

Finance, Facilities & Technology Committee

January 12, 2023 at 9:00 am
Zoom Meeting – No Physical Location Available

The public is invited to view the meeting on YouTube. The link to the Board of Trustees YouTube page can be found the Board website: <https://www.maine.edu/board-of-trustees/>

AGENDA

- 9:00am – 9:05am Call the meeting to order and Roll Call
- 9:05am – 9:30am **EXECUTIVE SESSION**
The Finance, Facilities, & Technology Committee will enter Executive Session under the provision of: 1 MRSA Section 405 6-C
- 9:30am – 10:30am
TAB 1 FY2023 Proposed Operating Budget Revisions
- 10:30am – 10:40am
TAB 2 Establishment of a new Endowed Professorship in Public Policy, USM
- 10:40am – 10:50am
TAB 3 Bridge Financing Request Portland Development Project, University of Southern Maine
- 10:50am – 11:00am
TAB 4 Deering Farmhouse Renovation, University of Southern Maine
- 11:00am - 11:10am
TAB 5 Center for Teaching Innovation Renovation, University of Southern Maine
- 11:10am – 11:20am
TAB 6 Medical Laboratory Technology Space Renovation Project Budget Increase, UMA
- 11:20am – 11:30am
TAB 7 Review of Projects with a Value of \$250,000 or Greater
- 11:30am – 11:45am
TAB 8 State of IT 2022 Report
- 11:45am – 11:50am
TAB 9 Capital Projects Status Report and Bond Projects Update, UMS
- 11:50am – 11:55am
TAB 10 FY2022 annual Report on Gifts, Fundraising and Endowments
- 11:55am – 12:00pm
TAB 11 FY2022 Sole-Source Procurement Report

Action items within the Committee purview are noted in green.

Items for Committee decisions and recommendations are noted in red.

Note: Times are estimated based upon the anticipated length for presentation or discussion of a particular topic.
An item may be brought up earlier or the order of items changed for effective deliberation of matters before the Committee.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: FY2023 Proposed Operating Budget Revisions

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

Policy 701 – [Operating & Capital Budgets](#)

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The FY2023 budgets for 2 additional universities (UMAINE & UMF) have been revised and are attached. For informational purposes only, this Agenda Item Summary is again providing revised enrollment and residence hall occupancy for all institutions and the revised budgets for 4 universities previously approved at the December 5, 2022 Board meeting.

Vice Chancellor for Finance and Administration & Treasurer Ryan Low, along with the two universities, will briefly present the FY2023 proposed operating budget revisions. Most changes reflect enrollment, residence hall occupancy and general operating expenses.

CREDIT HOUR ENROLLMENT (excl. Early College)

	In-State		Out-of-State		AP		Total		Change	
	Original	Revised	Original	Revised	Original	Revised	Original	Revised	#	%
UMAINE	167,569	162,455	115,509	104,191	-	-	283,078	266,646	(16,432)	-5.8%
UMM	8,499	6,473	2,634	3,100	-	-	11,133	9,573	(1,560)	-14.0%
UMA	60,564	56,628	8,095	7,137	-	-	68,659	63,765	(4,894)	-7.1%
UMF	33,542	34,136	8,497	7,175	-	-	42,039	41,311	(728)	-1.7%
UMFK	6,346	6,132	6,601	4,507	5,637	4,070	18,584	14,709	(3,875)	-20.9%
UMPI	12,751	12,209	4,033	4,211	15,201	15,194	31,985	31,613	(372)	-1.2%
USM	118,645	112,406	27,931	25,282	6,657	5,311	153,233	142,999	(10,234)	-6.7%
LAW	5,850	5,818	2,006	1,967	-	-	7,856	7,785	(71)	-0.9%
TOTAL	413,766	396,257	175,306	157,570	27,495	24,575	616,567	578,401	(38,166)	-6.2%

1/12/2023

EARLY COLLEGE ENROLLMENT

	Early College		Change	
	Original	Revised	#	%
UMAINE	3,559	4,291	732	20.6%
UMM	2,218	2,389	171	7.7%
UMA	6,900	7,184	284	4.1%
UMF	705	1,671	966	137.0%
UMFK	3,766	3,172	(594)	-15.8%
UMPI	4,032	5,334	1,302	32.3%
USM	6,045	6,920	875	14.5%
TOTAL	27,225	30,961	3,736	13.7%

RESIDENCE HALL OCCUPANCY

	Original	Revised	Change	
			#	%
UMAINE	3,404	3,175	(229)	-6.7%
UMM	125	103	(22)	-17.6%
UMA	77	77	-	0.0%
UMF	895	719	(176)	-19.7%
UMFK	136	98	(38)	-27.9%
UMPI	175	175	-	0.0%
USM	1,121	1,271	150	13.4%
TOTAL	5,933	5,618	(315)	-5.3%

OPERATING BUDGETS

	Original Budget			Revised Budget			Net Change	Campus Reserves
	E&G	Auxiliary	Total	E&G	Auxiliary	Total		
UMAINE	\$ (11,794,134)	\$ (146,791)	\$ (11,940,925)	\$ (16,122,697)	\$ (1,579,441)	\$ (17,702,138)	\$ (5,761,213)	✓
UMF	(1,801,386)	(220,245)	(2,021,631)	(1,641,386)	(875,336)	(2,516,722)	(495,091)	
UMA	341,634	(341,634)	-	(725,131)	(341,634)	(1,066,765)	(1,066,765)	✓
UMFK	(287,060)	(650,940)	(938,000)	(190,013)	(747,987)	(938,000)	-	
UMPI	(1,912,211)	(545,995)	(2,458,206)	(1,855,211)	(602,995)	(2,458,206)	-	
USM	486,441	(486,441)	-	(1,188,554)	213,559	(974,995)	(974,995)	✓
TOTAL			\$ (17,358,762)			\$ (25,656,826)	\$ (8,298,064)	

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities and Technology Committee, approves the following resolution to be forwarded for Board of Trustee approval at the January 29-30, 2023 Board Meeting.

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee, and approves the FY2023 UMaine and UMF proposed operating budget revisions.

1/12/2023



UMF FY23 BUDGET JANUARY UPDATE

January 2023

FY23 Credit Hour Modifications

Fall 2022

	Credit Hour Base Budget	Credit Hour Census 10/15/2022	Credit Hour Change
UGRD			
IS	15,161	15,447	286
Canadian	-	16	16
OOS	1,862	1,780	(82)
NEBHE	2,316	1,748	(568)
Sub-total:	19,339	18,991	(348)
GRAD			
IS	1,290	1,243	(47)
Sub-total:	20,629	20,234	(395)
Early College	590	1,336	746
Total:	21,219	21,570	351

Spring 2023

	Credit Hour Base Budget	Revised Budget based on Fall census numbers	Credit Hour Change
UGRD			
IS	13,549	13,980	431
Canadian	-	16	16
OOS	1,798	1,620	(178)
NEBHE	2,179	1,582	(597)
Sub-total:	17,526	17,198	(328)
GRAD			
IS	1,202	1,230	28
Sub-total:	18,728	18,428	(300)
Early College	115	134	19
Total:	18,843	18,562	(281)
Overall change:			70

Change by Classification:

<u>UGRD</u>	
IS	717
OOS	(260) *
NEBHE	(1,165) *
CAN	32
<u>GRAD</u>	
IS	(19)
Early College	765
	70

*The mix of the change contributes to the overall deficit



FY23 Budget ~ revised



<u>FY23 Revenue (Original) Base Budget</u>		
Tuition & Fees	16,952,013	50.0%
Waivers	(483,762)	-1.4%
State Appropriation	14,521,745	42.8%
Stabalization Funds	1,641,386	4.8%
Other (includes EC transfer)	1,292,976	3.8%
	<u>\$ 33,924,358</u>	
<u>FY23 Expense (Original) Base Budget</u>		
Personnel	23,669,882	69.8%
Student Aid	3,662,906	10.8%
Other	6,591,570	19.4%
	<u>\$ 33,924,358</u>	

<u>FY23 Revenue (Modified) Base Budget</u>		
Tuition & Fees	16,152,811	48.8%
Waivers	(483,762)	-1.5%
State Appropriation	14,521,745	43.8%
Stabalization Funds	1,641,386	5.0%
Other (includes EC transfer)	1,294,976	3.9%
	<u>\$ 33,127,156</u>	
<u>FY23 Expenditure (Modified) Base Budget</u>		
Personnel	23,089,139	69.7%
Student Aid	3,662,906	11.1%
Other	6,375,111	19.2%
	<u>\$ 33,127,156</u>	

Reflects a revenue/expense reduction of (\$799,202)

FY23 Auxiliary & Retention



Auxiliary is out of balance by approximately \$1.265M. To work towards a balanced budget, waivers have been reduced by \$95k; expenses have been reduced by \$515k which leaves a gap of \$655k that we will continue to work on closing by increasing revenue where possible as well as:

- Reducing expenses
- Capturing savings from vacant position(s)
- Capturing savings from Lockwood Hall being offline in Fall 2022 semester
- Increasing the number of commuter/staff meal plans purchased
- Improving the options at meal equivalency to drive satisfaction (this will help with FY24 numbers)
- Better advertising of Halal and Kosher food (similar to info about vegetarian, vegan, and GF options)

Entering into a MOU with Franklin Memorial Hospital for the spring semester to house 6-8 employees in Lockwood Hall (only residence hall with a full kitchen)

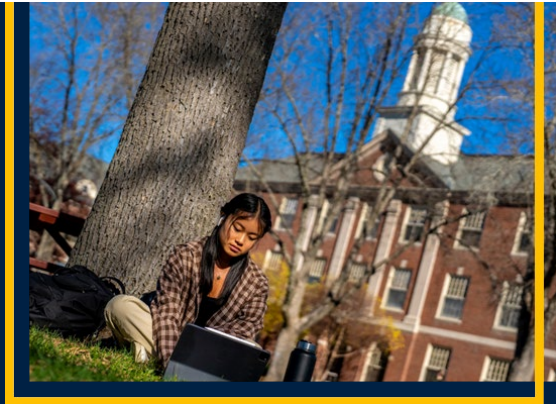
- Visiting nurses
- Physicians
- Nursing Students

Retention

- *First to second year return rates are up slightly to 66.4%*; attributed to first year retention efforts, particularly an increase in mental health and wellness capacity. *Out of state first to second year return rates are the highest in the past three years (75%)*. First to second year return rates should improve again next year, given this year's targeted retention efforts (first year scheduling initiative, more FYF classes-including FYF's as RLE's)
- *NEHBE upper class return rates are up (92%, 95%)*; these are the students who were recruited based on additional majors, and when we were recruiting in person.
- Our DFWL's were down in 2021-2022 from a sharp increase in 2020-2021. They are not down to pre-covid levels, but are close, and this is positively impacting retention.
- *Residence hall retention is 65%* (inching up every year except during the height of covid)
- Graduate program is exceeding its credit hour target; strategic goals, a capable team and some well placed marketing has contributed to success



Thank You



FY23 Revised Budget

Joan Ferrini-Mundy
President, University of Maine and
University of Maine at Machias

Kelly Sparks
Vice President for Finance and Chief
Business Officer





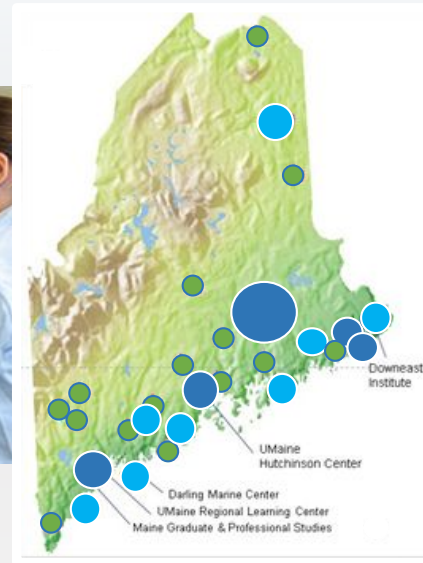
Today's agenda

1. FY23 Approved budget
2. FY23 Budget shortfall
3. Revised request for use of university reserves



The University of Maine has never been better positioned for strategic growth that will benefit Maine and its people.

Yet our fiscal challenges are daunting and will require bold solutions.



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R1
DEFINES
TOMORROW



Blind Dog Photo, courtesy of Wright-Ryan Construction



The estimated financial need for FY23 was \$22M, net of \$4.5M increase in appropriation.

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Contractual salary increases estimated	\$11.0M
Expanded research investment, start- up faculty costs	\$2.0M
Positions to fund growth (MBA, campus master plan, Ferland Engineering building, other)	\$4.0M
Inflation and technology investments (food and other supplies, fuel, other)	\$3.0M
Carryover FY22 gap (unbudgeted staff increases)	\$6.0M
Capital expenditures	\$0.5M
Less tentative increase in state appropriation	(\$4.5M)
Total	\$22.0M



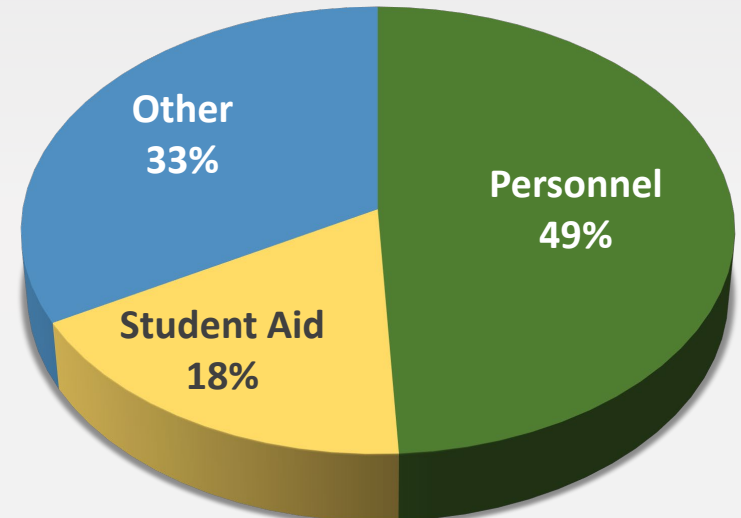
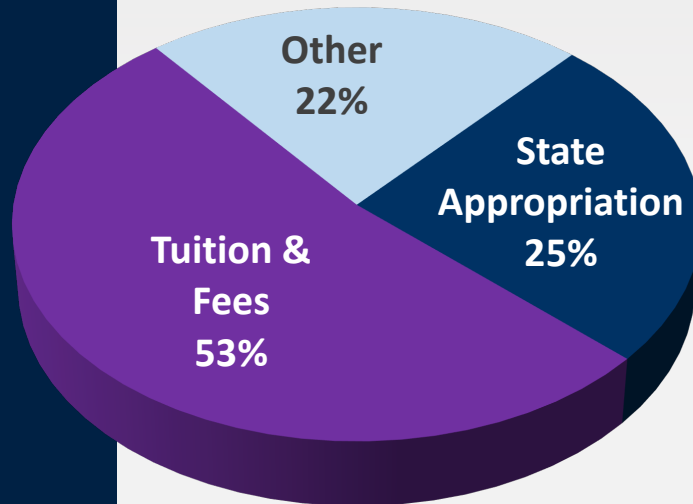
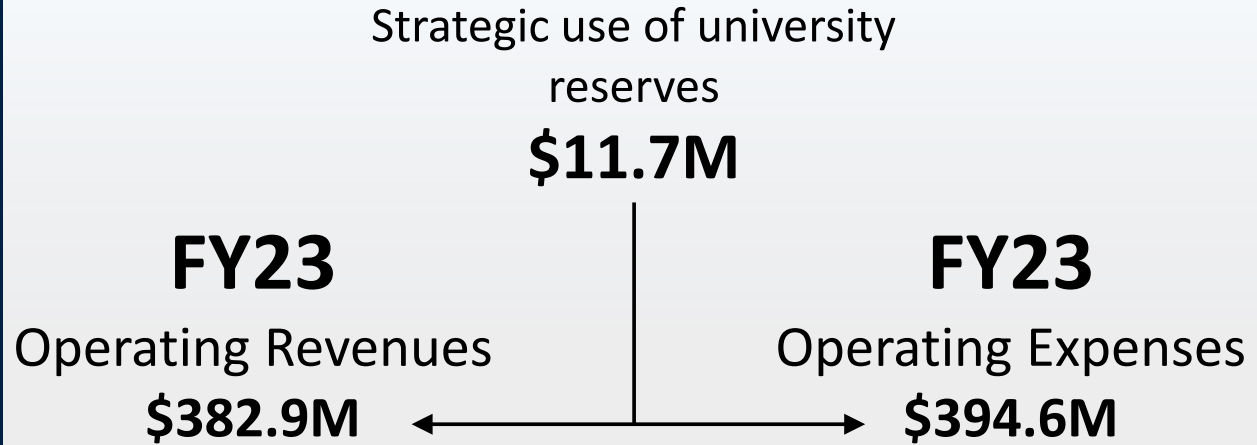
We proposed budget efficiencies and use of reserves to solve the E&G budget shortfall.

Approved FY23 Budget

Proposed Budget Efficiencies	\$5.6M
Revenue Enhancements	\$4.5M
Strategic Use of Reserves	\$11.8M
Total	\$22.0M



The FY23 approved budget anticipated use of \$11.7M in university reserves.



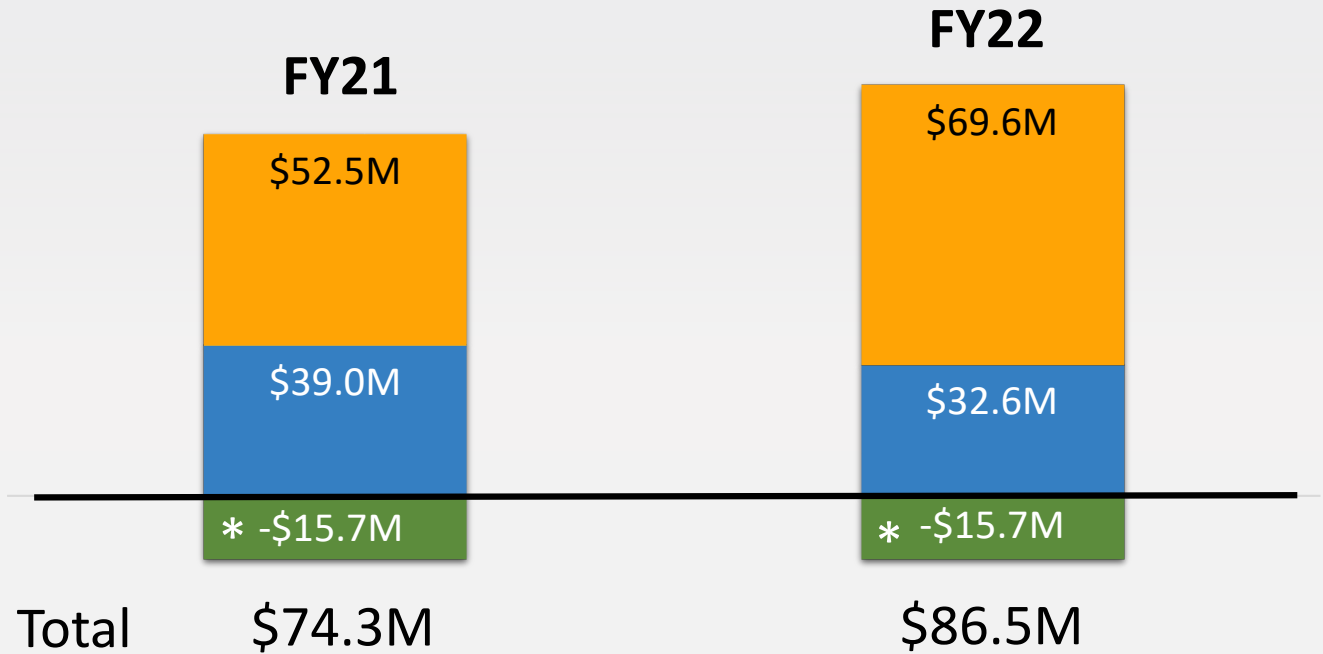
Approved May 23, 2022



The gap was to be filled using \$11.7M in strategic use of reserves.

Operating Unrestricted Reserves as of June 30

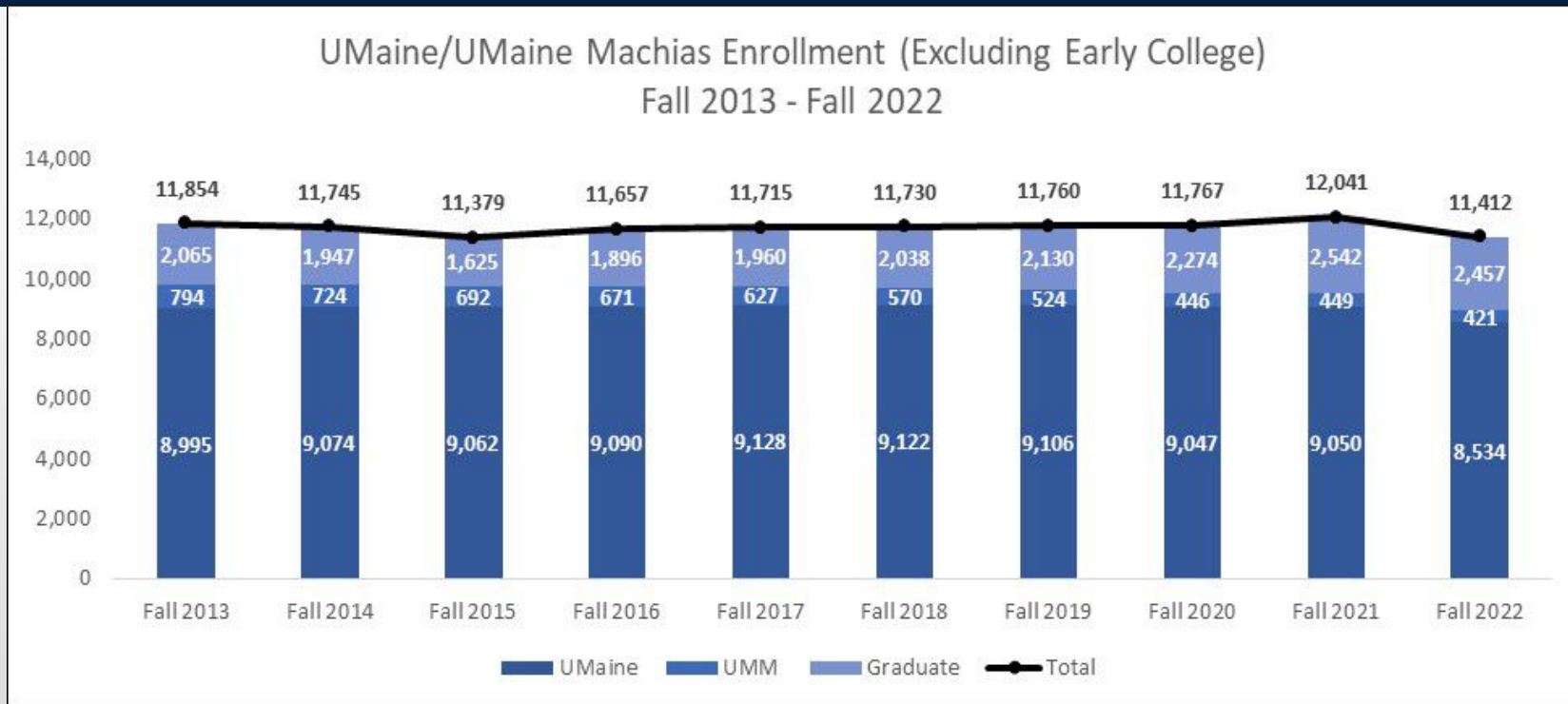
■ E&G ■ Designated ■ GASB 75



*Reflects other post-employment benefits



Fall Enrollment has been relatively stable for 10 Years.



Note. Students enrolled at both campuses are only counted once in this figure.

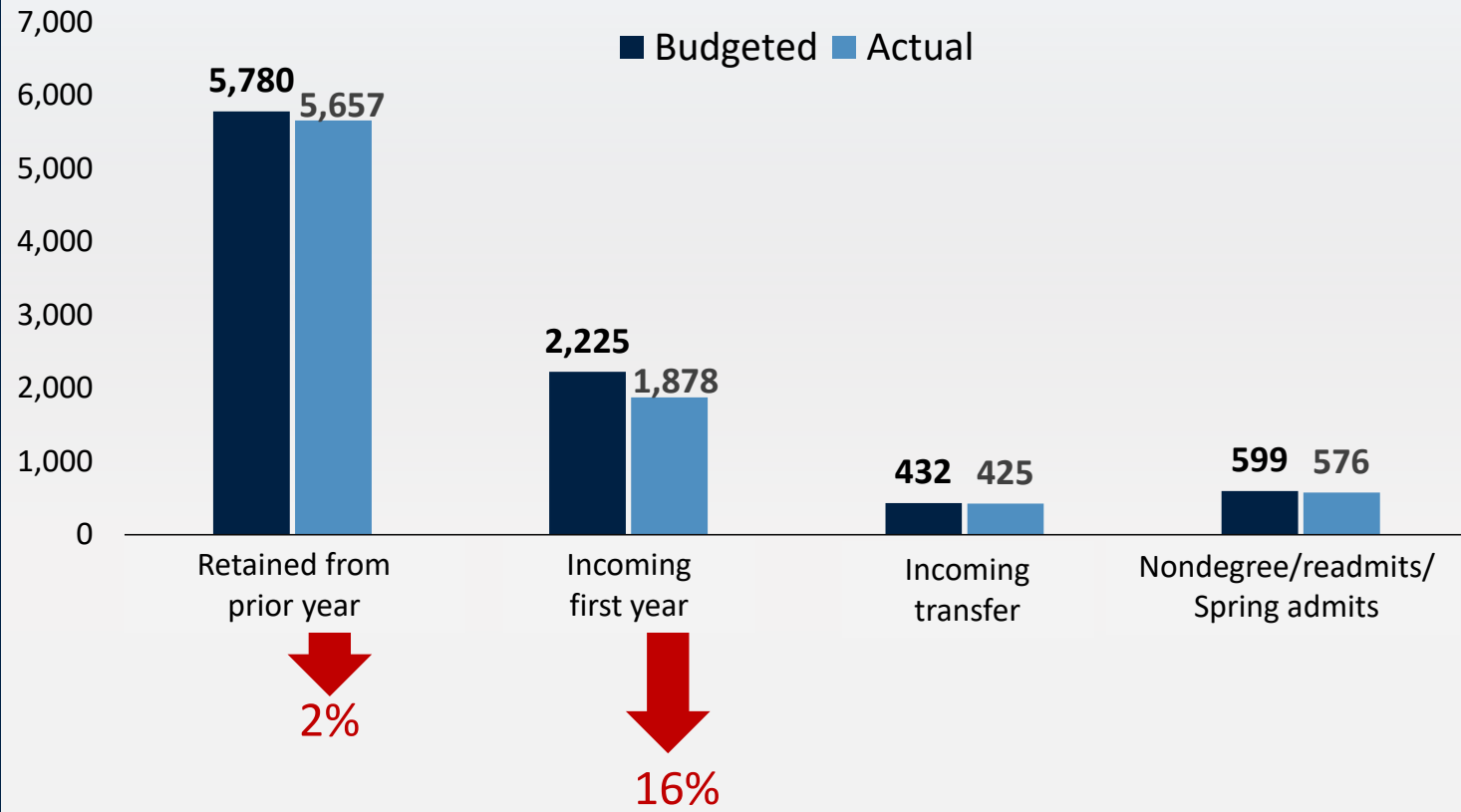
UMS Daily Enrollment Dashboard:

https://public.tableau.com/app/profile/ums.academic.affairs/viz/FallEnrollmentWatch_16340464283590/Headcount



UMaine undergraduate actual fall 2022 enrollment was below budget.

UM Undergraduate Students by Category: Budgeted vs. Actual Enrollments



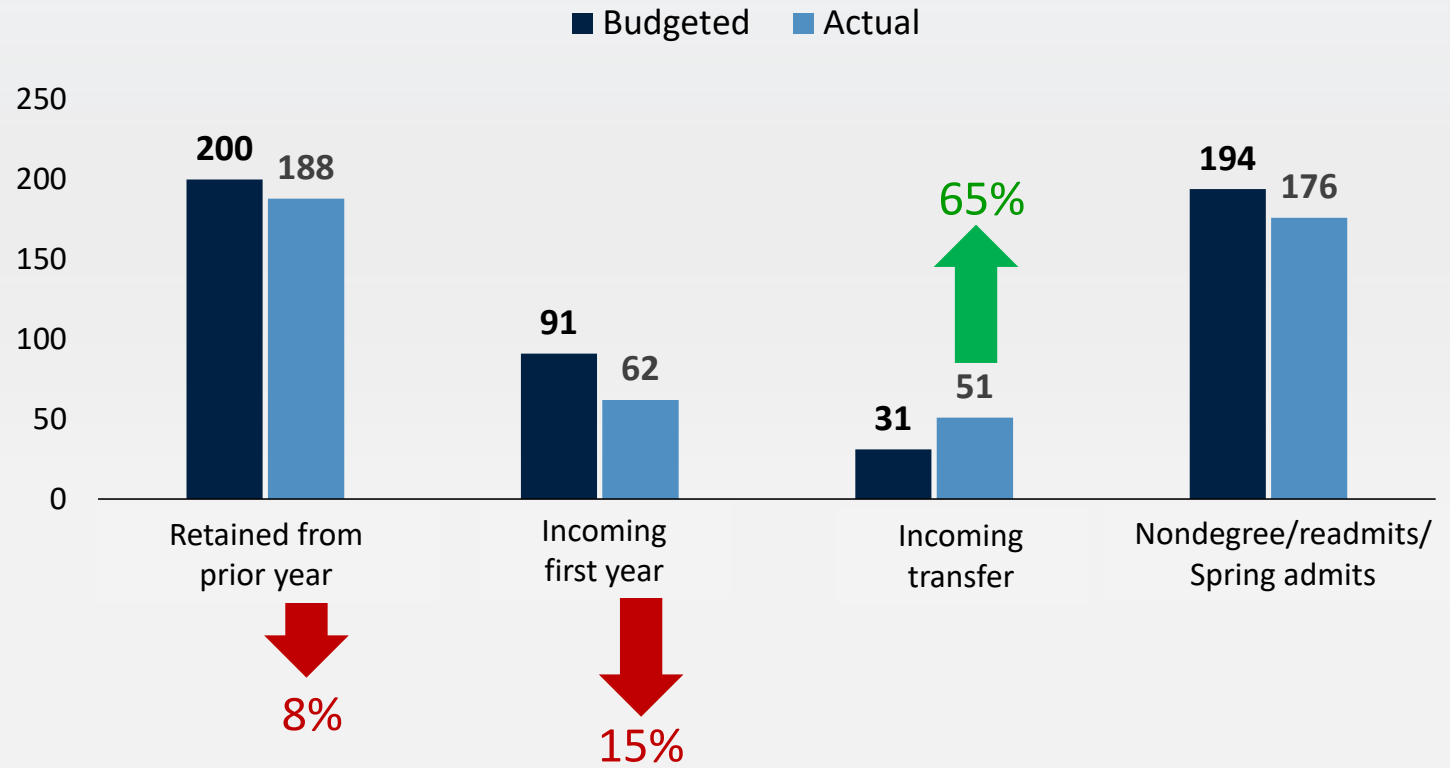
Fall 2022 Undergraduate Credit Hours

UMaine: 6% below budgeted enrollment 7% below budgeted credit hours



UMM undergraduate fall 2022 enrollment was also less than budget.

UMM Undergraduate Students by Category: Budgeted vs. Actual Enrollments



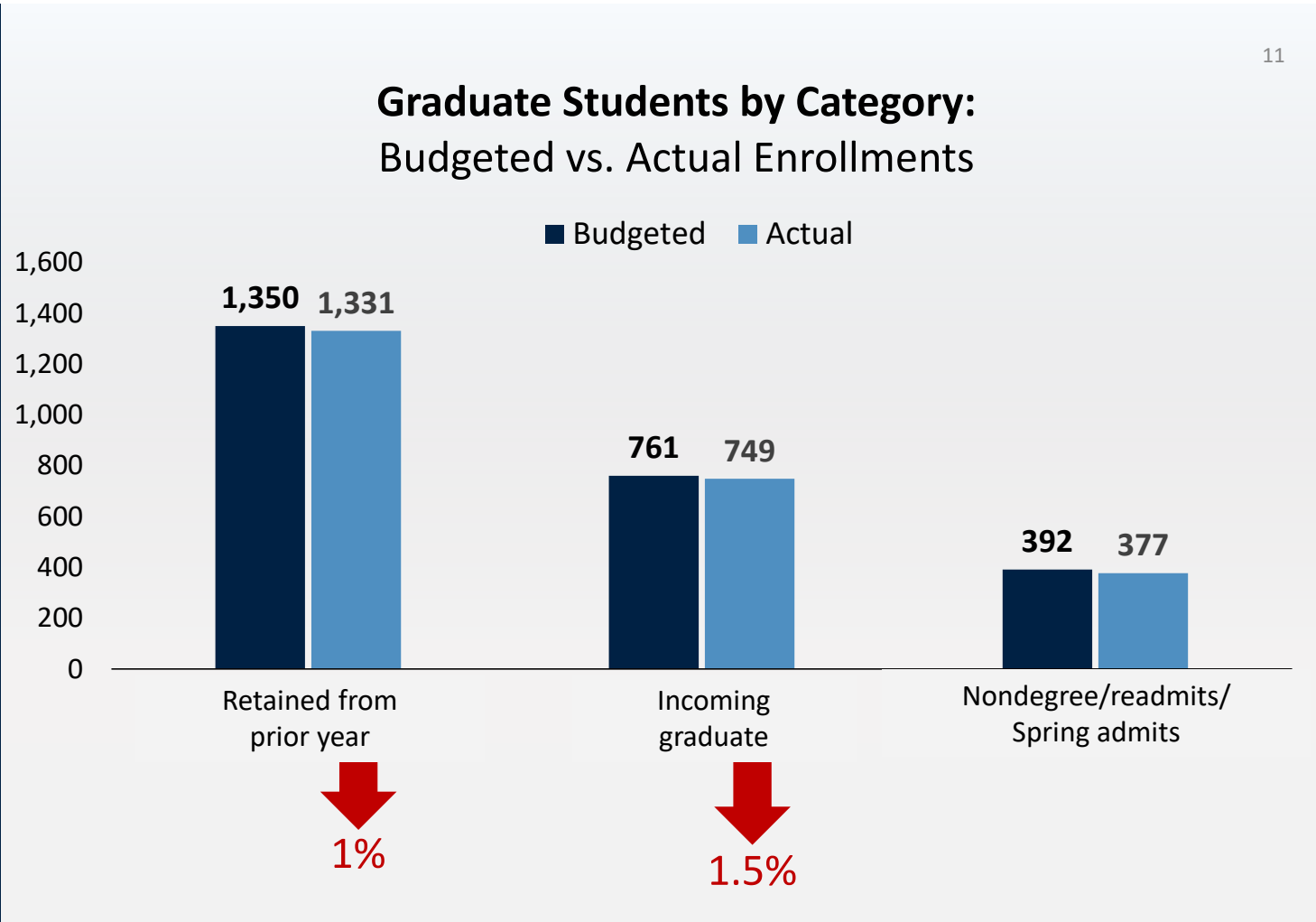
Fall 2022 Undergraduate Credit Hours

UMaine Machias: 6% below budgeted enrollment 15% below budgeted credit hours



Graduate fall enrollment was below budgeted headcount.

Fall 2022 Graduate Credit Hours



UMaine: 2% below budgeted enrollment Actual flat to budgeted credit hours



We are focusing on key enrollment initiatives for Fall 2023.

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Deepen use of digital recruitment by academic area for accepted students.

Focus on faster acceptance time.

Communicate financial aid awards early in cycle.

Align admissions counselors to key territories.



The estimated incremental E&G financial need for FY23 is \$15M.

Budget shortfall

UMM Tuition revenue	(\$0.4M)
UM In-state tuition revenue	(\$1.9M)
UM Out-of-state tuition/NEBHE revenue	(\$12.6M)
UM Tech fee	(\$0.1M)
<i>Incremental financial need</i>	<i>(\$15.0M)</i>

3.8% of expenses



The estimated incremental auxiliary financial need for FY23 is \$2.1M.

Budget shortfall

Dining meal plans	(\$1.1M)
Housing occupancy	(\$0.9M)
Conference revenue	(\$0.15M)
<i>Incremental auxiliary financial need</i>	<i>(\$2.1M)</i>



Of the \$15M in incremental E&G financial need, we have offset with \$11.6M in mid-year savings.

<i>FY23 Incremental Financial Need</i>	<i>\$15.0M</i>
Operating efficiencies: position vacancies, faculty retirements, services and supplies, one-time energy savings, library subscriptions	(\$4.1M)
Scholarships exchange, indirect returns	(\$4.2M)
Strategic use of designated funds	(\$2.3M)
Incremental use of unrestricted university reserves	(\$4.3M)



Of the \$2.1M in incremental auxiliary financial need, we have offset with \$0.6M in position vacancies.

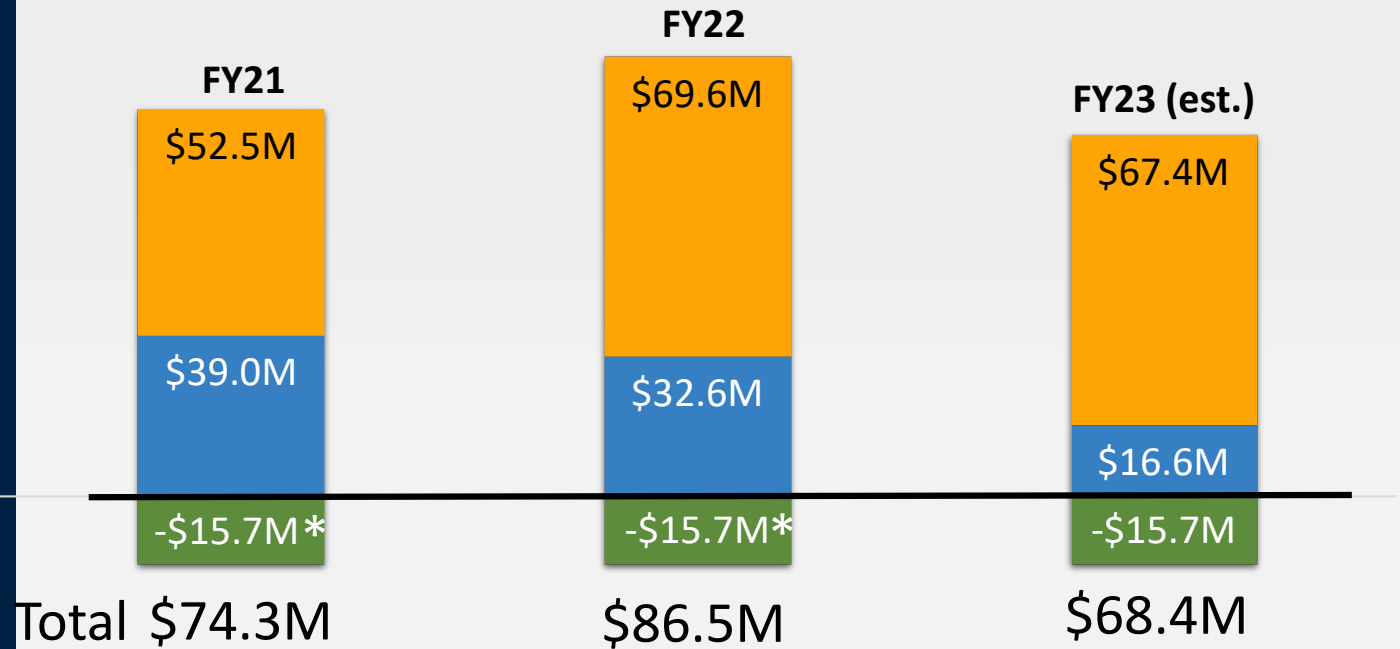
<i>FY23 Incremental Financial Need</i>	<i>\$2.1M</i>
Operating efficiencies: position vacancies	(\$0.6M)
Operating efficiencies: supplies and services	(\$0.1M)
Strategic use of auxiliary reserves	(\$1.4M)



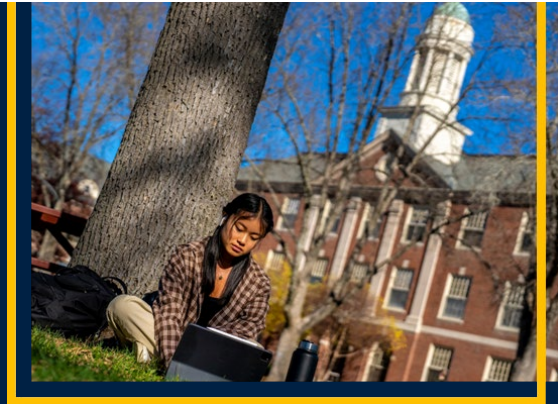
We plan to use an additional \$4.3M in E&G reserves.

Operating Unrestricted Reserves as of June 30

■ E&G ■ Designated ■ GASB 75



*Reflects other post-employment benefits



Questions & Discussion



University of Maine
E&G

FY23 Budget Revision 1/4/23

			FY23 BUDGET			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ 181,687,929	\$ 195,359,309	\$ 201,008,265	\$ 186,008,265	\$ (15,000,000)	-7.5%
Dining Revenue	-	-	-	-	-	-%
Residence Revenue	-	-	-	-	-	-%
Tuition Waivers/Scholarships	(64,088,179)	(68,720,947)	(68,872,019)	(67,610,550)	1,261,469	-1.8%
Net Student Charges Revenue	117,599,750	126,638,362	132,136,246	118,397,715	(13,738,531)	-10.4%
State Appropriation	84,067,571	90,449,439	95,967,855	95,967,855	-	0.0%
HEERF Relief - Lost Revenue	-	-	-	-	-	-%
Indirect Cost Recovery	12,730,088	18,532,602	16,310,000	19,600,000	3,290,000	20.2%
Investment Income/Gifts	1,017,145	1,054,009	1,047,926	1,047,926	-	0.0%
Sales/Services/Auxiliary	6,420,437	12,365,054	14,577,208	14,249,008	(328,200)	-2.3%
Total Revenue	221,834,991	249,039,466	260,039,235	249,262,504	(10,776,731)	-4.1%
Expenses						
Salaries & Wages	\$99,461,137	\$111,671,661	\$123,091,168	\$120,497,374	(\$2,593,794)	-2.1%
Attrition (Salary Only)	-	-	(2,953,642)	-	2,953,642	-100.0%
Employee Benefits Including Attrition	43,395,846	50,571,838	53,803,931	53,956,262	152,331	0.3%
Pandemic Pay	600,842	196,359	-	-	-	-%
Personnel	143,457,825	162,439,858	173,941,457	174,453,636	512,179	0.3%
Other Expenses & Transfers:						
Fuel & Electricity	6,895,097	8,178,022	11,986,421	9,826,421	(2,160,000)	-18.0%
Supplies & Services	15,041,397	19,290,235	17,958,486	15,604,840	(2,353,646)	-13.1%
Shared Services	20,277,365	21,892,237	22,799,414	22,916,095	116,681	0.5%
Travel	1,113,614	3,231,154	3,650,392	4,046,680	396,288	10.9%
Memberships, Contributions & Sponsorships	378,544	486,398	437,387	432,344	(5,043)	-1.2%
Maintenance & Alterations	4,695,049	5,420,576	5,109,252	4,187,596	(921,656)	-18.0%
Interest	499,266	488,957	1,035,408	1,200,408	165,000	15.9%
Depreciation	15,988,311	16,203,866	17,474,095	17,474,095	-	0.0%
Transfers to/(from) MAFES/CES	13,204,062	13,501,367	13,385,884	12,296,955	(1,088,929)	-8.1%
Transfers to/(from) MEIF	6,903,424	7,276,249	6,200,826	5,789,708	(411,118)	-6.6%
Other Expenses & Transfers	(565,950)	6,113,537	5,609,848	4,801,733	(808,115)	-14.4%
Unassigned Budget	-	96	607,864	248,355	(359,509)	-59.1%
Total Other Expenses & Transfers	84,430,178	102,082,695	106,255,277	98,825,230	(7,430,047)	-7.0%
Total Operating Expenses & Transfers	227,888,003	264,522,553	280,196,734	273,278,866	(6,917,868)	-2.5%
Operating Increase (Decrease)	\$ (6,053,012)	\$ (15,483,087)	\$ (20,157,499)	\$ (24,016,362)	\$ (3,858,863)	19.1%
Modified Cash Flow						
Operating Increase (Decrease)	\$ (6,053,012)	\$ (15,483,087)	\$ (20,157,499)	\$ (24,016,362)	\$ (3,858,863)	19.1%
Add Back Depreciation	15,988,311	16,203,866	17,474,095	17,474,095	-	0.0%
Less Capital Expenditures	(4,485,943)	(5,686,898)	(6,492,635)	(6,467,335)	25,300	-0.4%
Less Capital Reserve Funding	-	(300,318)	-	-	-	-%
Less Debt Service Principal	(1,398,713)	(1,622,965)	(2,618,095)	(3,113,095)	(495,000)	18.9%
Net Change Before Other Adjustments & Transfers	4,050,643	(6,889,402)	(11,794,134)	(16,122,697)	(4,328,563)	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	-	-	-	
Net Change Subtotal	4,050,643	(6,889,402)	(11,794,134)	(16,122,697)	(4,328,563)	
Other Strategic Transfers from/(to) Reserves	(2,468,872)	129,808	11,794,134	16,122,697	4,328,563	
Net Change in Cash & Reserve Transfers	\$ 1,581,771	\$ (6,759,594)	\$ -	\$ -	\$ -	

University of Maine		FY23 Budget Revision 1/4/23				
Auxiliary		FY23 BUDGET				
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	-%
Dining Revenue	15,036,465	20,366,487	21,944,417	20,852,975	(1,091,442)	-5.0%
Residence Revenue	13,371,845	21,719,604	22,025,218	21,167,188	(858,030)	-3.9%
Tuition Waivers/Scholarships	(1,285,534)	(1,423,771)	(1,138,249)	(1,138,249)	-	0.0%
Net Student Charges Revenue	27,122,776	40,662,320	42,831,386	40,881,914	(1,949,472)	-4.6%
State Appropriation	-	-	-	-	-	-%
HEERF Relief - Lost Revenue	15,243,148	273	-	-	-	-%
Indirect Cost Recovery	-	-	-	-	-	-%
Investment Income/Gifts	-	-	-	-	-	-%
Sales/Services/Auxiliary	9,596,905	9,303,770	10,249,814	10,099,814	(150,000)	-1.5%
Total Revenue	51,962,829	49,966,363	53,081,200	50,981,728	(2,099,472)	-4.0%
Expenses						
Salaries & Wages	\$11,147,938	\$12,611,487	\$13,453,095	\$13,432,115	(\$20,980)	-0.2%
Attrition (Salary Only)	-	-	(195,624)	(595,624)	(400,000)	204.5%
Employee Benefits Including Attrition	5,160,958	5,272,511	6,042,509	5,859,489	(183,020)	-3.0%
Pandemic Pay	424,309	38,935	-	-	-	-%
Personnel	16,733,205	17,922,933	19,299,980	18,695,980	(604,000)	-3.1%
Other Expenses & Transfers:						
Fuel & Electricity	3,272,592	4,194,222	3,623,357	3,604,555	(18,802)	-0.5%
Supplies & Services	9,096,084	12,496,719	13,136,468	12,547,982	(588,486)	-4.5%
Shared Services	-	42,109	67,854	67,854	-	0.0%
Travel	22,842	95,088	33,913	33,913	-	0.0%
Memberships, Contributions & Sponsorships	7,370	12,959	11,664	11,664	-	0.0%
Maintenance & Alterations	2,938,947	2,881,625	2,909,121	2,849,544	(59,577)	-2.0%
Interest	1,634,356	1,590,492	842,356	842,356	-	0.0%
Depreciation	3,889,592	4,124,876	3,661,939	3,661,939	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	9,382,340	3,923,952	8,340,369	8,944,412	604,043	7.2%
Unassigned Budget	-	-	-	-	-	-%
Total Other Expenses & Transfers	30,244,123	29,362,042	32,627,041	32,564,219	(62,822)	-0.2%
Total Operating Expenses & Transfers	46,977,328	47,284,975	51,927,021	51,260,199	(666,822)	-1.3%
Operating Increase (Decrease)	\$ 4,985,501	\$ 2,681,388	\$ 1,154,179	\$ (278,471)	\$ (1,432,650)	-124.1%
Modified Cash Flow						
Operating Increase (Decrease)	\$ 4,985,501	\$ 2,681,388	\$ 1,154,179	\$ (278,471)	\$ (1,432,650)	-124.1%
Add Back Depreciation	3,889,592	4,124,876	3,661,939	3,661,939	-	0.0%
Less Capital Expenditures	(118,491)	(1,867,101)	(2,128,662)	(2,128,662)	-	0.0%
Less Capital Reserve Funding	-	(569,286)	(760,111)	(760,111)	-	0.0%
Less Debt Service Principal	(2,563,766)	(2,854,979)	(2,074,136)	(2,074,136)	-	0.0%
Net Change Before Other Adjustments & Transfers	6,192,836	1,514,898	(146,791)	(1,579,441)	(1,432,650)	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	-	-	-	
Net Change Subtotal	6,192,836	1,514,898	(146,791)	(1,579,441)	(1,432,650)	
Other Strategic Transfers from/(to) Reserves	(1,999,737)	(7,255)	146,791	1,579,441	1,432,650	
Net Change in Cash & Reserve Transfers	\$ 4,193,098	\$ 1,507,643	\$ -	\$ -	\$ -	

University of Maine
E&G and Auxiliary

FY23 Budget Revision 1/4/23

			FY23 BUDGET			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ 181,687,929	\$ 195,359,309	\$ 201,008,265	\$ 186,008,265	\$ (15,000,000)	-7.5%
Dining Revenue	15,036,465	20,366,487	21,944,417	20,852,975	(1,091,442)	-5.0%
Residence Revenue	13,371,845	21,719,604	22,025,218	21,167,188	(858,030)	-3.9%
Tuition Waivers/Scholarships	(65,373,712)	(70,144,718)	(70,010,268)	(68,748,799)	1,261,469	-1.8%
Net Student Charges Revenue	144,722,526	167,300,681	174,967,632	159,279,629	(15,688,003)	-9.0%
State Appropriation	84,067,571	90,449,439	95,967,855	95,967,855	-	0.0%
HEERF Relief - Lost Revenue	15,243,148	273	-	-	-	-%
Indirect Cost Recovery	12,730,088	18,532,602	16,310,000	19,600,000	3,290,000	20.2%
Investment Income/Gifts	1,017,145	1,054,009	1,047,926	1,047,926	-	0.0%
Sales/Services/Auxiliary	16,017,342	21,668,824	24,827,022	24,348,822	(478,200)	-1.9%
Total Revenue	273,797,820	299,005,829	313,120,435	300,244,232	(12,876,203)	-4.1%
Expenses						
Salaries & Wages	\$110,609,075	\$124,283,148	\$136,544,263	\$133,929,489	(\$2,614,774)	-1.9%
Attrition (Salary Only)	-	-	(3,149,266)	(595,624)	2,553,642	-81.1%
Employee Benefits Including Attrition	48,556,804	55,844,349	59,846,440	59,815,751	(30,689)	-0.1%
Pandemic Pay	1,025,151	235,294	-	-	-	-%
Personnel	160,191,030	180,362,791	193,241,437	193,149,616	(91,821)	0.0%
Other Expenses & Transfers:						
Fuel & Electricity	10,167,689	12,372,244	15,609,778	13,430,976	(2,178,802)	-14.0%
Supplies & Services	24,137,481	31,786,954	31,094,954	28,152,822	(2,942,132)	-9.5%
Shared Services	20,277,365	21,934,346	22,867,268	22,983,949	116,681	0.5%
Travel	1,136,456	3,326,243	3,684,305	4,080,593	396,288	10.8%
Memberships, Contributions & Sponsorships	385,914	499,357	449,051	444,008	(5,043)	-1.1%
Maintenance & Alterations	7,633,996	8,302,201	8,018,373	7,037,140	(981,233)	-12.2%
Interest	2,133,622	2,079,449	1,877,764	2,042,764	165,000	8.8%
Depreciation	19,877,903	20,328,742	21,136,034	21,136,034	-	0.0%
Transfers to/(from) MAFES/CES	13,204,062	13,501,367	13,385,884	12,296,955	(1,088,929)	-8.1%
Transfers to/(from) MEIF	6,903,424	7,276,249	6,200,826	5,789,708	(411,118)	-6.6%
Other Expenses & Transfers	8,816,390	10,037,489	13,950,217	13,746,145	(204,072)	-1.5%
Unassigned Budget	-	96	607,864	248,355	(359,509)	-59.1%
Total Other Expenses & Transfers	114,674,300	131,444,737	138,882,318	131,389,449	(7,492,869)	-5.4%
Total Operating Expenses & Transfers	274,865,330	311,807,528	332,123,755	324,539,065	(7,584,690)	-2.3%
Operating Increase (Decrease)	\$ (1,067,511)	\$ (12,801,699)	\$ (19,003,320)	\$ (24,294,833)	\$ (5,291,513)	27.8%
Modified Cash Flow						
Operating Increase (Decrease)	\$ (1,067,511)	\$ (12,801,699)	\$ (19,003,320)	\$ (24,294,833)	\$ (5,291,513)	27.8%
Add Back Depreciation	19,877,903	20,328,742	21,136,034	21,136,034	-	0.0%
Less Capital Expenditures	(4,604,434)	(7,554,000)	(8,621,297)	(8,595,997)	25,300	-0.3%
Less Capital Reserve Funding	-	(869,604)	(760,111)	(760,111)	-	0.0%
Less Debt Service Principal	(3,962,479)	(4,477,944)	(4,692,231)	(5,187,231)	(495,000)	10.5%
Net Change Before Other Adjustments & Transfers	10,243,479	(5,374,504)	(11,940,925)	(17,702,138)	(5,761,213)	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	-	-	-	
Net Change Subtotal	10,243,479	(5,374,504)	(11,940,925)	(17,702,138)	(5,761,213)	
Other Strategic Transfers from/(to) Reserves	(4,468,609)	122,553	11,940,925	17,702,138	5,761,213	
Net Change in Cash & Reserve Transfers	\$ 5,774,870	\$ (5,251,951)	\$ -	\$ -	\$ -	

Univ of Maine at Farmington
E&G

			FY23 BUDGET			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ 17,870,216	\$ 17,418,391	\$ 16,952,013	\$ 16,152,811	\$ (799,202)	-4.7%
Dining Revenue	-	(225)	-	-	-	-%
Residence Revenue	-	-	-	-	-	-%
Tuition Waivers/Scholarships	(4,633,464)	(4,646,603)	(4,146,668)	(4,146,668)	-	0.0%
Net Student Charges Revenue	13,236,753	12,771,563	12,805,345	12,006,143	(799,202)	-6.2%
State Appropriation	12,985,843	13,950,935	14,521,745	14,521,745	-	0.0%
HEERF Relief - Lost Revenue	2,095,863	-	-	-	-	-%
Indirect Cost Recovery	331,969	411,137	225,000	225,000	-	0.0%
Investment Income/Gifts	175	-	-	-	-	-%
Sales/Services/Auxiliary	475,794	793,026	907,976	907,976	-	0.0%
Total Revenue	29,126,397	27,926,660	28,460,066	27,660,864	(799,202)	-2.8%
Expenses						
Salaries & Wages	\$16,654,657	\$17,797,311	\$16,739,920	\$16,334,189	(\$405,731)	-2.4%
Attrition (Salary Only)	-	-	(550,406)	(529,273)	21,133	-3.8%
Employee Benefits Including Attrition	7,634,562	8,292,686	7,480,368	7,284,223	(196,145)	-2.6%
Pandemic Pay	48,415	30,399	-	-	-	-%
Personnel	24,337,634	26,120,395	23,669,882	23,089,139	(580,743)	-2.5%
Other Expenses & Transfers:						
Fuel & Electricity	544,343	682,399	725,936	725,936	-	0.0%
Supplies & Services	890,889	1,108,319	1,264,908	989,363	(275,545)	-21.8%
Shared Services	3,811,115	3,887,481	3,947,582	4,006,668	59,086	1.5%
Travel	67,029	479,753	294,630	294,630	-	0.0%
Memberships, Contributions & Sponsorships	68,157	89,357	94,034	94,034	-	0.0%
Maintenance & Alterations	36,594	63,028	50,581	50,581	-	0.0%
Interest	164,813	148,650	131,593	131,593	-	0.0%
Depreciation	1,901,853	1,929,855	1,992,854	1,992,854	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	(738,936)	(1,636,720)	(859,092)	(1,021,092)	(162,000)	18.9%
Unassigned Budget	-	-	5,522	5,522	-	0.0%
Total Other Expenses & Transfers	6,745,857	6,752,121	7,648,548	7,270,089	(378,459)	-4.9%
Total Operating Expenses & Transfers	31,083,491	32,872,516	31,318,430	30,359,228	(959,202)	-3.1%
Operating Increase (Decrease)	\$ (1,957,094)	\$ (4,945,857)	\$ (2,858,364)	\$ (2,698,364)	\$ 160,000	-5.6%
Modified Cash Flow						
Operating Increase (Decrease)	\$ (1,957,094)	\$ (4,945,857)	\$ (2,858,364)	\$ (2,698,364)	\$ 160,000	-5.6%
Add Back Depreciation	1,901,853	1,929,855	1,992,854	1,992,854	-	0.0%
Less Capital Expenditures	(1,396)	(247,260)	(185,850)	(185,850)	-	0.0%
Less Capital Reserve Funding	-	(356,653)	(374,486)	(374,486)	-	0.0%
Less Debt Service Principal	(340,596)	(356,720)	(375,540)	(375,540)	-	0.0%
Net Change Before Other Adjustments & Transfers	(397,233)	(3,976,636)	(1,801,386)	(1,641,386)	160,000	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	2,414,277	1,641,386	1,641,386	-	
Net Change Subtotal	(397,233)	(1,562,358)	(160,000)	-	160,000	
Other Strategic Transfers from/(to) Reserves	39,994	730,952	160,000	-	(160,000)	
Net Change in Cash & Reserve Transfers	\$ (357,239)	\$ (831,406)	\$ -	\$ -	\$ -	

Univ of Maine at Farmington
Auxiliary

			FY23 BUDGET			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	-%
Dining Revenue	2,995,777	3,394,214	4,101,783	3,526,783	(575,000)	-14.0%
Residence Revenue	3,180,924	4,135,431	5,689,560	4,999,560	(690,000)	-12.1%
Tuition Waivers/Scholarships	(234,130)	(459,918)	(457,160)	(362,160)	95,000	-20.8%
Net Student Charges Revenue	5,942,572	7,069,728	9,334,183	8,164,183	(1,170,000)	-12.5%
State Appropriation	-	-	-	-	-	-%
HEERF Relief - Lost Revenue	867,808	2,040,397	-	-	-	-%
Indirect Cost Recovery	-	-	-	-	-	-%
Investment Income/Gifts	-	-	-	-	-	-%
Sales/Services/Auxiliary	631,036	485,333	283,258	283,258	-	0.0%
Total Revenue	7,441,416	9,595,458	9,617,441	8,447,441	(1,170,000)	-12.2%
Expenses						
Salaries & Wages	\$1,190,886	\$1,251,880	\$1,292,410	\$1,270,588	(\$21,822)	-1.7%
Attrition (Salary Only)	-	-	-	-	-	-%
Employee Benefits Including Attrition	539,531	600,784	633,293	633,293	-	0.0%
Pandemic Pay	11,151	17,035	-	-	-	-%
Personnel	1,741,568	1,869,699	1,925,703	1,903,881	(21,822)	-1.1%
Other Expenses & Transfers:						
Fuel & Electricity	446,437	492,855	566,563	566,563	-	0.0%
Supplies & Services	2,489,173	2,963,561	3,568,858	3,108,758	(460,100)	-12.9%
Shared Services	-	-	-	-	-	-%
Travel	19	8,518	7,239	7,239	-	0.0%
Memberships, Contributions & Sponsorships	1,088	1,347	2,875	2,875	-	0.0%
Maintenance & Alterations	11,396	41,016	47,407	47,407	-	0.0%
Interest	356,559	326,393	294,402	294,402	-	0.0%
Depreciation	673,527	677,090	722,437	722,437	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	1,171,537	1,933,956	2,311,599	2,283,599	(28,000)	-1.2%
Unassigned Budget	-	-	-	-	-	-%
Total Other Expenses & Transfers	5,149,736	6,444,736	7,521,380	7,033,280	(488,100)	-6.5%
Total Operating Expenses & Transfers	6,891,304	8,314,434	9,447,083	8,937,161	(509,922)	-5.4%
Operating Increase (Decrease)	\$ 550,112	\$ 1,281,024	\$ 170,358	\$ (489,720)	\$ (660,078)	-387.5%
Modified Cash Flow						
Operating Increase (Decrease)	\$ 550,112	\$ 1,281,024	\$ 170,358	\$ (489,720)	\$ (660,078)	-387.5%
Add Back Depreciation	673,527	677,090	722,437	722,437	-	0.0%
Less Capital Expenditures	(154,029)	(312,078)	(255,333)	(255,333)	-	0.0%
Less Capital Reserve Funding	-	(110,808)	(116,348)	(116,348)	-	0.0%
Less Debt Service Principal	(672,377)	(703,823)	(741,359)	(736,372)	4,987	-0.7%
Net Change Before Other Adjustments & Transfers	397,233	831,406	(220,245)	(875,336)	(655,091)	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	220,245	220,245	-	
Net Change Subtotal	397,233	831,406	-	(655,091)	(655,091)	
Other Strategic Transfers from/(to) Reserves	-	-	-	-	-	
Net Change in Cash & Reserve Transfers	\$ 397,233	\$ 831,406	\$ -	\$ (655,091)	\$ (655,091)	

Univ of Maine at Farmington
E&G and Auxiliary

			FY23 BUDGET			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ 17,870,216	\$ 17,418,391	\$ 16,952,013	\$ 16,152,811	\$ (799,202)	-4.7%
Dining Revenue	2,995,777	3,393,989	4,101,783	3,526,783	(575,000)	-14.0%
Residence Revenue	3,180,924	4,135,431	5,689,560	4,999,560	(690,000)	-12.1%
Tuition Waivers/Scholarships	(4,867,593)	(5,106,520)	(4,603,828)	(4,508,828)	95,000	-2.1%
Net Student Charges Revenue	19,179,324	19,841,291	22,139,528	20,170,326	(1,969,202)	-8.9%
State Appropriation	12,985,843	13,950,935	14,521,745	14,521,745	-	0.0%
HEERF Relief - Lost Revenue	2,963,671	2,040,397	-	-	-	-%
Indirect Cost Recovery	331,969	411,137	225,000	225,000	-	0.0%
Investment Income/Gifts	175	-	-	-	-	-%
Sales/Services/Auxiliary	1,106,830	1,278,359	1,191,234	1,191,234	-	0.0%
Total Revenue	36,567,813	37,522,118	38,077,507	36,108,305	(1,969,202)	-5.2%
Expenses						
Salaries & Wages Including Attrition	\$17,845,543	\$19,049,191	\$17,481,924	\$17,075,504	(\$406,420)	-2.3%
Add Back: Attrit 53906	Attrition \$0	\$0	\$550,406	\$529,273		
Salaries & Wages	\$17,845,543	\$19,049,191	\$18,032,330	\$17,604,777	(\$427,553)	-2.4%
Attrition (Salary Only)	-	-	(550,406)	(529,273)	21,133	-3.8%
Employee Benefits Including Attrition	8,174,094	8,893,469	8,113,661	7,917,516	(196,145)	-2.4%
Pandemic Pay	59,566	47,434	-	-	-	-%
Personnel	26,079,202	27,990,094	25,595,585	24,993,020	(602,565)	-2.4%
Other Expenses & Transfers:						
Fuel & Electricity	990,780	1,175,254	1,292,499	1,292,499	-	0.0%
Supplies & Services	3,380,062	4,071,879	4,833,766	4,098,121	(735,645)	-15.2%
Shared Services	3,811,115	3,887,481	3,947,582	4,006,668	59,086	1.5%
Travel	67,048	488,271	301,869	301,869	-	0.0%
Memberships, Contributions & Sponsorships	69,245	90,703	96,909	96,909	-	0.0%
Maintenance & Alterations	47,989	104,044	97,988	97,988	-	0.0%
Interest	521,371	475,043	425,995	425,995	-	0.0%
Depreciation	2,575,380	2,606,945	2,715,291	2,715,291	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	432,601	297,237	1,452,507	1,262,507	(190,000)	-13.1%
Unassigned Budget	-	-	5,522	5,522	-	0.0%
Total Other Expenses & Transfers	11,895,593	13,196,857	15,169,928	14,303,369	(866,559)	-5.7%
Total Operating Expenses & Transfers	37,974,795	41,186,951	40,765,513	39,296,389	(1,469,124)	-3.6%
Operating Increase (Decrease)	\$ (1,406,982)	\$ (3,664,833)	\$ (2,688,006)	\$ (3,188,084)	\$ (500,078)	18.6%
Modified Cash Flow						
Operating Increase (Decrease)	\$ (1,406,982)	\$ (3,664,833)	\$ (2,688,006)	\$ (3,188,084)	\$ (500,078)	18.6%
Add Back Depreciation	2,575,380	2,606,945	2,715,291	2,715,291	-	0.0%
Less Capital Expenditures	(155,425)	(559,338)	(441,183)	(441,183)	-	0.0%
Less Capital Reserve Funding	-	(467,461)	(490,834)	(490,834)	-	0.0%
Less Debt Service Principal	(1,012,973)	(1,060,543)	(1,116,899)	(1,111,912)	4,987	-0.4%
Net Change Before Other Adjustments & Transfers	0	(3,145,230)	(2,021,631)	(2,516,722)	(495,091)	
Transfer from/(to) Administrative Savings Rsv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	2,414,277	1,861,631	1,861,631	-	
Net Change Subtotal	0	(730,952)	(160,000)	(655,091)	(495,091)	
Other Strategic Transfers from/(to) Reserves	39,994	730,952	160,000	-	(160,000)	
Net Change in Cash & Reserve Transfers	\$ 39,994	\$ -	\$ -	\$ (655,091)	\$ (655,091)	

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Establishment of a new **Endowed Professorship in Public Policy, USM**

INITIATED BY: Roger Katz; Chair of Finance, Facilities, and Technology Committee

BOARD INFORMATION: **BOARD ACTION: X**

BOARD POLICY: 711 Named Chairs and Professorships

UNIFIED ACCREDITATION CONNECTION:

BACKGROUND:

A new Endowed Professorship in Public Policy was established through the University of Southern Maine Foundation in October 2022 with a \$1,000,000 gift. This gift from a prominent organization honors the legacy and distinguished career of a deceased servant leader who made a profound impact on the University of Southern Maine, the University of Maine System and numerous organizations and Maine civic life. He dedicated himself to working on behalf of Maine people, companies and government and our State and communities are the better for his work.

The new Endowed Professorship in Public Policy at USM's Muskie School of Public Service will help the University attract and retain a full professor with public policy expertise to mentor junior faculty, facilitate collaborative teaching in sustainability, and develop a research agenda focused on sustainability, energy, and climate transition with significance in Maine. The holder of this Professorship will foster collaborations across the University, academic field, and industry. In addition, the holder of this professorship will engage in outreach to the broader community through an annual symposium, lecture or other public event(s), and publications.

Income from the endowment may be given as a stipend to the person holding the Professorship. In some situations, a portion of the income may be allocated by the University to provide equipment, technical and clerical assistance, travel, and other auxiliary support for the professorship.

The Endowed Professor will be a senior faculty member with expertise in Public Policy from outside the University with a strong record in scholarship and demonstrated leadership skills. The holder of the Professorship shall be an individual who is willing to mentor junior faculty, participate in public presentations, be available for workshops and panel discussions and be open to helping the Muskie School of Public Service build its reputation. Teaching expectations for this professorship will be aligned with significant expectations for public service and outreach, and research activity. The appointment shall be for a period of four years and is renewable upon review of performance.

Selection of the holder of the Professor shall be made by a committee appointed under the

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direction of the President of the University of Southern Maine. The committee shall include but not be restricted to the Provost, the Dean of the Muskie School of Public Service, faculty from the School and other representative(s) from the University.

If approved by the Committee, and subsequently the Full Board, the University of Southern Maine and the USM Foundation intend to publicly announce the name of the Endowed Professorship in Public Policy at a public event on January 31, 2022. As the naming is confidential at this time, the details of the naming will be discussed with the Committee during Executive Session.

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities, and Technology Committee approves the following resolution to be forwarded for Board of Trustee approval at the January 29-30, 2023 Board meeting:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee and approves the authorization of the University of Maine System acting through the University of Southern Maine to establish a new Professorship in Public Policy, with the naming details to be announced publicly at a later date.

[Board of Trustees Policy 711 – Named Chairs & Professorships](#)

1/05/2022

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Bridge Financing Request Portland Development Project,
University of Southern Maine

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

712 – Debt Policy
701- Budgets, Operating, & Capital

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The University of Maine System (UMS) proposes the issuance of bridge financing from the System to the University of Southern Maine (USM) for up to \$8 million, as determined by the Treasurer, to provide interim funding for ongoing construction costs for the Portland Residence Hall, Portland Structured Parking Garage, and the Career and Student Success Center. Any such internal loan will be reimbursed from the subsequent issuance of debt or other funding sources such as donations, as appropriate.

The UMS received Board of Trustees (Board) authorization at its February 19, 2020 meeting for the issuance of revenue bonds not to exceed \$95 million for the purpose of financing these three USM projects on the Portland campus. At that time, the Board also authorized issuance of a System internal loan to USM for up to \$4 million to finance predevelopment costs for the Residence Hall. No internal loan was issued for the predevelopment or other costs of these projects to date. The UMS issued a Series 2022 Revenue Bond in July 2022 with \$120,325 million in bonds payable of which \$87.15 million was for the benefit of the USM Residence Hall and Parking Garage leaving \$7.85 million in remaining Board approved revenue bond capacity toward these three projects.

This request for interim financing supports the UMS’s financing plan which is to accommodate multiple projects throughout UMS which are in various stages of planning and construction, thereby deferring debt issuance which allows UMS to finance projects based on the collective cash flow needs and to achieve financing efficiency.

The timing of the UMS’s next revenue bond issuance will take into consideration various factors including but not limited to market conditions, cash flow needs of the various capital projects, operating cash availability, and the timing of incoming funding sources.

TEXT OF PROPOSED RESOLUTION:

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That the Finance, Facilities and Technology Committee, approves the following resolution to be forwarded to the Consent Agenda for Board of Trustee approval at the January 29-30, 2023 Board Meeting.

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee, and authorizes the issuance of bridge financing from the System to the University of Southern Maine for up to \$8 million, as determined by the Treasurer, for the purpose of funding construction expenses for the Portland Residence Hall, Portland Structured Parking Garage, and the Career and Student Success Center to be reimbursed by the subsequent issuance of debt or other funding sources, as appropriate.

1/5/2023



AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Bond Financing, Internal Loan and Project Authorization

2. **INITIATED BY:** Mark R. Gardner, Chair

3. **BOARD INFORMATION:** **BOARD ACTION:** X

4. **OUTCOME:** **BOARD POLICY:**
Primary Outcomes: 712 – Debt Policy
Increase enrollment
Improve student success and completion
Enhance fiscal positioning

5. **BACKGROUND:**

The University of Maine System (UMS) proposes to issue revenue bonds to finance substantially all or a portion of the following University of Southern Maine (USM) projects, all on the Portland campus, and as more fully described in materials presented today and previously to the Finance, Facilities and Technology Committee and to the Board of Trustees. The January 26-27, 2020 Board of Trustees meeting Agenda Item Summary titled *P3 Residence Hall and CSSC Award Authorization, USM*, provides a summary of milestones related to these projects and has been included with these materials for reference.

Revenue bonding is being requested to:

- Finance substantially all project costs, including capitalized interest and financing costs, of the design and construction of:
 - An approximate 580 bed student residence hall totaling approximately \$65 million, and
 - An approximate 425 space parking structure totaling approximately \$13 million.
- Finance a portion of project costs, including financing costs, of an approximate 60,000 square foot Career and Student Success Center with total costs of approximately \$31 million. This project has mixed source funding which includes:
 - An allocated \$19 million from the State of Maine’s *2018 Facilities and Infrastructure Improvement Bonds*.
 - Gift funds that USM has and will continue to raise.
 - Revenue bonds to bridge any gap in funding with the total amount estimated not to exceed \$11 million.

Revised – 2/19/2020

Approved by FET 2/26/2020 and Board on 3/16/2020

A Financing and Project Authorization resolution related to the proposed revenue bond issuance is enclosed for Board review and approval. As stated in that resolution, the revenue bond issuance shall not exceed \$95 million. Such maximum amount allows for any applicable capitalized interest, reserves, costs of issuance, any changes in interest rates or use of taxable bonds, as well as contingency to enable finalization of design and related construction costs. Debt service for the revenue bonding is to be funded by USM.

The UMS legal debt amount currently outstanding is approximately \$121 million as of March 1, 2020 and, with this issuance, will remain below the statutory ceiling for UMS debt of \$350 million.

This resolution also enables USM to enter into an internal loan with UMS to cover costs associated with executing the Predevelopment Agreement with Capstone for Student Housing related costs. USM will incur expenses related to siting, architect and engineering fees, permitting, and other predevelopment work. USM requests an internal loan estimated at approximately \$4 million to fund those costs that will be reimbursed upon issuance of revenue bonds.

Additionally, UMS continues to assess estimated project cash flows for the above projects and the financing needs of other UMS campuses to determine the most efficient number and appropriate timing of revenue bonding. At this time, UMS anticipates two revenue bond issuances over two years to fund the above projects and others and to avoid unnecessary financing costs.

6. TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities and Technology Committee forwards this item to the March 15-16, 2020 Board of Trustees meeting for approval of the following resolution:

That the Board of Trustees accepts the recommendation of the Finance, Facilities, & Technology Committee and approves the Financing and Project Authorization resolution related to the issuance of revenue bonds not to exceed \$95 million for the purpose of financing three University of Southern Maine projects on the Portland campus including substantially all of the design and construction of an approximate 580 bed student residential facility and an approximate 425 space parking structure, and financing a portion of an approximate 60,000 square foot Career and Student Success Center. Additionally, that the Board of Trustees approves issuance of an internal University of Maine System loan to the University of Southern Maine for up to \$4 million, as determined by the Treasurer, for the purposes of funding predevelopment work related to a student residential hall on the Portland campus.

Approved by Board on 3/16/2020

Resolution

FINANCING AND PROJECT AUTHORIZATION

WHEREAS, the Board of Trustees (the “Board”) of the University of Maine System (the “System”) desires to authorize the System to finance all or a portion of the costs of, and to undertake, the projects which are more particularly described in the Addendum attached hereto and incorporated herein by reference and any other capital improvement for the benefit of the System which has been or is hereafter approved by the Board and each of which is hereby or will be determined by the Board to be a “project” within the meaning of 20-A MRSA §10951(6) (the “Projects”); and

WHEREAS, the Board desires to authorize the issuance of University of Maine System Revenue Bonds (the “Bonds”) and the sale of the Bonds for the purposes of financing all or a portion of the costs of the Projects and providing for any necessary capitalized interest, reserves and costs of issuance; and

WHEREAS, the Board desires to authorize the issuance and sale of University of Maine System Notes or other evidences of indebtedness in anticipation of Bonds (the “Notes”); and

WHEREAS, the Board may also authorize the payment of certain costs of the Projects from certain System funds which will not be reimbursed with proceeds of the Bonds (the “Equity Contribution”), and the Institution desires to treat the Equity Contribution as “qualified equity” (within the meaning of the United States Treasury Regulations §1.141-6(b)); and

WHEREAS, the System is authorized to issue the Bonds pursuant to the provisions of 20-A MRSA §§10952, 10953 and 10959 and other provisions of the Maine Revised Statutes Annotated, Chapters 411 and 412, as amended (the “Act”); and

WHEREAS, the Board finds that the financing of all or a portion of the costs of the Projects constitute “assured revenue financing transactions” pursuant to the provisions of 20-A MRSA §10953, as amended; and

WHEREAS, pursuant to 20-A MRSA §10952(8), as amended, the System, as authorized by the Board, is authorized to make, enter into, execute, deliver and amend any and all contracts, agreements, leases, instruments and documents and perform all acts and do all things necessary or convenient to acquire, construct, reconstruct, improve, equip, finance, maintain and operate projects and to carry out the powers granted pursuant to the Act, or reasonably implied from those powers;

NOW, THEREFORE, be it hereby voted and resolved by the Board as follows:

Approved by FET 2/26/2020 and Board on 3/16/2020

3.1

RESOLVED, That pursuant to the provisions of 20-A MRSA §§10952, 10953, 10955 and 10959, as amended, and all other authority thereto enabling, and to provide funds for (a) the planning, design, acquisition, construction, reconstruction, improvement, renovation, rehabilitation and equipping of the Projects, (b) paying and discharging any Notes, or Notes in renewal thereof, issued for authorized purposes, up to an aggregate amount not to exceed \$95,000,000, (c) any capitalized interest on, reserves for and costs of issuance of the Bonds and (d) any other purpose authorized by law, the Treasurer of the System (the "Treasurer") is hereby authorized and empowered from time to time and in the name and on behalf of the System to borrow an aggregate amount not to exceed \$95,000,000 and the Treasurer be and is hereby authorized and empowered, in the name of and on behalf of the System, to execute and deliver such loan agreements, indentures, pledge agreements, bond purchase contracts, preliminary official statements, official statements, continuing disclosure agreements, remarketing agreements, reimbursement agreements, investment agreements, financial advisory agreements, investment advisory agreements, auction agency agreements, market agent agreements, dealer agreements, standby bond purchase or other liquidity facility agreements, agreements with one or more underwriters, agreements with bond counsel and other agreements, documents and instruments as the Treasurer may deem necessary or convenient or desirable with respect to such borrowing. Such agreements, documents and instruments may (a) contain such terms and provisions, not contrary to the general tenor hereof, as the Treasurer may approve, his approval to be conclusively evidenced by his execution thereof, (b) be delivered under the seal of the System and (c) be attested by the System's Clerk or General Counsel; and further

6.1

RESOLVED, That pursuant to the provisions of 20-A MRSA §10955(3), as amended, and all other authority thereto enabling, and to provide funds for the purposes approved above, the Board hereby approves and authorizes, as evidence of the borrowing approved above, the issuance, sale and delivery of the Bonds in the aggregate principal amount not to exceed \$95,000,000, in one or more series as the Treasurer shall determine, the Bonds to mature and be payable at such times and in such amounts, to bear interest at such rates, and to contain such other terms and provisions, not inconsistent herewith, as may be approved by the Treasurer, provided that none of the Bonds shall (i) bear interest at a rate in excess of 6% per annum or (ii) mature after December 31, 2062; the Bonds to be denominated by such denomination of an issue as may be selected by the Treasurer; to be manually signed by the Treasurer, sealed with the seal of the System and attested by its Clerk or General Counsel; and to be in such form and contain such other terms and provisions as the Treasurer may approve, his approval to be conclusively evidenced by his execution thereof; and further

RESOLVED, That the Treasurer is authorized on behalf of the System, from time to time, to acquire, purchase, sell, redeem, liquidate, terminate or transfer securities or other instruments constituting investments of the proceeds of the Bonds and to negotiate, enter into, execute in the name of the System and deliver on behalf of the System all investment, banking, brokerage, financial advisory, investment advisory and other agreements and instruments as are necessary or convenient to

Approved by FET 2/26/2020 and Board on 3/16/2020

investment and financial management of the proceeds of the Bonds, all on such terms and conditions as the Treasurer determines are necessary or convenient for financing of the Projects, such determination to be conclusively evidenced by execution or acquisition of such agreements and instruments by the Treasurer; and further

RESOLVED, That the Chancellor of the System, the Treasurer, and, with the express written approval of the Treasurer, the Clerk, the Controller, the General Counsel, or any one of them, be and hereby are, authorized and empowered in its name and on its behalf, to do or cause to be done any act or thing, and to negotiate, enter into, execute in the name of the System, deliver on behalf of the System, assign, transfer, modify or terminate any agreement or instrument, which any such officer may determine to be necessary or convenient or desirable with respect to the Bonds, the planning, design, acquisition, construction, reconstruction, improvement, renovation, rehabilitation and equipping of the Projects and the expenditure, investment and management of the proceeds of the Bonds and that all acts and things done by the Treasurer in furtherance of the purposes of this Resolution prior to the date hereof are hereby ratified and confirmed; and further

RESOLVED, That the carrying out of the Projects is hereby approved; and further

RESOLVED, The System covenants that it will, so long as any Bonds are outstanding, establish, impose and collect tuition, fees and charges for its educational services, its auxiliary enterprises, including dormitory housing, food service and sale of textbooks, for use of its plant and for all other services and goods provided by the System, which tuition, fees and charges, together with other available moneys, in each fiscal year of the System, will be sufficient to permit the performance of all the covenants in, and requirements of the System under, the Bonds, including the prompt payment of principal of and interest on the Bonds as and when due, the prompt payment of principal of and interest on all outstanding System bonds as and when due and the prompt payment and performance of all other obligations as and when due

RESOLVED, That the Bonds shall be secured by such assignments, pledges or commitments of funds or revenues, other than appropriations from the State of Maine, as may be approved by the Treasurer; and further

RESOLVED, That the Treasurer be and is hereby authorized to covenant on behalf of the System and for the benefit of the holders of the Bonds that, except as hereafter authorized in this Resolution and in accordance with 20-A MRSA §10952(10), the System will take whatever steps, and refrain from taking any action, that may be necessary or appropriate to assure that the interest on the Bonds will remain exempt from federal and applicable state income taxes; and further

RESOLVED, That the Treasurer be and is hereby authorized in accordance with 20-A MRSA §10952(10) to agree and consent to the inclusion of interest on any of the Bonds, under the United States Internal Revenue Code of 1986 or any subsequent corresponding internal revenue law of the United States, in the gross income of

the holders of any such Bonds to the same extent and in the same manner that the interest on bills, bonds, notes or other obligations of the United States is includable in the gross income of the holders of such bills, bonds, notes or other obligations under the United States Internal Revenue Code or any such subsequent law (the "Taxable Bonds"); and further

RESOLVED, That the System covenants and certifies that, except with respect any of the Taxable Bonds, no part of the proceeds of the issuance and sale of the Bonds shall be used, directly or indirectly, to acquire any securities or obligations, the acquisition of which will cause the Bonds to be arbitrage bonds within the meaning of Section 148 of the Internal Revenue Code of 1986, as amended; and further

RESOLVED, That the Resolution of the Trustees of the University of Maine System entitled Reimbursement of Project Expenditures attached hereto as an Addendum is hereby approved and adopted; and further

RESOLVED, That the Bonds shall provide that, in accordance with 20-A MRSA §10964, no trustee of the System, while acting within the scope of the authority of the Maine Revised Statutes Annotated, Chapter 412, as amended, may be subject to any personal liability resulting from the exercise or carrying out of any of the System's purposes or powers.

This Resolution shall take effect immediately.

ADOPTED: (March 16, 2020)

Approved by FFT 2/26/2020 and Board on 3/16/2020

ADDENDUM

RESOLUTION OF THE TRUSTEES OF THE UNIVERSITY OF MAINE SYSTEM

REIMBURSEMENT OF PROJECT EXPENDITURES

Be it resolved that, for purposes of U.S. Treasury Regulation §1.150-2, the University of Maine System (the "System") reasonably expects (1) to incur debt to reimburse expenditures (including expenditures made within the last 60 days) (A) temporarily advanced from funds currently held in the Plant Fund or (B) made by another person pursuant to an agreement between the System and such person, such expenditures to be made to pay the cost, or a portion of the cost, of planning, design, acquisition, construction, reconstruction, improvement, renovation, rehabilitation and equipping of the projects described below (the "Projects") and (2) that the maximum principal amount of debt to be issued by the System for the Projects is Ninety Five Million Dollars (\$95,000,000).

PROJECTS

University of Southern Maine (Portland Campus):

- (1) A residence hall which is currently expected to provide approximately 580 student beds,
- (2) a career and student success center which is currently expected to have an area of approximately 60,000 square feet and
- (3) a vehicle parking structure which is currently expected to provide approximately 425 spaces.

This Resolution shall take effect immediately.

ADOPTED: (March 16, 2020)

Approved by FFT 2/26/2020 and Board on 3/16/2020

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Deering Farmhouse Renovation, University of Southern Maine

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

a. Summary of the request

The University of Maine System, acting through the University of Southern Maine (USM), is requesting authorization to expend up to \$2,000,000 to renovate and relocate the historic Deering Farmhouse located on the Portland campus. The renovated space in the building will be used for the office of the Director of the Osher School of Music and associated administrative space. The building will also house the music library for the Osher School of Music as well as general related storage.

The Deering farmhouse is the last remaining structure from the Deering Estate in Portland and dates from 1807. It is the only historically significant structure on the Portland campus and is in an inactive and degraded status. This project reactivates and preserves this structure while providing complementary functional space to the adjacent Center for the Arts (CFA). The University has received praise and support from the City of Portland Historic Preservation office as well as the Greater Portland Landmarks for the foresight and incorporation of this project into the overall site development.

b. Overall requested budget and funding source:

The overall budget of this project is \$2,000,000 to be funded by University E&G funds. This request is pursuant to Board of Trustees Policy 701 which requires projects with a total cost of more than \$500,000 to be considered by the Board of Trustees or its Finance, Facilities and Technology Committee. In this case the Committee's recommendation will be forwarded to the Full Board for consideration.

c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.

The Deering Farmhouse Renovation is identified in USM's Capital Plan

d. More detailed explanation of rationale for project and metrics for success of the project (ROI or other):

The cost of this project per square foot is approximately \$750/sf. Similar space, if it were included in the CFA, would be approximately \$1400/sf. In addition, adding the space to the

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CFA would put the building over a square footage threshold which would require additional features for fireproofing and changes in building materials. These requirements would have added significant cost to the CFA project. Work being completed as part of the farmhouse relocation, specifically site utilities, will have a beneficial effect on the CFA project, thus reducing the sitework costs of that project.

e. Explanation of the scope and substance of the project as needed to supplement (a) and (d) above.

N/A

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project:

N/A

g. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above):

The overall budget of this project is \$2,000,000 and will be funded by University E&G funds. A study for this project was funded in FY22 for \$65,000 and an additional \$500,000 were budgeted in FY23. The newly authorized funds will be budgeted in the FY24 and FY25 capital plan.

h. Alternatives that were considered to meet the need being addressed by this project:

Renovation and reuse of the Deering Farmhouse is the most cost-effective option for obtaining the necessary space identified as outlined in section d above. This project preserves a historic structure currently unsuitable for use due to deferred maintenance and modernization needs, and it provides proximity to the proposed CFA.

i. Timeline for start, occupancy and completion:

The design is complete with construction to start March/April 2023 and expected completion in Summer 2025.

j. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

No further consideration is anticipated at this time

k. Additional information that may be useful to consideration of the item.

N/A

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities, and Technology Committee, approves the following resolution to be forwarded for consideration at the January 29-30, 2023, Board Meeting.

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee and authorizes the University of Maine System, acting through the University of Southern Maine, to expend up to \$2,000,000 to renovate and relocate the Deering Farmhouse located on the Portland campus.

Deering Farmhouse

4.1



Deering Farmhouse South (Front) Elevation – Original building is to the right and the building addition is to the left



Deering Farmhouse North (Rear) Elevation



Rendering of completed building with new addition and renovated original Farmhouse



Rendering depicting the view from Deering Ave and relation between Deering Farmhouse and the Center for the Arts



University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Center for Teaching Innovation Renovation, University of Southern Maine

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

The Center for Teaching Innovation Center provides an opportunity to build on the existing system collaboration including Teacher Residency and the MEd in Instructional Technology.

BACKGROUND:

a. Summary of the request

The University of Maine System, acting through the University of Southern Maine, is requesting authorization to expend up to \$700,000 to renovate 3,240 sq. ft for the Center for Teaching Innovation (CTI) located on the third floor of Bailey Hall on the Gorham campus.

Teacher development and education requires continued education on new technology and resources available in the classroom. There is also an increased need for education in remote learning/teaching since the pandemic. The University of Southern Maine's CTI is designed to address that need and to help provide educational and technological resources to strengthen and grow Maine's teacher workforce.

b. Overall requested budget and funding source:

This request is pursuant to Board of Trustees Policy 701 which requires projects with a total cost of more than \$500,000 to be considered by the Board of Trustees or its Finance, Facilities and Technology Committee. In this case, the authorization is within the purview of the committee. The project budget is estimated at \$700,000 and would be funded by \$250,000 in 2018 State bonds, \$200,000 in Philanthropy, and \$250,000 in other University resources.

c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.

The Center for Teaching Innovation is identified in USM's Capital Plan.

d. More detailed explanation of rationale for project and metrics for success of the project (ROI or other):

The renovated space in Bailey will include the following;

Educational Simulation Lab - Designed to provide the space and technology for simulated classroom situations that allow students to practice using the technological tools used in today's interactive, technology-integrated classrooms.

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Makerspace - Designed as a collaborative space for students to create innovative materials and projects for their PreK-12 teaching.

Curricular Library - Designed to bolster the professional development of undergraduate, graduate, and practicing teachers, the Curricular Library provides a diverse range of instructional tools and support materials for use in curriculum planning, as well as the associated technology needed to access digital pedagogy.

- e. **Explanation of the scope and substance of the project as needed to supplement (a) and (d) above.**

N/A

- f. **Changes, if any, in net square footage or ongoing operating costs resulting from the project:**

N/A

- g. **Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above):**

The project budget is estimated at \$700,000 and would be funded by \$250,000 in 2018 State bonds, \$200,000 in Philanthropy, and \$250,000 in other University resources.

- h. **Alternatives that were considered to meet the need being addressed by this project:**

The proposal addresses both a strategic priority to advance academics and incorporates underutilized space. There is no current alternative to effectively provide prospective and practicing educators access to this technology, tools, and resources.

- i. **Timeline for start, occupancy and completion:**

Design is complete, with construction to start in May 2023 at the end of the semester and completion targeted for August 2023 before the start of Fall 2023 semester.

- j. **Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.**

No further consideration is anticipated at this time.

- k. **Additional information that may be useful to consideration of the item.**

N/A

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities and Technology Committee authorizes the University of Maine System, acting through the University of Southern Maine, to expend up to \$700,000 to renovate 3,240 sq. ft of space for the Center for Teaching Innovation (CTI) located on the on the third floor of Bailey Hall on the Gorham campus.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Medical Laboratory Technology Space Renovation Project Budget Increase, UMA

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

Affiliation agreement with the University of Maine at Presque Isle

Increase enrollment

Improve student success and completion

BACKGROUND:

a. Summary of the request

The University of Maine System acting through the University of Maine at Augusta (UMA) requests an increase in the authorization for the completion of the new Medical Laboratory Technology (MLT) space in Robinson Hall by \$350,000, based on the latest cost estimates from the architects and engineers. The Board of Trustees approved \$1,650,000 in March 2022; this additional request would bring the total approved expenditure to \$2,000,000.

b. Overall requested budget and funding source

The overall project budget of \$2,000,000 will be funded by a \$1,650,000 internal loan and \$350,000 from remaining Higher Education Emergency Relief Funds (HEERF). This request is pursuant to Board Policy 701, which requires projects with a total cost of more than \$500,000 to be considered by the Board of Trustees or its Finance, Facilities & Technology Committee. In this case the committee recommendation will be forwarded to the Board of Trustees Consent Agenda at the January 30-31, 2023, Board meeting.

c. More detailed explanation of rationale for project and metrics for success of the project (ROI or other)

The demand for qualified medical laboratory technicians has never been greater. Graduates of the MLT academic program have numerous job opportunities awaiting them. UMA very much needs to expand its program by enrolling a greater number of students, and this expansion can occur only with adequate and appropriate facilities. It is anticipated that this expansion will allow the program to increase its enrollment by over 70% to 24 students initially and possibly more in the future.

d. Explanation of the scope and substance of the project as needed to supplement (a) and (c) above.

Roughly 4,000 square feet of space within Robinson Hall will be renovated and developed into a laboratory for the MLT program. The scope of the work may include extensive

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demolition; structure reinforcement; plumbing; fire protection; HVAC; electrical; framing; doors and door hardware; interior finishes; toilets, lavatories; sinks; eyewash stations; mechanical systems; and audio/visual components.

e. Changes, if any, in net square footage or ongoing operating costs resulting from the project

No additional square footage is being added. Existing space currently utilized by UMS staff within Robinson Hall will be converted for this lab. Because the space is currently occupied, there will be only minor increases in operating expense.

f. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above

The majority of the funding comes from an internal loan already approved by the Board of Trustees. HEERF funds will be partially transferred from the Handley Hall project to fund the HVAC portion of this project.

g. Alternatives that were considered to meet the need being addressed by this project

Conversations took place with a potential donor to construct an allied health wing onto Jewett Hall. Those efforts were not successful. Other campus space was considered, but ultimately it was determined that Robinson Hall provides the best solution for the project.

h. Timeline for start, occupancy and completion

Project to begin after the bidding process with the goal of completion in time for the beginning of the spring 2024 semester.

i. Timeline for further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

No further Board action is anticipated at this time.

j. Additional information that may be useful to consideration of item.

It is anticipated that the renovation will result in a positive impact on UMA's net asset value.

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities & Technology Committee approves the following resolution to be forwarded to the Consent Agenda for Board of Trustees approval at the January 29-30, 2023, Board meeting.

That the Board of Trustees accepts the recommendation of the Finance, Facilities & Technology Committee and authorizes the University of Maine System acting through the University of Maine at Augusta to expend an additional \$350,000 bringing the approved project expenditure up to \$2,000,000 to renovate Robinson Hall on the Augusta campus to develop a laboratory for the Medical Laboratory Technology academic program.

1/05/2023

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Medical Laboratory Technology Space Renovation, UMA

INITIATED BY: Patricia A. Riley, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

Affiliation agreement with the University of Maine at Presque Isle
Increase enrollment
Improve student success and completion

BACKGROUND:

a. Summary of the request

The University of Maine System acting through the University of Maine at Augusta (UMA) requests authorization to spend up to \$1,650,000 to create a new Medical Laboratory Technology space in an appropriate location on the Augusta campus. Funding for this project will be mainly through an internal loan.

b. Overall requested budget and funding source

The overall project budget is \$1,650,000 to be funded mainly from the proceeds of an internal loan. This request is pursuant to Board Policy 701, which requires projects with a total cost of more than \$500,000 to be considered by the Board of Trustees or its Finance, Facilities & Technology Committee. In this case the Committee recommendation will be forwarded to the Board of Trustees Consent Agenda at the March 27-28, 2022 Board meeting.

c. More detailed explanation of rationale for project and metrics for success of the project (ROI or other)

The demand for qualified medical laboratory technicians has never been greater. Graduates of the Medical Laboratory Technology (MLT) academic program have numerous job opportunities awaiting them. UMA very much needs to expand its program by enrolling a greater number of students, and this expansion can occur only with adequate and appropriate facilities. It is anticipated that this expansion will allow the program to increase enrollment by over 70% to 24 initially and possibly more in the future.

d. Explanation of the scope and substance of the project as needed to supplement (a) and (c) above.

Roughly 4,000 square feet of space will be renovated and developed into a laboratory for the MLT program. The scope of the work may include extensive demolition; structure reinforcement; plumbing; fire protection; HVAC; electrical; framing; doors and door

02/28/2022

Approved by F&T March 19, 2022 and Board March 28, 2022

hardware; interior finishes; toilets, lavatories; sinks; eyewash stations; mechanical systems; and audio/visual components. The final location is still under review.

e. Changes, if any, in net square footage or ongoing operating costs resulting from the project

No additional square footage is being added. Depending on the location, any additional operational expense should be covered by additional tuition and fee revenue.

f. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above

The project is contingent upon Board of Trustees approval of an internal loan or other financing. Repayment of the loan is already built into the UMA FY23 budget proposal. In addition, E&G funding totaling up to \$350,000 has been set aside to cover costs related to this project and the Camden Hall Veterinary Technology renovation project.

g. Alternatives that were considered to meet the need being addressed by this project

Conversations took place with a potential donor to construct an allied health wing onto Jewett Hall. Those efforts were not successful. Currently a diligent review of campus spaces is underway to find the most suitable location.

h. Timeline for start, occupancy and completion

Project to begin after the bidding process with the goal of completion in time for the beginning of the fall 2023 semester.

i. Timeline for further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

No further Board action is anticipated at this time if financing is approved.

j. Additional information that may be useful to consideration of item.

It is anticipated that the renovation will result in a positive impact on UMA's net asset value.

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities & Technology Committee approves the following resolution to be forwarded to the Consent Agenda for Board of Trustees approval at the March 27-28, 2022 Board meeting.

That the Board of Trustees accepts the recommendation of the Finance, Facilities & Technology Committee and authorizes the University of Maine System acting through the University of Maine at Augusta to expend up to \$1,650,000 to renovate existing space on the Augusta campus to develop a laboratory for the Medical Laboratory Technology academic program.

Approved by FFT March 10, 2022 and Board March 28, 2022

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Review of Projects with a Value of \$250,000 or Greater

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

Dr. David Demers, Chief Information Officer, will provide information on the following projects with a value of \$250,000 or greater:

- USM VoIP Project
- Repaving MaineStreet

01/04/2023

01/04/2023

US:IT Project Summary Status Reports

Report Date	December 27, 2022
Report Period	October 08, 2022 – December 27, 2022

PROJECT NAME:	USM VoIP			(Link to full report)	
Sponsor	Original End Date	Current End Date	Total Budget	Budget Expended	% Complete
Jeffery Letourneau	9/2022	12/2022	\$809,000	\$643,529	100%
Project Health	Overall ■ Budget ■ Schedule ■ Risk ■				
Project Summary Update:	This project is essentially complete. The punch list includes installation of an HVAC unit in Corthell and some other minor items.				

7.1

PROJECT NAME:		Repaving MaineStreet			Link to Full Report
Initiation Date	Sponsor	Start Date	Est. Completion Date	Initial Budget	Current Budget Balance
September 2021	David Demers	March 2022	December 2026	\$16,800,000	\$14,199,682
Project		Phase	Start Date	Estimated Go-live Date	Project %
Oracle Cloud HCM - Phase 1		Executing	March 2022	January 2024	7%
Oracle Cloud ERP/EPM - Phase 2		Executing	March 2022	July 2024	5%
CS Reimplementation		Planning	March 2022	December 2026	0%

Project Summary Update

Oracle Cloud HCM and ERP Projects:

After the October Recruiting/Core HR (lite) App 1.0 review sessions, the HR project team gained access to the test environment, where they conducted initial testing of recruiting processes and converted data. During this period, it became apparent that the intended two-phase implementation approach for the Oracle Cloud HCM suite (Phase One: Recruiting and Phase Two: Full HR) was going to present logistical challenges that would be difficult to overcome. The initial plan was to convert only a subset of active employee data for Phase One based on how employees are currently coded in PeopleSoft HCM and design Phase Two to incorporate all active employee data so it aligns to the preferred future state.

Due to the challenges and the perceived risks to the project, HR leadership re-engaged with ImageTrend, the HireTouch vendor, to ask that it reconsider its earlier decision to sunset HireTouch in June 2023 and allow the UMS to retain access to the platform through December 2023. Due to the extenuating circumstances, ImageTrend agreed to support the extension. The opportunity to extend access to Hiretouch will allow for implementing the Recruiting module on the same timeline as the other HCM modules (Core HR, Benefits, Payroll, Onboarding, etc.) by using a consistent data architecture reflecting a revised future state operating model with a go-live date of January 2024.

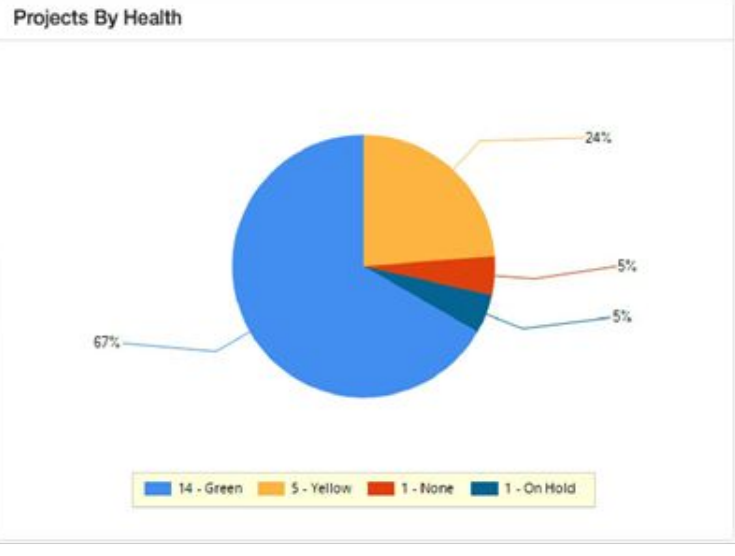
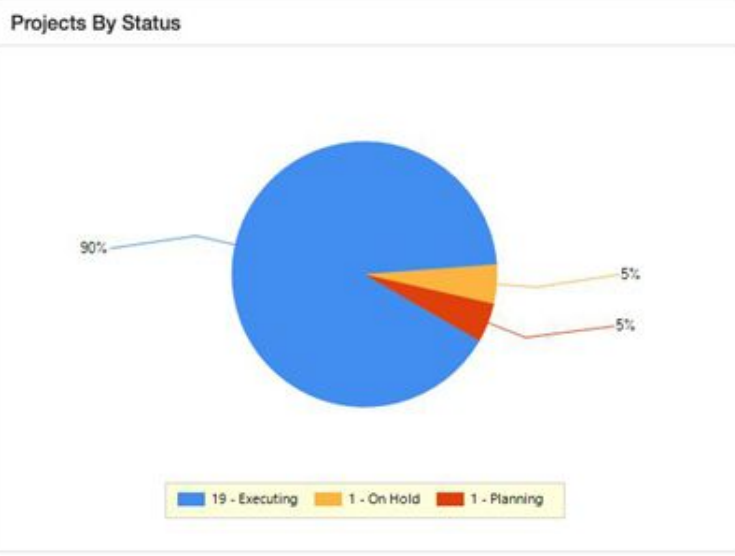
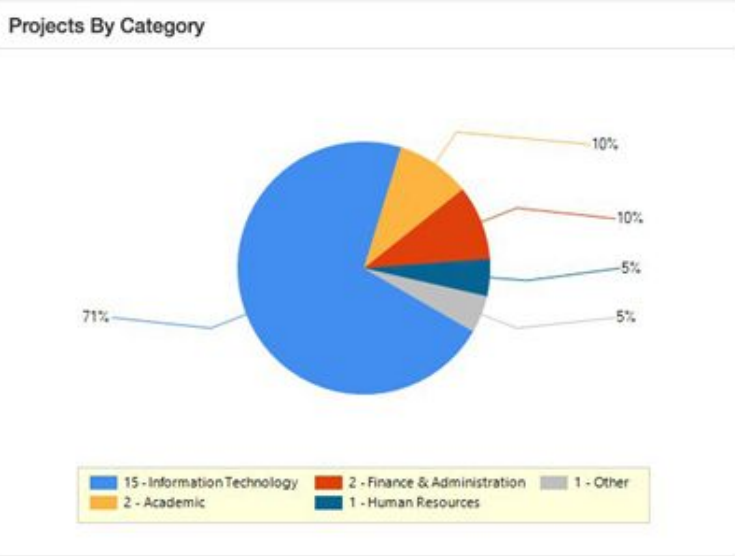
As of December 2022, considerations are under way to extend the ERP/EPM (finance) timeline from January 2024 to July 2024. Along with mitigating periodic technical and functional resource limitations due to competing demands, the extension will allow for the standardization of foundational cross-module data, business process improvement, and workflow automation within and across environments.

The proposed updated timeline for the **Oracle Cloud** project is as follows:

- **Phase One:** Implement all Oracle Cloud HCM modules including Recruiting, Onboarding, Core HR, Payroll, Time & Labor, Benefits, and Performance Management modules. Go-live **January 2024**.
- **Phase Two:** Implement the Oracle Cloud ERP/EPM modules including General Ledger, Purchasing, Accounts Payable/Receivable, and Financial Planning. Go-live **July 2024**.

CS Reimplementation Project:

An initial series of roughly 60 discovery sessions and meetings with approximately 200 representatives from student administrative functional areas from across the system was completed in December. The session objectives were to gain a deeper understanding of previously identified pain points, both within the product and business processes, identify opportunities for business process realignments and use of new functionality and tools, and identify areas where current modifications may be reduced or eliminated in the future. Follow-up sessions, as well as those for faculty and students, are being scheduled for January and February. Early in the new year, the Campus Solutions Reimplementation team will finalize its initial documentation and begin to develop a detailed report that addresses each of the issues covered in the sessions. Design sessions that will feature options for solutions and system previews and prototyping will occur throughout the spring and summer.



1

Project Budget - \$809K


Category	Amount
Remaining	\$165,471
Expended	\$643,529

USM (100% Compl)


Overall ■ Budget ■ Schedule ■ Risk ■


Initiation Date	Sponsor	Original Estimated Completion Date	Current Estimated Completion Date	Estimated Budget	Budget Committed to date	Project % Complete
6/2019	Jeffrey Letourneau	9/2021	9/2022 12/2022	\$809,000	\$643,529	100%

2



UMS IT Project (>\$250,000) Review







VoIP Implementation - USM

USM:


COVID-19 Impact delayed project timeline

- Project is essentially complete. Installation of HVAC in Corthell and some other minor items remain.






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UMS IT Project (>\$250,000) Review







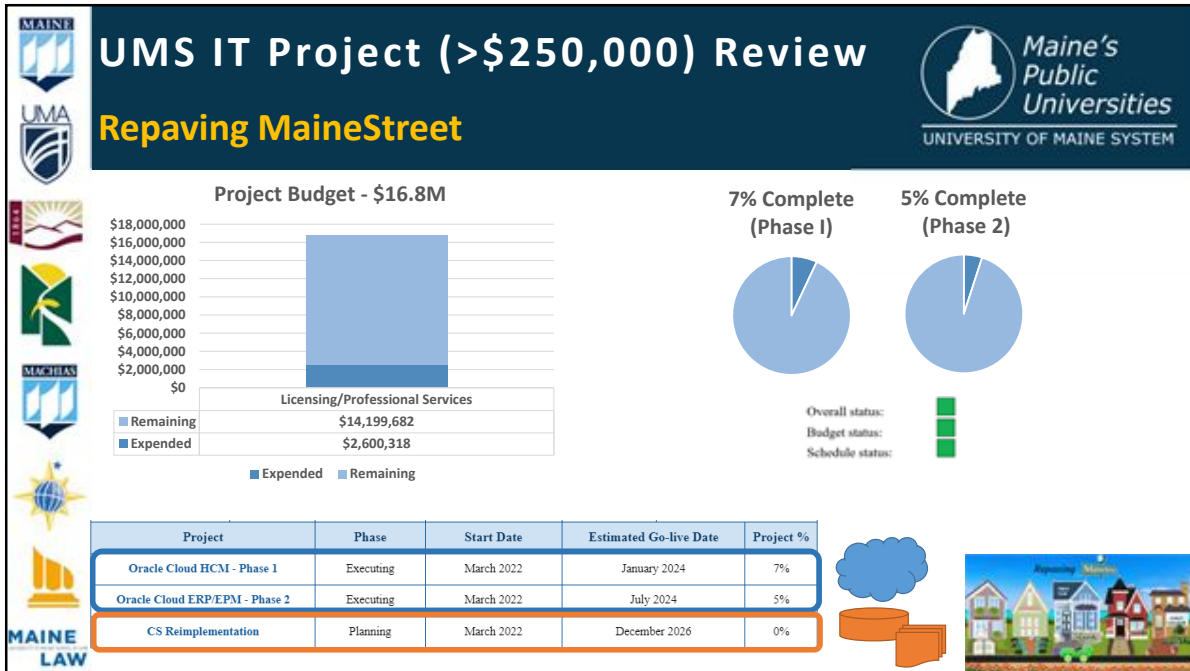
Repaving MaineStreet

- Project Overview**
 - Reimplementation of Campus Solutions SIS, Implementation of cloud-enabled HR and Finance solutions to support Unified Accreditation, address UMS strategic priorities, and promote operational efficiency
- Key Recommendations**
 - Leverage experience to **re-implement the Campus Solutions SIS** with **Unified Accreditation** and **Coding Consistency** as guiding principles
 - Take advantage of **new/emerging cloud-enabled functionality** in the ERP (**HR & FN**)
 - Eliminate code customization** in favor of configurable functionality to **improve operational efficiency** and eliminate technical debt



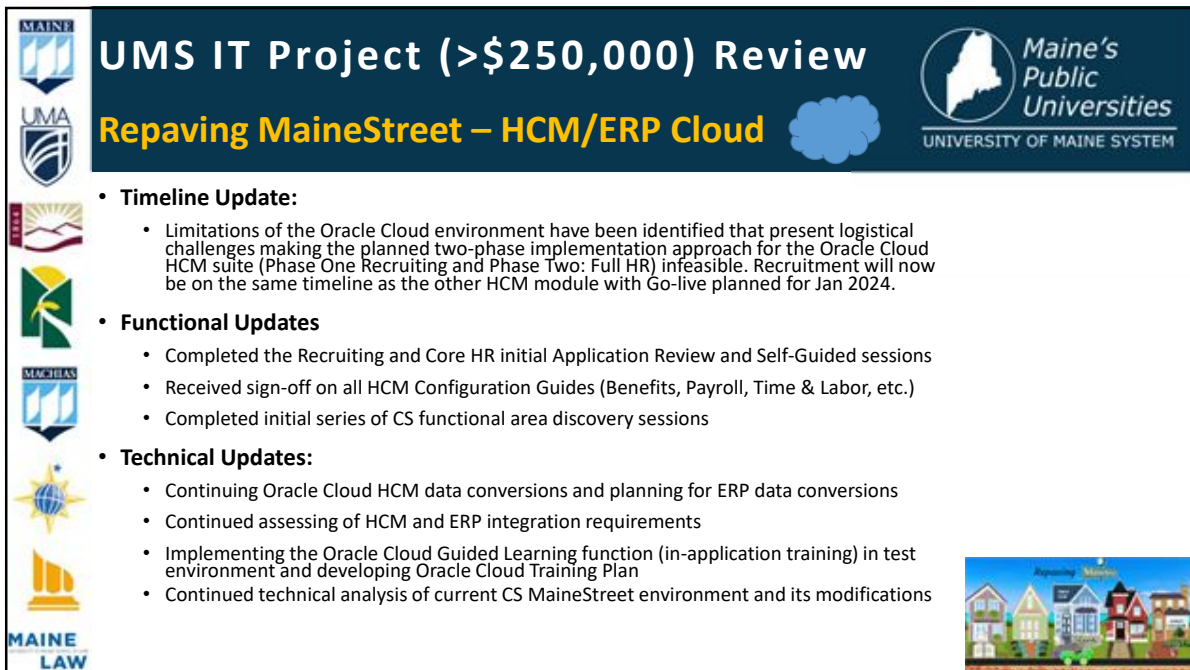



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UMS IT Project (>\$250,000) Review

Repaving MaineStreet

UNIVERSITY OF MAINE SYSTEM

- Phase 1 – Oracle Full HR**
 - Specification/Design – June 2022 – October 2022
 - Configure/Review – November 2022 – May 2023**
 - Testing/Training – June 2023 – December 2023
 - Go live – January 2024
- Phase 2 – Oracle ERP/EPM**
 - Discovery/Planning – June 2022 – February 2023**
 - Specification/Design – March 2023 – May 2023
 - Configure/Review – June 2023 – September 2023
 - Testing/Training – October 2023 – December 2023
 - Go live – January 2024
- Campus Solutions Reimplementation**
 - Pre-project Planning and Discovery – April 2022 – February 2023**
 - Findings Review and Prelim. Implementation Planning – March 2023 – August 2023
 - Preliminary Design/ Prototyping – September 2023 – December 2023
 - CS Reimplementation Planning – January 2024

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UMS IT Project (>\$250,000) Review

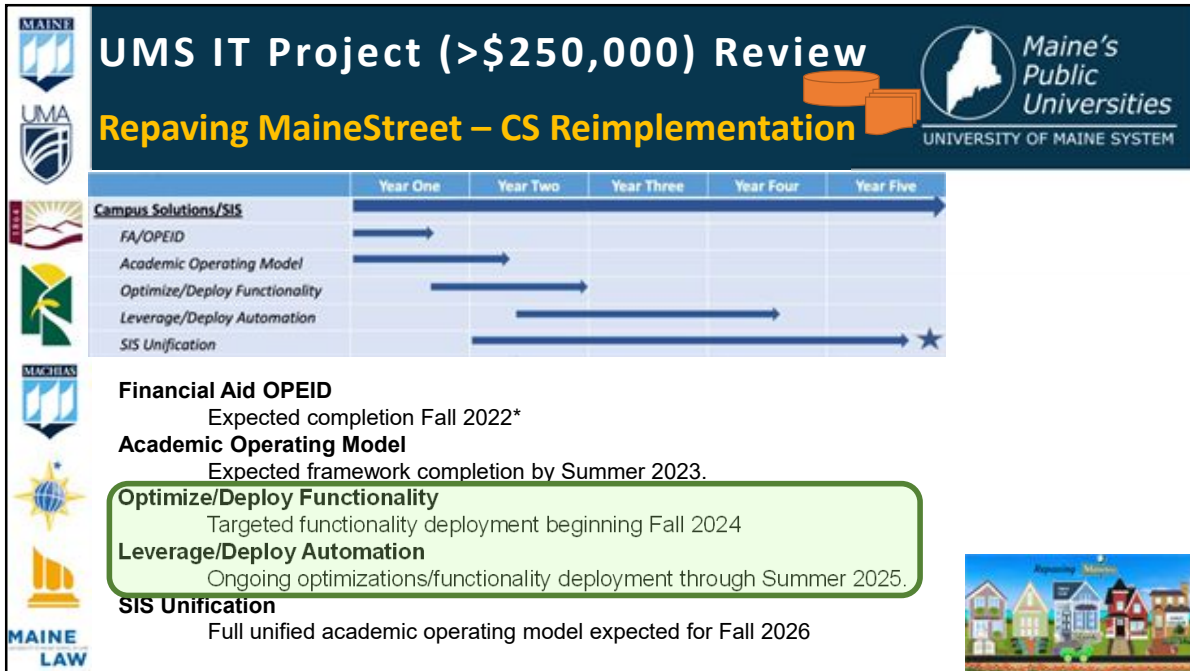
Repaving MaineStreet – CS Reimplementation

UNIVERSITY OF MAINE SYSTEM

Updates/Timeline:

- Functional Office **Discovery Sessions** underway (October 2022 through February 2023)
 - Over 225 functional office staff, faculty and students invited to participate in 60+ sessions
 - Purpose:** to gain a deeper understanding of **current pain points**; identify and **prioritize opportunities** for business process realignments and leverage new functionality and tools
- Review of Findings & **Preliminary Implementation Plan** and Roadmap to follow; target completion August 2023.
- CS Reimplementation Design/Prototype** to commence September 2023

8



7.2

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: State of IT 2022 Report

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

Dr. David Demers, Chief Information Officer, will share highlights from the State of IT 2022 Report, including:

- Repaving MaineStreet
- Managed Print Services
- Multifactor Authentication (MFA)

Link to Report: wpsites.maine.edu/stateofitreport/

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UMS State of IT Report 2022



UNIVERSITY OF MAINE SYSTEM

• Repaving MaineStreet










- **Improved MaineStreet Experience** for everyone!
- **Expanded Opportunities** for collaboration between campuses and cross-campus access for students.
- **Enhanced Consistency** to support process improvements and data consistency across all platforms.






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UMS State of IT Report 2022











UNIVERSITY OF MAINE SYSTEM

• Multifactor Authentication (MFA)


- **What is MFA?**
 - Authentication method that requires the user to provide two or more verification factors to gain access to a resource (e.g. password and device confirmation)
 - Proven strategy to help mitigate likelihood of a successful cyber attack (particularly credential harvesting/Phishing)
 - Core component of a strong identity and access management (IAM) policy
- **How is UMS deploying MFA?**
 - UMS has partnered with the Internet2 consortium to license/deploy Duo MFA



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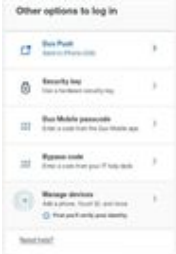
UMS State of IT Report 2022



UNIVERSITY OF MAINE SYSTEM

• Multifactor Authentication

- Pilot phase completed in 2022 to work through the technical, support process, and other decisions required to successfully deploy MFA within the University of Maine System using the Duo Security application focusing on Single Sign-on (SSO).
- Will become generally available to faculty and staff in 2023 with discussions underway to make available to students.
- Transition to a new Duo interface planned in 2023 to provide more functionality.

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UMS State of IT Report 2022



UNIVERSITY OF MAINE SYSTEM

• Managed Print Services


- **Expiration of Canon Device & Support Contract – 9/30/22**
 - Average age of Canon MFDs: 7.6 years
 - Projected service life: 5-6 years
- **RFP conducted early 2022**
 - Awarded Managed Print Contract to **Xerox**
- **Focus of Managed Print Services:**
 - *Consistent End User Experience*
 - *Security*
 - *Environmental Sustainability*
 - *Efficiency & Fiscal Responsibility*








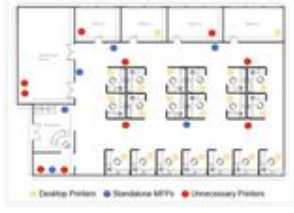


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UMS State of IT Report 2022



- **Managed Print Services**
- **Project Methodology**
 - UMS-wide **equipment assessment** executed by Xerox for fleet optimization recommendations.
 - **Campus review and decision-making** to adopt fleet optimization strategy.
 - Enable campuses to **reduce equipment cost** based on assessment recommendations.
 - **Campuses empowered** to determine type, quantity, and placement of devices.
 - UMS-wide **single financial business model** to support finance, billing, and chargeback to deliver Unified Managed Print Service end user experience
 - All Xerox equipment bundled as a service offering - with **same capabilities**
 - Consistent device deployment methodology

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UMS State of IT Report 2022



- **Managed Print Services**
 - New devices began arriving in late December and the majority of new devices are expected to be deployed by the end of March 2023.











8

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Capital Projects Status Report and 2018 Bond Projects Update, UMS

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

BACKGROUND:

Executive Summary

Overview:

Attached is the Capital Project Status Report for the January 12, 2023, meeting of the Finance, Facilities and Technology Committee. The report reflects a total of 33 projects, with one project removed (USM) since the last report. Note that the projects highlighted in yellow reflect current P3 projects. Additionally, projects which are at Board approval level utilizing Harold Alfond Foundation (HAF) grant and matching money are highlighted in green. HAF projects below Board approval level are noted in a separate table at the end of the report as well.

There are a handful of projects funded in whole or in part with 2018 bond funds which were completed in 2022 but are awaiting completion of the Percent for Art process prior to closing out and removal from this report.

COVID-19 and Current Market Impacts on Capital Construction:

- Market instability and labor shortages are creating very difficult conditions for the bidding and estimating climate.

Bond Project Status Report:

The special portion of this report calling out only projects funded with the 2018 State bonds reflects sixty-three (63) projects. The projects are currently estimated to account for over \$48 million of the \$49 million in voter approved general obligation bond funding. Over \$40 million of that has been expended. As of this report three projects were removed due to a change in funding source (as noted in the October/November report) and six new projects were added.

Supplemental funding is being leveraged for some of these projects and the total estimated project value across all funds is more than \$68 million, including the bond funding and other project resources.

- Twelve (12) of the active bond projects also appear on the Capital Project Status Report with approved budgets above board threshold.
- The remaining bond funded projects do not have budgets that meet the threshold for Board of Trustees consideration and are therefore not present on the Capital Projects Status Report.

01/05/2023

- As projects are closed, they will be moved to the completed projects section on this report and will remain on the report for documenting purposes until all Bond Projects are completed.
- The Completed project section reflects twenty-three (23) projects that are complete. There are another eight (8) projects in the active projects table listed as complete and substantially complete. These will move to the completed section once closeout is finalized.

Research space approvals:

This report provides timely and appropriate disclosure of Chancellor-approved increases in University owned or occupied space when the space is for research purposes, as approved by the Board of Trustees at the January 2020 Board Meeting: none

Harold Alfond Foundation (HAF) Grant funded projects:

Athletics

Work on the Softball complex project continues with completion anticipated in time for the Spring 2023 season.

Work on the Field Hockey complex began once the season ended, and completion is anticipated in time for the 2023 season.

Scoreboards for Baseball have been ordered with installation expected to be complete by Spring 2023 in time for the season.

Design is underway for the Alfond Arena renovation and expansion.

MCECIS

The master plan report has been delivered by the design team. Additional discussions are scheduled with the new Dean of Engineering beginning in the new year.

UM Ferland Engineering Education & Design Center Project:

Ongoing commissioning, punch list completion and equipment installation is expected to be finished before the spring semester.

300 Fore Street Renovation:

The project received a Certificate of Occupancy on November 16th. Punchlist work is nearing completion and is expected to complete prior to the 1/12 open house. Network Maine will complete all connectivity in the building by 12/30. Access Control and FF&E will also be complete by 1/12. FF&E is going slower than anticipated but completion is expected by the end of the first week of January.

USM Portland Development Projects:

Portland Commons

Framing, sheet rocking and utility installation continues as the crews make their way from the eight story wings to the five story wings. Wall panel and siding installation continues.

Career and Student Success Center

Construction continues on schedule. Kitchen equipment has been installed and final finishes continue.

Parking Garage

Remaining onsite work has slowed due to weather constraints and the awaited shipment of key equipment. Awaiting the delivery of the new transformer, a four-hour installation outage remains 01/05/2023

tentatively scheduled during the winter break. The new emergency generator and electrical room switchboard deliveries are lining up for a spring break installation outage. Due to delivery delays, the city mandated EV chargers will be installed with homeruns untermiated until the final electrical switchgear arrives. Absent the electrical termination for the EV chargers, the project will seek a partial Certificate of Occupancy from the City of Portland to provide the available parking in alignment with the Certificate of Occupancy for the Portland Commons project.

Center for the Arts

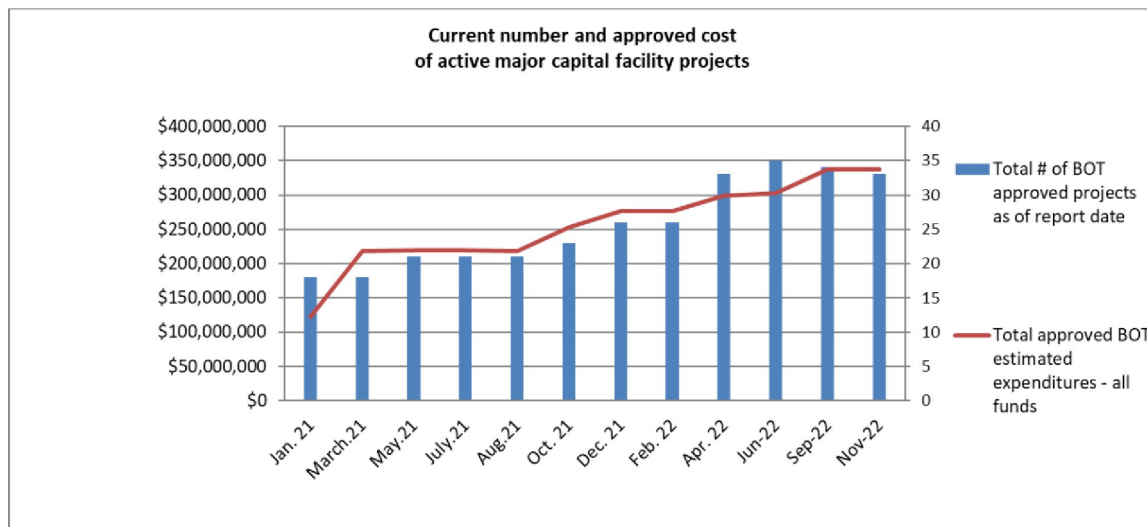
Construction Documents phase of design has begun with 50% review scheduled for mid-January. Site Review Application for the City of Portland was submitted on December 19, 2022. Design completion and GMP delivery are anticipated for late spring final approval. Schedule is subject to the Building Permit review time with the city. Groundbreaking is anticipated in July/August 2023.

University of Maine Energy Center project:

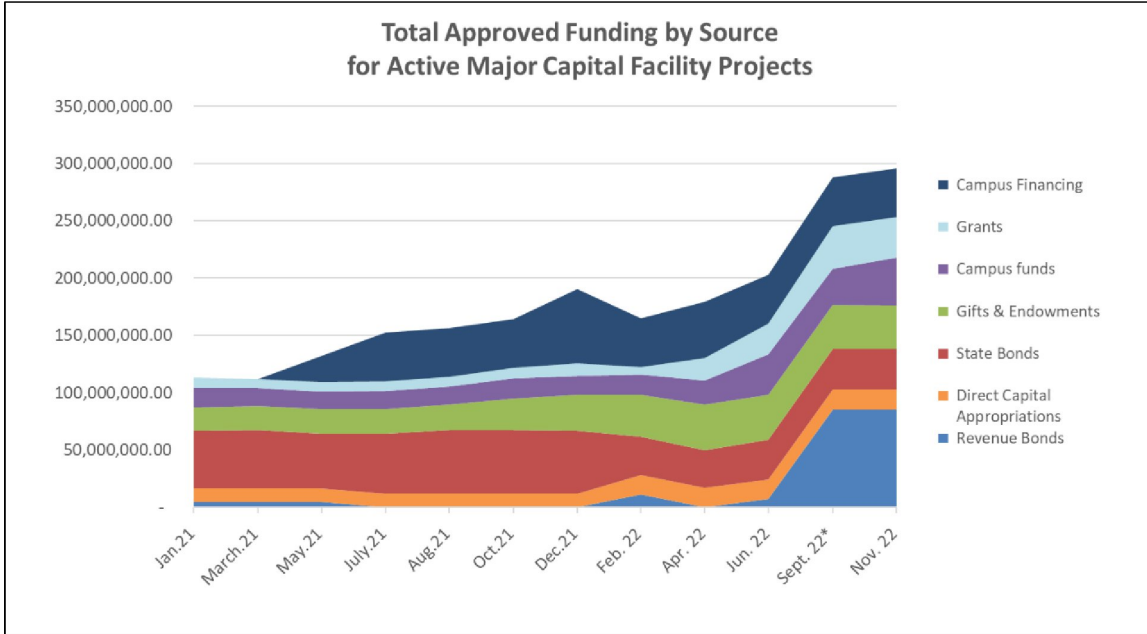
Design continues with anticipated completion in summer of 2023 to be followed by pricing/bidding and construction.

RFQ for Asset Development & Management Consulting Services

Multiple responses were received from a variety of consulting firms and are being evaluated by the core team. A list of qualified firms is expected to be completed in January.



01/05/2023



* September 2022 data has been adjusted to reflect incorrect funding sources reported on the October 2022 report.

-Direct Capital Appropriations funds consist of capital appropriations in anticipation of revenue bonding, as well as MEIF funds.

-Campus Financing demonstrates the use of interim financing in the form of a Bond Anticipation Note.

Capital Project Status Report
Board Approved Projects
January 2023 - Finance, Facilities and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) of expenditures to date & each source's share	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UMA									
Randall Welcome Center (1100085)	2018 State Bond (100%)	Complete	2021	2022	\$2,150,000	\$2,150,000	\$1,741,576	81.0%	Board approved \$2.15M May 2021. The approval of 1100085 in May of 2021 replaces 1100077.
Medical Laboratory Technology (1100093)	E&G(100%)	Design in Progress	2023	2023	\$1,650,000	\$1,650,000	\$44,848	2.7%	Board approved \$1,650,000 in March, 2022.
Camden Hall Vet Tech (1100095)	E&G(100%)	Construction in Progress	2023	2023	\$1,600,000	\$1,600,000	\$161,406	10.1%	Board approved \$1,600,000 in March, 2022.
Handley Hall A/C replacement (1200029)	E&G (27%),HEERF(73%)	Design in Progress	2020	2023	\$575,000	\$1,230,000	\$98,185	8.0%	Board approved \$575K in September, 2019. Board approved \$1.2M in Emergency Relief Funds and up \$30k in E&G funds in Sept 2021.
Katz Library HVAC Repairs (1200061)	HEERF (100%)	Construction in Progress	2021	2022	\$1,100,000	\$1,335,000	\$795,430	59.6%	Board approved \$1.1M Sept 2021. Board authorized additional \$235,000 in June 2022.
UMF									
***Dearborn Gym HW Upgrades (2100087)	2010 State Bond (10%) 2018 State Bond (90%)	Complete	2019	2022	\$600,000	\$850,000	\$848,752	99.9%	Board approved \$600K in March, 2019. Board approved additional \$250K in May, 2019.
274 Front St Renovation (2100096)	2018 State Bond (100%)	Construction in Progress	2020	2023	\$450,000	\$3,100,000	\$919,347	29.7%	Board approved up to \$3.1M in January 2022.
FRC Façade Replacement (2100112)	2018 State Bond (100%)	Bidding	2022	2023	\$925,000	\$925,000	\$44,202	4.8%	Board approved up to \$925,000 in May 2022.
UMFK									
UMFK Enrollment/Advancement Center (3100042)	2018 State Bond (100%)	Substantially Complete	2022	2022	\$3,249,000	\$3,249,000	\$2,884,726	88.8%	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K E&G for a total of \$3,249,000.
UM									
UM Ferland Engineering, Education and Design Center (5100458, 5100493, 5100546, 5200604)	Campus Funds (3%), State Approp (26%) Gifts (26%), 2022 Revenue Bond (11%) Notes Payable(34%)	Substantially Complete	2024	2024	\$1,000,000	\$78,000,000	\$65,245,458	83.6%	Board approved \$1M in September, 2017. Board approved additional \$8M in May, 2018. Additional \$63M BOT approved March, 2020. Initial occupancy of this facility is expected in 2022; final completion in 2024. Board authorized up to \$78M in January 2022.
UM Energy Center Phase II (5100516, 5100517)	Campus E&G Funds (69%) Grants (27%), 2022 Revenue Bond(4%)	Design in Progress	2023	2023	\$5,700,000	\$5,700,000	\$918,902	16.1%	Board approved \$5.7M March, 2019.
Neville Hall Renovations (5100534)	2018 State Bond (100%)	Substantially Complete	2021	2022	\$1,500,000	\$1,500,000	\$1,326,957	88.5%	Board approved up to \$1.5M expenditure in March 2021.
**ASCC Secure Clean Lab Suite (5100560)	Grants (100%)	Construction in Progress	2023	2023	\$2,451,268	\$2,451,268	\$212,661	8.7%	Board authorized \$2,451,268 in March 2022.
Steampit SA10 (5100563)	E&G (100%)	Substantially Complete	2022	2022	\$640,000	\$640,000	\$515,949	80.6%	Board authorized \$640,000 in May 2022.
ASCC Building Addition GEM Lab (5100579)	Grants (77% -MJP)Operating Reserves (23%)	Design in Progress	2025	2025	\$1,500,000	\$15,300,000	\$1,119,195	7.3%	Board approved \$1.5M May 2021. Board authorized additional \$13.8M in August 2022.
**HVAC Systems & Controls Upgrades (5100587, 5100647, 5100654)	Campus Funds (100%)	Pre-Design in Progress	2024	2024	\$10,000,000	\$10,000,000	\$24,155	0.2%	Board authorized up to \$10M in May 2022.
**UM Priority 1 Athletics fields (5100593, 5100594, 5100597, 5100652)	Gifts (18%) HAF Grant (82%)	Construction in Progress (5100597, 5100597, 5100652); Design in Progress (5100593)	2023	2023	\$14,000,000	\$40,000,000	\$5,572,326	13.9%	Board authorized \$14M in January 2022. Board authorized additional \$26M in August 2022.
Relocation of Dairy Operations; Dairy Barn Demo (5100631, 5200747)	System Reserves(69%) E&G (31%)	Design and Bidding in Progress	2023	2023	\$800,000	\$800,000	\$259,522	32.4%	Authorized by FFT at June, 2022 meeting.
UM Adaptive Reuse project/Historic P3 (5200661)	Campus Funds- Aux and E&G Reserves (100%)	Construction in Progress	2023	2024	\$2,000,000	\$3,000,000	\$1,639,637	54.7%	Board authorized for UM contribution of up to \$2M in October 2021. Board authorized additional \$1M in March 2022.

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Capital Project Status Report
Board Approved Projects
January 2023 - Finance, Facilities and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) of expenditures to date & each source's share	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
USM									
USM Center for the Arts (6100300)	Gifts (100%)	Design in Progress	2022	2025	\$1,000,000	\$4,200,000	\$2,155,725	51.3%	Board approved \$1M in January, 2018. Board authorized an additional \$3.2M for a total of \$4.2M in November 2021.
***Bailey Hall Fire Protection and Electrical Upgrades (6100316, 6100323)	2018 State Bond (35%), Campus E&G (65%)	Complete	2019	2022	\$2,580,000	\$4,388,000	\$4,160,740	94.8%	Board approved \$2.58M in January, 2019. Additional authorization of \$1,808,000 for a total of \$4,388,000 in January 2020.
Career and Student Success Center and Portland Residence Hall (6100325, 6100338)	2018 State Bond (27%), 2022 Revenue Bond (44%) Notes Payable (29%)	Construction in Progress	2020	2023	\$1,000,000	\$100,600,000	\$65,313,545	64.9%	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined for the two projects in January 2020. Board approved an increase by \$93.7M in February 2021. Chancellor approved additional \$1.2M for Res. Hall in June, 2022.
Structured Parking Garage (6100331)	Campus E&G Funds (1%), 2022 Revenue Bond (92%) Notes Payable (7%)	Construction in Progress	2022	2023	\$1,200,000	\$23,500,000	\$15,125,227	64.4%	Board approved in March 2020 with initial spending limit of \$400,000; addtl \$800,000 authorized by the Chancellor and VCFA and Treasurer in April, 2021. Board authorized a new total of \$23m in November, 2021. Chancellor approved additional \$0.5M in June, 2022.
Academy Building Renovation (6100332)	Campus E&G Funding (100%)	Construction in Progress	2022	2023	\$800,000	\$1,300,000	\$315,056	24.2%	Authorized by FFT at June, 2022 meeting. Board approved additional \$500,000 in October 2022.
**USM Dubyak Center (6100342)	Gifts (19%), State Bond(81%)	Construction in Progress	2022	2023	\$2,500,000	\$2,500,000	\$171,804	6.9%	Board approved up to \$2.5 million in January, 2022. \$1M of bond funds to cover the total \$2.5m project budget. Addtl \$1.5M funding is from Maine Jobs Recovery funds.
Hannaford Field Turf Repl (6100362)	E&G (100%)	Substantially Complete	2022	2022	\$900,000	\$900,000	\$756,939	84.1%	Board approved up to \$900,000 in March 2022.
Fitness Equipment Purchase and Space Renovation USM Gorham Costello Gym Reno (6100370), Sullivan Gym Equip Repl (6100371), LAC Gym Equip Repl (6200295)	Campus E&G Funds (100%)	Substantially Complete	2020	2022	\$700,000	\$770,000	\$699,434	90.8%	Board Approved March, 2020. No expenditures as of yet. An increase of \$70k was authorized by the Chancellor to \$770k in December 2021.
**USM IPE Lab (6200286)	Gifts (100%)	Construction in Progress	2022	2023	\$482,000	\$980,000	\$417,908	42.6%	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.
UMPI									
UMPI Solar Array (7100023)	Campus E&G (100%)	Substantially Complete	2020	2022	\$700,000	\$1,144,240	\$1,006,729	88.0%	Board approved \$700K June, 2020. Board approved an increase to \$1,144,240 during the August 2021 Executive Committee.
Wieden Renovation Bond (7100025)	2018 State Bonds (60%), Gifts(2%), Grants (26%), Campus Reserves(12%)	Construction in Progress	2020	2023	\$3,757,000	\$7,652,280	\$5,412,175	70.7%	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022.
Folsom 105 Nursing Renovation (7100026)	2018 State Bonds (100%)	Complete	2020	2022	\$800,000	\$760,000	\$719,300	94.6%	Board approved \$800K March, 2020. Budget reduced by \$40K due to funds to Wieden Renovation.
Park Hall (7100029)	State Appropriation (100%)	Design in Progress	2023	2023	\$662,000	\$662,000	\$1,140	0.2%	Board approved \$662,000 at June 2022 FFT meeting.
UMS/Law School									
300 Fore St Portland Renovation (8100152)	Gifts (84%), E&G(16%)	Construction in Progress	2022	2022	\$6,000,000	\$13,827,396	\$9,131,832	66.0%	Board approved \$6M September 2021. Board approved increase to \$11.5M in Jan '22. Board authorized additional \$1,327,396 in March 2022. Board authorized \$1m additional in June '22.

9.1

Capital Project Status Report
Board Approved Projects
January 2023 - Finance, Facilities and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) of expenditures to date & each source's share	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
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HAF projects which are currently below board level

Campus, Project Name (Project ID)	Funding Source(s) of expenditures to date & each source's share	Status	Original Estimated Completion	Current Est. Completion	Total Expense to Date	Prior Actions, Information & Notes
UM - Engineering Ph III - MCECIS Master Planning (5200692)	HAF Grant/HAF Match (100%)	Pre-Design	TBD	TBD	476,085.48	HAF Funded project. Below Board level.
UM - HAF Athletics Master Plan (5200696)	HAF Grant/HAF Match (100%)	Pre-Design	TBD	TBD	331,113.27	HAF Funded project. Below Board level.
UM - Morse field Turf Replacement (5100559)	Campus Funds (59%) Gifts (41%)	Complete	2021	2021	445,516.76	HAF Funded project. Below Board level.

Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. *** This project has been completed since the last report and is not expected to appear on the next report. Highlighted: Board level HAF and P3 Projects	Funding source(s) reflects primary source(s) for project.		Calendar Year unless otherwise noted.					Percentage expended reflects total expended as of November 30, 2022 as a percentage of the current approved project estimate.
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2018 State Bond Project Status Report
Active Bond Projects
January 2023 - Finance, Facilities, and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UMA								
Randall 2nd Floor Renovations (1100083)	Construction in Progress	2021	2022	Bond (100%)	\$100,000	\$93,492	\$100,000	
Randall Welcome Center (1100085)	Complete	2021	2022	Bond (100%)	\$1,750,000	\$1,741,576	\$2,150,000	Board approved \$2.15M May 2021. The approval of 1100085 in May of '21 replaces 1100077.
Bangor Campus Welcome Center (1100534)	Complete	2021	2022	Bond (95%) E&G (5%)	\$475,000	\$462,308	\$498,821	
ACC Nursing Upgrades (1200082)	Complete	2022	2022	Bond (95%) E&G (5%)	\$43,341	\$43,341	\$45,856	
Randall Admissions Renovations (1200083)	Construction in Progress	2021	2023	Bond (54%) E&G (46%)	\$154,095	\$23,942	\$284,095	
Total Bond for Campus					\$2,522,436	\$2,364,658	\$3,078,772	
UMF								
274 Front St Renovation (2100096)	Construction in Progress	2020	2023	Bond 100%	\$1,400,000	\$916,075	\$3,100,000	Board approved up to \$3.1M in January 2022. \$1.4m in 2018 bonds, the remaining is from gifts, Maine Jobs Recovery Act funds and other congressional earmarks.
Olsen Center Renovations (2100102)	Design in Progress	2023	2023	Bond (100%)	\$300,000	\$107,535	\$300,000	
Mantor Library Renovations (2100103)	Complete	2021	2022	Bond (100%)	\$300,000	\$270,503	\$300,000	
Campus ADA Ramps (2100104)	Construction in Progress	2021	2022	Bond (100%)	\$100,000	\$31,379	\$100,000	
Roberts HVAC Upgrade (2100106)	Design in Progress	2021	2022	Bond (100%)	\$150,000	\$70,661	\$150,000	
Merrill Hall HVAC Upgrade (2100107)	Design Complete	2021	2022	Bond (100%)	\$50,000	\$35,127	\$50,000	
Ricker Addition Renovation (2100108)	Design in Progress	2021	2022	Bond (100%)	\$175,000	\$55,619	\$175,000	
Scott West Renovation (2100110)	Construction in Progress	2021	2022	Bond (100%)	\$175,000	\$59,991	\$175,000	
FRC Roof Replacement (2100111)	Construction in Progress	2021	2022	Bond (100%)	\$325,000	\$302,152	\$325,000	
FRC Façade Replacement (2100112)	Bidding	2022	2023	Bond (100%)	\$925,000	\$44,202	\$925,000	Board approved up to \$925,000 in May 2022.
Security Camera&Phone Install (2100115)	Design in Progress	2023	2023	Bond (100%)	\$100,000	\$2,665	\$100,000	
Lockwood Hall Heat Conversion (2100116)	Construction in Progress	2023	2023	Bond (100%)	\$465,000	\$199,135	\$465,000	

9.2

2018 State Bond Project Status Report
Active Bond Projects
January 2023 - Finance, Facilities, and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UMF								
Exterior Painting Merrill Hall (2200096)	Design in Progress	2020	2022	Bond (100%)	\$450,000	\$47,836	\$450,000	
Stone Hall Suite Conversion (2200109)	Construction in Progress	2022	2022	Bond (100%)	\$275,000	\$194,947	\$275,000	
Total Bond for Campus					\$5,190,000	\$2,337,826	\$6,890,000	
UMFK								
UMFK Enrollment/Advancement Center (3100042)	Substantially Complete	2022	2023	Bond (100%)	\$2,990,000	\$2,884,726	\$3,249,000	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K for a total of \$3,249,000.
Total Bond for Campus					\$2,990,000	\$2,884,726	\$3,249,000	
UM								
UMM Dorward Hall Roofing (4200048)	Construction in Progress	2021	2022	Bond (100%)	\$45,000	\$32,939	\$45,000	
Neville Hall Renovation (5100534)	Construction in Progress	2021	2022	Bond (100%)	\$1,500,000	\$1,326,957	\$1,500,000	Board approved up to \$1.5M expenditure in March 2021.
R-UMM Science Bldg Reno (5100581)	Pre-design in Progress	2022	2023	Bond (100%)	\$50,000	\$8,260	\$50,000	
R-Dorward Hall Access Upgrade (5100596)	Construction in Progress	2022	2022	Bond (100%)	\$187,111	\$133,276	\$187,111	
*R-Campus Paving & Grounds Upgr (5100650)	Design in Progress	2023	2023	Bond (100%)	\$400,000	\$0	\$400,000	
R-UMM O'Brien ADA Access Ramp (52000741)	Pre-design in Progress	2022	2022	Bond (100%)	\$50,000	\$0	\$50,000	
*R-Powers Hall Building Upgra (5200776)	Design in Progress	2023	2023	Bond (100%)	\$425,000	\$12,119	\$425,000	
*R-Science Building Drainage (5200777)	Construction in Progress	2023	2023	Bond (100%)	\$45,000	\$0	\$45,000	
*R-UMM Building Signage (5200778)	Design in Progress	2022	2022	Bond (100%)	\$45,000	\$0	\$45,000	
Total Bond for Campus					\$2,747,111	\$1,513,551	\$2,747,111	

9.2

2018 State Bond Project Status Report
Active Bond Projects
January 2023 - Finance, Facilities, and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
USM								
*Center of Excel. Teacher Ed. (6100299)	In Design	2023	2023	Bond (68%) Gifts (32%)	\$250,000	\$29,296	\$320,000	
Bailey Hall Fire Protection and Electrical Upgrades (6100316, 6100323)	Complete	2019	2022	Bond (35%) E&G (65%)	\$1,460,000	\$1,460,000	\$4,160,740	Board approved \$2.58M in January, 2019. Board approved additional \$1.808M in January, 2020.
Career and Student Success Center (6100325)	Construction in Progress	2022	2023	Bond (100%) Gifts (0%)	\$19,000,000	\$17,932,929	\$26,551,000	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined with the residence hall project in January 2020. Board approved an increase by \$93.7M in February 2021, of that amount, the specific budget for the CSSC is \$26.6M.
Nursing Simulation Lab Science (6100327)	Complete	2021	2022	Bond (100%)	\$1,500,000	\$1,408,318	\$1,500,000	Board approved \$1.5M in January, 2020.
**USM DUBYAK CENTER (6100342)	Construction in Progress	2022	2022	Bond (81%) Gifts (19%) Grants (0%)	\$1,000,000	\$139,967	\$2,500,000	Board approved up to \$2.5 million in January, 2022. \$1M of bond funds to cover the total \$2.5m project budget. Adtl \$1.5M funding is from Maine Jobs Recovery funds.
Upper Class Pipe Insul Replmnt (6100366)	Construction in Progress	2022	2022	Bond (91%) E&G (9%)	\$112,584	\$30,851	\$112,584	
LAC Deferred Maint Projects (6100367)	Construction in Progress	2022	2022	Bond (100%)	\$300,000	\$27,623	\$300,000	
Upper Class Hall Online Locks (6100369)	Construction in Progress	2022	2022	Bond (99%) E&G (1%)	\$379,021	\$307,268	\$399,021	
*IPE Lab Science Renov (6200286)	Construction in Progress	2022	2023	Gifts (100%)	\$80,000	\$0	\$980,000	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.
Total Bond for Campus					\$24,081,605	\$21,336,251	\$36,823,345	
UMPI								
Wieden Renovation Bond (7100025)	Construction in Progress	2020	2023	2018 State Bonds (60%), Gifts(2%), Grants (26%), Campus Reserves(12%)	\$3,757,000	\$3,261,979	\$7,652,280	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022.
Folsom 105 Nursing Renovation (7100026)	Complete	2020	2023	Bond (100%)	\$760,000	\$719,300	\$760,000	Board approved \$800K March, 2020. Reduced by \$40K to allow Wieden funding.
Total Bond for Campus					\$4,517,000	\$3,981,278	\$8,412,280	
Totals:					\$42,048,152	\$34,418,290	\$61,200,508	

9.2

2018 State Bond Project Status Report
Active Bond Projects
January 2023 - Finance, Facilities, and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
Completed Bond Projects								
Augusta Campus Welcome Center (1100077)	Closed	2021	2021	Bond (100%)	\$350,388	\$350,388	\$350,388	UMA
Randall Center Student Lounge (1100084)	Complete	2021	2022	Bond (100%)	\$143,675	\$143,675	\$143,675	UMA
Jewett Hall Boiler Design Work (1200062)	Complete	2021	2021	Bond (100%)	\$305,000	\$321,287	\$321,287	UMA
Dearborn Gym Hot Water Upgrades (2100087)	Complete	2019	2022	Bond (90%) Energy Bond (10%)	\$848,752	\$764,755	\$848,752	UMF
274 Front St Acquisition (2100089)	Complete	2019	2019	Bond (100%)	\$850,820	\$850,820	\$850,820	UMF
Scott Hall Renovations (2100092)	Complete	2019	2022	Bond (100%)	\$193,660	\$193,660	\$193,660	UMF
Dakin Hall Shower Renovations (2100093)	Complete	2019	2022	Bond (100%)	\$95,707	\$95,707	\$95,707	UMF
Lockwood Hall Shower Renovations (2100094)	Complete	2019	2022	Bond (100%)	\$87,103	\$87,103	\$87,103	UMF
Stone Hall Renovations (2100095)	Complete	2019	2022	Bond (100%)	\$181,117	\$181,117	\$181,117	UMF
UMF Campus Paving (2100097)	Complete	2019	2019	Bond (100%)	\$97,338	\$97,338	\$97,338	UMF
FRC Floor Renovation (2100098)	Complete	2019	2019	Bond (100%)	\$209,503	\$209,503	\$209,503	UMF
Dakin Flooring, Ceiling, Light (2100105)	Complete	2021	2021	Bond (100%)	\$206,187	\$206,187	\$206,187	UMF
Scott North Renovation (2100109)	Complete	2021	2022	Bond (100%)	\$98,605	\$98,605	\$98,605	UMF
Scott South Renovations (2200102)	Complete	2022	2022	Bond (100%)	\$132,222	\$132,222	\$132,222	UMF
UMM Science Building Roof Replacement (4100042)	Complete	2020	2020	Bond (100%)	\$280,487	\$280,487	\$280,487	UMM
UMM Dorward Hall Roof Replacement (4100043)	Complete	2020	2020	Bond (100%)	\$296,092	\$296,092	\$296,092	UMM
UMM Sennett Roof Replacement (4100044)	Complete	2020	2020	Bond (100%)	\$201,257	\$201,257	\$201,257	UMM
UMM Reynolds Renewal (4100047)	Complete	2021	2022	Bond (100%)	\$320,475	\$320,475	\$320,475	UMM
UMM Reynolds Center Roof Repair (4200044)	Complete	2020	2020	Bond (100%)	\$154,226	\$154,226	\$154,226	UMM
UMM Site Work (4200045)	Complete	2020	2020	Bond (100%)	\$57,365	\$57,365	\$57,365	UMM
UMM Science Bldg Rm 010 Renovation (5100575)	Complete	2021	2022	Bond (100%)	\$100,885	\$100,885	\$100,885	UMM
Woodward Hall Renovations (6100301)	Complete	2019	2019	Bond (86%) E&G (14%)	\$1,008,395	\$1,008,395	\$1,172,840	USM
Ricci Lecture Hall Renovations (6100308)	Complete	2019	2020	Bond (31%) Gifts (43%), E&G (26%)	\$172,010	\$172,010	\$564,197	USM
Totals:					\$6,391,270	\$6,323,561	\$6,964,189	
GRAND Total (Active and Completed Projects)					\$48,439,422	\$40,741,851	\$68,164,697	
Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. Completed projects will remain on this report unless otherwise specified. *** Projects will be removed from the report.	Funding source(s) reflects primary source(s) for project.	Calendar Year unless otherwise noted.						Bond Funding expended reflects total expended as of November 30, 2022.

9.2

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: FY2022 Annual Report on Gifts, Fundraising and Endowments

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

Section 700 Financial Affairs

UNIFIED ACCREDITATION CONNECTION:

BACKGROUND:

The University of Maine System (UMS) Annual Report on Gifts, Fundraising and Endowments for the year ended June 30, 2022 is enclosed. This report presents:

- Total gifts received by donor type, restriction type, purpose, and campus.
- A 5-year comparison of UMS gift balances (endowment market value, non-endowed gift balances, and pledges outstanding) as of June 30th.
- Gifts received and endowment balances for the UMS’ affiliated fund raising organizations.
- The financial status of open capital campaigns.

The meeting agenda does not include a presentation of this report; however, Darla Reynolds, Director of Accounting, will be available to address any questions the Committee members may have regarding the report’s contents.

Jeffrey N. Mills, Ph.D., President/CEO of the University of Maine Foundation and Ainsley Wallace, President/CEO of the University of Southern Maine Foundation will provide a brief presentation for their respective Foundations regarding gifts, fundraising & endowments at the next scheduled Finance, Facilities and Technology Committee meeting on March 1, 2023.

All gifts received fulfill the policy requirements of the Board of Trustees and the UMS follows the intent of its donors. The UMS expresses its deep gratitude to all donors for their gifts, donations and bequests.

1/05/2023



Annual Report on Gifts, Fundraising and Endowments

10.1

Year Ended June 30, 2022

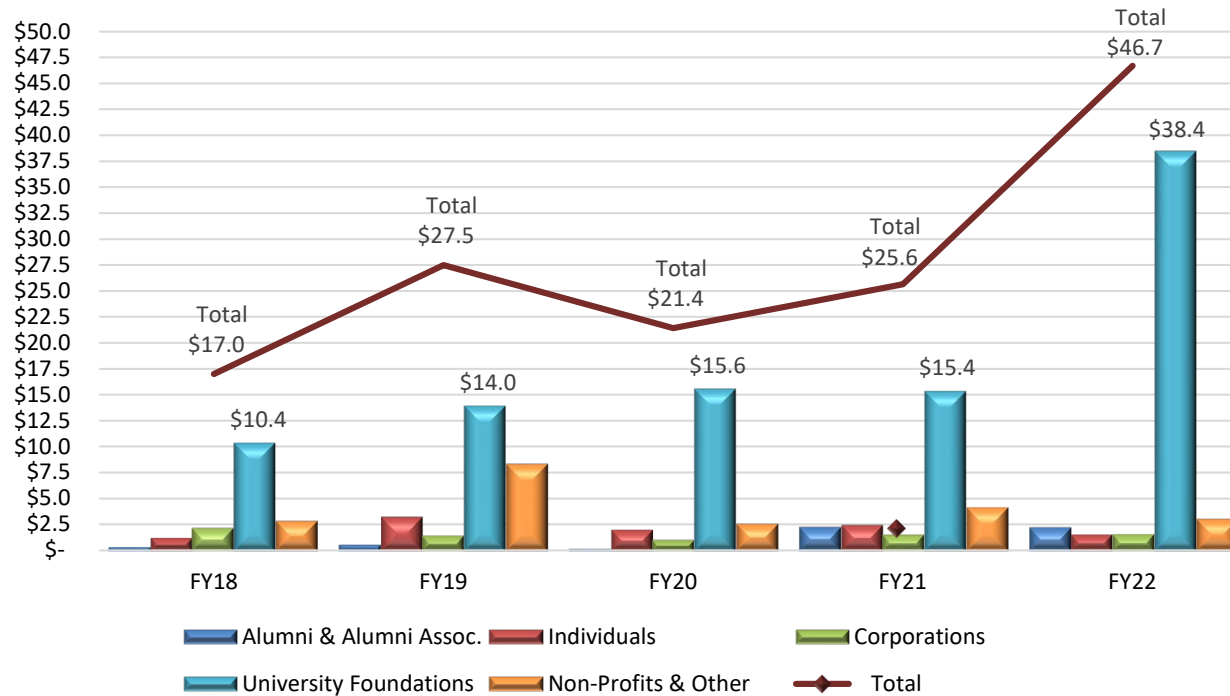
Part I: University of Maine System

(Excludes Fund Raising Organizations)

10.1

UMS Gifts Received* by Donor Type

(\$ in millions)



The UMS receives gifts through two methods:

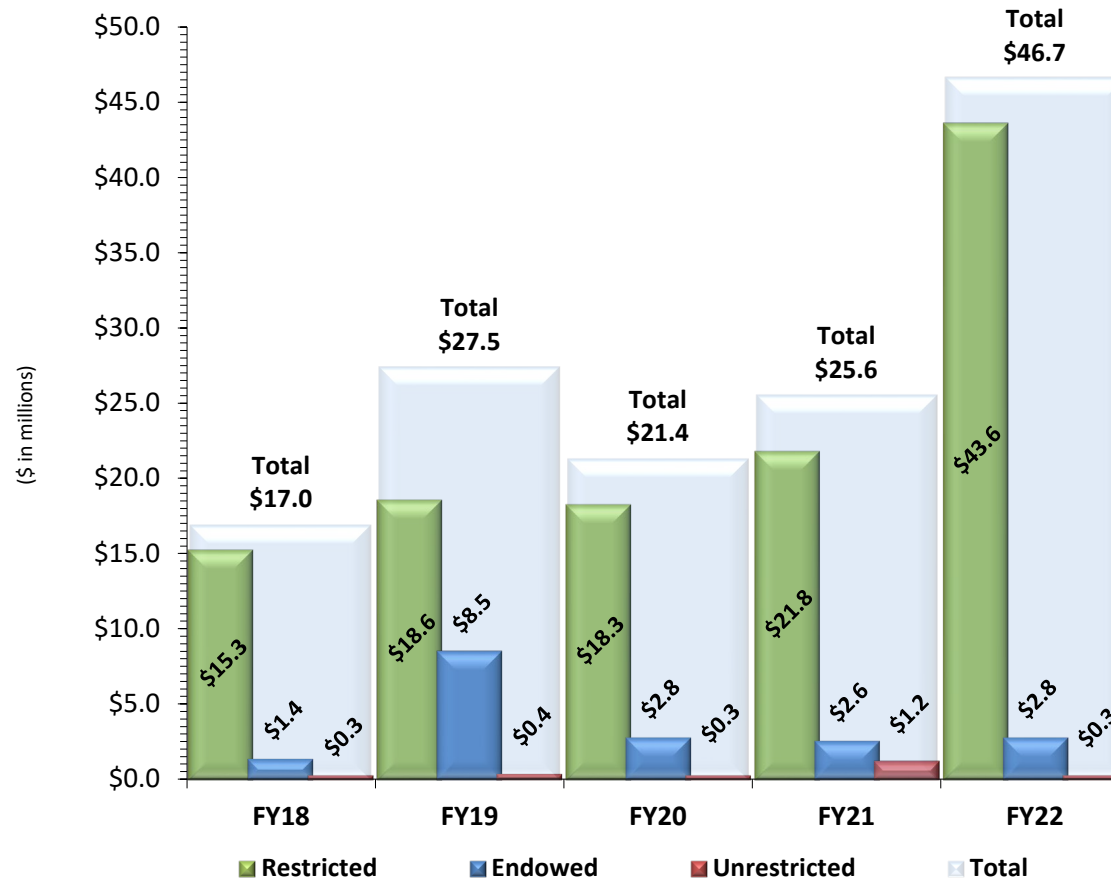
1. Direct donations from alumni, individuals, corporations, and non-profits
2. Endowment distributions and non-endowed gifts from its affiliated fund raising organizations, the largest of which are the university foundations (see page 10 for FY21 and FY22 gifts that the affiliates received)

10.1

*UMS gifts reported herein include cash, checks and negotiable securities, and pledge payments. Gifts-in-kind and pledges receivable are not included in these totals.

UMS Gifts Received by Restriction Type

(\$ in millions)

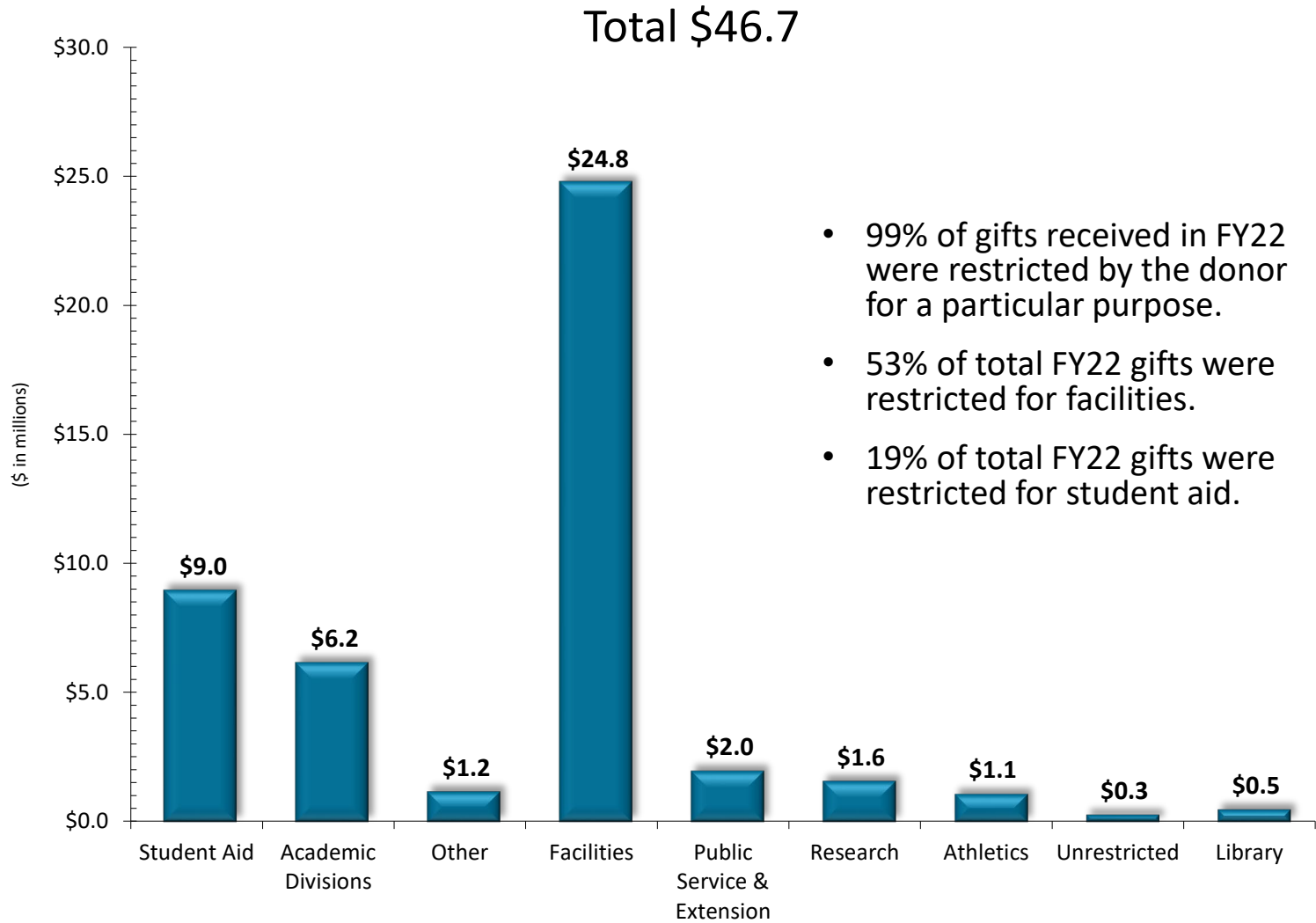


The \$21.1 million increase in total gifts from FY21 to FY22 is primarily attributable to restricted gifts received for construction of the University of Maine’s Ferland Engineering Education & Design Center.

10.1

UMS FY22 Gifts Received by Purpose

(\$ in millions)

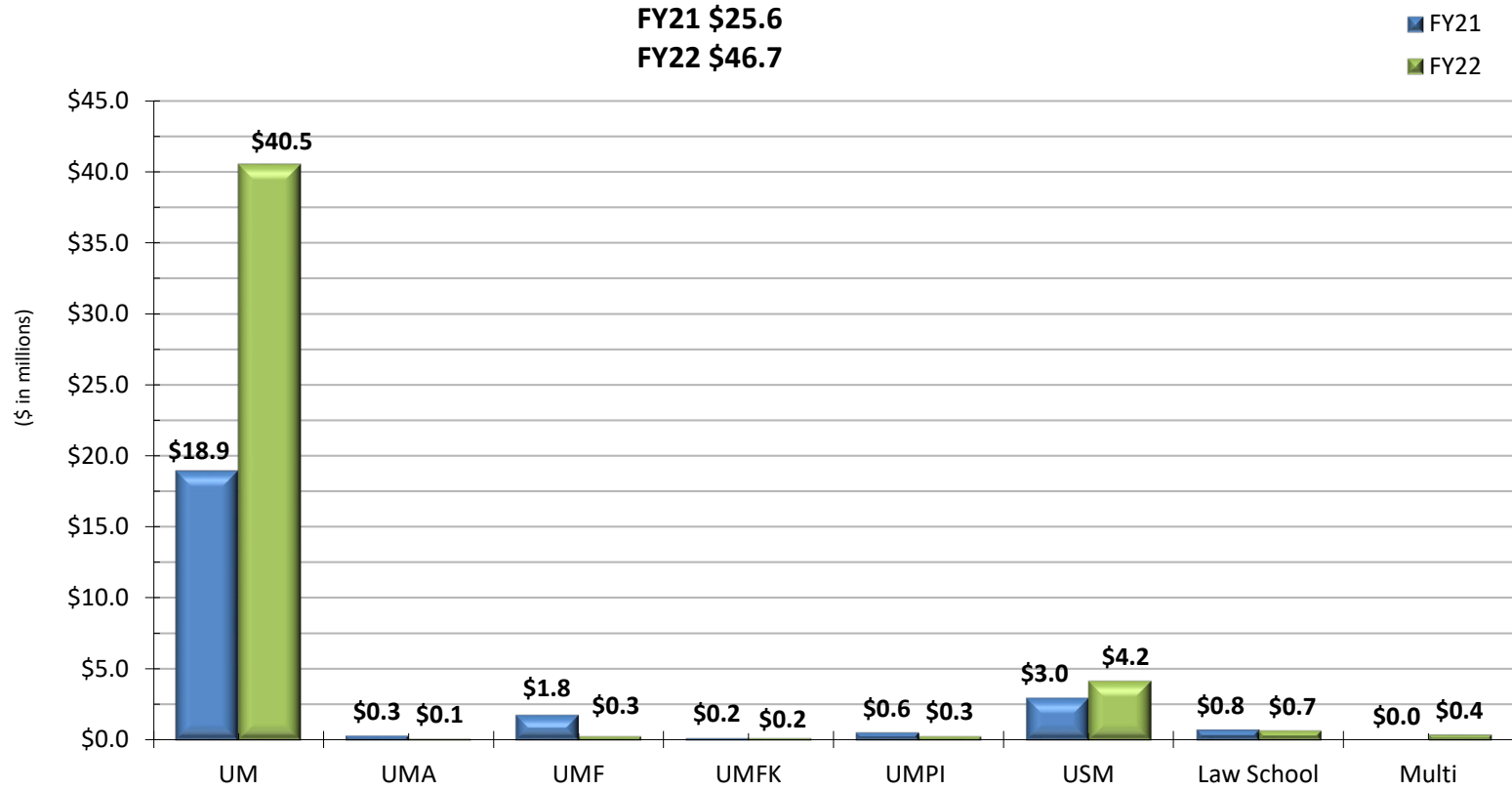


- 99% of gifts received in FY22 were restricted by the donor for a particular purpose.
- 53% of total FY22 gifts were restricted for facilities.
- 19% of total FY22 gifts were restricted for student aid.

10.1

UMS Gifts Received by Campus

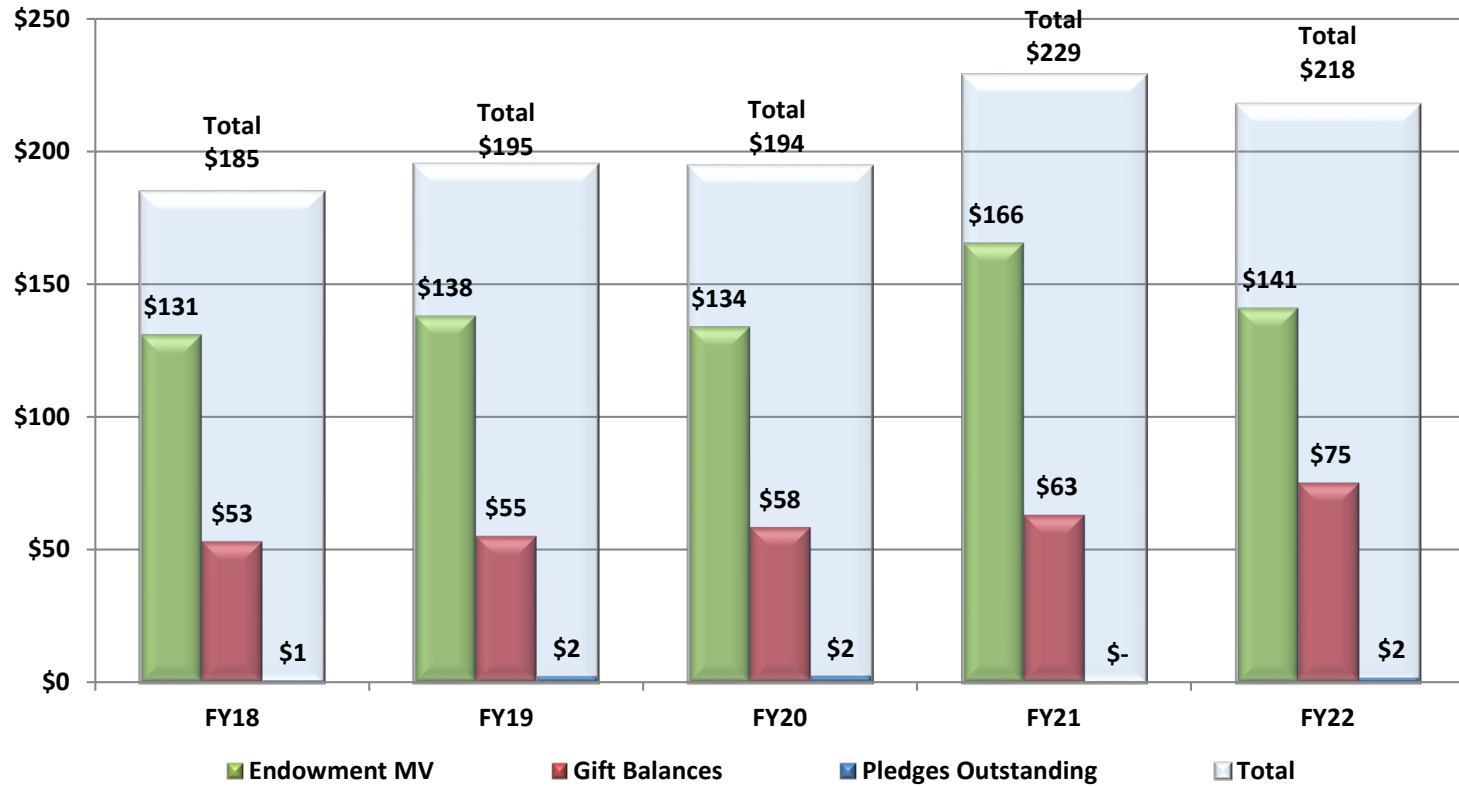
(\$ in millions)



10.1

UMS Gift Balances as of June 30th

(\$ in millions)

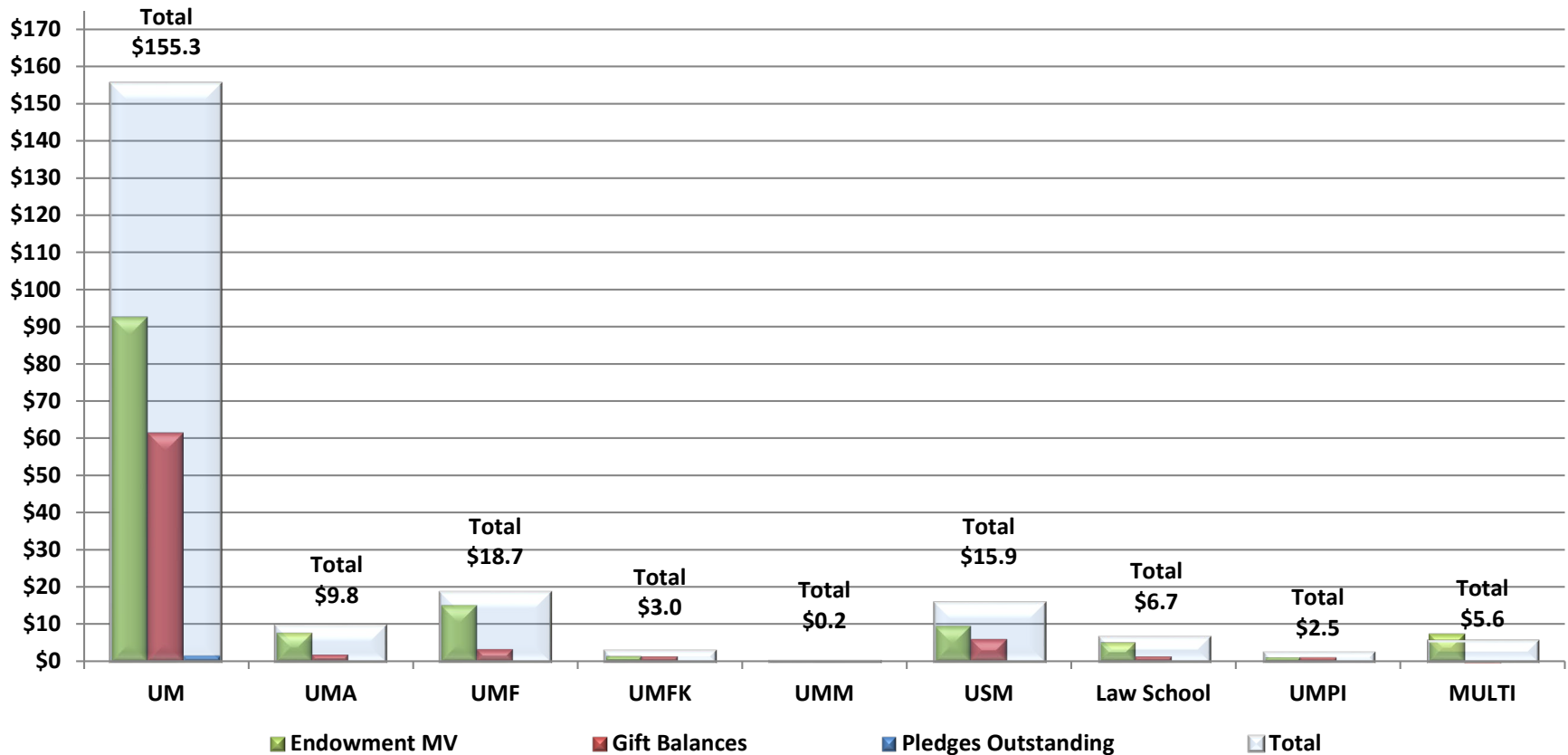


10.1

UMS Gift Balances by Campus as of June 30, 2022

(\$ in millions)

Total \$217.7



10.1

Part II: UMS Affiliated Fund Raising Organizations

10.1

Gifts Received by UMS Affiliated Organizations

(\$ in thousands)

	<u>FY21</u>	<u>FY22</u>
UM Affiliates		
UM Alumni Association	\$ 225	\$ 310
UM Foundation	19,372	19,659
UM Pulp & Paper Foundation	486	507
Tanglewood 4-H Camp & Learning Center	36	18
Maine 4-H Foundation	453	919
UMFK Affiliates		
UMFK Alumni Association	-	-
UMFK Foundation	56	170
John L. Martin Scholarship Fund	-	-
UMM Alumni Association		
	-	-
Foundation of the University at Presque Isle		
	115	91
USM Affiliates		
USM Foundation	12,521	10,162
UM Law School Foundation	795	1,265
Osher Map Library Foundation	-	10
Associates of the Osher Map Library	52	21
UMS Affiliates		
Maine Center Ventures	1,382	288
Total Gifts Received by Affiliated Organizations		
	\$35,493	\$33,420

31% of FY21 gifts and 36% of FY22 gifts were from Alumni

4% of FY21 gifts and FY22 gifts were from Alumni

10.1

Endowment Market Values for UMS Affiliated Organizations

(\$ in millions)

	FY21	FY22
UM Affiliates		
UM Foundation ^a	\$ 310.1	\$ 286.8
UM Pulp & Paper Foundation	\$ 24.5	\$ 27.5
Tanglewood 4-H Camp & Learning Center	\$ 0.4	\$ 0.4
Maine 4-H Foundation	\$ 4.3	\$ 4.8
UMFK Affiliates		
UMFK Foundation ^{b *}	\$ 3.2	\$ 3.0
John L. Martin Scholarship Fund [*]	\$ 0.1	\$ 0.1
Foundation of the University at Presque Isle	\$ 7.5	\$ 6.6
USM Affiliates		
USM Foundation [*]	\$ 31.9	\$ 27.0
UM Law School Foundation [*]	\$ 6.3	\$ 4.7
USM Osher Map Library Foundation	\$ -	\$ 6.0
Associates of the Osher Map Library	\$ 3.6	\$ 3.1
Total Endowment Market Value for Affiliated Organizations	\$ 391.9	\$ 370.0

^a UM Foundation totals include UM Alumni Association endowments.

^b UMFk Foundation totals include UMFk Alumni Association endowments.

^{*} Endowment included in the UMS Managed Investment Pool.

10.1

Part III: Capital Campaigns

10.1

Status of Capital Campaigns as of June 30, 2022

(\$ in millions)

UM Comprehensive Campaign

UM is currently drafting their next capital campaign and is in the process of hiring fundraising counsel and setting campaign goals to be presented to the Board of Trustees for approval at a later date. Since the 6/30/2020 end date of their last capital campaign, UM and its affiliates have raised \$77 million of which \$8.9 million is in the form of outstanding pledges.

10.1

Status of Capital Campaigns as of June 30, 2022 - continued

Great University Campaign (formerly the Next Generation Comprehensive Campaign) (USM)

The University of Southern Maine (USM) obtained approval at the May 11, 2017, UMS Board of Trustees meeting to undertake an anticipated \$80 million comprehensive fundraising campaign. In 2019, the USM Foundation (the “Foundation”) engaged campaign counsel, CCS Fundraising, to conduct a campaign feasibility, planning and design study. In 2020, informed by study results, the Foundation’s Board of Directors unanimously voted to move forward with the campaign, renamed the “Great University Campaign”—a multi-year comprehensive fundraising effort with a working goal of \$46 million formally launched on July 1, 2020. The overall campaign goal was modified from an \$80 million fundraising goal to \$46 million in response to the aforementioned campaign planning study which assessed the Foundation’s fundraising capacity, including donor interest and inclination to give in a variety of areas.

In fall 2021, the Foundation Board of Directors voted to accelerate the timeline of the campaign to three years, with a projected end date of June 30, 2023.

As of June 30, 2022, over \$35.5 million (or 77%) of the \$46 million goal has been raised.

10.1

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: FY2022 Sole-Source Procurement Report

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

Section 700 Financial Affairs

UNIFIED ACCREDITATION CONNECTION:

BACKGROUND:

While competitive procurement is the University of Maine System standard, there are legitimate reasons allowed by State law and corresponding university policy for waiving the competitive process in unique, well-defined and documented circumstances. Pursuant to State law, UMS and all other quasi-independent state entities must report annually by Feb. 1 to the Maine Legislature on any procurements exceeding \$10,000 for which the competitive process was waived, as well as all contributions greater than \$1,000. As part of a continued commitment to public accountability and transparency, in 2019, the System improved the process by which it compiles this report to include a brief description of each purchase as well as review of the report by UMS Trustees before submission to the Legislature.

In FY22, the total value of System procurements exceeding \$10,000 for which the competitive process was waived and thus are listed in the report to the Legislature was \$28.5 million, a 23% decrease from the previous year. More than one-third (\$10.3 million) was a result of the vendor being named specifically in a grant award, typically from a federal agency.

The meeting agenda does not include a presentation of this report; however, Gretchen Catlin will be available to address any questions Committee members may have regarding the report's contents.

1/05/2023



11.1

SOLE SOURCE PROCUREMENT & CONTRIBUTIONS REPORT

FEBRUARY 1, 2023

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**This report is submitted pursuant to 5 M.R.S.A., Section 12023 and reflects the period from July 1, 2021 to June 30, 2022 (FY22). Please direct questions including requests for additional information to Samantha Warren, University of Maine System Director of Government and Community Relations, at samantha.warren@maine.edu.*

COMPETITIVE UMS STRATEGIC PROCUREMENT

The University of Maine System (UMS or University) has an estimated \$1.5 billion annual total statewide economic impact, a \$7.50 return for every dollar of State appropriation. Beyond supporting nearly 20,000 campus and community jobs and an estimated \$144 million in student and visitor local spending annually, UMS positively impacts the Maine economy by purchasing goods and services from Maine businesses or those that employ many Mainers.

As it conducts business, UMS is committed to ensuring the greatest value for tuition and taxpayers, and transparency and fairness for our vendors. While competitive procurement is our standard, there are legitimate reasons allowed by State law and corresponding university policy for waiving this competitive process in unique, well-defined and documented circumstances. A waiver from the competitive bid process can never be justified based on price, preference or urgency created by inadequate planning, per policy adopted by the UMS Board of Trustees in 2012.

In FY22, the System invested \$86.7 million directly in the Maine economy through the local purchasing of goods and services. In that same fiscal year, the total value of System procurements exceeding \$10,000 for which the competitive process was waived and thus are listed in this report was \$28.5 million, a 23% decrease from the previous year. More than one-third (\$10.3 million) was a result of the vendor being named specifically in a grant award, typically from a federal agency. For example, the Maine Forest Products Council is specifically identified as a sub-recipient in several federal grants received by the University of Maine from the US. Economic Development Administration during this reporting period. Funding supports our shared efforts (FOR/Maine) to Maine adapt its forest economy and dependent rural jobs in response to changing markets, technologies and climate.

About one-third (\$9.8 million) of the total waiver value in FY22 reflects true sole source purchases and many of those purchases were funded by external grants and contracts, as opposed to State appropriation. Most notably, as a world-class R1 research institution, UMaine has unique procurement needs, including for specialized equipment or services that may only be available from one vendor. For example, UMaine researchers need to regularly replace fish monitoring tags and only one vendor can provide new tags and data receivers that are compatible with the existing units.

The FY22 procurements exceeding \$10,000 for which the competitive process was waived are listed in this report in the following categories below.

- Sole Source Purchases
- Goods and/or Services Specifically Named In Grant Awards
- Emergency Purchases
- Library Books or Periodicals

Additionally, as required by 5 M.R.S.A., Section 12023, this report includes a list of all FY22 contributions greater than \$1,000 made by the System or its universities. In most cases, the contributions were membership dues for accreditation or affiliate associations like the New England Association of Schools & Colleges, civic organizations and athletic conferences.

As part of a commitment to public accountability and transparency, in 2019 the UMS updated the process by which it compiles this report to include a brief description of sole source, emergency and named-in-grant procurements as well as all contributions above \$1,000. Our internal process additionally now includes a review of this report by our Board of Trustees and its Finance, Facilities and Technology Committee, currently chaired by the Hon. Roger Katz, before submission to the Legislature.

FY22 WAIVERED PROCUREMENTS OVER \$10,000

SOLE SOURCE PURCHASES

Sole source purchases are made when goods or services, because of unique characteristics or other reasons, are available from only one source. In cases where an alternate supplier for a similar product or service cannot be identified, the requestor must document that a good faith effort has been made in seeking other sources. A listing of the unique technical specifications required of the product and the companies that were contacted in the search for alternate sources is necessary. Sole source justification cannot be based on quality, price, mere preference or urgency created by inadequate planning or untimely action by the requestor, such as the imminent expiration of a contract that could have been foreseen.

11.1

Supplier Name	Amount	Campus	Summary Notes
CYBERBIT INC	760,000	UMS	Three-year extension of subscription/licensing agreement for a cybersecurity simulation and training environment for which this supplier is the only option with a full range of capabilities and tools that support and provide for the needs of an academic-focused cybersecurity program. This supports a strategic focus area for student recruitment at UMA and provides a platform upon which services and real-world experience can be extended to Maine employers.
COMPOSITE ALLIANCE CORP	756,200	UM	Grant-funded modification of existing, competitively bid proprietary structural thermoplastic molding system to provide increased cooling capacity at the UMaine Advanced Structures and Composites Center.

Supplier Name	Amount	Campus	Summary Notes
ADDITIVE ENGINEERING SOLUTIONS LLC	375,000	UM	Grant-funded equipment purchase of an extruder system to support large scale additive manufacturing research in support of the Oak Ridge National Laboratory (ORNL) Hub and Spoke program, with a focus on developing biobased materials from wood for use as feedstock for large area 3D printing. This extruder system is the same as the one at ORNL, thus allowing for more accurate modeling by decreasing the variables and to provide direct correlation to data acquired at ORNL on similar materials. This is the only supply with this extrusion system.
POWERSCHOOL GRP LLC	300,000	UM	One of multiple suppliers used for recruitment inquiry generation. Each has its own unique platform for connecting colleges with students and families and each reaching a very high number of potential students.
UNIV OF CONNECTICUT	300,000	UM	Ship Charter expenses for the Research Vessel "RV Connecticut" for services in support of the NOAA and National Weather Service buoy project in the Gulf of Maine. Services are for buoys service, emergency retrieval of broken/loose buoys, data retrieval and research equipment, and includes a working crew of both technical and marine vessel staff. This vessel is the only viable solution within proximity of the Gulf of Maine. Other vessels within proximity are oversized and not cost effective or are UNOL ships reserved for NSF research projects, for which we do not qualify.
LEISTRITZ EXTRUSION	229,312	UM	Purchase of lab-scale nano-cellulose-based extruder in support of the UMaine Advanced Structures and Composites Center research into use of wood-based composite manufacturing for multiple industries. This extruder was selected based on its ability to produce structurally stronger components and its ability to be scaled up to industrial scale after conducting scientific trials on three potential platforms.

Supplier Name	Amount	Campus	Summary Notes
GOETTERT INC	224,133	UM	Purchase of capillary rheometer, a device used to measure liquid and solids flow. Research being done at UMaine Advance Structures and Composites Center and critical to many projects involving polymer processing, used in manufacturing areas such as chemical, food and cosmetics. Considered alternative rheometers but ultimately selected this one based on its unique characteristics.
HERAEUS INC	210,000	UM	Purchase of arc lamp heating system to mount onto an automated fiber placement (AFP) module being made by Ingersoll Machine Tools specifically for compatibility with existing Ingersoll MasterPrint 3D equipment. These two pieces of equipment (the AFP and arch heating system) are custom made to work with each other. This additional equipment will allow UMaine ASCC to research and extend the capabilities of the additive manufacturing technologies to create opportunities for new industries and jobs in Maine.
STRATEGIC MARKETING INNOVATIONS INC	155,000	UM	Continuation of existing education outreach to federal executive branch agencies in support of UMaine's research programs.
A-VIBE	150,000	UM	Grant-funded contract for continued maintenance of the Inter-Chem-Net website which allows collaboration between university and K12 chemistry students as well as collaborative sharing of lab diagnostic equipment across the Inter-Chem-Net user population, for which the supplier is uniquely qualified.
COMPOSITE ALLIANCE CORP	138,100	UM	Grant-funded upgrades and press modifications to the Automated Composites Manufacturing Cell (robotic transport equipment) in support of the US Army Ground Vehicle Systems research for which the supplier is the original equipment manufacturer. Original equipment was purchased via a competitive process.

Supplier Name	Amount	Campus	Summary Notes
INGERSOLL MACHINE TOOLS INC	126,000	UM	Grant-funded purchase to design and engineer an arc lamp heating system and to add it to an automated fiber placement (AFP) module currently under construction with this supplier. The finished piece of equipment will be used in conjunction with the MasterPrint 3D printer, also manufactured by this supplier. Having the arc lamp design worked into the current module during the manufacturing process is less expensive than retrofitting the module later.
JOHNSON CONTROLS INC	114,558	UM	New HVAC system controls being installed to renovated Neville Hall where compatibility with existing system is required.
INGERSOLL MACHINE TOOLS INC	108,610	UM	Purchase of replacement extruder to replace one that failed, for which the supplier is the manufacturer.
CIMETRICS INC	107,920	UM	Contract for energy efficiency monitoring and reporting services for building management systems at UM. Cimetrics designed the data analysis platform based on the Analytika data visualization system. A competitive market analysis was completed at the end of the prior contract period and the only other companies who could provide Analytika services are not able to provide needed on-site services economically.
IMPLEMENTATION GRP	105,000	UM	Purchase of consulting services to provide grant proposal development for which the supplier has unique expertise. Proposal is for funding specific to NSF EPSCoR Track-One. The supplier is the leading provider in the US for developing proposals for this specific topic. Previously there was one other supplier (AAAS) but they are no longer involved in supporting this topic.

Supplier Name	Amount	Campus	Summary Notes
HILLS INC	98,479	UM	Purchase to upgrade Filament Extruder equipment used on the R&D textile projects for the US Army. Upgrades will improve equipment capability to product continuous fully drawn yarn filaments. Supplier is the manufacturer of the equipment and the only source for upgrades.
ALICE JAMES BOOKS	98,281	UMF	This is a grant provided by UMF to Alice James Books (AJB). AJB operates a non-profit press on campus (Poetry press) and provides 14 student internships/apprenticeships, especially for Creative Writing majors.
HONEYWELL INTRNTL INC	98,280	UM	Grant-funded services of proprietary equipment in support and expansion of the Process Development Center, the only cellulose nanofiber pilot plant in the world that is accessible to the public for research trials. Research supports commercial development of this technology. This expansion will improve efficiencies and increase sustainability of Maine's forest resources.
GAUMARD SCIENTIFIC CO INC	96,545	USM	Purchase of pediatric mannequins which have a unique feature of being able to express various emotional states, important in pediatric assessment and treatment. Other suppliers' mannequins do not offer this feature.
TRILIONQUALITY SYSTS LLC	84,300	UM	Grant-funded purchase of ARAMIS optical motion camera equipment for use in Ultra Heavy-Lift Amphibious Connector (UHAC) Advance Mobility research. Equipment allows determining the engineering characteristics of materials tested in the Advance Structure and Composites Center. Compatibility with existing ARAMIS systems will allow continuity and validity of existing test data.
TASERMIUT S GREENLAND EXPEDITIONS APS	82,311	UM	Grant-funded comprehensive travel and logistics services in Greenland for summer field research and training for which the supplier is the sole option for providing workshop planning and execution including local travel among four diverse geographic sites in Greenland.

Supplier Name	Amount	Campus	Summary Notes
BLACKBOARD INC	82,000	UMA	Purchase of software to enable faculty and content creators in Brightspace to make digital course content more accessible. After a market search, it was determined that no other suppliers with a similar product could be found.
CHEMGLASS LIFE SCIENCES LLC	80,558	UM	Grant-funded purchase of equipment to Oak Ridge National Lab project, research in exploration of the properties of cellulosic materials derived from wood with sustainable polymers for additive manufacturing. Unique design of the equipment (specifically the 100-liter glass reactor). Multiple inquiries into other manufacturers proved unsuccessful.
SAGENCE	80,000	UMPI	Software platform of digital curriculum for competency based Bachelor of Business Administration program. Annual license fee for which no other supplier has been identified for regional accreditation.
POWERSCHOOL GRP LLC	78,880	USM	One of multiple suppliers used for recruitment inquiry generation. Each has its own unique platform for connecting colleges with students and families and each reaching a very high number of potential students. The other suppliers we also utilize are NICHE and Cappex. None are technically deficient and the universities uses them all to gain the best results.
DVSPORT INC	78,000	UM	Software cloud license that allows UMaine football program to perform all game-day and practice film breakdown and to meet Colonial Athletic Association (CAA) requirements to provide game film to visiting teams. Additionally, the software allows for instant replay as specified by CAA. This software platform is selected by CAA. All schools in the CAA need to be compatible in order to meet the requirements of CAA.
JUGGERBOT 3D LLC	72,250	UM	Extruder upgrade purchase which will expand the capability of the 3D printing processes, for which compatibility is required. Supplier is the original equipment manufacturer.

11.1

Supplier Name	Amount	Campus	Summary Notes
MIRACLE METHOD OF CENTRAL NH AND NRTN MA	67,944	UMF	Facilities services purchase for the application of epoxy spray coating in restrooms. Materials used are proprietary and only available from this one supplier. UMF standardizing on this material across campus began in 2017 and was recently put out to bid with only one response.
JABIL INC	66,563	UM	Grant-funded purchase for compounding services tailored to drying and compounding wood flour and incorporating it into large quantities of amorphous polylactic acid (PLA). The material produced from this purchase supports the Oak Ridge National Laboratory Hub and Spoke program focused on developing biobased materials from wood for use as feedstock for large area 3D printing. Supplier has successfully done R&D compounded material and has drying capability that our other compounders do not have.
NEXIGHT GRP	65,880	UM	Grant-funded purchase of workshop planning, facilitation, and reporting for which the supplier is the only one that can provide program continuity and for which the project sponsor, Oak Ridge National Laboratory, requested. Supplier created the original roadmap that we continue to build upon and which brings leading academic and industry minds to UMaine to collaborate and inform the future path of the project. The Oak Ridge National Laboratory project's primary goal is to develop lower cost bio-derived materials and higher throughput processes with improved process control that lowers the overall costs to where it is competitive as a scaled high-volume manufacturing technology.

11.1

Supplier Name	Amount	Campus	Summary Notes
KEYENCE CORP OF AMERICA	65,223	UM	Grant-funded purchase of specialized instrument to measure the size and shape of beads of composite material laid down during 3D printing at the Advanced Structures and Composites Center (ASCC) at UMaine. This instrument matches that already in use by research collaborator Oak Ridge National Labs and at the ASCC, and its selection is essential to maintain research continuity across the research partners. Multiple industries are supported by this research, including forest products, construction, marine, transportation and energy.
TECHMER PM LLC	64,600	UM	Grant-funded purchase of wood fiber-based substrate material for use in the UMaine Advanced Structures and Composites Center for research that supports several Maine industries including boat building and transportation infrastructure. Substrate material must match materials previously used in small scale 3D printing tests in order to ensure research data integrity. This is the only supplier that makes this material.
PARR INSTRUMENT CO	63,560	UM	Grant-funded purchase of equipment related to carbon nanofiber research being conducted by the Frontier Institute for Research in Sensor Technologies. Equipment is unique in its ability to stir the reactant inside the high-pressure chamber. An exhaustive search was done to find alternative suppliers and none were found.
JOHNSON CONTROLS INC	62,000	UM	Maintenance services for existing Johnson building control systems, a proprietary system for which only the manufacturer can perform maintenance.
MIRACLE METHOD OF CENTRAL NH AND NRTH MA	61,826	UMF	Facilities services purchase for the application of epoxy spray coating in restrooms. Materials used are proprietary and only available from this one supplier. UMF standardizing on this material across campus began in 2017 and was recently put out to bid with only one response.

Supplier Name	Amount	Campus	Summary Notes
ANTON PAAR USA INC	61,561	USM	Purchase of equipment used at USM's Quality Control Collaboratory (QCC) that will expand present capabilities for which compatibility with existing equipment is required. QCC, in partnership with the Maine Brewers' Guild, provides laboratory analysis and testing for the craft beverage industry.
MAINSTAY	60,000	UMA	Software platform purchase to support increased enrollment by removing barriers to the process. This software offers unique characteristics not available from other platforms. AI-powered conversational platform for higher ed that uses behavioral science, neuro-linguistic programming and artificial intelligence blend.
ANTHONY G JAMES JR	58,500	UMA	Consulting services to provide diversity, equity and inclusion (DEI) services to UMA personnel and DEI Council. The aim is to assist in the development of campus-wide DEI strategic goals and to support DEI in the classroom to those faculty interested in participating. Supports goals in UMA's five-year strategic plan and DEI structure. Supplier originally selected via a review and nomination process for a Libra Professorship but was unable to accept a full professorship and so the duties were reduced to a consulting contract.
COLLEGE BOARD	56,272	UM	Purchase of student names for recruiting purposes. Supplier is a not-for profit membership organization and sole owner of multiple exams, publication, and software related to "Official College Board Offerings," which include things such as SAT Online Course/Tests, Advanced Placement Program, Accuplacer, etc.

11.1

Supplier Name	Amount	Campus	Summary Notes
NATUREWORKS LLC	56,265	UM	Purchase of biobased material (PLA) for 3D printing of a house in conjunction with Oak Ridge Hub and Spoke program which is developing applications and performing R&D to demonstrate the use of forest-based materials in large area 3D printing, thus creating new markets for the Maine forest product industry. Supplier is the only US based supplier of large amounts of PLA and the only supplier of amorphous PLA.
MIRACLE METHOD OF CENTRAL NH AND NRTN MA	56,157	UMF	Facilities services purchase for the application of epoxy spray coating in restrooms. Materials used are proprietary and only available from this one supplier. UMF standardizing on this material across campus began in 2017 and was recently put out to bid with only one response.
JABIL INC	53,250	UM	Grant-funded purchase for compounding services tailored to drying and compounding wood flour and incorporating it into large quantities of amorphous polylactic acid (PLA). The material produced from this purchase supports the Oak Ridge National Laboratory Hub and Spoke program focused on developing biobased materials from wood for use as feedstock for large area 3D printing. Supplier has successfully done R&D compounded material and has drying capability that our other compounders do not have.
TELEDYNE INSTRUMENTS INC	52,894	UM	Purchase and installation of additional components to equipment, by original manufacturer. Equipment in the Gulf of Maine to listen for the presence of whales and report back in near-real time via satellite. This information helps inform public policy decision on the fishery, particularly the endangered Northern Right Whale. Original equipment manufacturer providing and installing additional component.

Supplier Name	Amount	Campus	Summary Notes
CW BRABENDER INSTRUMENTS INC	51,107	UM	Purchase of components to upgrade existing thermoplastic processing equipment for which compatibility is required and for which the supplier is the manufacturer. Equipment supports the Oak Ridge National Laboratory Hub and Spoke Program. Equipment is an extrusion system to create novel composite feedstocks for large scale additive manufacturing utilizing nanocellulose derived from pulp produced within the state.
TSS MICROSCOPY LLC	49,125	UM	Transport, assembly, and commissioning of transmission electron microscope (TEM) for which the supplier is the only provider we could find that offers service for TEM in the US.
ADRIAN CCAHUANA CONDORI	47,757	UM	Grant-funded services for deep field logistical support for the 2022 Inter-American Expedition to the Quelccaya Ice Cap where UMaine's Climate Change Institute will be conducting a two-month field research program. The project combines transformative advances in ice core sampling technology, cyberinfrastructure, as well as climate modeling and analysis. The supplier is the only logistical provider in Peru who has extensive experience in providing deep field support services.
VAN ESSEN INSTRUMENTS BV	47,308	UM	Grant-funded purchase of 24 seawater profilers for use in Coastal Engineering research. Profilers measure salinity, temperature and depth in the Penobscot Estuary. Requirement is for one instrument that can measure all three components (salinity, temperature, depth) and provide real-time data via telemetry which is required for the educational component of the grant. Other suppliers' instruments do not provide an all-in-one solution.
WOLTERS KLUWER HEALTH	46,800	UMA	Comprehensive nursing program education resources and software that directly integrate into the UMA nursing simulation labs as part of total immersive solution. Other options lack the direct seamless integration with the lab.

Supplier Name	Amount	Campus	Summary Notes
NEW ENGLAND APPLIED PRODUCTS INC	46,287	UMA	Purchase of energy recovery unit for existing heating/cooling system for which the manufacturer provides protected territory to its dealers.
JABIL INC	46,000	UM	Grant-funded purchase for compounding services tailored to drying and compounding wood flour and incorporating it into large quantities of amorphous polylactic acid (PLA). The material produced from this purchase supports the Oak Ridge National Laboratory Hub and Spoke program focused on developing biobased materials from wood for use as feedstock for large area 3D printing. Supplier has successfully done R&D compounded material and has drying capability that our other compounders do not have.
AXON ENTERPRISE INC	45,827	UM	Purchase of proprietary police body cameras and software for which compatibility with existing equipment is required.
PETER SPEECHES	45,000	UM	Service contract for marine vessel to deploy pop-up satellite archival tags on Atlantic bluefin tuna for data recording purposes. Supports Atlantic bluefin tuna research and thus the commercial and recreational fishing business along the coast of Maine. Supplier selected based on previous experience and interaction with numerous other boats along the East Coast doing the same or similar work. Observations of facilities, captains, crews, and proper handling of the fish, as well as time lapse from having the fish out of the water, tagged, and back into the water in a safe manner has determined this supplier has the best to offer for such complicated work.

Supplier Name	Amount	Campus	Summary Notes
INSTRON A DIV OF IL TOOL WORKS INC	42,162	UM	Equipment purchase of an environmental chamber for which compatibility with existing equipment is required. Supplier is the OEM of existing equipment. This purchase supports the Oak Ridge National Laboratory biobased, wood-plastic composite application in large scale 3D printing and supports the Maine economy by creating new markets for Maine forest products.
E3 NEW ENGLAND SALES AND SVC	41,835	UM	Replacement of outdated diagnostic equipment used to provide services in the Audiology Clinic. The clinic provides services such as hearing evaluations, hearing aid evaluations and consultations to individuals across the lifespan throughout the state (approx. 750 people) each year. Evaluation of manufacturers determined which brands/system was required (features and ease of use) and the supplier has protected territory with the manufacturers for our area.
COLLEGE BOARD	41,277	USM	Purchase of student names used for recruiting purposes. Supplier is the sole owner/provider of the specific programs, examinations, publications and software, collectively referred to as "Official College Board Offerings." Supplier is a not-for-profit membership organization.
PARR INSTRUMENT CO	41,022	UM	Purchase of a bench-top reactor system for ongoing research into the use of forest bio-bi-products to produce bio-fuels providing replacements for fossil fuels. This equipment provides for a third reactor and is directly compatible with and matches the existing equipment.
BRIGGS EQUIP SALES INC	40,200	UM	Original equipment replacement of air conditioning condensing coil in existing system at Jenness Hall at UMaine. Direct replacement of components in installed HVAC system.

Supplier Name	Amount	Campus	Summary Notes
ACUE	40,000	USM	Purchase of faculty development program/training for which the supplier, Association of College & University Educators is the sole provider. Their program is unique in that it provides assessment on key metrics that other programs do not offer, combines research-based approaches, and has name recognition, all key factors that align with our academic vision.
WILDLIFE COMPUTERS INC	39,500	UM	Purchase of electronic tags to track the movements and migration of Atlantic bluefin tuna research. This directly supports the commercial and recreational fishery along the coast of Maine. Tags are the only ones found that put the researcher in direct control before and after the tags are applied to the animal. These tags also have the highest successful data reporting track record and lowest failure rate in the industry. These are the same tags purchased in the past for this research so continuity is also being realized.
COBSCOOK INST	39,101	UM	Lodging and food for students and faculty for a two-week field-based course in Wildlife Ecology – most proximate (least driving time possible) to Moosehorn National Wildlife Refuge where course takes place.
WOLFRAM RESEARCH INC	39,020	UMS	Mathematics software platform licenses used across multiple campuses. Used by engineering, physics and mathematics and is built into the curriculum. A computational and visualization tool that is used for symbolic and numerical computations, graphics and visualization. No other software tools that do this exist.

11.1

Supplier Name	Amount	Campus	Summary Notes
INFORMATICA LLC	38,588	UMS	Purchase of two-year contract extension for cloud-based integration software system which provides critical link between the university's Student Information System and its Customer Relationship Management (CRM) system, which is currently transitioning from one platform to another more robust platform which will ultimately allow the University to more effectively and efficiently recruit and retain students. This integration toolset is licensed in the soon to be legacy CRM and retaining the integration platform reduces overall costs and risks during the transition to the new CRM platform, for which this integration software will continue to be necessary.
NATUREWORKS LLC	38,363	UM	Purchase of proprietary biobased thermoplastic polymer pellets used in 3D printing. The formulation was specifically developed for the nascent large area printing to combat warping and cracking which was happening with the common form of PLA pellets. Supplier is the only US based supplier of large amounts of PLA and only one with amorphous PLA.
DYNAMIC AVIATION GRP INC	36,631	UM	Purchase of services including flight operations, aerial survey, and aircraft crew for airborne collection of lidar and high-resolution imagery for mapping forest biomass and health. Research supports activities under three NASA sponsored projects currently operating at UMaine. The supplier is the sole aircraft operations contractor to fly G-LiHT campaigns around the world. Their aircraft are designed specifically to fit NASA Goddard's Lidar-Hyperspectral Thermal G-LiHT imaging system.

11.1

Supplier Name	Amount	Campus	Summary Notes
EXPLORANCE CORP	36,375	UM	Purchase of electronic course evaluation software platform which offers integration with student information systems combined with the ability to administer paper and electronic forms of evaluation simultaneously, thus providing a fully automated course evaluation process, including capturing of student feedback. Attempts to locate suppliers capable of meeting all requirements of integrating with our other systems were unsuccessful.
TRANE	36,184	UM	Purchase of remanufactured compressor for replacement on TRANE equipment being purchased through the manufacturer's local commercial office.
HU FRIEDY MFG CO LLC	35,109	UMA	Standardized dental hygiene and instrument units for incoming dental students. Proprietary Everedge technology reduces the need to sharpen, thus improving patient safety. UMA dental programs have standardized on this technology and compatibility of instruments and equipment between faculty and students is essential.
RESTORATIVE JUSTICE PROJECT MIDCOAST	35,100	UM	Contract for professional development programming/training at the UMaine Hutchinson Center. Supplier is the only option in Maine to offer this specialized topic (Restorative Justice). Hutchinson Center website continually seeks suppliers to offer training proposals for all professional development topics. To date, no others have come forward to offer a Restorative Justice Certificate Program.
CENTRAL EQUIPMENT CO	34,980	UM	Large purchase of building signs for which strict specifications are required and continuity with existing standardization of signage on campus and for which supplier has provided in the past.

11.1

Supplier Name	Amount	Campus	Summary Notes
MTS SYSTEMS CORP	34,752	UM	Calibration of test platform by original equipment manufacturer in order to meet quality standards set forth by ISO in support of multiple current and future research projects supporting multiple industries by the UMaine Advanced Structures and Composites Center.
ZOLL MEDICAL CORP	33,139	UM	Purchase of defibrillator for use on ambulance service. Unique design in that it supports double sequential defibrillation (DSD). Other suppliers' models that were considered did not support DSD. DSD is recommended by Maine EMS, in accordance with AHA guidance. Confirmed with Manufacturer of SS
COMPOUNDING SOLUTIONS LLC	32,700	UM	MTI grant-funded purchase of pre-mix materials and testing for use in the large-scale 3D printing at the UMaine Advanced Structures and Composites Center. Supplier is considered a cluster partner in the MTI-backed proposal to establish a Maine-based supply chain for bio-filled materials for use in the 3D printer. Overall research supports Maine industries including boat building and transportation infrastructure.
ELEMENTAL SCIENTIFIC INC	31,995	UM	Repair of equipment by original manufacturer. No other authorized repair centers.
EVERGREEN INNOVATIONS LLC	31,700	UM	Grant-funded consulting to provide training for UMaine Advanced Structures and Composites Center employees on the LabVIEW system, a graphical programming environment engineers use to develop automated research, validation and production test systems. Training is in support of the DOE Synthetic Rope Mooring Project. Supplier uniquely qualified because of previous support of this facility and it's specific equipment under a separate DOE subaward grant (Focal). The Focal turbine equipment is being used in this subsequent mooring project. The use of another LabView contractor would require reverse engineering of the turbine control logic.

Supplier Name	Amount	Campus	Summary Notes
NEARSPACE LAUNCH INC	31,625	UM	Grant-funded purchase of components used to assemble a small satellite for launch into space as part of Maine's First CubeSat program for which compatibility with existing equipment is required.
TOWN OF GORHAM	31,500	USM	Winter sand/salt purchase through Town of Gorham. No adequate storage facility to hold the entire amount needed for the winter. The town of Gorham allows quick, easy, as -needed pickup to meet our needs. Town of Gorham competitively bids their contracts and charges USM an upcharge for the convenience of this.
NATUREWORKS LLC	30,690	UM	Purchase of proprietary biobased thermoplastic polymer pellets used in 3D printing. The formulation was specifically developed for the nascent large area printing to combat warping and cracking which was happening with the common form of PLA pellets. Supplier is the only US based supplier of large amounts of PLA and only one with amorphous PLA.
FREIGHTLINER OF MAINE INC	30,000	UM	Maintenance and repairs to trash compactor for which the supplier is the manufacturer.
YSI INC	30,000	UM	Purchase of replacement visibility sensors attached to ocean observation buoy in the Gulf of Maine for which compatibility is required and are sold only through the manufacturer.
MF ATHLETIC & PERFORM BETTER	29,949	UM	Purchase of specialized pole-vaulting equipment with proprietary design; center mat constructed as one piece which provides better landing platform for athletes and requires less maintenance from staff; other constructions are made up of multiple mats which fall out of place and require constant realignment. Patent pending design is only available from one supplier.

Supplier Name	Amount	Campus	Summary Notes
FULL MOON COMM INC	29,850	USM	Three-year copywriting services for USM Office of Marketing and Brand Management for which continuity of service is essential in order to maximize quality and value for these services while maintaining the developed style and voice needed to extend the university's brand message.
INGERSOLL MACHINE TOOLS INC	29,700	UM	Grant-funded installation of safety-related enhancements to large format 3D printer at the UMaine Advanced Structures and Composites Center. Original equipment provider must install this upgrade and enhancement.
ATI INDUSTRIAL AUTOMATION	28,625	UM	Purchase of instrumentation to be used to build a scale-model for testing in the wind/wave basin. Research supports the offshore wind research being conducted by UMaine Advanced Structures and Composites Center. Instrumentation is unique and must be compatible with existing equipment already in use for this project. Attempts to find suppliers able to meet these requirements was unsuccessful as the product is unique and proprietary.
NATIONAL COALITION BUILDING INST	28,000	USM	Speaking/training engagement leading workshops and demonstrating principles and practices for Diversity, Equity and Inclusion models. This training is specific to this supplier and they were selected based on the unique qualifications.
NORTEK USA LLC	27,676	UM	Purchase of Acoustic Doppler Current Profile (ADCP) for use in oceanic research at the Darling Marine Center. ADCP measures upper ocean velocity and turbulence, allowing investigation of important aspects of ocean dynamics, including the transport of heat and energy from the atmosphere into the ocean.

Supplier Name	Amount	Campus	Summary Notes
CW BRABENDER INSTRUMENTS INC	27,026	UM	Parts to upgrade existing 3D printing equipment for which compatibility is required. This upgrade will reduce the amount of raw materials required to effectively compound research grade materials, thus increasing the speed of iterations by decreasing the total volume of materials needed.
TELEDYNE INSTRUMENTS INC	26,844	UM	Grant-funded purchase of a syringe pump to upgrade existing pump used in the Oak Ridge High Shear Drying project research prototype. This upgrade will enable a prototype high shear drier from batch processing to continuous processing. Research aims to use cellulose nanomaterials as functional fillers in feedstocks for large scale additive manufacturing. Equipment must be compatible with existing equipment and is only available from this supplier.
MARTIN DEFENSE GRP LLC	26,750	UM	Purchase of carbon-filled polycarbonate pellets (manufactured by SABIC) for use in the large scale 3D printer at the UMaine Advanced Structures and Composites Center. Research at the ASCC supports energy, transportation, marine and aerospace industries. This supplier, MDG, purchased the SABIC material for a recently completed project conducted by ASCC so they own the material and will sell to us for less per kg than our most recent purchase from SABIC (Jan 2022), plus the material is already on-site, reducing lead time by weeks.
TELEDYNE INSTRUMENTS INC	26,523	UM	Grant-funded purchase of proprietary rechargeable battery upgrade for existing autonomous underwater gliders used in support of ongoing marine research. Upgrade will dramatically increase efficiency and reduce overall operating costs.

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Supplier Name	Amount	Campus	Summary Notes
MAINE APPLIED RESEARCH	26,100	UM	Purchase of consulting and professional writing services to update the Maine Innovation Economy Action Plan, aka Maine Science and Technology Plan, which provides funding to build research capacity and workforce development programming in areas deemed a priority by the Governor's Office and state EPSCoR steering committee. Two suppliers identified as having unique qualifications and continuity of product; we requested proposals from both. One declined due to scheduling conflicts.
BRANDCONNEX LLC	25,375	UM	Purchase for rights to US News & World Report Best Online Programs 2022 "Badge" on UMA advertising and marketing publications for which the supplier holds exclusive rights.
A L TIER II LLC	25,190	UMF	Purchase and installation of an athletic content management system for Athletics Dept for which all members of the Little East Athletic Conference have standardized to facilitate sharing of athletic data and game film across the conference.
EMERSON SWAN INC	25,174	UM	Original equipment manufacturer replacement part for existing heating/cooling system for which the supplier is the local authorized dealer, per the manufacturer.
TICONA POLYMERS INC	25,125	UM	Purchase of raw materials used in Phase II research for the automotive defense industry for which continuity is a requirement. Original purchase was made based on an informal competitive process.
COOK & BOARDMAN GRP	25,000	UM	UMaine has installed proprietary electronic handicap door actuators across campus. There is no other source for purchase, installation and repair of this product.
ELEMENTAL SCIENTIFIC INC	25,000	UM	Upgrade and rebuild by original equipment manufacturer of Laser Ablation system used for climate research at UMaine.

Supplier Name	Amount	Campus	Summary Notes
SARGENT CORP	25,000	UM	Emergency on-call services for fabrication for the large snow equipment and steam plant equipment that can't fit in a regular machine shop and needs emergency repair ASAP. Sargent's is the only shop of its size in the area capable of fabrication/welding these large pieces of equipment 24/7 (snow equipment emergency fabrication repairs).
CHRISTOPHER J HALLETT	24,720	UMPI	Grant-funded payment for executive director services for the Northern Maine Educational Collaborative for which the university serves as fiscal agent.
INGERSOLL MACHINE TOOLS INC	24,550	UM	Purchase of materials, hardware, and labor to upgrade existing MasterPrint 3D printer at the UMaine Advance Structures and Composites Center where the objective is in large scale additive manufacturing and renewable thermoplastics. Supplier is the original manufacturer and any modifications are subject to their warranty and design approval.
CINCINNATI INC	24,500	UM	Installation and training provided by original equipment manufacturer for a large-scale 3D printer acquired used from a third party by the Advanced Structures and Composites Center at UMaine. Validation and continuity of warranties requires installation by the original manufacturer. Equipment supports research into the use of wood-based fibers in 3D printing benefiting multiple Maine industries including transportation, marine, energy and building construction.
COLLEGE BOARD	23,768	UM	Purchase of student names for recruiting purposes. Supplier is a not-for profit membership organization and sole owner of multiple exams, publication, and software related to "Official College Board Offerings," which include things such as SAT Online Course/Tests, Advanced Placement Program, Accuplacer, etc.

11.1

Supplier Name	Amount	Campus	Summary Notes
TECHMER PM LLC	23,540	UM	Grant-funded purchase of wood fiber-based substrate material for use in the Advanced Structures and Composites Center at UMaine for research that supports several Maine industries including boat building and transportation infrastructure. Substrate material must match materials previously used in small scale 3D printing tests in order to ensure research data integrity. This is the only supplier that makes this material.
WEB INDUSTRIES ATLANTA INC	23,185	UM	Grant-funded purchase of thermoplastic fiber material for large scale 3D printing for the Advanced Structures and Composites Center at UMaine supporting research incorporating wood fiber into large scale 3D printing supporting multiple Maine industries. This material was pre-selected by a research collaboration partner and must be utilized to ensure research continuity and integrity.
CROSS INSURANCE ARENA	23,095	USM	Rental fees for use of the Cross Arena in Portland for the May 2022 USM Commencement ceremony. The Cross Arena is the only enclosed venue proximate to USM that can accommodate the entire USM graduating class, guests, faculty and staff (approx. 7,000 participants).
NICHE COM INC	22,490	UMF	Purchase of recruitment inquiry information for which the supplier is the market leader in connecting colleges and schools with students and families, with over 140 million reviews and ratings. While there are several other vendors that we use for similar products, this supplier has developed their own platform which provides the data, reviews and insights all in one place which the others do not.

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Supplier Name	Amount	Campus	Summary Notes
COLLEGE BOARD	22,250	UM	Purchase of student names for recruiting purposes. Supplier is a not-for profit membership organization and sole owner of multiple exams, publication, and software related to "Official College Board Offerings," which include things such as SAT Online Course/Tests, Advanced Placement Program, Accuplacer, etc.
PATTERSON DENTAL SUPPLY INC	22,220	UMA	Dental clinic equipment for UMA's new Lewiston location. Consistency of equipment and software required for program continuity to match competitively-bid equipment at other UMA program locations.
A L TIER II LLC	22,190	UMPI	Purchase and installation of an athletic content management system for Athletics Dept for which all members of the Little East Athletic Conference have standardized to facilitate sharing of athletic data and game film across the conference.
KATAHDIN AREA COUNCIL BSA	21,798	UM	Contracted use of Camp Roosevelt for two required forestry field courses as part of the university's Forest Management program, training future professional foresters. The facility is the only one of its kind within a reasonable commute of the Orono campus that has forest holdings of sufficient size to allow safe separation of chain saws and large forestry equipment from other users of the facility as well as abutting land owners.
DIGITAL TRANSITIONS INC	21,300	USM	Replacement purchase of digital imaging equipment for digitization of Osher Map holdings for which integration into existing infrastructure is necessary. Equipment being replaced is over 11 years old and no longer functioning.
CITY OF AUGUSTA	21,000	UM	Rental for conference venue in central Maine for which only the supplier can accommodate the number of guests (upwards of 400). The conference is the 2022 Maine Sustainability & Water Conference, an annual event organized through the Mitchell Center but which is made up of numerous organizations across the state.

Supplier Name	Amount	Campus	Summary Notes
INGERSOLL MACHINE TOOLS INC	20,802	UM	Ongoing Quarterly Maintenance of MasterPrint 3D Printer, for which the supplier is the original equipment manufacturer.
MALVERN PANALYTICAL INC	20,686	UM	Replacement of power supply in X-Ray Diffraction instrument use of which is essential for many research projects at UMaine, including current research funded by the US Dept of Energy and currently on hold pending repair. Service provided by the regularly contracted service provider who is the equipment manufacturer. There are no alternative options for service or parts.
EXPLORANCE CORP	20,625	USM	Purchase of electronic course evaluation software platform which offers integration with the student information system combined with the ability to administer paper and electronic forms of evaluation simultaneously, thus providing a fully automated course evaluation process, including capturing of student feedback. Attempts to locate suppliers capable of meeting all requirements of integrating with our other systems were unsuccessful.
DIVERSIFIED PUMP & COMPRESSOR	20,447	UM	Service and repair of rare process pump used in research at the Biomass and Bioproducts Pilot Plant in preparation for scale up to commercialization. With that will bring jobs, biofuels and specialty chemicals industry and advancement to Maine. The equipment is rare, only two were built in the world 20 years ago. Supplier is the original designer of the equipment and the sole provider through which the manufacturer provides service.
COLLEGE BOARD	20,392	UMF	Purchase of student names for recruiting purposes. Supplier is a not-for profit membership organization and sole owner of multiple exams, publication, sand software related to "Official College Board Offerings," which include things such as SAT Online Course/Tests, Advanced Placement Program, Accuplacer, etc.

Supplier Name	Amount	Campus	Summary Notes
C ELECTRIC	20,321	UM	Installation of new electrical circuits for the Geofertt Capillary Rheometer. UMS is leasing space for this project through our lease agreement this supplier is the only one approved for electrical work. Supplier was selected by the landlord via a competitive process.
MOORING SYSTS INC	20,260	UM	Grant-funded purchase to build two moorings to deploy off the coast of CA to measure ocean velocity, salinity, temperature and wind velocity. Supports new research and expands the scope of research capabilities in Civil and Environmental Engineering to advance understanding of ocean processes on the Maine coast. Supplier in the manufacturer of our existing equipment and tripods currently housed at Rutgers, where our partner PI and technician, the ones deploying these, reside, and are trained/experienced using the same instruments. Continuity with equipment hardware, software instruments is essential in the successful data collection and observational efforts of the project.
COUNTY OF WASHINGTON	20,040	UMM	Campus security services, law enforcement, protection of students, staff, and faculty. Local police department (Machias) is defunct and no longer able to provide security. Washington County Sheriff's Office is the only local law enforcement agency able to provide these services.
CLIFF WILBUR ENTERPRISES LLC	20,000	UM	Extended consulting contract for which the supplier is uniquely qualified. Migration of database to new platform and supplier was original creator of and then supported database for 22 years.
JAMES LYONS	20,000	UM	Repair services to steam plant boiler controls for which the supplier has unique knowledge, having designed the system and maintained it for over 25 years.

Supplier Name	Amount	Campus	Summary Notes
INGERSOLL MACHINE TOOLS INC	19,650	UM	Services to evaluate, diagnose, and develop a repair plan for piece of equipment for which the supplier is the manufacturer. Equipment is part of the Advanced Structures and Composites Center 3D printing project.
DUNBAR & BRAWN CONSTRUCTION INC	19,417	UM	Upgrades to leased building for which the landlord is requiring this supplier to do the work, with UMS is paying for. The supplier was one of two contractors selected via a competitive process by the landlord to perform the work to complete the space we are leasing.
POWERSCHOOL GRP LLC	19,384	UMF	One of multiple suppliers used for recruitment inquiry generation. Each has its own unique platform for connecting colleges with students and families and each reaching a very high number of potential students.
POWERSCHOOL GRP LLC	19,384	UMF	One of multiple suppliers used for recruitment inquiry generation. Each has its own unique platform for connecting colleges with students and families and each reaching a very high number of potential students.
NICHE COM INC	19,220	UMFK	One of multiple suppliers used for recruitment inquiry generation. Each has its own unique platform for connecting colleges with students and families and each reaching a very high number of potential students.
TEACHSPIN INC	18,990	UM	Purchase of equipment to provide engineering physics majors the ability to experiment with interferometry; a measurement method using the phenomenon of interference of waves (usually light, radio or sound waves). Supplier is only option for non-standard interferometers that perform only one function. This equipment allows the student to conceptually understand the physics behind an interferometer as they adjust/move the components around the optical table. This supplier's main focus is providing the "components" that allow for this sort of educational experience.

Supplier Name	Amount	Campus	Summary Notes
GETINGE USA SALES LLC	18,856	UM	Equipment maintenance contract for two autoclaves for which the supplier is the original equipment manufacturer.
MOLECULAR RESEARCH LP	18,700	UM	Grant-funded biochemical and microbiological DNA sequencing for which the supplier has distinctive competence. Attempts to find other qualified suppliers have not been successful.
REDBIRD FLIGHT SIMULATIONS INC	18,085	UMA	Purchase of FAA approved advanced aircraft training device to be manufactured to work as add-on to our existing aircraft simulator. Supplier is the manufacturer of the simulator and modifications must be compatible.
MID ATLANTIC EQUITY CONSORTIUM INC	17,792	USM	Consulting service to support Southern Maine Partnership and Local Educational Agencies to analyze their practice around equity and to develop plans to address equity related issues and implement best practices to address gaps. These are ongoing services for which continuity is required and for which a competitive process was originally conducted in 2020.
REDBIRD FLIGHT SIMULATIONS INC	17,693	UMA	Upgrade and relocation (tear down & reassemble) of equipment still under warranty and for which the original manufacturer maintains.
BAUER HOCKEY INC	17,580	UM	Purchase of custom skates and hockey sticks for which the supplier is the manufacturer. Custom fit is required to fit each player's body type and athletic need in order to compete at a Division One level for both the athletes' safety and effectiveness on the ice. Custom products are only available direct from the manufacturer.
COLLEGE BOARD	17,551	USM	Purchase of student names for recruiting purposes. Supplier is a not-for profit membership organization and sole owner of multiple exams, publication, and software related to "Official College Board Offerings," which include things such as SAT Online Course/Tests, Advanced Placement Program, Accuplacer, etc.

Supplier Name	Amount	Campus	Summary Notes
TOBII TECHNOLOGY INC	17,300	UM	Software/hardware purchase for new psychophysiology lab startup. Research focuses on the interrelationship between mind and body. Through professional colleagues at other universities, UMaine identified three possible sources (Biopac, IMotions, Tobii). Selected two (Biopac, Tobii) based on unique characteristics to outfit the lab to the required specification. The Tobii product offers superior flexibility in data collection and analysis through the use of TrueEye technology, a requirement for this research. Additionally, existing data collected through Tobii systems exists which can only be analyzed using this software.
MALVERN PANALYTICAL INC	17,100	UM	Repair part for X-Ray Diffraction equipment used in the cleanroom laboratory at UMaine, which seeks to serve a variety of research activities across campus and at neighboring institutions. This is a service contract repair part through the equipment manufacturer; there are no alternative options for service or parts.
CAPPEX COM	17,000	USM	One of multiple suppliers used for recruitment inquiry generation. Each has its own unique platform for connecting colleges with students and families and each reaching a very high number of potential students. None are technically deficient; we use them all to gain the best results.
RE BUILD OPTIMATION TECHNOLOGY INC	17,000	UM	Update to Siemens software controls in the Biofuels pilot plant for which supplier is the developer of the program.

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Supplier Name	Amount	Campus	Summary Notes
BRUKER SCIENTIFIC LLC	16,997	UM	Purchase of Attenuated Total Reflection (ATR) accessory to be used with existing equipment for which supplier is the OEM. ATR is a method that allows the direct measurement of samples for FTIR which identifies chemical bonds in a molecule by producing an infrared absorption spectrum. Used in microplastic and carbon nanomaterials research sampling which supports sustainability of fresh water, sensitive coastal economy and the aquaculture industry.
SOCIETY FOR HUMAN RESOURCE MGMT	16,800	USM	Purchase of test prep course materials to students taking the Society for Human Resource Management certification, for which supplier holds the rights to certification. USM is the only provider of this live training, which supports the ongoing workforce development needs and human resource job skill requirements in the State of Maine.
EVIDENT SCIENTIFIC INC	16,735	UM	Repair to microscope for which the supplier is the manufacturer.
TORAY ADVANCED COMPOSITES USA INC	16,656	UM	Purchase of raw materials used for Ground Vehicle Systems Center research on large scale composites for the automotive defense industry. Specific materials has been selected based on earlier processing and characterization in Phase I of the project. Continuity of material is critical to the research and this supplier is the manufacturer and only source to obtain these materials.
MAINE COMRCL TIRE INC	16,177	UM	Supplier provides 24/7 service and tire tread patterns currently used on Facilities trucks & heavy equipment, which impacts safety and vehicle operation. Only other local 24/7 commercial tire company does not have the same tire tread patterns and other companies do not offer 24/7 service.

Supplier Name	Amount	Campus	Summary Notes
BINNIE MEDIA	16,048	UMA	Purchase of air time in the several radio markets for targeted marketing efforts for UMA through radio group that best matches the target audience demographics, thus maximizing potential marketing yield.
CATHERINE BOHLS	15,923	USM	Grant-funded contract with training facilitator for an early child care education for which maintaining continuity of facilitation is essential across multiple years of the grant. Note that training takes place at multiple sites across Maine, primarily in the Aroostook County area.
SEA BIRD ELECTRONICS INC	15,760	UM	Grant-funded maintenance and upgrade of buoys and related equipment from the original manufacturer.
LI COR BIOSCIENCES	15,700	UM	Grant-funded equipment purchase in support of the effects of climate change on plants and additionally to train students, the next generation of botanists and integrative plant ecologists. Unique capability of this equipment is that it combines a fluorometer (measures light (measures water vapor). This combination of light and water is critical for plant survival and performance. This is the only equipment on the market of its kind on the market.
A L TIER II LLC	15,500	UM	Purchase and installation of an athletic content management system for Athletics Dept for which all members of the athletic conference have standardized to facilitate sharing of athletic data and game film across the conference.
NICHE COM INC	15,477	USM	One of multiple suppliers used for recruitment inquiry generation. Each has its own unique platform for connecting colleges with students and families and each reaching a very high number of potential students. None are technically deficient; the university uses them all to gain the best results.

Supplier Name	Amount	Campus	Summary Notes
GGP MAINE MALL LLC	15,315	UM	Advertising located at the Maine Mall as part of UMaine's broader marketing strategy. Service was previously provided by Smartlite but will now be provided directly by the mall itself (Brookfield Properties). The university has no other options for contracting for this targeted advertising and location.
PREFERRED MECHANICAL SVCS INC	15,127	UM	Repair of ice sheet HVAC chiller for Alford Arena at UMaine. Services provided by original manufacturer/installer.
CHARTER COMMS HOLDINGS LLC	15,000	UMA	Targeted television and online media ads seeking students from specific geographical areas.
PACIFIC & SOUTHERN CO INC	15,000	UM	Grant-funded COVID-vaccine outreach advertising to increase vaccinations to people with disabilities and others who support them. Target audience is southern and western Maine. Supplier owns multiple television broadcast stations that accomplish this outreach with one campaign.
PLEASANT RIVER LUMBER CO	15,000	UM	Grant-funded purchase of green wood sawdust and kiln dried wood shavings for the Witter Farm from only supplier within economically feasible distance that can provide the volume of product needed.
PORTLAND SEA DOGS	15,000	UMF	Three years of billboard advertising with the Portland Sea Dogs, the only professional baseball team in Maine.
STEVEN BARCLAY AGENCY	15,000	UM	Booking fee for lecture by nationally renowned writer Susan Choi. This supports the King Chair Lecture Series to bring nationally renowned writers to campus to give free, public lectures. The supplier hold exclusive rights for Ms. Choi's bookings.
SWANK MOTION PICTURES INC	15,000	UMA	Purchase of licensing rights for projection of movies on campus for student entertainment. Studios have granted exclusive license distribution to this supplier.

Supplier Name	Amount	Campus	Summary Notes
BAUER HOCKEY INC	14,850	UM	Purchase of custom skates and hockey sticks for which the supplier is the manufacturer. Custom design is required to fit each player's body type and athletic need in order to compete at a Division One level for both the athlete safety and effectiveness on the ice. Custom products are only available direct from the manufacturer.
PARR INSTRUMENT CO	14,828	UM	Purchase of bench reactor systems to be used with existing equipment for which compatibility is required. Equipment is used to produce organic acids from preprocessed forest biomass. This research is advancing forest biorefineries toward commercial applications of biomass wastes, furthering rural economic growth in Maine.
NICHE COM INC	14,740	USM	Recruitment inquiry platform to connect colleges with students and families. Supplier has developed their own unique platform that attracts potential students to find and research colleges across the US, providing the data, reviews, and insights all in one place. While we also do business with other similar suppliers this additional platform is essential to our recruitment strategy and actual draws attention by advertising on the other suppliers' sites.
GROZ-BECKERT USA INC	14,632	UM	Grant-funded purchase of proprietary custom manufactured textile needles and trials required as part of federally funded research for the Advanced Structures and Composites Center at UMaine.
LINKEDIN CORP	14,525	UMS	Licensing and services agreement with social-media-based employee recruitment services for USM that blends the most ubiquitous employment-related social media platform with active recruitment support, focused on two key areas: supporting the university's affirmative action plan and building workforce diversity and actively recruiting pool of potential job candidates who have earned a Doctorate and are seeking employment in academia.

Supplier Name	Amount	Campus	Summary Notes
BRANDCONNEX LLC	14,500	UMA	Purchase for rights to US News & World Report Best Online Programs 2022 "Badge" on UMA advertising and marketing publications for which the supplier holds exclusive rights.
DVSPORT INC	14,388	UM	Equipment purchase is part of agreement for software cloud license that allows UMaine Football program to perform all game day and practice film breakdown and to meet Colonial Athletic Association (CAA) requirements to provide game film to visiting teams. Additionally, the software allows for instant replay as specified by CAA. This software platform is selected by CAA. All schools in the CAA need to be compatible in order to meet the requirements of CAA.
BLUEBERRY BROADCASTING LLC	14,040	UMA	Large media campaign to target specific geographic areas for pool of potential students. Supplier owns multiple radio outlets across the state to ensure reaching the populations that will yield the highest number of potential students.
MAINE FARM VET	14,000	UM	Contract primarily for bovine veterinary services to the JF Witter Teaching and Research Center. Specialized veterinary service is required under American Association for Laboratory and Animal Science (IACUC) and FARM Program guidelines. Limited qualified veterinarians in the area and the one we have used for many years suddenly terminated services with UMaine with no advanced notice. Two other vets in the Skowhegan and St. Albans area were contacted but both declined. This supplier was willing to take on new clients and to commit to a three-year contract.

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Supplier Name	Amount	Campus	Summary Notes
PICOTECH USA LLC	14,000	UM	Purchase of 3D printer, unique in that offers printing with clay extruders and the only product that has a full-scale extruder and printer for earthen materials. The research objective is to study natural clay characterization for additive manufacturing applications. A possible second manufacturer was considered but not able to offer large-scale printing or a non-stop printing option. Supplier is the sole distributor of this equipment
BEAUREGARD EQUIP INC	13,652	UM	Purchase of used Holland equipment disc mower for use at Witter Teaching and Research Center. Trade-in of older Holland disc mower is included in this purchase, for which supplier is the authorized dealer. Upgraded equipment is expected to increase harvest rates by as much as 40%, directly supporting the UMaine dairy and equine programs by allowing accelerated rates of harvest and reduce the risk of crop loss and labor cost.
COVESTRO LLC	13,650	UM	Purchase of lightweight composite materials for use in experiments with automated fiber placement module in the large area additive manufacturing machine for which continuity with previously tested materials is essential.
IBCONTROLS INC	13,500	USM	Update to existing proprietary building automation system firmware for which supplier is the authorized representative in in Maine.
ROBATEL INC	13,500	UM	Rental of equipment for testing liquid to liquid extraction for biofuels from woody biomass research. This is one of multiple units from various manufacturers being trial tested; the comparison will allow us to select the appropriate equipment to purchase for our pilot plant.
STRATASYS INC	13,397	UM	Replacement power supply for Stratasys 3D printer for which supplier is the manufacturer and performs all maintenance (parts and labor)

Supplier Name	Amount	Campus	Summary Notes
JORDAN EQUIP CO	12,960	USM	Service on equipment from only authorized manufacturer's dealer in the area (Bobcat).
JJD LOBSTER INC	12,840	UM	Grant-funded vessel services for which the supplier is a research collaborator on the research funded under the grant, thus ensuring data continuity and research efficacy.
UNIV OF CHICAGO	12,764	UM	Purchase of DNA analysis services in support of National Science Foundation Bird Pathogens Project. This is a collaborative project between UMS, University of California-Berkely, and Hebrew University of Jerusalem to research disease transmission in migratory birds. Supplier is currently under contract with UC Berkeley for analysis of their samples. Continuity of sequencing services is necessary to ensure the data is able to be combined in analyses with data previously generate by this supplier for UC Berkeley.
WOODS HOLE OCEANOGRAPHIC INSTITUTION	12,676	UM	Purchase of specialized mooring wire/data cable in support of Gulf of Maine weather buoy program. This type of wire/cable is made by two suppliers, both of which we have purchased in the past. This supplier's product is superior whereas the other product has failed, thus the loss of tens of thousands of dollars' worth of scientific equipment.
VIAS ACADEMIA LLC	12,655	UM	Renewal of Academic Research Suite Licenses for which compatibility and continuity are critical. Supplier is the sole provider of the software which was custom written for the Advanced Structures and Composites Center and integrated into a multitude of programs which enable advance simulation research in the field of advanced composites and manufacturing processes.

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Supplier Name	Amount	Campus	Summary Notes
GLUCAN BIORENEWABLES LLC	12,500	UM	Grant-funded purchase of a used Waters Alliance liquid chromatograph, compatible with existing equipment from same manufacturer, used to measure the composition of liquid mixtures of biofuels and biobased chemicals. Research being conducted benefits pulp and paper woody biomass industry. Continuity allows for sharing of software, parts, and service.
R BOWEN LOFTIN	12,500	UM	Grant-funded consulting services related to the formation of the Maine College of Engineering, Computing and Information Sciences. Consultant possesses a unique and essential combination of experience in physical science, engineering, and computer science within a land grant institution. Others identified could only provide a subset of those essential criteria.
POWERSCHOOL GRP LLC	12,250	USM	One of multiple suppliers used for recruitment inquiry generation. Each has its own unique platform for connecting colleges with students and families and each reaching a very high number of potential students. None are technically deficient and the university uses them all to gain the best results.
CAMPUS COMPACT	12,000	USM	Purchase of services to provide two "Fusion Course" facilitators. The Fusion Project provides critical professional development support through the integration of community-based learning and problem-solving methodologies to improve online/hybrid education and student engagement and to address challenges related to remote learning. As we share a unique joint mission and collaborate on other aspects of the project, USM can take advantage of resources that otherwise would not be available; in this case access to facilitators to provide additional course sessions.
MACARTNEY INC	11,989	UM	Materials for the repair and upgrading of buoys in the Gulf of Maine and the Caribbean. Compatibility with existing equipment required and these parts have been determined acceptable.

Supplier Name	Amount	Campus	Summary Notes
PROCK MARINE CO	11,900	UM	Construction services to install additional support brackets on the Pier & Flowing Seawater Lab/Pump House project at the Darling Marine Center for which the supplier was the original competitively-bid contractor.
MACARTNEY INC	11,774	UM	Materials for the repair and upgrading of buoys in the Gulf of Maine and the Caribbean. Compatibility with existing equipment required and these parts have been determined acceptable.
APPLIED SCIENTIFIC INSTRUMENTATION INC	11,750	UM	Repair to existing BX50 microscope for which the supplier is the manufacturer.
BAUER HOCKEY INC	11,700	UM	Purchase of custom skates and hockey sticks for which the supplier is the manufacturer. Custom fit is required to fit each player's body type and athletic need in order to compete at a Division One level for both the athletes safety and effectiveness on the ice. Custom products are only available direct from the manufacturer.
RESTORATIVE JUSTICE PROJECT MIDCOAST	11,700	UM	Contract for professional development programming/training at the UMaine Hutchinson Center. Supplier is the only option in Maine to offer this specialized topic (Restorative Justice). Hutchinson Center website continually seeks suppliers to offer training proposals for all professional development topics; to date no others have come forward to offer a Restorative Justice Certificate Program.
RESTORATIVE JUSTICE PROJECT MIDCOAST	11,700	UM	Contract for professional development programming/training at the UMaine Hutchinson Center. Supplier is the only option in Maine to offer this specialized topic (Restorative Justice). Hutchinson Center website continually seeks suppliers to offer training proposals for all professional development topics; to date no others have come forward to offer a Restorative Justice Certificate Program.

11.1

Supplier Name	Amount	Campus	Summary Notes
HONEYWELL ANALYTICS	11,639	UM	Service contract for maintenance of the UMaine clean room system for the toxic gas monitoring subsystem. Work will be performed by the original equipment manufacturer on this proprietary platform and falls outside of the other existing competitively bid contracts with this provider.
WOODBURN PRESS	11,529	UMPI	Grant-funded purchase of TRiO Booklets used to guide advising with educational talent search students with a college prep focus. No other supplier are known to have a similar TRiO student focused series of materials. Supplier is the publisher.
LAROCHELLE CONSULTING LLC	11,400	UMS	Consulting services to assist UMS in updating FEMA Hazard Mitigation Plan. Supplier comes recommended by Maine Emergency Management Agency as uniquely qualified. Funding is being reimbursed by FEMA.
ROCKLAND SCIENTIFIC INTRNTL INC	11,400	UM	Grant-funded purchase of replacement parts to existing equipment for which the manufacturer is the only provider. The equipment is used by Civil & Environmental Engineering NSF Career award. It measures turbulent mixing, salt and temperature of water.
ROOSEVELT UNIV	11,366	UMA	Student/faculty lodging directly related to Bachelor of Architecture degree where supplier has unique offering as an architecture landmark in Chicago and equipped to provide services to college students in a dormitory setting. Centrally located institution of higher learning which offers facilities which support the ARC 44 course objectives and outcomes.

11.1

Supplier Name	Amount	Campus	Summary Notes
GDS INSTRUMENTS	11,206	UM	Purchase of GDS Bender Elements for existing GDS Bender Element System for which compatibility is essential. This purchase is used in the ASCC Transportation Infrastructure Durability Center research. Bender elements allow us to measure the maximum shear modulus (Gmax) of soil samples in order to evaluate their stiffness, directly impacting our understanding of soil behavior/structure during earthquakes, explosions or machine and traffic vibrations. This research will provide new ground improvement techniques to geotechnical specialty contract and construction industry in the State of Maine.
CAPE & ISLAND CORP	11,200	UM	Repair to Beckett athletic track which needed to be complete before Special Olympics event. Reached out to multiple track repair suppliers, verbally, but this was the only supplier able to fit us into their schedule.
COMPOSITES 1 LLC	11,145	UM	Purchase of special glass fabric for use in proprietary US Army project. Material must meet specific compliancy (Barry Amendment compliant) and be sourced from US manufacturers and this supplier. The manufacturer is VectorPly and they sell through protected territory in the US from Composites 1 LLC. No other manufacturer or reseller makes/sells the product.
BGI AMERICAS CORP	11,060	UM	DNA sequencing services for marine seal samples. Research requires use of DNA Nanoball technology that is proprietary to this supplier. Underlying research seeks to better understand genetic disease susceptibility in order to determine interventions to improve harbor seal mortality in Maine.

11.1

Supplier Name	Amount	Campus	Summary Notes
MESSERSMITH MFG	11,040	UMF	Standard replacement of worn grates for CHP (combined heat and power) boiler. Supplier is the manufacturer of the existing grates and replacement grates and hold the service/maintenance contract for the boiler. No other supplier makes the grates needed for this CHP.
MACARTNEY INC	10,951	UM	Materials for the repair and upgrading of buoys in the Gulf of Maine and the Caribbean. Compatibility with existing equipment required and these parts have been determined acceptable.
SIMON & SCHUSTER INC	10,750	UMA	Speaking engagement for which supplier is exclusive agent.
EMSI BURNING GLASS	10,500	USM	Continuation of centralized proprietary software data tool supporting efforts to advance economic and workforce development in Maine, including COVID-19 recovery efforts.
SYNERGY SPORTS TECHNOLOGY	10,500	UM	Purchase of team video services where supplier is the sole owner of the product, which we are required to use through our affiliation with America East Conference.
NORC AT THE UNIV OF CHICAGO	10,400	USM	Renewal of proprietary licenses required to conduct analyses of primary care spending in Maine for reporting to the Maine Legislature. This reporting is mandated by the Legislature and only available through one supplier
INSTRON A DIV OF IL TOOL WORKS INC	10,227	UM	Testing software to work specifically with existing Instron Hydraulic equipment for which the supplier is the manufacturer and does not sell through distributors. This purchase will make high volume testing easier and more repeatable across programs and reduces the required post processing of data. This equipment is used across several research programs.

Supplier Name	Amount	Campus	Summary Notes
BIOPAC SYSTEMS INC	10,111	UM	Software/hardware purchase for new psychophysiology lab startup. Research focuses on the interrelationship between mind and body. Through professional colleagues at other universities, the university identified three possible sources (Biopac, IMotions, Tobii). Selected two (Biopac, Tobii) based on unique characteristics to outfit the lab to the required specification. The Biopac product offers superior integration of multiple sensors, thus allowing seamless integration of eye-tracking sensor data and facial emotion recognition data collected through other channels, which was a requirement for this research.

NAMED IN GRANT PURCHASES

Goods and/or services specifically named in grant awards. When these goods and/or services are available from multiple sources the competitive procurement standard applies unless the grant requires, was contingent upon, or was otherwise awarded with the explicit expectation that a specific good or service would be procured to carry out the award.

Supplier Name	Amount	Campus
SYNTIRO	\$2,640,855	UMF
MAINE FOREST PRODUCTS CNCL	\$1,053,550	UM
UNIV OF VERMONT & STATE AGRIC COLLEGE	\$838,313	UM
INGERSOLL MACHINE TOOLS INC	\$547,500	UM
MAINE FOREST PRODUCTS CNCL	\$499,000	UM
KANSAS STATE UNIV	\$430,000	UM
COASTAL ENTERPRISES INC	\$376,902	USM
LISA M LETOURNEAU MD LLC	\$260,481	UMF
DOWNEAST INSTITUTE	\$250,000	UMM
UNIV OF CALIFORNIA SAN DIEGO	\$234,053	UM
PINE TREE LEGAL ASSIST INC	\$226,538	USM
HENDRICK MANUFACTURING CORP	\$225,500	UM
BLACK BEAR CONSULTANTS LLC	\$225,000	UMF
PEDIATRIC QUALITY CONSULTING LLC	\$220,673	UMF
TRICIA MOSHER CONSULTING	\$172,515	USM

Supplier Name	Amount	Campus
WESTIN PORTLAND HARBORVIEW	\$171,686	UM
EVERGREEN INNOVATIONS LLC	\$151,797	UM
HOANG MINH DUC	\$144,850	UM
UNIV OF MASSACHUSETTS	\$139,812	UM
SAN JOSE STATE UNIV RESEARCH FNDTN	\$138,796	UM
WS ATKINS INC	\$134,511	UM
FREEPART RESEARCH SYSTS LLC	\$124,998	USM
AVCOG	\$124,357	USM
ELECTRON OPTICS SVC	\$122,000	UM
WILDLIFE COMPUTERS INC	\$119,200	UM
WOODS HOLE OCEANOGRAPHIC INSTITUTION	\$118,414	UM
GARRAD HASSAN & PARTNERS LTD	\$107,325	UM
CHLOE SHIELDS	\$103,000	USM
SULLIVAN & MERRITT CONSTRUCTORS INC	\$102,694	UM
SURFACE MEASUREMENT SYST LTD NA	\$101,600	UM
WILLIAM K BALZER	\$100,000	UMS
NORTHERN MAINE DEVELOPMENT COMMISSION	\$99,167	USM
CHAMPLAIN COLLEGE	\$94,020	UM
COASTAL ENTERPRISES INC	\$92,084	USM
KIMBERLY GROFF	\$91,505	USM
FAMILY & CMNTY MEDIATION	\$90,780	UM
GULF OF MAINE RESEARCH INST	\$90,595	UM
ECOTRUST	\$90,576	UM
JUSTICE RESEARCH AND STATISTICS ASSN	\$85,525	USM
RICHARD P HORWITZ	\$83,264	UM
ELIZABETH SCOTT CONSULTING	\$80,000	USM
UNIV OF KENTUCKY RESEARCH FNDTN	\$77,493	UM
UNIV OF MARYLAND	\$72,000	USM
JILL WARD	\$72,000	USM
MOOK SEA FARMS INC	\$70,368	UM
DYNATA LLC	\$68,500	USM
HOUSTON OFFSHORE ENGINEERING LLC	\$68,097	UM

Supplier Name	Amount	Campus
NAMA	\$66,825	UM
WAYS	\$64,500	UM
CORNELL UNIV	\$64,395	UM
DOWNEAST INSTITUTE	\$63,375	UMM
NORTHERN MAINE DEVELOPMENT COMMISSION	\$61,642	USM
BORN DIGITAL INC	\$56,515	UM
VOLUNTEER LAWYERS PROJECT	\$54,000	USM
AOS 96 MACHIAS SCHOOL DEPT	\$52,500	UMF
RSU 10	\$52,500	UMF
RSU 4	\$52,500	UMF
MT BLUE REGIONAL SCHOOL DISTRICT	\$52,500	UMF
RSU 39	\$52,500	UMF
UNIV OF NEBRASKA	\$52,409	UM
SAFE VOICES	\$50,000	USM
DNV ENERGY USA INC	\$50,000	UM
LE SPRINGER INC	\$50,000	UM
INTEGRATED LEARNING INNOVATIONS INC	\$50,000	UM
MAINE AQUACULTURE CO-OP	\$49,500	UM
GARY FLORY	\$47,325	UM
SYNTIRO	\$46,270	UMF
PREUSSER RESEARCH GRP INC	\$45,500	USM
BORN DIGITAL INC	\$44,250	UM
WELLS RESERVE	\$43,011	UM
DOWNEAST INSTITUTE	\$42,364	UM
DOWNEAST INSTITUTE	\$39,308	UM
MAINE MARITIME ACADEMY	\$39,016	UMS
BUTTERFIELD SHELLFISH LLC	\$38,015	UM
AMERICAN BUREAU OF SHIPPING	\$36,000	UM
MSAD 27	\$35,000	UMF
MAINE INDIAN EDUC	\$35,000	UMF
RSU 56	\$35,000	UMF
MSAD 37	\$35,000	UMF

Supplier Name	Amount	Campus
MSAD 44	\$35,000	UMF
RSU 74	\$35,000	UMF
MSAD 70	\$35,000	UMF
MSAD 59	\$35,000	UMF
MSAD 20	\$35,000	UMF
PREUSSER RESEARCH GRP INC	\$34,100	USM
BARBARA KATES	\$34,000	USM
NOVA SOUTHEASTERN UNIV INC	\$33,788	UM
COLBY COLLEGE	\$33,700	UM
ENVIRONMENTAL SOIL CONSULTING LLC	\$32,500	UM
UNIV OF NORTH CAROLINA AT CHAPEL HILL	\$32,000	USM
FRIENDS OF CASCO BAY	\$30,249	USM
DALHOUSIE UNIV	\$29,065	UM
MAINE MARITIME ACADEMY	\$27,058	UMS
NICANTE INC	\$25,000	USM
VRYHOF AMERICAS	\$25,000	UM
MICHIGAN STATE UNIV	\$25,000	UM
SUSTAINAMETRIX	\$25,000	UM
TOWN OF BAILEYVILLE SCHOOL DEPT	\$25,000	UMF
MAINE ACADEMY OF NATURAL SCIENCES	\$25,000	UMF
CORNVILLE REGIONAL CHARTER SCHOOL	\$25,000	UMF
MSAD 33	\$25,000	UMF
MSAD 14	\$25,000	UMF
MSAD 13	\$25,000	UMF
HEALTH ECONOMY LLC	\$24,950	UMF
MSAD 3	\$24,300	UMF
MN ASSOC INC	\$24,000	UMF
UNIV OF MINNESOTA	\$23,673	UM
RSU 78	\$23,150	UMF
MSAD 58	\$23,080	UMF
VOX GLOBAL LLC	\$22,500	UMS
MAINE CTR FOR COASTAL FISHERIES	\$22,466	UM

11.1

Supplier Name	Amount	Campus
SYRACUSE UNIV	\$21,445	USM
EARTH ISLAND INST INC	\$20,000	USM
UNIV OF NEW ENGLAND	\$20,000	UM
MANOMET CTR FOR CONSERVATION SCIENCES	\$20,000	UM
US DEPT OF AGRICULTURE	\$17,222	UM
CENTER FOR COMMUNITY GIS	\$17,000	UM
BRIE B WEISMAN	\$16,000	UM
PRESUMPCOT REGIONAL LAND TRUST INC	\$15,400	USM
LE SPRINGER INC	\$15,323	UM
EUREKA SCIENTIFIC INC	\$15,000	USM
JOHN F MCDEVITT	\$15,000	USM
COASTAL ENTERPRISES INC	\$15,000	UM
ELLEN S GIBSON	\$15,000	UM
KELLEY SUE SPENCER	\$15,000	UM
DOUGLAS FILS	\$15,000	UM
LOTEK WIRELESS	\$14,874	UM
JFF	\$14,500	USM
JOHN F MCDEVITT	\$14,000	USM
JUDITH F LOREN	\$13,500	USM
4 KITCHENS LLC (ADVOMATIC)	\$13,339	USM
MT DESERT ISLAND BIOLOGICAL LAB	\$12,571	UMF
UNIV OF SOUTH CAROLINA	\$12,404	UM
JILL WARD	\$12,000	USM
RSU 73	\$11,900	UMS
LEWISTON ADULT EDUC	\$11,200	UMS
PARMA RECORDINGS LLC	\$10,300	UMFK

11.1

EMERGENCY PURCHASES

These may be made only to meet true emergencies arising from unforeseeable causes. Emergency purchases should be made on the basis of competitive procurement and approved in advance whenever practicable.

Supplier Name	Amount	Camp	Summary Notes
UNIV OF ILLINOIS - SHIELDT3	2,711,180	UMS	COVID-19 testing services delivered via on-site laboratory located at UM. Multiple providers were considered, however having the lab on-site reduced result turnaround times substantially, improving overall campus safety.
NES	350,000	UM	Extension for asbestos abatement services to allow time for new RFP process. Resources have been directed to pandemic relief. Contract originally awarded via a competitive process.
HOPKINS PAVING LLC	250,000	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
SULLIVAN & MERRITT CONSTRUCTORS INC	200,000	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
ATA PIPING INC	176,779	USM	Repair of underground heat pit (part of the heat plant system) on the USM Gorham campus. Repair is in a high-traffic location necessitating quick turnaround to limit disruption of campus activities. Additionally, labor and material price volatility necessitate moving swiftly. Contractor is the competitively-bid contractor for related on-call services.
ENVIRONMENTAL SAFETY PROFESSIONALS INC	150,000	UM	Extension of competitively bid contract for asbestos abatement services, extended due to the impact of the pandemic creating lack of available resources to conduct a new competitive bidding process. RFP is scheduled.
BRET STERN PRODUCTIONS	145,000	UM	Extension of existing competitively bid contract for marketing services in order to meet upcoming enrollment goals. The new UMS-wide marketing services contract is currently being implemented and the supplier is not able to meet these immediate needs at this time.
RJ MORIN INC	140,000	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
EAB	123,745	UM	Extension of contract for continuation of services without interruption due to the impact of the pandemic creating lack of available resources to conduct a new competitive bidding process. Suppliers membership based platform is used for collaborative research analytics.

Supplier Name	Amount	Camp	Summary Notes
NES	118,000	UM	Emergency asbestos abatement work identified in May that did not allow time for competitive process that would allow the building to be open in time for Fall Semester. Supplier selected based on competitively bid existing contract with UMaine, but for which has a limit of \$50K per individual project.
DOWNEAST YACHT SVCS LLC	82,765	UM	Purchase of service and materials to deploy equipment in the Gulf of Maine in support of Breakwater project. Due to the deployment deadline, two potential suppliers were considered, however only one could perform the services in the timeframe required.
CHARLES H MITCHELL MD	76,772	USM	One year extension of contract for psychiatric services for USM. Original 2-year contract was publicly bid but not able to be rebid due to resources being redirected to COVID response in 2020/2021. This emergency extension is needed to ensure services will continue until such time a new RFP can be scheduled.
ADDITIVE ENGINEERING SOLUTIONS LLC	67,381	UM	Breakdown of 3D printer at Advanced Structures and Composites Center at UMaine required outsourcing a portion of large-scale 3D printing work to keep federally-funded grant project on timeline.
NEW ENGLAND COMM INC	67,286	USM	Voicemail software renewal with long standing contract that in the past was directly with the manufacturer. The company has changed hands and is no longer selling direct to customers, about which the university was unaware necessitating purchase through a reseller. Long-term solution is under development.
PROACTIVE SVCS	57,000	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
PROQUEST LP	55,529	UM	Library subscription/publication services to extend for an additional year a competitively-bid subscription. Due to pandemic response activities, resources have been limited delaying the next RFP.
BOWDOIN COLLEGE	51,716	UM	Grant-funded services to provide instruction, housing, meals, equipment, facilities, weekly COVID testing to 20 students enrolled in the Ocean Optics summer course. Original location was to be in-house at the Darling Marine Center but could not accommodate a class of more than 10 with additional required COVID restrictions. Inability to move quickly would have put the class and the underlying grant funding at risk.

Supplier Name	Amount	Camp	Summary Notes
ASCEND LEARNING HOLDINGS LLC	50,000	UMFK	Technology-based assessment, testing, and certification solution for the nursing program. Attempts to roll these products under existing single contract failed due to supplier change in structure. ATI, a division of Ascend Learning, does not enter into contracts, only online click-through agreements, which UMS does not support, necessitating further investigation and negotiation with this supplier. Products needed for continuity for nursing students and their academic experience. A long-term plan for these services will be investigated and determined in the coming year and will be based primarily on program/student needs.
CLEAN HARBORS ENVIRONMENTAL SVCS INC	50,000	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
GAFTEK LLC	50,000	UM	Pandemic-related contract extension to competitively bid contract for on call services for facilities management underground storage tanks. Due to COVID response resources were not able to rebid this contract.
HONEYWELL INTRNTL INC	50,000	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
PERFORMANCE FOODSERVICE NORTHCENTER	50,000	UM	One year extension of a previously competitively bid contract for food supplies, utilized by the UMaine Cooperative Extension. New RFP in development.
ROOF SYSTEMS OF MAINE	50,000	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
RJ MORIN INC	49,688	UMA	Extension to previously competitively bid contract as resources were directed to COVID response.
RJ MORIN INC	49,678	UMA	Extension to previously competitively bid contract as resources were directed to COVID response.
EAB	49,088	USM	Extension of contract for continuation, through October 2022, of services without interruption due to the impact of the pandemic creating lack of available resources to conduct a new competitive bidding process. EAB Virtual Tour Solution provides recruitment marketing through student virtual tour option.
RJ MORIN INC	48,865	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
RJ MORIN INC	46,865	UM	Extension to previously competitively bid contract as resources were directed to COVID response.

Supplier Name	Amount	Camp	Summary Notes
POWERS ROOFING & SHEET METAL INC	46,820	UMPI	Extension to previously competitively bid contract as resources were directed to COVID response.
ROOF SYSTEMS OF MAINE	46,529	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
FROSTS GARAGE INC	45,000	UM	Contract for vehicle body work/rust repair services as needed which was anticipated to be less than \$10K. In the past these services were handled in-house; the UMaine garage no longer covers this level of repair. It was unknown and unplanned that multiple vehicles would require this level of repair this year. Although bids were solicited from multiple other body shops only Frost responded. A formal RFB is being released in FY23 for these services.
RJ MORIN INC	43,475	UMA	Extension to previously competitively bid contract as resources were directed to COVID response.
UNION ST LAUNDROMAT & DRY CLEANERS	43,000	UM	Laundering services for Dining and Housing Services uniforms at UMaine. Staffing shortage and equipment failure has prevented normal in-house laundering, necessitating external services. Duration of need was not anticipated and overall need will exceed the bidding threshold. As soon as staffing shortage is resolved, service will be brought back in-house.
TOWNSQUARE MEDIA PORTLAND LLC	42,000	UMA	Continuation of Digital Marketing campaign for Spring 2022 students. Supplier previously awarded a competitively bid contract in late 2020 but which expired in September 2021. Was intended to transition to new system-wide marketing contract, but new contractor implementation is not complete, thus necessitating use of previous contractor.
SULLIVAN & MERRITT CONSTRUCTORS INC	41,500	UM	Extension to previously competitively bid contract as resources were directed to COVID response.

Supplier Name	Amount	Camp	Summary Notes
B & P LITTLEFORD LLC	41,400	UM	Rental of liquid extraction equipment needed to produce key chemical compounds essential to ongoing research at the Forest Bioproducts Research Institute at UMaine. Multiple research projects are dependent and any delay in the extraction process would delay these projects. Because of the rarity of this equipment and impending lack of availability if the University did not act quickly, competitive bidding was waived. The underlying research includes efforts to replace fossil fuels with wood fiber-based alternatives.
PROQUEST LP	40,763	UM	Library subscription/publication services to extend for an additional year a competitively-bid subscription. Due to pandemic response activities, resources have been limited delaying the next RFP.
BURMAN LAND & TREE CO LLC	40,000	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
PROQUEST LP	39,919	UM	Library subscription/publication services to extend for an additional year a competitively-bid subscription. Due to pandemic response activities, resources have been limited delaying the next RFP.
COMEVO INC	39,567	UMA	Renewal of new student orientation software platform. Initially UMA attempted to develop a homegrown product but due to the complexity of the programming and personnel challenges, realized this was not a sustainable option. In 2017, three solutions were considered but no formal competitive process was able to be conducted in the timeframe required to have a system up and running; Title III Strengthening Institutions grant was funding the project. The software has been renewed each year since and currently will terminate in 2026, at which time will be competitively bid.
POWERS ROOFING & SHEET METAL INC	38,900	UMFK	Extension to previously competitively bid contract as resources were directed to COVID response.
ELIZABETHS EXTRA CLEAN CLEANING SVC	38,840	USM	COVID related custodial services contract to provide help to USM custodial staff during COVID response. Increase in positive cases increased quickly within a short timeframe and this contract will cover those services needed will provide cleaning and disinfecting services for COVID isolation areas.

Supplier Name	Amount	Camp	Summary Notes
POWERS ROOFING & SHEET METAL INC	38,400	UMFK	Extension to previously competitively bid contract as resources were directed to COVID response.
JAMF SOFTWARE LLC	37,550	UMS	Extension of existing contract for remote management of computer devices, originally awarded via a competitive process so that no disruption of services will happen. A competitively-bid RFP is in development.
ASCEND LEARNING HOLDINGS LLC	35,550	USM	Technology-based assessment, testing, and certification solution for the nursing program. Attempts to roll these products under existing single contract failed due to supplier change in structure. ATI, a division of Ascend Learning, does not enter into contracts, only online click-through agreements, which UMS does not support, necessitating further investigation and negotiation with this supplier. Products needed for continuity for nursing students and their academic experience. A long-term plan for these services will be investigated and determined in the coming year and will be based primarily on program/student needs.
HONEYWELL INTRNTL INC	34,766	UMPI	Extension to previously competitively bid contract as resources were directed to COVID response.
PROQUEST LP	34,527	UM	Library subscription/publication services to extend for an additional year a competitively-bid subscription. Due to pandemic response activities, resources have been limited delaying the next RFP.
TURNITIN LLC	32,460	UMS	Contract extension (six month) of Turnitin anti-plagiarism software platform originally contracted for during the beginning of the pandemic (in support of shift to online learning). This extension allows for time to conduct an RFP for a permanent solution going forward.
PROQUEST LP	31,272	UM	Library subscription/publication services to extend for an additional year a competitively-bid subscription. Due to pandemic response activities, resources have been limited delaying the next RFP.
ATLASSIAN PTY LTD	31,000	UMS	Second year extension of existing contract for software platform used through UMS for issue tracking and knowledge management. RFP for new platform performed in 2020 but unable to move forward due to financial constraints introduced by the pandemic, thus putting on hold the purchase & implementation of the new system, but now have been resolved and transition is on track.

Supplier Name	Amount	Camp	Summary Notes
PROACTIVE SVCS	30,000	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
TEACHING CHANNEL INC	28,999	UMS	Second extension of contract for online teaching video library for classroom observation for teacher preparation programs, for use in lieu of in-person observations. This contract extends to all campuses and provide unlimited access to faculty, undergraduate and graduate students. This was most important last year since we are moving to on-line learning with limited access to schools and equally important this year as we navigate our way back to in-person teaching. Long-term RFP is in development.
RJ MORIN INC	28,833	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
LABSTER INC	28,279	UMS	Extension to current contract for software license in support on online labs. Extension is to avoid disruption in services while resources have and continue to be directed to pandemic response.
MARK WRIGHT CONSTRUCTION & DISPOSAL	27,144	UMM	Extension to previously competitively bid contract as resources were directed to COVID response.
JAMF SOFTWARE LLC	26,960	UMS	Extension of existing contract for remote management of computer devices, originally awarded via a competitive process so that no disruption of services will happen. A competitively bid RFP is in development.
RJ MORIN INC	26,850	UMA	Extension to previously competitively bid contract as resources were directed to COVID response.
SULLIVAN & MERRITT CONSTRUCTORS INC	26,500	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
PROQUEST LP	26,106	UM	Library subscription/publication services to extend for an additional year a competitively bid subscription. Due to pandemic response activities, resources have been limited delaying the next RFP.

Supplier Name	Amount	Camp	Summary Notes
ASCEND LEARNING HOLDINGS LLC	25,000	UMFK	Technology-based assessment, testing, and certification solution for the nursing program. Attempts to roll these products under existing single contract failed due to supplier change in structure. ATI, a division of Ascend Learning, does not enter into contracts, only online click-through agreements, which UMS does not support, necessitating further investigation and negotiation with this supplier. Products needed for continuity for nursing students and their academic experience. A long-term plan for these services will be investigated and determined in the coming year and will be based primarily on program/student needs.
COMMON APPLICATION INC	25,000	USM	Membership renewal for software platform used to process incoming student applications. Conducting an RFP for this service has been delayed by the pandemic. An RFP is under development for the long-term.
JL HUNTLEY PLUMBING HEATING & EXCAVATION	25,000	UMM	Extension to previously competitively bid contract as resources were directed to COVID response.
MONSIDO INC	25,000	UMS	Web accessibility compliance system needed to meet regulatory requirements and avoid federal fines. RFP process was held but ended in no award. RFP was planned to be conducted in CY2021 but time did not allow so an additional extension is needed for planned RFP process.
PACIFIC & SOUTHERN CO INC	25,000	UM	Purchase of local marketing/advertising to promote graduate application fee waiver. New competitively-bid system-wide marketing contractor still being implemented and unable to provide this service in the short term.
HONEYWELL INTRNTL INC	24,366	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
HOPKINS PAVING LLC	24,255	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
POWERS ROOFING & SHEET METAL INC	23,980	UMPI	Extension to previously competitively bid contract as resources were directed to COVID response.

Supplier Name	Amount	Camp	Summary Notes
MAINSTAY	23,333	USM	Additional one-year renewal of student success software platform to allow time for competitively bid RFP. Platform provides a tool set for higher education that allows faculty to respond to student questions, send reminders, conduct surveys, register students for events and connects students to advisors.
BURMAN LAND & TREE CO LLC	22,650	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
SULLIVAN & MERRITT CONSTRUCTORS INC	22,500	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
SULLIVAN & MERRITT CONSTRUCTORS INC	22,500	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
SULLIVAN & MERRITT CONSTRUCTORS INC	22,200	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
NETWORK CRAZE TECHNOLOGIES INC	21,500	UMS	Purchase of 100 voice-over IP telephone sets for emergency replacement of failed telephone system at USM. System failure left multiple offices without voicemail and emergency dialing ability.
COMMON APPLICATION INC	21,291	UM	Membership renewal for software platform used to process incoming student applications. Conducting an RFP for this service has been delayed by the pandemic. An RFP is under development for the long-term.
ASCEND LEARNING HOLDINGS LLC	21,200	USM	Technology-based assessment, testing and certification solution for the nursing program. Attempts to roll these products under existing single contract failed due to supplier change in structure. ATI, a division of Ascend Learning, does not enter into contracts; only online click-through agreements, which UMS does not support necessitating further investigation and negotiation with this supplier. Products needed for continuity for nursing students and their academic experience. A long-term plan for these services will be investigated and determined in the coming year and will be based primarily on program/student needs.

Supplier Name	Amount	Camp	Summary Notes
PROQUEST LP	20,700	UM	Library subscription/publication services to extend for an additional year a competitively bid subscription. Due to pandemic response activities, resources have been limited delaying the next RFP.
RJ MORIN INC	20,680	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
BORDER ELECTRIC INC	20,000	UMM	One year extension of electrical services contract due to limited resources being directed to pandemic response. An RFP for these services is scheduled for FY23.
GOREACT	20,000	USM	Pandemic-related on-line teaching tool required for faculty to provide video assessments. One-year license extended due to prolonged nature of the pandemic. Long-term solution will be developed prior to license expiration.
GOREACT	20,000	USM	Pandemic-related on-line teaching tool required for faculty to provide video assessments. One-year license extended and additional time due to prolonged nature of the pandemic. Long-term solution will be developed prior to license expiration.
PACIFIC & SOUTHERN CO INC	20,000	UM	Purchase of local marketing/advertising to promote graduate application fee waiver. New competitively bid system-wide marketing contractor still being implemented and unable to provide this service in the short term.
POWER PRODUCTS LLC	20,000	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
WMTW	20,000	UM	Services for targeted marketing (TV and web) for Education and Nursing programs to drive incremental enrollment for the Fall 2022 academic year. In the future, competitively contracted system-wide marketing firm will implement similar campaigns, however the implementation timeline was such that handling this campaign outside of that process was needed in order to meet timeline.
DETERMINATION MARINE LLC	19,500	UM	Ship time and services to retrieve a research buoy that slipped its mooring. A competitively bid contract via an RFP is in process, however could not be finalized before this immediate need.
PROQUEST LP	19,097	UM	Library subscription/publication services to extend for an additional year a competitively bid subscription. Due to pandemic response activities, resources have been limited delaying the next RFP.

Supplier Name	Amount	Camp	Summary Notes
SMARTSHEET INC	19,080	UM	Forms management and workflow platform was selected in 2017 after considering four other systems. Purchase was intended to be a stop gap measure until competitively bid. The RFP has been awarded but time is required for implementation.
USIC HOLDINGS INC	19,062	USM	Emergency electrical services to restore complete power outage on the Gorham campus. On-Call supplier was not able to provide resources to deal with this level of emergency and recommended using this supplier who is equipped to handle high voltage emergency situations and was available immediately.
RJ MORIN INC	18,865	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
ASCEND LEARNING HOLDINGS LLC	18,788	USM	Technology-based assessment, testing, and certification solution for the nursing program. Attempts to roll these products under existing single contract failed due to supplier change in structure. ATI, a division of Ascend Learning, does not enter into contracts, only online click-through agreements, which UMS does not support, necessitating further investigation and negotiation with this supplier. Products needed for continuity for nursing students and their academic experience. A long-term plan for these services will be investigated and determined in the coming year and will be based primarily on program/student needs.
SMARTSHEET INC	18,750	UM	Forms management and workflow platform was selected in 2017 after considering four other systems. Purchase was intended to be a stop gap measure until competitively bid. The RFP has been awarded but time is required for implementation.
GAFTEK LLC	18,250	UMA	Pandemic-related contract extension to competitively bid contract for on call services for facilities management underground storage tanks. Due to COVID response resources were not able to rebid this contract.
COUNTY ABATEMENT INC	18,050	UMFK	Asbestos abatement services which were not expected to exceed threshold for competitive bidding. During demolition additional asbestos was discovered that needed to be removed.
HONEYWELL INTRNTL INC	17,500	UMF	Extension to previously competitively bid contract as resources were directed to COVID response.

Supplier Name	Amount	Camp	Summary Notes
MAINE FIRE PROTECTION SYSTS	17,275	UMPI	Extension to previously competitively bid contract as resources were directed to COVID response.
SELINA SUAREZ CONSULTING INC	16,950	UM	Unexpected additional time required for software implementation consulting support for Salesforce.com. Additional customizations were needed for tracking COVID cases on campus; these were outside the original scope of work, thus additional developer resources were required. Original contractor selected based on their ability to customize to UM's requirements where other consultants could not; this supplier recommended by the software manufacturer.
BLACK BEAR INN	16,940	UM	Rental of quarantine rooms for campus living at UMaine to house pandemic patients under quarantine due to lack of space on campus for quarantine rooms.
RJ MORIN INC	16,676	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
ASCEND LEARNING HOLDINGS LLC	16,538	USM	Technology-based assessment, testing, and certification solution for the nursing program. Attempts to roll these products under existing single contract failed due to supplier change in structure. ATI, a division of Ascend Learning, does not enter into contracts, only online click-through agreements, which UMS does not support, necessitating further investigation and negotiation with this supplier. Products needed for continuity for nursing students and their academic experience. A long-term plan for these services will be investigated and determined in the coming year and will be based primarily on program/student needs.
MAINE FIRE PROTECTION SYSTS	16,000	UMM	Extension to previously competitively bid contract as resources were directed to COVID response.
ALLEN & COLES MOVING	15,665	USM	Moving services to move multiple dorm contents in preparation for Fall semester. Supplier did have an competitively-bid buying consortium contract in the past which was used by USM. USM was unaware that the consortium contract was no longer a viable option but the contract was agreed to and work had started.

Supplier Name	Amount	Camp	Summary Notes
LITMUS SOFTWARE INC	15,000	USM	One year software subscription for email QA marketing platform. Previous provider canceled service with no notice and work proceeded quickly to find a replacement solution in order to remain able to market to prospective students. Two potential suppliers were contacted for pricing and both offered same price, selection was made on other additional factors. A long-term RFP is under development.
NATIVE MAINE PRODUCE & SPECIALTY FOODS	15,000	UM	Purchase of food for dining services provided to semester at sea students at the UMaine Darling Marine Center. Food is purchased from other contracted wholesale supplier, the local supermarket and this supplier based on which supplier has the best and most appropriate food available when needed. Purchases from this supplier have exceeded the bidding limit due to unanticipated rising costs due to current economic conditions.
PERFORMANCE FOODSERVICE NORTHCENTER	15,000	UM	One year extension of a previously competitively bid contract for food supplies, utilized by the UM Darling Marine Center. New RFP in development.
ROOF SYSTEMS OF MAINE	15,000	UMF	Extension to previously competitively bid contract as resources were directed to COVID response.
MERCIER LANDSCAPING LLC	14,990	USM	Work performed to repair section of stone wall which is being done in phases as budget allows. During this phase additional issues were discovered that could not be predicted along the front-facing section that runs along where people walk regularly, thus pushing the total expense above the intended initial repairs.
MOLECULAR RESEARCH LP	14,565	UM	Lab DNA sequencing services. Work was intended to be performed in house at UM, however resource availability issues prevented the work from being done in the time frame required by the project grantor. Therefore no time available for a competitive process - a known supplier with the needed capability was engaged.
CENTRAL EQUIPMENT CO	14,500	UM	Unexpected repairs to critical piece of facilities equipment (loader) was required, thus pushing the annual spend over the competitive procurement threshold. Competitive process required going forward in order to maintain this older piece of equipment.

Supplier Name	Amount	Camp	Summary Notes
SMARTSHEET INC	13,880	UMS	Forms management and workflow platform was selected in 2017 after considering four other systems. Purchase was intended to be a stop gap measure until competitively bid. The RFP has been awarded but time is required for implementation.
RJ MORIN INC	13,865	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
RJ MORIN INC	13,785	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
POWERS ROOFING & SHEET METAL INC	13,620	UMPI	Extension to previously competitively bid contract as resources were directed to COVID response.
BANGOR TIRE CO INC	12,900	UM	Combination of unexpected tire wear on show removal vehicles and global supply chain-driven cost increases drove costs over the bidding limit.
MECHANICAL SVCS INC	12,897	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
RJ MORIN INC	12,850	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
ROOF SYSTEMS OF MAINE	12,600	UM	Extension to previously competitively bid contract as resources were directed to COVID response.
COMMON APPLICATION INC	12,586	UM	Membership renewal for software platform used to process incoming student applications. Conducting an RFP for this service has been delayed by the pandemic. An RFP is under development for the long-term.
BARBRI INC	12,500	UM Law	Purchase of bar exam diagnostic tests for Maine Law students who are in jeopardy of failing the Universal Bar Exam. Maine Law is required to maintain a 75% overall bar passage rate in order to maintain accreditation. In the past there were few suppliers in this field and this supplier provided the product that met our needs best. Market research will determine how best to proceed for the long-term.

Supplier Name	Amount	Camp	Summary Notes
ATLASSIAN PTY LTD	12,410	UMS	One year extension of existing contract for software platform used through UMS for issue tracking and knowledge management. RFP for new platform performed in 2020 but unable to move forward due to financial constraints introduced by the pandemic, thus delaying the purchase & implementation of the new system (Team Dynamix). Implementation is in progress and users are being moved to the new platform in a phased manner to avoid disruption of critical business functions. Full implementation will likely take another one-two years.
ASCEND LEARNING HOLDINGS LLC	12,018	USM	Technology-based assessment, testing, and certification solution for the nursing program. Attempts to roll these products under existing single contract failed due to supplier change in structure. ATI, a division of Ascend Learning, does not enter into contracts, only online click-through agreements, which UMS does not support, necessitating further investigation and negotiation with this supplier. Products needed for continuity for nursing students and their academic experience. A long-term plan for these services will be investigated and determined in the coming year and will be based primarily on program/student needs.
TIDAL BAY CONSULTING LLC	11,900	UM	Purchase of consulting services for project management of UMaine Climate Adaptation Evaluation project. Cost of original scope of work, including stakeholder outreach, was below the threshold for competitive bidding. This outreach resulted in unexpected support, providing new opportunities for technical expertise and connections, thus increasing the scope and coordination of the contractor.
RESPONDUS INC	11,595	UMS	Extension of remote proctoring software license for service essential to ensure academic grade integrity in the online environment. Pandemic necessitated implementing such system. It has been determined to be an ongoing need and an RFP is in process.
FACILITY & CONSTRUCTION SVCS	11,350	UM	Unexpected increased costs of window ledge repairs at Winslow Hall at UMaine. While conducting more routine repairs, it was found that some ledges were in a further state of disrepair and needed immediate remediation.
CANFIELD SYSTEMS INC	11,250	USM	Emergency repair to public address system in the field house by supplier currently handling the maintenance agreement. System can no longer be repaired and must be replaced in order to continue upcoming track meets and public events in the venue.

Supplier Name	Amount	Camp	Summary Notes
MODERN DECORATORS LLC	11,100	UM	Unanticipated costs of an added coat of paint for a painting project that in turn pushed project over the bidding threshold.
COMMON APPLICATION INC	11,062	UM	Membership renewal for software platform used to process incoming student applications. Conducting an RFP for this service has been delayed by the pandemic. An RFP is under development for the long-term.
DUNBAR & BRAWN CONSTRUCTION INC	10,911	UM	Repair and refinishing services for the trophy case in the Memorial Gym at UMaine. Cost of these services exceeded anticipated amount because of unforeseen damage needing remediation. The original manufacturer of the case performed the work.
BRONSON AUDIO VISUAL	10,740	UM	Purchase of audio-visual services for commencement which have already taken place for which no competitive process was done. Initial services expected to be under \$10K but an additional (4th) ceremony was added at the last minute, thus exceeding the bidding threshold.
FURBUSH ROBERTS PRINTING CO INC	10,396	UM	Typically annual spend with this supplier is below bidding threshold but one large printing order came through to UMaine Printing Services for which a portion required subcontracting, thus pushing the total spend over the bid limit. Printing Services will seek alternative sources going forward or use an existing UMS contract, if available
HONEYWELL INTRNTL INC	10,150	UM	Extension to previously competitively bid contract as resources were directed to COVID response.

11.1

LIBRARY COLLECTIONS

Purchase of materials for addition to a library collection including costs of books, catalogs, periodicals, audiovisual and electronic media, and other publications. For example, the first transaction listed with Elsevier is for an extensive collection of online academic journals, books and other content made available across the UMS.

Supplier Name	Amount	Campus
EBSCO INFO SVCS EBSCO SUBSCRIP SVCS	150,307	UM
SPRINGER NATURE CUSTOMER SVC CTR LLC	81,167	UM
CLARIVATE ANALYTICS US LLC	79,062	USM
ELSEVIER INC	46,491	UM

Supplier Name	Amount	Campus
OCLC INC	46,167	UM
OCLC INC	45,000	USM
OCLC INC	43,461	USM
S & P CAPITAL IQ LLC	41,200	UM
EBSCO INFO SVCS EBSCO SUBSCRIP SVCS	34,839	UM
JSTOR	29,522	USM
EBSCO INFO SVCS EBSCO SUBSCRIP SVCS	26,926	UM
ELSEVIER INC	26,911	USM
OCLC INC	26,903	UM
ELSEVIER INC	21,022	UMF
UNIV OF CHICAGO	19,095	UM
JSTOR	15,318	UM
OCLC INC	14,888	UM
EBSCO INFO SVCS EBSCO SUBSCRIP SVCS	13,387	UM
IBISWORLD INC	13,040	USM
EBSCO INFO SVCS EBSCO SUBSCRIP SVCS	12,408	UM
WALDO	12,015	UM
CENTER FOR RESEARCH LIBRARIES	11,397	UM

11.1

FY22 CONTRIBUTIONS GREATER THAN \$1,000

Payee	Amount	Description
OSHER MAP LIBRARY FOUNDATION	246,026	The donation of the Osher Map Library holdings to USM included a requirement that the funds held in the USM Cartography Endowment Fund be transferred to the Osher Map Library Foundation
HOCKEY EAST	101,000	Annual membership in the Hockey East Athletic Conference for UMaine
AMERICA EAST CONFERENCE	75,000	Annual membership in the America East Athletic Conference for UMaine and annual membership in the America East Academic Consortium

Payee	Amount	Description
INTERNET2	61,429	Annual membership fees for the Internet2 (an association of over 500 higher education and research institutes who share a coast-to-coast high speed dedicated research information network)
ASSN OF PUBLIC & LAND GRANT UNIVS	44,548	Annual membership dues for the Association of Public & Land Grant Universities for UMaine
NATL CTR FOR FACULTY DEVELOP & DIVERSITY	37,750	Annual membership for the National Center for Faculty Development and Diversity for UMaine
NORTH ATLANTIC CONFERENCE	36,720	Annual membership dues for the North Atlantic (athletic) Conference for UMF and UMPI
CAA FOOTBALL	33,750	Annual membership dues for the Colonial Athletic Association for the UMaine football program
AMER ASSN OF COLLEGES OF NURSING	32,307	Annual membership in the American Association of Colleges of Nursing for UMF and USM
UNIV OF RHODE ISLAND	30,608	Grant-funded annual membership dues for the Northeastern Regional Association of State Agricultural Experiment Station Directors operated by University of Rhode Island
LITTLE EAST CONFERENCE	26,000	Annual athletic conference dues for the Little East Conference for USM Athletics
COUNCIL FOR OPPORTUNITY IN EDUCATION	25,175	Grant funded annual membership dues for the Council for Opportunity in Education for UMF, UMF, UMPI, USM
AMERICAN ASSN OF STATE COLLEGE	24,216	Annual membership dues for the American Association of State Colleges; Annual Membership in Grants Resource Center
EDUCAUSE INC	23,446	Annual membership dues in EDUCAUSE professional association for IT for all UMS campuses
COMMON APPLICATION INC	19,374	Annual membership dues for the Common Application (allows prospective students to use a common application supported by over 750 institutions)
QUILT INC	18,500	Annual membership dues for Quilt, a national coalition of non-profit U.S. regional research and education networks representing 40 networks across the country, for NetworkMaine at UMS
NC SARA	18,000	Annual membership for the National Council for State Authorization Reciprocity Agreements

Payee	Amount	Description
AMERICAN ASSN COLLEGES FOR TEACHER EDUC	16,780	Annual membership to the American Association of Colleges for Teacher Education for UMaine and UMF
COUNCIL ON SOCIAL WORK EDUC	15,016	Annual membership dues for the Council on Social Work Education for UMaine, UMPI, USM
MAINE & CO	15,000	Grant funded corporate level sponsorship of Maine & Company for UMS and UMaine
AMERICAN COUNCIL ON EDUCATION	13,702	Annual membership in the American Council on Education
ASSOCIATION OF ASSISTIVE TECH ACT PRGRMS	13,391	Grant funded annual membership dues for the Association of Assistive Technology Act Programs for UMA
ASSN OF AMERICAN LAW SCHOOLS	13,288	Annual membership in the Association of American Law Schools
NATIONAL ASSN STUDENT FINANC A	12,858	Annual membership dues for the National Association of Student Financial Aid Administrators
NORTHEAST EXTENSION DIRECTORS	12,138	Annual cost share for the operation of the Association of Northeast Extension Directors
USCAA	12,000	Annual Membership for the US Collegiate Athletic Association for UMA and UMFK
ASSOCIATION OF GOV BOARDS OF UNIV & COLL	11,995	Annual membership dues for the Association of Governing Boards of Universities and Colleges
SHEEO	11,987	Annual membership in the State Higher Education Executive Officers association
NEREN INC	11,740	Annual membership dues for the Northeast Research and Education Network (fees for Internet network services for Internet2 provided by NEREN for NetworkMaine at UMS)
AAC & U	10,933	Annual membership in the Association of American Colleges and Universities
MAINE DISCOVERY MUSEUM	10,500	Sponsorship for the Maine Science Festival
MAINE STATE CHAMBER OF COMMERCE	9,750	Annual membership dues for Maine State Chamber of Commerce and Sponsorship of Annual Meeting
COUNCIL FOR ADVANCE & SUPPORT OF EDUC	9,745	Membership dues for the Council for Advancement and Support of Education

Payee	Amount	Description
COUNCIL OF PUBLIC LIBERAL ARTS COLLEGES	9,500	Annual membership dues for the Council of Public Liberal Arts Colleges for UMF
ASSN OF COLLEGIATE SCHOOLS OF ARCHITECT	9,131	Annual membership in the Association of Collegiate Schools of Architecture
NACUBO	9,093	Annual membership dues for the National Association of College and University Business Officers
RESEARCH AMERICA	8,600	Annual membership for Research America for UMaine
ABET INC	8,505	Annual membership dues to the Accreditation Board for Engineering and Technology for USM
NCAA	7,800	Annual membership dues for the National Collegiate Athletic Association for UMF, UMaine, UMPI, USM
BETA GAMMA SIGMA INC	7,725	Annual membership to Beta Gamma Sigma International Business Honor Society
WICHE	7,650	Annual membership dues for the Western Interstate Commission for Higher Education for their State Authorization Reciprocity Agreement programs
IACMI	7,500	Annual membership in IACMI- The Composites Institute for UMaine
SECOND NATURE INC	7,200	Annual membership dues for the Presidents' Climate Leadership Commitment through Second Nature for UMA, UMF, UMFK, UMaine, UMPI
ASSOCIATION OF UNIV CTRS ON DISABILITIES	7,072	Annual membership for the Association of University Centers on Disabilities
MAINE PUBLIC HEALTH ASSN	7,070	Annual membership dues for the Maine Public Health Association
NATIONAL STUDENT CLEARINGHOUSE	6,960	Annual membership dues for the National Student Clearinghouse Student Tracker program
CAMPUS COMPACT	6,874	Grant funded National Campus Compact membership dues
COUNCIL OF GRADUATE SCHOOLS	5,830	Annual membership dues for the Council of Graduate Schools for UMaine and USM
AMERICAN SCTY FOR ENGINEERING	5,539	Annual membership dues for the American Society for Engineering Education

Payee	Amount	Description
CITI PROGRAM A DIVISION OF BRANY	5,500	Annual subscription for the CITI Program for research ethics and compliance training
NEW ENGLAND REGIONAL FELLOWSHIP CONSORTI	5,500	Grant funded sponsorship of research fellowships with the New England Regional Fellowship Consortium for the Osher Map Library at USM
SEA GRANT ASSN	5,500	Grant funded membership for the Sea Grant Association
AMERICAN BAR ASSN	5,285	Annual membership in the American Bar Association
BANGOR REGION CHAMBER OF COMMERCE	5,213	Annual membership dues and Sponsorship for the Bangor Region Chamber of Commerce
COUNCIL FOR HIGHER EDUC ACCREDITATION	5,115	Annual membership for the Council for Higher Education Accreditation
COLLEGE OF THE ATLANTIC	5,000	Sponsorship of Oceanographic Regime Shifts research project
NEW ENGLAND BOARD OF HIGHER ED	5,000	Annual membership for the North Star Collective of the New England Board of Higher Education for USM
STONEHILL COLLEGE	5,000	Sponsorship of the Drifters: A Relaunch research project for UMaine
SUNRISE COUNTY ECONOMIC CNCL	4,972	Sponsorship of the Aquaculture Pilot Project research project for UMaine
MAINE CTR FOR COASTAL FISHERIES	4,835	Sponsorship of program development grant for River Herring Portal project
ASSOCIATION OF SMALL BUSINESS DEV CTR	4,600	Grant funded annual membership dues for the Association of Small Business Development Centers for USM
ANEP	4,500	Grant funded annual membership dues in the Association of National Estuary Programs
NEW ENGLAND HOCKEY CONFERENCE	4,500	Annual membership for the New England Hockey Conference (League) for USM
MAINE DEVELOPMENT FNDTN	4,225	Annual membership dues for Maine Development Foundation for UMA, UMF, USM and UMaine and sponsorship of Leadership Maine
NATIONAL ASSN OF COLLEGE & UNIV ATTRNYS	4,020	Annual membership dues for the National Association of College and University Attorneys for UMS

Payee	Amount	Description
MAINE ENGINEERING PROMOTIONAL CNCL	4,000	Sponsorship of Engineering Week
COMMONWEALTH OF MASSACHUSETTS	3,998	Annual access to the Massachusetts Centralized Clinical Placement System for the UMFK Nursing program
NATIONAL LEAGUE FOR NURSING	3,928	Annual membership dues for the National League for Nursing for UMA, USM
VISIT PORTLAND	3,908	Annual membership for Visit Portland for USM
AACRAO	3,805	Annual membership dues to the American Association of Collegiate Registrars and Admissions Officers
UNIQUE VENUES	3,785	Annual membership for the Unique Venues registry for UMaine
PORTLAND REGIONAL CHAMBER	3,680	Annual membership dues for the Portland Regional Chamber for USM
NATIONAL ASSN OF UNIV FOREST RSRCS PROGS	3,653	Annual membership dues for the National Association of Forest Resources Programs
APPA	3,503	Annual membership dues for the APPA: Leadership in Educational Facilities professional association
INCLUSION MAINE	3,500	Sponsorship of the Inclusion Maine Conference for USM
WATERVILLE COUNTRY CLUB INC	3,425	Annual membership for the Waterville Country Club for the UMF golf team for their home course
UPCEA	3,400	Annual Membership for the University Professional and Continuing Education Association for UMaine and USM
COLLEGES OF DISTINCTION	3,322	Annual membership dues for the Colleges of Distinction registry
NAFSA ASSN OF INTRNTL EDUCATOR	3,320	Annual membership for NAFSA: Association of International Educators
AACSB INTL	3,300	Annual membership dues to the Association to Advance Collegiate Schools of Business for USM
RSU 22	3,272	Annual membership dues for the Penobscot Region Educational Partnership for which RSU 22 serves as fiscal agent

Payee	Amount	Description
UNIV OF MINNESOTA	3,229	Annual membership for the Open Education Network for USM for which the University of Minnesota is fiscal agent
NATL ORG DISORDERS OF CORPUS CALLOSIUM	3,208	Annual membership in the National Organization for Disorders of the Corpus Callosum for UMaine
COMPANSOL	3,180	Grant funded annual membership fees for databases in support of the Upward Bound and TRiO programs
NERCOMP INC	3,096	Annual membership dues for the Northeast Regional Computing Program, the regional affiliate of EDUCAUSE and an association of IT professionals in New England
NACAS	3,047	Annual membership for National Association of College Auxiliary Services
LITERACY VOLUNTEERS OF BANGOR	3,000	Sponsorship of the Literacy Volunteers of Bangor Annual Literacy Tea
NACEP	3,000	Annual membership for the National Alliance of Concurrent Enrollment Partnerships
NATL NETWORK OF PUBLIC HEALTH INSTS INC	3,000	Membership in the National Network of Public Health Institutes
NERACOOS	3,000	Annual membership dues for the Northeastern Regional Association of Coastal Ocean Observing Systems for UMaine
NIWR	3,000	Annual membership for the National Institutes for Water Resources for UMaine
COMPUTING RESEARCH ASSN	2,946	Annual membership in the Computing Research Association for UMaine
MAINE INTL TRADE CTR	2,750	Annual membership dues for the Maine International Trade Center and sponsorship of Maine Trade Day
YANKEE SMALL COLLEGE CONFERENCE	2,750	Annual Membership dues for the Yankee Small College (athletic) Conference for UMA and UMM Athletics
NATIONAL ASSN COLLEGE ADMISSION COUNSEL	2,700	Annual membership dues for the National Association of Admissions Counselors for UMF, UMM, UMaine, UMPI
EASTERN COLLEGE ATHLETIC CONF	2,600	Annual membership in the Eastern College Athletic Conference for UMaine

Payee	Amount	Description
ARCUS	2,500	Annual membership in the Arctic Research Consortium of the United States for UMaine
CUTC	2,500	Sponsorship of the Council of University Transportation Centers summer meeting
MAINE AQUACULTURE ASSN	2,500	Sponsorship award for the Buoy Maine business pitch competition funded by the National Sea Grant Office for which the UMaine Sea Grant program acts as fiscal agent
MAYO STREET ARTS	2,500	Sponsorship of the Portland Theater Festival for USM
NEW ENGLAND COUNCIL INC	2,500	Annual membership for the New England Council
OHIO STATE UNIV	2,500	Annual membership for the Higher Education Center for Alcohol and Drug Misuse Prevention and Recovery for which Ohio State is fiscal agent
NASM	2,497	Annual membership in the National Association of Schools of Music for UMaine and USM
NATIONAL ASSN STUDENT FINANC AID ADMINS	2,473	Annual membership dues for the National Association of Student Financial Aid Administrators
NACADA	2,455	Annual membership dues for the NACADA: The Global Community for Academic Advising
INDEPENDENT COLLEGE BOOKSTORE	2,400	Annual membership for the Independent College Bookstore Association for UMaine
NATIONAL ORG OF NURSE PRACTITI	2,400	Annual membership in the National Organization of Nurse Practitioner Faculties for UMaine and USM
NASPA STUDENT AFFAIRS ADMINS IN HIGH EDU	2,396	Annual membership dues for NASPA - Student Affairs Administrators in Higher Education for UMA, UMaine, USM
ADHA	2,340	Annual membership in the American Dental Hygienists' Association
CASE	2,305	Membership dues for the Council for Advancement and Support of Education
INDIANA UNIV	2,250	Membership for the Research and Education Networking Information Sharing and Analysis Center for UMS
NATA	2,235	Annual membership in the National Athletic Trainers' Association for UMaine

Payee	Amount	Description
NIRSA	2,145	Annual memberships for the National Intramural and Recreational Sports Association
IEEE	2,017	Annual memberships in the Institute of Electrical and Electronics Engineers for UMaine
ACADEMYHEALTH	2,000	Annual membership dues to AcademyHealth. AcademyHealth is a nonpartisan, nonprofit professional organization dedicated to advancing the fields of health services research and health policy.
BENTHIC ECOLOGY MEETING	2,000	Sponsorship of the Benthic Ecology Meeting Society by UM
COLLEGE BOARD	2,000	Annual membership dues for the College Board
COMMISSION ON COLLEGIATE	2,000	Annual membership in the Commission on Collegiate Nursing Education for UMFK
EDUCATE MAINE	2,000	Sponsorship of Educate Maine Events
EQUAL JUSTICE WORKS	2,000	Annual membership for the Equal Justice Works for the Maine Law School
HIGHER EDUC USER GROUP INC	2,000	Annual membership dues for the Higher Education Users Group - a professional association for users of Oracle-based information systems
NATIONAL COUNCIL FOR STATE AUTH RECIPROCIY AGREEMENTS	2,000	Annual membership for the National Council for State Authorization Reciprocity Agreements
NEW MAINERS PUBLIC HEALTH INITIATIVE	2,000	Sponsorship of the Strengthening the CHW Workforce research project for UMA
NEW ENGLAND DIV III XC TRACK & FIELD ASN	1,950	Annual memberships for the New England Division Three Cross Country Track and Field Association for UMF, USM and UMPI
AWP	1,900	Annual membership for the Association of Writers & Writing Programs
MACHIAS BAY AREA CHAMBER OF CO	1,900	Annual membership dues for the Machias Bay Area Chamber of Commerce for UMM
NATIONAL ASSN OF MARINE LABORATORIES	1,900	Annual membership for the National Association of Marine Laboratories
AHEAD	1,853	Annual membership dues for the Association on Higher Education and Disability

Payee	Amount	Description
NASAD	1,771	Annual membership in the National Association of Schools of Art & Design for UMaine and USM
NASH	1,761	Annual membership for National Association of System Heads
KENNEBEC VLY CHAMBER OF COMMERCE	1,737	Annual membership dues for the Kennebec Valley Chamber of Commerce for UMA
NATIONAL COUNCIL OF UNIVERSITY RESEARCH ADMINISTRATORS	1,710	Sponsorship for the Region One conference of the National Council of University Research Administrators for USM
ONLINE LEARNING CONSORTIUM INC	1,700	Membership for the Online Learning Consortium
ASSOCIATION OF AMERICAN COLLEG	1,657	Annual membership for the American Association of Colleges and Universities for UMPI
MEEOA	1,650	Annual membership dues for the Maine Educational Opportunity Association
MAINE STATE BAR ASSN	1,645	Annual membership dues for the Maine State Bar Association for Maine Law
MODERN LANGUAGE ASSN	1,645	Annual membership dues for the Modern Language Association of America
MAND	1,600	Sponsorship for the Maine Academy of Nutrition and Dietetics for UMaine
MAINE SUMMER CAMPS	1,568	Annual membership in Maine Summer Camps association
NFHCA	1,525	Annual memberships for the National Field Hockey Coaches Association for UMF
ECEDHA	1,500	Annual memberships in the Electrical and Computer Engineering Department Heads Association
NCCI	1,500	Annual membership dues for the Network for Change and Continuous Improvement for UMS
NEW ENGLAND COLLEGIATE CONFERENCE INC	1,500	Annual membership in the New England Collegiate Conference for UMF
PARTNERS FOR WORLD HEALTH	1,500	Sponsorship for the Blue Wrap Project Runway event by the Partners for World Health for USM
TRUSTEES OF PHILLIPS ACADEMY	1,500	Annual membership for the Institute for Recruitment of Teachers for UMaine for which Phillips Exeter Academy is fiscal agent

Payee	Amount	Description
UNITED TECHNOLOGIES CTR MVR4	1,500	Sponsorship of the Maine Career and Technical Education Experiential Learning Conference for UMaine
WEPAN INC	1,500	Annual membership for the Women in Engineering ProActive Network for UMaine
PENNSYLVANIA STATE UNIV	1,450	Annual membership for the Center for Collegiate Mental Health for which Penn State is the fiscal agent
INACSL	1,435	Annual membership in the International Nursing Association for Clinical Simulation and Learning for USM
AVIATION ACCREDITATION BOARD INTRNTL	1,420	Annual membership dues for the Aviation Accreditation Board International for UMA
AMERICAN COLLEGIATE HOCKEY ASS	1,400	Annual membership in the American Collegiate Hockey Association for USM
SOIL SCIENCE SCTY OF AMERICA INC	1,385	Annual membership for the Soli Science Society of America
AMERICAN MATHEMATICAL SCTY	1,356	Annual membership dues for the American Mathematical Society
US TRACK & FIELD CRS CNTRY COACHES ASSN	1,350	Annual membership dues for the U.S. Track & Field and Cross-Country Coaches Association for UM and USM
AASHE	1,345	Annual membership dues to the Association for the Advancement of Sustainability in Higher Education
APPIC	1,330	Annual membership dues to the Association of Psychology Postdoctoral and Internship Centers
AM ASSOC OF LAW LIB	1,304	Annual membership dues for the American Association of Law Libraries
NCHC	1,300	Annual membership dues for the National Collegiate Honors Council
NATL ASSOC OF EDUCATIONAL PROCUREMENT	1,275	Membership in the National Association of Educational Procurement
NEOA	1,265	Annual memberships for the New England Educational Opportunity Association for UMaine
NADD	1,200	Annual membership for the National Association of Deans and Directors of Schools of Social Work

Payee	Amount	Description
NATL ORG OF RESEARCH DEVELOPMENT PROFS	1,194	Annual membership for the National Organization of Research Development Professors for UMaine
NATIONAL COLLEGE ATTAINMENT NETWORK	1,160	Annual membership for the National College Attainment Network
INTRNATIONAL DISTRICT ENERGY ASSN	1,150	Annual membership dues for the International District Energy Association for UMaine
IUFRO	1,110	Annual membership for the International Union of Forest Research Organizations
AMERICAN INTL RECRUITMENT COUN	1,100	Annual membership in the American International Recruitment Council for UMaine
THE GERONTOLOGICAL SOCIETY OF AMERICA	1,094	Annual membership for the Gerontological Society of America for UMaine
NACA	1,080	Annual membership for the National Association for Campus Activities
MAINE ASSN OF EXT AGENTS	1,045	Annual membership for the Maine Association of Extension Agents
NATASSOC4EDUYNGCHD	1,038	Annual membership for the National Association for the Education of Young Children
WWW.USCSA.COM* USCSA	1,020	Annual membership for the United States Collegiate Ski and Snowboard Association for UMF
ISAAC	1,018	Annual membership in the International Society for Augmentative and Alternative Communication for UMaine