July 5, 2018

TO: Members of the Board of Trustees  
Faculty and Student Representatives

FR: Ellen Doughty, Clerk of the Board  

RE: July Board Meeting

Enclosed are the materials for the Board of Trustees Meeting on Monday, July 16, 2018, at the University of Maine hosted by UMS.

The Executive Session will be located in the Alfond Family Lounge and the Public Board meeting will be located at Wells Conference Center. Parking is available for both locations in the Dunn Hall parking lot. Directions are included in the Board meeting materials.

The Board Meeting materials are available on the Diligent portal, for those who have access, and in PDF format on the Board of Trustees website at:
www.maine.edu/UMSboardmeetcings

Live audio streaming will be available for the Board meeting. The links to the live streaming and the captioning are on the Board of Trustees website at:
www.maine.edu/board.

On Monday, July 16th, the Executive Session will be called to order at 8:30 am with an opportunity for breakfast and networking starting at 8:00 am at the Alfond Family Lounge.

On Monday, July 16th, the Public Board meeting will be called to order at 1:30 pm with light refreshments provided at the Wells Conference Center.

Meeting rooms have been reserved at Wells Conference Center for the Faculty & Student Representatives, if they would like to meet in their respective groups. The Faculty Representatives can meet in Room 2 and the Student Representatives can meet in Room 3. Both of these rooms will be available starting at 11:00 am on Monday.

Overnight accommodations for those that have requested, have been made at the Courtyard Marriot, 236 Sylvan Road, Bangor, 04401, PH: 207-262-0070/

Incoming messages can be left with the UMS Chancellor’s Office at 973-3220 or with Heather Massey at 991-4724 or Ellen Doughty at 949-4905.
In the event of a postponement, cancellation, or changes in the Board of Trustees meeting, a message will be recorded on the Board Office telephone (581-5844). In addition, every effort will be made to personally contact the Board of Trustees, the Presidents, and the Faculty and Student Representatives.

cc: Chancellor James H. Page
    University Presidents
    System Staff
Thursday, July 16, 2018

Breakfast @ 8:00 am - Alfond Family Lounge

Call to Order @ 8:30 am - Alfond Family Lounge

The Board will go directly into executive session.

EXECUTIVE SESSION

Tab 1 - Comprehensive Presidential Review

PUBLIC MEETING

Call to Order/Reconvene @ 1:30 pm - Room 1, Wells Conference Center

Citizen Comment

The Board of Trustees provides time for citizen comment prior to the business agenda at each meeting. The Chair of the Board will establish time limits (usually three minutes per person) and determine any questions of appropriateness and relevancy. Personnel decisions, collective bargaining issues, grievances, litigation and other areas excludable from public discussion under the Maine Freedom of Access Law shall not constitute appropriate matters for such input. A person who wishes to speak during the citizen comment period should arrive prior to the meeting start time and sign up on a sheet provided, indicating name and topic of remarks.

Chair’s Report (15 minutes)
Tab 2 - Appointment of Standing Committees
Tab 3 - Resolution Regarding Board Policy 311 - Intra-system Student Exchange

Chancellor’s Report (60 minutes)

Vice Chancellor for Finance and Administration & Treasurer’s Report (5 minutes)
Tab 4 - Financial Update

Vice Chancellor for Academic Affairs’ Report (10 minutes)
Tab 5 - Academic Affairs Update
Action Items
Tab 10 - Appropriation Allocation Model (45 minutes)
Tab 12 - SRAP Update - Additional Funding Request
Tab 6 - Acceptance of Minutes (5 minutes)
Tab 7 - Resolution for Shawn H. Moody (5 minutes)
Tab 8 - Resolution for M. Michelle Hood (5 minutes)
Tab 9 - Resolution for Kay Kimball (5 minutes)
Tab 11 - Confirmation of Faculty Representative to the Board of Trustees, UMFK (5 minutes)

Consent Agenda (5 minutes)
Action items from the June 25, 2018 Human Resources & Labor Relations Committee Meeting:
Tab 13 - Approval of Susan J. Hunter as President Emerita, UM
Tab 14 - Approval of Kathryn A. Foster as President Emerita, UMF

Information Item
Tab 15 - University of Maine at Farmington Presidential Search Update (10 minutes)

Date of the Next Meeting: September 16 & 17, 2018 at the University of Maine at Presque Isle

Attachments:
Financial Update
- Managed Investment Pool
- Pension Fund
- Operating Fund
Appropriation Allocation Model Video
Committee Assignments 2018-2019
Resolution for Shawn H. Moody
Resolution for M. Michelle Hood
Resolution for Karen "Kay" S. Kimball

Reports:
UMS Interactive Dashboard
Named Chair and Professorships Annual Report
Capital Project Status Report Executive Summary
Capital Project Status Report
Agenda Calendar
Management Group Appointment Report

Presentations:
Appropriation Allocation Model Presentation
MaineStreet Follow-up Presentation

Tabs noted in red text are action items.
Tabs noted in green text are tentative

Note: Times are estimated based upon the anticipated length for presentations or discussion of a particular topic. An item may be brought up earlier or the order of items changed for effective deliberation of matters before the Board.
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Comprehensive Presidential Review

2. INITIATED BY: James H. Page, Chancellor

3. BOARD INFORMATION: X BOARD ACTION:

4. BACKGROUND:

   Board of Trustee policy provides for both annual and comprehensive reviews of presidents’ performance. The annual review is conducted by the chancellor. Comprehensive reviews are conducted by outside reviewers in the president’s third year of service and each subsequent four years.

   This year a scheduled comprehensive review was conducted for USM President Glenn Cummings. The attached overview summarizes the review process. Results of the comprehensive review have been discussed with the president and will be used to guide the present’s professional development and in setting goals for the coming year. The Chancellor will brief the Board on results of the review in executive session.
External Review of USM President Glenn Cummings

Overview

A comprehensive review of President Glenn Cummings’ performance was conducted in FY2018 in accordance with Board of Trustees policy. Comprehensive reviews are conducted in the third year of a president’s service and each subsequent four years. Reviewers are selected from a panel of seasoned university presidents and leaders.

The external reviewer selected to conduct the review was Dr. James Schmotter. Dr. Schmotter President Emeritus of Western Connecticut State University, has spent his career spanning the boundaries of academic disciplines to increase the effectiveness and success of higher education institutions. After earning a Ph.D. in American History at Northwestern and studying Higher Education Administration at Columbia, he attained a national reputation as an innovator in business education as associate dean and director of international studies at Cornell University’s Johnson Graduate School of Management and as dean at Lehigh University and Western Michigan University. From 2004 through 2015 he served as President at Western Connecticut.

The periodic formal review of presidents focuses on outcomes that reflect progress in achieving institutional goals. Priorities and specific areas of focus for each individual review are established by the chancellor in consultation with the external reviewer and president.

The external reviewer’s confidential report to the chancellor reflects constituent interviews, the president’s self-assessment, the institution’s strategic plan, and the president’s annual goals developed jointly between the chancellor and president. The report contains:

- Identifiable strengths and accomplishments,
- Suggestions for remediating concerns and improving presidential leadership, and
- Suggestions for developmental opportunities

Elements of leadership considered in the formal review are:

I. Progress in achieving institutional and system strategic goals

II. Presidential Leadership, Management, and Relationships
   A. Institutional Leadership
   B. Academic Leadership
   C. Administration, Operations, Resource Utilization
   D. University leadership and relationships
   E. External collaboration and relationships
   F. 

President Cummings submitted a self-review in May 2018. Dr. Schmotter visited the USM campus on May 15 & 16, 2018 and met with groups of faculty, staff, students, members of the Board of Visitors, members of the UMS Board of Trustees, union leaders, the president’s direct reports, academic administrators, and representatives of the alumni organization and foundation. He also interviewed two colleague UMS presidents and System leaders.

Dr. Schmotter submitted a confidential report of his findings to Chancellor Page in May 2018. The report was shared with President Cummings and discussed by President Cummings and Chancellor Page. The Chancellor forwards the reviewer’s final report to the Board of Trustees. The results will be used to guide the president’s professional development and to set goals for the president in the coming year.

6/4/18
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Appointment of Standing Committees
2. INITIATED BY: Trustee James R. Erwin, Chair
3. BOARD INFORMATION: ✗ BOARD ACTION:
4. OUTCOME: BOARD POLICY:
   Section 103 – Bylaws of the Board of Trustees
5. BACKGROUND:

   Board of Trustees Bylaws state that committee appointments will be made annually by the Chair. The Chair, Vice-Chair and Chancellor are ex officio members of all standing committees, but the Chancellor is a non-voting member. All committees must have at least three members apart from the ex officio members. Except for the Executive, Audit, and Human Resources/Labor Relations Committee, standing committees may have members who are not members of the Board. Faculty and Student Representatives serve as non-voting members of the Academic and Student Affairs Committee and the Finance, Facilities, Technology Committee.

   The Committee chairs and membership for FY2019 is included in the meeting materials.

Attachment:
Committee Assignments 2018-2019

7/5/2018
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Resolution to Review Board of Trustee Policy 311: Intra-system Student Exchange

2. INITIATED BY: James H. Page, Chancellor

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOMES: BOARD POLICY:
   - Increase Enrollment
   - Improve Student Success & Completion
   - 311 – Intra-system Student Exchange

5. BACKGROUND:

Removing barriers to student access to university resources and programs across the System is a key goal of the Board’s student success priority outcome within the framework of the System’s One University initiative.

To that end, Vice Chancellor for Academic Affairs Robert Neely has reported to the Board over the last year on efforts to implement pilot cross-listings of courses between UMS campuses. The goal of these efforts is to have courses from one System university catalog appear as courses in another System university catalog to facilitate the ability of students enrolled at one university to easily take courses from another System university. The key advantage for students is that cross-listing will allow them to seamlessly enroll in courses from other UMS campuses in the same manner as they would for a course on their home campus, i.e., no application process, no transfer requirements, and no financial aid impact. In addition, course cross-listing will facilitate automation of other administrative processes, such as tuition revenue sharing.

Progress on the cross-listing initiative has been challenging and sporadic. The Board has been informed in recent meetings that current digital learning management systems software and the System’s MaineStreet student information system, as well as student financial aid requirements, operate in such ways as to frustrate or impede progress, and it is not apparent that all academic administrative stakeholders understand the Board’s resolve in this matter.

The Board notes that existing Board Policy 311 addresses a UMS student’s ability to take courses at System universities other than the campus where he or she matriculated. Policy 311 was adopted in November 1990 and has not been substantively changed since then. Board minutes state that, at the time the policy was adopted, a UMS student from one System university who wished to take a course from a different UMS university was able
to do so, but was treated as a transfer student and was required to wait to register until all
students at that university had registered. The policy, stated in its entirety below, was
adopted then to provide that students matriculating at one UMS university be allowed to
pre-register for courses at another UMS university and have the same privileges as
students matriculated at that other university.

Policy 311:
When a student in good standing who is matriculated at one University of Maine
System institution registers for a course or courses at another University of Maine
System unit, that student is to be afforded the same rights and privileges, including
pre-registration and payment of tuition and fees, at the host institution as a student
who is regularly matriculated at that unit. This policy does not alter any residency
requirements that may be in effect at the student’s home institution.

The Board notes too that the State University of New York System Board of Trustees in
November 2016 adopted resolutions to update cross registration policies to permit SUNY
students to take courses from any SUNY university without administrative burden or
impact on financial aid eligibility. The SUNY Board Resolution recognizes that such
cross registration enhances access, completion, and student success. (See
http://www.suny.edu/about/leadership/board-of-
trustees/meetings/webcastdocs/Tab%202%20-%20Cross%20Registration%20Policy.pdf)

The Board shares these same goals as reflected in its Priority Outcome to improve student
success and completion within the One University framework.

So as to ensure that its System governance policies are properly aligned with and support
the Vice Chancellor for Academic Affairs’ efforts to successfully implement cross-listing
pilots and related System university academic collaboration efforts, and so that the System
better realizes the intent of Policy 311, the Board requests that the Chancellor, acting
through the Vice Chancellor of Academic Affairs, report at the Board’s September 2018
meeting with recommendations as to how Policy 311 should be revised to better support
such efforts and maintain and best realize Policy 311’s intent.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees requests that the Chancellor, acting through the Vice
Chancellor of Academic Affairs, and with input from appropriate System university
stakeholders, report back to the Board at its September 2018 meeting with
recommendations as to how Policy 311 should be revised to better support cross-listing
pilots and related System university academic collaboration efforts and maintain and best
realize Policy 311’s intent, which the Board reaffirms by this request.

7/5/18
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Financial Update

2. INITIATED BY: James H. Page, Chancellor

3. BOARD INFORMATION: X

4. OUTCOME:
   Enhance fiscal positioning

BOARD ACTION:

BOARD POLICY:

5. BACKGROUND:

Vice Chancellor for Finance and Administration and Treasurer Ryan Low will provide a brief financial update at the July 16, 2018 Board of Trustees meeting.

Attachments:

Managed Investment Pool Flash Reports
Pension Fund Flash Reports
Operating Fund Flash Reports

7/5/18
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Vice Chancellor for Academic Affairs Report

2. INITIATED BY: James H. Page, Chancellor

3. BOARD INFORMATION: X BOARD ACTION:

4. OUTCOME: BOARD POLICY:
Increase Enrollment
Improve Student Success & Completion
Relevant Academic Programming

5. BACKGROUND:

Dr. Robert Neely, Vice Chancellor for Academic Affairs, will provide an update on the Online Program Manager (OPM).

Academic Partnerships, a privately-held company serving more than 50 universities to grow online markets was selected as a potential partner. Academic Partnerships focuses on partnering with public universities, and their clientele range from R1, research-intensive and flagship institutions to teaching universities and regional campuses. Academic Partnerships’ services are designed to scale with partners’ online enrollment growth, ensuring the delivery of high quality and consistent service tailored to specific needs of students, faculty and administrators. The services they would provide include: academic support, enrollment, integrated marketing, retention and partner support.

The Stage 1 programs that are being explored include primarily graduate programs in nursing, education and business. The Stage 2 would include graduate programs in digital/technology and other professional masters, particularly health care as well as undergraduate programs.
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Acceptance of Minutes

2. INITIATED BY: Trustee James R. Erwin, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME: BOARD POLICY:

5. BACKGROUND:

The following minutes will be presented to the Board of Trustees for approval at the July 16, 2018 Board meeting:

- May 14, 2018 – Academic & Student Affairs Committee Meeting
- May 20-21, 2018 – Board of Trustees Meeting
- June 14, 2018 – Investment Committee Meeting
- June 15, 2018 – Finance, Facilities, Technology Committee Meeting
- June 25, 2018 – Human Resources & Labor Relations Committee Meeting
- June 27, 2018 - Executive Committee Meeting

The Board of Trustees website link to the minutes is: http://www.maine.edu/about-the-system/board-of-trustees/meeting-minutes/

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the minutes as presented.

7/5/2018
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Resolution for Shawn H. Moody

2. INITIATED BY: Trustee James Erwin, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME: BOARD POLICY:

5. BACKGROUND:

Mr. Shawn H. Moody has served as a Trustee for the University of Maine System since April 1, 2014 and will end his term on May 26, 2018.

Mr. Moody has served on the Human Resources & Labor Relations Committee and the Investment Committee since 2014 and has been a member of the Executive Committee for the past two years.

Mr. Moody is the first Trustee to serve as a Trustee for the University of Maine System and the Maine Community College System. Through his dedicated work with both Boards, he has assisted with strengthening the collaborative initiatives between the two Systems.

The University of Maine System has benefited from Mr. Moody’s student focused perspective as well as his experience as a business owner and champion of employee engagement.

6. TEXT OF PROPOSED RESOLUTION:

A resolution for Board approval will be presented at the July 16, 2018 Board of Trustees meeting.

Attachment:
Resolution for Shawn H. Moody

7/5/18
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Resolution for M. Michelle Hood

2. INITIATED BY: Trustee James Erwin, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME: BOARD POLICY:

5. BACKGROUND:

Ms. M. Michelle Hood has served as a Trustee for the University of Maine System since March 24, 2010 and served as Chair of the Board in 2011 and 2012.

She has been a long standing member of the Audit Committee and the Executive Committee, serving as Audit Committee chair for the past two years. Trustee Hood has also been a member of the following committees:
- Academic and Student Affairs Committee
- Finance, Facilities, Technology Committee
- Human Resources & Labor Relations Committee
- Investment Committee
- Trustee Affairs Committee

She has served as the UMS Trustee representative to the University of Maine Foundation and a member of the University of Maine Presidential Search Committee in 2010;

Under her dedication and leadership, the Board of Trustees worked to keep tuition affordable for students, align the System’s academic programs with workforce needs, and enhanced the UMS focus on Enterprise Risk Management

6. TEXT OF PROPOSED RESOLUTION:

A resolution for Board approval will be presented at the July 16, 2018 Board of Trustees meeting.

Attachment:
Resolution for M. Michelle Hood

7/05/18
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Resolution for Karen “Kay” S. Kimball
2. INITIATED BY: James R. Erwin, Chair of the Board
3. BOARD INFORMATION: BOARD ACTION: X
4. OUTCOME: BOARD POLICY:
5. BACKGROUND:

Karen “Kay” S. Kimball arrived at the University of Maine at Machias as an Assistant Professor of History in 1999. She was the Assistant Provost from June 2013 to December 2015 and Vice President for Academic Affairs and Provost from January 2016 to June 2017. Since July 1, 2017 Dr. Kimball has held the position of Vice President for Academic Affairs and Head of Campus.

The University of Maine System sincerely appreciates Dr. Kimball’s leadership and willingness to not only accept the Head of Campus position but be instrumental in the development and execution of the primary partnership between the University of Maine and the University of Maine at Machias.

Dr. Kimball will step down from her current position on August 31, 2018 and will be on a sabbatical for the fall 2018 semester and return to teach History at the University of Maine at Machias in the spring 2019 semester. Her sabbatical will explore the First Opium War between England and China to develop a classroom project based on primary sources for the World History curriculum.

6. TEXT OF PROPOSED RESOLUTION:

A resolution for Board approval will be presented at the July 16, 2018 Board of Trustees meeting.

Attachment: Resolution for Karen "Kay" S. Kimball
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Appropriation Allocation Model
2. INITIATED BY: James H. Page, Chancellor
3. BOARD INFORMATION: BOARD ACTION: X
   BOARD POLICY: Policy 701 – Operating & Capital Budgets
4. OUTCOME: Enhance fiscal positioning
5. BACKGROUND:
   Vice Chancellor Ryan Low will present the proposed Appropriation Allocation Model for the University of Maine System.
   The Finance, Facilities and Technology Committee approved this item to be forwarded for Board of Trustee approval at the July 16, 2018 meeting.
6. TEXT OF PROPOSED RESOLUTION:
   That the Board of Trustees accepts the recommendations of the Finance, Facilities and Technology Committee and approves the Appropriation Allocation model as presented.

Attachments & Presentations

Appropriation Allocation Model Video
Appropriation Allocation Model Presentation

7/5/2018
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Confirmation of Faculty Representative to the Board of Trustees

2. INITIATED BY: James H. Page, Chancellor

3. BOARD INFORMATION:

   BOARD ACTION: X

4. OUTCOME:

   BOARD POLICY: Policy 205 - Faculty & Student Representatives to the Board of Trustees

5. BACKGROUND:

To create the environment for interaction among and between Faculty and Student Representatives, the Trustees and System administration, the Trustees have provided opportunities for participation in the meetings of the committees of the Board.

One faculty member and one undergraduate student from each of the seven universities and one graduate student from the University of Southern Maine and one graduate student from the University of Maine will be appointed by the Board as non-voting representatives to the Board of Trustees and invited to participate as non-voting members on the standing committees.

Normally, the representative is expected to complete a two year term; therefore, it is an expectation that the minimum term of service by Faculty and Student Representatives to the Board be two years. The nominations will be forwarded through the Presidents to the Chancellor for submission to the Board for Trustee approval.

The following nomination are being recommended by the President:

   Faculty Representative:
   Leo Trudel, UMFK – appointed for two year term – July 2018 to July 2020

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the appointment of the following Faculty Representative to the Board of Trustees:

   Leo Trudel, UMFK – appointed for two year term – July 2018 to July 2020

7/5/18
AGENDA ITEM SUMMARY

1. NAME OF ITEM: Strategic Resource Allocation Plan
2. INITIATED BY: James H. Page, Chancellor
3. BOARD INFORMATION: BOARD ACTION: X
4. OUTCOME: BOARD POLICY:
   All Primary and Secondary Outcomes Apply
5. BACKGROUND:

   Last fiscal year the Board of Trustees accepted the recommended allocations for FY2018 as proposed by the Strategic Resource Allocation Team charted by the Chancellor. Vice Chancellor of Academic Affairs Dr. Robert Neely and Vice Chancellor of Finance and Administration Ryan Low are recommending approval of 3 additional groups of expenditures for FY2019. Recommendations for further allocations in FY2019 will come forward at a later date.

6. TEXT OF PROPOSED RESOLUTION:

   That the Board of Trustees approves the following recommendations contained in the Strategic Resource Allocation Plan and directs the Treasurer to effect the transfer of funds, as appropriate, and incorporate into the FY2019 Operating Budget of the University of Maine System the following expenditure of funds:

   Academic Transformation: $755,000
   Vice Chancellor for Academic Affairs - IR Operational Support: $50,000
   Marketing: $300,000

7/5/2018
AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Appointment of Susan J. Hunter as President Emerita

2. **INITIATED BY:** James H. Page, Chancellor

3. **BOARD INFORMATION:**
   - **BOARD ACTION:** X

4. **OUTCOME:**
   - **BOARD POLICY:**
     - Board Policy # 406 – Emeritus Status

5. **BACKGROUND:**

   Board of Trustees policy provides that Emeritus status may be conferred by a university president, except that awarding the title President Emeritus is recommended by the Chancellor to the Board of Trustees and awarding of the title Chancellor Emeritus is reserved to the Board of Trustees. Emeritus status is generally conferred at the time of retirement to faculty, administrators and staff who have completed fifteen or more years of service. However, exceptions may be made for employees with shorter service. Emeritus status is an honor that allows the individual to retain a title following retirement. The individual university may accord privileges such as library use, participation in academic convocations, inclusion on mailing lists and office space, if available, to emeriti.

Dr. Susan J. Hunter will step down as President of the University of Maine on June 30, 2018. Dr. Hunter served as the 20th President since July 1, 2014 and became the President at the University of Maine at Machias on July 1, 2017. Dr. Hunter began her full-time career at the University of Maine in 1991 as a faculty member in the Department of Biological Sciences. Her administrative positions included Chair of the Department of Biological Sciences, Associate Provost and Dean of Undergraduate Education, the Executive Vice President for Academic Affairs and Provost; and just prior to her appointment as UMaine’s first woman president, Dr. Hunter served as Vice Chancellor for Academic Affairs for the University of Maine System. Dr. Hunter has advanced enrollment, fundraising, advocacy and partnerships. Since 2014, UMaine has recruited the largest incoming classes and the largest number of out-of-state students in the university’s history. Dr. Hunter continued the implementation of UMaine five-year strategic plan developed during her tenure as Provost. She has enhanced partnership with the University of Maine Foundation that resulted in restructuring of advancement operations into a single entity for greater impact and engagement with the donor community. Dr. Hunter served as a valued advisor and member of the Chancellor’s
Council of Presidents and has worked with members of the Board of Trustees closely and constructively to advance the Board’s priority outcomes and the One University initiative. The students of these universities adore her, deeply respect her, and appreciate her accessibility to them.

Board Policy # 406, Guidelines for Emeritus Status, Item # 5 states that “After a recommendation from the Chancellor, the Board of Trustees may confer after appropriate resolution Emeritus Status upon a retiring President or former President with at least 5 years of service. And, Item # 7 further states that “Exceptions to the Emeritus Status policy may be made with approval from the Board of Trustees”. Dr. Susan J. Hunter has been President of the University of Maine for four years. A request for Exception to Board Policy # 406, Items # 5 & 7 be granted in this instance.

The Human Resources and Labor Relations Committee approved this recommendation to be forwarded to the Consent Agenda for Board of Trustee approval at the July 16, 2018 Board meeting.

6. **TEXT OF PROPOSED RESOLUTION:**

That the Board of Trustees awards the title President Emerita of the University of Maine to Dr. Susan J. Hunter in recognition of her service and contributions.
AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Appointment of Kathryn A. “Kate” Foster as President Emerita

2. **INITIATED BY:** James H. Page, Chancellor

3. **BOARD INFORMATION:**
   
   **BOARD ACTION:** X

4. **OUTCOME:**
   
   **BOARD POLICY:**
   
   Board Policy # 406 – Emeritus Status

5. **BACKGROUND:**

   Board of Trustees policy provides that Emeritus status may be conferred by a university president, except that awarding the title President Emeritus is recommended by the Chancellor to the Board of Trustees and awarding of the title Chancellor Emeritus is reserved to the Board of Trustees. Emeritus status is generally conferred at the time of retirement to faculty, administrators and staff who have completed fifteen or more years of service. However, exceptions may be made for employees with shorter service. Emeritus status is an honor that allows the individual to retain a title following retirement. The individual university may accord privileges such as library use, participation in academic convocations, inclusion on mailing lists and office space, if available, to emeriti.

   Dr. Kathryn A. “Kate” Foster will step down as President of the University of Maine at Farmington on June 30, 2018. Dr. Foster has served as the 14th President since July 1, 2012. During Dr. Foster’s tenure UMF has experienced an increase from a five-year decline in enrollment, boosted student selectivity and success, created a university advancement division and developed and aligned plans for programming and investment through the university strategic plan, campus master plan, recruitment and retention plan, advancement plan and marketing plan. Dr. Foster also focused on major improvements to the campus facilities and infrastructure, seeing to completion a Central Biomass Heat Plant which together with geothermal wells significantly reduced campus dependence on fossil fuels. During her tenure, UMF received a ten year accreditation from CIHE of NEASC, expanded university governance with staff senate and inclusion of faculty on the President’s Council and energized the university’s Board of Visitors. Dr. Foster is considered the “students” President and is beloved by the students. As of July 1, 2018, Dr. Foster will assume the appointment as the 16th President of the College of New Jersey in Ewing Township, New Jersey.
The Human Resources and Labor Relations Committee approved this recommendation to be forwarded to the Consent Agenda for Board of Trustee approval at the July 16, 2018 Board meeting.

6. **TEXT OF PROPOSED RESOLUTION:**

   That the Board of Trustees awards the title President Emerita of the University of Maine at Farmington to Dr. Kathryn A. “Kate” Foster in recognition of her service and contributions.
AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** University of Maine at Farmington Presidential Search Update

2. **INITIATED BY:** James H. Page, Chancellor

3. **BOARD INFORMATION:**
   - BOARD ACTION:

4. **OUTCOMES:**
   - BOARD POLICY:
     - Increase Enrollment (204 – Presidents – Appointments & Responsibilities)
     - Improve Student Success & Completion (404.4 – Chancellor & Presidents Benefit Package)
     - Enhance Fiscal Positioning

5. **BACKGROUND:**

   With University of Maine Farmington President Kate Foster’s departure effective June 30, 2018, Chancellor Page recommended and the Board approved the one-year appointment as Interim President for Eric Brown, who will serve in that position July 1, 2018 through June 30, 2019.

   The Board expects a search committee for the next UMF president to be constituted and begin work shortly. Chancellor Page and a Board member who will serve as chair of the search committee will lead the process with the UMF campus to define the criteria and characteristics for the next UMF President, who will be expected to commence an appointment July 1, 2019. UMF will be expected to work with the Chancellor and identified search committee chair to discern its current challenges and alignment with UMS priorities to establish a solid foundation for a successful search.

   Over the next two months a decision will be made whether to engage a search consultant, constituent groups will be asked to name their representatives to the committee and materials for the search prospectus and presidential candidate profile will be developed and compiled.

   The Board will discuss the priorities and preferred characteristics for the new president at the September 16-17, 2018 Board of Trustees meeting and the Chancellor is expected to formally charge the committee to begin its work thereafter.

7/5/18
University of Maine System Managed Investment Pool

TOTAL PLAN PERFORMANCE

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May 31, 2018
### University of Maine System Managed Investment Pool

#### TOTAL PLAN PERFORMANCE

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<th>Fund Name</th>
<th>Market Value ($)</th>
<th>% of Portfolio</th>
<th>Policy %</th>
<th>1 Mo (%)</th>
<th>YTD (%)</th>
<th>Fiscal YTD (%)</th>
<th>1 Yr (%)</th>
<th>2 Yrs (%)</th>
<th>3 Yrs (%)</th>
<th>5 Yrs (%)</th>
<th>7 Yrs (%)</th>
<th>10 Yrs (%)</th>
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Notes:
- Fiscal YTD begins 7/1
- Blended Index: 40% BC Aggregate, 30% U.S. TIPS 1-10YR, 10% S&P 500, 10% BC High Yield, 10% JPM EMBI+
- Returns are net of manager fees
- John Hancock Timber market value estimated as of 05/31/18
- Landmark market value estimated as of 05/31/18
- Cash account includes $1,045 currently being held in the MetWest account and $1,110 being held in the TCW account

May 31, 2018
# University of Maine System Pension Plan

## TOTAL PLAN PERFORMANCE

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- BBgBarc US Aggregate TR
- Vanguard Total Bond Market Index
- BBgBarc US Aggregate TR
- Vanguard Inflation-Protected Securities
- BBgBarc US TIPS TR
- Guggenheim US Bank Loans
- Credit Suisse Leveraged Loans
- BlackRock Strategic Income Opportunities

3-Month Libor Total Return USD

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May 31, 2018
# University of Maine System Pension Plan

## TOTAL PLAN PERFORMANCE

<table>
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<tr>
<th></th>
<th>Market Value ($)</th>
<th>% of Portfolio</th>
<th>Policy %</th>
<th>1 Mo (%)</th>
<th>YTD (%)</th>
<th>Fiscal YTD (%)</th>
<th>1 Yr (%)</th>
<th>2 Yrs (%)</th>
<th>3 Yrs (%)</th>
<th>5 Yrs (%)</th>
<th>7 Yrs (%)</th>
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<td>65% MSCI ACWI (Net) / 35% BBgBarc Global Agg</td>
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<tr>
<td>65% MSCI ACWI (Net) / 35% BBgBarc Global Agg</td>
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<td>60% MSCI ACWI (Net) / 40% CITI WGBI</td>
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Notes:
- Fiscal YTD begins 7/1
- Blended Index: 40% BC Aggregate, 30% BC U.S. TIPS 1-10YR, 10% S&P 500, 10% BC High Yield, 10% JPM EMBI+
- Returns are net of manager fees
### University of Maine System Operating Fund

#### TOTAL PLAN PERFORMANCE

<table>
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<tr>
<th>Market Value ($)</th>
<th>% of Portfolio</th>
<th>Policy %</th>
<th>1 Mo (%)</th>
<th>YTD (%)</th>
<th>Fiscal YTD (%)</th>
<th>1 Yr (%)</th>
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<td>Newton Global Real Return</td>
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<td>60% MSCI ACWI (Net)/ 40% BBgBarc Global Agg</td>
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May 31, 2018
University of Maine System Operating Fund

TOTAL PLAN PERFORMANCE

Notes:

Returns are net of manager fees.
The inception date for the allocation index is 07/01/2009
Fiscal YTD begins 7/1
Blended Index: 40% BC Aggregate / 30% BC U.S. TIPS 1-10YR / 10% S&P 500 / 10% BC High Yield / 10% JPM EMBI+
Composite excludes external loans.
Blackrock SIO changed its share class in May 2018 to BSIKX
June 28, 2018

To: Board of Trustees
From: Ryan Low, Vice Chancellor for Finance and Administration

This memo is a slightly updated version of a memo that went to FFT members earlier this month.

At next month's Board of Trustee meeting I will be presenting the recommended new Allocation Model for the University of Maine System. The model is the first substantial change to the way UMS allocates state appropriation in the fifty year history of the System. The model is the result of nearly three years of hard work and I am very excited to present our work to the Board next month.

Rather than spend time reviewing the workings of the model at the Board meeting, I'd like to provide you a link to a narrated version of the presentation. It's about 20 minutes long and is similar to the version I presented at each campus during multiple campus visits this spring.


I would encourage you to view the presentation in advance of the meeting and come prepared with questions and/or comments about the model next month. Based on feedback, the team has made a few adjustments to the model since we produced the video - I'll discuss those changes at the meeting.

You can also find additional background material on the model - definitions, data sources, methodology and other information - at the following link:


In addition to answering any questions you might have, I'll spend probably 15 minutes providing a bit of information on our process and feedback loop to date.

I look forward to the discussion and please don’t hesitate to reach out to me in advance if I can provide any assistance in advance.

Thanks,

Ryan Low
COMMITTEE ASSIGNMENTS 2018 - 2019

EXECUTIVE COMMITTEE
James R. Erwin, Chair
Kelly A. Martin, Vice Chair
Samuel W. Collins
James O. Donnelly
Lisa Marchese Eames
Norman L. Fournier
Mark R. Gardner
Gregory G. Johnson
Betsey M. Timm
Karl W. Turner

ACADEMIC & STUDENT AFFAIRS COMMITTEE
Gregory G. Johnson, Chair (until 9/17/18)
Lisa Marchese Eames, Chair (as of 9/18/18)
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M. Michelle Hood
Trevor J. Hustus
David M. MacMahon
Kelly A. Martin
Betsey M. Timm

Non-Trustee/Non-Voting Members:
Angel Loredo

Faculty Representatives:
Patti Miles, UM
Timothy Surrette, UMA
Clyde Mitchell, UMF
Leo Trudel, UMFK
Heather Ball, UMM
Lisa Leduc, UMPI
Elizabeth Turesky, USM

Student Representatives:
Abigail Roche, UM Grad. Student
Teresa Plummer, USM Grad. Student
Lukas Lagasse, UM
Samuel Atwood, UMA
Isaac Michaud, UMF
Duane Belanger, UMFK
Alayna Caricofe, UMM
Evangelos Zarkadas, UMPI
Dylan Reynolds, USM

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Norman L. Fournier
Mark R. Gardner
M. Michelle Hood
David M. MacMahon
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Karl W. Turner, Chair
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James R. Erwin
Norman L. Fournier
Mark R. Gardner
Gregory G. Johnson
David M. MacMahon

Faculty Representatives:
Clyde Mitchell, UMF
Patti Miles, UM
Leo Trudel, UMFK

Student Representatives:
Duane Belanger, UMFK
Evangelos Zarkadas, UMPI
Teresa Plummer, USM Grad. Student

HUMAN RESOURCES & LABOR RELATIONS COMMITTEE
Betsey M. Timm, Chair
James R. Erwin
Samuel W. Collins
Norman L. Fournier
Trevor J. Hustus
Kelly A. Martin
Shawn H. Moody

INVESTMENT COMMITTEE
Kelly A. Martin, Chair
James O. Donnelly
James R. Erwin
Mark R. Gardner
Shawn H. Moody
Karl W. Turner

Non-Trustee/Non-Voting Members:
Peter Handy (1st term exp. 9/25/20)
Erik Haywood (1st term exp. 10/8/18)
Robert Blackwood (1st term exp. 9/7/19)

BOARD OF TRUSTEE / BOARD OF VISITOR EXECUTIVE COMMITTEE
James R. Erwin (exp. 2019)
Samuel W. Collins (exp. 2019)

UNIVERSITY OF MAINE FOUNDATION
James O. Donnelly (exp. 2019)
Gregory G. Johnson (exp. 2019)
Karl W. Turner (exp. 2019)

PULP AND PAPER FOUNDATION
Mark R. Gardner (exp. 2019)

UMS Representative to the MAINE PUBLIC BROADCASTING CORP.
Kathy Olmstead (exp. 2022)

The Board Chair and Vice Chair are ex-officio voting members of all Board Committees and the Chancellor is an ex-officio non-voting member of all Board Committees.
Whereas, Mr. Shawn H. Moody served as a member of the University of Maine System Board of Trustees from April 1, 2014 to May 26, 2018;

Whereas, Mr. Moody served on the Human Resources & Labor Relations Committee and the Investment Committee since 2014 and has been a member of the Executive Committee for the past two years;

Whereas, Mr. Moody is the first Trustee to be appointed as a Trustee for both the University of Maine System and the Maine Community College System;

Whereas, through his dedicated work with both Boards, he has assisted with strengthening the collaborative initiatives between the two Systems;

Whereas, the University of Maine System has benefited from Mr. Moody’s student focused perspective as well as his experience as a business owner and champion of employee engagement;

Whereas, Mr. Moody has a deep appreciation for the value of higher education for Maine students and for the wellbeing of the State;

Whereas, Mr. Moody is committed to inclusive communication and leadership to strengthen the University of Maine System;

Whereas, effective May 26, 2018 Mr. Moody will complete his service as a member of the Board of Trustees;

Be it known to all that we, the University of Maine System Board of Trustees, offer our most sincere gratitude, thanks, and best wishes to Shawn H. Moody for his outstanding contributions to the quality of higher education in Maine.

Approved on the 16th day of July 2018, by the University of Maine System Board of Trustees, acting as stewards of Maine’s public universities and their nearly 30,000 students, 5,000 employees and more than 200,000 living alumni.
University of Maine System

M. Michelle Hood

Whereas, M. Michelle Hood has served the University of Maine System with distinction as a Trustee for almost eight years.

Whereas, she served as Chair of the Board of Trustees for two years and a long standing member of the Audit Committee and the Executive Committee, serving as Audit Committee chair for the past two years;

Whereas, she has also been a member of the following committees: Academic and Student Affairs Committee; Finance, Facilities, Technology Committee; Human Resources & Labor Relations Committee; Investment Committee, and Trustee Affairs Committee;

Whereas, Trustee Hood has served as the UMS Trustee representative to the University of Maine Foundation and a member of the University of Maine Presidential Search Committee in 2010;

Whereas, under her dedication and leadership, the Board of Trustees worked to keep tuition affordable for students, align the System’s academic programs with workforce needs, and enhanced the UMS focus on Enterprise Risk Management;

Whereas, her expertise and perspective have added greatly to the quality, vision, and future direction of the University of Maine System; and

Be it known to all that we, the University of Maine System Board of Trustees, offer our most sincere gratitude, thanks, and best wishes to Michelle Hood for her outstanding contributions to the quality of higher education in Maine.

Approved on the 16th day of July 2018, by the University of Maine System Board of Trustees, acting as stewards of Maine’s public universities and their nearly 30,000 students, 5,000 employees and more than 200,000 living alumni.
University of Maine System
Karen “Kay” S. Kimball

Whereas, Karen “Kay” S. Kimball has served the University of Maine at Machias since 1999;

Whereas, she has held positions of Assistant Professor of History, Associate Professor, Chair of the Arts and Letters division, Assistant Provost for Academic Services, and Interim Provost and Vice President for Academic Affairs;

Whereas, in July 2017 she was appointed UMM’s first Vice President for Academic Affairs and Head of Campus responsible for significant portions of the UMM’s strategic planning, academic programming, regional service, and accreditation efforts;

Whereas, one of her major accomplishments has been her work with six other partner organizations in developing the innovative Family Futures Downeast program, a nationally recognized two-generation education and workforce development program to lift Washington County families out of poverty;

Whereas, her leadership has been critical in developing and implementing the primary partnership between UMM and UM. In fact, a long-promised sabbatical has been postponed three times while she helped lead her campus in the new direction;

Whereas, altogether over the past two years, she has made 67 trips to Orono and logged 244 hours in electronic meetings for system initiatives and partnership work;

Whereas, Dr. Kimball values the twin pillars of public higher education: fostering student success in all its complexities, and furthering the mission of public service. Her life at Maine’s Coastal University has allowed her to embrace both;

Be it known to all that we, the University of Maine System Board of Trustees, offer our most sincere gratitude, thanks, and best wishes to Kay Kimball for her outstanding contributions to the quality of higher education in Maine.

Approved on the 16th day of July, 2018, by a grateful University of Maine System Board of Trustees, acting as stewards of Maine’s public universities and their 30,000 students, 5,000 employees and more than 200,000 living alumni.
<table>
<thead>
<tr>
<th>Campus</th>
<th>Chair/Professorship/Libra Name</th>
<th>Position Title</th>
<th>Annual Compensation</th>
<th>Operating Budget</th>
<th>Start Date</th>
<th>End Date</th>
<th>Expires (FY)</th>
</tr>
</thead>
<tbody>
<tr>
<td>UM</td>
<td>Curtis M. Hutchins Professorship of Forest Ecosystem Management</td>
<td>Robert Seymour</td>
<td>Professor of Forest Resources</td>
<td>$6,000</td>
<td>9/1/1987</td>
<td>8/31/2017</td>
<td>2018</td>
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<tr>
<td>UM</td>
<td>Frank H. Taylor Distinguished Professorship in Civil Engineering</td>
<td>Eric Landis</td>
<td>Professor of Civil Engineering</td>
<td>$0</td>
<td>9/1/2007</td>
<td>8/31/2017</td>
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<td>UMA</td>
<td>Trustee Professor</td>
<td>Dr. Stephen Gilson</td>
<td>Professor of Social Work</td>
<td>$125,000</td>
<td>9/1/2017</td>
<td>8/31/2018</td>
<td>2018</td>
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<td>UMA</td>
<td>Trustee Professor</td>
<td>Dr. Lisa Botshon</td>
<td>Professor of English</td>
<td>$5,300</td>
<td>1/22/2018</td>
<td>5/30/2018</td>
<td>2018</td>
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<td>UMA</td>
<td>Libra Professor</td>
<td>Dr. Kristen Gleason</td>
<td>Professor of Philosophy</td>
<td>$50,000</td>
<td>8/28/2017</td>
<td>5/30/2018</td>
<td>2018</td>
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<tr>
<td>UMF</td>
<td>Trustee Professor</td>
<td>Dr. Jonathan R. Cohen</td>
<td>Professor of Social Work</td>
<td>$5,000</td>
<td>9/1/2017</td>
<td>5/31/2018</td>
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</tr>
<tr>
<td>UMF</td>
<td>Libra professor</td>
<td>Dr. Christos Teazis</td>
<td>Professor of Environmental Studies</td>
<td>$35,550</td>
<td>2018</td>
<td>6/1/2018</td>
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<tr>
<td>UMFK</td>
<td>Trustee Professor</td>
<td>Dr. Kim Borges</td>
<td>Professor of Social Work</td>
<td>$12,500</td>
<td>7/1/2017</td>
<td>6/30/2018</td>
<td>2018</td>
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<tr>
<td>UMM</td>
<td>Trustee Professor</td>
<td>Dr. Jamie Moreira</td>
<td>Associate Professor of Community Studies &amp; Anti-racism</td>
<td>$55,169</td>
<td>8/28/2017</td>
<td>5/31/2018</td>
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<td>UMPI</td>
<td>Trustee Professor</td>
<td>Dr. Chunzeng Wang</td>
<td>Professor of Mathematics and Statistics</td>
<td>$69,360</td>
<td>7/1/2017</td>
<td>6/30/2018</td>
<td>2018</td>
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<td>USM</td>
<td>Trustee Professor</td>
<td>Dr. Ira Levine</td>
<td>Professor of Natural and Applied Sciences</td>
<td>$12,500</td>
<td>9/1/2015</td>
<td>8/31/2018</td>
<td>2019</td>
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<tr>
<td>UM</td>
<td>Irving Chair for Forest Ecosystem Management</td>
<td>Aaron Weiskittel</td>
<td>Associate Professor of Forest Biometrics</td>
<td>$15,000</td>
<td>2018</td>
<td>6/30/2018</td>
<td>2018</td>
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<tr>
<td>UM</td>
<td>Malcolm G. Long Professorship in Civil Engineering</td>
<td>Roberto Lopez-Anido</td>
<td>Professor of Civil Engineering</td>
<td>$12,000</td>
<td>9/1/2008</td>
<td>8/31/2018</td>
<td>2019</td>
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<tr>
<td>UM</td>
<td>Mark and Marcia Bailey Professorship of Speech and Theatre</td>
<td>Nathan Stormer</td>
<td>Associate Professor of Communication &amp; Journalism</td>
<td>$1,000</td>
<td>9/1/2008</td>
<td>8/31/2018</td>
<td>2019</td>
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<td>UMF</td>
<td>Trustee Professor</td>
<td>Dr. Ramesh Gupta</td>
<td>Professor of Mathematics and Statistics</td>
<td>$12,500</td>
<td>9/1/2018</td>
<td>5/31/2019</td>
<td>2019</td>
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<tr>
<td>UMF</td>
<td>Libra Professor</td>
<td>Dr. Damon Williams</td>
<td>Assistant Professor of English</td>
<td>$15,000</td>
<td>9/1/2018</td>
<td>5/31/2019</td>
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<tr>
<td>UMA</td>
<td>Trustee Professor</td>
<td>Dr. Elizabeth Powers</td>
<td>Professor of English</td>
<td>$14,935</td>
<td>9/1/2018</td>
<td>6/1/2019</td>
<td>2019</td>
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<tr>
<td>UMF</td>
<td>Trustee Professor</td>
<td>Dr. Michael Johnson</td>
<td>Professor of English</td>
<td>$5,000</td>
<td>9/1/2018</td>
<td>6/1/2019</td>
<td>2019</td>
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<tr>
<td>UMFK</td>
<td>Trustee Professor</td>
<td>Dr. Scott Brickman</td>
<td>Professor of Music and Education</td>
<td>$12,500</td>
<td>9/1/2018</td>
<td>5/31/2019</td>
<td>2019</td>
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<tr>
<td>USM</td>
<td>Trustee Professor</td>
<td>Dr. Kim Grant</td>
<td>Associate Professor of Art History</td>
<td>$12,500</td>
<td>9/1/2018</td>
<td>5/31/2019</td>
<td>2019</td>
</tr>
<tr>
<td>Campus</td>
<td>Chair/Professorship/Libra Name</td>
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<td>End Date</td>
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</tr>
<tr>
<td>UM</td>
<td>Dr. Waldo &quot;Mac&quot; Libbey '44 Professor</td>
<td>Yifeng Zhu</td>
<td>Associate Professor of Electrical &amp; Computer Engineering</td>
<td>$2,400</td>
<td>1/1/2015</td>
<td>12/31/2019</td>
<td>2020</td>
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<tr>
<td>UM</td>
<td>George H. Denton Libra Professor of Earth Sciences</td>
<td>Aaron Putnam</td>
<td>George H. Denton Assistant Professor of Earth Sciences</td>
<td>$43,000</td>
<td>9/1/2015</td>
<td>8/31/2019</td>
<td>2020</td>
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<tr>
<td>UM</td>
<td>Libra Professor</td>
<td>Heather Leslie</td>
<td>Director of the Darling Marine Center</td>
<td>$15,000</td>
<td>9/1/2015</td>
<td>8/31/2019</td>
<td>2020</td>
</tr>
<tr>
<td>UM</td>
<td>Roger Clapp Castle and Virginia Averill Castle Distinguished Professor of Electrical Engineering</td>
<td>Mauricio Pereira da Cunha</td>
<td>Professor of Electrical &amp; Computer Engineering</td>
<td>$9,600</td>
<td>1/1/2015</td>
<td>12/31/2019</td>
<td>2020</td>
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<tr>
<td>UM</td>
<td>Adelaide C. Bird and Alan L. Bird Professorship in History</td>
<td>Elizabeth McKillen</td>
<td>Professor of History</td>
<td>$4,000</td>
<td>9/1/2015</td>
<td>8/31/2020</td>
<td>2021</td>
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<tr>
<td>UM</td>
<td>Adelaide C. Bird and Alan L. Bird Professorship in History</td>
<td>Stephen Miller</td>
<td>Professor of History</td>
<td>$4,000</td>
<td>9/1/2015</td>
<td>8/31/2020</td>
<td>2021</td>
</tr>
<tr>
<td>UM</td>
<td>C. Ann Merrifield Professorship in Science Education</td>
<td>Michelle Smith</td>
<td>Assistant Professor of Biological Sciences</td>
<td>$5,000</td>
<td>9/1/2015</td>
<td>8/31/2020</td>
<td>2021</td>
</tr>
<tr>
<td>UM</td>
<td>Henry W. Saunders Distinguished Professor of Hardwood Silviculture</td>
<td>Robert Wagner</td>
<td>Director of the Cooperative Forestry Research Unit and Professor of Forest Ecosystem Science</td>
<td>$7,000</td>
<td>7/1/2004</td>
<td>3/31/2021</td>
<td>2021</td>
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<tr>
<td>UM</td>
<td>Louis C. Calder Professorship in Pulp and Paper Technology</td>
<td>Douglas Bousfield</td>
<td>Professor of Chemical Engineering</td>
<td>$10,000</td>
<td>1/1/2016</td>
<td>12/31/2020</td>
<td>2021</td>
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<tr>
<td>UM</td>
<td>Edwin L. Giddings Professor of Forest Policy</td>
<td>Adam Daigneault</td>
<td>Associate Professor of Forest Resources</td>
<td>$5,000</td>
<td>10/1/2016</td>
<td>9/30/2021</td>
<td>2021</td>
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<tr>
<td>UM</td>
<td>Bailey Professorship</td>
<td>Dr. Daniel Bilodeau</td>
<td>Associate Professor of Theatre</td>
<td>$2,000</td>
<td>9/1/2018</td>
<td>8/31/2023</td>
<td>2023</td>
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<tr>
<td>UM</td>
<td>McConnell Professorship</td>
<td>Dr. Richard Borgman</td>
<td>Professor of Finance</td>
<td>$7,000</td>
<td>1/1/2018</td>
<td>8/31/2023</td>
<td>2023</td>
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<tr>
<td>USM</td>
<td>Edward S. Godfrey Professor</td>
<td>Peter Pitegoff</td>
<td>Professor of Law</td>
<td>$10,000</td>
<td>2005</td>
<td>-</td>
<td>ongoing</td>
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<td>USM</td>
<td>USM Distinguished Professor</td>
<td>Burt Louden</td>
<td>Professor of Philosophy</td>
<td>$2,500</td>
<td>2012</td>
<td>-</td>
<td>ongoing</td>
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<td>UM</td>
<td>Libra Professor</td>
<td>Daniel Tillapaugh</td>
<td>Racial Justice educator</td>
<td>$10,876</td>
<td>Spring 18</td>
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<tr>
<td>UMFK</td>
<td>Libra Professor</td>
<td>Debby Irving</td>
<td>Racial Justice educator</td>
<td>$9,500</td>
<td>Spring 19</td>
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</tbody>
</table>
Attached is the Capital Project Status Report for the July 16, 2018 meeting of the Board of Trustees.

The report reflects a total of 15 projects, with six projects being removed since the previous report, and one new project added to this report.

The six projects removed from this report include five USM projects: Campus Card Access Install (6100271), Gorham Softball Field Improvements (6200181), Brooks Kitchen Exhaust Upgrade (6100245), Costello Field House Floor Replacement (6100280), Science Building Renovations & Build-Out (6100274); and one UMM project: Science Building Laboratory Upgrade (4100027).

One new project has been added to this report. That is the Corthell Hall HVAC Upgrades project (6100295) which was approved for expenditures up to $550,000 at the May 2018 Board meeting.
Current number and approved cost of active major capital facility projects

Total # of BOT approved projects as of report date

Total approved BOT estimated expenditures - all funds

Total Approved Funding by Source for Active Major Capital Facility Projects

Grants
Campus funds
Gifts & Endowments
State Bonds
Revenue Bonds

6/28/2018
## Capital Project Status Report
### Board Approved Projects
#### July 2018 - Board of Trustees

**With Grand Totals and % of Current Approved Estimates**

<table>
<thead>
<tr>
<th>Campus, Project Name (Project ID)</th>
<th>Funding Source(s) &amp; each source's share of expenditures to date</th>
<th>Status</th>
<th>Original Estimated Completion</th>
<th>Current Est. Completion</th>
<th>Original Approved Estimate</th>
<th>Current Approved Estimate</th>
<th>% Expended of Current Approved Estimate</th>
<th>Prior Actions, Information &amp; Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>UM</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Advanced Structures and Composites Center Expansion/ASCC Equip W2-Thermoplastics Lab/ASCC Equip W2 Tow Carriage (5100316, 5100414, 5100432)</td>
<td>Grants (77%), 2010 State Energy Bond (11%), Gifts (12%)</td>
<td>Project 5100316 is Complete, Project 5100414 Design in Progress, Project 5100432 is Design in Progress</td>
<td>2014</td>
<td>2018</td>
<td>$6,400,000</td>
<td>$10,400,000</td>
<td>90%</td>
<td>Board Approved $6.4M in November, 2012. Board approved $1.6M in March 2014. Board approved increase of $871,000 in March 2015. BOT approved additional $1.5M in May 2016 for equipment project.</td>
</tr>
<tr>
<td>Cooperative Extension Diagnostic &amp; Research Lab (5100387)</td>
<td>2014 State Bond (85%), Campus E&amp;G Funds (10%), Grants (5%)</td>
<td>Construction in Progress</td>
<td>2016</td>
<td>2018</td>
<td>$9,000,000</td>
<td>$9,400,000</td>
<td>92%</td>
<td>BOT approved $9M in July, 2015. Board approved increase of $400,000 in July 2017.</td>
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<tr>
<td>Aquatic Animal Health Facility (5100440)</td>
<td>Grants (82%), Campus E&amp;G Funds (18%)</td>
<td>Construction in Progress</td>
<td>2017</td>
<td>2018</td>
<td>$2,300,000</td>
<td>$2,800,000</td>
<td>30%</td>
<td>Board approved $2.3M in January, 2017. Board approved increase of $590,000 (8.6%) in project cost in November, 2017.</td>
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<tr>
<td>Barrows Hall ESRB Lab Renovations (5100424)</td>
<td>Campus E&amp;G Funds (100%)</td>
<td>Substantially Complete</td>
<td>2017</td>
<td>2018</td>
<td>$1,900,000</td>
<td>$1,900,000</td>
<td>76%</td>
<td>Board approved $1.9M in March, 2017.</td>
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<tr>
<td>Memorial Union Bear's Den Renovations (5100427)</td>
<td>Campus AUX Funds (100%)</td>
<td>Complete</td>
<td>2017</td>
<td>2018</td>
<td>$3,600,000</td>
<td>$3,600,000</td>
<td>90%</td>
<td>Board approved $3.6M in March, 2017.</td>
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<td>Darling Marine Center Waterfront Infrastructure (5100459, 5100460, 5100461)</td>
<td>2017 University Bond (100%)</td>
<td>Design in Progress</td>
<td>2017</td>
<td>2018</td>
<td>$3,000,000</td>
<td>$3,000,000</td>
<td>0.68%</td>
<td>Board approved $3M in July, 2017.</td>
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<td>** Engineering Education and Design Center (5100458)</td>
<td>Bond (0%), Campus E&amp;G Funds (100%)</td>
<td>Design in Progress</td>
<td>2024</td>
<td>2024</td>
<td>$1,000,000</td>
<td>$8,000,000</td>
<td>5%</td>
<td>Board approved $1M in September, 2017. Board approved $8M in May, 2018.</td>
</tr>
<tr>
<td>Wells Commons Generator (5100433)</td>
<td>Campus Auxiliary Reserves (100%)</td>
<td>Construction in Progress</td>
<td>2019</td>
<td>2019</td>
<td>$525,000</td>
<td>$525,000</td>
<td>2%</td>
<td>Board approved $525,000 January, 2018.</td>
</tr>
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<td>UMF</td>
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<tr>
<td>Science Labs Renovations (Preble &amp; Ricker (2100065, 2100068)</td>
<td>2013 Lab &amp; Class State Bond (100%)</td>
<td>Substantially Complete</td>
<td>2014</td>
<td>2018</td>
<td>$1,377,000</td>
<td>$1,377,000</td>
<td>88%</td>
<td>Board approved $1.377M in July 2014.</td>
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<td>UMFK</td>
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<tr>
<td>Forestry Geographic Info Sys Tech Labs/Nursing Lab Renov/Teleconft Ctr Upgrades (3100029 3100030 3100031)</td>
<td>2013 Lab &amp; Class State Bond (100%)</td>
<td>Substantially Complete</td>
<td>2014</td>
<td>2018</td>
<td>$1,200,000</td>
<td>$1,200,000</td>
<td>99%</td>
<td>Board approved $1.2M in May 2014.</td>
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<tr>
<td>Compressed Natural Gas Heating Conversion (4100028)</td>
<td>Revenue Bonds (100%)</td>
<td>Substantially Complete</td>
<td>2014</td>
<td>2018</td>
<td>$1,800,000</td>
<td>$1,800,000</td>
<td>84%</td>
<td>Board approved $1.8M in July 2014.</td>
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<tr>
<td>Card Access Project (4100036, 41000037)</td>
<td>Campus E&amp;G Funds (21%), Campus Auxiliary Funds (79%)</td>
<td>Substantially Complete</td>
<td>2018</td>
<td>2018</td>
<td>$571,000</td>
<td>$597,500</td>
<td>69%</td>
<td>Board approved $571,000 in July, 2017. Change in project cost to $597,500 (4.6% change) approved by Chancellor in October 2017. Board approved $6.4M in November, 2012. Board approved $1.6M in March 2014. Board approved increase of $871,000 in March 2015. BOT approved additional $1.5 in May 2016 for equipment project.</td>
</tr>
</tbody>
</table>

**July 2018 - Board of Trustees Meeting - Reports**

With Grand Totals and % of Current Approved Estimates
<table>
<thead>
<tr>
<th>Campus, Project Name (Project ID)</th>
<th>Funding Source(s) &amp; each source’s share of expenditures to date</th>
<th>Status</th>
<th>Original Estimated Completion</th>
<th>Current Est. Completion</th>
<th>Original Approved Estimate</th>
<th>Current Approved Estimate</th>
<th>% Expended of Current Approved Estimate</th>
<th>Prior Actions, Information &amp; Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>USM Athletic Field Lighting (6100289)</td>
<td>Campus E&amp;G Funds (100%)</td>
<td>Construction in Progress</td>
<td>2018</td>
<td>2018</td>
<td>$1,780,000</td>
<td>$1,780,000</td>
<td>2%</td>
<td>Board approved $1.78M in March, 2018.</td>
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<tr>
<td>USM Center for the Arts (6100300)</td>
<td>Gifts (100%)</td>
<td>Pre-Design in Progress</td>
<td>2022</td>
<td>2022</td>
<td>$1,000,000</td>
<td>$1,000,000</td>
<td>0%</td>
<td>Board approved $1M in January, 2018.</td>
</tr>
<tr>
<td>* Corthell Hall HVAC Upgrades (6100295)</td>
<td>Campus E&amp;G Funds (100%)</td>
<td>Construction in Progress</td>
<td>2018</td>
<td>2018</td>
<td>$550,000</td>
<td>$550,000</td>
<td>17%</td>
<td>Board approved $550K in May, 2018.</td>
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</tbody>
</table>

Explanatory Notes:
* Project is new as of this report.
** Details of this project include updates since the last report.
*** This project has been completed since the last report and is not expected to appear on the next report.

Funding source(s) reflects primary source(s) for project.
Calendar Year unless otherwise noted.
Percentage expended reflects total expended as of May 31, 2018 as a percentage of the current approved project estimate.
UNIVERSITY OF MAINE SYSTEM
Board of Trustees
AGENDA CALENDAR

A working calendar for developing agendas and submitting various reports to the Board has been designed in order to allow maximum planning in organizing presentations and reference materials. The calendar identifies the timetable for submission of items and reports which recur every six to 24 months as well as special reports with specific time lines. It does not include general items which are ordinarily on each Board meeting agenda; e.g., reports and consent agenda. The following agenda is subject to change consistent with scheduling, reporting, and other factors that the Chancellor deems necessary to consider such matters.

The Calendar will be updated and included in the Board Meeting materials on a regular basis.

**JANUARY:**
- Academic Affairs
  - Academic Year Calendar
  - Honorary Degree Nominations
- Fiscal Matters
  - State Research Report

**MARCH:**
- Academic Affairs
  - Tenure Nominations
  - Tenure Report
- Governance/Administration
  - Board Calendar
  - Establishment of Nominating Committee
- Student Affairs
  - Spring Enrollment Update

**MAY:**
- Fiscal Matters
  - Budgets and Student Charges
  - Multi-Year Financial Analysis
- Governance/Administration
  - Election of Board Officers
  - Confirmation of Board of Visitors

**JULY:**
- Governance/Administration
  - Appointment of Standing Committees
- Human Resources
  - Annual Report on Named Chairs and Professorships

**SEPTEMBER:**
- Fiscal Matters
  - Appropriation Request

**OCTOBER:**
- Fiscal Matters
  - Review of Annual Financial Report

**NOVEMBER:**
- Academic Affairs
  - Awarding of Academic Degrees
- Student Affairs
  - Official Fall Enrollment Update

May 2018
<table>
<thead>
<tr>
<th>Campus</th>
<th>Name</th>
<th>Position Title</th>
<th>Effective Date</th>
<th>Prior Salary</th>
<th>New Salary</th>
<th>Previous Position Title</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>UM</td>
<td>Jenkins, Kim-Marie</td>
<td>Interim UM Chief of Staff</td>
<td>3/16/2018</td>
<td>$80,621</td>
<td>$129,727</td>
<td>Senior Partner for OE</td>
<td>Promotion</td>
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<tr>
<td>UM</td>
<td>Settele, James D.</td>
<td>Director of Athletics</td>
<td>3/12/2018</td>
<td>$129,727</td>
<td>$183,855</td>
<td>UM Chief of Staff</td>
<td>Promotion</td>
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<tr>
<td>UM</td>
<td>Weber, J. Michael</td>
<td>Dean of the Graduate School of Business</td>
<td>7/1/2018</td>
<td>$190,000</td>
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<td></td>
<td>New Hire</td>
</tr>
<tr>
<td>UMF</td>
<td>Brown, Eric C.</td>
<td>Interim UMF President</td>
<td>7/1/2018</td>
<td>$132,000</td>
<td>$155,000</td>
<td>Provost and VP for Academic Affairs</td>
<td>Promotion</td>
</tr>
<tr>
<td>UMPI</td>
<td>Blackstone, Barbara</td>
<td>Dean of the College of Education</td>
<td>6/1/2018</td>
<td></td>
<td></td>
<td></td>
<td>Addition to Management Group</td>
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<tr>
<td>UMPI</td>
<td>Johnston, Jason</td>
<td>Dean of the College of Liberal Arts and Sciences</td>
<td>6/1/2018</td>
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<td></td>
<td>Addition to Management Group</td>
</tr>
<tr>
<td>UMPI</td>
<td>Pearson, Vanessa</td>
<td>Interim Dean of Students</td>
<td>6/1/2018</td>
<td></td>
<td></td>
<td></td>
<td>Addition to Management Group</td>
</tr>
<tr>
<td>UMPI</td>
<td>Roark, Deborah</td>
<td>Executive Director of University Advancement</td>
<td>6/1/2018</td>
<td></td>
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<td></td>
<td>Addition to Management Group</td>
</tr>
<tr>
<td>UMPI/UMFK</td>
<td>Towers, Jason</td>
<td>Executive Director of Enrollment Management</td>
<td>6/1/2018</td>
<td>$93,500</td>
<td></td>
<td></td>
<td>New Hire</td>
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<tr>
<td>UMS</td>
<td>Doughty, Ellen N.</td>
<td>Clerk of the Board of Trustees</td>
<td>4/1/2018</td>
<td>$85,000</td>
<td>$95,000</td>
<td>Interim Clerk of the Board of Trustees</td>
<td>Promotion</td>
</tr>
<tr>
<td>UMS</td>
<td>Fevry, Beatrice</td>
<td>Chief Business Officer - USM</td>
<td>5/14/2018</td>
<td></td>
<td>$140,000</td>
<td></td>
<td>New Hire</td>
</tr>
<tr>
<td>UMS</td>
<td>Schmelz, Mark</td>
<td>Chief Human Resources Officer</td>
<td>5/1/2018</td>
<td>$155,000</td>
<td>$185,000</td>
<td>Interim CHRO</td>
<td>Promotion</td>
</tr>
<tr>
<td>USM</td>
<td>Qualis, Jeremy</td>
<td>Dean of the College of Science, Technology and Health</td>
<td>7/1/2018</td>
<td></td>
<td>$140,000</td>
<td></td>
<td>New Hire</td>
</tr>
<tr>
<td>USM</td>
<td>Toy, Brian J.</td>
<td>Acting Dean of Lewiston Auburn College</td>
<td>4/1/2018</td>
<td>$89,200</td>
<td>$130,000</td>
<td>Associate Professor of Sports</td>
<td>Promotion</td>
</tr>
</tbody>
</table>
Appropriation Allocation Model Summary

RYAN LOW
VICE CHANCELLOR FOR FINANCE AND ADMINISTRATION

July 16, 2018
Finance Task Team Charter

Para
To establish the roles and responsibilities of the task team of Finance on: Allocation Model.

Charg
The task team of Finance: Allocation model has responsibility for successful completion of the analysis of options and a set of recommendations by March 30, 2016.
Task teams are to use the first two steps of the four-step process of: analysis, design, implementation and audit.
This finance sub-team is to study the finance topic, narrow the set of options, and recommend one for adoption.
Updates and recommendations are to be delivered to the CBO/finance group that established this charter. Ryan Low (CFO) is the final decision-maker of questions about this charter and what recommendations get taken to the chancellor and BOT.
The task team’s draft report is due April 15, 2016.

Scope of the effort:
- Includes policy regarding allocation of resources system-wide
- Analysis and recommendation should address tuition, fees, appropriation, MEIF, state appropriation,
- Both operations and capital allocation
- Discuss methods for allocation of research / public service missions
- Whether tuition and/or fees stay on campuses or are consolidated
- Measures of performance
- Transition plan from current to future states
- Process to vet enrollment projections in the budgets
- Part of the recommendation needs to include a feedback and adjustment to budget based on actual/budget enrollment
- Includes future debt service
- Does not include current debt service

Key deliverables
Deliverables include:
1. List of key stakeholders for this topic (three rings of stakeholders: a) on team, b) need tight consultation throughout process, and c) get some input and/or review).
2. Summary of all inputs, including benchmarked models (details can be placed in an Appendix).

Page 2 of 3
Timeline

- July 2016: Begin Developing Working Model
- Sept 2016: BOT Approval
- March 2017: Allocation Team Created
- May 2017: Developed Working Model
- Sept 2017: 1st Round Campus Visits
- Oct 2017: Campus Feedback
- Feb 2018: Modified Working Model
- Feb 2018: 2nd Round Campus Visits
- Mar 2018: Campus Feedback
- May 2018: 3rd Round Campus Visits
- June 2018: Survey Tool
### Recommendation 2 - continued

The recommendation is to develop a funding model based in part on one used in another university system. The CBOs will work with stakeholders to identify the metrics relevant to our System and those that will advance the goals established by the Board of Trustees.

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
<th>Timeline</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>July 2016</td>
<td>Begin Developing Working Model</td>
<td></td>
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<td>Sept 2016</td>
<td>BOT Approval</td>
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<tr>
<td>2016</td>
<td>Allocation Team Created</td>
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<tr>
<td>March 2017</td>
<td>Developed Working Model</td>
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<td>May 2017</td>
<td>1st Round Campus Visits</td>
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<tr>
<td>Sept 2017</td>
<td>Developed Working Model Visits Feedback</td>
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<tr>
<td>Oct 2017</td>
<td>Developed Working Model Visits Feedback Tool</td>
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</table>

### Board of Trustees Meeting - Presentations

#### How does MRR work at the campus level?

1. Instruction Total Need: $122,533,740
   - 2. Research Total Need: $9,089,984
   - 3. Public Service Total Need: $2,532,172
   - 4. Libraries Total Need: $6,900,743
   - 5. Student Services Total Need: $16,032,416
   - 6. Facilities Total Need: $18,589,016
   - 7. Administration Total Need: $35,392,132

#### Subtotal

211,070,205

#### Required State Support

$118,479,888

#### Target Revenue Deduction (In-State, Out-of-State Share)

- (92,590,317)
Allocation Team Members

**Ryan Low**, *Vice Chancellor for Finance & Administration, UMS*

**Miriam White**, *Vice President Budget & Financial Analysis, UMS*

**Claire Strickland**, *Chief Business Officer, UM & UMM*

**Laurie Gardner**, *Chief Business Officer, UMF*

**Pam Ashby**, *Chief Business Officer, UMFK*

**Buster Neel**, *Chief Business Officer, USM*

**Advisors**

**Robert Placido**, *Associate Vice Chancellor for Academic Affairs, UMS*

**David Jones**, *Financial Analyst, UMS*

<table>
<thead>
<tr>
<th>Begin Developing Working Model</th>
<th>BOT Approval</th>
<th>Allocation Team Created</th>
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<th>1st Round Campus Visits</th>
<th>Campus Feedback</th>
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<th>Survey Tool</th>
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Developed Working Model

- **July** 2016
- **Sept** 2016
- **March** 2017
- **May** 2017
- **Sept** 2017
- **Oct** 2017
- **Feb** 2018
- **Mar** 2018
- **May** 2018
- **June** 2018
### 1st Round Campus Visits

#### Next Steps

- Determine comparable peer cost data for model variables such as:
  - Instructional support
  - Student Services
  - Libraries
  - Institutional support
- Determine % of in-state student cost to be subsidized by appropriation

<table>
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<tr>
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<td>July 2016</td>
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</table>
### Campus Feedback and Comments

- **Board of Trustees**
- **Presidents Council**
- **Campus Open Forums**
- **Presidential Cabinets**

- **Chief Business Officers**
- **Chief Academic Officers**
- **Enrollment Management Council**
- **Many others...**

<table>
<thead>
<tr>
<th>Begin Developing Working Model</th>
<th>BOT Approval</th>
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</table>
Modified Working Model

3 most recent years of IPEDs spending data, adjusted for inflation, from campus peers across 9 cost centers, to create a peer average.

Adjust peer averages by identifying Education & General (E&G) spending as % of all IPED’s spending in that cost center.

Multiply adjusted average of peers by campus specific metric.

Begin Developing Working Model
BOT Approval
Allocation Team Created
Developed Working Model
1st Round Campus Visits
Campus Feedback
Modified Working Model
2nd Round Campus Visits
Campus Feedback
Survey Tool
3rd Round Campus Visits

July 2016
Sept 2016
March 2017
May 2017
Sept 2017
Oct 2017
Feb 2018
Feb 2018
Mar 2018
May 2018
June 2018
Methodology to calculate E&G Scholarship Expense

Averaging data at strategic point rather than just at a final calculation than using IPEDS FTEs

Methodology to recognize the differences in IPEDS data between “0” and “ ” (blank) and resulting impact on averaging functions

Full-time Teaching Tenured and Tenure Track Faculty is the metric for Research & Public Service

Increase the State’s share of in-state graduate funding to 40%

Plan for when/how campuses can update peers

Campus Feedback & Comments Received

312 feedback/comments/questions were received, 79 unduplicated. The Team discussed each comment and prepared a written response. As a result of this feedback, the following changes were implemented in the model:

- Methodology to calculate E&G Scholarship Expense
- Averaging data at strategic point rather than just at a final calculation
- Use credit hours to calculate FTE rather than using IPEDS FTEs
- Plan for when/how campuses can update peers

<table>
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<th>Approval</th>
<th>Created</th>
<th>Model</th>
<th>2nd Round Campus Visits</th>
<th>Mod Model</th>
<th>Survey Tool</th>
<th>Campus Feedback</th>
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3rd Round Campus Visits

Parity Calculation

- The Disparity Factor is the inverse of the % Funded for each campus.
- The Disparity Percent equals the campuses’ percentage of the sum of the Disparity Factors.

Board of Trustees Meeting - Presentations

3rd Round Campus Visits

Response - 1, 3, & 6 Years

Year 6

Year 3

Survey Tool
Board of Trustees Meeting - Presentations

Survey Tool

128 Survey Responses Received to date
Questions
MaineStreet Recommendations

University of Maine System
Board of Trustees
July 16, 2018

- In consultation and collaboration with colleagues across UMS, the following course of action is recommended to:
  - Facilitate innovative academic programming
  - Ensure data integrity and ERP reliability
  - Balance immediate gains with long term ERP sustainability
  - Maximize UMS investment

- (4) Components
  - Conduct Course Cross-List Pilot
  - Implement Campus Solutions Enhancements
  - Conduct ERP Functional Assessment
  - ERP Options (2)
MaineStreet Recommendations

1. Conduct Course Cross-List Pilot (Spring 2019)
   • Preparation, configuration and testing to be completed during Fall 2018 term
   • Up to 50 cross-listed course sections to be offered as part of pilot during the Spring 2019 term
   • Projected Cost: Commitment of Staff Time

2. Implement Campus Solutions Enhancements
   • Deploy 3rd party interface enhancement tools (Fall’18 – Spring’19)
   • Complete Campus Solutions 9.2 Technical Upgrade (Fall’18 – Summer’19)
   • Acquire cloud-enabled Financial Aid solution* (Spring’19 – Fall’19)
   • Projected Cost = $1.5M – $2.1M
3. ERP Functional Assessment

- Conduct RFP to identify consultant/partner to assist with functional assessment of ‘enhanced’ MaineStreet environment (Fall’19 – Spring’20)
- Identify essential functional ERP specifications and requirements to inform ERP replacement
- Projected Cost = $150-200K

4. (2) ERP Options Based on Functional Assessment

- **Option 1**: PeopleSoft Reimplementation (Spring ’20 – Fall ’22)
  - Conduct business process review, revision
  - Full reimplementation of PeopleSoft environment to achieve uniform data architecture
  - Projected Cost = $1.8M-$2.9M
MaineStreet Recommendations

4. (2) ERP Options Based on Functional Assessment

• Option 2: ERP Reimplementation (Spring ’20 – Fall ’23)
  • Conduct RFP for replacement ERP platform
  • Implement replacement ERP platform
  • Projected Cost = $3.5M-$6M*