

Board of Trustees

Finance, Facilities & Technology Committee

October 26, 2022 at 9:00 am – 11:30 am

Zoom Meeting – No Physical Location Available

REVISED
10/21/2022

The public is invited to view the meeting on YouTube. The link to the Board of Trustees YouTube page can be found the Board website: <https://www.maine.edu/board-of-trustees/>

AGENDA

- 9:00am – 9:05am Call the meeting to order and Roll Call
- 9:05am – 9:10am **EXECUTIVE SESSION**
The Finance, Facilities, & Technology Committee will enter Executive Session under the provision of: 1 MRSA Section 405 6-C
- 9:10am – 9:55am
TAB 1 FY2023 Proposed Operating Budget Revisions
- 9:55am – 10:00am
TAB 2 Proposed Changes to Board of Trustee Policy 803 *Naming and Renaming of Physical Facilities*
- 10:00am – 10:05am
TAB 3 Lease Authorization Request, UM/UMM & USM
- 10:05am – 10:15am
TAB 4 Naming of UMaine Athletics New Softball Indoor Batting Pavilion
- 10:15am – 10:25am
TAB 5 Energy Savings Performance Contract (ESPC) Authorization, UMF
- 10:25am – 10:35am
TAB 6 Academy Building Exterior Restoration Increase Authorization, USM
- 10:35am – 10:40am
TAB 7 Skate Shop Lease, USM Ice Arena
- 10:40am – 10:55am
TAB 8 Review of IT Projects with a Value of \$250,000 or Greater
- 10:55am – 11:00am
TAB 9 Capital Project Status Report and Bond Projects Update, UMS
- 11:00am – 11:30am
TAB 10 Appropriation Allocation Model

Action items within the Committee purview are noted in green.

Items for Committee decisions and recommendations are noted in red.

Note: Times are estimated based upon the anticipated length for presentation or discussion of a particular topic. An item may be brought up earlier or the order of items changed for effective deliberation of matters before the Committee.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: FY2023 Proposed Operating Budget Revisions

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

Policy 701 – [Operating & Capital Budgets](#)

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

The FY2023 budgets have been revised by four (4) of the universities and those revised detailed budgets are included in the attached materials.

Vice Chancellor for Finance and Administration & Treasurer Ryan Low, along with the universities, will briefly present the FY2023 proposed operating budget revisions. Most changes reflect enrollment, residence hall occupancy and general operating expenses. Below is a comparison of the FY23 original vs. revised budgets for annual credit hours and residence hall occupancy for all universities as well as the revised operating budgets for four (4) of the universities.

CREDIT HOUR ENROLLMENT (excl. Early College)

	In-State		Out-of-State		AP		Total		Change	
	Original	Revised	Original	Revised	Original	Revised	Original	Revised	#	%
UMAINE	167,569	162,455	115,509	104,191	-	-	283,078	266,646	(16,432)	-5.8%
UMM	8,499	6,473	2,634	3,100	-	-	11,133	9,573	(1,560)	-14.0%
UMA	60,564	56,628	8,095	7,137	-	-	68,659	63,765	(4,894)	-7.1%
UMF	33,542	34,136	8,497	7,175	-	-	42,039	41,311	(728)	-1.7%
UMFK	6,346	6,132	6,601	4,507	5,637	4,070	18,584	14,709	(3,875)	-20.9%
UMPI	12,751	12,209	4,033	4,211	15,201	15,194	31,985	31,613	(372)	-1.2%
USM	118,645	112,406	27,931	25,282	6,657	5,311	153,233	142,999	(10,234)	-6.7%
LAW	5,850	5,818	2,006	1,967	-	-	7,856	7,785	(71)	-0.9%
TOTAL	413,766	396,257	175,306	157,570	27,495	24,575	616,567	578,401	(38,166)	-6.2%

10/14/2022

EARLY COLLEGE ENROLLMENT

	Early College		Change	
	Original	Revised	#	%
UMAINE	3,559	4,291	732	20.6%
UMM	2,218	2,389	171	7.7%
UMA	6,900	7,184	284	4.1%
UMF	705	1,671	966	137.0%
UMFK	3,766	3,172	(594)	-15.8%
UMPI	4,032	5,334	1,302	32.3%
USM	6,045	6,920	875	14.5%
TOTAL	27,225	30,961	3,736	13.7%

RESIDENCE HALL OCCUPANCY

	Original	Revised	Change	
			#	%
UMAINE	3,404	3,175	(229)	-6.7%
UMM	125	103	(22)	-17.6%
UMA	77	77	-	0.0%
UMF	895	719	(176)	-19.7%
UMFK	136	98	(38)	-27.9%
UMPI	175	175	-	0.0%
USM	1,121	1,271	150	13.4%
TOTAL	5,933	5,618	(315)	-5.3%

OPERATING BUDGETS

	Original Budget			Revised Budget			Net Change	Campus Reserves
	E&G	Auxiliary	Total	E&G	Auxiliary	Total		
UMA	\$ 341,634	\$(341,634)	\$ -	\$(725,131)	\$(341,634)	\$(1,066,765)	\$(1,066,765)	✓
UMFK	(287,060)	(650,940)	(938,000)	(190,013)	(747,987)	(938,000)	-	
UMPI	(1,912,211)	(545,995)	(2,458,206)	(1,855,211)	(602,995)	(2,458,206)	-	
USM	486,441	(486,441)	-	(1,188,554)	213,559	(974,995)	(974,995)	✓
TOTAL			\$(3,396,206)			\$(5,437,966)	\$(2,041,760)	

10/14/2022

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities and Technology Committee, approves the following resolution to be forwarded for Board of Trustee approval at the November 13-14, 2022 Board Meeting.

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee, and approves the FY2023 proposed operating budget revisions.

10/14/2022

University of Maine at Augusta FY23 Budget



- ▶ Budgeted credit hours down 6%
- ▶ Projected tuition shortfall \$1 million
- ▶ Budgeted contingency of \$200,000
- ▶ Current Reserves of \$10 million

University of Maine at Augusta FY23 Budget

- ▶ Close monitoring of vacant positions
- ▶ Close monitoring of travel and other expenses
- ▶ Possible deferral of certain initiatives/projects
- ▶ Additional targeted marketing for spring 2023
- ▶ Adjustments in FY24 budget proposal





FY23 Budget Challenges & Solutions

Challenges:

Tuition & Fees	-1,507,827
Room & Board	-331,236
Total Revenue Reduction	-1,839,063

Solutions:

HEERF (Lost Rev \$)	853,884
AP Commission	301,500
Attrition (inc. benefits)	294,808
Savings - adj/overloads	235,264
Scholarships	108,663
Dining/misc	44,944
Total Budget Solutions	1,839,063

FY23 Budget Updates for the University of Maine at Presque Isle

- ❖ *Increases in Expense include Energy Costs (Fuel Oil in particular)*
- ❖ *Decreases in Revenue include AP (smaller population of students) which also includes a decrease in the 'payout' to AP because of the smaller student population.*
- ❖ *Decrease in Revenue for Traditional students (less In-State Students) which also includes a decrease in fee collection*
- ❖ *Early College has an increase in students and, at the same time, a decrease in tuition income as a result of EC tuition waivers (MSSM - students signed up for an Associate Degree)*

EXPECTthe**UNEXPECTED**

UNIVERSITY of MAINE *at* PRESQUE ISLE

How will that be covered?

- ❖ *The increase is \$260,841*
- ❖ *We will be covering that with attrition and decreasing compensation from those being released from their positions*
- ❖ *We are in same position now (in terms of our ability to cover all that is not supported with Stabilization funds) as we were when the budget was originally submitted.*

EXPECTthe**UNEXPECTED**

UNIVERSITY of MAINE *at* PRESQUE ISLE



UNIVERSITY OF SOUTHERN MAINE

Finance/Facilities/Technology Committee

BUDGET UPDATE

October 26, 2022

USM FY 2023 Budget Update

- **For its FY 2023 budget, USM adopted UMS' conservative enrollment forecast, reflecting a ~2.5% overall reduction in credit hours.**
 - *As of October 15 Census, Tableau reflects a reduction of 5.0%*
 - *As of USM's update to FFT on August 24, that reduction was 6.7%*
 - ***Each 1% change in credit hours—increase or decrease—equates to a ~\$700,000 budget impact → Therefore, a reduction of ~2.5% would equal a ~\$1.75 million budget shortfall***
 - *To be conservative, USM has removed ~\$2 million in revenue from its FY 2023 budget*
- **To address the enrollment shortfall, USM will utilize the following sources to address the corresponding budget gap:**
 - *Deploy Federal Relief Funds: USM has ~\$1 million in federal relief funds remaining*
 - *Increase Housing & Dining Revenue: USM budgeted for 95% capacity in its residence halls; at census, the occupancy rate was 108%, which equates to an additional ~\$700,000 in revenue*
 - *Remove Bad Debt Expense: USM carries an annual bad debt expense of ~\$300,000, which the University has removed from its expenses for FY 2023.*

USM FY 2023 Budget Update – Budget Adjustment

	SOURCES	USES
<i>Enrollment Shortfall (2.5%)</i>	\$2,003,238	
<i>Federal Relief Funds</i>		(\$975,000)
<i>Housing & Dining</i>		(\$700,000)
<i>Bad Debt Expense</i>		(\$328,238)
Total	\$2,003,238	(\$2,003,238)

- *Earlier this month, USM initiated its annual budget forecasting process, which will shed additional light on real-time budget performance.*

Other FY 2023 Budget Resources

- USM has preserved its E&G Reserve throughout pandemic → ~\$6m balance.
- This figure represents ~5% of USM's operating budget; therefore, the University should work to continue to grow its reserve rather than depleting resources.

University of Maine at Augusta

FY 23 Revision 10/26/22

E&G

			BASE BUDGET FY23			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ 22,100,722	\$ 22,433,422	\$ 23,410,593	\$ 22,343,828	\$ (1,066,765)	-4.6%
Dining Revenue	-	-	-	-	-	-%
Residence Revenue	-	-	-	-	-	-%
Tuition Waivers/Scholarships	(3,710,984)	(3,183,340)	(2,692,988)	(2,692,988)	-	0.0%
Net Student Charges Revenue	18,389,739	19,250,082	20,717,605	19,650,840	(1,066,765)	-5.1%
State Appropriation	18,373,781	19,466,151	21,193,991	21,193,991	-	0.0%
HEERF Relief - Lost Revenue	238,653	-	-	-	-	-%
Indirect Cost Recovery	142,954	154,265	160,885	160,885	-	0.0%
Investment Income/Gifts	-	-	-	-	-	-%
Sales/Services/Auxiliary	204,844	256,444	671,558	671,558	-	0.0%
Total Revenue	37,349,971	39,126,942	42,744,039	41,677,274	(1,066,765)	-2.5%
Expenses						
Salaries & Wages	\$19,216,960	\$19,961,860	\$22,062,775	\$22,068,373	\$5,598	0.0%
Attrition (Salary Only)	-	-	(946,129)	(946,129)	-	0.0%
Employee Benefits Including Attrition	8,014,177	9,141,354	8,857,997	8,852,399	(5,598)	-0.1%
Pandemic Pay	60,653	13,199	-	-	-	-%
Personnel	27,291,789	29,116,413	29,974,643	29,974,643	-	0.0%
Other Expenses & Transfers:						
Fuel & Electricity	591,360	851,440	694,275	694,275	-	0.0%
Supplies & Services	1,430,596	1,548,431	1,778,945	1,778,945	-	0.0%
Shared Services	4,799,954	4,858,709	4,985,046	4,985,046	-	0.0%
Travel	35,698	177,298	194,282	194,282	-	0.0%
Memberships, Contributions & Sponsorships	66,759	54,692	62,510	62,510	-	0.0%
Maintenance & Alterations	674,996	747,492	756,493	756,493	-	0.0%
Interest	21,269	12,809	2,890	2,890	-	0.0%
Depreciation	1,793,782	1,904,481	2,024,019	2,024,019	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	1,647,142	106,654	2,382,992	2,382,992	-	0.0%
Unassigned Budget	799	13,034	201,098	201,098	-	0.0%
Total Other Expenses & Transfers	11,062,355	10,275,040	13,082,550	13,082,550	-	0.0%
Total Operating Expenses & Transfers	38,354,144	39,391,453	43,057,193	43,057,193	-	0.0%
Operating Increase (Decrease)	\$ (1,004,173)	\$ (264,511)	\$ (313,154)	\$ (1,379,919)	\$ (1,066,765)	340.7%
Modified Cash Flow						
Operating Increase (Decrease)	\$ (1,004,173)	\$ (264,511)	\$ (313,154)	\$ (1,379,919)	\$ (1,066,765)	340.7%
Add Back Depreciation	1,793,782	1,904,481	2,024,019	2,024,019	-	0.0%
Less Capital Expenditures	(499,992)	(676,120)	(1,255,428)	(1,255,428)	-	0.0%
Less Capital Reserve Funding	-	-	-	-	-	-%
Less Debt Service Principal	(244,747)	(267,271)	(113,803)	(113,803)	-	0.0%
Net Change Before Other Adjustments & Transfers	44,870	696,578	341,634	(725,131)	(1,066,765)	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	-	-	-	
Net Change Subtotal	44,870	696,578	341,634	(725,131)	(1,066,765)	
Other Strategic Transfers from/(to) Reserves	77,808	(1,106)	-	725,131	725,131	
Net Change in Cash & Reserve Transfers	\$ 122,678	\$ 695,472	\$ 341,634	\$ -	\$ (341,634)	

University of Maine at Augusta		FY 23 Revision 10/26/22				
Auxiliary		BASE BUDGET FY23				
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	-%
Dining Revenue	2,110	12,428	7,000	7,000	-	0.0%
Residence Revenue	402,510	537,979	618,756	618,756	-	0.0%
Tuition Waivers/Scholarships	(32,480)	(41,000)	(69,305)	(69,305)	-	0.0%
Net Student Charges Revenue	372,141	509,407	556,451	556,451	-	0.0%
State Appropriation	-	-	-	-	-	-%
HEERF Relief - Lost Revenue	172,565	-	-	-	-	-%
Indirect Cost Recovery	-	-	-	-	-	-%
Investment Income/Gifts	-	-	-	-	-	-%
Sales/Services/Auxiliary	75,988	124,789	67,054	67,054	-	0.0%
Total Revenue	620,694	634,196	623,505	623,505	-	0.0%
Expenses						
Salaries & Wages	\$115,489	\$115,871	\$80,464	\$80,464	\$0	0.0%
Attrition (Salary Only)	-	-	-	-	-	-%
Employee Benefits Including Attrition	57,106	48,954	38,456	38,456	-	0.0%
Pandemic Pay	20	-	-	-	-	-%
Personnel	172,614	164,825	118,920	118,920	-	0.0%
Other Expenses & Transfers:						
Fuel & Electricity	590	1,747	2,000	2,000	-	0.0%
Supplies & Services	99,724	6,473	58,938	58,938	-	0.0%
Shared Services	-	-	-	-	-	-%
Travel	76	2,325	-	-	-	-%
Memberships, Contributions & Sponsorships	-	-	-	-	-	-%
Maintenance & Alterations	2,865	14,135	11,000	11,000	-	0.0%
Interest	1,415	381	261	261	-	0.0%
Depreciation	15,338	13,069	-	-	-	-%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	720,773	719,837	768,142	768,142	-	0.0%
Unassigned Budget	-	-	-	-	-	-%
Total Other Expenses & Transfers	840,781	757,968	840,341	840,341	-	0.0%
Total Operating Expenses & Transfers	1,013,395	922,793	959,261	959,261	-	0.0%
Operating Increase (Decrease)	\$ (392,701)	\$ (288,597)	\$ (335,756)	\$ (335,756)	\$ -	0.0%
Modified Cash Flow						
Operating Increase (Decrease)	\$ (392,701)	\$ (288,597)	\$ (335,756)	\$ (335,756)	\$ -	0.0%
Add Back Depreciation	15,338	13,069	-	-	-	-%
Less Capital Expenditures	(3,619)	(2,917)	-	-	-	-%
Less Capital Reserve Funding	-	-	-	-	-	-%
Less Debt Service Principal	(16,825)	(5,758)	(5,878)	(5,878)	-	0.0%
Net Change Before Other Adjustments & Transfers	(397,808)	(284,204)	(341,634)	(341,634)	-	
Transfer from/(to) Administrative Savings Rsv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	-	-	-	
Net Change Subtotal	(397,808)	(284,204)	(341,634)	(341,634)	-	
Other Strategic Transfers from/(to) Reserves	-	-	-	341,634	341,634	
Net Change in Cash & Reserve Transfers	\$ (397,808)	\$ (284,204)	\$ (341,634)	\$ -	\$ 341,634	

University of Maine at Augusta

FY 23 Revision 10/26/22

E&G and Auxiliary

			BASE BUDGET FY23			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ 22,100,722	\$ 22,433,422	\$ 23,410,593	\$ 22,343,828	\$ (1,066,765)	-4.6%
Dining Revenue	2,110	12,428	7,000	7,000	-	0.0%
Residence Revenue	402,510	537,979	618,756	618,756	-	0.0%
Tuition Waivers/Scholarships	(3,743,464)	(3,224,340)	(2,762,293)	(2,762,293)	-	0.0%
Net Student Charges Revenue	18,761,879	19,759,489	21,274,056	20,207,291	(1,066,765)	-5.0%
State Appropriation	18,373,781	19,466,151	21,193,991	21,193,991	-	0.0%
HEERF Relief - Lost Revenue	411,218	-	-	-	-	-%
Indirect Cost Recovery	142,954	154,265	160,885	160,885	-	0.0%
Investment Income/Gifts	-	-	-	-	-	-%
Sales/Services/Auxiliary	280,832	381,233	738,612	738,612	-	0.0%
Total Revenue	37,970,664	39,761,138	43,367,544	42,300,779	(1,066,765)	-2.5%
Expenses						
Salaries & Wages	\$19,332,449	\$20,077,731	\$22,143,239	\$22,148,837	\$5,598	0.0%
Attrition (Salary Only)	-	-	(946,129)	(946,129)	-	0.0%
Employee Benefits Including Attrition	8,071,282	9,190,309	8,896,453	8,890,855	(5,598)	-0.1%
Pandemic Pay	60,672	13,199	-	-	-	-%
Personnel	27,464,403	29,281,239	30,093,563	30,093,563	-	0.0%
Other Expenses & Transfers:						
Fuel & Electricity	591,950	853,187	696,275	696,275	-	0.0%
Supplies & Services	1,530,320	1,554,905	1,837,883	1,837,883	-	0.0%
Shared Services	4,799,954	4,858,709	4,985,046	4,985,046	-	0.0%
Travel	35,774	179,623	194,282	194,282	-	0.0%
Memberships, Contributions & Sponsorships	66,759	54,692	62,510	62,510	-	0.0%
Maintenance & Alterations	677,861	761,627	767,493	767,493	-	0.0%
Interest	22,684	13,190	3,151	3,151	-	0.0%
Depreciation	1,809,120	1,917,549	2,024,019	2,024,019	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	2,367,915	826,491	3,151,134	3,151,134	-	0.0%
Unassigned Budget	799	13,034	201,098	201,098	-	0.0%
Total Other Expenses & Transfers	11,903,136	11,033,008	13,922,891	13,922,891	-	0.0%
Total Operating Expenses & Transfers	39,367,539	40,314,246	44,016,454	44,016,454	-	0.0%
Operating Increase (Decrease)	\$ (1,396,875)	\$ (553,109)	\$ (648,910)	\$ (1,715,675)	\$ (1,066,765)	164.4%
Modified Cash Flow						
Operating Increase (Decrease)	\$ (1,396,875)	\$ (553,109)	\$ (648,910)	\$ (1,715,675)	\$ (1,066,765)	164.4%
Add Back Depreciation	1,809,120	1,917,549	2,024,019	2,024,019	-	0.0%
Less Capital Expenditures	(503,611)	(679,037)	(1,255,428)	(1,255,428)	-	0.0%
Less Capital Reserve Funding	-	-	-	-	-	-%
Less Debt Service Principal	(261,572)	(273,029)	(119,681)	(119,681)	-	0.0%
Net Change Before Other Adjustments & Transfers	(352,938)	412,375	-	(1,066,765)	(1,066,765)	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	-	-	-	
Net Change Subtotal	(352,938)	412,375	-	(1,066,765)	(1,066,765)	
Other Strategic Transfers from/(to) Reserves	77,808	(1,106)	-	1,066,765	1,066,765	
Net Change in Cash & Reserve Transfers	\$ (275,130)	\$ 411,269	\$ -	\$ -	\$ -	

Univ of Maine at Fort Kent			FY 23 Revision 10/26/22			
E&G			BASE BUDGET FY23			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ 7,613,240	\$ 6,709,721	\$ 7,050,380	\$ 5,542,553	\$ (1,507,827)	-21.4%
Dining Revenue	-	-	-	-	-	-%
Residence Revenue	-	-	-	-	-	-%
Tuition Waivers/Scholarships	(1,146,329)	(1,050,884)	(1,030,000)	(921,337)	108,663	-10.5%
Net Student Charges Revenue	6,466,912	5,658,837	6,020,380	4,621,216	(1,399,164)	-23.2%
State Appropriation	7,818,908	8,590,375	8,737,138	8,737,138	-	0.0%
HEERF Relief - Lost Revenue	-	928,261	-	621,138	621,138	-%
Indirect Cost Recovery	22,107	46,782	43,000	43,000	-	0.0%
Investment Income/Gifts	-	-	-	-	-	-%
Sales/Services/Auxiliary	333,533	279,446	307,250	307,250	-	0.0%
Total Revenue	14,641,459	15,503,701	15,107,768	14,329,742	(778,026)	-5.1%
Expenses						
Salaries & Wages	\$6,469,136	\$6,361,134	\$7,285,069	\$6,957,833	(\$327,236)	-4.5%
Attrition (Salary Only)	-	-	(50,000)	(245,237)	(195,237)	390.5%
Employee Benefits Including Attrition	2,702,790	2,691,623	2,997,115	2,852,570	(144,545)	-4.8%
Pandemic Pay	31,310	3,164	-	-	-	-%
Personnel	9,203,236	9,055,921	10,232,184	9,565,166	(667,018)	-6.5%
Other Expenses & Transfers:						
Fuel & Electricity	389,087	462,330	450,300	450,300	-	0.0%
Supplies & Services	994,683	1,379,682	1,542,425	1,234,462	(307,963)	-20.0%
Shared Services	2,025,723	2,114,866	2,177,618	2,177,618	-	0.0%
Travel	50,499	299,530	267,538	265,038	(2,500)	-0.9%
Memberships, Contributions & Sponsorships	42,775	48,948	45,305	45,305	-	0.0%
Maintenance & Alterations	75,515	123,670	170,150	170,150	-	0.0%
Interest	25,470	20,328	15,044	15,044	-	0.0%
Depreciation	743,447	806,310	828,880	828,880	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	274,415	617,472	(12,288)	94,429	106,717	-868.5%
Unassigned Budget	800	-	17,453	13,145	(4,308)	-24.7%
Total Other Expenses & Transfers	4,622,414	5,873,135	5,502,425	5,294,371	(208,054)	-3.8%
Total Operating Expenses & Transfers	13,825,650	14,929,056	15,734,609	14,859,537	(875,072)	-5.6%
Operating Increase (Decrease)	\$ 815,809	\$ 574,645	\$ (626,841)	\$ (529,795)	\$ 97,046	-15.5%
Modified Cash Flow						
Operating Increase (Decrease)	\$ 815,809	\$ 574,645	\$ (626,841)	\$ (529,795)	\$ 97,046	-15.5%
Add Back Depreciation	743,447	806,310	828,880	828,880	-	0.0%
Less Capital Expenditures	(355,287)	(385,501)	(211,176)	(211,176)	-	0.0%
Less Capital Reserve Funding	-	-	-	-	-	-%
Less Debt Service Principal	(356,953)	(358,701)	(277,923)	(277,922)	1	0.0%
Net Change Before Other Adjustments & Transfers	847,015	636,753	(287,060)	(190,013)	97,047	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	287,060	190,013	(97,047)	
Net Change Subtotal	847,015	636,753	-	-	-	
Other Strategic Transfers from/(to) Reserves	30,757	(415)	-	-	-	
Net Change in Cash & Reserve Transfers	\$ 877,772	\$ 636,338	\$ -	\$ -	\$ -	

Univ of Maine at Fort Kent		FY 23 Revision 10/26/22				
Auxiliary		BASE BUDGET FY23				
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	-%
Dining Revenue	492,045	534,142	561,200	392,454	(168,746)	-30.1%
Residence Revenue	616,064	655,507	674,820	498,930	(175,890)	-26.1%
Tuition Waivers/Scholarships	(158,260)	(158,830)	(143,000)	(143,000)	-	0.0%
Net Student Charges Revenue	949,849	1,030,819	1,093,020	748,384	(344,636)	-31.5%
State Appropriation	-	-	-	-	-	-%
HEERF Relief - Lost Revenue	-	297,529	-	232,746	232,746	-%
Indirect Cost Recovery	-	-	-	-	-	-%
Investment Income/Gifts	-	-	-	-	-	-%
Sales/Services/Auxiliary	119,424	109,582	72,850	72,850	-	0.0%
Total Revenue	1,069,273	1,437,931	1,165,870	1,053,980	(111,890)	-9.6%
Expenses						
Salaries & Wages	\$239,814	\$242,322	\$261,234	\$261,234	\$0	0.0%
Attrition (Salary Only)	-	-	-	-	-	-%
Employee Benefits Including Attrition	110,559	107,560	117,841	117,841	-	0.0%
Pandemic Pay	1,616	231	-	-	-	-%
Personnel	351,989	350,113	379,075	379,075	-	0.0%
Other Expenses & Transfers:						
Fuel & Electricity	143,067	165,136	179,680	179,680	-	0.0%
Supplies & Services	662,532	878,202	732,763	717,920	(14,843)	-2.0%
Shared Services	-	-	-	-	-	-%
Travel	300	89	3,000	3,000	-	0.0%
Memberships, Contributions & Sponsorships	90	90	90	90	-	0.0%
Maintenance & Alterations	22,286	49,567	24,200	24,200	-	0.0%
Interest	186,017	173,912	160,702	160,702	-	0.0%
Depreciation	234,803	233,041	231,532	231,532	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	67,747	(372,549)	44,682	44,682	-	0.0%
Unassigned Budget	-	-	-	-	-	-%
Total Other Expenses & Transfers	1,316,840	1,127,487	1,376,649	1,361,806	(14,843)	-1.1%
Total Operating Expenses & Transfers	1,668,830	1,477,600	1,755,724	1,740,881	(14,843)	-0.8%
Operating Increase (Decrease)	\$ (599,557)	\$ (39,670)	\$ (589,854)	\$ (686,901)	\$ (97,047)	16.5%
Modified Cash Flow						
Operating Increase (Decrease)	\$ (599,557)	\$ (39,670)	\$ (589,854)	\$ (686,901)	\$ (97,047)	16.5%
Add Back Depreciation	234,803	233,041	231,532	231,532	-	0.0%
Less Capital Expenditures	(11,083)	(7,378)	-	-	-	-%
Less Capital Reserve Funding	-	-	-	-	-	-%
Less Debt Service Principal	(255,704)	(270,909)	(292,618)	(292,618)	-	0.0%
Net Change Before Other Adjustments & Transfers	(631,540)	(84,915)	(650,940)	(747,987)	(97,047)	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	650,940	747,987	97,047	
Net Change Subtotal	(631,540)	(84,915)	-	-	-	
Other Strategic Transfers from/(to) Reserves	-	-	-	-	-	
Net Change in Cash & Reserve Transfers	\$ (631,540)	\$ (84,915)	\$ -	\$ -	\$ -	

Univ of Maine at Fort Kent

FY 23 Revision 10/26/22

E&G and Auxiliary

			BASE BUDGET FY23			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ 7,613,240	\$ 6,709,721	\$ 7,050,380	\$ 5,542,553	\$ (1,507,827)	-21.4%
Dining Revenue	492,045	534,142	561,200	392,454	(168,746)	-30.1%
Residence Revenue	616,064	655,507	674,820	498,930	(175,890)	-26.1%
Tuition Waivers/Scholarships	(1,304,589)	(1,209,714)	(1,173,000)	(1,064,337)	108,663	-9.3%
Net Student Charges Revenue	7,416,761	6,689,656	7,113,400	5,369,600	(1,743,800)	-24.5%
State Appropriation	7,818,908	8,590,375	8,737,138	8,737,138	-	0.0%
HEERF Relief - Lost Revenue	-	1,225,790	-	853,884	853,884	-%
Indirect Cost Recovery	22,107	46,782	43,000	43,000	-	0.0%
Investment Income/Gifts	-	-	-	-	-	-%
Sales/Services/Auxiliary	452,957	389,029	380,100	380,100	-	0.0%
Total Revenue	15,710,732	16,941,632	16,273,638	15,383,722	(889,916)	-5.5%
Expenses						
Salaries & Wages	\$6,708,950	\$6,603,456	\$7,546,303	\$7,219,067	(\$327,236)	-4.3%
Attrition (Salary Only)	-	-	(50,000)	(245,237)	(195,237)	390.5%
Employee Benefits Including Attrition	2,813,349	2,799,183	3,114,956	2,970,411	(144,545)	-4.6%
Pandemic Pay	32,927	3,395	-	-	-	-%
Personnel	9,555,225	9,406,034	10,611,259	9,944,241	(667,018)	-6.3%
Other Expenses & Transfers:						
Fuel & Electricity	532,154	627,466	629,980	629,980	-	0.0%
Supplies & Services	1,657,215	2,257,884	2,275,188	1,952,382	(322,806)	-14.2%
Shared Services	2,025,723	2,114,866	2,177,618	2,177,618	-	0.0%
Travel	50,799	299,619	270,538	268,038	(2,500)	-0.9%
Memberships, Contributions & Sponsorships	42,865	49,038	45,395	45,395	-	0.0%
Maintenance & Alterations	97,801	173,237	194,350	194,350	-	0.0%
Interest	211,487	194,239	175,746	175,746	-	0.0%
Depreciation	978,250	1,039,351	1,060,412	1,060,412	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	342,161	244,923	32,394	139,111	106,717	329.4%
Unassigned Budget	800	-	17,453	13,145	(4,308)	-24.7%
Total Other Expenses & Transfers	5,939,254	7,000,623	6,879,074	6,656,177	(222,897)	-3.2%
Total Operating Expenses & Transfers	15,494,480	16,406,657	17,490,333	16,600,418	(889,915)	-5.1%
Operating Increase (Decrease)	\$ 216,252	\$ 534,975	\$ (1,216,695)	\$ (1,216,696)	\$ (1)	0.0%
Modified Cash Flow						
Operating Increase (Decrease)	\$ 216,252	\$ 534,975	\$ (1,216,695)	\$ (1,216,696)	\$ (1)	0.0%
Add Back Depreciation	978,250	1,039,351	1,060,412	1,060,412	-	0.0%
Less Capital Expenditures	(366,370)	(392,879)	(211,176)	(211,176)	-	0.0%
Less Capital Reserve Funding	-	-	-	-	-	-%
Less Debt Service Principal	(612,657)	(629,609)	(570,541)	(570,540)	1	0.0%
Net Change Before Other Adjustments & Transfers	215,475	551,838	(938,000)	(938,000)	-	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	938,000	938,000	-	
Net Change Subtotal	215,475	551,838	-	-	-	
Other Strategic Transfers from/(to) Reserves	30,757	(415)	-	-	-	
Net Change in Cash & Reserve Transfers	\$ 246,232	\$ 551,423	\$ -	\$ -	\$ -	

Univ of Maine at Presque Isle				FY 23 Revision 10/26/22			
		E&G		BASE BUDGET FY23			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE		
Revenues							
Tuition & Fee Revenue	\$ 7,990,690	\$ 8,490,578	\$ 9,553,939	\$ 9,249,138	\$ (304,801)	-3.2%	
Dining Revenue	-	-	-	-	-	-%	
Residence Revenue	-	-	-	-	-	-%	
Tuition Waivers/Scholarships	(964,708)	(882,308)	(904,000)	(920,000)	(16,000)	1.8%	
Net Student Charges Revenue	7,025,982	7,608,270	8,649,939	8,329,138	(320,801)	-3.7%	
State Appropriation	8,013,478	8,616,602	8,813,733	8,813,733	-	0.0%	
HEERF Relief - Lost Revenue	-	-	-	-	-	-%	
Indirect Cost Recovery	169,942	149,884	170,000	170,000	-	0.0%	
Investment Income/Gifts	1,000	-	-	-	-	-%	
Sales/Services/Auxiliary	116,409	175,238	386,400	386,400	-	0.0%	
Total Revenue	15,326,811	16,549,993	18,020,072	17,699,271	(320,801)	-1.8%	
Expenses							
Salaries & Wages	\$7,513,836	\$8,421,291	\$9,092,778	\$8,897,060	(\$195,718)	-2.2%	
Attrition (Salary Only)	-	-	(155,510)	(132,595)	22,915	-14.7%	
Employee Benefits Including Attrition	3,216,482	3,992,747	3,806,181	3,718,051	(88,130)	-2.3%	
Pandemic Pay	23,658	22,895	-	-	-	-%	
Personnel	10,753,976	12,436,933	12,743,449	12,482,516	(260,933)	-2.0%	
Other Expenses & Transfers:							
Fuel & Electricity	395,264	541,905	620,300	691,640	71,340	11.5%	
Supplies & Services	1,236,215	1,825,654	2,746,980	2,555,280	(191,700)	-7.0%	
Shared Services	2,221,336	2,294,515	2,306,686	2,306,686	-	0.0%	
Travel	40,554	184,837	311,463	311,363	(100)	0.0%	
Memberships, Contributions & Sponsorships	44,104	40,785	74,605	68,105	(6,500)	-8.7%	
Maintenance & Alterations	330,790	417,227	305,450	305,450	-	0.0%	
Interest	53,276	49,453	45,548	45,548	-	0.0%	
Depreciation	867,952	923,817	1,071,105	1,071,105	-	0.0%	
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%	
Transfers to/(from) MEIF	-	-	-	-	-	-%	
Other Expenses & Transfers	165,055	(389,649)	423,691	426,691	3,000	0.7%	
Unassigned Budget	-	-	6,070	13,162	7,092	116.8%	
Total Other Expenses & Transfers	5,354,545	5,888,543	7,911,898	7,795,030	(116,868)	-1.5%	
Total Operating Expenses & Transfers	16,108,522	18,325,476	20,655,347	20,277,546	(377,801)	-1.8%	
Operating Increase (Decrease)	\$ (781,711)	\$ (1,775,483)	\$ (2,635,275)	\$ (2,578,275)	\$ 57,000	-2.2%	
Modified Cash Flow							
Operating Increase (Decrease)	\$ (781,711)	\$ (1,775,483)	\$ (2,635,275)	\$ (2,578,275)	\$ 57,000	-2.2%	
Add Back Depreciation	867,952	923,817	1,071,105	1,071,105	-	0.0%	
Less Capital Expenditures	(236,348)	(144,610)	(250,186)	(250,186)	-	0.0%	
Less Capital Reserve Funding	(19,982)	(122,184)	-	-	-	-%	
Less Debt Service Principal	(91,139)	(91,943)	(97,855)	(97,855)	-	0.0%	
Net Change Before Other Adjustments & Transfers	(261,228)	(1,210,402)	(1,912,211)	(1,855,211)	57,000		
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-		
Transfer from/(to) Budget Stabilization	-	1,199,659	1,912,211	1,855,211	(57,000)		
Net Change Subtotal	(261,228)	(10,743)	-	-	-		
Other Strategic Transfers from/(to) Reserves	(7,651)	10,743	-	-	-		
Net Change in Cash & Reserve Transfers	\$ (268,879)	\$ (0)	\$ -	\$ -	\$ -		

Univ of Maine at Presque Isle

FY 23 Revision 10/26/22

Auxiliary

			BASE BUDGET FY23			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	-%
Dining Revenue	546,128	565,732	822,500	822,500	-	0.0%
Residence Revenue	795,273	849,948	931,260	931,260	-	0.0%
Tuition Waivers/Scholarships	(250,973)	(219,142)	(225,000)	(225,000)	-	0.0%
Net Student Charges Revenue	1,090,428	1,196,538	1,528,760	1,528,760	-	0.0%
State Appropriation	-	-	-	-	-	-%
HEERF Relief - Lost Revenue	803,928	680,474	-	-	-	-%
Indirect Cost Recovery	-	-	-	-	-	-%
Investment Income/Gifts	-	-	-	-	-	-%
Sales/Services/Auxiliary	101,679	39,445	28,000	28,000	-	0.0%
Total Revenue	1,996,034	1,916,457	1,556,760	1,556,760	-	0.0%
Expenses						
Salaries & Wages	\$223,085	\$274,676	\$251,473	\$251,473	\$0	0.0%
Attrition (Salary Only)	-	-	-	-	-	-%
Employee Benefits Including Attrition	95,893	98,495	104,944	104,944	-	0.0%
Pandemic Pay	5,082	-	-	-	-	-%
Personnel	324,061	373,171	356,417	356,417	-	0.0%
Other Expenses & Transfers:						
Fuel & Electricity	264,831	349,805	323,000	380,000	57,000	17.6%
Supplies & Services	773,560	867,288	926,058	926,058	-	0.0%
Shared Services	-	-	-	-	-	-%
Travel	446	284	500	500	-	0.0%
Memberships, Contributions & Sponsorships	-	-	-	-	-	-%
Maintenance & Alterations	169,572	181,595	232,300	232,300	-	0.0%
Interest	784	559	328	328	-	0.0%
Depreciation	72,648	71,402	64,284	64,284	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	177,344	234,320	137,722	137,722	-	0.0%
Unassigned Budget	-	-	-	-	-	-%
Total Other Expenses & Transfers	1,459,185	1,705,253	1,684,192	1,741,192	57,000	3.4%
Total Operating Expenses & Transfers	1,783,246	2,078,424	2,040,609	2,097,609	57,000	2.8%
Operating Increase (Decrease)	\$ 212,788	\$ (161,967)	\$ (483,849)	\$ (540,849)	\$ (57,000)	11.8%
Modified Cash Flow						
Operating Increase (Decrease)	\$ 212,788	\$ (161,967)	\$ (483,849)	\$ (540,849)	\$ (57,000)	11.8%
Add Back Depreciation	72,648	71,402	64,284	64,284	-	0.0%
Less Capital Expenditures	(8,920)	(125,385)	(116,046)	(116,046)	-	0.0%
Less Capital Reserve Funding	-	-	-	-	-	-%
Less Debt Service Principal	(9,928)	(10,154)	(10,384)	(10,384)	-	0.0%
Net Change Before Other Adjustments & Transfers	266,587	(226,104)	(545,995)	(602,995)	(57,000)	
Transfer from/(to) Administrative Savings Rsv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	226,104	545,995	602,995	57,000	
Net Change Subtotal	266,587	-	-	-	-	
Other Strategic Transfers from/(to) Reserves	-	-	-	-	-	
Net Change in Cash & Reserve Transfers	\$ 266,587	\$ -	\$ -	\$ -	\$ -	

Univ of Maine at Presque Isle
E&G and Auxiliary

FY 23 Revision 10/26/22

			BASE BUDGET FY23			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ 7,990,690	\$ 8,490,578	\$ 9,553,939	\$ 9,249,138	\$ (304,801)	-3.2%
Dining Revenue	546,128	565,732	822,500	822,500	-	0.0%
Residence Revenue	795,273	849,948	931,260	931,260	-	0.0%
Tuition Waivers/Scholarships	(1,215,681)	(1,101,450)	(1,129,000)	(1,145,000)	(16,000)	1.4%
Net Student Charges Revenue	8,116,409	8,804,808	10,178,699	9,857,898	(320,801)	-3.2%
State Appropriation	8,013,478	8,616,602	8,813,733	8,813,733	-	0.0%
HEERF Relief - Lost Revenue	803,928	680,474	-	-	-	-%
Indirect Cost Recovery	169,942	149,884	170,000	170,000	-	0.0%
Investment Income/Gifts	1,000	-	-	-	-	-%
Sales/Services/Auxiliary	218,088	214,683	414,400	414,400	-	0.0%
Total Revenue	17,322,845	18,466,451	19,576,832	19,256,031	(320,801)	-1.6%
Expenses						
Salaries & Wages	\$7,736,921	\$8,695,967	\$9,344,251	\$9,148,533	(\$195,718)	-2.1%
Attrition (Salary Only)	-	-	(155,510)	(132,595)	22,915	-14.7%
Employee Benefits Including Attrition	3,312,376	4,091,242	3,911,125	3,822,995	(88,130)	-2.3%
Pandemic Pay	28,741	22,895	-	-	-	-%
Personnel	11,078,037	12,810,104	13,099,866	12,838,933	(260,933)	-2.0%
Other Expenses & Transfers:						
Fuel & Electricity	660,095	891,710	943,300	1,071,640	128,340	13.6%
Supplies & Services	2,009,775	2,692,943	3,673,038	3,481,338	(191,700)	-5.2%
Shared Services	2,221,336	2,294,515	2,306,686	2,306,686	-	0.0%
Travel	41,001	185,121	311,963	311,863	(100)	0.0%
Memberships, Contributions & Sponsorships	44,104	40,785	74,605	68,105	(6,500)	-8.7%
Maintenance & Alterations	500,361	598,822	537,750	537,750	-	0.0%
Interest	54,060	50,012	45,876	45,876	-	0.0%
Depreciation	940,600	995,219	1,135,389	1,135,389	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	342,399	(155,330)	561,413	564,413	3,000	0.5%
Unassigned Budget	-	-	6,070	13,162	7,092	116.8%
Total Other Expenses & Transfers	6,813,731	7,593,797	9,596,090	9,536,222	(59,868)	-0.6%
Total Operating Expenses & Transfers	17,891,768	20,403,900	22,695,956	22,375,155	(320,801)	-1.4%
Operating Increase (Decrease)	\$ (568,923)	\$ (1,937,450)	\$ (3,119,124)	\$ (3,119,124)	\$ -	0.0%
Modified Cash Flow						
Operating Increase (Decrease)	\$ (568,923)	\$ (1,937,450)	\$ (3,119,124)	\$ (3,119,124)	\$ -	0.0%
Add Back Depreciation	940,600	995,219	1,135,389	1,135,389	-	0.0%
Less Capital Expenditures	(245,269)	(269,995)	(366,232)	(366,232)	-	0.0%
Less Capital Reserve Funding	(19,982)	(122,184)	-	-	-	-%
Less Debt Service Principal	(101,068)	(102,096)	(108,239)	(108,239)	-	0.0%
Net Change Before Other Adjustments & Transfers	5,359	(1,436,506)	(2,458,206)	(2,458,206)	-	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	1,425,763	2,458,206	2,458,206	-	
Net Change Subtotal	5,359	(10,743)	-	-	-	
Other Strategic Transfers from/(to) Reserves	(7,651)	10,743	-	-	-	
Net Change in Cash & Reserve Transfers	\$ (2,292)	\$ (0)	\$ -	\$ -	\$ -	

University of Southern Maine		FY 23 Revision 10/26/22				
E&G		BASE BUDGET FY23				
		FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE
Revenues						
Tuition & Fee Revenue		\$ 73,066,676	\$ 70,402,193	\$ 73,388,402	\$ 71,385,164	\$ (2,003,238) -2.7%
Dining Revenue		-	-	-	-	- -%
Residence Revenue		18,269	26,668	-	-	- -%
Tuition Waivers/Scholarships		(16,541,340)	(16,539,730)	(16,283,471)	(16,283,471)	- 0.0%
Net Student Charges Revenue		56,543,604	53,889,131	57,104,931	55,101,693	(2,003,238) -3.5%
State Appropriation		46,874,076	47,714,871	51,147,985	51,147,985	- 0.0%
HEERF Relief - Lost Revenue		20,762	4,112,718	-	-	- -%
Indirect Cost Recovery		3,777,125	4,221,959	3,525,000	3,525,000	- 0.0%
Investment Income/Gifts		132,704	113,045	113,769	113,769	- 0.0%
Sales/Services/Auxiliary		1,546,660	2,294,712	3,843,521	3,843,521	- 0.0%
Total Revenue		108,894,931	112,346,435	115,735,206	113,731,968	(2,003,238) -1.7%
Expenses						
Salaries & Wages Including Attrition		\$56,197,693	\$59,522,830	\$58,694,714	\$58,692,326	(\$2,388) 0.0%
Add Back: Attr 53906	Attrition	\$0	\$0	\$2,328,511	\$2,328,511	-
Salaries & Wages		\$56,197,693	\$59,522,830	\$61,023,225	\$61,020,837	(\$2,388) 0.0%
Attrition (Salary Only)		-	-	(2,328,511)	(2,328,511)	- 0.0%
Employee Benefits Including Attrition		24,661,539	27,536,882	25,706,371	25,708,754	2,383 0.0%
Pandemic Pay		105,036	28,008	-	-	- -%
Personnel		80,964,267	87,087,720	84,401,085	84,401,080	(5) 0.0%
Other Expenses & Transfers:						
Fuel & Electricity		1,693,579	1,915,490	2,705,474	2,705,474	- 0.0%
Supplies & Services		6,065,790	7,205,912	5,533,838	5,558,990	25,152 0.5%
Shared Services		12,190,200	12,941,675	13,008,877	13,008,877	- 0.0%
Travel		249,624	888,337	936,167	950,976	14,809 1.6%
Memberships, Contributions & Sponsorships		213,088	226,951	172,855	173,202	347 0.2%
Maintenance & Alterations		1,866,305	2,289,720	2,178,531	2,152,204	(26,327) -1.2%
Interest		554,446	499,259	434,592	434,592	- 0.0%
Depreciation		6,657,299	6,527,585	6,833,820	6,833,820	- 0.0%
Transfers to/(from) MAFES/CES		-	-	-	-	- -%
Transfers to/(from) MEIF		-	-	-	-	- -%
Other Expenses & Transfers		34,574	(6,353,067)	787,444	474,191	(313,253) -39.8%
Unassigned Budget		-	-	(142,522)	(171,488)	(28,966) 20.3%
Total Other Expenses & Transfers		29,524,906	26,141,862	32,449,076	32,120,838	(328,238) -1.0%
Total Operating Expenses & Transfers		110,489,174	113,229,583	116,850,161	116,521,918	(328,243) -0.3%
Operating Increase (Decrease)		\$ (1,594,243)	\$ (883,147)	\$ (1,114,955)	\$ (2,789,950)	\$ (1,674,995) 150.2%
Modified Cash Flow						
Operating Increase (Decrease)		\$ (1,594,243)	\$ (883,147)	\$ (1,114,955)	\$ (2,789,950)	\$ (1,674,995) 150.2%
Add Back Depreciation		6,657,299	6,527,585	6,833,820	6,833,820	- 0.0%
Less Capital Expenditures		(893,932)	(2,092,817)	(3,862,463)	(3,862,463)	- 0.0%
Less Capital Reserve Funding		-	(533,986)	-	-	- -%
Less Debt Service Principal		(1,380,201)	(1,382,832)	(1,369,961)	(1,369,961)	- 0.0%
Net Change Before Other Adjustments & Transfers		2,788,923	1,634,802	486,441	(1,188,554)	(1,674,995)
Transfer from/(to) Administrative Savings Rsrv		-	-	-	-	-
Transfer from/(to) Budget Stabilization		-	-	-	-	-
Net Change Subtotal		2,788,923	1,634,802	486,441	(1,188,554)	(1,674,995)
Other Strategic Transfers from/(to) Reserves		755,610	1,231,416	-	974,995	974,995
Net Change in Cash & Reserve Transfers		\$ 3,544,534	\$ 2,866,218	\$ 486,441	\$ (213,559)	\$ (700,000)

University of Southern Maine				FY 23 Revision 10/26/22			
		Auxiliary		BASE BUDGET FY23			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE		
Revenues							
Tuition & Fee Revenue	\$ 119,026	\$ (1,499)	\$ -	\$ -	\$ -	-%	
Dining Revenue	3,207,126	5,236,664	6,139,013	6,139,013	-	0.0%	
Residence Revenue	3,593,375	7,125,676	7,430,747	8,130,747	700,000	9.4%	
Tuition Waivers/Scholarships	(334,695)	(513,149)	(450,000)	(450,000)	-	0.0%	
Net Student Charges Revenue	6,584,831	11,847,692	13,119,760	13,819,760	700,000	5.3%	
State Appropriation	-	-	-	-	-	-%	
HEERF Relief - Lost Revenue	1,288,217	5,764,738	-	-	-	-%	
Indirect Cost Recovery	-	-	-	-	-	-%	
Investment Income/Gifts	-	-	-	-	-	-%	
Sales/Services/Auxiliary	720,994	772,517	682,877	682,877	-	0.0%	
Total Revenue	8,594,042	18,384,947	13,802,637	14,502,637	700,000	5.1%	
Expenses							
Salaries & Wages	\$1,503,478	\$1,859,495	\$1,754,576	\$1,754,576	\$0	0.0%	
Attrition (Salary Only)	-	-	-	-	-	-%	
Employee Benefits Including Attrition	723,130	830,759	864,563	864,563	-	0.0%	
Pandemic Pay	16,256	5,416	-	-	-	-%	
Personnel	2,242,865	2,695,670	2,619,139	2,619,139	-	0.0%	
Other Expenses & Transfers:							
Fuel & Electricity	740,443	1,037,374	1,003,327	1,003,327	-	0.0%	
Supplies & Services	3,421,928	4,860,678	5,132,915	5,145,915	13,000	0.3%	
Shared Services	-	-	-	-	-	-%	
Travel	1,634	4,873	7,428	7,428	-	0.0%	
Memberships, Contributions & Sponsorships	1,992	1,439	2,098	2,098	-	0.0%	
Maintenance & Alterations	680,368	644,430	1,102,391	1,102,391	-	0.0%	
Interest	938,455	875,571	808,964	808,964	-	0.0%	
Depreciation	1,404,204	1,402,932	1,373,303	1,373,303	-	0.0%	
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%	
Transfers to/(from) MEIF	-	-	-	-	-	-%	
Other Expenses & Transfers	1,647,780	6,453,239	1,813,321	1,817,321	4,000	0.2%	
Unassigned Budget	-	-	30,740	13,740	(17,000)	-55.3%	
Total Other Expenses & Transfers	8,836,804	15,280,537	11,274,487	11,274,487	-	0.0%	
Total Operating Expenses & Transfers	11,079,669	17,976,207	13,893,626	13,893,626	-	0.0%	
Operating Increase (Decrease)	\$ (2,485,626)	\$ 408,740	\$ (90,989)	\$ 609,011	\$ 700,000	-769.3%	
Modified Cash Flow							
Operating Increase (Decrease)	\$ (2,485,626)	\$ 408,740	\$ (90,989)	\$ 609,011	\$ 700,000	-769.3%	
Add Back Depreciation	1,404,204	1,402,932	1,373,303	1,373,303	-	0.0%	
Less Capital Expenditures	(225,000)	(557,330)	(296,447)	(296,447)	-	0.0%	
Less Capital Reserve Funding	-	-	-	-	-	-%	
Less Debt Service Principal	(1,482,501)	(1,404,485)	(1,472,308)	(1,472,308)	-	0.0%	
Net Change Before Other Adjustments & Transfers	(2,788,923)	(150,142)	(486,441)	213,559	700,000		
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-		
Transfer from/(to) Budget Stabilization	-	-	-	-	-		
Net Change Subtotal	(2,788,923)	(150,142)	(486,441)	213,559	700,000		
Other Strategic Transfers from/(to) Reserves	-	592,056	-	-	-		
Net Change in Cash & Reserve Transfers	\$ (2,788,923)	\$ 441,913	\$ (486,441)	\$ 213,559	\$ 700,000		

Finance, Facilities & Technology Committee Meeting - FY2023 Proposed Operating Budget Revisions

University of Southern Maine				FY 23 Revision 10/26/22		
E&G and Auxiliary			BASE BUDGET FY23			
	FY21 ACTUALS	FY22 ACTUALS	ORIGINAL	REVISED	BUDGET CHANGE	
Revenues						
Tuition & Fee Revenue	\$ 73,185,702	\$ 70,400,694	\$ 73,388,402	\$ 71,385,164	\$ (2,003,238)	-2.7%
Dining Revenue	3,207,126	5,236,664	6,139,013	6,139,013	-	0.0%
Residence Revenue	3,611,644	7,152,344	7,430,747	8,130,747	700,000	9.4%
Tuition Waivers/Scholarships	(16,876,035)	(17,052,879)	(16,733,471)	(16,733,471)	-	0.0%
Net Student Charges Revenue	63,128,436	65,736,823	70,224,691	68,921,453	(1,303,238)	-1.9%
State Appropriation	46,874,076	47,714,871	51,147,985	51,147,985	-	0.0%
HEERF Relief - Lost Revenue	1,308,979	9,877,456	-	-	-	-%
Indirect Cost Recovery	3,777,125	4,221,959	3,525,000	3,525,000	-	0.0%
Investment Income/Gifts	132,704	113,045	113,769	113,769	-	0.0%
Sales/Services/Auxiliary	2,267,654	3,067,229	4,526,398	4,526,398	-	0.0%
Total Revenue	117,488,973	130,731,382	129,537,843	128,234,605	(1,303,238)	-1.0%
Expenses						
Salaries & Wages	\$57,701,171	\$61,382,325	\$62,777,801	\$62,775,413	(\$2,388)	0.0%
Attrition (Salary Only)	-	-	(2,328,511)	(2,328,511)	-	0.0%
Employee Benefits Including Attrition	25,384,669	28,367,641	26,570,934	26,573,317	2,383	0.0%
Pandemic Pay	121,292	33,424	-	-	-	-%
Personnel	83,207,132	89,783,390	87,020,224	87,020,219	(5)	0.0%
Other Expenses & Transfers:						
Fuel & Electricity	2,434,022	2,952,865	3,708,801	3,708,801	-	0.0%
Supplies & Services	9,487,718	12,066,590	10,666,753	10,704,905	38,152	0.4%
Shared Services	12,190,200	12,941,675	13,008,877	13,008,877	-	0.0%
Travel	251,257	893,210	943,595	958,404	14,809	1.6%
Memberships, Contributions & Sponsorships	215,080	228,390	174,953	175,300	347	0.2%
Maintenance & Alterations	2,546,673	2,934,150	3,280,922	3,254,595	(26,327)	-0.8%
Interest	1,492,901	1,374,830	1,243,556	1,243,556	-	0.0%
Depreciation	8,061,503	7,930,517	8,207,123	8,207,123	-	0.0%
Transfers to/(from) MAFES/CES	-	-	-	-	-	-%
Transfers to/(from) MEIF	-	-	-	-	-	-%
Other Expenses & Transfers	1,682,355	100,172	2,600,765	2,291,512	(309,253)	-11.9%
Unassigned Budget	-	-	(111,782)	(157,748)	(45,966)	41.1%
Total Other Expenses & Transfers	38,361,710	41,422,400	43,723,563	43,395,325	(328,238)	-0.8%
Total Operating Expenses & Transfers	121,568,842	131,205,790	130,743,787	130,415,544	(328,243)	-0.3%
Operating Increase (Decrease)	\$ (4,079,869)	\$ (474,408)	\$ (1,205,944)	\$ (2,180,939)	\$ (974,995)	80.8%
Modified Cash Flow						
Operating Increase (Decrease)	\$ (4,079,869)	\$ (474,408)	\$ (1,205,944)	\$ (2,180,939)	\$ (974,995)	80.8%
Add Back Depreciation	8,061,503	7,930,517	8,207,123	8,207,123	-	0.0%
Less Capital Expenditures	(1,118,932)	(2,650,147)	(4,158,910)	(4,158,910)	-	0.0%
Less Capital Reserve Funding	-	(533,986)	-	-	-	-%
Less Debt Service Principal	(2,862,702)	(2,787,317)	(2,842,269)	(2,842,269)	-	0.0%
Net Change Before Other Adjustments & Transfers	0	1,484,660	-	(974,995)	(974,995)	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-		-	-	
Net Change Subtotal	0	1,484,660	-	(974,995)	(974,995)	
Other Strategic Transfers from/(to) Reserves	755,610	1,823,471	-	974,995	974,995	
Net Change in Cash & Reserve Transfers	\$ 755,610	\$ 3,308,131	\$ -	\$ -	\$ -	

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Proposed Changes to Board of Trustee Policy 803 *Naming and Renaming of Physical Facilities*

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

The proposed changes to this Board policy will provide clarity for naming and renaming of physical facilities for consistency among all campuses.

BACKGROUND:

In March 2021 substantial changes were made to the Board Policy 803 *Naming and Renaming of Physical Facilities* to incorporate the process for removal of names.

This Board Policy is now being revised to align with the naming and renaming approval process for the new Board Policy 315 *Commemorative Naming or Renaming of Academic Units or Programs*, which will be presented for approval by the Academic & Student Affairs Committee at their October meeting. The most significant change for Board Policy 803 is to incorporate the Vice Chancellor for Finance and Administration in the naming and renaming approval process.

In August, the proposed changes to the Policy were reviewed by Finance, Facilities & Technology (FFT) Committee and by the full Board at its September meeting. It is now being presented as an action item for the October FFT Committee meeting and if approved will be presented for approval at the November Board of Trustees meeting.

TEXT OF THE PROPOSED RESOLUTION:

That the Finance, Facilities, and Technology Committee, approves the following resolution to be forwarded to the November 13-14, 2022, Board Meeting for Board of Trustee approval.

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee and approves the proposed changes to Board of Trustees Policy 803 *Naming and Renaming of Physical Facilities* as presented.

10/14/2022

UNIVERSITY OF MAINE SYSTEM

Policy Manual

**Proposed Revisions
noted in red text.**

7/17/22

FACILITIES

Section 803 Naming and Renaming of Physical Facilities

Effective: 04/10/70

Last Revised: 03/18/02; 05/17/99; 3/22/21

Responsible Office: Facilities

Policy Statement:

1. **Definition.** The term “physical facility” is defined as a building or assembly of buildings enclosing or defining an occupiable space or activity area. For the purposes of this Policy, this definition includes major additions and renovated structures, but does not include interior spaces within buildings, such as lobbies, auditoria, dining and function rooms, classrooms, and offices, exterior campus spaces such as fields, terraces, greens, courtyards, gardens, or athletic fields, or physical objects such as fixtures and equipment. Naming opportunities may include new facilities that are to be constructed or acquired, existing facilities that are undergoing major or minor renovations, or existing facilities that are not undergoing renovations.
2. **Authority.** The authority for naming any physical facility in the University of Maine System (UMS) shall be reserved to the Board of Trustees, acting after receiving the proposal from the Chancellor at the recommendation of a President. Donors, honorees, or benefactors should be informed through any naming discussions that final naming approval for all University facilities rests with the Board of Trustees. Naming of any other campus area or object rests with the President of that University. For naming of academic units and programs, see Board of Trustees Policy 315 *Commemorative Naming and Renaming of Academic Units and Programs*.
3. **Commemorative Naming Criteria.** A building name is a symbolic and public statement, reflecting the highest values and ideals of the University and its community. Generally, facilities are named for distinguished individuals who have made extraordinary contributions of a scholarly, professional, or public service nature related to the university’s mission. In some cases, buildings may be named for benefactors or donors who advance the university’s mission through significant philanthropy. While facilities may be informally assigned a working or administrative name at the campus level, the UMS Board of Trustees shall formally assign commemorative names, which fall into two categories: honorific or memorial naming and benefactor naming.
 - a. Honorific or memorial naming: Facilities may be named to honor someone, living or dead, who embodies the university’s ideals and reputation through distinguished accomplishments that advance the university and/or the public good. Serving Trustees, current elected officials, and current UMS employees are not eligible for a naming opportunity except in extraordinary circumstances.

- Section 803 Page 2 of 3

- f. The Chancellor may recommend exceptions to any of these guidelines under unusual circumstances for authorization by the Board of Trustees.

6. **Duration of Naming.** Naming for an honoree or a donor is generally granted for the useful life of the entity. The University, **with Board of Trustees approval**, may deem the naming period concluded in certain circumstances, including but not limited to:
 - a. If the purpose for which the named entity is or needs to be significantly altered, is no longer needed/ceases to exist.
 - b. If a physical entity is replaced, significantly renovated or no longer habitable.
 - c. The period of time of the naming specified in the gift agreement has expired.

The appropriate University representative will make all reasonable efforts to inform in advance the original donors or honorees when the naming period is deemed concluded. The University may provide alternate recognition as may be appropriate in honor of the original gift.

7. **Removal of Naming.** The UMS Board of Trustees reserves the right to remove a name from a facility under extraordinary circumstances when the continued use of the honoree's name would compromise the public trust and reflect adversely upon the university and/or University of Maine System and its reputation.

Additionally, in the case of a naming associated with a gift, the UMS Board of Trustees reserves the right to remove a name from a facility if the donor fails to fulfill the terms of the gift that is recognized by a naming. The appropriate University representative will make all reasonable efforts to inform in advance the original donors or honorees when the naming period is deemed concluded. The University may provide alternate recognition as appropriate in honor of the original gift.

8. **Name Removal Process.** The removal of a name from a facility must not be undertaken lightly, and it must be approached with respect for the considered judgments of the past, especially when exercised by the contemporaries of an honoree, and with an awareness of the fallibility of our own judgments. Consideration of renaming must include, at a minimum, the following:
 - a. Proposals for removal of names from physical facilities shall be ~~brought to the Board of Trustees by the Chancellor at the discretion of a campus~~ **initiated by the President** after a campus-level review process, including soliciting perspectives from diverse stakeholders and public comment, has completed and identified the grounds for removal. The review team or task force shall present their findings and recommendation in a formal, written report to the respective President.
 - b. **After receiving written approval from the Vice Chancellor of Finance and Administration, the President will submit the request to the Chancellor.**
 - c. ~~Before the Board of Trustees considers the issue, the name change or removal of the name shall be reviewed by the Chancellor and President's Council. After review~~ **approval by the Chancellor and President's Council, the Chancellor may forward the request will be forwarded to the appropriate UMS Board of Trustees Committee for approval.**

- d. If approved by the appropriate UMS Board of Trustees Committee, the recommendation will be presented to the full UMS Board of Trustees for consideration.
- e. Upon the removal of a name, the name of the facility may revert to the name immediately previous. If there is no previous permanent name, an administrative name shall be adopted. The process for an initial naming shall be utilized if the facility is subsequently renamed.

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Lease Authorization Request, UM/UMM & USM

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

801 – Acquisition of Real Property

802 – Disposition or University as Lessor of Real Property

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

a. Summary of the request

The University of Maine System, acting through the University of Maine (UM) / University of Maine Machias (UMM) and the University of Southern Maine (USM) requests authorization to negotiate, enter into and extend multiple lease agreements with University Credit Union (UCU).

UM/UMM anticipates the three (3) leases combined will result in net payment to UCU of less than \$20,000 annually with terms of up to fifteen (15) years including renewals. Lease expenses will be paid from the Office of Innovation & Economic Development. USM leases will result in net revenue from UCU of approximately \$7k to \$9k +/- annually with terms of up to ten (10) years including renewals.

This request is pursuant to Board Policy 801, Acquisition of Real Property and Board Policy 802, Disposition of Real Property, both which require leases with a total value of over \$100,000 and/or with **a term greater than 5 years** to be considered by the Board of Trustees. In this case, the requirements is in regard to the duration, which for all leases is anticipated to be ten (10) to fifteen (15) years, as well as the total value in the case of the UM/UMM lease. In this case the request is for the Committee to forward the request to the Consent Agenda for Board of Trustee approval.

b. Overall requested budget and funding source:

To be determined with final negotiations.

c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.

N/A

d. More detailed explanation of rationale for project and metrics for success of the project (ROI or other):

10/14/2022

UM Memorial Union (UCU as lessee):

The lease, approved by the Finance, Facilities and Technology Committee at the September 27/28, 2020, meeting, expired September 14, 2022, and is currently on a monthly renewal basis. The space consists of an on-campus branch, staff person, and video teller/ATM machine located in approximately 700 sq. ft. within the Memorial Union. UM and UCU will negotiate a lease renewal for up to fifteen (15) years including renewals. The value of the lease is anticipated to be approximately \$30,000 annually.

UM Rangeley Road (UCU as Lessor):

The building to be negotiated and leased by the University from UCU is 139 Rangeley Rd. located on the UM Campus. The building consists of approximately 6,600 sq. ft. of which the Bodwell Center for Service & Volunteerism and Black Bear Exchange will be co-located, and the Office of Innovation & Economic Development (OIED) will be located in the back half of the building. Utilization of this space will provide the Bodwell and Black Bear Exchange a larger, more organized, and efficient space for them and their customers. Final use of the space, terms, and services to be finalized as part of the negotiations. The term of the lease is expected to be up to fifteen (15) years with an anticipated cost of \$56,000 per year. The cost of this lease will be offset by the income from the other UM/UMM-UCU leases and the difference paid by OIED.

UMM Powers Hall (UCU as Lessee):

The space to be negotiated and leased by UCU within UMM Powers Hall may consist of an on-campus branch, staff person, and video teller/ATM located in approximately 300 sq. ft. within Powers Hall. Final space layout, terms, and services to be finalized as part of negotiations. The term of the lease is expected to be up to fifteen (15) years with an anticipated value of \$12,000 annually.

USM Locations (UCU as Lessee):

The lease for the existing Gorham location and various USM campus video teller/ATM locations will be negotiated. The existing space at the Gorham campus consists of a 96 sq. ft. office within Brooks Student Center. The term of the lease is expected to be up to ten (10) years.

e. Explanation of the scope and substance of the project as needed to supplement (a) and (d) above.

University Credit Union (UCU) is a not-for-profit financial institution offering unique services for students, employees and alumni, and their families, of the University of Maine System. Founded in 1967 UCU has been a long-term partner providing financial well-being in every state of life for the communities of the seven universities and communities of Maine by being active in the communities while providing financial education to members. UCU has expanded to provide financial training to students and community members at the Memorial Union location, USM campus, UMPI campus, and UMF campus and will offer the same services at the Machias campus.

UCU currently has locations on numerous UMS campuses including, UM, USM, UMPI and UMF; and locations in Bangor, Portland, Farmington and at Maine Maritime Academy.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project:

10/14/2022

Renovation costs within the space at all locations is at the expense of the lessee.

At the UM, 139 Rangeley Rd. location the University will be leasing an approximately 6,600 gsf building. Utilities will be paid through the operating budget and costs for renovations of this space are estimated at \$150,000 and will be funded from E&G.

g. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above):

N/A

h. Alternatives that were considered to meet the need being addressed by this project:

N/A

i. Timeline for start, occupancy, and completion:

UCU currently occupies branch offices at the UM Memorial Union and USM Brooks Student Union and will continue to do so throughout negotiations with new leases to commence upon completion of negotiation. UM/UMM anticipate to complete negotiations with UCU by the end of November of 2022 and for UCU to commence minor renovations in the spring of 2023 and take occupancy of the UMM branch location in the late summer of 2023. UM anticipates to complete negotiations for the 139 Rangeley Rd. UCU building by the end of November of 2022 and to commence renovations immediately and to occupy the space by spring/summer of 2023.

j. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

N/A

k. Additional information that may be useful to consideration of the item.

UCU is an active member in supporting the University's missions and student success.

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities & Technology Committee, approves the following resolution to be forwarded to the Consent Agenda of the November 13-14, 2022 Board Meeting for Board of Trustee approval.

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee, and authorizes the University of Maine System acting through the University of Maine (UM)) / University of Maine Machias (UMM) and University of Southern Maine (USM) to negotiate and enter into leases as the lessor with UCU for branch office at the UM Memorial Union, UM Machias Powers Hall, and USM campuses and to negotiate and enter into a lease as the lessee with UCU for the UCU building located at 139 Rangeley Rd. with all final terms and conditions subject to review and approval by the University of Maine System Treasurer and General Counsel.

10/14/2022

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Naming of UMaine Athletics New Softball Indoor Batting Pavilion

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

803 – Naming of Physical Facilities

UNIFIED ACCREDITATION CONNECTION:

Non-applicable

BACKGROUND:

The University of Maine System, acting through the University of Maine (UM) requests authorization to name the new softball indoor batting pavilion as the **Kathryn E. Slott Batting Pavilion**.

This request is pursuant to Board Policy 803 *Naming of Physical Facilities* and the current proposed revisions, which requires Board approval for the naming of any physical facility in the University of Maine System, upon the approval of the Chancellor, Vice Chancellor for Finance and the campus President. In this case the request is to forward the approval to the Consent Agenda for authorization at the November 13-14, 2022 Board of Trustees Meeting.

Associate Professor of French Kathryn E. Slott has been on the faculty at UMaine for forty years. During that time, she has been a loyal supporter of the University and of athletics with a lifetime giving record exceeding \$450,000. She plans to give an additional \$150,000 to the Alford Fund Athletics Master Plan before December 31, 2027. The existing softball grandstand which bears Dr. Slott's name will be removed with the new construction and so she will be losing her existing named space. The University would like to name the new softball indoor batting pavilion in her honor so that the facility will be known as the **Kathryn E. Slott Batting Pavilion**.

Per Board Policy 803 Section 3.b. "Benefactor naming: A donor may be recognized when a person, organization or corporation has provided substantial funding for a facility or other entity as defined below. Each University may offer such opportunities to acknowledge donors' roles in advancing its mission and so that as an institution the university can express its deep appreciation in a concrete manner. Naming opportunities are not transactional in nature; they are not offered "for sale." The university president will advise what is considered "substantial funding" based on the project and the naming opportunities. Consideration shall be given to the visibility and use of the space, current market, donor interest, and campus and peer institution comparables. Criteria shall include:

- i. New Construction: (which may include maintenance endowments): Gift amounts shall provide a substantial portion of the project's total cost.

10/14/2022

ii. Existing Facilities: The gift(s) shall provide a substantial amount of funding for maintenance, repairs, and/or enhancement or renovation of a facility or space.”

In this case, the donor to the University will provide the University \$150,000 with the payment terms to be paid in full before December 31, 2027. The Donor has the option of paying in advance of this schedule. The University will utilize the funds towards the Harold Alfond Foundation 10-Year Athletics Master Plan in accordance with the grant requirement of the University to raise \$20 million of match funds.

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities, and Technology Committee, approves the following resolution to be forwarded to the Consent Agenda at the November 13-14, 2022, Board Meeting for Board of Trustee approval.

That the Board of Trustees accepts the recommendation of the Finance, Facilities, and Technology Committee, and authorizes the University of Maine System acting through the University of Maine to name the softball indoor batting pavilion the **Kathryn E. Slott Batting Pavilion**.

10/14/2022

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

5

NAME OF ITEM: Energy Savings Performance Contract (ESPC) Authorization, UMF

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 – Budgets, Operating & Capital

712 – Debt Policy

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:

a. Summary of the request:

The University of Maine System, acting through the University of Maine at Farmington (UMF), requests authorization to enter a public-private partnership to pursue an Energy Savings Performance Contract (ESPC) to save energy, reduce energy and operational costs, reduce carbon emissions, and improve the learning environment for students on the UMF campus in a manner that is structured as cost neutral.

This item is pursuant to Board policy 701 which requires Board approval for projects with a value of \$500,000 or greater. Also, Board Policy 712 applies as it states that UMS “will consider off-balance sheet financing (e.g., public/private partnerships) when it is desirable to work with a third party...”.

Finally, this agenda item is to inform the Board that The University of Maine System is utilizing the University of Maine System 2020 Master Contract for Energy Savings Performance Contract (ESPC) for this project. This Master Contract resulted from a competitively bid RFP in 2019 whereby Trane was selected as the University’s Energy Service Company (ESCO). The contract includes multi-institutional language, allowing all University Campuses the ability to participate as appropriate.

b. Overall requested budget and funding source:

The project total is expected to be up to \$11.7 million, with \$11 million funded through a taxable or tax-exempt lease, or combination thereof, with Banc of America Public Capital Corp (BAPCC) that will be repaid from savings achieved by the implementation of Energy Conservation Measures (ECMs) with any residual amount funded by UMF.

c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.

This project keeps with the University’s master plan, which calls for a partnership with an ESCO as one of the primary mechanisms for addressing capital infrastructure improvements in existing buildings that are not planned for demolition. Once approved and construction is

completed, this project will generate ~\$12 million in energy savings over the 20-year contract period, an additional ~\$4 million in thermal Renewable Energy Credit (REC) revenue, reduce overall campus building energy intensity, improve campus building operations and the learning environment, and help to reach the University's carbon reduction goals and overall sustainability commitments. This project frees up campus capital funds for investments in other needed areas.

d. More detailed explanation of rationale for project and metrics for success of the project (ROI or other):

The ESCO for this project (Trane) has been conducting an Investment Grade Audit (IGA) on the UMF campus buildings to identify ECMs to reduce operational costs at the campus. Trane has done detailed analysis over the past 12 months to provide UMF a comprehensive package of ECMs at a fixed-firm price with guaranteed energy savings that will be cashflow neutral. Trane has submitted draft IGA documents, which are the basis for eventually entering into an Energy Savings Agreement (ESA) to build the project.

A specialized consultant and Subject Matter Expert (SME), NV5, has been hired as a 3rd Party Owner's Representative (OR) working on the UMF team. Over the past year, NV5 has assisted in determining the feasibility, potential scope and potential costs of an ESPC. Currently, NV5 has been providing expertise in facilitating and reviewing all project documents, contracts, ECM scope, savings calculations, Measurement & Verification (M&V) plans, project cost build-ups, and related matters, and is providing overall Quality Assurance and Oversight over UMF's ESCO, Trane (these activities are still on-going). The OR has collaborated with key players from UMF's Facilities Management office, the Office of Sustainability, faculty, administration, and others.

Based on this work and the final IGA report, the University is now seeking to execute and implement an ESPC at the UMF campus. This would involve completing a final investment grade audit phase (with final report submittal), executing an ESA, and implementing a package of ECMs at the campus buildings. This also involves measurement & monitoring of ECM performance over the contract period (20 years) as well as monitoring-based commissioning at the buildings included in the ESPC project scope. The project is expected to include the University, Trane, NV5 and the financier all working in collaboration.

e. Explanation of the scope and substance of the project as needed to supplement (a) and (d) above.

The planned ECM scope will focus on 30+ buildings on campus totaling approximately 770,000 square feet. A summary of the proposed ECMs outlined in Attachment A include upgrades to interior and exterior lighting systems, building envelope improvements, HVAC duct and piping insulation, upgrading to efficient water fixtures, replacement of aging or end-of-life HVAC equipment, upgrading Building Automation Systems (BAS) / Energy Management Control Systems (EMCS), and installing a second biomass boiler on campus to mitigate or eliminate the use of propane and fuel oil. There will also be a software platform installed and linked to the building BAS/EMCS to provide detailed building analytics and on-going system commissioning to continuously monitor and improve building system operational performance.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project:

There will be no changes to net square footage of campus buildings as a result of this project.

g. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above:

The University has been working with BAPCC to provide the financing for this project. In August, BAPCC offered the University an attractive financing rate based on then-current financial market conditions with a rate lock through November 28, 2022. UMF accepted the rate lock, with no penalty, to allow time for Board of Trustee review and approval. This financing would use UMS's previously executed Energy Equipment Addendum, to its Master Equipment Lease/Purchase (MELP) Agreement with BAPCC. Such agreement is pursuant to the negotiated contract terms resulting from Request for Proposal (RFP) #069-2017.

h. Alternatives that were considered to meet the need being addressed by this project:

An alternative financing mechanism like ESPC was considered for the implementation of the ECMs identified in this project due to lack of availability for traditional funding sources for large capital/infrastructure upgrade projects.

i. Timeline for start, occupancy and completion:

A successful project will see ECM implementation over the next 15-18 months (estimated construction phase start date of December 2022). The first full year of performance is expected to start in Fall 2024.

j. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

The University is seeking board approval in November of 2022 to execute an ESA with Trane so the implementation phase can begin immediately. Due to sub-contractor pricing variability and long lead times for equipment, it is in the best interest of the University to execute a contract by December 1, 2022 in order to secure fixed-firm labor and material pricing.

k. Additional information that may be useful to consideration of the item.

This item was presented to the Facilities, Finance and Technology Committee as an informational item at its August 24, 2022 meeting.

The UMF campus buildings proposed in the ESPC scope of work are facilities that warrant substantial restoration and investment. This project aims to provide renewal of campus building NAV through implementation of the ECMs proposed in this project. Currently, the buildings in the proposed ESPC scope of work have an average Sightlines renovation age of 40 years. The campus' Net Asset Value (NAV) is currently 56%. This data is indicative of facilities that warrant substantial restoration and investments (the projects pick us). The planned ECM scope will focus on 30+ campus buildings totaling approximately 770,000 square feet and when done, UMF's NAV index is expected to visibly increase.

The average baseline energy consumption over a historical 3-year period at these buildings is approximately 80,084 MMBTUs. The 2023 fiscal year utility budget is \$1.28 million. The projected year 1 savings after implementation of the proposed ECMs is approximately \$400,000. This represents a 30% reduction in annual utility consumption and cost. In addition to this utility cost savings, the installation of a second biomass boiler will allow the

University to capture thermal RECs, which will generate an additional revenue stream for UMF. The total value of these RECs over the proposed 20-year contract term is estimated to be upwards of \$4 million.

Through this ESPC, the University's ESCO, Trane, will provide a fixed-firm project price and will guarantee the savings every year during the contract term. It is required by contract that, for every year of the contract term, annual guaranteed savings each year must exceed annual financing payments for such year. At the end of each performance year, Trane is required by contract to provide M&V reporting proving to the University that the guaranteed savings have been achieved. If a shortfall exists, Trane is obligated by contract to cover the savings shortfall. UMF's 3rd Party OR, NV5, will be reviewing these M&V reports every year of the contract term to verify that the reported project savings are accurate.

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities and Technology Committee, approves the Financing, ESPC and Project Authorization resolution to authorize the University of Maine System acting through the University of Maine at Farmington to fund an ESPC project implementing Energy Conservation Measures related to 30+ campus buildings with a project total of up to \$11.7 million, using the BAPCC Master Equipment Lease/Purchase Agreement Energy Addendum, with a maximum principal amount of debt of \$11,000,000, to be forwarded for Board of Trustee approval at the November 13-14, 2022 Board Meeting.

Revised - 10/21/22

Resolution

FINANCING, ESPC AND PROJECT AUTHORIZATION

5.1

WHEREAS, the Board of Trustees (the “Board”) of the University of Maine System (the “System”) desires to authorize the System, acting through the University of Maine at Farmington (“UMF”), to execute and implement an Energy Savings Performance Contract (“ESPC”) with the System’s Energy Service Company, Trane (the “ESCO”), to provide for a comprehensive package of energy conservation measures (“ECMs”) at the UMF campus, to save energy, reduce energy and operational costs, reduce carbon emissions, and improve the learning environment for students in a manner that is structured as cost neutral, as more particularly described in the Agenda Item Summary to which this financing resolution is attached, which is incorporated herein by reference (the “Projects”); and

WHEREAS, the Board desires to authorize the System to enter into a lease transaction (the “Lease”) with Banc of America Public Capital Corp (the “Lender”), pursuant to its existing Master Equipment Lease/Purchase Agreement and Energy Equipment Addendum thereto with the Lender, to finance the Projects and to provide for any necessary capitalized interest, reserves and costs of issuance; and

WHEREAS, the System is authorized to enter into the Lease pursuant to the provisions of 20-A MRSA §§10952, 10953 and 10955 and other provisions of the Maine Revised Statutes Annotated, Chapters 411 and 412, as amended (the “Act”); and

WHEREAS, the Board finds that the financing of all or a portion of the costs of the Projects with proceeds of the Lease constitutes an “assured revenue financing transaction” pursuant to the provisions of 20-A MRSA §10953, as amended; and

WHEREAS, pursuant to 20-A MRSA §10952(8), as amended, the System, as authorized by the Board, is authorized to make, enter into, execute, deliver and amend any and all contracts, agreements, leases, instruments and documents and perform all acts and do all things necessary or convenient to acquire, construct, reconstruct, improve, equip, finance, maintain and operate projects and to carry out the powers granted pursuant to the Act, or reasonably implied from those powers;

NOW, THEREFORE, be it hereby voted and resolved by the Board as follows:

RESOLVED, That the System is authorized to execute and implement an ESPC with the ESCO for the Projects, and the Treasurer of the System (the “Treasurer”) is hereby authorized and empowered from time to time and on behalf of the System to execute and delivery such documents and agreements, including but not limited to the ESPC and an Energy Service Assessment (“ESA”), as the Treasurer may deem necessary or convenient or desirable in connection therewith. Such agreements, documents and instruments may (a) contain such terms and provisions, not contrary to the general tenor hereof, as the Treasurer may approve, his approval to be conclusively evidenced by his execution thereof, (b) be delivered under the seal of the System and (c) be attested by the System’s Clerk or General Counsel; and further;

RESOLVED, That pursuant to the provisions of 20-A MRSA §§10952, 10953 and 10955, as amended, and all other authority thereto enabling, and to provide funds for (a) the planning, design, acquisition, construction, reconstruction, improvement, renovation, rehabilitation and equipping of the Projects, (b) any capitalized interest on, reserves for and costs of issuance in connection with the Lease and (c) any other purpose authorized by law, the Treasurer is hereby authorized and empowered from time to time and in the name and on behalf of the System to borrow an aggregate amount not to exceed \$11,000,000, and the Treasurer be and is hereby authorized and empowered, in the name of and on behalf of the System, to execute and deliver such lease/purchase agreements, addendum thereto, escrow or restricted account agreements, investment agreements, financial advisory agreements, investment advisory agreements, agreements with bond counsel and other agreements, documents and instruments as the Treasurer may deem necessary or convenient or desirable with respect to such borrowing. Such agreements, documents and instruments may (a) contain such terms and provisions, not contrary to the general tenor hereof, as the Treasurer may approve, his approval to be conclusively evidenced by his execution thereof, (b) be delivered under the seal of the System and (c) be attested by the System's Clerk or General Counsel; and further

RESOLVED, That pursuant to the provisions of 20-A MRSA §10955(3), as amended, and all other authority thereto enabling, and to provide funds for the purposes approved above, the Board hereby approves and authorizes, as evidence of the borrowing approved above, the execution and delivery of the Lease in the aggregate principal amount not to exceed \$11,000,000 with the Lender; the Lease to mature and be payable at such times and in such amounts, to bear interest at such rate(s), which may be taxable or tax-exempt or a combination thereof, and to contain such other terms and provisions, not inconsistent herewith, as may be approved by the Treasurer; the Lease and all related documents to be signed by the Treasurer, and to be in such form and contain such other terms and provisions as the Treasurer may approve, his approval to be conclusively evidenced by his execution thereof; and further

RESOLVED, That the Treasurer or the Vice President & Controller, or any one of them, be and hereby are, authorized on behalf of the System, from time to time, to acquire, purchase, sell, redeem, liquidate, terminate or transfer securities or other instruments constituting investments of the proceeds of the Lease and to negotiate, enter into, execute in the name of the System and deliver on behalf of the System all investment, banking, brokerage, financial advisory, investment advisory and other agreements and instruments as are necessary or convenient to investment and financial management of the proceeds of the Bonds, all on such terms and conditions as such authorized officer shall determine are necessary or convenient for financing of the Projects, such determination to be conclusively evidenced by execution or acquisition of such agreements and instruments by such authorized officer; and further

- RESOLVED, That the Chancellor of the System, the Treasurer, and, with the express written approval of the Treasurer, the Clerk, the Controller, the General Counsel, or any one of them, be and hereby are, authorized and empowered in its name and on its behalf, to do or cause to be done any act or thing, and to negotiate, enter into, execute in the name of the System, deliver on behalf of the System, assign, transfer, modify or terminate any agreement or instrument, which any such officer may determine to be necessary or convenient or desirable with respect to the ESPC and the Lease, the planning, design, acquisition, construction, reconstruction, improvement, renovation, rehabilitation and equipping of the Projects and the expenditure, investment and management of the proceeds of the Lease and that all acts and things done by the Treasurer in furtherance of the purposes of this Resolution prior to the date hereof are hereby ratified and confirmed; and further
- RESOLVED, That the carrying out of the Projects is hereby approved; and further
- RESOLVED, The System covenants that it will, so long as the Lease is outstanding, establish, impose and collect tuition, fees and charges for its educational services, its auxiliary enterprises, including dormitory housing, food service and sale of textbooks, for use of its plant and for all other services and goods provided by the System, which tuition, fees and charges, together with other available moneys, in each fiscal year of the System, will be sufficient to permit the performance of all the covenants in, and requirements of the System under, the Lease, including the prompt payment of all rental payments on the Lease as and when due, the prompt payment of principal of and interest on all outstanding System bonds as and when due and the prompt payment and performance of all other obligations as and when due; and further
- RESOLVED, That the Lease shall be secured by such assignments, pledges or commitments of funds or revenues, other than appropriations from the State of Maine, as may be approved by the Treasurer; and further
- RESOLVED, That the Treasurer be and is hereby authorized to covenant on behalf of the System and for the benefit of the Lender that, except as hereafter authorized in this Resolution and in accordance with 20-A MRSA §10952(10), the System will take whatever steps, and refrain from taking any action, that may be necessary or appropriate to assure that the interest component of the rental payments on the Lease will remain exempt from federal and applicable state income taxes, as applicable; and further
- RESOLVED, That the Treasurer be and is hereby authorized in accordance with 20-A MRSA §10952(10) to agree and consent to the inclusion of the interest component of the rental payments on the Lease, under the United States Internal Revenue Code of 1986 or any subsequent corresponding internal revenue law of the United States, in the gross income of the Lender to the same extent and in the same manner that the interest on bills, bonds, notes or other obligations of the United States is includable in the gross income of the holders of such bills, bonds, notes or other

obligations under the United States Internal Revenue Code or any such subsequent law; and further

This Resolution shall take effect immediately.

ADOPTED: November 14, 2022

5.1

Attachment A

Summary of Work

5.2

	ECM or FIM	Admissions Office	Alumni Theater	Brinkman House	Central Heat Plant	Computer Center	Dakin Hall	Dearborn Gym	Dining Center	Education Center	Emery Community Arts Center
1	Lighting Upgrade	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
2	Building Envelope Improvement	✓	✓	✓		✓			✓	✓	
3	Mechanical Insulation	✓	✓		✓	✓	✓		✓		✓
4	Water Conservation	✓	✓	✓			✓	✓	✓	✓	✓
5	BAS Upgrade	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
6	HVAC Equipment Replacement				✓	✓	✓		✓	✓	
7	Duct Sealing					✓					
8	Kitchen Hood Controls								✓		
10	Second Biomass Boiler	•	•	•	✓	•	•	•	•	•	•
11	Central Plant Generator										
12	Trane Intelligent Services & BPP Install				✓						

	ECM or FIM	Ferro Alumni House	Fitness and Rec Center	Francis A. Black Hall	Franklin Hall	Lockwood Hall	Look House	Mainely Outdoors	Mallett hall	Mantor Library	Marketing	Merrill Hall
1	Lighting Upgrade	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
2	Building Envelope Improvement	✓			✓		✓	✓		✓		
3	Mechanical Insulation	✓	✓	✓		✓		✓	✓	✓		✓
4	Water Conservation	✓	✓	✓	✓	✓	✓		✓	✓	✓	✓
5	BAS Upgrade	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
6	HVAC Equipment Replacement	✓	✓	✓						✓		✓
7	Duct Sealing									✓		
8	Kitchen Hood Controls											
10	Second Biomass Boiler											
11	Central Plant Generator											
12	Trane Intelligent Services & BPP Install											

10/14/2022

	ECM or FIM	Olsen Student Center	Preble Hall	President's House	Psychology	Public Safety	Purinton hall	Ricker Addition	Ricker Hall	Roberts Learning Center	Scott Hall	Stone Hall
1	Lighting Upgrade	✓	✓		✓	✓	✓	✓	✓	✓		✓
2	Building Envelope Improvement	✓				✓						
3	Mechanical Insulation	✓	✓		✓	✓	✓			✓	✓	✓
4	Water Conservation	✓	✓		✓	✓	✓	✓	✓	✓	✓	✓
5	BAS Upgrade	✓	✓	✓	✓	✓	✓	✓		✓	✓	✓
6	HVAC Equipment Replacement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
7	Duct Sealing	✓								✓		
8	Kitchen Hood Controls	✓										
10	Second Biomass Boiler											
11	Central Plant Generator											
12	Trane Intelligent Services & BPP Install											

ESPC Scope of Work Summary for Campus Buildings

HVAC Retrofit

Below is a list of campus buildings and brief summaries for the HVAC Retrofit scopes of work.

- Central Plant – HVAC Retrofit (control room)
 - Small equipment replacements.
- Computer Center - HVAC Retrofit
 - Replacement of rooftop unit, air-handler, and cabinet heaters.
- Dakin Hall – Zone Valves to Support BAS Retrofit
 - Zone valve replacements.
- Olsen Student/Dining Center – HVAC Retrofit
 - Replace rooftop unit, condensing unit, air-handler, and makeup air unit, and hydronic coils.
- Education Center - HVAC Retrofit
 - Replace heat pumps.
- Ferro Alumni House - HVAC Retrofit
 - Replace ductless spit system.
- Fitness and Rec Center - HVAC Retrofit
 - Replace air handler and split AC system.
- Francis A. Black Hall - HVAC Retrofit and Zone Valves to support BAS Retrofit
 - Replace energy recovery ventilators and zone valves. Add circulator VFDs.
- Mantor Library – HVAC Retrofit
 - Replace rooftop unit and VAV boxes.
- Merrill Hall - HVAC Retrofit
 - Replace air handlers, condensing units, unit heater, and control valves.
- Preble/Ricker/Thomas Hall

10/14/2022

- Replace vertical heat pumps, unit heaters, and add pump VFDs.
- Presidents House
 - Replace mini-split heat pump.
- Psychology - VFD Retrofit
 - Add VFD to air-handler fan.
- Public Safety – HVAC Improvements
 - Install mini-split heat pump
- Purington Hall – HVAC Retrofit
 - Replace hot water tanks, DHW zone pumps, and modify piping.
- Roberts Learning Center - HVAC Retrofit
 - Replace rooftop units, VAV boxes, and cabinet heaters.
- Scott Hall - HVAC Retrofit
 - Replace energy recovery ventilator and hydronic coil.
- Stone Hall - Zone Valves to Support BAS Retrofit
 - Install zone valves.

Second Biomass Boiler System

Provision and installation of a second biomass boiler at the central plant to provide both additional capacity and redundancy to the existing biomass plant. The new boiler will eliminate the functional need for injection boilers to operate during the peak heating season and will be the primary heating boiler under most conditions. The new unit will provide both fuel switch savings; and will add to the total annual operating days for the central plant under light load conditions during shoulder seasons and summer months to heat domestic hot water. Included also will be miscellaneous system equipment, thermal metering, and connection to the BAS.

Building Automation System Upgrade

This ECM proposes to upgrade the heating and cooling system controls to varying degrees in (30+) campus facilities and implement water and meter electric usage metering. The intent is to provide energy metering for these facilities and improved comfort control and energy efficiency.

The BAS upgrade scope of work includes a variety of control system end device replacements, control device upgrades, building level control upgrades, networking/communications upgrades, enterprise level system programming, and interface graphics utilizing one platform.

Below is a list of campus buildings for the BAS Upgrade scope of work.

- Admissions Office
- Alumni Theater (Metering only)
- Brinkman House
- Central Plant
- Fusion / Computer Center
- Dakin Hall
- Dearborn Gym (Metering only)
- Dining Center
- Emery Arts
- Education Center
- Ferro Alumni House

10/14/2022

- Fitness and Rec Center
- Francis A. Black Hall
- Franklin Hall
- Lockwood Hall (Integration)
- Look House
- Mainely Outdoors
- Mallett Hall
- Mantor Library
- Marketing
- Merrill Hall
- Olsen Student Center
- Preble Hall
- Presidents House
- Psychology
- Public Safety
- Purington Hall
- Ricker Addition
- Ricker Hall
- Roberts Learning Center
- Scott Hall
- Stone Hall

Energy Meters, Building Analytics, and Building Performance Package

The installation of energy metering dashboards, building analytics, and performance optimization strategies will allow for building system remote monitoring, continued analytics, and continuous commission and HVAC control system optimization. All control system points that are networked through the BAS which controls HVAC will be tied into the Intelligent Services Platform installed by Trane. Performance reports will be generated by Trane through this system to document building system performance, system diagnostics, and new opportunities that have been discovered.

HVAC Duct Sealing

This ECM provides for the sealing of distribution ductwork located in one facility that has been identified as leaking and contributing to excess energy consumption. Duct sealing to eliminate this leakage will reduce the energy consumption through lower VFD fan speeds and outside air ventilation heating/cooling load.

Below is a list of campus buildings for the Duct Sealing scope of work.

- Robert's Learning Center

Install Kitchen Hood Controls

Trane proposes to install new kitchen hood exhaust controls for the Dining center hood exhaust and make up air unit fans. The controls will interface and be viewable in the BAS for enable scheduling and monitoring. Equipment includes the installation of makeup air unit and exhaust fan VFDs, smoke and vapor sensors in ducts, and control system points.

10/14/2022

Below is a list of campus buildings for the Kitchen Hood Controls scope of work.

- Dining Center

LED Lighting Upgrade

The proposed lighting improvements consist of various interior and exterior LED retrofits and lighting controls. The retrofit scope includes (11) buildings that have had previous LED lighting upgrades. These (11) buildings have over 50% of fixtures remaining to be upgraded. The previously exterior LED lighting upgrades installed by the University will also remain. This includes the (76) pole mounted and wall mounted area light fixtures (Shoebox, Area Light, Flood, Wallpack) retrofitted with Corn Cobb LED lamps.

Below is a list of campus buildings for the LED Lighting scope of work.

- Admissions Office
- Alumni Theater
- Brinkman House
- Central Plant
- Fusion / Computer Center
- Dakin Hall
- Dearborn Gym
- Dining Center
- Emery Arts
- Education Center
- Ferro Alumni House
- Fitness and Rec Center
- Francis A. Black Hall
- Franklin Hall
- Lockwood Hall
- Look House
- Mainely Outdoors
- Mallett Hall
- Mantor Library
- Marketing
- Merrill Hall
- Olsen Student Center
- Preble Hall
- Psychology
- Public Safety
- Purington Hall
- Ricker Addition
- Ricker Hall
- Roberts Learning Center
- Stone Hall

Building Envelope Improvements

10/14/2022

The proposed Building Envelope Improvements include caulking, spray foam insulation, ground covering barriers, retrofit of and new attic hatches, and pulldown stairs.

Below is a list of campus buildings for the Building Envelope Improvements scope of work.

- Admissions
- Alumni Theatre
- Brinkman House
- Computer Center
- Education Center
- Ferro Alumni House
- Franklin Hall
- Look House
- Mainely Outdoor
- Mantor Library
- Olsen Student Center
- Public Safety

Mechanical Pipe Insulation

The proposed mechanical insulation improvements consist of insulating various pipe, pipe fittings, and valves.

Below is a list of campus buildings for the Mechanical Pipe Insulation scope of work.

- Admissions Office
- Alumni Theater
- Central Plant
- Fusion / Computer Center
- Dakin Hall
- Emery Arts
- Ferro Alumni House
- Fitness and Rec Center
- Francis A. Black Hall
- Lockwood Hall
- Mainely Outdoors
- Mallett Hall
- Mantor Library
- Marketing
- Merrill Hall
- Olsen Student Center
- Preble Hall
- Psychology
- Public Safety
- Purington Hall
- Roberts Learning Center

10/14/2022

- Scott Hall
- Stone Hall

Water Conservation

The proposed upgrades to water fixtures at campus buildings include the installation of low flow toilets fixtures/valves, low flow urinal flush valves, low flow faucet devices, and low flow shower heads.

Below is a list of campus buildings for the Water Conservation scope of work.

- Admissions Office
- Alumni Theater
- Brinkman House
- Dakin Hall
- Dearborn Gym
- Emery Arts
- Education Center
- Ferro Alumni House
- Fitness and Rec Center
- Francis A. Black Hall
- Franklin Hall
- Lockwood Hall
- Look House
- Mallett Hall
- Mantor Library
- Marketing
- Merrill Hall
- Olsen Student Center
- Preble Hall
- Psychology
- Public Safety
- Purington Hall
- Ricker Addition
- Ricker Hall
- Roberts Learning Center
- Scott Hall
- Stone Hall

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Academy Building Exterior Restoration Increase Authorization, USM

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

701 Budgets, Operating & Capital

UNIFIED ACCREDITATION CONNECTION:

Not applicable for Unified Accreditation but impacts USM's art department classes.

BACKGROUND:

a. Summary of the request:

The University of Maine System acting through the University of Southern Maine, requests to increase the authorization to renovate and restore the exterior of the Academy Building on the Gorham campus by an additional \$500,000. The Finance, Facilities and Technology (FFT) Committee approved \$800,000 in June 2022 and would bring the total budget to \$1,300,000. The additional funds are required to address hidden structural damage that was uncovered during repairs to the exterior siding and foundation.

This request is pursuant to Board Policy 701 Operating & Capital Budgets, which requires Capital budget items that have a total cost of \$500,000 and any increases to those projects, be considered by the Board of Trustees or its Finance, Facilities and Technology (FFT) Committee. In this case the Committee's recommendation will be forwarded to the Consent Agenda for Board of Trustees approval.

b. Overall requested budget and funding source:

The overall budget of this project is \$1,300,000 to be funded by University E&G funds.

c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.

This project was included in the capital plan recently approved for FY23 and the additional funding will also be funded from the FY23 capital funds requiring a delay of another project to FY24.

d. More detailed explanation of rationale for project and metrics for success of the project (ROI or other):

The Gorham Academy Building was built in 1805, opened in 1806, and was placed on National Historic Register in 1973 and was opened prior to Maine's 1820 statehood. The building is an important historical landmark building to the State of Maine, the Town of Gorham, and the University of Southern Maine. The building currently houses painting classes for USM's School of Art. Due to the building's wood construction, years of weathering has caused extensive exterior decay resulting in wood rot and undermined the building's structural integrity. The current deteriorated condition will require extensive and

10/14/2022

specialized repair and replacement of original woodwork in addition to foundation repairs.

Additional funds are required due to major structural damage caused by years of water infiltration behind the siding which has caused the underlying structural wood framing to rot. These rotted wood structural members will fail eventually if not replaced leading to a potential catastrophic failure of the building. These rotted structural members were not found until the siding was removed and construction was underway.

e. Explanation of the scope and substance of the project as needed to supplement (a) and (d) above:

A study was completed by a historic preservation architect firm, Artifex.

This study detailed some serious exterior foundation issues on the east side of the building and included specs and estimates for renovation of all four sides of the Academy Building. The renovation was specific to meeting the historic preservation of the building for the Town of Gorham and the State of Maine. The project includes a complete rebuild of the east façade including the foundation, columns and portico along with removal of old paint and replacement of damaged wood siding and repainting the entire building.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project:

No changes in square footage. The project will improve the building's envelope and decrease air infiltration into the building which should result in some energy savings.

g. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above):

The project is funded with University E&G funds and is included in the University FY23 Capital plan

h. Alternatives that were considered to meet the need being addressed by this project:

Temporary repairs were completed to stabilize the building on the east side from further deterioration minimizing the current financial impact.

i. Timeline for start, occupancy and completion

The University has contracted to complete a small portion of the work, including urgent foundation work to ensure the structural integrity of the east side of the building.

With the additional structural repairs, the work is expected to be completed by early summer 2023

j. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset:

Interior improvements were also scoped in the study completed by the historical architect, Artifex. The estimated scope of those repairs and ADA upgrades was \$400,000 to \$600,000. USM intends to complete these upgrades when funding becomes available.

k. Additional information that may be useful to consideration of the item:

The study was phased into two parts, exterior and interior. The current request is for the exterior scope of the project which will make the Academy Building weather tight and minimize interior damage until further funding can be allocated. Future funding will address the interior work identified by the historic preservation study.

10/14/2022

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities, and Technology Committee, approves the following resolution to be forwarded to the Consent Agenda for Board of Trustee approval at the November 13-14, 2022, Board Meeting.

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee and authorizes the University of Maine System, acting through the University of Southern Maine to expend and additional \$500,000 for a total of \$1,300,000 E&G funds to renovate and restore the exterior of the Academy Building on the Gorham campus.

10/14/2022

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Skate Shop Lease, USM Ice Arena

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION:

BOARD ACTION: X

BOARD POLICY:

802 – Disposition of Real Property

UNIFIED ACCREDITATION CONNECTION: N/A

BACKGROUND:

a. Summary of the request

The University of Maine System acting through the University of Southern Maine requests authorization to enter into a lease with Neal Farwell, dba Buxton Blade Precision Sharpening and Repair to operate the skate sharpening shop at the USM Ice Arena in Gorham, Maine for a period of five (5) years with five (5) optional one (1) year renewals.

This request is pursuant to Board Policy 802, Disposition of Real Property, which requires leases with a total value of over \$100,000 and with a term greater than 5 years to be considered by the Board of Trustees or its Finance, Facilities and Technology Committee. In this case, given the duration of the lease, the Committee recommendation will be forwarded to the Consent Agenda at the November 13-14, 2022, Board meeting.

b. Overall requested budget and funding source:

The lease does not provide any revenue as the Lessee will provide ice skate sharpening services to the University Southern Maine's ice hockey team members' ice skates in exchange for rent of the space.

c. Confirmation of whether the project was included or reflected in the Master Plan, Long Term capital plan or 1-year capital plan most recently approved by Trustees.

N/A

d. More detailed explanation of rationale for project and metrics for success of the project (ROI or other):

The skate sharpening shop lease and service has been found to be more cost effective to offer as a lease rather than use a funded University position to staff.

e. Explanation of the scope and substance of the project as needed to supplement (a) and (c) above.

The skate sharpening shop lease was the subject of a public, competitive procurement process. Mr. Farwell has also been the provider for the previous 10 years for this service.

f. Changes, if any, in net square footage or ongoing operating costs resulting from the project:

10/14/2022

N/A

g. Budget for the project and further elaboration on funding source and selection as needed to supplement (b) above):

The University anticipates a cost of approximately \$600/year to maintain the skate sharpening equipment.

h. Alternatives that were considered to meet the need being addressed by this project:

As previously stated, the skate sharpening could be completed by a University funded position but leasing the space and service is more cost effective.

i. Timeline for start, occupancy and completion:

Lease would start on December 1, 2022, and the initial term would be five (5) years with five (5) optional One (1) year renewals.

j. Timeline for any further consideration or action anticipated to be needed by the Board or its committees regarding this project if full authority is not being requested from the outset.

N/A

k. Additional information that may be useful to consideration of the item.

N/A

TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities and Technology Committee, approves the following resolution to be forwarded to the Consent Agenda for Board of Trustee approval at the November 13-14 Board Meeting.

That the Board of Trustees accepts the recommendation of the Finance, Facilities and Technology Committee, and authorizes the University of Maine System acting through the University of Southern Maine enter into a lease for the skate sharpening shop at the USM Ice Arena with Neal Farwell dba Buxton Blade Sharpening and Repair for five (5) years with five (5) optional One (1) year renewals with all final terms and conditions subject to review and approval of the University of Maine System Treasurer and General Counsel.

10/14/2022

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Review of Projects with a Value of \$250,000 or Greater

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

N/A

UNIFIED ACCREDITATION CONNECTION:

N/A

BACKGROUND:





Dr. David Demers, Chief Information Officer, will provide information on the following projects with a value of \$250,000 or greater:

- USM VoIP Project
- Repaving MaineStreet

10/14/2022

US:IT Project Summary Status Reports

Report Date	October 07, 2022
Report Period	August 05, 2022 - October 07, 2022

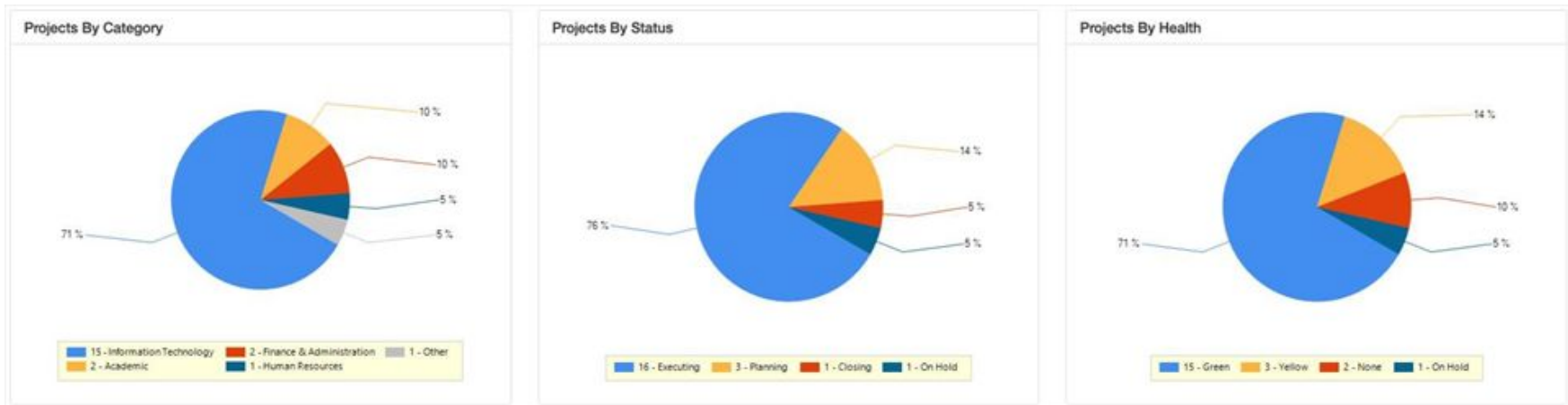
PROJECT NAME:	USM VoIP			(Link to full report)	
Sponsor	Original End Date	Current End Date	Total Budget	Budget Expended	% Complete
Jeffery Letourneau	9/2022	12/2022	\$809,000	\$627,327	95%
Project Health	Overall  Budget  Schedule  Risk 				
Project Summary Update:	Facilities management improvements to the core data and phone closet in Corthell Hall began in late September. Once this work is completed, the final punch list items for this project can be done.				

8.1

PROJECT NAME:		Repaving MaineStreet			Link to Full Report
Initiation Date	Sponsor	Start Date	Est. Completion Date	Initial Budget	Current Budget Balance
September 2021	David Demers	March 2022	December 2026	\$16,800,000	\$16,240,822
Overall Health		Overall ■ Budget ■ Schedule ■ Risk ■			
Project		Phase	Start Date	Estimated Go-live Date	Project %
HCM Phase 1 <i>(Recruiting & Core HR Lite)</i>		Executing	March 2022	May 2023	25%
HCM/ERP Phase 2		Executing	March 2022	January 2024	7%
HCM/ERP Phase 3		Planning	March 2023	January 2024	0%
CS Reimplementation		Planning	March 2022	December 2026	0%

<p>Project Summary Update</p>	<p><u>Oracle Cloud HCM and ERP Projects:</u></p> <ul style="list-style-type: none"> ● Functional Updates <ul style="list-style-type: none"> ○ The HCM (HR) interactive and Design Workshops (IRDW) are completed for Phase one and Phase two and follow-up sessions are currently underway. ○ The Decision Guides for the Recruiting and Core HR modules have been finalized and the Drivestream team is referencing the guides while preparing the cloud HCM environment for the Phase one/Application 1.0 (App 1) Review sessions scheduled for the week of 10/31. During the App 1 Review sessions, Drivestream will demonstrate Oracle Cloud Recruiting functionality in the App 1 environment that is configured based on the UMS Recruiting and Core HR Decision Guides and with UMS converted data. Following the review sessions, the UMS team leads will gain access to the App 1 environment, will participate in train-the-trainer sessions, and will document feedback on configuration settings. It is at this point that communication and change management materials for the Phase 1 Recruiting implementation will be developed and distributed to relevant stakeholders. ○ As the HCM and ERP project teams review opportunities for standardizing data as part of the Repaving MaineStreet initiative, particular attention is on core data elements such as Department codes and the Chart of Accounts. <ul style="list-style-type: none"> ■ For Department codes, the focus is on developing a set of standard codes that will be shared across HCM and ERP in the Oracle Cloud environment. ■ For the Chart of Accounts, the focus is on designing a Chart of Accounts that is based on best practices for higher education (e.g. each Chartfield (segment) has a unique definition and purpose, no duplication of attributes across segments, a design that supports future growth and changing needs, etc.). ● Technical Updates <ul style="list-style-type: none"> ○ Data Conversion activities are underway for Phase one Recruiting data (extracted from HireTouch) and Core HR data. The UMS development team recently gained access to Drivestream-provided PeopleSoft data extract scripts which has streamlined the data conversion process.
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	<ul style="list-style-type: none"> ○ The UMS and Drivestream technical teams are assessing the integrations that might be required to sync employee data between the Oracle Cloud HCM and the MaineStreet HR environments during Phase One of the project. ● Reporting Updates <ul style="list-style-type: none"> ○ Drivestream recently provided lists of Oracle-delivered HCM and ERP reports. The project teams are initiating efforts to consolidate currently existing reports, compare them against Oracle's inventory of delivered reports, and determine where custom reports might be required. A key part of this exercise is to gain an understanding of Oracle Cloud reporting capabilities. <p><u>CS Reimplementation Project:</u></p> <p>A CS Reimplementation Town Hall meeting was conducted on September 29 with over 130 staff and faculty and student representatives in attendance. The purpose of the meeting was to share information about the Repaving MaineStreet initiative, prepare stakeholders for their participation in the discovery phase of the CS Reimplementation project, and provide an opportunity to ask questions. Introductory/ preparatory meetings with ERP Analysts and UMS:IT team members and functional area groups are currently underway.</p> <p>A series of roughly 60 discovery sessions with approximately 200 representatives from student administrative functional areas, faculty, and students from across the system will begin on Oct. 12. The session objectives include gaining a deeper understanding of previously identified pain points, both within the product and business processes, identifying opportunities for business process realignments and use of new functionality and tools, and identifying areas where current modifications may be reduced or eliminated in the future. Following the discovery phase, the formal project kickoff meeting will occur.</p>
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US:IT Project (>\$250,000) Review

Finance – Facilities – Technology
Committee
November 26, 2022




8.2

1

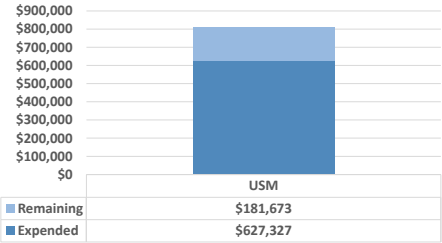


US:IT Project (>\$250,000) Review

VoIP Implementation- USM



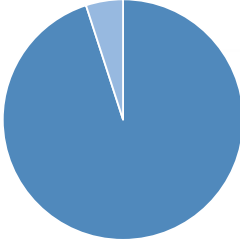
Project Budget - \$809K



	USM
Remaining	\$181,673
Expended	\$627,327


■ Expended ■ Remaining

USM (95% Compl)











Overall ■ Budget ■ Schedule ■ Risk ■

Initiation Date	Sponsor	Original Estimated Completion Date	Current Estimated Completion Date	Estimated Budget	Budget Committed to date	Project % Complete
6/2019	Jeffrey Letourneau	9/2021	9-2022 12/2022	\$809,000	\$627,327	95%




2

US:IT Project (>\$250,000) Review

VoIP Implementation - USM



Maine's
Public
Universities
UNIVERSITY OF MAINE SYSTEM


USM:

COVID-19 Impact has delayed project timeline

- Facilities management began work to address core data and phone closet improvement needs (September).
 - When complete, final punch-list items will be addressed to close this project.
- Updated project completion timeline: December 2022









Risks

- Limited Equipment/Supply Availability
- Coordination of work/effort to dispose obsolete equipment, wiring clean up




3

8.2


US:IT Project (>\$250,000) Review


Repaving MaineStreet



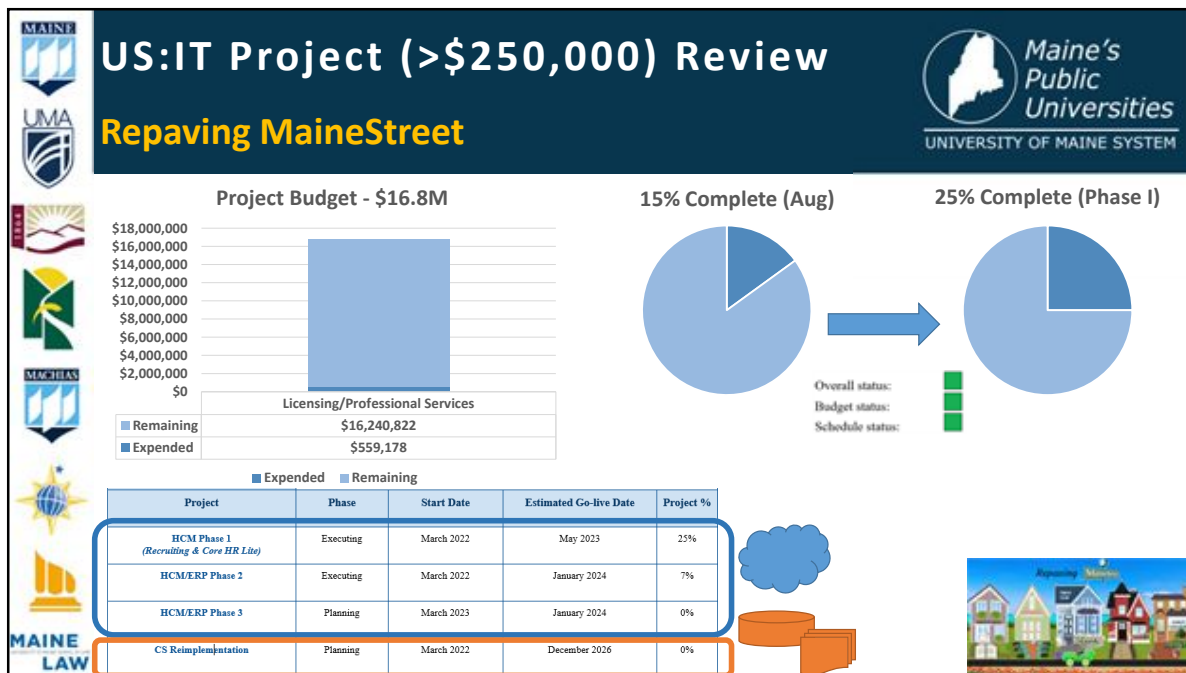
Maine's
Public
Universities
UNIVERSITY OF MAINE SYSTEM

- Project Overview**
 - Reimplementation of Campus Solutions SIS, Implementation of cloud-enabled HR and Finance solutions to support Unified Accreditation, address UMS strategic priorities, and promote operational efficiency
- Key Recommendations**
 - Leverage experience to **re-implement the Campus Solutions** SIS with **Unified Accreditation** and **Coding Consistency** as guiding principles
 - Take advantage of **new/emerging cloud-enabled functionality** in the ERP (**HR & FN**)
 - Eliminate code customization** in favor of configurable functionality to **improve operational efficiency** and eliminate technical debt



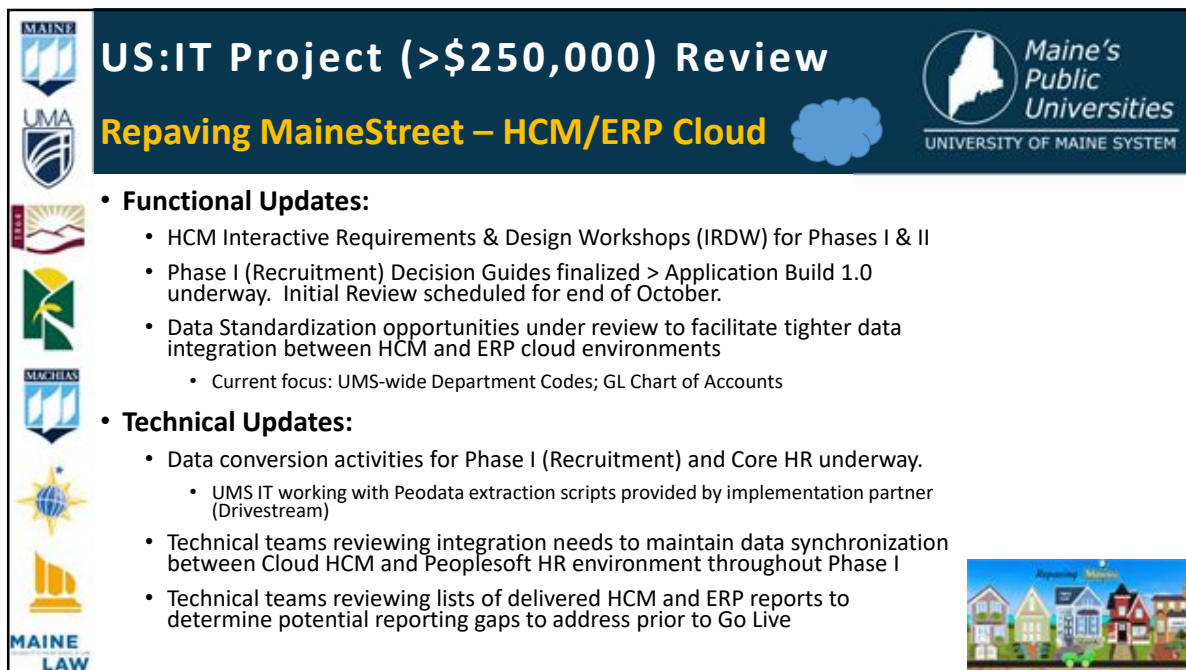


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8.2




6

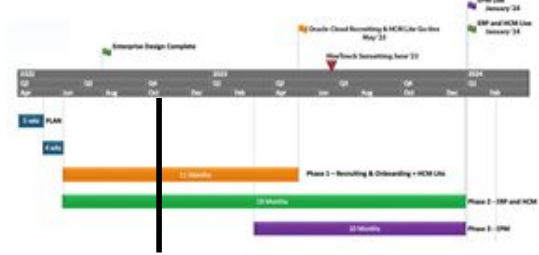
US:IT Project (>\$250,000) Review


Repaving MaineStreet – HCM/ERP Cloud



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- **Phase 1 – Oracle HCM Recruiting & Core HR Lite**
 - Specification/Design – June 2022 – July 2022
 - **Configure/Review – August 2022 – January 2023**
 - Testing/Training – February 2023 – April 2023
 - Go live – May 2023
- **Phase 2 – Oracle HCM (remaining modules) & ERP**
 - Specification/Design – June 2022 – August 2022
 - **Configure/Review – September 2022 – May 2023**
 - Testing/Training – June 2023 – December 2023
 - Go live – January 2024
- **Phase 3 – Oracle EPM**
 - Specification/Design – March 2023 – May 2023
 - Configure/Review – June 2023 – September 2023
 - Testing/Training – October 2023 – December 2023
 - Go live – January 2024*





7

8.2










US:IT Project (>\$250,000) Review

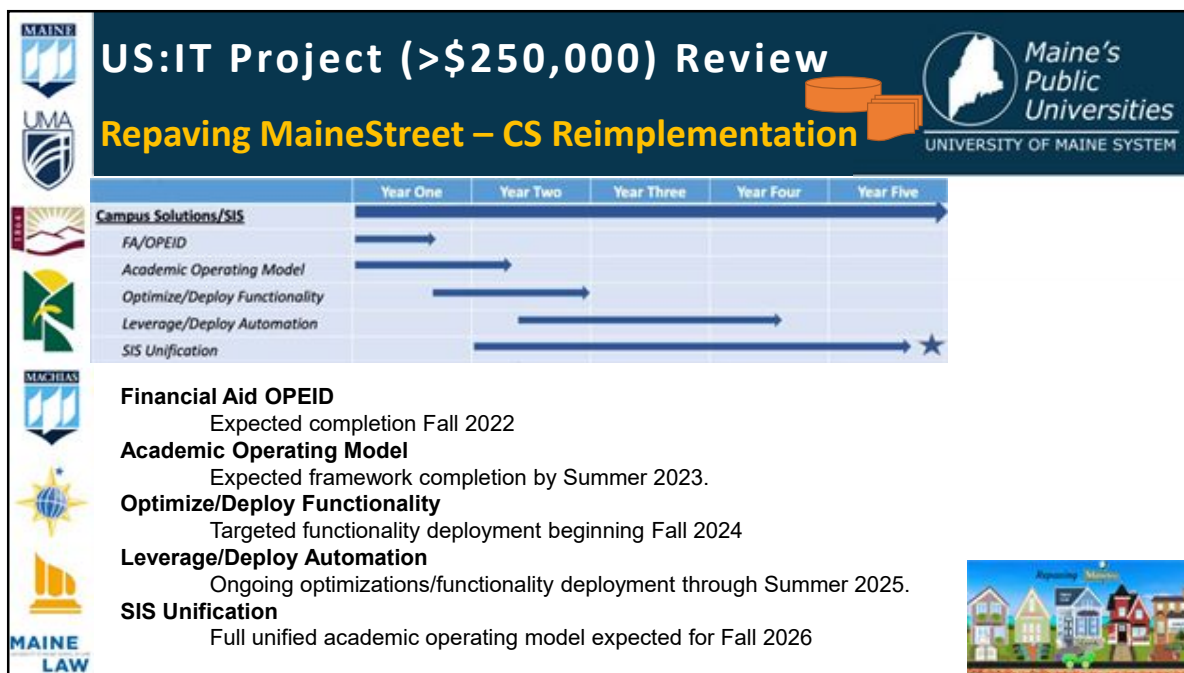
Repaving MaineStreet – CS Reimplementation



Maine's
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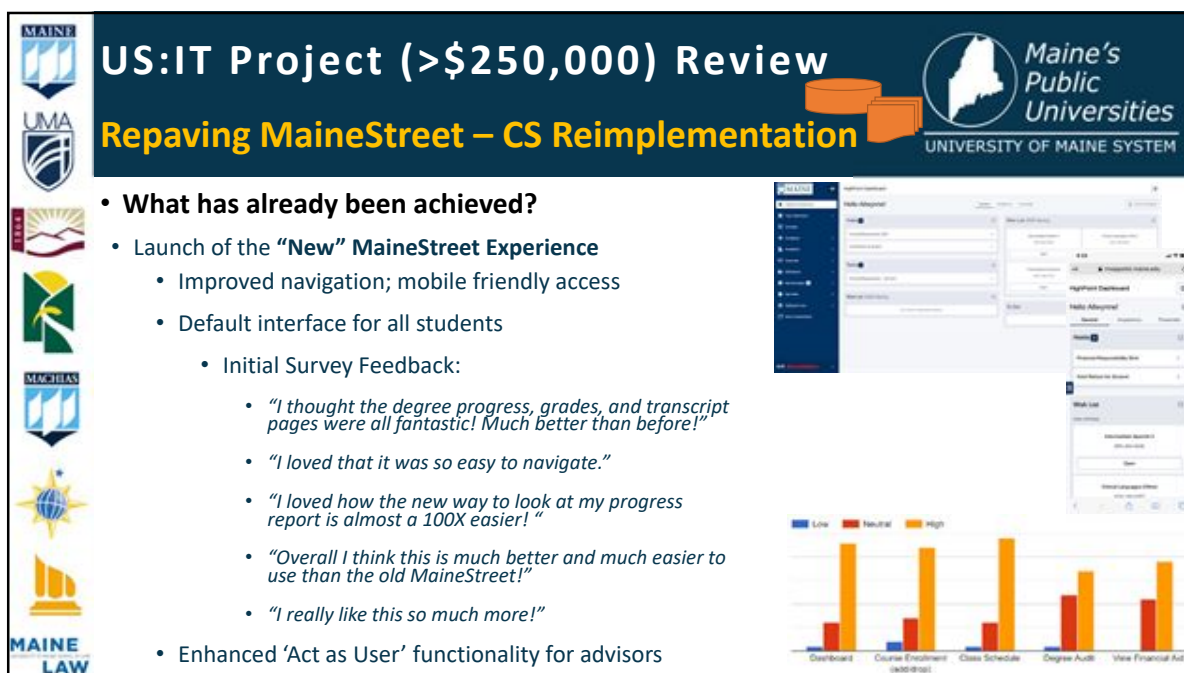
- **Updates:**
 - UMS-wide CS Reimplementation Town Hall conducted on 9/29
 - Over 130 staff, faculty & student representatives attended
 - Preliminary Functional Office planning meetings completed early October
 - Repaving MaineStreet Campus Visits completed mid October
 - Functional Office Discovery Sessions (60) scheduled (October through November)
 - Over 200 functional office staff, faculty and students invited to participate
 - Purpose: to gain a deeper understanding of current pain points
 - Identify and prioritize opportunities for business process realignments and use of new functionality and tools
 - Review of Findings and Preliminary Implementation Plan and Roadmap to follow; target completion March 2023.
 - CS Reimplementation Plan to commence April 2023

8



8.2

9



10










US:IT Project (>\$250,000) Review

Repaving MaineStreet – CS Reimplementation



Maine's
Public
Universities

UNIVERSITY OF MAINE SYSTEM

- What has already been achieved?**
 - Launch of the interactive **Schedule Builder** application
 - Allows students, advisors and staff to build dynamic schedules for a term based on the student's degree requirements, availability and selected set of courses.
 - Course grading integration between **Brightspace** and **MaineStreet**
 - Ongoing work on the **Unified Course Catalog** initiative
 - Revision of the '**Study Away**' form/process



8.2

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Capital Projects Status Report and Bond Projects Update, UMS

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

BACKGROUND:

Executive Summary

Overview:

Attached is the Capital Project Status Report for the October 26 2022, meeting of the Finance, Facilities and Technology Committee. The report reflects a total of 34 projects, with one project added and two removals since the last report. Note that the projects highlighted in yellow reflect current P3 projects. Additionally, projects which are at Board approval level utilizing Harold Alfond Foundation (HAF) grant and matching money are highlighted in green. HAF projects below Board approval level are noted in a separate table at the end of the report as well.

COVID-19 and Current Market Impacts on Capital Construction:

Projects continue to move forward however, impacts also continue.

- Market instability is creating very difficult conditions for the bidding and estimating climate.

Bond Project Status Report:

The special portion of this report calling out only projects funded with the 2018 State bonds reflects sixty (60) projects. The projects are currently estimated to account for over \$47 million of the \$49 million in voter approved general obligation bond funding. Over \$37 million of that has been expended.

Supplemental funding is being leveraged for some of these projects and the total estimated project value across all funds is nearly \$66 million, including the bond funding and other project resources.

- Eleven (11) of the active bond projects also appear on the Capital Project Status Report with approved budgets above board threshold.
- The remaining bond funded projects do not have budgets that meet the threshold for Board of Trustees consideration and are therefore not present on the Capital Projects Status Report.
- As projects are closed, they will be moved to the completed projects section on this report and will remain on the report for documenting purposes until all Bond Projects are completed. On the current report there are three projects for which Bond funding has been removed, so these will be removed from the next report.

10/14/2022

- The Completed project section reflects nineteen (19) projects that are complete. There are another fifteen (15) projects in the active projects table listed as complete and substantially complete. These will move to the completed section once closeout is finalized.

Research space approvals:

This report provides timely and appropriate disclosure of Chancellor-approved increases in University owned or occupied space when the space is for research purposes, as approved by the Board of Trustees at the January 2020 Board Meeting: none

Harold Alfond Foundation (HAF) Grant funded projects:

Athletics

Work on the Softball complex project continues with completion anticipated in time for the Spring 2023 season.

MCECIS

The master plan report has been delivered by the design team.

UM Ferland Engineering Education & Design Center Project:

The building was occupied prior to the start of the fall semester, with classes currently taking place. Ongoing commissioning, punch list completion and equipment installation is expected to be finished before the spring semester.

300 Fore Street Renovation:

Work continues on schedule with punch list inspections starting on 10/6 on the 5th Floor with other floor inspections to follow. The project is on track to receive Certificate of Occupancy prior to Thanksgiving. Non-construction related activities continue including access control install, AV install, library shelving and FF&E. Physical move-in anticipated in early January.

USM Portland Development Projects:

Portland Commons

One wing of the 8-story portion of the building is weathertight, and two model units have been presented by the developer for punch list review. In the other wing of the 8-story portion activities to make the building weather tight are underway. Installation of mechanical, electrical and plumbing on these wings continues, and all windows have been installed. On the five story wings, wall panels are complete to the third floor and are expected to be complete through the fifth story by the end of August. The exterior brick veneer is 75% complete.

Career and Student Success Center

Construction continues on schedule.

Parking Garage

Pre-cast erection completed 10/03, now working on finishing, caulking, stairwells and mechanicals. Off-site work should be completed by 10/10. Power outage for transformer work and temporary power via generator is scheduled for mid-October.

Center for the Arts

Construction Documents phase of design has begun. Site Review Application for the City of Portland to be submitted in January 2023. Design completion and GMP delivery anticipated for

10/14/2022

late spring final approval. Schedule subject to the Building Permit review time with the city. Ground breaking anticipated in July/August 2023.

University of Maine Energy Center project:

Design continues with anticipated completion in summer of 2023 to be followed by pricing/bidding and construction.

Adaptive Reuse of Coburn and Holmes Halls/Boutique Hotel project:

Ground breaking for this special initiative was held on October 1st. Work, including interior renovations and exterior utility tie-ins have begun in earnest. The hotels are projected to open in 2024.

RFQ for Asset Development & Management Consulting Services

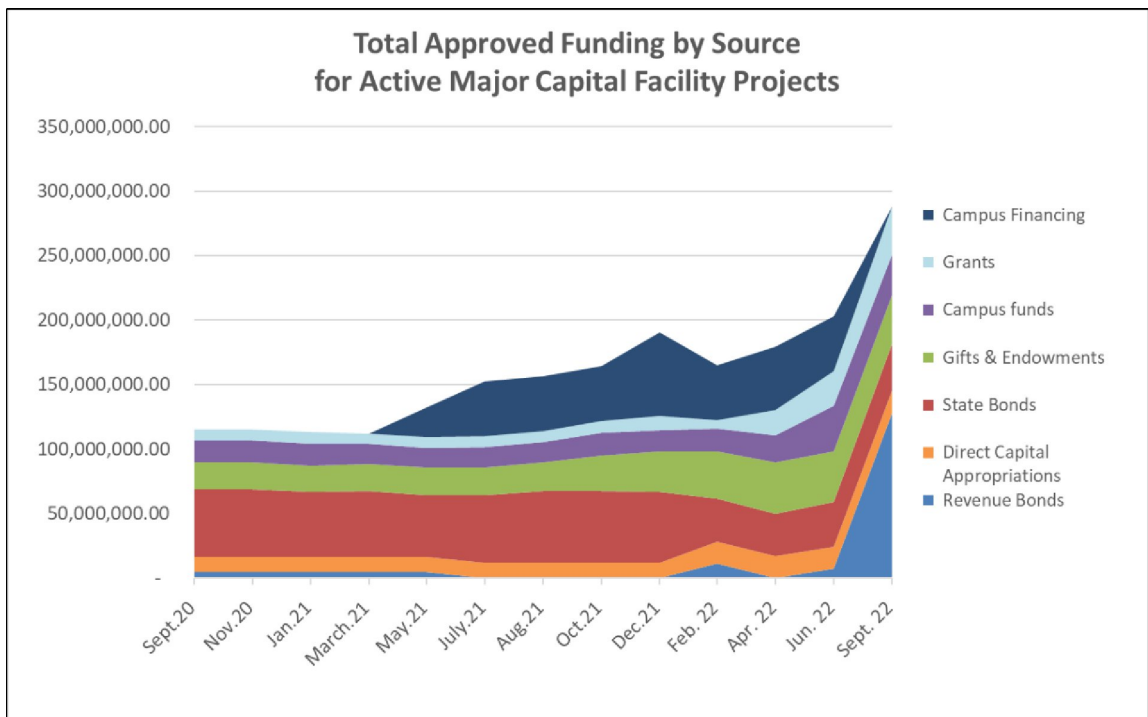
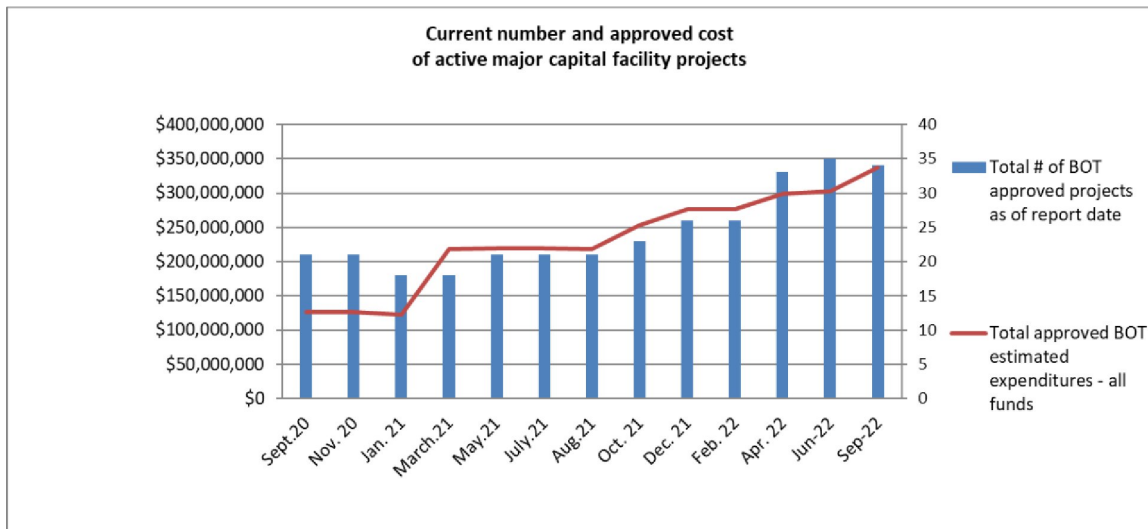
An initiative is underway with assistance from Strategic Procurement to solicit, by way of Request for Qualifications (RFQ), consulting services for asset development and management. The services we are looking for range from feasibility studies, market surveys and analytics, financial analysis, to any other services that can support asset development and management on the campuses. These consulting services will support engagements which will most likely take the form of P3s however the RFQ will be broad enough to include consulting services for other financing and development models that campuses would like to pursue. A draft of the RFQ is out for review and input from the campuses with the expectation to issue the RFQ this fall.

UMPI Solar Array

While the process of bidding, installation and commissioning of the solar field was a challenge with a large number of players and utility companies, the process of negotiating with our insurance carriers for the largest possible payout was even more daunting. We have Gretchen Catlin, Chief Facilities and General Services Officer, and Joseph Moir, Director of Facilities at the University of Maine at Presque Isle, to thank for the success of this project.

Commissioned in early September, this array is designed with two-sided panel collection (to gather reflected as well as direct sunshine). The solar array consists of 6 rows/848 modules with total projected output of 405 KW DC which translates to 300 KW AC with a projected annual output of 499,969 KWH and campus savings of approximately \$56,000 per year. For a perspective of annual university energy usage, the university reports it used 2,482,925 KWH (\$265,442) in FY2022.

10/14/2022



*Direct Capital Appropriations funds consist of capital appropriations in anticipation of revenue bonding, as well as MEIF funds.

** Campus Financing demonstrates the use of interim financing in the form of a Bond Anticipation Note. Bonds have now been issued reducing this category to zero for the time being.

10/14/2022

Capital Project Status Report
Board Approved Projects
October 2022 - Finance, Facilities and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) of expenditures to date & each source's share	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UMA									
Randall Welcome Center (1100085)	2018 State Bond (100%)	Complete	2021	2022	\$2,150,000	\$2,150,000	\$1,736,705	80.8%	Board approved \$2.15M May 2021. The approval of 1100085 in May of 2021 replaces 1100077.
Medical Laboratory Technology (1100093)	E&G(100%)	Design in Progress	2023	2023	\$1,650,000	\$1,650,000	\$33,232	2.0%	Board approved \$1,650,000 in March, 2022.
Camden Hall Vet Tech (1100095)	E&G(100%)	Construction in Progress	2023	2023	\$1,600,000	\$1,600,000	\$124,618	7.8%	Board approved \$1,600,000 in March, 2022.
**Handley Hall A/C replacement (1200029)	E&G (32%),HEERF(68%)	Design in Progress	2020	2023	\$575,000	\$1,230,000	\$81,390	6.6%	Board approved \$575K in September, 2019. Board approved \$1.2M in Emergency Relief Funds and up \$30k in E&G funds in Sept 2021.
**Katz Library HVAC Repairs (1200061)	HERFF (100%)	Construction in Progress	2021	2022	\$1,100,000	\$1,335,000	\$398,958	29.9%	Board approved \$1.1M Sept 2021. Board authorized additional \$235,000 in June 2022.

UMF

Dearborn Gym HW Upgrades (2100087)	2010 State Bond (10%) 2018 State Bond (90%)	Complete	2019	2022	\$600,000	\$850,000	\$848,752	99.9%	Board approved \$600K in March, 2019. Board approved additional \$250K in May, 2019.
274 Front St Renovation (2100096)	2018 State Bond (100%)	Construction in Progress	2020	2022	\$450,000	\$3,100,000	\$365,275	11.8%	Board approved up to \$3.1M in January 2022.
**FRC Façade Replacement (2100112)	2018 State Bond (100%)	Bidding	2022	2023	\$925,000	\$925,000	\$41,161	4.4%	Board approved up to \$925,000 in May 2022.

UMFK

UMFK Enrollment/Advancement Center (3100042)	2018 State Bond (100%)	Substantially Complete	2022	2022	\$3,249,000	\$3,249,000	\$2,884,156	88.8%	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K E&G for a total of \$3,249,000.
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UM

**UM Ferland Engineering, Education and Design Center (5100458, 5100493, 5100546, 5200604)	Campus Funds (3%), State Approp (27%) Gifts (25%), 2022 Revenue Bond (45%)	Substantially Complete	2024	2024	\$1,000,000	\$78,000,000	\$61,771,416	79.2%	Board approved \$1M in September, 2017. Board approved additional \$8M in May, 2018. Additional \$63M BOT approved March, 2020 Initial occupancy of this facility is expected in 2022; final completion in 2024. Board authorized up to \$78M in January 2022.
**UM Energy Center Phase II (5100516, 5100517)	Campus E&G Funds (71%) Grants (29%)	Design in Progress	2023	2023	\$5,700,000	\$5,700,000	\$895,872	15.7%	Board approved \$5.7M March, 2019.
**Neville Hall Renovations (5100534)	2018 State Bond (100%)	Substantially Complete	2021	2022	\$1,500,000	\$1,500,000	\$1,066,910	71.1%	Board approved up to \$1.5M expenditure in March 2021.
ASCC Secure Clean Lab Suite (5100560)	Grants (100%)	Design in Progress	2023	2023	\$2,451,268	\$2,451,268	\$197,604	8.1%	Board authorized \$2,451,268 in March 2022.
**Steampit SA10 (5100563)	E&G (100%)	Substantially Complete	2022	2022	\$640,000	\$640,000	\$515,528	80.6%	Board authorized \$640,000 in May 2022.
**ASCC Building Addition GEM Lab (5100579)	Grants (56% -MJRP)Operating Reserves (44%)	Design in Progress	2025	2025	\$1,500,000	\$15,300,000	\$550,363	3.6%	Board approved \$1.5M May 2021. Board authorized additional \$13.8M in August 2022.
**UM Priority 1 Athletics fields (5100593, 5100594, 5100597)	Gifts (18%) HAF Grant (82%)	Construction in Progress (5100597); Others - Hold	2023	2023	\$14,000,000	\$40,000,000	\$2,745,601	6.9%	Board authorized \$14M in January 2022. Board authorized additional \$26M in August 2022.
Relocation of Dairy Operations; Dairy Barn Demo (5100631, 5200747)	System Reserves(100%)	Design and Bidding in Progress	2023	2023	\$800,000	\$800,000	\$5,755	0.7%	Authorized by FFT at June, 2022 meeting.
**UM Adaptive Reuse project/Historic P3 (5200661)	Campus Funds- Aux and E&G Reserves (100%)	Construction in Progress	2023	2024	\$2,000,000	\$3,000,000	\$445,024	14.8%	Board authorized for UM contribution of up to \$2M in October 2021. Board authorized additional \$1M in March 2022.
**HVAC Systems & Controls Upgrades (5100558, TBD)	E&G (100%)	Pre-Design in Progress	2024	2024	\$10,000,000	\$10,000,000	\$25,006	0.3%	Board authorized up to \$10M in May 2022.

Capital Project Status Report
Board Approved Projects
October 2022 - Finance, Facilities and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) of expenditures to date & each source's share	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
USM									
**USM Center for the Arts (6100300)	Gifts (100%)	Design in Progress	2022	2025	\$1,000,000	\$4,200,000	\$1,976,773	47.1%	Board approved \$1M in January, 2018. Board authorized an additional \$3.2M for a total of \$4.2M in November 2021.
**Bailey Hall Fire Protection and Electrical Upgrades (6100316, 6100323)	2018 State Bond (35%), Campus E&G (65%)	Complete	2019	2022	\$2,580,000	\$4,388,000	\$4,157,375	94.7%	Board approved \$2.58M in January, 2019. Additional authorization of \$1,808,000 for a total of \$4,388,000 in January 2020.
Career and Student Success Center and Portland Residence Hall (6100325, 6100338)	2018 State Bond (28%), 2022 Revenue Bond (72%)	Construction in Progress	2020	2023	\$1,000,000	\$100,600,000	\$58,104,001	57.8%	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined for the two projects in January 2020. Board approved an increase by \$93.7M in February 2021. Chancellor approved additional \$1.2M for Res. Hall in June, 2022.
Structured Parking Garage (6100331)	Campus E&G Funds (1%), 2022 Revenue Bond (99%)	Construction in Progress	2022	2023	\$1,200,000	\$23,500,000	\$11,981,623	51.0%	Board approved in March 2020 with initial spending limit of \$400,000; addtl \$800,000 authorized by the Chancellor and VCFA and Treasurer in April, 2021. Board authorized a new total of \$23m in November, 2021. Chancellor approved additional \$0.5M in June, 2022.
**Academy Building Renovation (6100332)	Campus E&G Funding (100%)	Construction in Progress	2022	2023	\$800,000	\$800,000	\$250,946	31.4%	Authorized by FFT at June, 2022 meeting.
**USM Dubyak Center (6100342)	Gifts (20%), 2018 State Bond(80%)	Bidding	2022	2023	\$2,500,000	\$2,500,000	\$150,415	6.0%	Board approved up to \$2.5 million in January, 2022. \$1M of bond funds to cover the total \$2.5m project budget. Addtl \$1.5M funding is from Maine Jobs Recovery funds.
***USM Steam Line (6100361)	Campus E&G Funds (100%)	Complete	2021	2021	\$600,000	\$600,000	\$599,932	100.0%	Board approved \$600K in May 2021.
Hannaford Field Turf Repl (6100362)	E&G (100%)	Substantially Complete	2022	2022	\$900,000	\$900,000	\$756,939	84.1%	Board approved up to \$900,000 in March 2022.
**Fitness Equipment Purchase and Space Renovation USM Gorham Costello Gym Reno (6100370), Sullivan Gym Equip Repl (6100371), LAC Gym Equip Repl (6200295)	Campus E&G Funds (100%)	Substantially Complete	2020	2022	\$700,000	\$770,000	\$690,164	89.6%	Board Approved March, 2020. No expenditures as of yet. An increase of \$70k was authorized by the Chancellor to \$770k in December 2021.
USM IPE Lab (6200286)	Gifts (100%)	Construction in Progress	2022	2022	\$482,000	\$980,000	\$279,483	28.5%	Board approved up to \$900,000 in January 2022. Chancellor approved additional \$80,000 in June 2022.
UMPI									
UMPI Solar Array (7100023)	Campus E&G (100%)	Substantially Complete	2020	2022	\$700,000	\$1,144,240	\$971,090	84.9%	Board approved \$700K June, 2020. Board approved an increase to \$1,144,240 during the August 2021 Executive Committee.
Wieden Renovation Bond (7100025)	2018 State Bonds (97%), Gifts(3%)	Construction in Progress	2020	2023	\$3,757,000	\$7,652,280	\$3,142,049	41.1%	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022.
Folsom 105 Nursing Renovation (7100026)	2018 State Bonds (100%)	Complete	2020	2022	\$800,000	\$760,000	\$719,300	94.6%	Board approved \$800K March, 2020. Budget reduced by \$40K due to funds to Wieden Renovation.
*Park Hall (7100029)	State Appropriation (100%)	Design in Progress	2023	2023	\$662,000	\$662,000	\$228	0.0%	Board approved \$662,000 at June 2022 FFT meeting.
UMS/Law School									
**300 Fore St Portland Renovation (8100152)	Gifts (80%), E&G(20%)	Construction in Progress	2022	2022	\$6,000,000	\$13,827,396	\$7,412,300	53.6%	Board approved \$6M September 2021. Board approved increase to \$11.5M in Jan '22. Board authorized additional \$1,327,396 in March 2022. Board authorized \$1m additional in June '22.

Capital Project Status Report
Board Approved Projects
October 2022 - Finance, Facilities and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) of expenditures to date & each source's share	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	Total Expense to Date	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
HAF projects which are currently below board level									
Campus, Project Name (Project ID)	Funding Source(s) of expenditures to date & each source's share	Status	Original Estimated Completion	Current Est. Completion	Total Expense to Date	Prior Actions, Information & Notes			
UM - Engineering Ph III - MCECIS Master Planning (5200692)	HAF Grant/HAF Match (100%)	Pre-Design	TBD	TBD	488,348.48	HAF Funded project. Below Board level.			
UM - HAF Athletics Master Plan (5200696)	HAF Grant/HAF Match (100%)	Pre-Design	TBD	TBD	288,710.60	HAF Funded project. Below Board level.			
UM - Morse field Turf Replacement (5100559)	Campus Funds (59%) Gifts (41%)	Complete	2021	2021	445,516.76	HAF Funded project. Below Board level.			
Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. *** This project has been completed since the last report and is not expected to appear on the next report. Highlighted: Board level HAF and P3 Projects	Funding source(s) reflects primary source(s) for project.		Calendar Year unless otherwise noted.					Percentage expended reflects total expended as of September 30, 2022 as a percentage of the current approved project estimate.	

Bond Project Status Report
Active Bond Projects
October 2022 - Finance, Facilities, and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UMA								
Randall 2nd Floor Renovations (1100083)	Construction in Progress	2021	2022	Bond (100%)	\$100,000	\$77,355	\$100,000	
Randall Welcome Center (1100085)	Complete	2021	2022	Bond (100%)	\$1,750,000	\$1,736,705	\$2,150,000	Board approved \$2.15M May 2021. The approval of 1100085 in May of '21 replaces 1100077.
Bangor Campus Welcome Center (1100534)	Complete	2021	2022	Bond (95%) E&G (5%)	\$475,000	\$460,416	\$498,821	
ACC Nursing Upgrades (1200082)	Complete	2022	2022	Bond (94%) E&G (6%)	\$50,000	\$38,971	\$59,000	
**Randall Admissions Renovations (1200083)	Construction in Progress	2021	2023	Bond (92%) E&G (8%)	\$154,096	\$22,088	\$284,095	
Total Bond for Campus					\$2,529,096	\$2,335,536	\$3,091,916	
UMF								
Dearborn Gym Hot Water Upgrades (2100087)	Complete	2019	2022	Bond (90%) Energy Bond (10%)	\$848,752	\$764,755	\$848,752	Board approved \$600k in March 2019. Board approved additional \$250k in May 2019.
Stone Hall Renovations (2100095)	Complete	2019	2022	Bond (100%)	\$200,000	\$181,117	\$200,000	
274 Front St Renovation (2100096)	Construction in Progress	2020	2023	Bond 100%	\$1,400,000	\$362,886	\$3,100,000	Board approved up to \$3.1M in January 2022. \$1.4m in 2018 bonds, the remaining is from gifts, Maine Jobs Recovery Act funds and other congressional earmarks.
Olsen Center Renovations (2100102)	Design in Progress	2023	2023	Bond (100%)	\$300,000	\$80,670	\$300,000	
Mantor Library Renovations (2100103)	Complete	2021	2022	Bond (100%)	\$300,000	\$267,316	\$300,000	
Campus ADA Ramps (2100104)	Construction in Progress	2021	2022	Bond (100%)	\$100,000	\$31,379	\$100,000	
Roberts HVAC Upgrade (2100106)	Design in Progress	2021	2022	Bond (100%)	\$150,000	\$60,661	\$150,000	
Merrill Hall HVAC Upgrade (2100107)	Design Complete	2021	2022	Bond (100%)	\$50,000	\$35,127	\$50,000	
Ricker Addition Renovation (2100108)	Design in Progress	2021	2022	Bond (100%)	\$175,000	\$55,619	\$175,000	
Scott North Renovation (2100109)	Complete	2021	2022	Bond (100%)	\$98,605	\$98,605	\$98,605	
Scott West Renovation (2100110)	Construction in Progress	2021	2022	Bond (100%)	\$175,000	\$57,341	\$175,000	
FRC Roof Replacement (2100111)	Construction in Progress	2021	2022	Bond (100%)	\$325,000	\$299,950	\$300,000	
**FRC Façade Replacement (2100112)	Bidding	2022	2023	Bond (100%)	\$925,000	\$41,161	\$925,000	Board approved up to \$925,000 in May 2022.

Bond Project Status Report
Active Bond Projects
October 2022 - Finance, Facilities, and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
UMF								
Security Camera&Phone Install (2100015)				Bond (100%)	\$100,000	\$0	\$100,000	
Lockwood Hall Heat Conversion (2100016)				Bond (100%)	\$465,000	\$0	\$465,000	
Exterior Painting Merrill Hall (2200096)	Design in Progress	2020	2022	Bond (100%)	\$450,000	\$46,896	\$450,000	
***Mallet Front Porch Painting (2200103)	Complete	2021	2021	E&G (100%)	\$0	\$0	\$0	Funding for this project is now from E&G, so the project will be removed from the list.
***UMF Purington Front Porch Painting (2200104)	Complete	2021	2021	E&G (100%)	\$0	\$0	\$0	Funding for this project is now from E&G, so the project will be removed from the list.
***UMF Preble/Ricker Flooring (2200105)	Complete	2021	2021	E&G (100%)	\$0	\$0	\$0	Funding for this project is now from E&G, so the project will be removed from the list.
Stone Hall Suite Conversion (2200109)	Construction in Progress	2022	2022	Bond (100%)	\$275,000	\$51,749	\$275,000	
Total Bond for Campus					\$6,337,357	\$2,435,233	\$8,012,357	
UMFK								
UMFK Enrollment/Advancement Center (3100042)	Substantially Complete	2022	2023	Bond (100%)	\$2,990,000	\$2,884,156	\$3,249,000	Board approved \$2.99M in Bond Funding, March, 2020. Plus, \$259K for a total of \$3,249,000.
Total Bond for Campus					\$2,990,000	\$2,884,156	\$3,249,000	
UM								
UMM Reynolds Renewal (4100047)	Complete	2021	2022	Bond (100%)	\$320,475	\$320,475	\$320,475	
UMM Dorward Hall Roofing (4200048)	Construction in Progress	2021	2022	Bond (100%)	\$45,000	\$32,939	\$45,000	
Neville Hall Renovation (5100534)	Construction in Progress	2021	2022	Bond (100%)	\$1,500,000	\$1,066,910	\$1,500,000	Board approved up to \$1.5M expenditure in March 2021.
R-UMM Science Bldg Reno (5100581)	Pre-design in Progress	2022	2023	Bond (100%)	\$50,000	\$8,260	\$50,000	
R-Dorward Hall Access Upgrade (5100596)	Construction in Progress	2022	2022	Bond (100%)	\$187,111	\$133,276	\$187,111	
R-UMM O'Brien ADA Access Ramp (52000741)	Pre-design in Progress	2022	2022	Bond (100%)	\$50,000	\$0	\$50,000	
Total Bond for Campus					\$2,152,586	\$1,561,860	\$2,152,586	

Bond Project Status Report
Active Bond Projects
October 2022 - Finance, Facilities, and Technology Committee
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
USM								
**Bailey Hall Fire Protection and Electrical Upgrades (6100316, 6100323)	Complete	2019	2022	Bond (39%) E&G (61%)	\$1,460,000	\$1,460,000	\$4,388,000	Board approved \$2.58M in January, 2019. Board approved additional \$1.808M in January, 2020.
Career and Student Success Center (6100325)	Construction in Progress	2022	2023	Bond (100%) Gifts (0%)	\$19,000,000	\$16,335,586	\$26,551,000	Board approved \$1M in January, 2019. Board approved predevelopment expenditures of up to \$5.7M combined with the residence hall project in January 2020. Board approved an increase by \$93.7M in February 2021, of that amount, the specific budget for the CSSC is \$26.6M.
Nursing Simulation Lab Science (6100327)	Complete	2021	2022	Bond (100%)	\$1,500,000	\$1,407,323	\$1,500,000	Board approved \$1.5M in January, 2020.
**USM Dubyak Center (6100342)	Bidding	2022	2022	Bond (80%) Gifts (20%) Grants (0%)	\$1,000,000	\$119,947	\$2,500,000	Board approved up to \$2.5 million in January, 2022. \$1M of bond funds to cover the total \$2.5m project budget. Addtl \$1.5M funding is from Maine Jobs Recovery funds.
Upper Class Pipe Insul Replmnt (6100366)	Construction in Progress	2022	2022	Bond (100%)	\$112,584	\$30,737	\$112,584	
LAC Deferred Maint Projects (6100367)	Construction in Progress	2022	2022	Bond (100%)	\$300,000	\$24,192	\$300,000	
Upper Class Hall Online Locks (6100369)	Construction in Progress	2022	2022	Bond (100%) E&G (0%)	\$379,021	\$246,507	\$399,021	
Total Bond for Campus					\$23,751,605	\$19,624,291	\$35,750,605	
UMPI								
Wieden Renovation Bond (7100025)	Construction in Progress	2020	2023	Bond (97%) Gifts (3%)	\$3,757,000	\$3,041,023	\$7,652,280	Board approved \$3.7M May 2021. Board approved an addtl \$2.5 million Jan 2022. Bond funded portion remains at \$3,757,000. Board authorized additional \$1,395,280 in May 2022.
Folsom 105 Nursing Renovation (7100026)	Complete	2020	2023	Bond (100%)	\$760,000	\$719,300	\$760,000	Board approved \$800K March, 2020. Reduced by \$40K to allow Wieden funding.
Total Bond for Campus					\$4,517,000	\$3,760,323	\$8,412,280	
Totals:					\$42,277,645	\$32,601,398	\$60,668,744	

Bond Project Status Report
Active Bond Projects
October 2022 - Finance, Facilities, and Technology Committee
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Campus, Project Name (Project ID)	Status	Original Estimated Completion	Current Est. Completion	Funding Source(s) of expenditures to date & each source's share	Estimated Bond Funding for Project	Bond Funding Expended	Total Estimated Project Cost	Prior Actions, Information & Notes
Completed Bond Projects								
Augusta Campus Welcome Center (1100077)	Closed	2021	2021	Bond (100%)	\$350,388	\$350,388	\$350,388	UMA
Randall Center Student Lounge (1100084)	Complete	2021	2022	Bond (100%)	\$143,675	\$143,675	\$143,675	UMA
Jewett Hall Boiler Design Work (1200062)	Complete	2021	2021	Bond (100%)	\$305,000	\$321,287	\$321,287	UMA
274 Front St Acquisition (2100089)	Complete	2019	2019	Bond (100%)	\$850,820	\$850,820	\$850,820	UMF
Scott Hall Renovations (2100092)	Complete	2019	2022	Bond (100%)	\$193,660	\$193,660	\$193,660	UMF
Dakin Hall Shower Renovations (2100093)	Complete	2019	2022	Bond (100%)	\$95,707	\$95,707	\$95,707	UMF
Lockwood Hall Shower Renovations (2100094)	Complete	2019	2022	Bond (100%)	\$87,103	\$87,103	\$87,103	UMF
UMF Campus Paving (2100097)	Complete	2019	2019	Bond (100%)	\$97,338	\$97,338	\$97,338	UMF
FRC Floor Renovation (2100098)	Complete	2019	2019	Bond (100%)	\$209,503	\$209,503	\$209,503	UMF
Scott South Renovations (2200102)	Complete	2022	2022	Bond (100%)	\$132,222	\$132,222	\$132,222	UMF
Dakin Flooring, Ceiling, Light (2100105)	Complete	2021	2021	Bond (100%)	\$206,187	\$206,187	\$206,187	UMF
UMM Science Building Roof Replacement (4100042)	Complete	2020	2020	Bond (100%)	\$280,487	\$280,487	\$280,487	UMM
UMM Dorward Hall Roof Replacement (4100043)	Complete	2020	2020	Bond (100%)	\$296,092	\$296,092	\$296,092	UMM
UMM Sennett Roof Replacement (4100044)	Complete	2020	2020	Bond (100%)	\$201,257	\$201,257	\$201,257	UMM
UMM Reynolds Center Roof Repair (4200044)	Complete	2020	2020	Bond (100%)	\$154,226	\$154,226	\$154,226	UMM
UMM Site Work (4200045)	Complete	2020	2020	Bond (100%)	\$57,365	\$57,365	\$57,365	UMM
**UMM Science Bldg Rm 010 Renovation (5100575)	Complete	2021	2022	Bond (100%)	\$100,885	\$100,885	\$100,885	UMM
Woodward Hall Renovations (6100301)	Complete	2019	2019	Bond (86%) E&G (14%)	\$1,008,395	\$1,008,395	\$1,172,840	USM
Ricci Lecture Hall Renovations (6100308)	Complete	2019	2020	Bond (31%) Gifts (43%), E&G (26%)	\$172,010	\$172,010	\$564,197	USM
Totals:					\$4,942,320	\$4,958,607	\$5,515,239	
GRAND Total (Active and Completed Projects)					\$47,219,965	\$37,560,005	\$66,183,984	
Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. Completed projects will remain on this report unless otherwise specified. *** Projects will be removed from the report.	Funding source(s) reflects primary source(s) for project.	Calendar Year unless otherwise noted.					Bond Funding expended reflects total expended as of September 30, 2022.	

University of Maine System
Board of Trustees

AGENDA ITEM SUMMARY

NAME OF ITEM: Appropriation Allocation Model

INITIATED BY: Roger J. Katz, Chair

BOARD INFORMATION: X

BOARD ACTION:

BOARD POLICY:

UNIFIED ACCREDITATION CONNECTION:

BACKGROUND:

Vice Chancellor Low will provide a summary review of the Appropriation Allocation Model, four years into its implementation. He will discuss the intent of the model, which is to establish parity in funding across the UMS, its functionality and the success/results thus far.

10

10/14/2022



Appropriation Allocation Model

10.1

RYAN LOW
VICE CHANCELLOR FOR FINANCE
AND ADMINISTRATION



Oct. 26, 2022

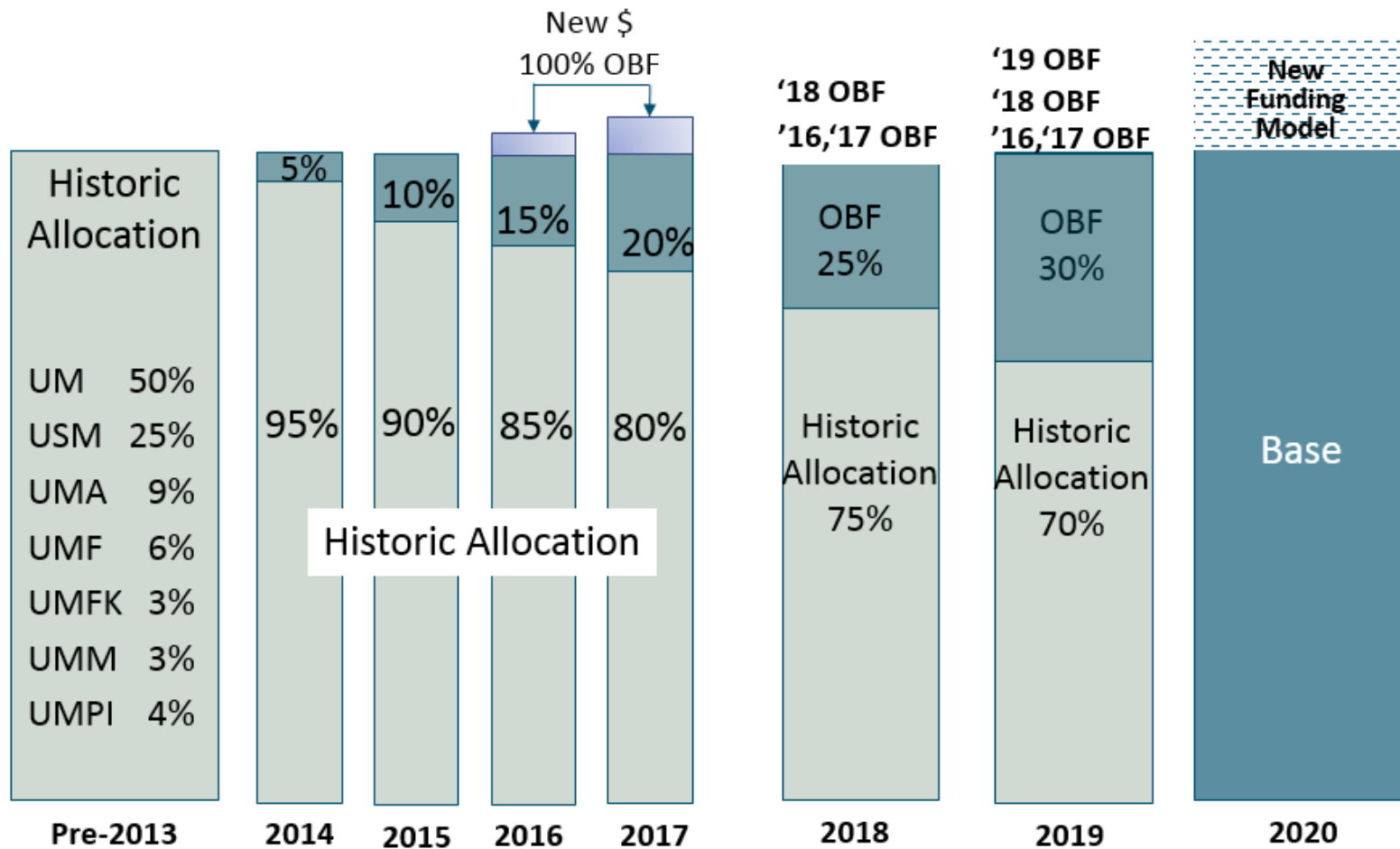
Background



10.1


Background

University of Maine System Allocation Models



10.1

Background




Maine's
Public
Universities
UNIVERSITY OF MAINE SYSTEM

Peer Identification

HR DIGITAL


MY PROJECTS RESEARCH LIBRARY REQUEST PROJECTS



CLIENT

Hanover's Annual Higher Education Market Leadership Report

HIGHER EDUCATION FEATURED CASE STUDIES



Maine's
Public
Universities
UNIVERSITY OF MAINE SYSTEM

Peer Identification Draft Peers: Similarity Scores

A peer list is generated by computing a singular measure of “similarity” between the UMS Institution and each prospective peer institution, which then creates a “Similarity Score”

Institution	Similarity Score
The University of Virginia's College at Wise (ID 233897)	0.0659
Missouri Western State University (ID 87)	0.1083
Lewis-Clark State College (ID 1423)	0.1109
Oklahoma Panhandle State University (ID 1031)	0.1142

Similarity Score

The Similarity Score is based on the “distance” between the UMS institution and each peer institution along each of the input variables.

Institution	Similarity Score
Rogers State University (ID 207661)	0.1532
Montana Tech of the University of Montana (ID 180416)	0.1560
New College of Florida (ID 262129)	0.1638
University of North Carolina at Asheville (ID 199111)	0.1721
Morrisville State College (ID 196051)	0.1781

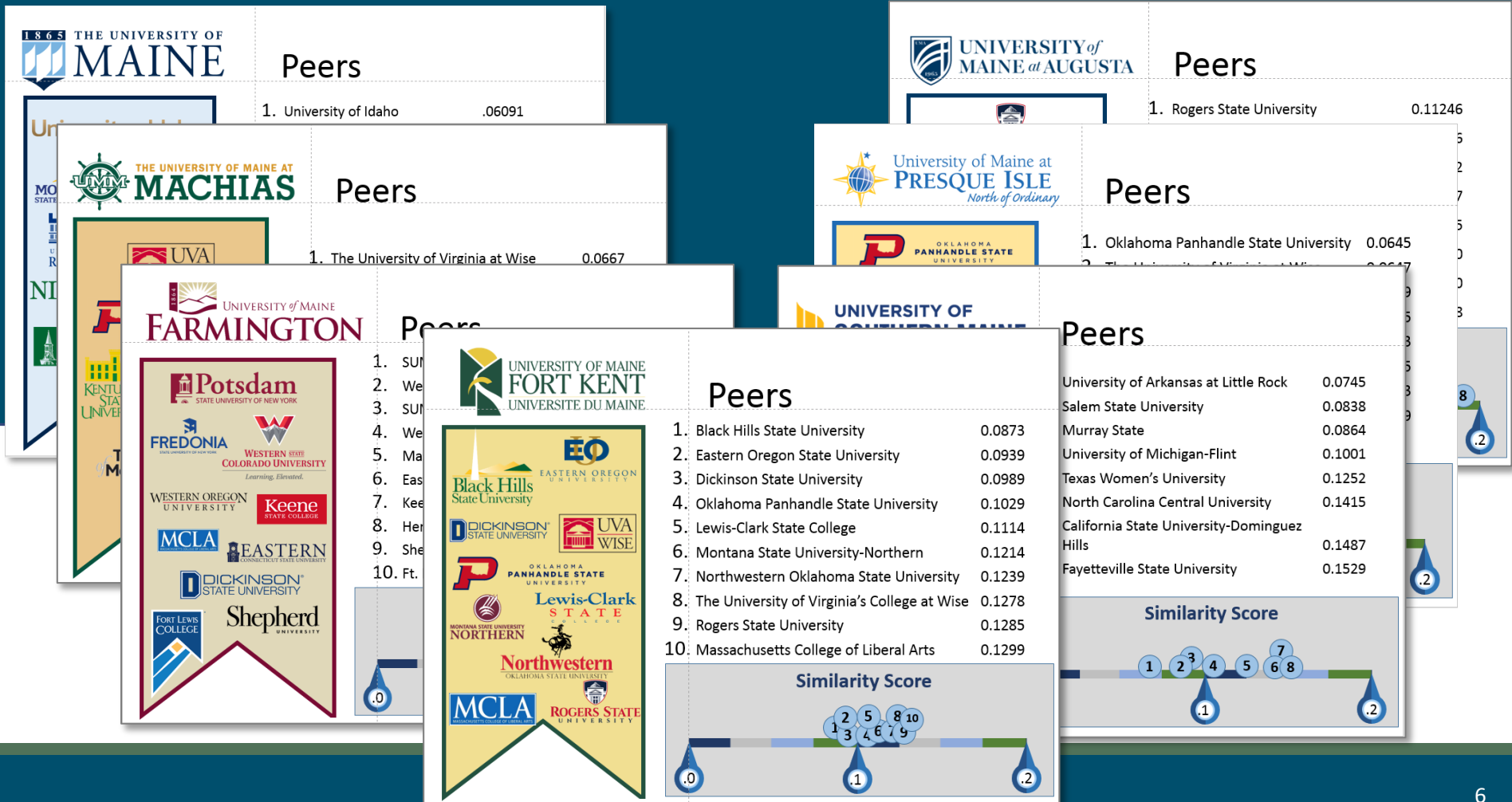
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Allocation Model

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Peers

IPEDS



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Peer Cost Centers & Examples of Expenses

A. Instruction – Nursing, English, Humanities

B. Research

C. Public Service – Center on Aging, Legal Aid Clinic, Conferencing Services

D. Academic Support – Library, Deans, International Program

E. Student Services – Recruitment/Admissions, Financial Aid Office

F. Institutional & Administrative Support – Business Office, Human Resources, Development

G. Student Financial Aid – Institutional Aid only

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Model

3 most recent years of IPEDs spending data, adjusted for inflation, from campus peers across 7 cost centers, to create a peer average

Peer Cost Centers & Examples of Expenses

- A. Instruction** – Nursing, English, Humanities
- B. Research**
- C. Public Service** – Center on Aging, Legal Aid Clinic, Conferencing Services
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Model

Adjust peer averages by identifying Education & General (E&G) spending as % of all IPED's spending in that cost center

E&G Spending as a % of Total Spending

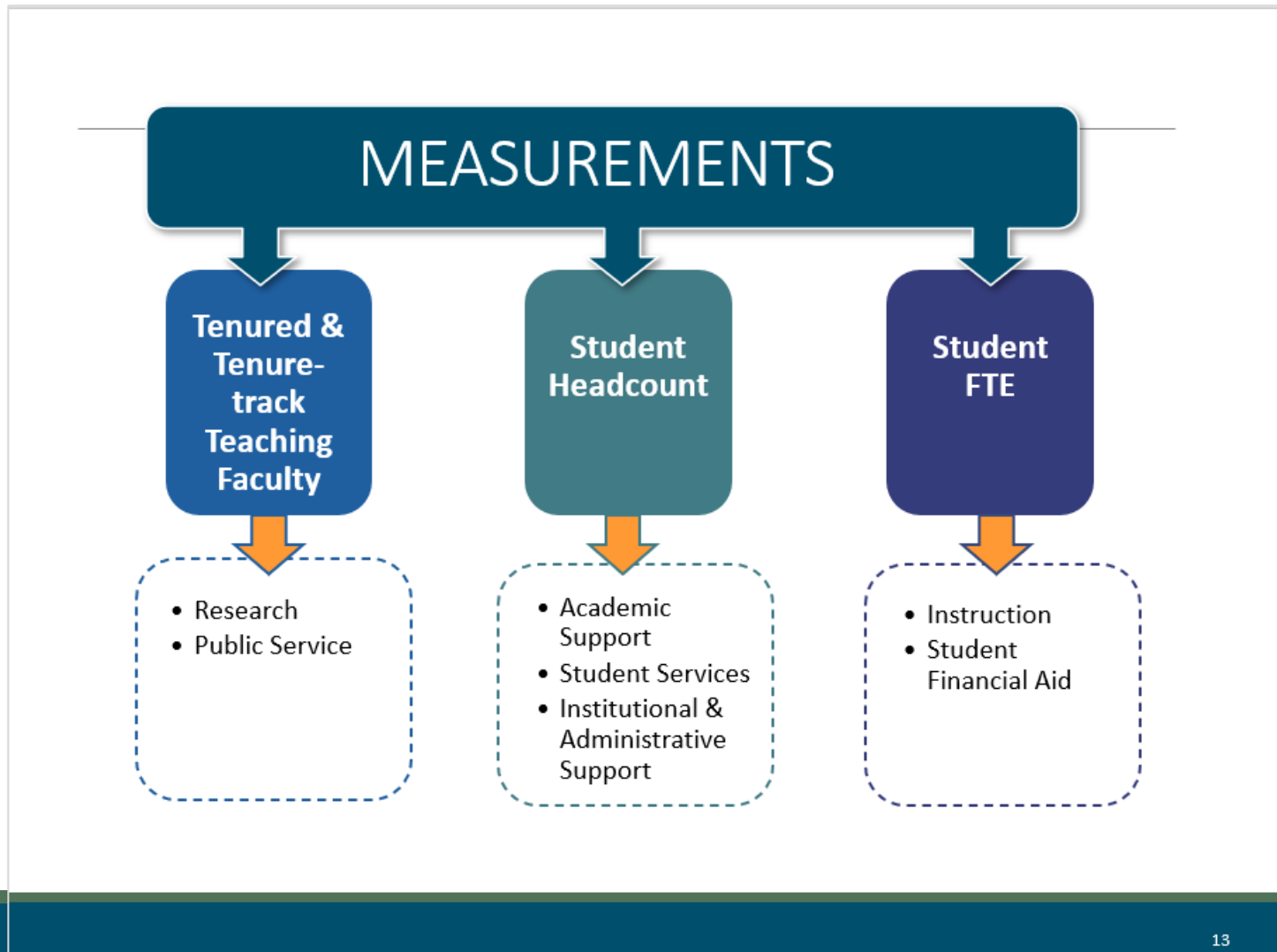
	3-Yr Average						
	<u>UM</u>	<u>UMA</u>	<u>UMF</u>	<u>UMFK</u>	<u>UMM</u>	<u>UMPI</u>	<u>USM</u>
Instruction	96.36%	99.78%	91.84%	98.81%	98.54%	99.21%	95.39%
Research	19.16%	92.65%	0.02%	18.86%	2.59%	7.85%	18.93%
Public Service	52.97%	7.81%	1.80%	47.06%	62.36%	18.67%	16.79%
Academic Support	90.12%	98.03%	90.26%	95.74%	93.11%	89.01%	90.98%
Student Services	89.86%	97.62%	93.91%	94.06%	96.48%	92.08%	90.69%
Institutional Support	94.99%	96.57%	92.01%	96.27%	95.66%	92.82%	95.36%

Note: Beginning in FY20, UM and UMM have been combined in the model.
IPEDS costs used are from unrestricted sources only.

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Model

Multiply adjusted average of peers by campus specific metric



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3-Year Peer Data – Instructional Cost

Peer Data for USM		Instructional Costs for USM Peers (per Student FTE rate)			
IPEDS Data CV		FY15	FY14	FY13	Average
	California State University-Dominguez Hills	65,420,782	60,889,216	59,096,681	
	Fayetteville State University	39,750,929	40,429,047	40,179,332	
	Murray State University	69,111,002	65,282,360	63,878,961	
	North Carolina Central University	73,830,129	74,219,441	77,418,854	
	Salem State University	60,687,239	59,953,564	57,719,279	
	Texas Woman's University	78,792,480	70,608,452	68,039,459	
	University of Arkansas at Little Rock	58,886,942	60,052,204	63,800,697	
	University of Michigan-Flint	55,414,520	51,983,329	49,948,455	
Standard Deviation		11,344,983	9,932,647	10,591,567	
Upper Bound		91,099,211	85,258,820	86,489,132	
Lower Bound		34,374,295	35,595,583	33,531,298	
IPEDS Data CV, normalized	California State University-Dominguez Hills	65,420,782	60,889,216	59,096,681	
	Fayetteville State University	39,750,929	40,429,047	40,179,332	
	Murray State University	69,111,002	65,282,360	63,878,961	
	North Carolina Central University	73,830,129	74,219,441	77,418,854	
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	University of Arkansas at Little Rock	58,886,942	60,052,204	63,800,697	
	University of Michigan-Flint	55,414,520	51,983,329	49,948,455	
Per FTE/HC Rate	California State University-Dominguez Hills	\$ 6,243	\$ 5,869	\$ 5,992	\$ 6,035
	Fayetteville State University	\$ 7,527	\$ 7,527	\$ 7,585	\$ 7,547
	Murray State University	\$ 7,580	\$ 7,308	\$ 7,153	\$ 7,347
	North Carolina Central University	\$ 11,005	\$ 10,687	\$ 10,633	\$ 10,775
	Salem State University	\$ 7,690	\$ 7,655	\$ 7,191	\$ 7,512
	Texas Woman's University	\$ 6,745	\$ 5,975	\$ 5,748	\$ 6,156
	University of Arkansas at Little Rock	\$ 7,042	\$ 6,855	\$ 6,915	\$ 6,937
	University of Michigan-Flint	\$ 8,883	\$ 8,271	\$ 7,949	\$ 8,368
Per Student FTE rate		USM			\$ 7,585

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Calculate Peer Instructional Cost

Applied to USM Student FTE

Calculate Instructional Costs	
Peer 3-Year Average Rate: Instructional Costs per Peer FTE (All Funds)	\$ 7,585
USM's Unrestricted to All Funds Ratio for Instructional Costs	95.39%
Peer 3-Year Average Rate adjusted to reflect Unrestricted Portion	\$ 7,235
USM's 3-Year Average Student FTE	6,493
Peer Instructional Costs Adjusted for USM FTE and Unrestricted Ratio	\$ 46,980,344

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3-Year Peer Data – Academic Support

Peer Data for UMPI		Academic Support Costs for UMPI Peers (per Student Headcount rate)			
		FY15	FY14	FY13	Average
IPEDS Data CV	Dickinson State University	2,577,171	2,642,066	2,400,417	
	Glenville State College	1,242,108	1,076,477	1,110,141	
	Massachusetts College of Liberal Arts	4,756,664	4,734,675	4,616,785	
	Montana State University-Northern	1,949,035	1,761,961	1,766,518	
	Oklahoma Panhandle State University	1,029,654	1,205,263	1,309,802	
	Rogers State University	2,569,572	2,817,080	2,564,556	
	The University of Virginia's College at Wise	4,372,540	3,381,372	3,275,043	
	West Liberty University	1,651,546	1,907,578	1,872,919	
Standard Deviation		1,292,992	1,143,553	1,074,024	
Upper Bound		5,751,017	5,299,692	5,049,584	
Lower Bound		(713,945)	(418,074)	(320,539)	
IPEDS Data CV, normalized	Dickinson State University	2,577,171	2,642,066	2,400,417	
	Glenville State College	1,242,108	1,076,477	1,110,141	
	Massachusetts College of Liberal Arts	4,756,664	4,734,675	4,616,785	
	Montana State University-Northern	1,949,035	1,761,961	1,766,518	
	Oklahoma Panhandle State University	1,029,654	1,205,263	1,309,802	
	Rogers State University	2,569,572	2,817,080	2,564,556	
	The University of Virginia's College at Wise	4,372,540	3,381,372	3,275,043	
	West Liberty University	1,651,546	1,907,578	1,872,919	
Per FTE/HC Rate	Dickinson State University	\$ 1,957	\$ 1,786	\$ 1,657	\$ 1,800
	Glenville State College	\$ 717	\$ 597	\$ 600	\$ 638
	Massachusetts College of Liberal Arts	\$ 2,899	\$ 2,683	\$ 2,690	\$ 2,757
	Montana State University-Northern	\$ 1,579	\$ 1,432	\$ 1,324	\$ 1,445
	Oklahoma Panhandle State University	\$ 836	\$ 929	\$ 953	\$ 906
	Rogers State University	\$ 630	\$ 699	\$ 573	\$ 634
	The University of Virginia's College at Wise	\$ 2,157	\$ 1,549	\$ 1,430	\$ 1,712
	West Liberty University	\$ 705	\$ 708	\$ 675	\$ 696
Per Student Headcount rate		UMPI			\$ 1,324

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Calculate Peer Academic Support Cost

Applied to UMPI Student Headcount

Calculate Academic Support Costs	
Peer 3-Year Average Rate: Academic Support Costs per Peer Student Headcount (All Funds)	\$ 1,324
UMPI's Unrestricted to All Funds Ratio for Academic Support Costs	89.01%
Peer 3-Year Average Rate adjusted to reflect Unrestricted Portion	\$ 1,178
UMPI's 3-Year Average Student Headcount	1,360
UMPI's 3-Year Average Student Headcount	\$ 1,601,609

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Calculated Peer Total Unrestricted Costs

(Excluding Auxiliary) Applied to UMFK

Instruction	\$ 6,285,782
Research	16,277
Public Service	161,765
Academic Support	2,402,969
Student Services	2,877,216
Institutional & Administrative Support	3,028,834
Facilities* (Operations, Maintenance)	2,369,527
Student Financial Aid	1,633,904
Depreciation & Interest*	608,745
Total Unrestricted Costs	\$ 19,385,020

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*Beginning in FY16, IPEDS no longer reported Facilities and Depreciation & Interest as separate cost centers but rather as component costs within the other 7 cost centers.

Cost Share: State & Student

Cost Share: Student and State Appropriation									
UMFK	Total Peer Cost	State's Suggested Share		Student FTE Percent		State Calculated Support		Student Calculated Support	
		Resident	Non-Res	Resident	Non-Res	Resident	Non-Res	Resident	Non-Res
Undergraduate	\$ 19,385,020	60.00%	0.00%	82.16%	17.84%	\$ 9,556,047	\$ -	\$ 6,370,698	\$ 3,458,275
Graduate (Masters/Doctoral/Law)	\$ -	40.00%	0.00%	0.00%	0.00%	\$ -	\$ -	\$ -	\$ -
Total Required State Support	\$ 19,385,020					\$ 9,556,047	\$ -	\$ 6,370,698	\$ 3,458,275

State's Suggested Share		Student FTE Percent	
Resident	Non-Res	Resident	Non-Res
UGrad 60.00%	0.00%	82.16%	17.84%
Grad 40.00%	0.00%	0.00%	0.00%

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Parity Calculation

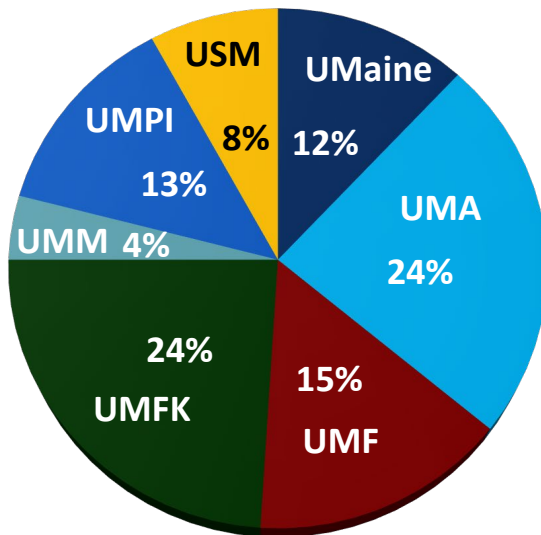
								New Appropriation
								\$ 1,435,714
								Strategic Investment 30.0% \$ 430,714
								Governance Budget Adjustment 0.3% \$ 5,000
								Appropriation for Campus Parity 69.7% \$ 1,000,000
Public service - Incentive	Total E & G	Required State Support	FY19 Appropriation Allocation*	Net Variance	% Funded	Disparity Factor	Disparity Percent	Parity Allocation
UMFK	\$ 19,385,020	\$ 9,556,047	\$ 6,871,862	\$ (2,684,185)	71.9%	28.09	25.44%	\$ 254,439

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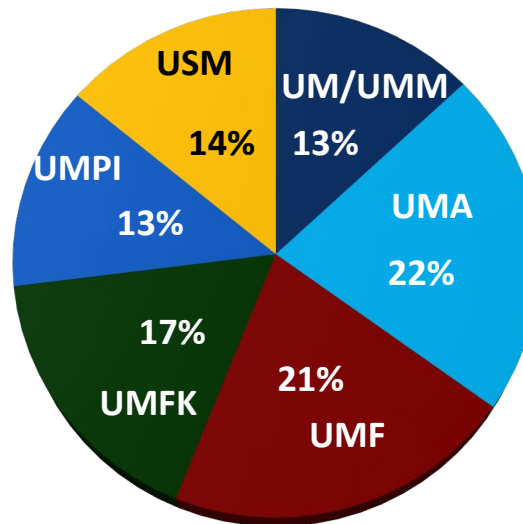
- The Disparity Factor is the inverse of the % Funded for each campus.
- The Disparity Percent equals the campuses' percentage of the sum of the Disparity Factors.
- No campus will be funded in excess of the percent that total State Appropriation funds the calculated "Required State Support" for the system, as a whole.

Dynamic Response – FY20, FY22 & FY23

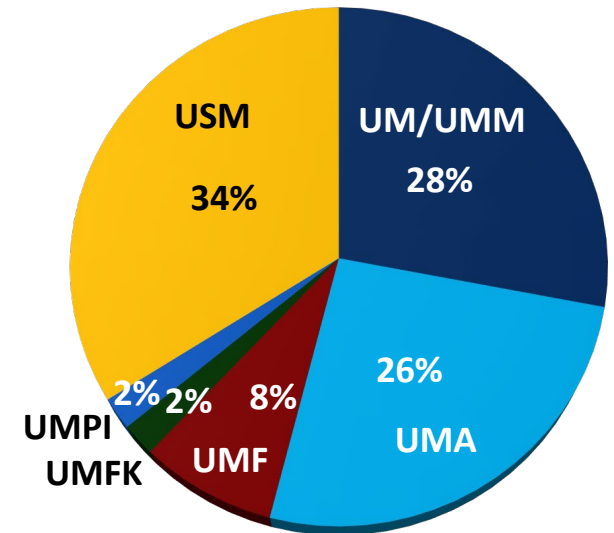
FY20



FY22



FY23



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Note: There was no new money to allocate in FY21
UMaine & UMM were combined in FY22 & FY23

Questions

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