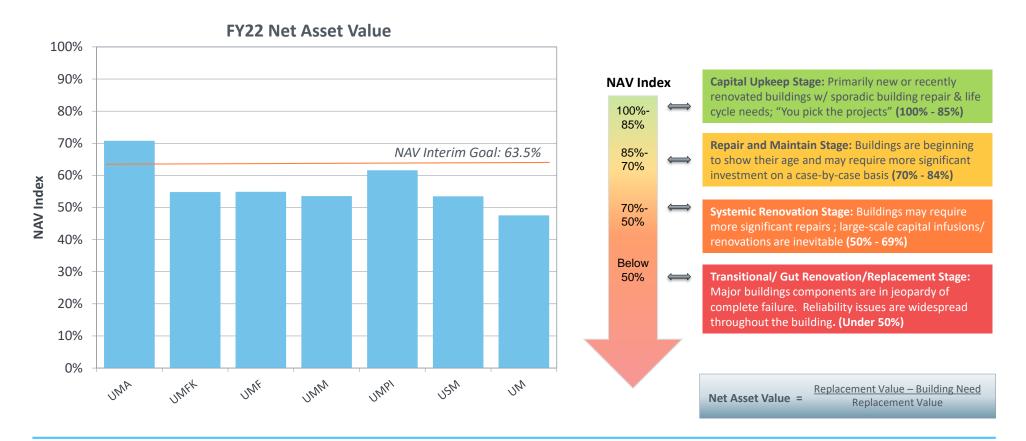
Net Asset Value = $\frac{Replace}{R}$

Replacement Value – Backlog Replacement Value



35

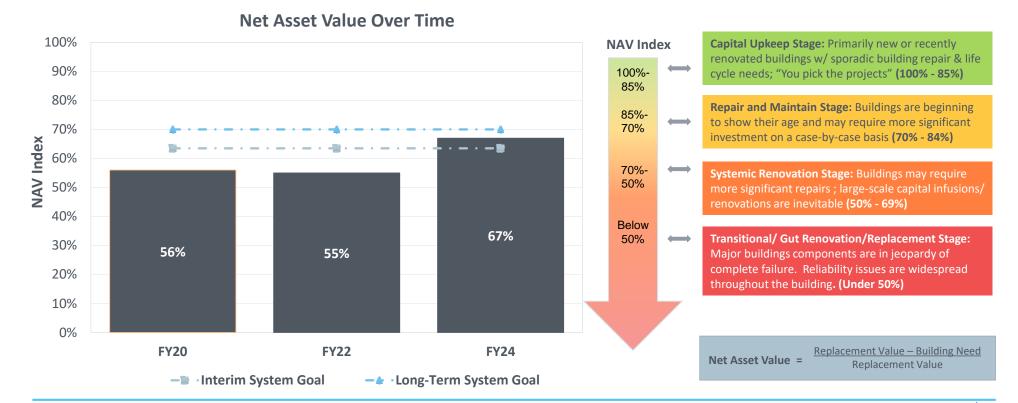
FY22 Net Asset Value By Campus





Case Study: Impact of ESCO on UMF Net Asset Value

Increased investments through ESCO are projected to increase campus NAV by 12%





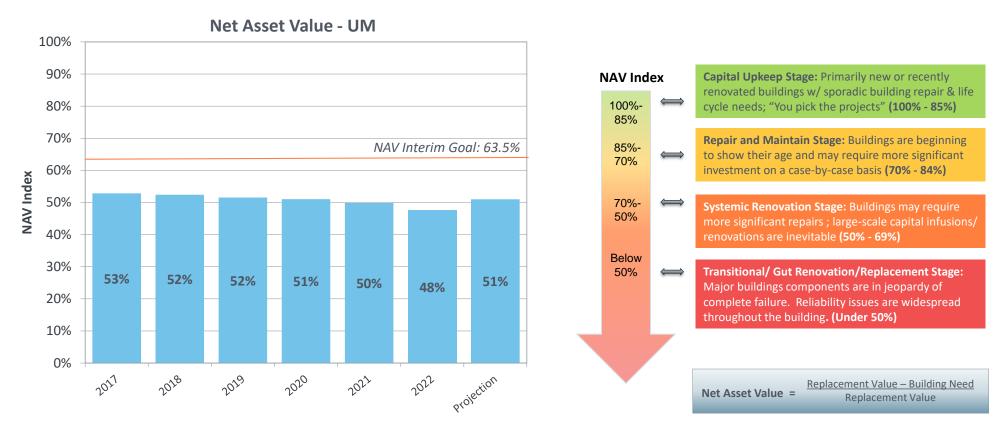
FY24 NAV calculation is done using FY21 replacement values and maybe not be exact as other building related changes could impact the NAV modeling.

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37

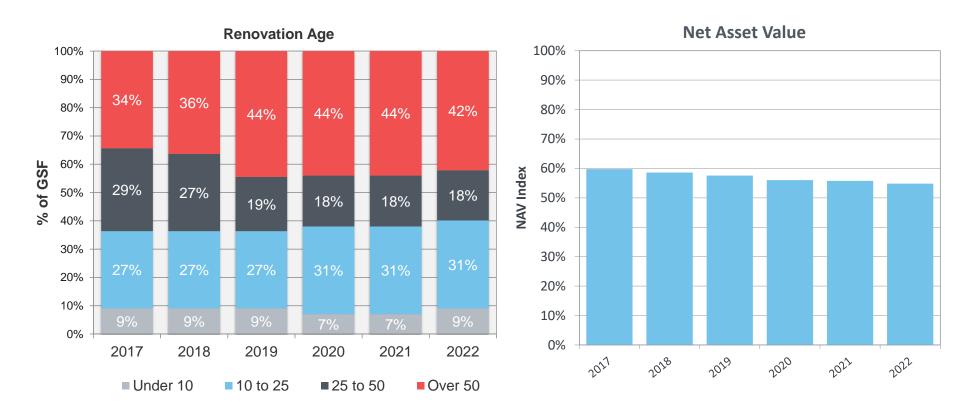
Case Study: UM NAV Increases with Engineering Building







Case Study: Fort Kent Enrollment and Advancement Center





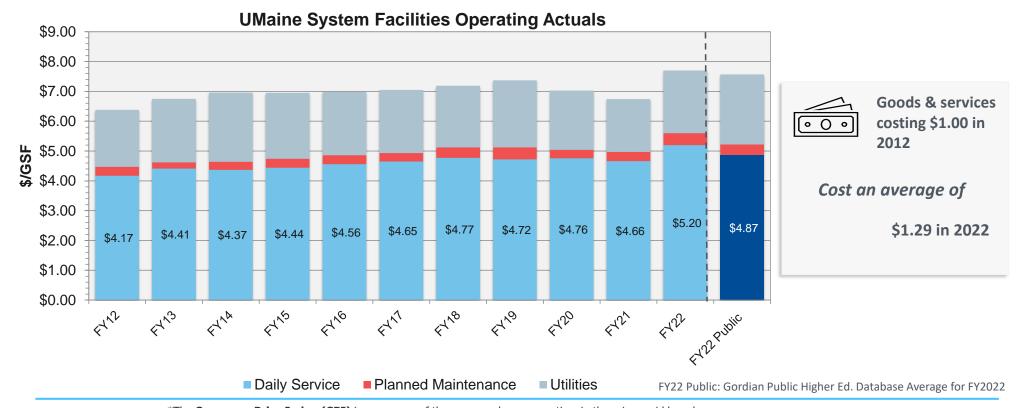


Operations Success



Operating Budget Increase as Cost of Living Increases

People costs raise daily service figures for Facilities Operations



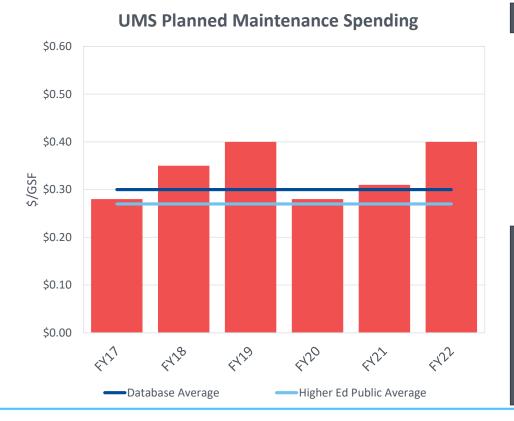


*The **Consumer Price Index (CPI)** is a measure of the average change over time in the prices paid by urban consumers for a market basket of consumer goods and services

Consumer Price Index for All Urban Consumers: All Items in U.S. City Average 4th PIAUCSL) | FRED | St. Louis Fed (stlouisfed.org)

Planned Maintenance Strategic Opportunities

AIM tracking can improve strategic PM investment



\$1.00 invested in PM now SAVES \$2.78 in reactive maintenance later

THEORY

Strategic Deferral of PM

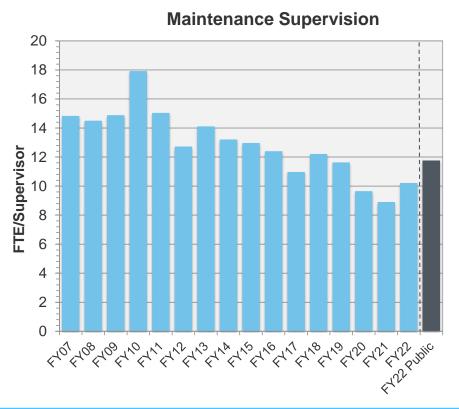
- Usually in buildings/systems over 50 years old targeted for renovation or replacement
- Reallocates resources from the older buildings/systems to younger buildings and systems.
- Use Assessment in coordination with work order reporting to start identifying these opportunities.



Maintenance Operations

Age and backlog of need impact maintenance coverage ratios



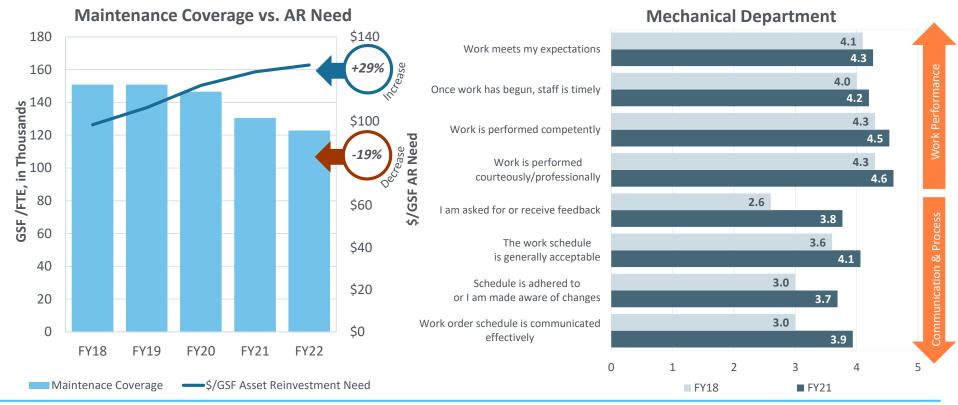


FY22 Public: Gordian Public Higher Ed. Database Average for FY22



Case Study: More Staff Required with Rising AR Need

Additional maintenance personnel join UMPI's team with the additional demands of rising AR Need





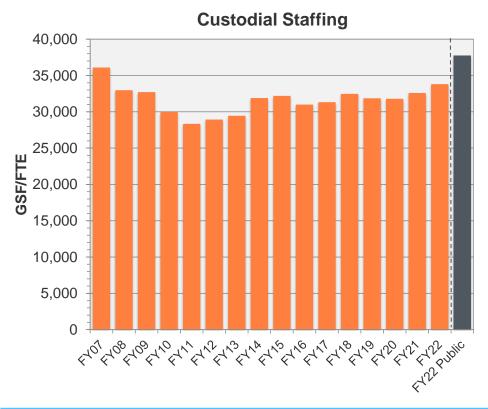
*Average Value of Responses

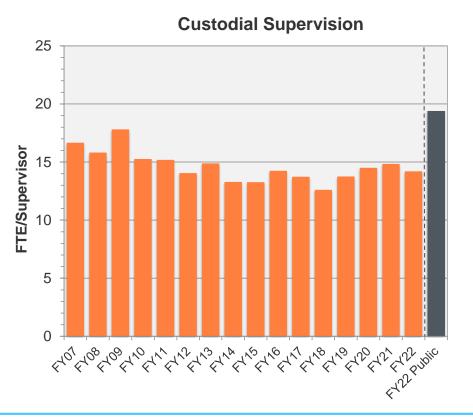
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Custodial Operations

Old buildings never "feel" clean



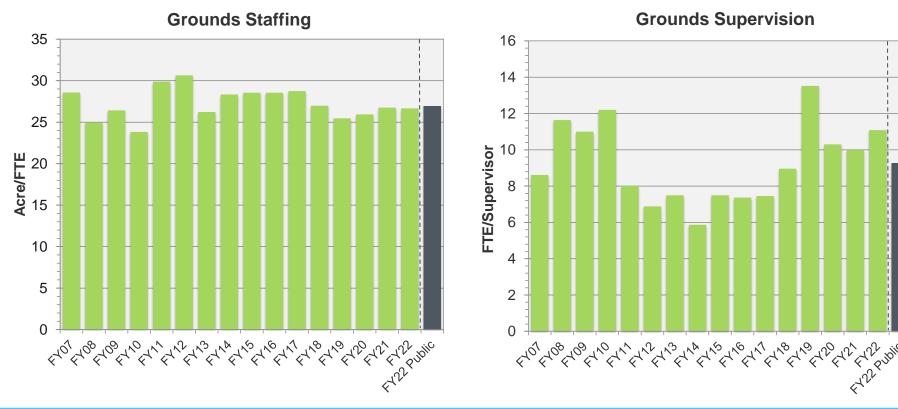


FY22 Public: Gordian Public Higher Ed. Database Average for FY22



Grounds Operations

Challenges in filling grounds positions lead to increased coverage ratio compared to peers



FY22 Public: Gordian Public Higher Ed. Database Average for FY2022



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Optimizing Operational Resources







Divest from excess space and continue PM investments into spaces that will be on campus long-term. Invest into employee development and technologies that will improve staff's ability to efficiently maintain campus.

Increase communication and feedback efforts with customers, focusing on transparency and expectation management.



Concluding Comments



Key Takeaways

- The UMaine System has avoided growth in the physical footprint while seeing a decrease in student enrollment. This mitigates the increasing challenges seen within the Higher Education community but is creating a space rich environment within the UMaine System portfolio.
- Campus is aging and will face a series of life cycles coming due. These life cycles will require
 investments for replacement/updates in the next 10 years and will be competing for the same
 resources.
 - UMS will need \$60-70M each year to slow the aging process and mitigate deferred maintenance.
- Growth in campus backlog, expected decrease in the "college-going" demographic, highlights necessity of space reduction
 - To reverse the aging process and begin to decrease deferred maintenance within the System inventory, significant space reductions will need to occur.
 - Removing space from the inventory will improve density of campuses.





Questions and Comments



Appendix: UMS Key Performance Indicators



Using Sightlines Data to Monitor UMS KPIs

• Density: Number of users
• Current UMS measure: 298
• Interim Goal: 332
• Peer/Industry standard:
340
• Long-term System goal: 415

Annual Facilities Operating Expenses; Maintenance, Custodial, Grounds, & Paid Utilities %CRV

- Current UMS measure: 0.6%
- Peer/Industry standard: TBD
- Periodic reporting recommended

NAV: Net Asset Value

*Current UMS measure:
52%

*Interim Goal: 63.5%

*Peer/Industry standard:
72.2%

*Long-term System goal:
70%

Annual Facilities Operating Expenses; Maintenance, Custodial, Grounds, & Paid Utilities per GSF

- Current UMS measure: \$7.74
- Peer/Industry standard: \$6.50
- Establishment of specific goals to be revisited in FY20

Capital Expenditures on Existing Space: %CRV
•Current UMS measure:

.8%

Peer/Industry standard: <1.5%Periodic reporting recommended

Preventative
Maintenance/Demand
Maintenance, % Annual
Expenditures

- Current UMS measure: 5.2%
- Peer/Industry standard: in evaluation
- Establishment of specific goals to be revisited in FY20

Annual Facilities Operating
Expense; Maintenance, Custodial,
Grounds, & Paid Utilities %GIR

Current UMS measure: 8.8%

At this time, there are no
commonly accepted standards in
this area. UMS will continue to
track, report, & internally

benchmark their progress

Coverage: FTE (Maintenance, Custodial, Grounds); per GSF

- Grounds); per GSFContinue to monitor GSF/FTE ratios
- Strive to meet or exceed APPA/Sightlines benchmarks, i.e.:
 Custodial target zone: 29,213 - 37,000 GSF/FTE

Total Cost of Ownership (TCO):

 UMS should formally consider lifetime cost of a facility and other KPIs in planning and decision making, not only one-time construction costs.

Energy Cost; per Million BTU's

- Current UMS measure: 42.0\$/BTU
- Peer/Industry standard: \$27.87
- Periodic reporting recommended

Energy Cost: per GSF

- Current UMS measure: \$1.41
- Peer/Industry standard: \$1.89
- Periodic reporting recommended

Energy BTU's; per GSF

- Current UMS measure: 117,684 BTU/GSF
- Peer/Industry standard: 158,022 BTU/GSF
- Continue to meet/exceed peer/industry standards, strive to improve existing UMS performance, & establish specific goals for FY20



Using Sightlines Data to Monitor UMS KPIs

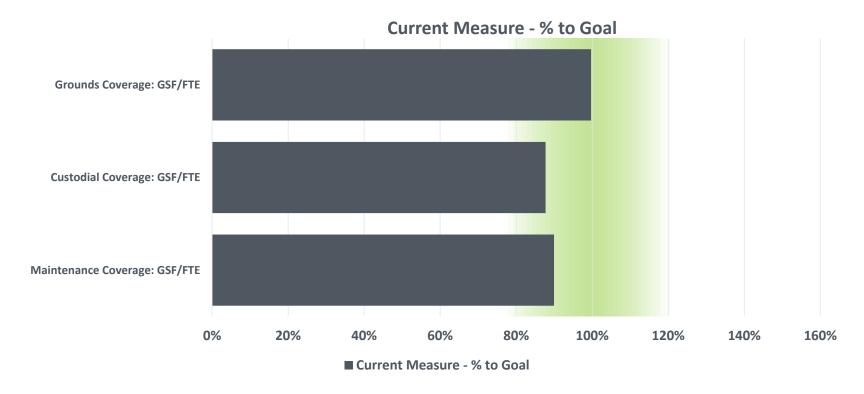
Measures normalized as % to goal





Using Sightlines Data to Monitor UMS KPIs - Coverage

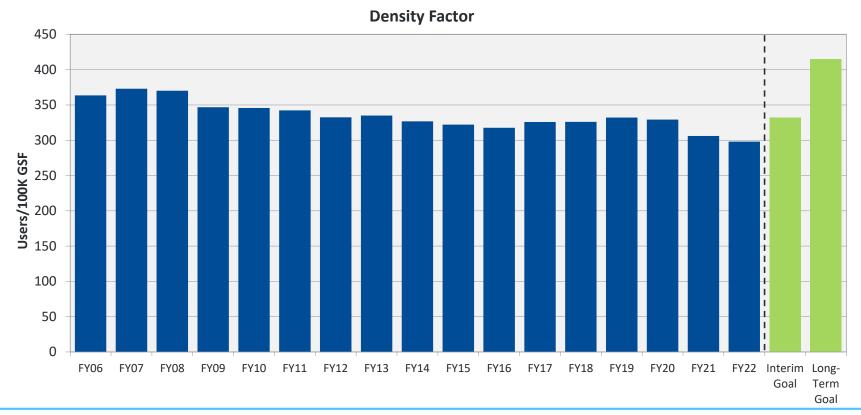
Coverage ratios measured normalized as % to goal





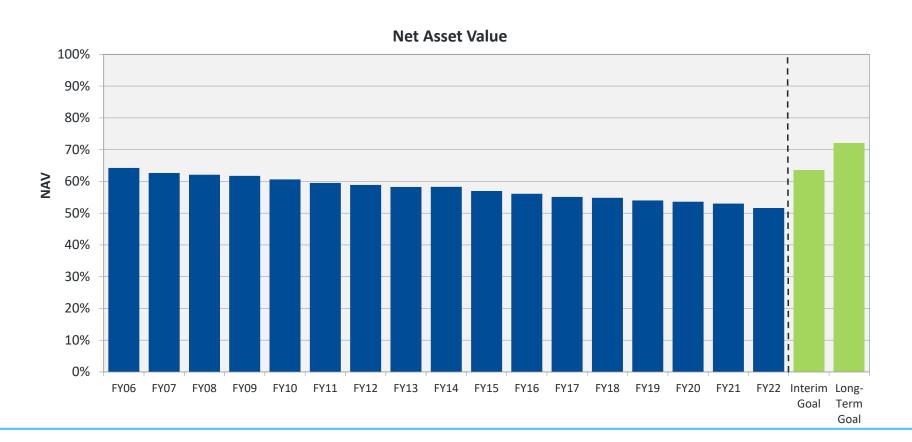
Density Factor

Density: Measures number of users per 100,000 GSF





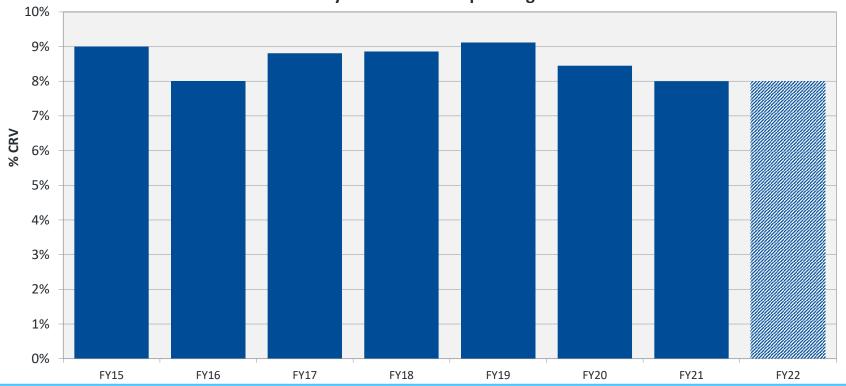
Net Asset Value





Facilities Operating Actuals as % of GIR



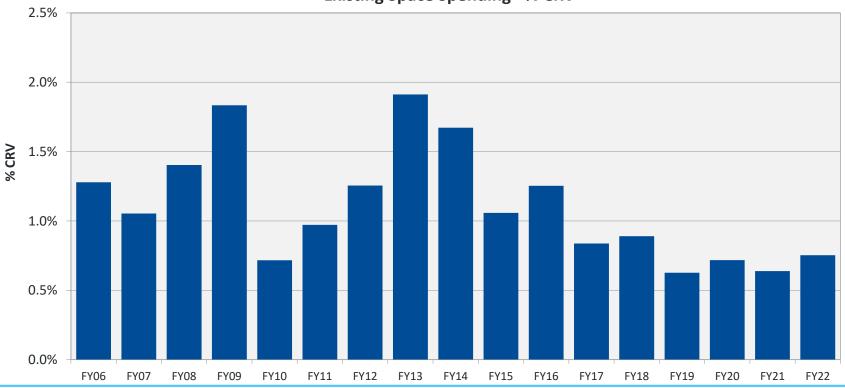




Capital Spending - % CRV

Existing space investment only

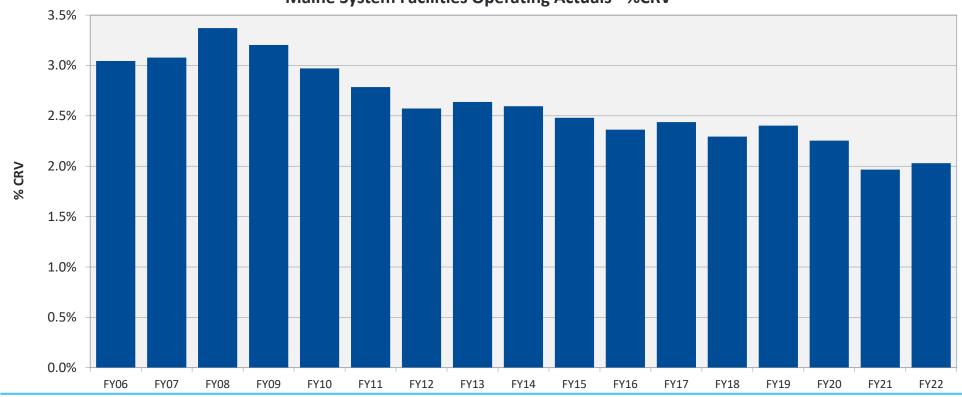
Existing Space Spending - % CRV





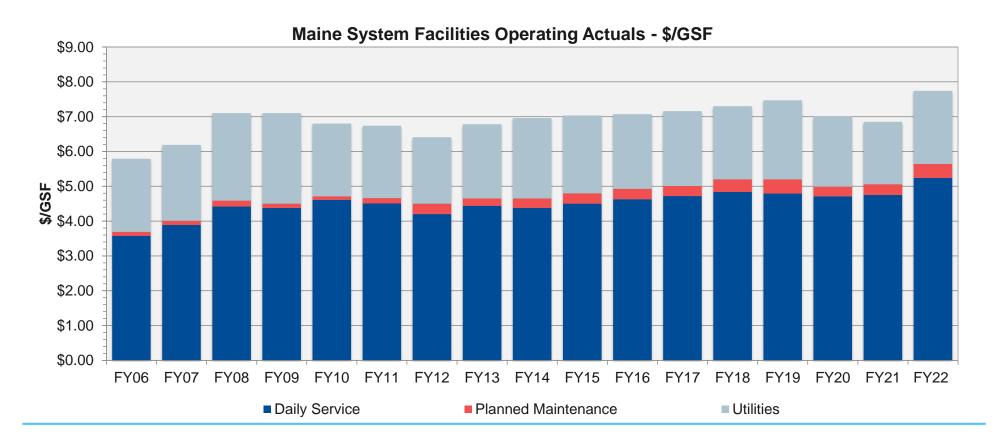
Facilities Operating Actuals as % of CRV

Maine System Facilities Operating Actuals - %CRV



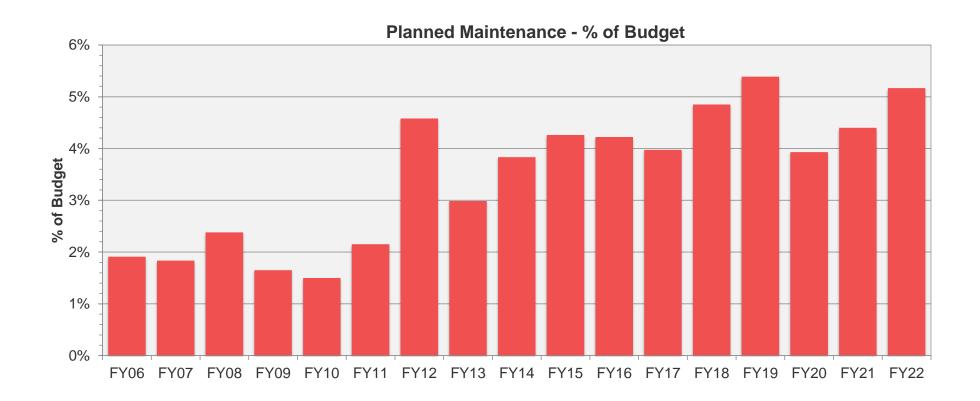


Facilities Operating Budget Actuals



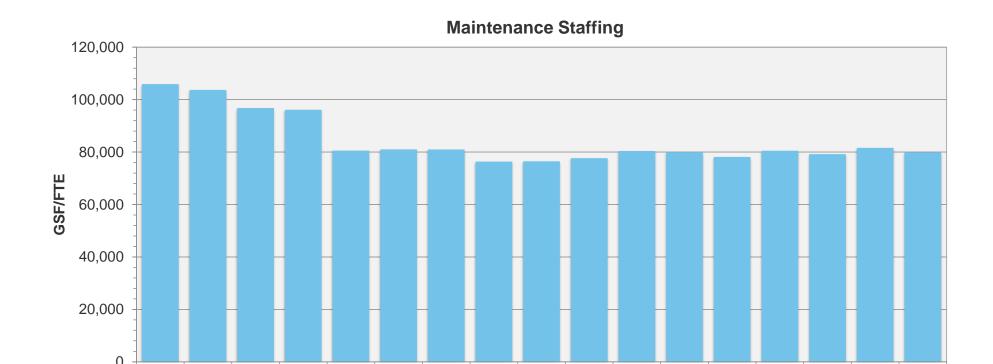


Planned Maintenance





Maintenance Staffing





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FY06 FY07 FY08 FY09 FY10 FY11 FY12 FY13 FY14 FY15 FY16 FY17 FY18 FY19 FY20 FY21 FY22

Custodial Staffing

Custodial Staffing 40,000 35,000 25,000 15,000



10,000

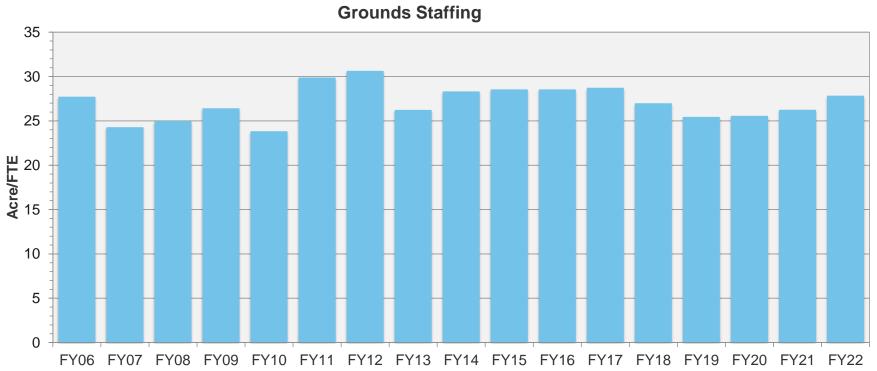
5,000

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FY06 FY07 FY08 FY09 FY10 FY11 FY12 FY13 FY14 FY15 FY16 FY17 FY18 FY19 FY20 FY21 FY22

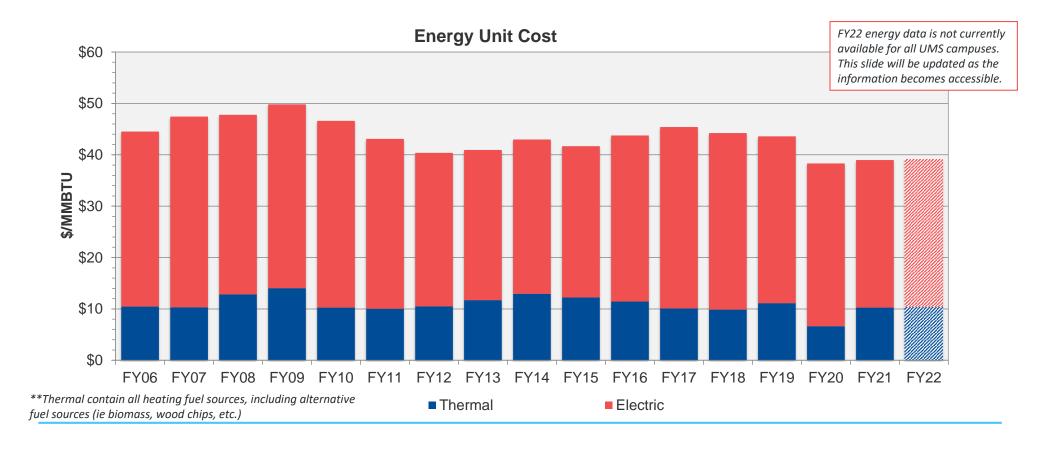
Grounds Staffing

Croundo Stoffin



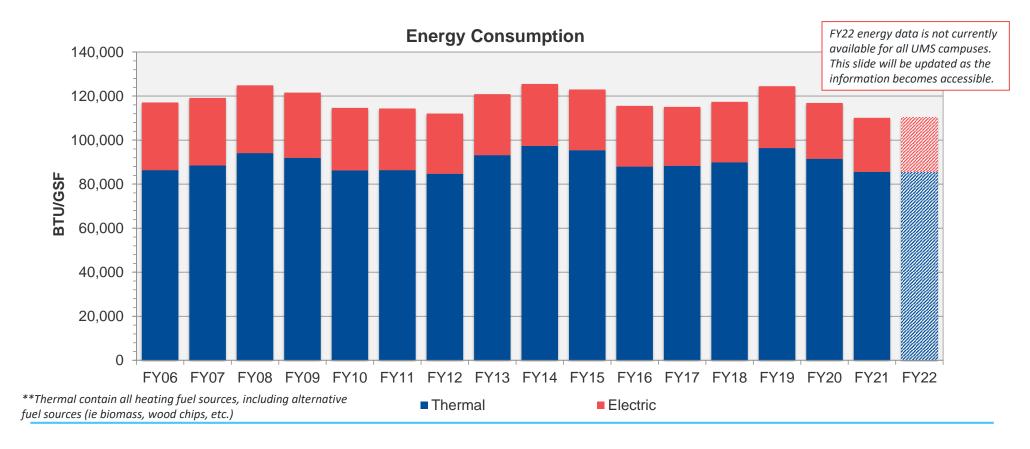


Energy Costs



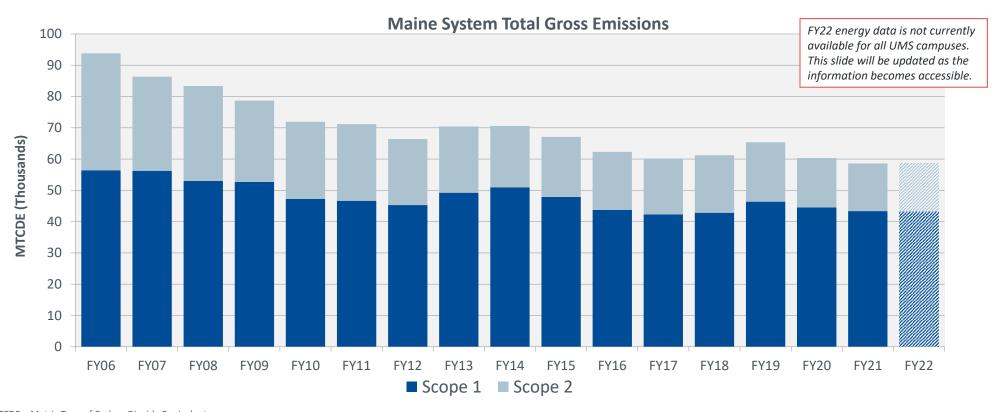


Energy Consumption





Emission Rates



MTCDE = Metric Tons of Carbon Dioxide Equivalent



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Campus Presentation

For University of Maine System Board of Trustees Visit March 26-27, 2023

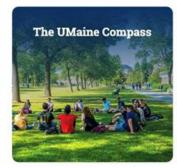












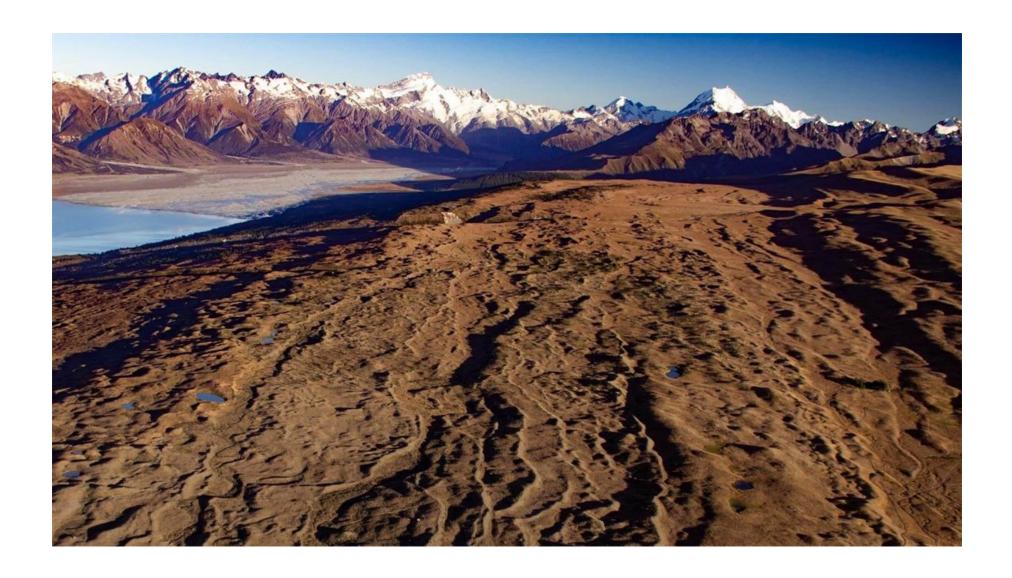


















UMS Research Funding:

The importance of winning competitive awards from external sponsors

UMS Board of Trustees March 27, 2023

Joan Ferrini-Mundy, Vice Chancellor for Research & Innovation, UMS
Jason Charland, Senior Advisor to the President and Executive Director of Research Development, UMaine
Samantha Warren, Director of Government and Community Relations, UMS

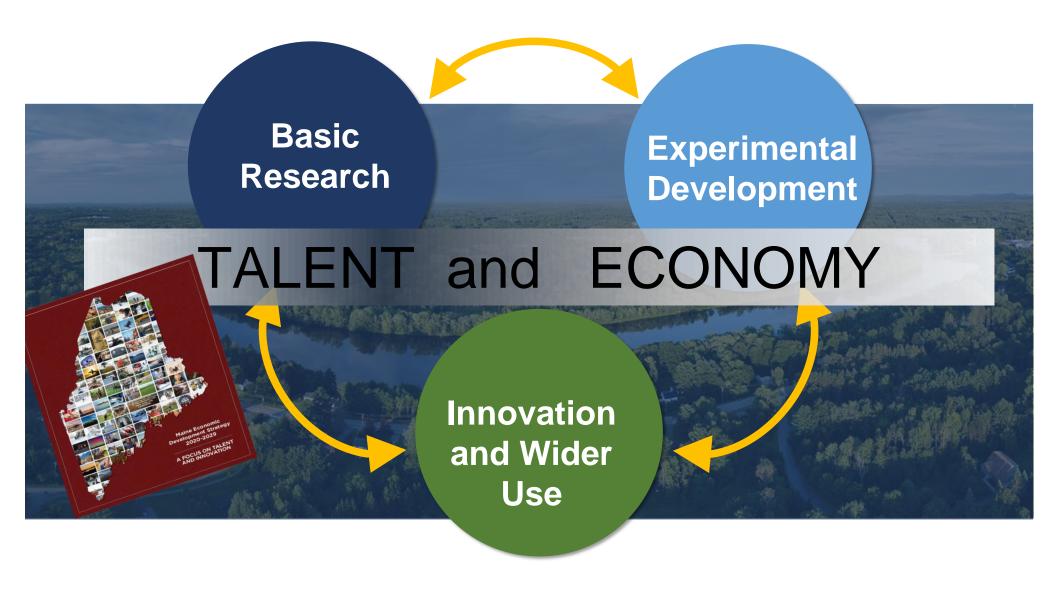


A unified and connected R&D support system is critical for Maine's future.

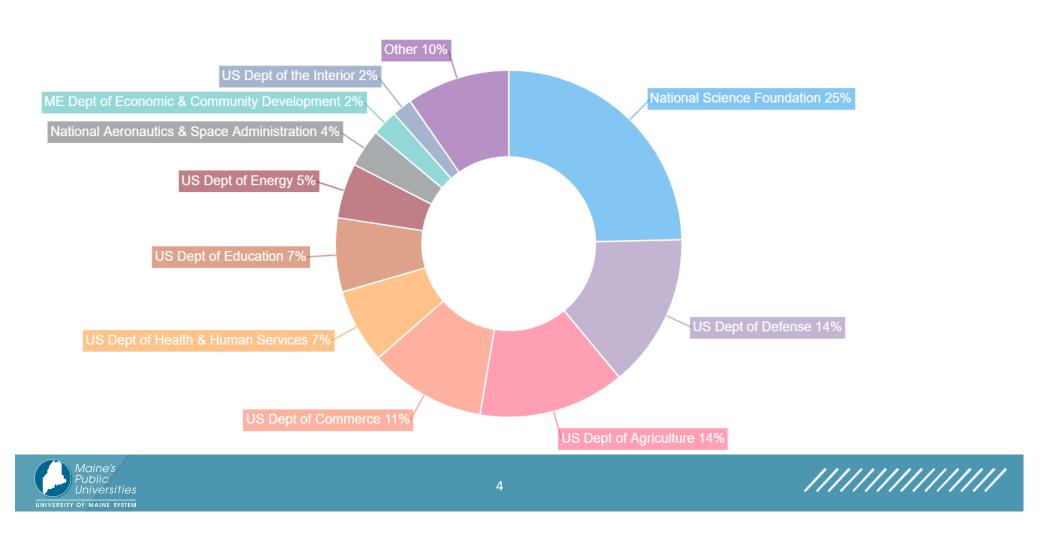




2



FY 2018 – FY2022 UMaine Extramural Awards (by Sponsor)



FEDERAL R&D PRIMER

UMaine is committed to improve UMS competitiveness in extramural funding programs

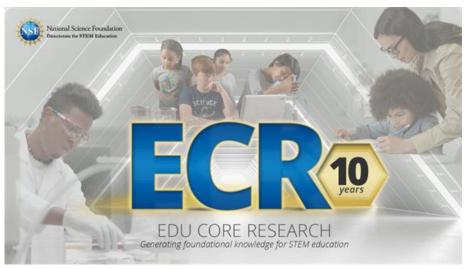




NSF ECR's 10th Anniversary: Past, Present, and Future

Virtual roundtable held on March 7, 2023 via Zoom

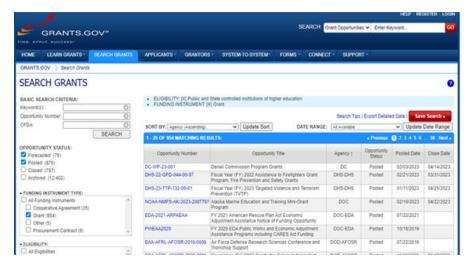
ECR launched in 2013, establishing a Directorate-wide mechanism to support curiosity-driven and use-inspired fundamental STEM education research initiatives.











- Federal agencies publish opportunities and describe purpose, eligibility, duration, amount available, and how to apply
- Proposals prepared by faculty with assistance from research support staff and submitted on behalf of university

Program solicitations and requests for proposals



AIR FORCE OFFICE OF SCIENTIFIC RESEARCH BROAD AGENCY ANNOUNCEMENT

OVERVIEW INFORMATION

The Air Force Office of Scientific Research (AFOSR), hereafter generally referred to as "we, us, our, or AFOSR," manages the basic research investment for the U.S. Air Force and Space Force. As a part of the Air Force Research Laboratory (AFRL), our technical experts discover, shape, and champion research within AFRL, universities, and industry laboratories to ensure the transition of research results to support U.S. Air Force and Space Force needs. Using a carefully balanced research portfolio, our research managers seek to Foster revolutionary scientific breakthroughs enabling the Air Force, Space Force and U.S. industry to produce world-class, militarily against and, and commercially valuable products.

To accomplish this task, we solicit proposals for basic research through this general Broad Agency Announcement outlining the U.S. Air Force Defense Research Sciences Program. We invite unclassified proposals that do not contain proprietary information for research in many broad areas. We expect to fund only fundamental research. Our research areas of interest are described in detail in section. A <u>Program Description</u>

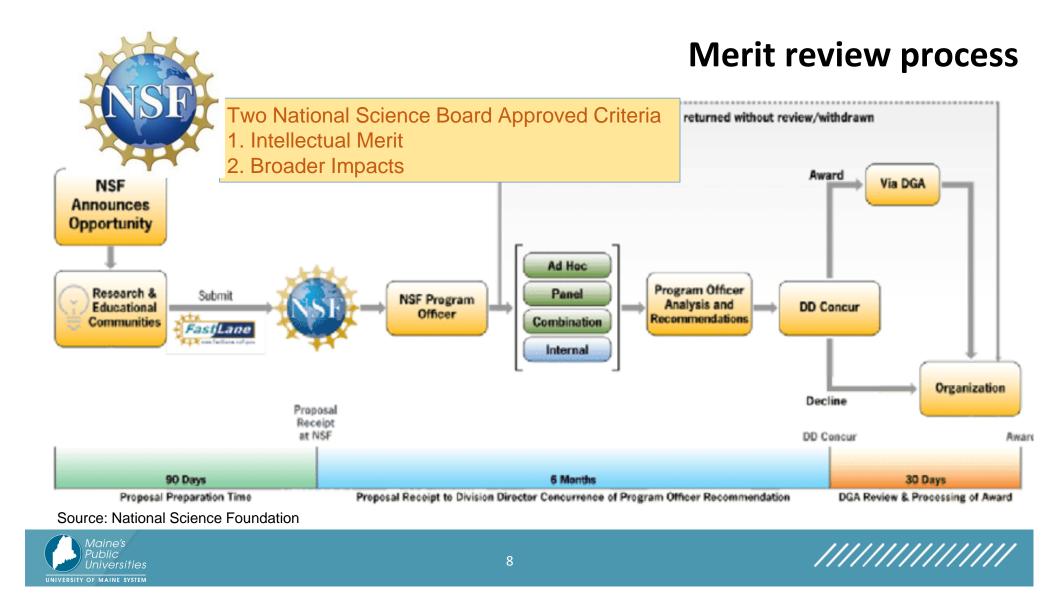
We anticipate many awards in the form of grants, cooperative agreements, contracts, technology investment agreements, or other transactions. We reserve the right to select and fund for award all, some, part, or none of the proposals received. There is no guarantee of an award. Please review the entire amountement for full details.

Hyperlinks have been embedded within this document and appear as underlined, and or bluecolored words in the midst of paragraphs. The reader may "jump" to the linked section within this document by "clicking" (CTRL + CLICK, or CLICK).

FA9550-23-S-0001 1 of 94



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UMAINE CASE STUDIES - COMPETITIVE FEDERAL PROGRAMS

UMaine research and innovation offices are committed to helping UMS through knowledge, experience, and resource sharing





National Science Foundation: Faculty Early Career Development Program (CAREER)

Open to faculty in NSF-supported disciplines.

Eligibility limited to tenure-track, pre-tenure faculty.



Establishes a foundation for a lifetime of research, scholarship, and education.

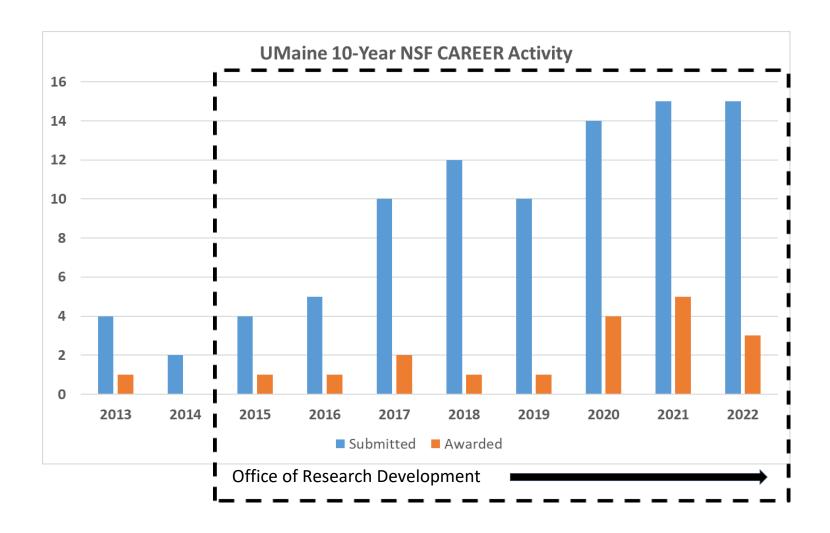


\$400,000 or \$500,000 minimum award for 5-year project durations, depending on field.

A "gatekeeper" award: receipt of CAREER support builds a relationship with an NSF program and significantly enhances a researcher's prospects for other competitive funding opportunities.









2021 NSF CAREER Award Recipients







Health Resources and Services Administration

From the Agency: HRSA supports access to health care in rural areas, the training of health professionals, the distribution of providers to areas where they are needed most, and improvements in health care delivery.

What that means: HRSA supports training for health professionals in fields like Nursing, Psychology, and Social Work at UMaine and UMS.

How we respond:

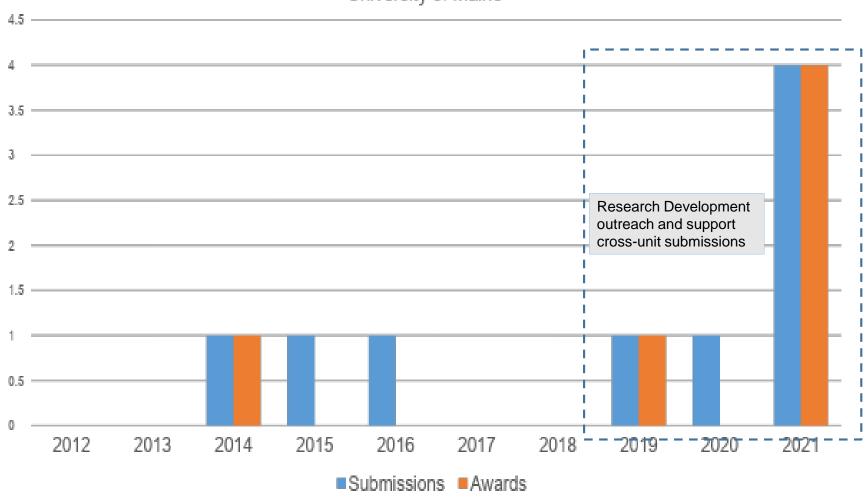
- short timelines for HRSA training grant opportunities between RFP release and submission deadlines
- collaborate with leaders in HRSA-eligible fields to align academic programs with state workforce needs and HRSA-prescribed priorities
- support directed towards students as future health professionals







10 Year history of Health Resources and Services Administration Activity at the University of Maine



HRSA Grants

"WellNurse: Wellness in Nursing Education to Promote Resilience and Reduce Burnout" (2022): \$1,514,211

PI: Kelley Strout (School of Nursing)

"Graduate Psychology Education Program" (2022): \$669,236

• Develop clinical psychology trainee competencies in integrative behavioral health and opioid use disorder / substance abuse disorder. Pl: Jeffrey Hecker (Psychology)

"Rural Integrated Behavioral Health in Primary Care Training Program" (2021): \$1,480,385

 Integrated behavioral health training for SW & clinical psychology graduate students. Pl: Sandra Butler (School of Social Work)

"Promoting Diversity in Nursing Education" (2021): \$1,725,328

Increase racial minority & first-generation students participating in UMaine nursing education. PI:
 K. Strout (School of Nursing)

"Professional Opioid Workforce Response" (2019): \$527,988

 Increase SW graduate student field placements responding to the opioid epidemic. PI: Elizabeth Armstrong (School of Social Work)



INFRASTRUCTURE NECESSARY TO SUPPORT R&D FOR COMPETITIVE AWARDS

UMaine is committed to help UMS access research services, graduate students and postdocs





Support and Expertise to Share Systemwide

Research Administration Research Compliance

Research Development Strategic
Partnerships,
Innovation,
Resources, and
Engagement

Facilities & Equipment



Commercialization emerging from competitive awards and industry partnerships

- 8 new start-ups formed
- 2 start-ups adding/licensing new IP
- 46 students paid to help

MIRTA



UNAR Labs

Co-founded by professor of spatial computing Nick Giudice and graduate student Hari Palani

Portland-based startup develops assistive technology for blind and visually impaired (BVI) users

Maine Innovation Research and Technology Accelerator
University of Maine SPIRE



UMS EXAMPLES OF PROJECTS FUNDED THROUGH FEDERAL COMPETITIVE PROCESSES

UMaine is committed to help UMS campuses accelerate their success with external funders





USM Maine recently secured \$1.8M in January through a grant from the US Department of Education to increase the number of credentialed psychologists in Maine's rural high-need schools





Led by Associate Professor Jamie Pratt

Maine School Psychology: Collaborative Affiliations in Rural

Education (CARE) aims to increase the number of credentialed school psychologists who reflect the diverse backgrounds and understanding of students within those communities.





- US Department of Education <u>Strengthening Institutions Program</u>.
- \$2.25 million over 5 years
- Expanding Access, Increasing Success, and Improving Career Readiness





- New B.S. degrees in Computer Science & Health Administration
- Enhanced career readiness in first year course, (e.g. financial literacy)
- Faculty development on experiential learning strategies
- Internship opportunities for students in the Humanities





Faculty and students from the UMFK Applied Forest Management Program are working with the Center for Advanced Forestry Systems at UMaine on research relevant to forestry business partners' interests.







UMFK faculty include Neil Thompson and Ned Rubert-Nason. The Center includes multiple university, industry, and government partners, is led by Aaron Weiskittel at UMaine and is sponsored by NSF.







A forest science team, including UMF professor, Dr. Andrew Barton, was awarded a NASA grant to study drought resilience in western forests.











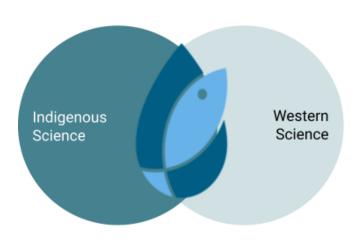


Aquaculture Experiential Opportunities for Undergraduate Students



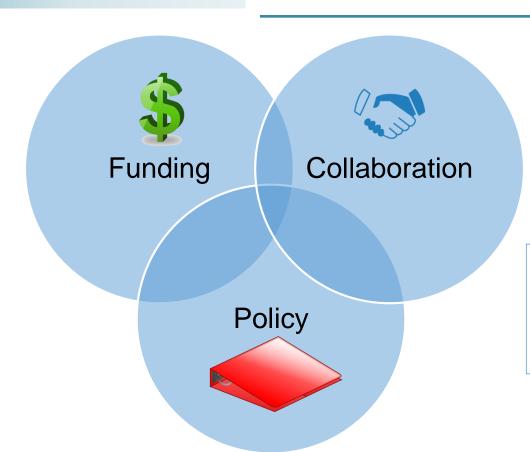
- Integrating Indigenous and Western Science
- 42 undergraduate students from around the nation
- 25-weeks of experiential learning and mentoring
- Aquatic Health Lab, Darling Marine Center
- Center for Cooperative Aquaculture Research
- Downeast Institute/UMM, Wabanaki Center





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OVCRI Areas of Focus



May 2023 BOT preview of topics:

1. Research strategies for UMS

2. UMS "Call for Ideas" update









Presentation to the UMS Board of Trustees
March 27, 2023

University of Southern Maine Great University Campaign





A New Home for the Dr. Alfred and D. Suzi Osher School of Music

Integrating Sight and Sound

- The Osher School is a USM Signature Program of excellence
- Increases enrollment and retention
- Wide impact on Maine's K-12 music education workforce multiple Teacher of the Year awards
- Many acclaimed graduates performing in the Metropolitan Opera, Broadway, and around the world, including Grammy & Tony Award winners
- Establishes a presence for Theater and the Visual Arts on USM's Portland campus, promoting interdisciplinary learning
- Robust community & philanthropic support

Board of Trustees Meeting - March 26-27, 2023 - Presentations

Center for the Arts at USM

Approvals to Date:

- 1/2018: To expend \$1M for Schematic Design
- 11/2021: To expend up to \$3.2M to advance the project through Design Development/ Construction Documents Phase
- Total approved to date: \$4.2M

Today's Vote:

 Approve spending up to \$63M on the construction of the Center for the Arts on the University of Southern Maine's Portland campus





CENTER FOR THE ARTS PROJECT HISTORY

2018 SCHEMATIC DESIGN PHASE

BOT VOTED: To expend \$1M to develop schematic design

- Estimated Cost: \$65M
- Size: 69,000 GSF; Scope: OSOM Home, Art Gallery, Book Arts, Arts Lab

2021-22 DESIGN DEVELOPMENT PHASE

BOT VOTED: To expend up to \$3.2M for design development/construction documents

- Estimated Cost: \$40M \$46M
- Size: 35,000 GSF (+5,000 GSF add alts); Scope: no change

EARLY 2022

CFA at the start of Design Development phase:

- Estimated Cost: \$40M \$46M
- Size: 40,000 GSF; Scope: no change

MID 2022

CFA by the end of Design Development phase:

- Require 3 additional practice rooms, 10 ft. space extension
- Size: +/-40,000 GSF; Scope: no change
- Estimated Cost: increases to \$56M \$59M
- Begin construction docs, heavy value engineering to save \$\$\$

2023 CONSTRUCTION PHASE

BOT VOTE: APPROVAL TO BEGIN CONSTRUCTION

- Construction documents are 50% complete
- Estimated Cost: \$63M
- Philanthropic dollars: \$23.65M raised to date

Board of Trustees Meeting - March 26-27, 2023 - Presentations

Project Costs Today

\$63M total budget*

Breakdown of \$18.4M est. increase:

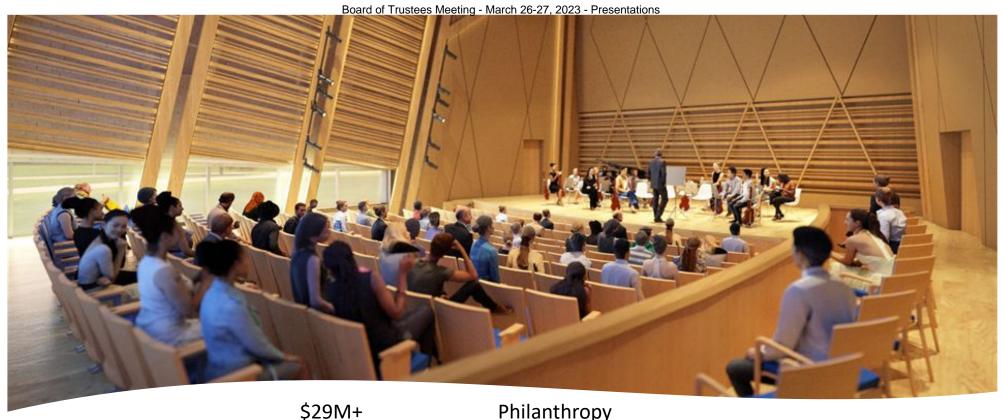
- \$4.1M for piano-priority teaching spaces & 10 foot extension
- \$14.3M construction inflation

+/-40,000 GSF building

• Cost per square foot: \$1,575/sf

*Updated project and construction costs completed by Consigli in mid-Feb 2023





Funding for \$63,000,000 **CFA Project**

Philanthropy

1st phase: \$15M (completed)

2nd phase: \$10M (near complete)

3rd phase: \$4M+ (commences July 1)

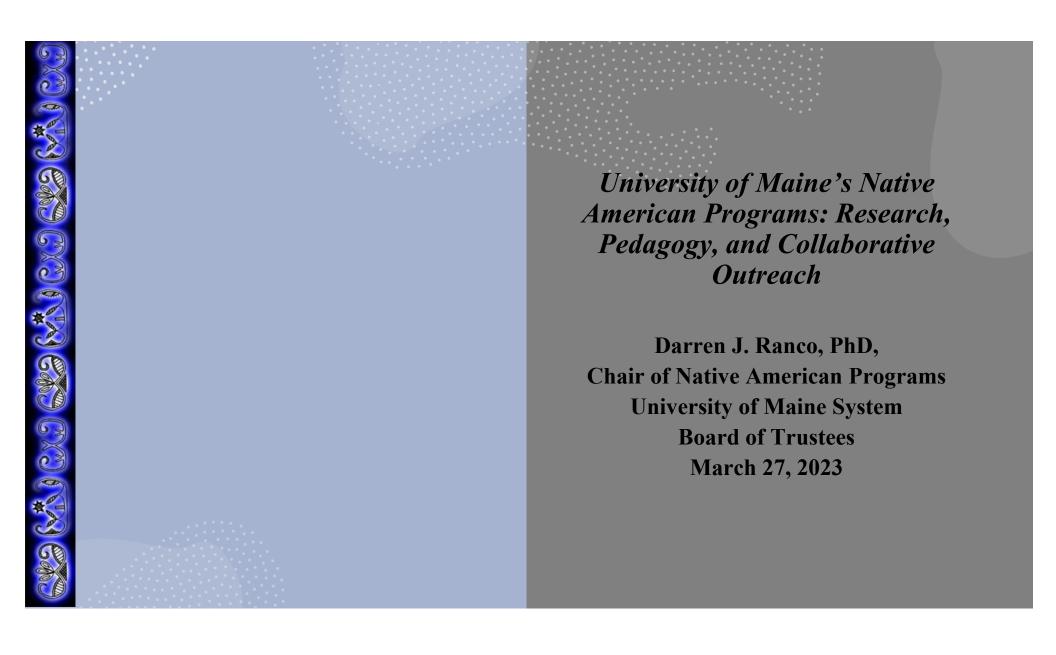
\$ 9M **UMS Revenue Bond**

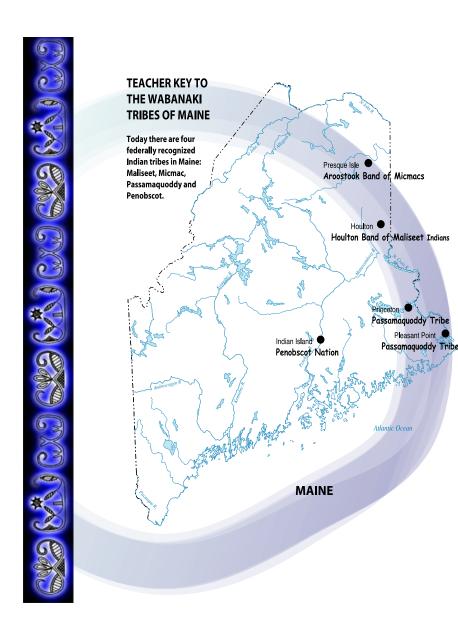
\$ 2M+ Sale of Chamberlain St. Houses

Up to \$23M Financing* (reduced with additional revenue and/or

philanthropy)

^{*} Key elements of debt service plan: comprehensive fee, debt retirement, increased Osher School of Music enrollment over time





Native American Programs Collective Mission and Vision

- MISSION: Native American Programs serves the Indigenous peoples of Maine, the University of Maine community, and the people of Maine by providing a respectful and reciprocal learning and research environment to enrich lifelong learning for all. At the same time, we work to support the intergenerational transmission of indigenous knowledge and to build capacity to serve the diverse interests of sustainable Wabanaki communities.
- VISION: Native American Programs nurtures and connects Indigenous scholars, Wabanaki communities and strategic allies to protect, promote and sustain the people of Waponakihk. Through mutual respect and reciprocity, we collectively work to apply Indigenous knowledge and to recognize its value in the life and well being of all Wabanaki people, the University of Maine community, and the people of the State.

RESEARCH





















Tyler Everett



Emily Francis



Ella McDonald



Parinaz Rahimzadeh-Bajgiran



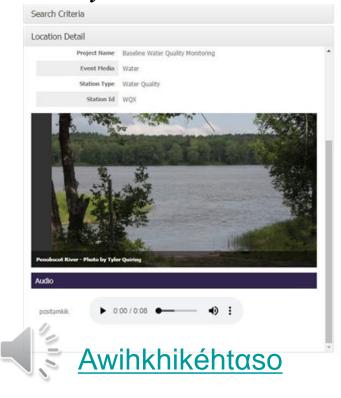
Darren Ranco



Centering indigenous methods and cultural science

Wabanaki diplomacy, reciprocity, and generosity





Tribal Climate Adaptation in the Dawnland



About

News

Science

ources

artners

Designing Wabanaki Adaptive Capacity for Climate Change

Project Leader: Darren Ranco

Project Investigators: John Daigle
Natalie Michelle

Research Partners: Penobscot Nation

Aroostook Band of Micmacs Houlton Band of Mailseet Indians Passamaguoddy Tribe







Sustainability Science https://doi.org/10.1007/s11625-021-00904-3





ORIGINAL ARTICLE



Science in Indigenous homelands: addressing power and justice in sustainability science from/with/in the Penobscot River

Bridie McGreavy¹ Darren Ranco² John Daigle³ Suzanne Greenlaw⁴ Nolan Altvater⁵ Tyler Quiring⁶ Natalie Michelle⁷ Jan Paul⁸ Maliyan Binette⁹ Brawley Benson¹⁰ Anthony Sutton¹¹ David Hart¹¹

Received: 23 July 2020 / Accepted: 3 January 2021
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Bridie McGreavy
Associate Professor
Communication



Brawley Benson Undergraduate research



Tyler Quiring Ph.D. candidate



John Daigle Professor, School of Forest Resources



Jan Paul Penobscot Nation (PN) Water Resources



Suzanne Greenlaw Ph.D. student



Angie Reed PN Water Resources Program



Maliyan Binette WaYS student



Nolan Altvater WaYS student



David Hart
Director
Mitchell

PEDAGOGY



LD 291 and DAWNLAND BADGE

Home > All Courses > 21st Century Skills/Training > Dawnland: Maine Indian History

Dawnland: Maine Indian History



Q Digital badge \$ 25.00 iii Online

This course explores the history of the original people of Maine. The Dawnland: Maine Indian History digital badge is awarded to those who earn an 80% or better score on module quizzes.

Learners will:

Complete the four online modules by working through an array of PowerPoint presentations, handouts, readings, and short learning videos. Each module ends with a quiz.

Learners who achieve 80% accuracy or better on each quiz will receive a University of Maine System verified digital badge.

The four-module topics include:

A New Era in Wabanaki Studies Education in Maine

Darren Ranco, PhD Chair of Native American Programs, UMaine Portland Public Schools Portland Museum of Art January 18, 2023



EPSCOR 4.3.4 Diversity and Inclusion—Tribal Students



Wabanaki Youth in Science (WaYS) Program

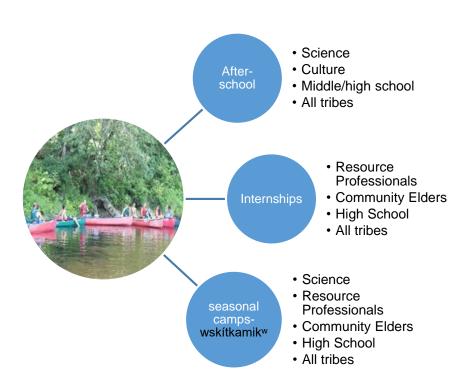
Background and GOALS:

- Started in 2012 for middle and high school **students**
- **Engage** More Wabanaki Youth in the Sciences
- Bring together Wabanaki Ecological Science and Western Science
- Address the need for more Tribal <u>professionals</u> in Natural Resources
- <u>Graduate More Wabanaki Scientists!</u> 15% increase in Native STEM majors at UMaine





EPSCOR 4.3.4 Diversity and Inclusion—Tribal Students



Wabanaki Youth in Science (WaYS) Program

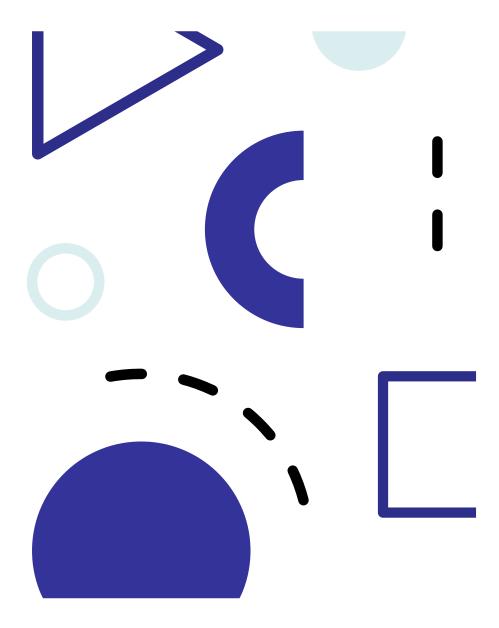
<u>Transformative Pedagogy and Mentorship:</u>

- Two-eyed seeing: Inclusion of Western and **Indigenous Science**
- Year Long Engagements: Combination of after school programs, seasonal science camps, and internship programs (see left)
- Multi-cultural Mentoring: All mentees receive professional and culture-based mentorship

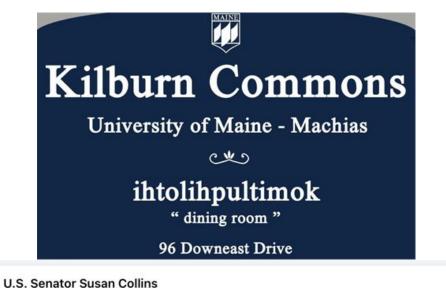




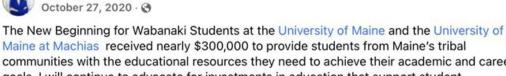
SERVICE Institutional Impacts



UMaine-Machias Connections and Kinep Mentoring Program







Maine at Machias received nearly \$300,000 to provide students from Maine's tribal communities with the educational resources they need to achieve their academic and career goals. I will continue to advocate for investments in education that support student participation and success.

COLLING CENATE COV





University of Maine-Penobscot Nation Memorandum of Understanding



Penobscot Chief Kirk Francis and University of Maine President Susan Hunter, 10 May 2018



The purpose of this MOU is to clarify Penobscot Nation and University of Maine expectations with regards to the following discrete areas:

- 1. University and Penobscot Nation **Institutional Research Boards.**
- 2. Care and management of Penobscot collections and cultural heritage items held at the **Hudson Museum**.
- Care and management of Penobscot collections and cultural heritage items held at the Fogler Library (Special Collections).
- 4. Publication of Penobscot Nation cultural heritage material through the **University of Maine Press**.
- Cataloguing and care of Penobscot collections in the Anthropology Department.



A Plan for Penobscot Language Signage on the University of Maine Campus

REPORT FROM THE
PENOBSCOT LANGUAGE
SIGNAGE COMMITTEE
MAY 2018



Transforming Space/Place: UMaine-Penobscot Signage Project 2018-



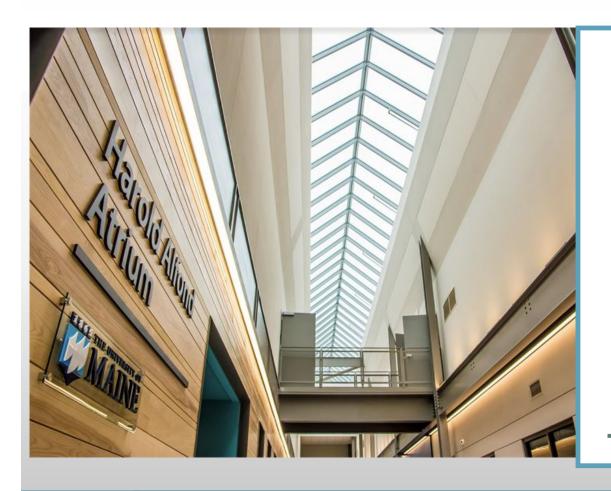
Penobscot language signs











Maine College of Engineering and Computing (MCEC)

Access, excellence and opportunity for all Maine students

UMS Board of Trustees update

March 26-27, 2023





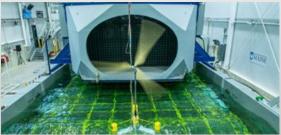


1

Answering the need for thousands of new engineering, computing, and information science graduates in Maine through a new statewide college offering **expanded** educational opportunities in **collaboration** with programs across the University of Maine System.











2

Our highest priority is empowering and educating Maine's future workforce.







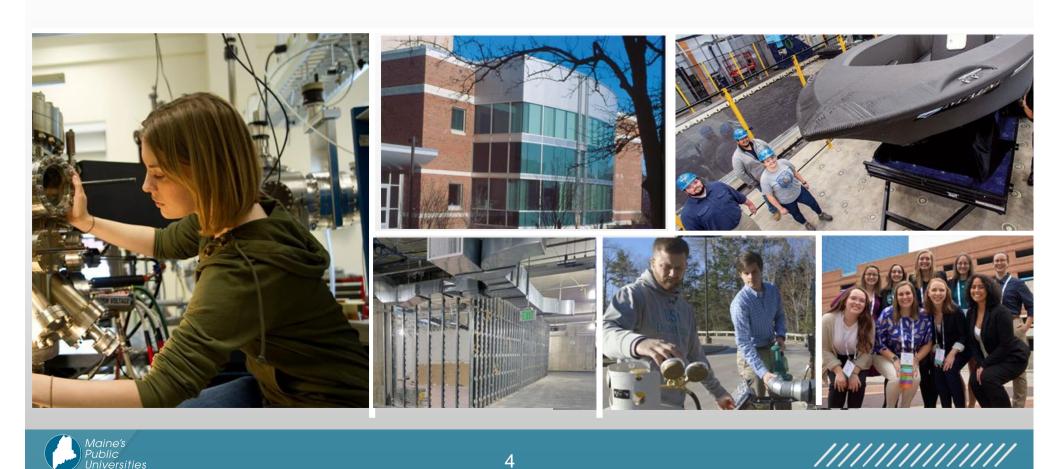


- Doubles graduates to meet Maine's workforce needs
- Doubles faculty and research funding
- Upgrades and expands facilities with state-of-the-art classrooms, labs, and meeting space
- Increases diversity
- Creates opportunities for shared programs, interdisciplinary structures, and partnerships





We are making progress and capitalizing on opportunities.



UNIVERSITY OF MAINE SYSTEM

We are creating the factory of the future.



Green Engineering and Materials (GEM) Lab

- Frontier research and development
- Student access and opportunities
 - Cutting edge AI technologies







We are taking a statewide system-wide approach.

- Additional undergraduate engineering and computing programs at UMaine and USM
- UMaine graduate engineering programs based in Portland
- Expanded pathways into the statewide college from all UMS universities, community colleges, and preK-12
- New opportunities for shared programs, interdisciplinary structures and partnerships













UMaine College of Engineering

- A full suite of undergraduate and graduate programs encompassing 11 distinct disciplines
- 93% of all B.S. and 100 % of M.S. and Ph.D. engineers in Maine
- 57% get their first job in Maine
- Placement rates of 99% with great reputations among CEOs of Maine's major companies
- Almost 2,000 undergraduates enrolled
- In 2020-2021 364 degrees conferred (37 graduate)
- Total of 77.5 FTE faculty







USM Department of Engineering

- Provides 3 undergraduate degrees in engineering
 - Electrical and Computer Engineering (EE)
 - Mechanical Engineering (ME)
 - new Industrial Engineering (IE)
- In 2020-21 USM had a total enrollment of 234
 - EE enrolled 96 students / ME enrolled 138
- In 2020-21 there were 45 degrees conferred
 - EE conferred 20 / ME conferred 25
- Total of 8 FTE faculty



We have revised the MOU to reflect stakeholder feedback.



- Reflects new preferred name: Maine College of Engineering and Computing
- Changes reference to USM engineering programs to USM engineering department
- Amends reference to USM as a Division of engineering within MCEC to a cooperating department within MCEC
- Deletes reference to USM faculty with appointments in the USM Division of Engineering in MCEC and replaces it with in accordance with the provisions of a cooperating department.
- Adds USM funding appropriation language





We are asking that the Board of Trustees:





- Approves the renaming of the University of Maine College of Engineering to be the Maine College of Engineering and Computing (MCEC), to be effective April 1, 2023;
- Approves, under Board Policy 309 Organization and Establishment of Major Units, the conceptual framework for collaboration and participation by USM engineering programs as a cooperating department with MCEC as described in the February 2023 MCEC MOU; and
- Encourages and confirms the faculty's shared governance (March 2007 document attached to Board Policy #205 – Faculty and Student Representatives to Board of Trustees) responsibility for curriculum and academic policy development, program and university collaboration, and a strong emphasis on DEI within MCEC and UMS TRANSFORMS



