

Board of Trustees 15 Estabrooke Drive Orono, ME 04469

April 24, 2019

Tel: 207-581-5840 Fax: 207-581-9212 www.maine.edu

TO: Members of the Finance/Facilities/Technology Committee

FR: Ellen N. Doughty, Clerk of the Board

RE: May 3, 2019 - Finance/Facilities/Technology Committee Meeting

The University of Maine

University of Maine at Augusta

University of Maine at Farmington

University of Maine at Fort Kent

University of Maine at Machias

University of Maine at Presque Isle

University of Southern Maine The Finance/Facilities/Technology Committee will meet from 1:00 pm to 4:00 pm on May 3, 2019. The meeting will be located at the University of Maine System Executive Offices, Rudman Conference Room, 253 Estabrooke Hall, 15 Estabrooke Drive in Orono. In addition to the Estabrooke Hall location, the following Polycom locations and a conference call connection will also be available:

UMA – Room 125, Robinson Hall UMF – Room 103, Merrill Hall

UMFK - Alumni Conference Room, Nadeau Hall

UMM – Room 203, Powers Hall

UMPI – Executive Conference Room, Preble Hall

USM – Room 303, Luther Bonney Phone: 1-800-605-5167 code 743544#

Refreshments will be provided at the UMS and the USM locations. The meeting materials are posted to the Diligent Board Portal as well as the Board of Trustees website (http://www.maine.edu/about-the-system/board-of-trustees/meeting-agendas/finance-facilities-committee/).

If you have questions about the meeting arrangements or accessing the meeting materials, please call me at 581-5840. If you have any questions or desire additional information about the agenda items, please call Ryan Low at 581-1541.

cc: James Page, Chancellor

Trustees who are not members for the FFT Committee

Presidents Robert Neely

Ryan Low David Demers

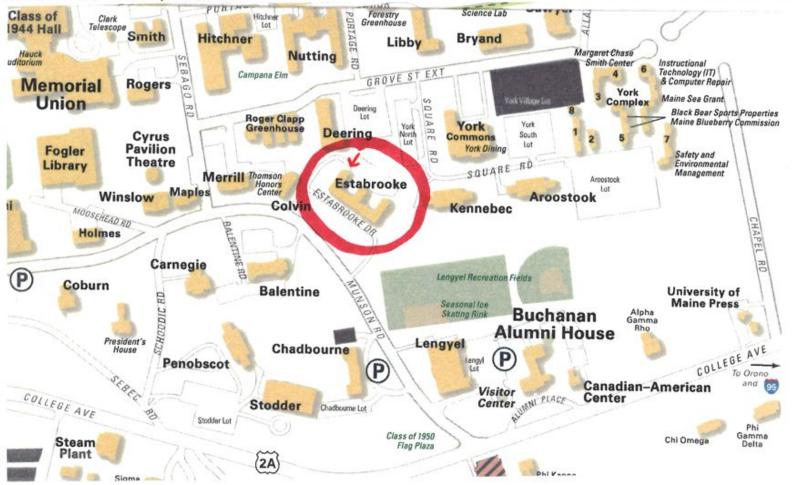
Tracy Elliott Chip Gavin

Miriam White

University of Maine System

15 Estabrooke Drive, Orono

Rudman Conference Room 253 Estabrooke Hall, 2nd Floor 15 Estabrooke Drive, Orono



Directions to the UMS located on the UMaine Campus

From the South on I-95: take exit 191 to Kelly Road and turn right. Continue on Kelly Road for 1 mile until you reach the traffic light, then turn left onto Route 2 and go through downtown Orono. Cross the river. Turn left at the lights onto College Avenue. Buchanan Alumni House will be the first campus-related building on your right. Right after the Buchanan Alumni House, take a right onto Muson Road. Estabrooke Hall is the building on the right after Lengyel.

From the North on I-95: take exit 191 to Kelly Road and turn left. Continue on Kelly Road for 1 mile until you reach the traffic light, then turn left onto Route 2 and go through downtown Orono. Cross the river. Turn left at the lights onto College Avenue. Buchanan Alumni House will be the first campus-related building on your right. Right after the Buchanan Alumni House, take a right onto Muson Road. Estabrooke Hall is the building on the right after Lengyel.

The UMS is located on the 2nd floor of Estabrooke Hall. Enter Estabrooke Hall from the back of the building, the entrance closes to Deering Hall.



Board of Trustees

Finance, Facilities & Technology Committee

May 3rd, 2019 1:00 pm to 4:00 pm Rudman Conference Room, 253 Estabrooke Hall, Orono

AGENDA

1:00 pm	Technology Items	
■ Re	view of Projects with a Value of \$250,000 or Greater	TAB 1
1:15 pm	Finance Items	
	FY2020 Proposed Operating and Capital Budget and Student Charges – Second Reading	TAB 2
1:30 pm	Facilities Items	
	Acquisition of Real Property, UMWITHDRAWN	TAB 3
•	Naming of Facility, UMPI	TAB 4
•	Ricci Lecture Hall Renovation Increase, USM	TAB 5
•	York Kitchen Hood Replacement Increase, UM	TAB 6
•	Space Reduction Budget Request, UMS	TAB 7
	Capital Project Report & Bond Project Update	TAB 8
	1 Year Capital Plan, UMS	TAB 9
3:15 pm	Executive Session	

Action items within the Committee purview are noted in green. Items for Committee decisions and recommendations are noted in red.

Real Property Matter



AGENDA ITEM SUMMARY

1. NAME OF ITEM: Review of Projects with a Value of \$250,000 or Greater

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: X BOARD ACTION:

4. OUTCOME: BOARD POLICY:

5. BACKGROUND:

Dr. David Demers, Chief Information Officer, will provide information on the following projects with a value of \$250,000 or greater:

- Classrooms for the Future
- UMS Wireless Infrastructure
- HR Enhancements
- MaineStreet Improvements
- VoIP UMF
- VoIP UMPI

Classrooms for the Future

Overall status:

Change from previous report:

None

Change from previous report:

None

Schedule status:

Change from previous report:

None

Overview

This project will involve renovations to existing classrooms across the entire University of Maine System. The project team will focus on the data obtained during the earlier classroom assessment phase and resulting classroom ratings in order to prioritize work at each campus. The team will also develop standards for equipment in all classrooms. Vendors will be used for the larger renovations and campus services/classroom technology staff will be used for minor renovations and upgrades. Once the rooms have been updated, they will be re-assessed and scored accordingly.

Initiation Date	Sponsor	Original Estimated Completion Date	Current Estimated Completion Date	Estimated Budget*	Budget Committed to date	Budget % Committed	Project % Complete	Comments
4/2016	David Demers	12/2019 (updated 11/18)	12/2019	\$4,945,075	\$4,945,075	100%	87%	Total estimated budget reflects additional allocation provided Dec. 2017 as well as contingency funds added December 2018

Status

Planning is underway for Summer 2019 upgrades. All projects and budgets have been created and equipment orders will be placed within the next two weeks. Remaining work at USM Bailey Hall is scheduled to resume Summer 2019 (post-graduation) pending asbestos abatement and clearance from facilities management.

Facilities meetings are underway on the UMaine campus for planning Summer 2019 classroom upgrades and Facilities work orders will soon be placed.

Members from the project team are currently meeting with instructional designers from UMaine and USM to discuss the impact of active learning spaces on teaching and learning.

BUDGET SUMMARY

Campus	Allocation	% Committed to Date	\$\$ Not Yet Budgeted	% Complete
PROJECT TOTAL	\$4,945,075	100%	-0-	87%
UMM	\$240,900	100%	-0-	91%
UMF	\$415,976	100%	-0-	92%
UMaine	\$1,681,630	100%	-0-	92%
UMPI	\$360,276	100%	-0-	77%
USM	\$1,238,980	100%	-0-	84%
UMFK	\$287,348	100%	-0-	92%
UMA	\$719,965	100%	-0-	84%

Summary by Campus and Classroom Project

Reference: Campus Room Renovations

Campuses	Rooms By Project Setup	% Complete
UMA	Music Arts 124	100%
	RRSC 248 & 255	100%
	UC Bath/Brunswick 114	100%
	UC Norway SoPar 114 & 206	100%
	UC Saco 111	100%
	UC Ellsworth 2 & 7	100%
	UC Rockland 410 & 413	100%
	Jewett 124, 180, 189, 190 & 291	100%
	RRSC 246	100%
	UC Rockland 403, 410, 412 (Phase 2)	100%
	Fine Arts 122	100%
	Jewett 284, 293, 297	100%
	Katz 5, 15, 51	100%
	Katz 14, 53	45%
	Katz 16	100%
	Handley Hall	100%
	LAC 162J, 162K, 162L, 216A, 216B, 218, 222C	100%
	Bangor 135, 142	100%
	Camden 304	25%
	Bangor/Eastport 135, 136, 138	25%
	Randall 250	25%
	Jewett 156, 284, 293, 297	25%
UMF	Roberts 205 & 207	100%
	Ricker Addition 202, 205	100%
	Roberts C23 & 131	100%
	Ricker Addition 217	100%
	Preble 117	100%
	Roberts 105, 107, 201, 203	100%
	South 115	100%
	Education Center 6 & 113	100%
	Tech Commons Fusion Center	95%

	Roberts 3, 101, 103	100%
	Education Center 103, 106, 110, 114	25%
UMaine	Shibles 202	100%
	DPC 105	100%
	Neville 101	100%
	Estabrook 130, 152	100%
	Bennett 215	100%
	Dunn 315 & 316	100%
	South Stevens 106D	100%
	DPC 107, 115, 117	100%
	Boardman 116	100%
	Boardman 118	100%
	Shibles 217, 313, 316	100%
	Nutting 100	100%
	Aubert 354	100%
	Hitchner 157	100%
	Jenness 102, 104, 108	100%
	Lengyel 127	100%
	Libby 220	100%
	Little 110, 120, 202, 206, 220	100%
	Lord 200	100%
	Colvin 401	100%
	Memorial Gym Complex 106 & 110 (ROTC Army)	100%
	Merrill 228a	100%
	Murray 102 & 106	100%
	N Stevens 235	100%
	Rogers 206	100%
	ROTC Navy 201 & 203	100%
	Deering 101c	100%
	Barrows 123, 131, 133	100%
	Balentine 129	100%
	Dunn 1, 44, 401	100%
	Barrows 124	100%
	Bryand Global 100	100%

	Deering 17	100%		
	North Stevens 235	100%		
	South Stevens 232-B	100%		
	Neville 116, 118	100%		
	Neville 120	100%		
	Little 212	100%		
	Aubert 165	100%		
	Barrows 128	100%		
	Class of 44 100	100%		
	Colvin 401	100%		
	DPC 111	100%		
	Little 350	100%		
	Center Stevens 155	100%		
	Darling Marine Center Brooke Hall	100%		
	PAIL Necropsy Lab	100%		
	Nutting 213	25%		
	Boardman 210	25%		
	Lengyel 125, 127	25%		
	Center Stevens 355	25%		
	Deering 17, 113	25%		
	Little 211, 212, 219	25%		
имм	Torrey Hall 230, 232, 234 - Phase 1	100%		
	Torrey Hall 106	100%		
	Powers 208 & 209	100%		
	Science 114	100%		
	Science 102 & 120	100%		
	Reynolds Center 14	100%		
	Torrey 230, 232 & GIS Lab - Phase 2	100%		
	Performing Arts Center	95%		
	Science 13, 115	25%		
	Powell 123	100%		
	Cyr 113	100%		
	Old Model School 11	100%		
UMFK	Cyr 200 & 201	100%		

	Cyr 203	100%
	Cyr 200, 201, 204, 209	100%
	Nadeau Telecom Room	100%
	Powell 123 - Phase 2	100%
	Cyr 200, 204, 205-Contingency	25%
	Folsom 206	100%
	Pullen 113, 212, 216	100%
	Folsom 204 & 205	100%
	Houlton 110	79%
	Folsom 203	100%
	Pullen 212	100%
	Pullen 213	100%
	Pullen 215	48%
	Preble 239	100%
	Gentile Athletic	100%
	Weidan Training	100%
	Houlton 120 & 125	49%
	Houlton 109, 124, 125	25%
	Pullen 111, 113, 210, 213, 214, 215, ART	25%
UMPI	Folsom 301, 303, 304	25%
USM	405 Bailey	100%
	John Mitchell 217	100%
	Payson Smith 301A	100%
	LB 103	100%
	Masterson 113	100%
	Bailey 320	100%
	Bailey 10, S113, 201, 202, 204, 205, 206, 207, 208, S213, S215, 218, S312, S313, 315, L319, 320, L321, C402, C403, C, 405,	100%
	Corthell 112, 211, 212	100%
	John Mitchell 151, 164, 181	48%
	John Mitchell 233, 235, 242, 252, 265, 270	77%
	LAC 287	100%
	LAC 210, 211, 212, 214, 216, 218, 224	100%

LB 208, 209, 241, 302, 303, 310, 326, 327, 402, 403, 410, 424, 425, 502, 503, 509, 510, 523, 524	100%
Payson Smith 1, 41, 42, 44, 200, 201, 202, 203, 204, 205, 206, 207, 208, 209, 211, 303, 304, 306	100%
Wishcamper 103, 113, 417, 419/427	48%
Science 203, 403	48%
Law 118	100%
Payson Smith 42 & 44 - Phase 2	100%
Payson Smith 201, 206, 304, 306 - Phase 2	48%
Bailey 218 & 312	100%
Bailey 313	75%
Bailey 402	68%
LAC 104, 106	100%
Glickman Library 423/424	100%
Luther Bonney 209	100%
Science 157	100%
Science 533	100%
Russell 1 and Dance Studio	100%
Masterton G38	100%
LB 410, 524	25%
Corthell 320	25%
LAC 105, 108, 110	25%

^{*}Summary Table Note - Phase 1 refers to Summer 2017 projects and Phase 2 refers to Summer 2018 projects.

Risks

• The discovery of asbestos containing materials at USM has led to more thorough testing prior to starting work in a building. The need to complete more testing than anticipated and possibly conduct abatement has delayed the project schedule. An abatement plan has been completed to allow work to continue at USM during Summer 2019.

UMS Wireless Infrastructure

Overall status:

Change from previous report: None
Budget status:

Change from previous report: None
Schedule status:

Change from previous report: None

Overview

This project is a wireless technology connectivity Initiative to upgrade wireless service and associated cabling and equipment at all campuses to bring wireless capacity to gigabit speeds to support learning and living spaces.

Initiation Date	Sponsor	Original Estimated Completion Date	Current Estimated Completion Date	Estimated Budget	Budget Committed to date	Project % Complete	Comments
4/2016	Jeffrey Letourneau	12/2018	12/2019	\$13,215,000	\$10,327,023.08 (\$686,105 encumbered)	85%	

Status

At UMF, the underground fiber infrastructure work has been completed in all but three buildings. The conduits to these buildings was inaccessible due to snow and work will be completed once the ground thaws.

Cyr Hall/Fox Auditorium are 99% complete. Final clean-up will be scheduled for summer.

Cabling in the Library at UMPI is 75% complete and will be completed by May. Assessment of conduit conditions for fiber infrastructure upgrades is pending spring thaw.

On the UM campus, Hitchner Hall is substantially complete. Work in Donald P Corbett Hall has begun and will be completed by mid-May. The project team is working on estimates for several other buildings. Resources are shifting to plan upgrades of residence halls during summer break. While not funded by this project, the residence hall upgrades require the same resources.

Luther-Bonney and Payson-Smith Halls are in progress and will be completed by the end of May. Summer work will focus on completing Bailey Hall (in coordination with asbestos abatement project) as well as completion of teh Science Building and Brooks Dining Commons. As resources permit, completion of Wishcamper and John Mitchell Center will be next. A contract has been signed for HVAC installation for multiple buildings with the work scheduled for late spring into summer 2019.

At UMA, no work is currently underway or being planned. Project work is complete on the UMM campus.

BUDGET SUMMARY

Campus	Allocation	% Budgeted to Date	\$\$ Not Yet Budgeted	% Expended & Encumbered to Date	\$\$ Expended & Encumbered	\$\$ Not Yet Expended/ Encumbered
PROJECT TOTAL	\$13,215,000	96%	\$576,805	83%	\$11,013,128	\$2,201,872
Equipment in Inventory					\$1,309,281	
System-wide Services	\$620,000	100%	\$0	100%	\$620,452	-\$452
UM - Machias	\$733,200	100%	\$0	101%	\$743,998	-\$10,798
UM - Farmington	\$1,674,800	100%	\$0	98%	\$1,647,589	\$27,211
UMaine	\$3,294,600	94%	\$213,580	73%	\$2,399,652	\$894,948
UM - Presque Isle	\$700,200	100%	\$0	89%	\$625,547	\$74,653
USM	\$5,017,600	93%	\$363,225	51%	\$2,548,181	\$2,469,419
UM - Fort Kent	\$614,600	100%	\$0	93%	\$572,057	\$42,543
UM - Augusta	\$560,000	100%	\$0	98%	\$546,371	\$13,629

^{(*) =} original \$11.2M allocation plus reallocation of \$980k plus \$620K required from contingency funding for system-wide licensing. 12/2018 - additional \$415,000 from contingency.

BUILDING SUMMARY

Complete:	Complete ¹		ment Scheduled / In	Planning - Not yet
		Progress ²	Budgeted	
University of Maine at	Augusta			
Lewiston	Eastport			
Katz	Camden			
Jewett	Belfast			
Randall	Civic Center			
	College Center			
University of Maine at	Farmington			
Mantor Library	Lockwood	Campus Fiber		Roberts Learning
Dakin	Purington			Center³
Black	Stone			
Mallett	Scott North			
	Scott West			
	Scott South			
University of Maine at	Fort Kent			
Powell	Blake Library			Old Model Sch ³
The Lodge	Cyr Hall			
Crocker				
University of Maine at	Machias			
Torrey Hall / Merrill	Powers			
Library	Science			
Reynolds	Kilburn			
	Dorward			
	Sennett			
University of Maine at				
Park	Merriman	Campus Fiber		
Emerson	Folsom-Pullen	Library (75%)		
	Wieden			

University of Maine				
Fogler Library	Boardman	In Progress	Begin 3-6 months	Colvin Hall
Shibles	Murray Hall	Estabrook Core	Neville (90%)	Sculpture Building
Bennett	Little	(98%)	Barrows (50%)	Dunn
Rogers	Aubert	Hitchner (98%)	Begin 6-9 months	
Jenness	Class of 1944	Hart Core (85%)	Winslow(85%)	
Lord	Lengyel	Donald P Corbett	Crosby Lab	
Bryand Global Science		(20%)		
		Begin 0-3 months		
		Nutting (85%)		
		Fernald (60%)		
University of Southern	Maine			
Drawing Studio	Abromson	<u>In Progress</u>	Begin 3-6 months	
Print Studio	Masterton	Luther-Bonney	Corthell	
Academy Building	Hall	(85%)	Brooks Dining	
	Glickman	Payson-Smith (75%)	Costell Complex	
Wireless Only	Library		Sullivan Complex	
Wishcamper		Begin 0-3 months	Begin 6-9 months	
John Mitchell Cen		Science (60%)	Lewiston-Auburn	
Law Building		Wishcamper (wired)	Woodbury	
		JMC (wired)	Bailey (85%) - on	
			pause	

¹ Networks are online and functioning; some testing and close-out paperwork may remain to be done

Risks

- Identification of asbestos containing materials (ACBM) at USM in an area that was not anticipated has led to a higher awareness of and need to test for ACBM. Both the need for increased testing and the probability of higher than anticipated abatement needs will impact both project schedule and cost. The degree of impact will not be known until test results are completed.
- The project team is working closely with the Classrooms for the Future project team to coordinate efforts. Campus decisions to prioritize upgrades in residence halls over classroom buildings may negatively impact the Classrooms for the Future project.
- Many of the buildings require modifications by Facilities Management prior to network installation. The
 project team is working with each campus to plan this work. Resource availability and scheduling for this
 work may cause project delays.
- A risk to perceived success is unreasonable stakeholder expectations. Although a ubiquitous system-wide upgrade is needed, this project will only partially meet that need given the constraints of limited resources (schedule, budget, staffing, construction limitations, and coordination with other campus resources).
- Many buildings have network infrastructure that will need to be upgraded before new wireless networks
 can be installed. In some cases, this may include new fiber installation and/or the need for facility
 renovations.
- The phased funding approach will necessitate maintaining two separate WiFi networks on most if not all campuses driving up the ongoing operational costs and efforts for US:IT while creating inconsistent wireless service levels building to building on the campuses.
- There are a large number of factors and variables that will affect this project's timeline. There are other sizeable projects taking place at the same time. Another factor affecting the timeline will be the coordination among involved entities in setting priorities and timing.

² Dates are estimated start dates for cable installation & deployment – subject to change

³Insufficient funding to upgrade entire building; minimal upgrades to support Classrooms for the Future or future upgrades

⁴Partial upgrade due to building limitations

HR Enhancements

Overall status:

Change from previous report:

None

Budget status:

Change from previous report:

None

Schedule status:

Change from previous report:

None

Overview

To expedite and achieve economies of scale, this project will deliver improvements in interfaces and systems that support the Benefits and Payroll Center of Excellence.

Initiation Date	Sponsor(s)	Original Estimated Completion Date	Completion Date	Initial Budget	Current Balance	Project % Complete	Comments
6/2017	David Demers Mark Schmelz	October 2018	March 2019	\$448,000	\$0	100%	

Status

All enhancements identified for the project have been delivered and final steps to closeout the project are underway.

All Completed HR Enhancements

- Roth IRA
- Payroll Workcenter
- Automated Time Reporter Setup
- Benefits auto-enrollment
- eStudent rehire and new hire process expansion
- Automatic notifications for direct deposit
- Employee Onboarding Activity Guides
- HireTouch Integration
- Automated I-9 form processing

MaineStreet Improvements

Overview

This initiative is comprised of two projects; a technical upgrade of the PeopleSoft (MaineStreet) Campus Solutions student information system from version 9.0 to 9.2 and a project to enhance the PeopleSoft user experience (UX Enhancements).

• Campus Solutions 9.2 Upgrade: This project will upgrade the UMS MaineStreet Campus Solutions system from version 9.0 to version 9.2 and the CS PeopleTools (the underlying PeopleTools architecture) from version 8.55 to version 8.56. The upgrade will maintain Oracle compliance and continued support of the system. Wherever possible, the project will make improvements in business practice that will not significantly or materially change the timeline or the scope of the upgrade project.

In addition to the CS application and PeopleTools upgrades, the scope includes transitioning the CS PeopleSoft environments from the legacy Solaris architecture to Linux architecture.

PeopleSoft User Interface Platform: This project will acquire and deploy a 3rd party PeopleSoft User-Interface Platform to streamline and improve usability, navigability, and utility of the MaineStreet environment for students and faculty alike. Additionally, enhanced Single Sign-On capabilities would be deployed to support a secure, fully integrated user environment.

Project	Initiation Date	Sponsor	Original Estimated Completion Date	Current Estimated Completion Date	Initial Budget	Current Budget Balance	Project % Complete
CS Upgrade	October 2018	David	June 2019	June 2019	\$1,349,263	\$852,061	65%
UX Enhancements	September 2018	Demers	January 2019	September 2019	\$1,148,237	\$998,416	10%

Campus Solutions Upgrade Status

Overall status:

Change from previous report:

None

Budget status:

Change from previous report:

None

Change from previous report:

None

Summary Status

The project remains on track for an early June 2019 go-live. Go-live planning sessions are scheduled for April 22 and May 9. The go-live timeline will be finalized during those meetings.

Since the last report the project Functional Team conducted System Integration Testing (SIT) when it tested baseline processes and integrations in an upgraded CS 9.2 Test system (CS92SIT). The testing went very well and no major issues were encountered. Additionally, the Technical Team completed Test Move to Production #2 and is now building the User Acceptance Testing (UAT) environments.

User Acceptance Testing

The next major milestone is UAT which is scheduled to begin on April 22. This will be the final round of testing before go-live. The project Functional team and ~ 200 cross-campus testers will participate in UAT. Testing efforts will continue through May 17. The Project Team is partnering with campus testing coordinators to finalize UAT plans. Lunch & Learn sessions for UAT participants will be conducted during the week of April 15. The sessions will cover the following topics:

- How to access and navigate the UAT environment
- Review of the testing process
- Review of reporting feedback
- Where to access training materials

SQRRunner Replacement

The Project Team is collaborating with our project consultant (ERP Analysts) to develop a replacement for the UMS-developed SQR Runner Tool that currently runs within CSRPT. A prototype of the replacement tool was successfully demoed on April 4 and the final product is scheduled to be delivered by the end of April.

Navigation Decision

When the CS 9.2 upgrade project kicked off, the intent was to implement the PeopleSoft User Interface platform (see below) in parallel to the CS upgrade. In theory, users would experience one "round of change" and the changes would be reflective of the user interface platform rollout and not the CS upgrade. Due to a number of factors, the initial rollout of the user interface platform is now anticipated for Fall 2019. This will result in two rounds of changes in regards to navigation within a short period of time. The CS Upgrade and User Interface Platform teams have carefully reviewed CS 9.2 navigation options (Fluid homepages/tiles vs. Classic) to inform a navigation decision and decided that implementing Fluid navigation with the CS 9.2 upgrade is optimal approach. The Functional and Development Teams are now working to design and build Fluid navigation in CS 9.2 test environments.

Training

Given that the CS 9.2 Upgrade is primarily a technical upgrade that will result in little change in functionality, training will focus on familiarizing users with the new Fluid navigation. Now that the navigation approach has been decided upon, plans for designing and developing training materials are underway.

Architecture Performance Testing

ERP Analysts' TaaS (Testing as a Service) team conducted preliminary performance testing on the new Linux architecture on March 29. The performance testing was conducted to determine if the new architecture is structured to support peak Campus Solutions load periods or if adjustments to the architecture are necessary. Results of the preliminary testing indicate changes to the current architecture configuration will likely not be necessary. A full round of performance testing will be conducted during UAT.

Completed Since Last Report

- System Integration Testing (SIT)
- TaaS Linux architecture performance testing
- Test Move to Production #2
- Navigation decision
- UAT testing plan and arrangements
- Training sessions for UAT participants
- SQRRunner replacement prototype

In Progress

- Building UAT environments and architecture
- Preparing for UAT
- Building Fluid navigation in CSUAT
- Developing final communication and training materials

Risks & Mitigation Strategies

Misks & Willigation Strategies	
Risks	Risk Management Plans
Technical resource constraints due to competing demands (Bundle 52 preparations and issue resolution, MaineStreet Portal Upgrade, production support efforts)	 Proactive approach with respect to scheduling resources. The CS Upgrade project is the top priority. The timelines of competing projects are continually monitored and adjusted when necessary. Leveraging ERPA Technical consultants to augment UMS resources.
When the UX Enhancements project kicks-off, it will utilize some of the same technical resources required for the CS Upgrade project.	Clear effort estimates and thoughtful planning of work/milestones will be critical to ensure adequate resource availability.
The CS Upgrade and the 3rd party PeopleSoft User-Interface Platform projects will impact many of the same stakeholders and will be deployed within relatively close range of each other.	Clear, proactive communication with stakeholders about the impact of each deployment will help manage expectations and minimize confusion.

PeopleSoft User Interface Platform

Overall status:

Change from previous report:

None

Budget status:

Change from previous report:

None

Schedule status:

Change from previous report:

None

Summary Status

The contract with HighPoint was fully executed in February and UMS and HighPoint representatives have been meeting since, to discuss technical and functional requirements and recommendations. Campus Solutions 9.2 Upgrade UAT will present an opportunity for users to provide preliminary feedback with regard to the preferred user experience and, in conjunction with vendor meetings, help inform the UX Enhancements implementation plan. Additional methods to gather input from faculty and students with regard to the implementation plan are being developed. Roll out of the initial set of modules is targeted for the Fall 2019 term.

Recently Completed

- RFP posted on September 7, 2018
- Review of proposals completed October 16, 2018
- Vendor reference checks completed December 21, 2018
- HighPoint contract execution completed February 7, 2019
- HighPoint/ UMS requirements gathering/ planning meetings, February/ March 2019

In Progress

- Project planning
 - Developing project plan
 - o Forming project teams

Risks & Mitigation Strategies

Risk	Risk Management Plan
The Campus Solutions Upgrade and the 3rd party PeopleSoft User-Interface Platform projects will impact many of the same stakeholders and will be deployed within relatively close range of each other.	Clear, proactive communication with stakeholders about the impact of each deployment will help manage expectations and minimize user confusion.
The Campus Solutions Upgrade project utilizes some of the same technical resources that the 3rd party PeopleSoft User-Interface Platform Project will require.	Clear effort estimates and thoughtful planning of work/milestones will be critical to ensure adequate resource availability.
Deploying a new solution immediately after summer break, when many faculty and students are not engaged, can result in training and support challenges at the start of the fall term.	Engaging with stakeholders at an early stage will help inform decisions regarding functional deployment.

VoIP - UMF

Overall status:

Change from previous report:

None

Budget status:

Change from previous report:

None

Schedule status:

Change from previous report:

None

Overview

This project will upgrade the UMF telecom system to utilize voice-over-IP (VoIP) and mitigate risk associated with the aging Avaya phone system.

Initiation Date	Sponsor	Original Estimated Completion Date	Current Estimated Completion Date	Estimated Budget	Budget Committed to date	Project % Complete	Comments
4/2019	Jeffrey Letourneau	9/2021	9/2021	\$499,000	\$0	2%	

Status

The priority of this work has been increased due recent failures of the legacy Avaya system. While Networkmaine was able to recover from these instances, it is an indicator that the system is failing. A plan has been formulated to migrate analog phones to IP voice gateways. This migration began in April with "life safety" lines (ie, elevators and emergency call boxes) and will continue with a goal of all analog lines being migrated by the end of June 2019. This will mitigate the risk of Avaya system failure, but is only a temporary solution until full VoIP deployment is complete.

In parallel, planning is underway to begin deployments of IP phones. Deployment will begin in buildings with adequate infrastructure while the team assesses other buildings and arranges for installation of cabling, switching, etc as needed.

It is estimated that this project will take approximately 2 years to complete.

Risks

- The legacy Avaya phone system has showed signs of failing. There is a risk that we will not be able to migrate all services from this system before failure occurs. This risk is being mitigated by temporarily moving line to analog voice gateways.
- Due to the mitigation plan noted above, many users will be required to transition multiple times. This poses a risk of customer dissatisfaction and will necessitate a more comprehensive communication strategy.
- The network infrastructure in many buildings on the UMF campus is not adequate for deploying VoIP phones. This poses a risk to both project schedule and budget.
- Availability of human resources is a risk to this project. Resources needed for this project will also be
 working on telecommunications upgrades at UMPI and USM as well as providing operational support for
 all campuses.

VoIP - UMPI

Overall status:

Change from previous report:

None

Budget status:

Change from previous report:

None

Schedule status:

Change from previous report:

None

Overview

This project will upgrade the UMF telecom system to utilize voice-over-IP (VoIP) and mitigate risk associated with the aging Avaya phone system.

Initiation Date	Sponsor	Original Estimated Completion Date	Current Estimated Completion Date	Estimated Budget	Budget Committed to date	Project % Complete	Comments
4/2019	Jeffrey Letourneau	9/2021	9/2021	\$291,000	\$0	0%	

Status

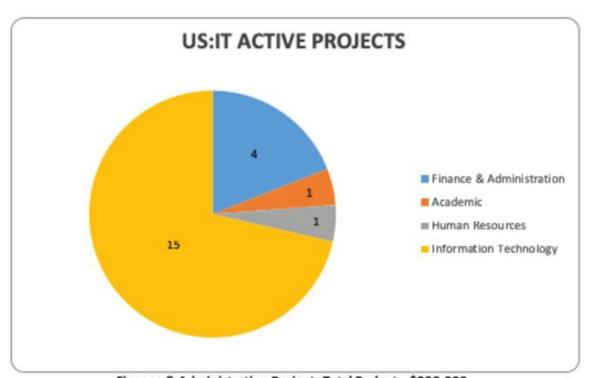
Planning is underway to begin deployments of IP phones.

Deployment will begin in buildings with adequate infrastructure while the team assesses other buildings and arranges for installation of cabling, switching, etc as needed.

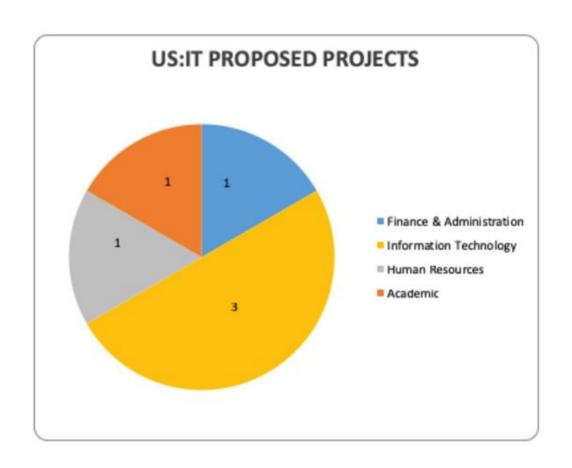
It is estimated that this project will take approximately 2 years to complete.

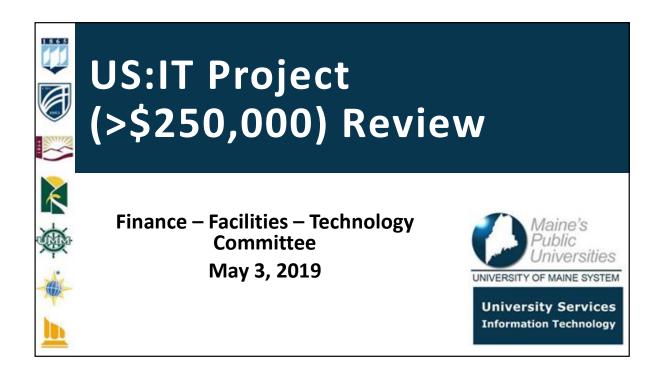
Risks

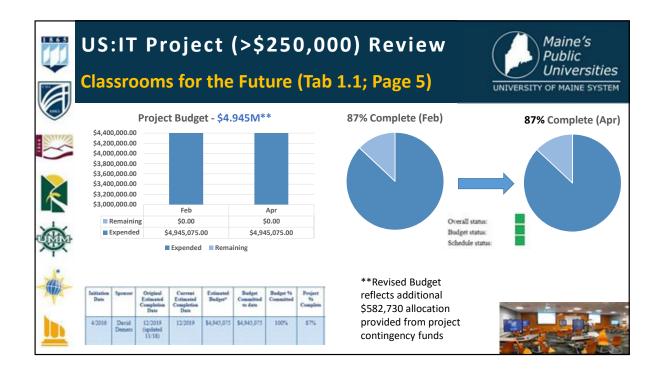
- There is a risk that we will not be able to migrate all services from this system before failure of the legacy system occurs.
- The network infrastructure in some buildings on the UMPI campus is not adequate for deploying VoIP phones. This poses a risk to both project schedule and budget.
- Availability of human resources is a risk to this project. Resources needed for this project will also be
 working on telecommunications upgrades at UMF and USM as well as providing operational support for all
 campuses.

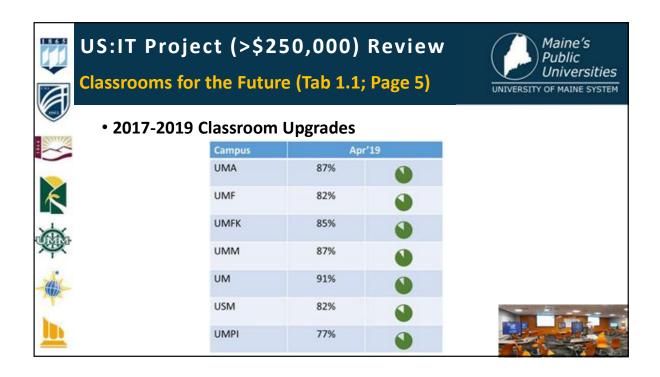


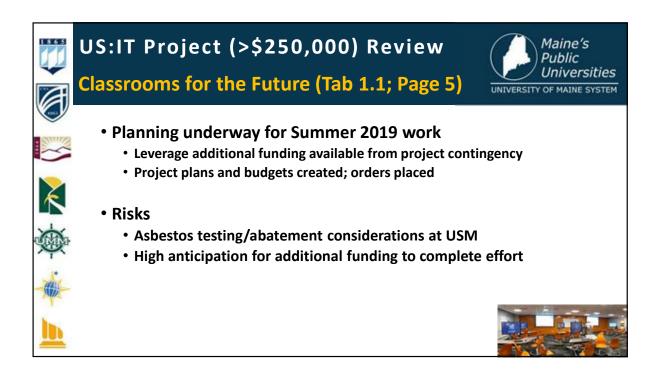
Finance & Administration Projects Total Budget = \$230,000
Academic Projects Total Budget = \$50,000
Human Resources Projects Total Budget = \$10,000
Information Technology Projects Total Budget = \$18,359,493

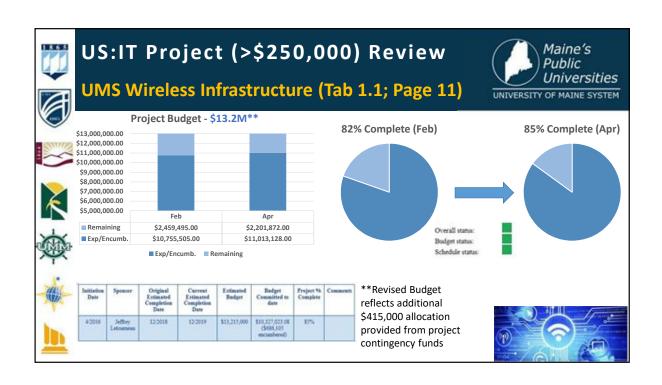


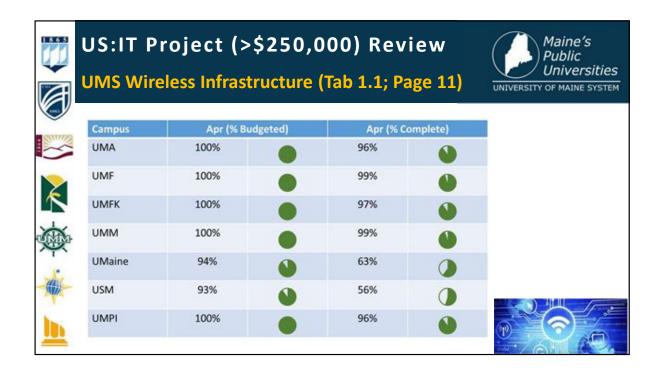


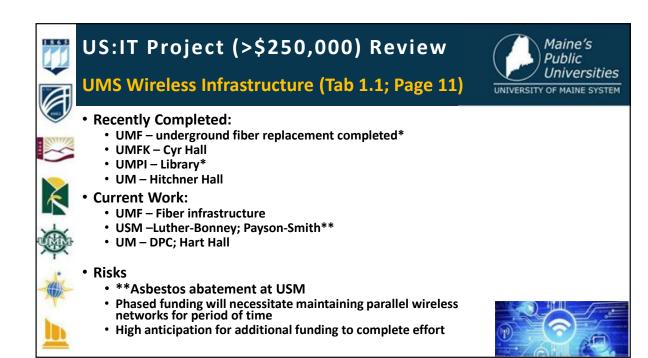


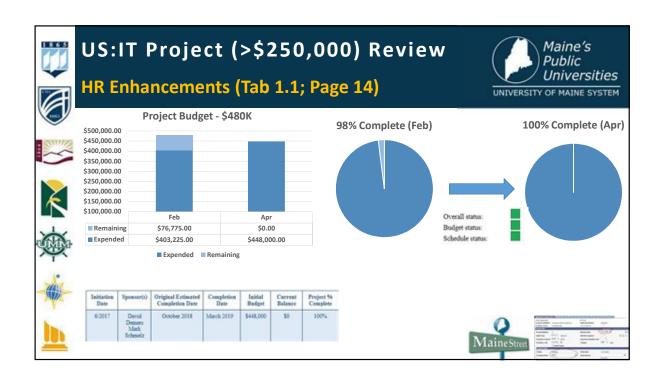


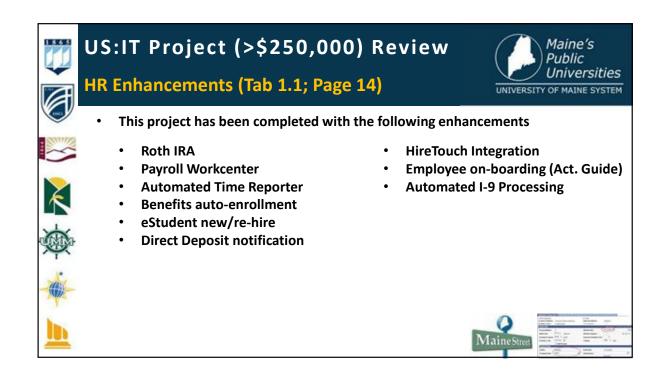


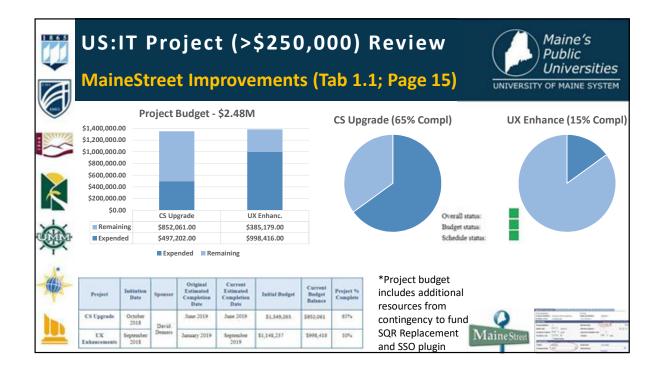














US:IT Project (>\$250,000) Review



MaineStreet Improvements (Tab 1.1; Page 18)

CS 9.2 upgrade:

- Completed System Integration Testing
- Finalized communication plan
- Completed Test Move to Production #2
- Initiated User Acceptance Testing
- Coordinated training/orientation for functional offices
- Completed PeopleSoft portal upgrade to support new navigation
- Initiated load/performance testing
- *Remain on target for June go-live

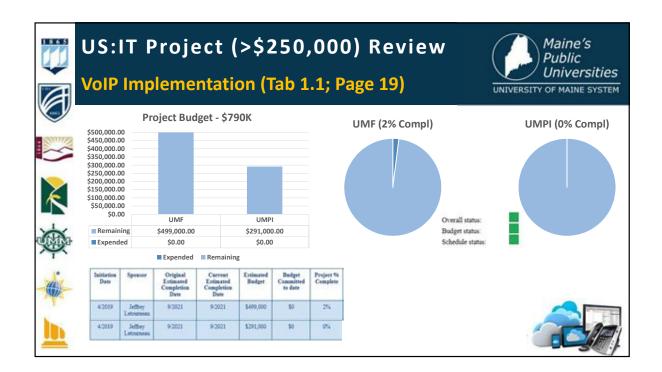
UX Enhancements:

- Contract executed for HighPoint CX application
- Completed initial requirements gathering for initial implementation plan
- Establishing project team(s) for evaluation and initial rollout to coincide with Fall 2019 term



Ricks

Participation and engagement with functional offices for testing and validation of tools





US:IT Project (>\$250,000) Review





VoIP Implementation (Tab 1.1; Page 19)



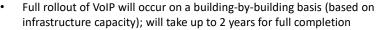
- Completed plan to migrate select analog phones to IP Voice Gateways to mitigate ongoing failure of the legacy Avaya System
- Gateway devices have been ordered, deployed
- Initial migration of 'life safety' lines (elevators, emergency call boxes) has been completed

• UMPI:

 Completed migration plan to target deployment in buildings with recently upgraded infrastructure













REVISED 5-2-19



AGENDA ITEM SUMMARY

1. NAME OF ITEM: FY2020 Proposed Operating & Capital Budget and Student Charges –

Second Reading

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME: BOARD POLICY:

Enhance fiscal positioning Policy 701 – Capital Budgets

5. BACKGROUND:

Vice Chancellor Low, will present the FY2020 Proposed Operating & Capital Budget and Student Charges for the University of Maine System.

The USM student body approved and the USM Student Government Association submitted a request to increase the undergraduate student activity fee, after the materials for this Committee meeting were posted. Although the attached materials do not reflect this change, we are requesting that the Committee approve the following:

- Increase of \$42 annually for 1 5.9 credit hours
- Increase of \$46 annually for 6 11.9 credit hours
- Increase of \$50 annually for 12 or more credit hours

This increase in student activity fee will have a neutral impact on the USM E&G budget.

This is the second reading of the budget, and a vote of the Committee will be taken and forwarded to the Board of Trustees at the May 19-20, 2019 meeting.

6. TEST OF PROPOSED RESOLUTION

That the Finance, Facilities and Technology Committee forwards this item to the Consent Agenda at the May 19-20, 2019 Board of Trustees meeting for approval of the following resolution:

That the Board of Trustees accepts the recommendations of the Finance, Facilities and Technology Committee and approves the FY2020 Proposed Operating & Capital Budget, Recommended Amended Student Charges, and proposed Transfers from Institutional Reserves including Budget Stabilization Funds.

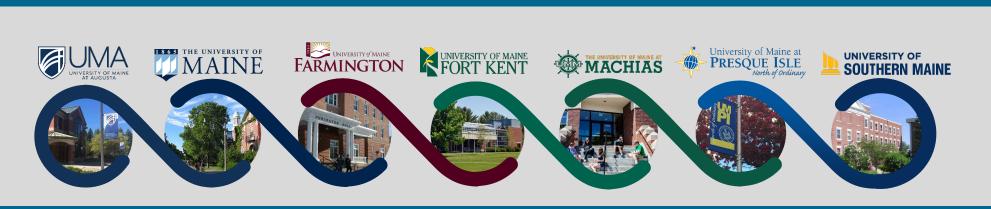
Maine's Public Universities

FY20

Proposed Unified Operating Budget, Capital Budget & Student Charges

Second Reading

May 3, 2019





FY20 Budget Overview

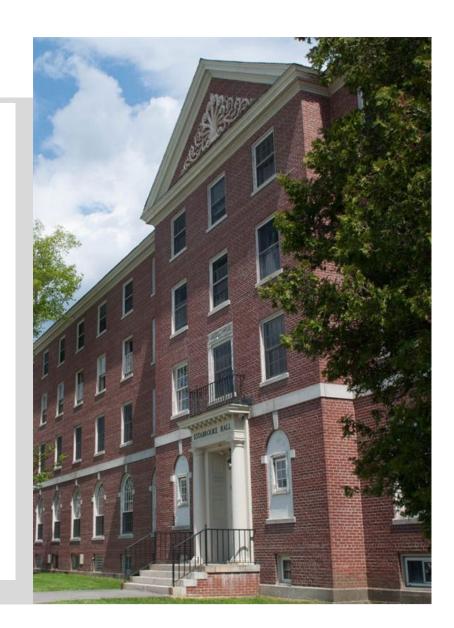
- Budget focus on Board of Trustees priority & secondary outcomes
- Enrollment Increase

Early College budgeted credit hour increase of 12% Academic Partnership credit hours estimated at +6,500

- In-state, undergraduate tuition increase at CPI at most campuses – 2.5%
- Utilization of reserve funds

UMA & UMM are using campus reserves to balance: UMF requesting Budget Stabilization; Law School utilizing USM reserves & requesting Budget Stabilization Funds.

 Governor's proposed Biennial Budget includes a 3% increase in FY20 appropriation plus additional funds for Early College and Adult Degree Completion programs.





FY20 Budget Overview

	E&G	Auxiliary	Total	Campus Reserves	Budget Stabilization	Total
UMaine	\$ 0	\$ 0	\$0	\$ 0	\$ 0	\$ 0
UMM	(494,277)	-	(494,277)	494,277	-	-
UMA	(1,203,064)	(209,672)	(1,412,736)	1,412,736	-	-
UMF	(500,000)	-	(500,000)	-	500,000	-
UMFK	300,887	(300,887)	-	-	-	-
UMPI	(182,679)	228,248	45,569	-	-	45,569
USM (Excl. Law)	-	60,399	60,399	-	-	60,399
Maine Law	(925,000)	-	(925,000)	425,000	500,000	-
Governance	-	-	-	-	1	-
Univ. Svs	-	-	-	-	-	-
Total	\$(3,004,133)	\$ (221,912)	\$(3,226,045)	\$ 2,332,013	\$ 1,000,000	\$ 105,968



Budget Stabilization Fund

The Budget
Stabilization Fund was created to enable the UMS to smooth the financial impact of adverse markets, economic conditions, and address other financial challenges.

The Fund was established in 2010 and has been built from net investment income that exceeded budget pursuant to the Board of Trustees investment policy.

The Treasurer will authorize only the transfer needed to offset a net unrestricted operating loss for each institution at the close of FY19 and FY20.

Balance 7/1/18

\$ 13,177,518

\$ 11,667,518

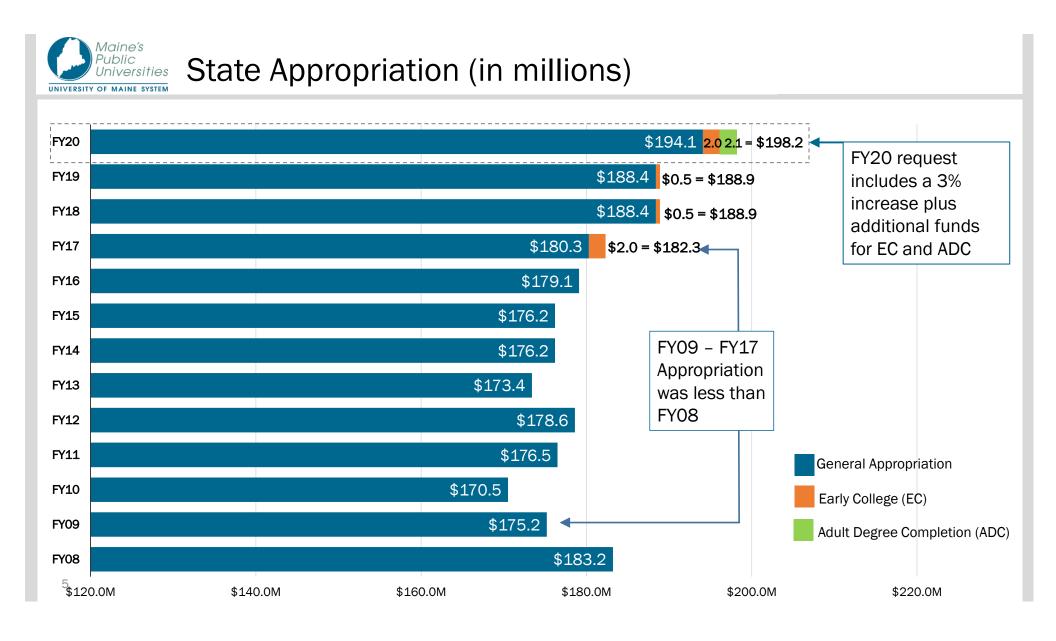
The Law School annually receives a transfer from USM for \$856,808 representing their portion of State Appropriation.

	Projected				
Utilization	FY19 (approved)	FY20*			
Law School	(500,000)	(500,000)			
UMF		(500,000)			
Investment gain/loss	TBD	-			
Subtotal	(\$ 500,000)	(\$ 1,000,000)			

Projected Balance

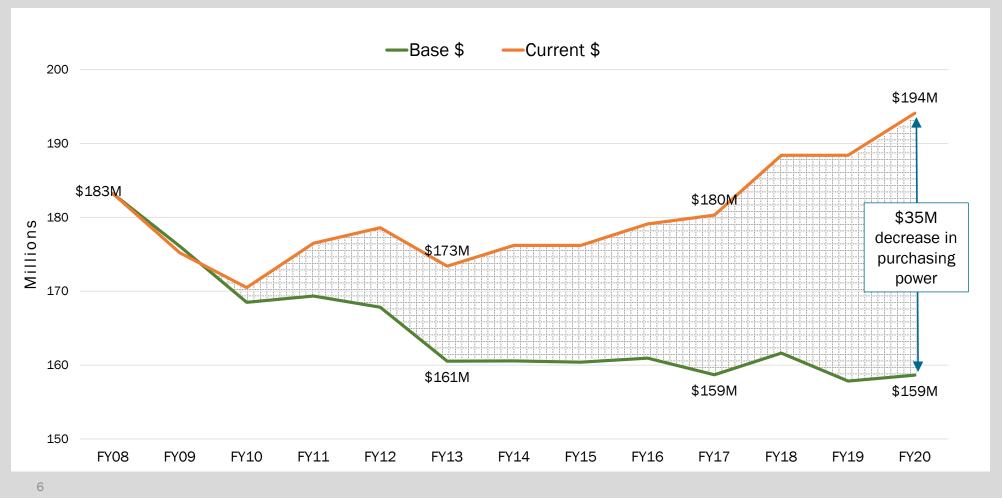
*Requesting BOT approval







Appropriation Decrease in Purchasing Power



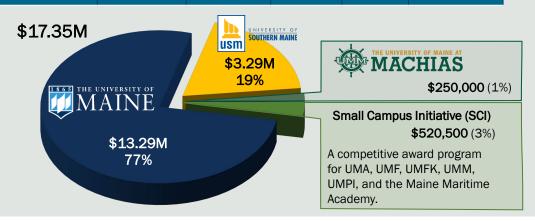


Maine Economic Improvement Fund (MEIF)

	UMaine	USM	UMM	SCI	Total
Biotechnology	1,516,412	250,000			1,458,714
Aquaculture & Marine	2,389,659	453,043	250,000		3,662,952
Composite Materials	1,673,678	100,000			1,944,246
Environmental	1,544,326	294,817			1,632,986
Forestry & Agriculture	1,617,105	833,700			2,614,762
Information Technology	1,893,809	672,512			2,529,458
Precision Manufacturing	1,475,256	100,000			1,483,284
Cross Sector	1,178,949	586,234			1,503,098
Small Campus Initiative				520,500	520,500
Total	13,289,194	3,290,306	250,000	520,500	17,350,000

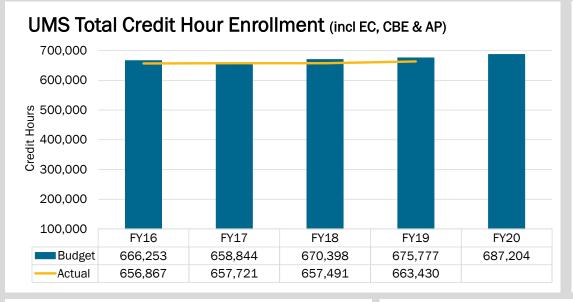
 MEIF is flat funded in Governor's FY20-21 Biennial Budget.

7

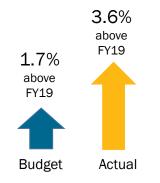




UMS Enrollment







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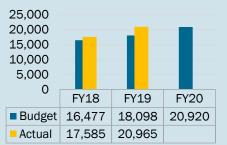
 FY20 credit hours are up 3.6% over FY19 actual credit hours

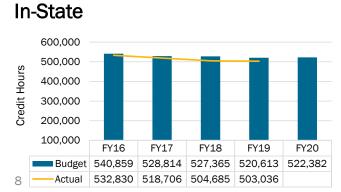
Major factors impacting

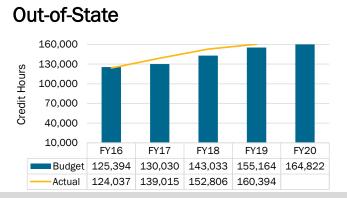
 FY20 out-of-state budgeted represent 24% of the total credit hours.

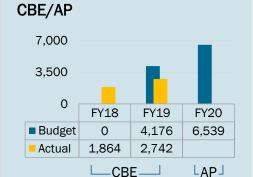


enrollment:

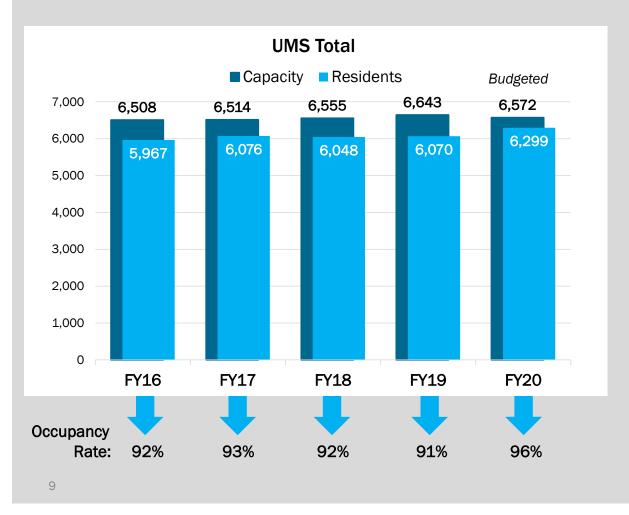


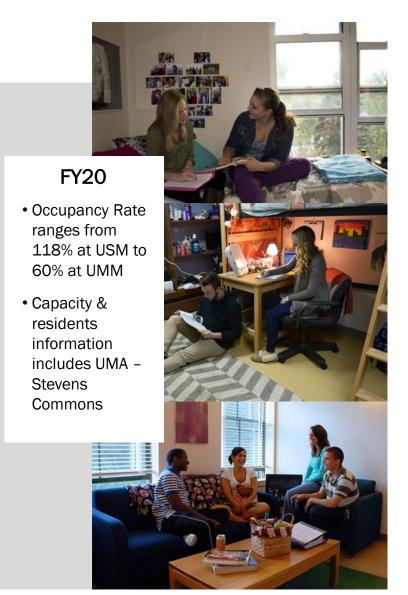














FY20 Recommended In-State Tuition Rates

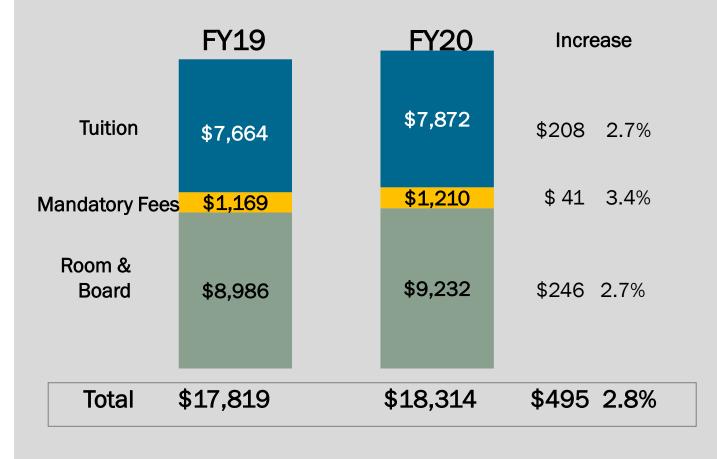
	FY19	FY20 Proposed		roposed ases
Undergraduate	Rate/CH	Rate/CH	\$	%
UMaine	\$293	\$300	\$7	2.4%
UMA/UMFK/UMM/UMPI	\$233	\$239	\$6	2.6%
UMF	\$274	\$281	\$7	2.6%
USM	\$271	\$281	\$10	3.7%

- In-State, undergraduate tuition increase based on CPI (2.5%).
- Additional increases at USM to equal UMF – final implementation step of the Unified Budget pricing structure.
- Graduate **UMaine** \$439 \$450 \$11 2.5% UMF/USM \$407 \$421 \$14 3.4% Law School \$743 \$773 \$30 4.0%

 First Law School tuition increase since FY13



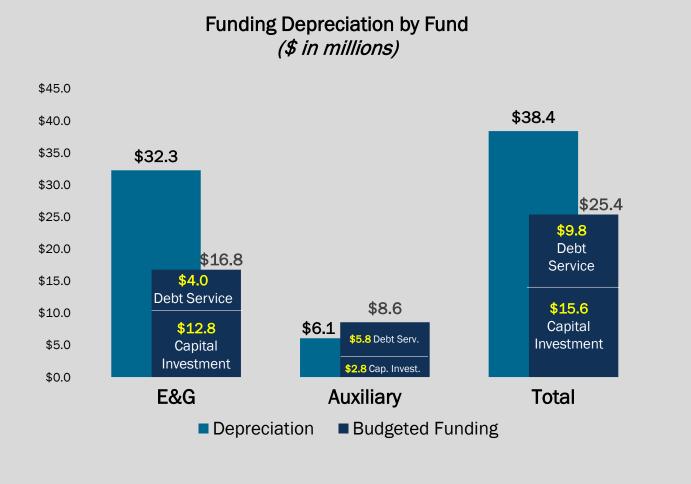
In-State Undergraduate Average Costs



- Unified Fee increasing at 5 campuses; no increase at UMFK & UMA.
- Room & board rates increasing at all campuses; high demand at USM.
- Average annual increase is less than \$500 for in-state, undergraduate students residing on campus.



Funding Depreciation



- Depreciation expense increased by \$1.3M - from \$37.1M in FY19 to \$38.4M in FY20.
- E&G and Auxiliary budgeted capital investment = \$15.6M; a decrease of \$2.2M.
- Funding through Debt Service has increased by \$0.5M
- 66% of the total depreciation expense is funded in the FY20 budget – down 7% from the FY19 budget.
- Although not reflected in this budget, UMS will be making capital investments of \$49M with State Bond Funds.



Capital Investments from Operations

		Facilities	Equipment & Vehicles	Projects to be determined during FY20	Capital Reserve Deposit (to be utilized in FY21 or after)	TOTAL INVESTMENT	TOTAL % INVESTMENT
	UMAINE	\$ 2,233,389	\$ 2,122,950	\$ 2,117,554	\$ 1,637,951	\$ 8,111,844	UMA
	ИММ	-	-	11,408	-	11,408	UMM 5% UMF 4% UMFK 2%
	UMA	675,000	36,679	12,754	-	724,433	UMPI 2%
	UMF	534,266	115,000	-	-	649,266	UMaine USM 11%
	UMFK	225,000	21,408	-	-	246,408	52%
	UMPI	267,383	-	-	25,469	292,852	UNIV SERV-IT 24%
	USM	1,558,500	164,906	-	-	1,723,406	
	UNIV SERV - IT	2,630,000	459,800	-	741,771	3,831,571	
13 .	TOTAL	\$ 8,123,538	\$ 2,920,743	\$ 2,141,716	\$ 2,405,191	\$ 15,591,188	

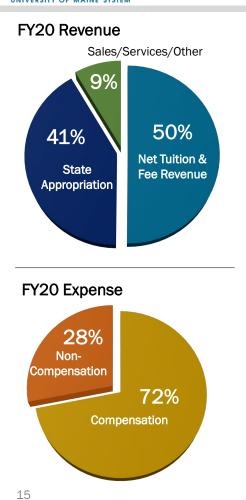


FY20 Budget E&G/Auxiliary Position Changes

	Fac	culty	Sala	ried	Ноц	ırly	Total
	Increases/ New Positions	Reductions/ Eliminations	Increases/ New Positions	Reductions/ Eliminations	Increases/ New Positions	Reductions/ Eliminations	
UMaine	7.0	(2.3)	1.8	(2.8)	0.3	-	3.9
UMA	1.0	-	3.0	-	1.0	-	5.0
UMF	-	(2.5)	1.0	(1.5)	5.9	(6.4)	(3.5)
UMFK	2.0	-	0.5	(3.75)	-	-	(1.25)
UMM	-	(1.0)	-	(1.0)	-	-	(2.0)
UMPI	-	-	-	-	-	-	
USM	5.5	(3.0)	5.0	(1.0)	-	-	6.5
Gov.	-		-	_	-	-	
Univ Svs	-	-	2.0	(1.0)		-	1.0
Total	15.5	(8.8)	13.3	(11.1)	7.2	(6.4)	9.7



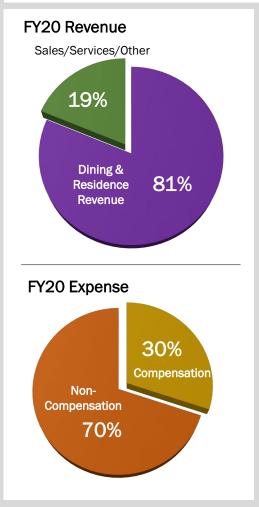
FY20 Proposed Budget: E&G



		FY19 Base	FY20 Proposed	\$ Change	% Change
Revenue:	Tuition & Fee Revenue	\$ 314,176,615	\$ 331,118,927	\$ 16,942,312	5.4%
	Tuition Waivers/Scholarships	(84,371,144)	(90,894,408)	(6,523,264)	7.7%
	State Appropriation	188,920,534	198,159,700	9,239,166	4.9%
	Sales/Services/Other	40,578,955	40,587,767	8,812	-%
	Total Revenue	459,304,960	478,971,986	19,667,026	4.3%
Expense:	Personnel Expense	342,272,043	354,232,518	11,960,475	3.5%
	Fuel & Electricity	15,491,893	16,269,107	777,214	5.0%
	Supplies & Services	30,974,837	32,035,919	1,061,082	3.4%
	Travel	6,355,216	6,409,622	54,406	0.9%
	Memberships, Contributions & Sponsorships	1,547,972	1,518,633	(29,339)	-1.9%
	Maintenance & Alterations	11,669,339	12,071,251	401,912	3.4%
	Interest Expense	1,712,822	1,554,864	(157,958)	-9.2%
	Depreciation	30,787,221	32,347,555	1,560,334	5.1%
	Other Expenses & Transfers	32,521,186	36,048,008	3,526,822	10.8%
	Total Operating Expenses & Transfers	473,332,529	492,487,477	19,154,948	4.0%
	Operating Increase (Decrease)	\$ (14,027,569)	\$ (13,515,491)	\$ 512,078	-3.7%
Modified	Add back Depreciation	30,787,221	32,347,555	1,560,334	5.1%
Cash Flow:	Less Capital Expenditures	(9,711,654)	(9,403,803)	307,851	-3.2%
	Less Capital Reserve Funding	(4,408,061)	(3,378,140)	1,029,921	-23.4%
	Less Debt Service Principal	(3,954,187)	(4,052,229)	(98,042)	2.5%
	Net Change Before Other Adj & Transfers	(1,314,250)	1,997,892	3,312,142	-
	Transfer from/(to) Admin Savings Rsrv	(3,301,740)	(5,002,025)	(1,700,285)	
	Transfer from/(to) Budget Stabilization	500,000	1,000,000	500,000	
	Net Change Subtotal	(4,115,990)	(2,004,133)	2,111,857	-
	Other Strategic Transfers from/(to) Reserves	4,082,963	2,122,341	(1,960,622)	
	Net Change in Cash & Reserve Transfers	\$ (33,027)	\$ 118,208	\$ 151,235	



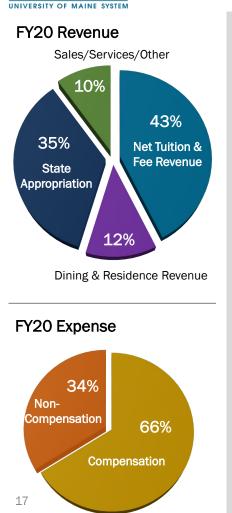
FY20 Proposed Budget: Auxiliary



Revenue: Tuition & Fee Revenue \$ 1,227,714 \$ 1,227,714 \$ -	0.0% 4.1%
Dining & Residence Revenue 65,474,989 68,153,741 2,678,752	0.00/
Tuition Waivers/Scholarships (2,252,044) (2,326,379) (74,335)	3.3%
Sales/Services/Other 17,197,920 15,483,729 (1,714,191)	-10.0%
Total Revenue 81,648,579 82,538,805 890,226	1.1%
Expense: Personnel Expense 22,643,349 24,128,435 1,485,086	6.6%
Fuel & Electricity 6,042,885 6,097,572 54,687	0.9%
Supplies & Services 24,880,439 23,320,178 (1,560,261)	-6.3%
Travel 128,380 124,720 (3,660)	-2.9%
Memberships, Contributions & Sponsorships 20,772 22,597 1,825	8.8%
Maintenance & Alterations 4,417,770 5,034,695 616,925	14.0%
Interest Expense 3,697,167 3,453,982 (243,185)	-6.6%
Depreciation 6,255,906 6,096,604 (159,302)	-2.5%
Other Expenses & Transfers 10,653,524 11,973,865 1,320,341	12.4%
Total Operating Expenses & Transfers 78,740,192 80,252,648 1,512,456	1.9%
Operating Increase (Decrease) \$ 2,908,387 \$ 2,286,157 \$ (622,230)	-21.4%
Modified Add back Depreciation 6,255,906 6,096,604 (159,302)	-2.5%
Cash Flow: Less Capital Expenditures (2,284,887) (1,652,194) 632,693	-27.7%
Less Capital Reserve Funding (1,387,413) (1,157,051) 230,362	-16.6%
Less Debt Service Principal (5,429,046) (5,795,428) (366,382)	6.7%
Net Change Before Other Adj & Transfers 62,947 (221,912) (284,859)	
Other Strategic Transfers from/(to) Reserves 86,929 209,672 122,743	
Net Change in Cash & Reserve Transfers \$ 149,876 \$ (12,240) \$ (162,116)	



FY20 Proposed Budget: E&G and Auxiliary



		FY19 Base	FY20 Proposed	\$ Change	% Change
Revenue:	Tuition & Fee Revenue	\$ 315,404,329	\$ 332,346,641	\$ 16,942,312	5.4%
	Dining & Residence Revenue	65,474,989	68,153,741	2,678,752	4.1%
	Tuition Waivers/Scholarships	(86,623,188)	(93,220,787)	(6,597,599)	7.6%
	State Appropriation	188,920,534 57,776,875	198,159,700 56,071,496	9,239,166	4.9% -3.0%
	Sales/Services/Auxiliary Total Revenue	540,953,539	561,510,791	(1,705,379) 20,557,252	3.8%
Expense:	Personnel Expense	364,915,392	378,360,953	13,445,561	3.7%
	Fuel & Electricity	21,534,778	22,366,679	831,901	3.9%
	Supplies & Services	55,855,276	55,356,097	(499,179)	-0.9%
	Travel	6,483,596	6,534,342	50,746	0.8%
	Memberships, Contributions & Sponsorships	1,568,744	1,541,230	(27,514)	-1.8%
	Maintenance & Alterations	16,087,109	17,105,946	1,018,837	6.3%
	Interest Expense	5,409,989	5,008,846	(401,143)	-7.4%
	Depreciation	37,043,127	38,444,159	1,401,032	3.8%
	Other Expenses & Transfers:	43,174,710	48,021,873	4,847,163	11.2%
	Total Operating Expenses & Transfers	552,071,721	572,740,125	20,667,404	3.7%
	Operating Increase (Decrease)	\$ (11,119,182)	\$ (11,229,334)	\$ (110,152)	1.0%
Modified	Add back Depreciation	37,043,127	38,444,159	1,401,032	3.8%
Cash Flow:	Less Capital Expenditures	(11,996,541)	(11,055,997)	940,544	-7.8%
	Less Capital Reserve Funding	(5,795,474)	(4,535,191)	1,260,283	-21.7%
	Less Debt Service Principal	(9,383,233)	(9,847,657)	(464,424)	4.9%
	Net Change Before Other Adj & Transfers	(1,251,303)	1,775,980	3,027,283	
	Transfer from/(to) Admin Savings Rsrv	(3,301,740)	(5,002,025)	(1,700,285)	
	Transfer from/(to) Budget Stabilization	 500,000	1,000,000	500,000	_
	Net Change Subtotal	(4,053,043)	(2,226,045)	1,826,998	
	Other Strategic Transfers from/(to) Reserves	4,169,892	2,332,013	(1,837,879)	
	Net Change in Cash & Reserve Transfers	\$ 116,849	\$ 105,968	\$ (10,881)	



Quasi-Independent State Entities Budget Requirement

- Public Law 2011, Chapter 616 mandates:
 - Board of Trustees approval of the annual budget for travel, meals, and entertainment costs.
 - Board of Trustees approval of the annual budget for contribution expenses – defined by this Public Law as membership dues & fees, gifts, donations, and sponsorships.
 - Periodic reporting of the actual travel and contribution costs by the UMS to the Board of Trustees.
 - Annual reporting to the Legislature by the UMS of contributions made to persons in the preceding year that were greater than \$1,000, and the total contributed to each.

FY20 Budget	(\$	\$000's)
Fund	Travel, Meals, Entertainment	Memberships, Gifts, Donations, & Sponsorships
E&G/Auxiliary	\$ 6,534	\$ 1,541
Restricted/Other	5,067	611
Total	\$11,601	\$ 2,152

E&G/Auxiliary are included in the proposed operating budgets. Restricted/Other includes grants & contracts, MEIF, Coop. Ext, etc. and is not included in the operating budgets.

• UMS "Use of University funds" policy generally prohibits charitable contributions; Sponsorships which advance the University's mission are allowed. UMS "Travel & Expense" policy defines what constitutes allowable travel, meals, and entertainment expenses.



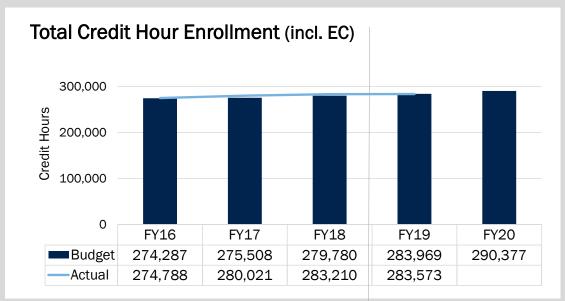
Appendix

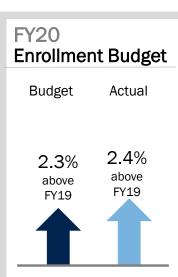
- Detailed Information by Campus for:
 - —FY20 Enrollment & Residence Hall Occupancy
 - —FY20 E&G and Auxiliary Capital Investments from Operations
 - —FY20 Operating Budgets
 - —FY20 Student Cost Report

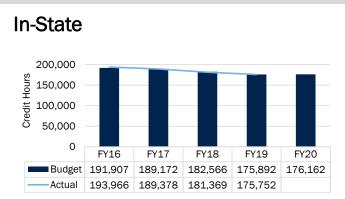


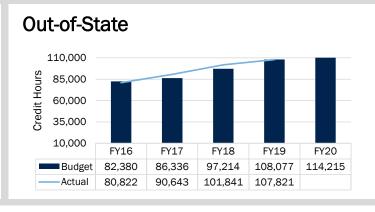


UMaine Enrollment

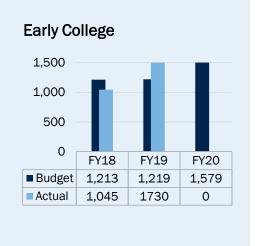






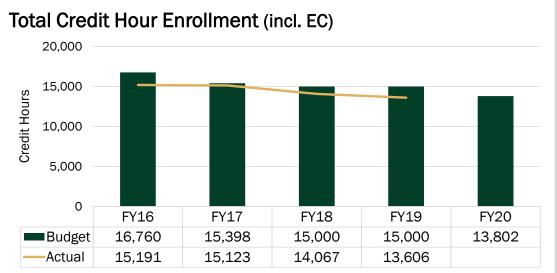


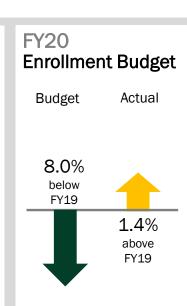




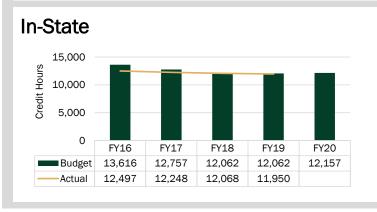


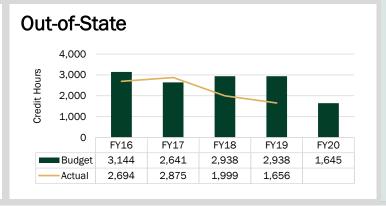
UMM Enrollment

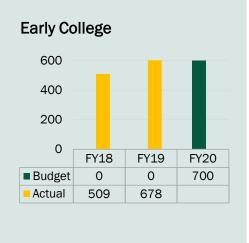




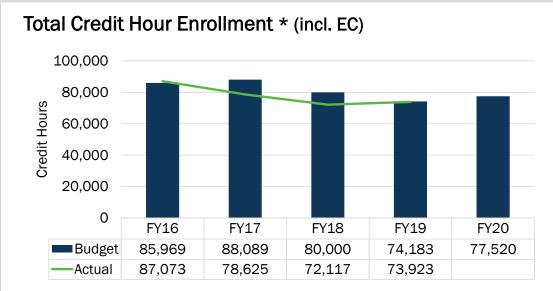


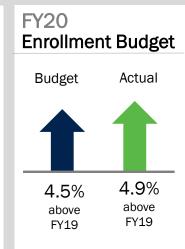


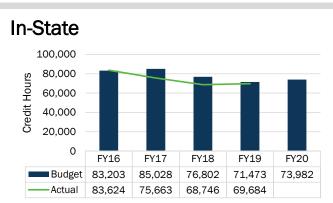


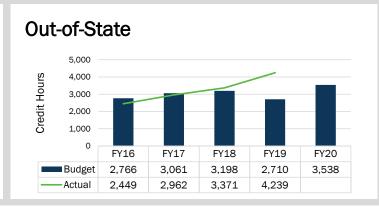




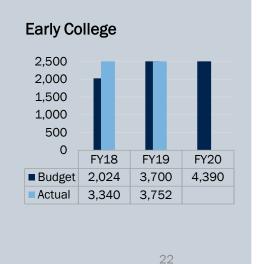






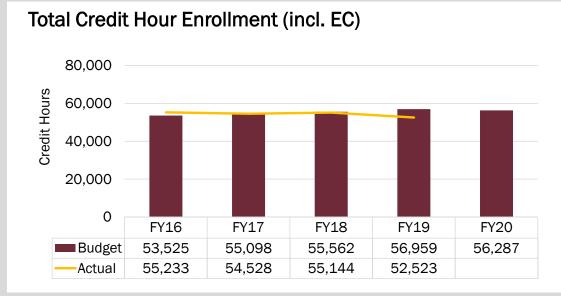


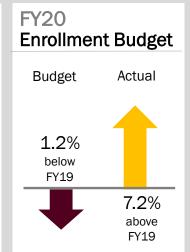




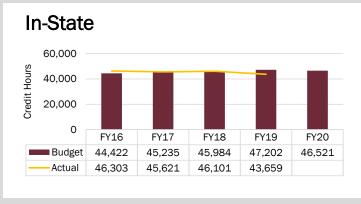


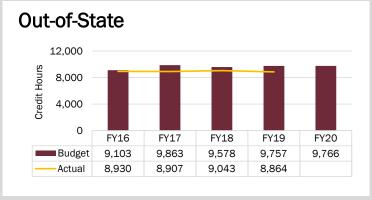
UMF Enrollment

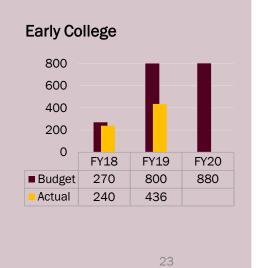




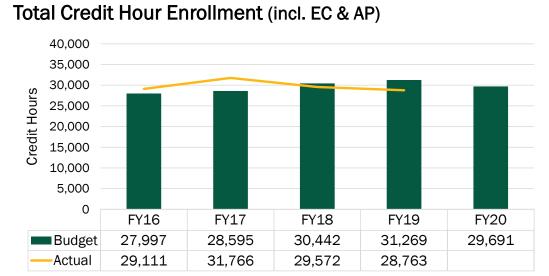


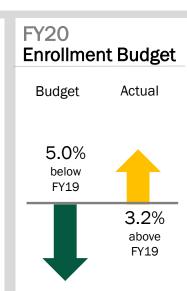


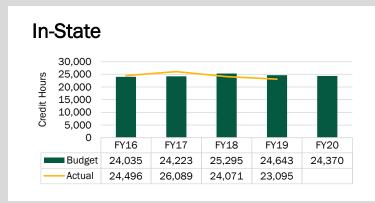


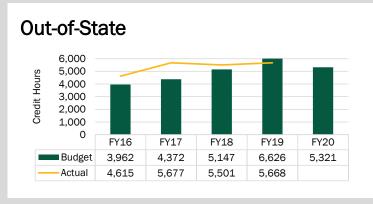




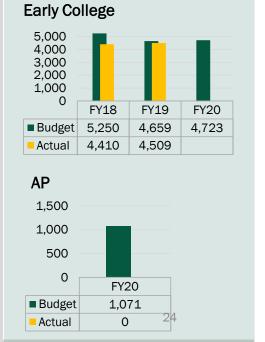














In-State

30,000

25,000

20,000

15,000

10,000

5,000

■Budget

Actual

FY16

20,797

21,467

FY17

21,045

22,282

FY18

21,978

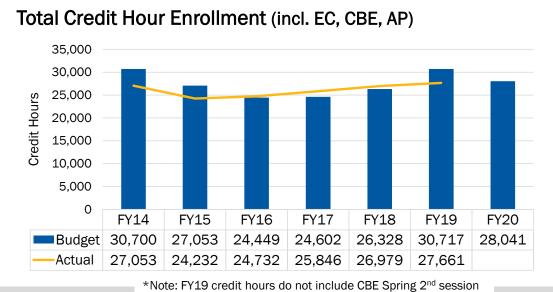
22,608

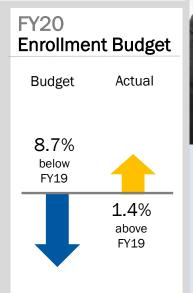
FY19

26,217

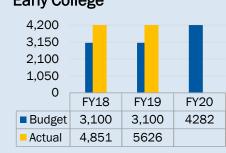
23,441

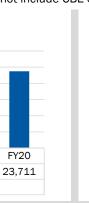
UMPI Enrollment

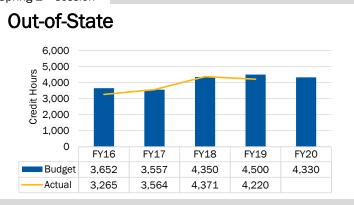


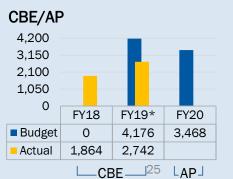






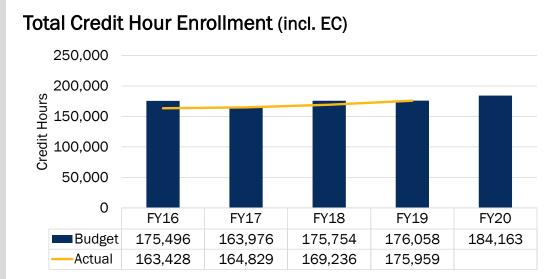


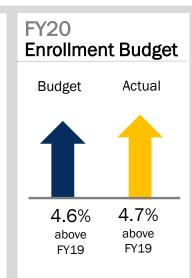


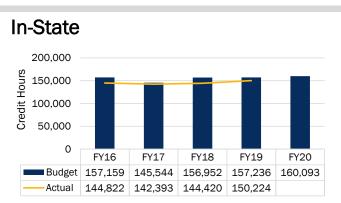


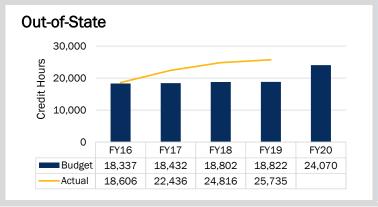


USM Enrollment



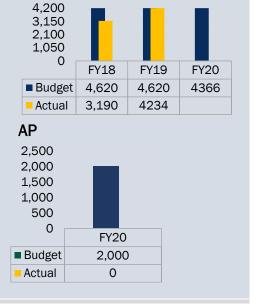






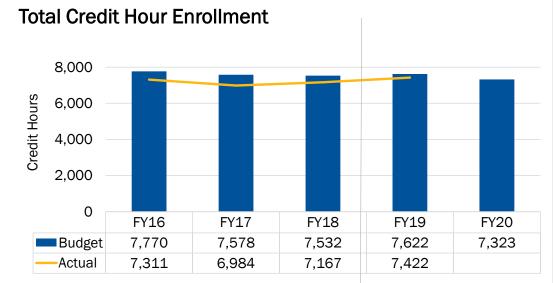


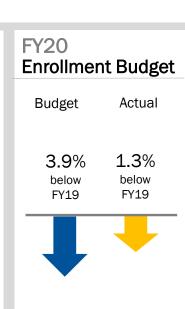
Early College

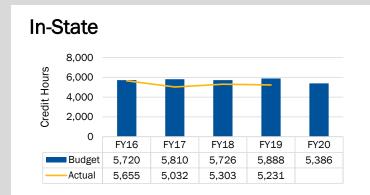


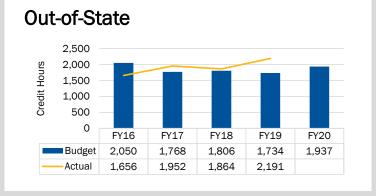


UM Law Enrollment



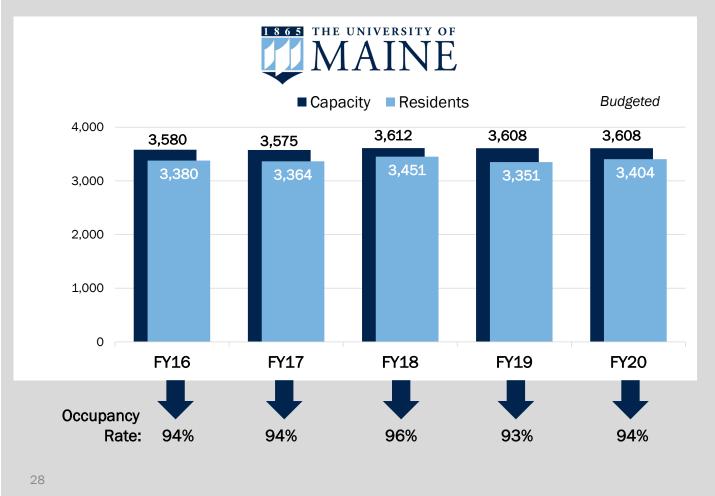






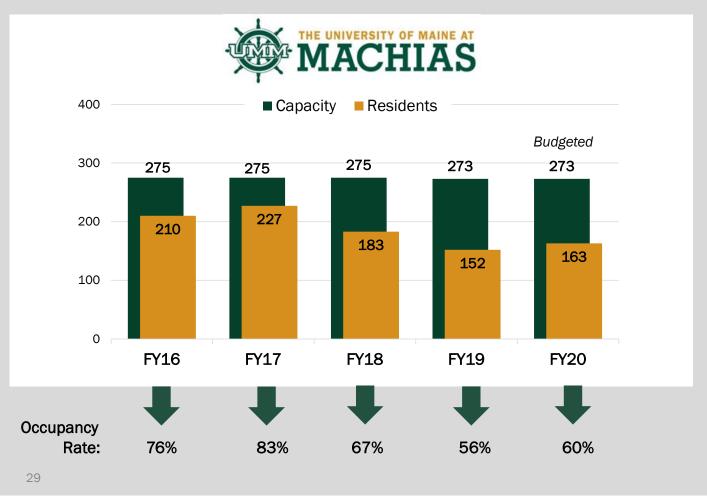






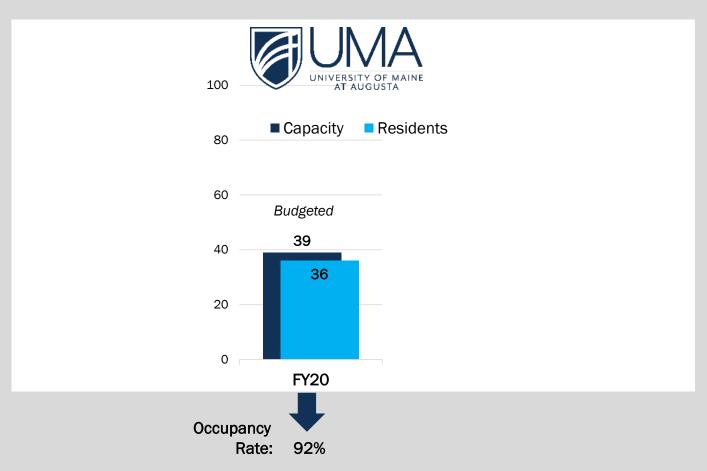






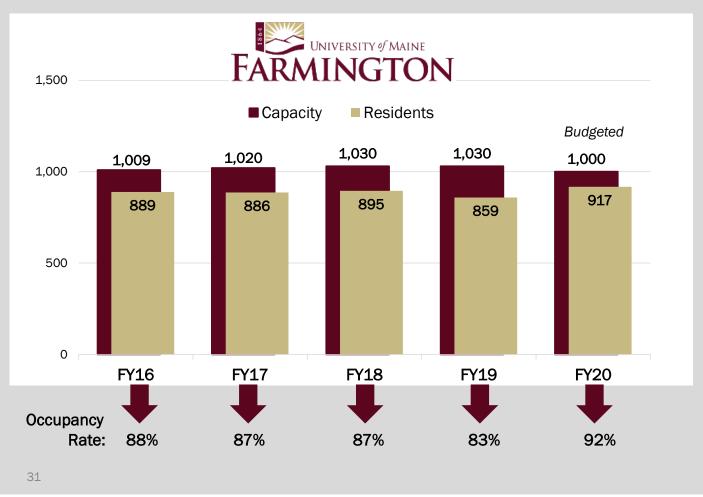


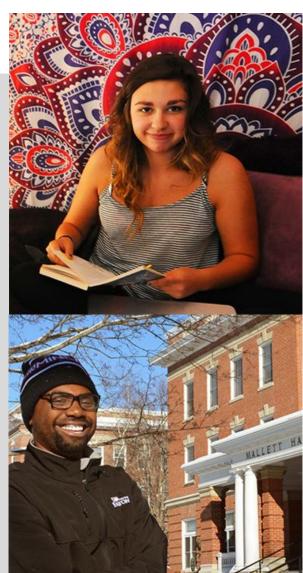




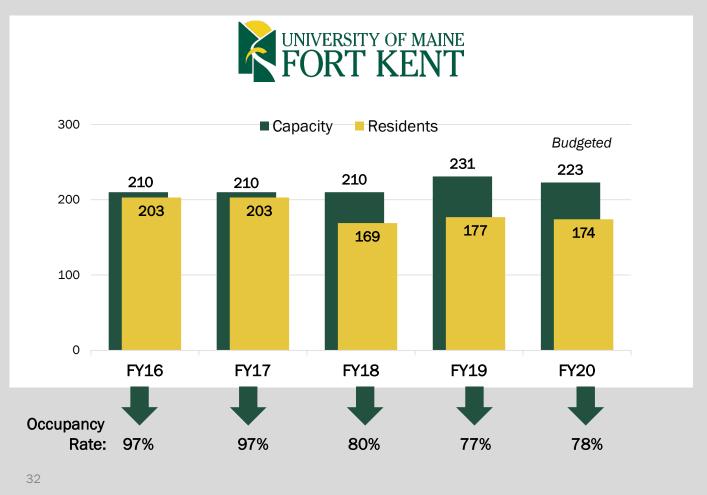






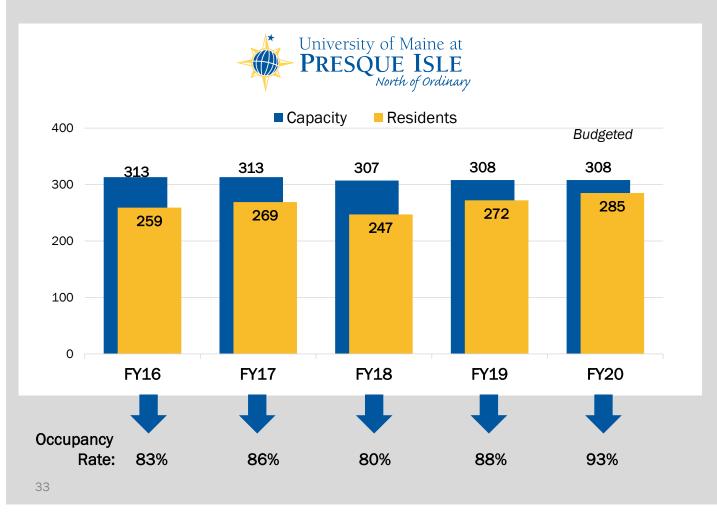






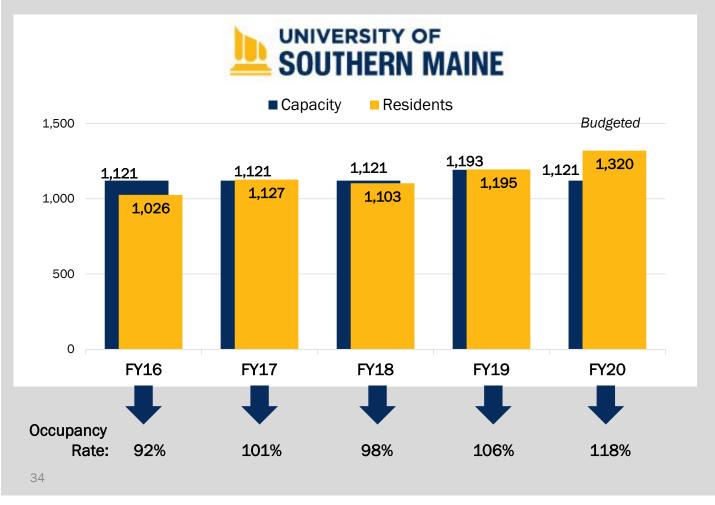














				FY20 Budget		
	UMaine campus special projects (Hall roof replacement Eam pit repair (Fab 263 Renovaiton Deering Hall roof replacement Eam pit repair (Fab 263 Renovaiton Deering Hall roof replacement Eam pit repair (Fab 263 Renovaiton Deering Hall roof replacement Eam pit repair (Fab 263 Renovaiton Eam FD Deering Hall roof replacement Eam pit repair (Fab 263 Renovaiton Deering Hall roof replacement Eam pit repair (Fab 263 Renovaiton Eam FD Deering Hall roof replacement Eam pit repair (Fab 263 Renovaitor) Eam FD Deering Hall roof replacement Eam pit repair (Fab 263 Renovaitor) Eam FD Deering Hall roof replacement (Fab 263 Renovaitor) Eam P&P Projects Funded Depreciation Annual Memorial Gym Various Projects - Annual Funded Depreciation Eathery Roof Replacement Each Renovations Eal Renovations Project Edit Patch Hall Eathernet cable upgrade Install CAT 6 Ethernet cable in Hart Hall Eathernet cable upgrade Install CAT 6 Ethernet cable in Gannett Hall Eather Hall renovations Edith Patch Hall renovations Edith Patch Hall renovations Funda TOTAL TOTAL Campus Projects Funds to be used as contingency for unexpected capital expenses Pdates Replace a/c units above 212 and IT area					
UMaine			E&G	AUXILIARY	TOTAL	
Maine special projects	UMaine campus special projects	\$	487,582	\$ -		
Deering Hall roof replacement	Deering Hall roof replacement		200,000	-	1	
SA10 Steam pit repair	SA10 Steam pit repair		400,000	-	1	
Hitchner Lab 263 Renovaiton	Renovate/build out unfinished laboratory space in room 263.		50,000	-	1	
Alfond Arena FD	Annual Alfond		48,500	-	1	
Memorial Gym FD	Annual Memorial Gym		140,000	-		
Classroom P&P projects	Various classroom projects - per campus space committee		100,000	-		
Annual Funded Depreciation	Various Projects - Annual Funded Depreciation		1,529,972	-	1	
CCAR-Hatchery Roof Replacement	Replacement of Roof on Hatchery building at CCAR		562,000	-	1	
Balentine Renovations			-	182,889	1	
Hart Hall Ethernet cable upgrade			-	300,000	1	
Gannett Hall Ethernet cable upgrade	Install CAT 6 Ethernet cable in Gannett Hall		-	150,000	1	
Edith Patch renovations	Edith Patch Hall renovations		-	200,000		
Vehicles		-	416,531	129,085		
Equipment		-	1,256,608	320,726		
Capital Reserve Funding		-	500,000	1,137,951		
	TOTAL	\$	5,691,193	\$ 2,420,651	\$ 8,111,84	
UMM						
UMM Campus	Various Projects	\$	11,408	\$ -		
		\$	11,408		\$ 11,40	
UMA						
Various Campus Projects	Funds to be used as contingency for unexpected capital expenses	\$	12,754	\$ -	1	
HVAC updates	<u> </u>		150,000	-		
Belfast Hall Roof Replacement	Replace roof		150,000	-	1	
Classroom Equipment Upgrades	Classroom Equipment Replacement and Updates		75,000	-		
Danforth Gallery Update	Update Danforth Gallery		300,000	-	1	
Equipment	,	-	36,679	-	1	
	TOTAL	\$	724,433	\$ -	\$ 724,43	
UMF						
Roof Replacement Olsen Student Center	Building Envelope	\$	424,030	Ś -	1	
Roof Replacement Mallett Hall	Building Envelope	7	-	110,236	1	
Equipment	V		-	115,000		
	TOTAL	\$	424,030	,	\$ 649,20	
UMFK			,		, , , , ,	
Cyr Hall Roof Replacement	Remove ane replace roof, adding steel supports	Ś	225,000	s -	1	
Vehicle		-	-	21,408	1	
	TOTAL	Ś	225,000		\$ 246,40	

		T		FY20 Budget		
	mpus - Grounds Paving Upgrades Coal Shed Removal mpus - Safety/Code Campus Access Control Hall Emerson Kitchen Park Kitchen Hall Merriman Kitchen Hall Merriman Kitchen Hall Lounge Abatement Lounge Abatement Hall Structural and cosmetic repairs Structural and cosmetic repairs		E&G	AUXILIARY		TOTAL
UMPI		_	L&U	AONILIANT		IOIAL
UMPI Campus - Houlton Center	Houlton Center Exterior Facade	Ś	40,000	\$		
UMPI Campus - Grounds		7	30,000	7	\exists	
Preble Hall	0 10		67,383		_	
UMPI Campus - Safety/Code			30,000		_	
Emerson Hall			-	25,00	0	
Park Hall		+	_	25,00		
Merriman Hall			_	20,00		
Emerson Hall - Safety/Code		+	_	30,00		
Capital Reserve Funding		-	6,369	19,10		
- Capital Neserve Farianty	TOTAL	Ś	173,752	<u> </u>	_	292,852
USM				1	- -	
Abromson Skywalk Repairs	Structural and cosmetic repairs	Ś	50.000	Ś	-T	
Brooks Egress and Patio Renovation	'	7	420,000	7	╗	
Ice Arena MEP System Upgrades			300,000		_	
Gorham Underground Util Rep	, , ,		50,000		_	
Portland Exterior Lighting Upg			250,000		_	
Steam Distribution System	0, 0 10		50,000		7	
Robie Andrews Transformer Repl	Replace the transformer		438,500		-	
Equipment		-	142,056	22,85	0	
	TOTAL	\$	1,700,556	\$ 22,85	0 \$	1,723,406
UNIVERSITY SERVICES - IT				•		
Learning Management Systems	Learning Management Systems	\$	500,000	\$	-	
Service Management			250,000		7	
Admin Video Conf. Rm System Refresh	Replace approx 8 antiquated FX systems from initial Admin VC deployment		80,000		7	
IP Address Management	IP Address Management / DNS / DHCP Solutions		500,000		7	
IP Telephone Conversion			1,100,000		7	
Optical Network Redundant Power Systems			200,000		7	
Equipment		-	459,800		-	
Capital Reserve Funding		-	741,771		7	
	TOTAL	\$	3,831,571	\$	- \$	3,831,57
	GRAND TOTAL	Ś	12,781,943	\$ 2,809,24	5 \$	15,591,18

Implementation of IT capital projects (excluding equipment) pending return on investment income

UNIVERSITY OF MAINE SYSTEM FY20 Proposed Budget

	FY16 ACTUALS		FY17 ACTUALS	 FY18 ACTUALS	FY19 BASE FY20		FY20 BASE	SE BUDGET CHANGE		
<u>Revenues</u>										
Tuition & Fee Revenue	\$ 270,027,837	\$	280,024,068	\$ 299,124,061	\$	314,176,615	\$	331,118,927	\$ 16,942,312	5.4%
Dining & Residence Revenue	13,754		15,260	15,973		_		-	-	-%
Tuition Waivers/Scholarships	(63,182,042)		(67,944,934)	(80,737,436)		(84,371,144)		(90,894,408)	(6,523,264)	7.7%
Net Student Charges Revenue	206,859,550		212,094,394	 218,402,598	_	229,805,471		240,224,519	10,419,048	4.5%
State Appropriation	179,159,600		189,670,534	188,920,534		188,920,534		198,159,700	9,239,166	4.9%
Indirect Cost Recovery	11,544,913		12,355,375	12,277,559		12,669,319		13,299,319	630,000	5.0%
Investment Income/Gifts	2,742,771		10,475,233	7,005,550		5,464,275		4,428,767	(1,035,508)	-19.0%
Sales/Services/Auxiliary	25,086,745		23,524,325	23,738,945		22,445,361		22,859,681	414,320	1.8%
Total Revenue	425,393,578	_	448,119,869	450,345,186		459,304,960		478,971,986	19,667,026	4.3%
Expenses										
Personnel	307,307,620		313,705,749	324,479,801		342,272,043		354,232,518	11,960,475	3.5%
Fuel & Electricity	13,809,145		13,425,125	13,529,287		15,491,893		16,269,107	777,214	5.0%
Supplies & Services	26,945,662		31,155,067	32,951,197		31,021,607		32,054,919	1,033,312	3.3%
Shared Services	(437,534)		(59,908)	(29,905)		(46,770)		(19,000)	27,770	-59.4%
Travel	6,239,475		6,985,290	6,833,355		6,355,216		6,409,622	54,406	0.9%
Memberships, Contributions & Sponsorships	1,430,987		1,413,096	1,311,537		1,547,972		1,518,633	(29,339)	-1.9%
Maintenance & Alterations	12,845,705		12,415,256	12,882,090		11,669,339		12,071,251	401,912	3.4%
Interest	2,043,184		2,069,763	1,760,344		1,712,822		1,554,864	(157,958)	-9.2%
Depreciation	28,319,014		28,973,453	32,128,069		30,787,221		32,347,555	1,560,334	5.1%
Other Expenses & Transfers	29,890,905		30,335,359	30,517,093		32,521,186		36,048,008	3,526,822	10.8%
Pooled Costs - Benefits	30,114		666,425	(5,806,607)		-		-	-	-%
Pooled Costs - Insurance	(179,036)		(552,367)	(508,697)		-		-	-	-%
Total Operating Expenses & Transfers	428,245,243		440,532,308	450,047,563		473,332,529		492,487,477	19,154,948	4.0%
Operating Increase (Decrease)	\$ (2,851,666)	\$	7,587,561	\$ 297,622	\$	(14,027,569)	\$	(13,515,491)	\$ 512,078	-3.7%
Modified Cash Flow										
Operating Increase (Decrease)	\$ (2,851,666)	\$	7,587,561	\$ 297,622	\$	(14,027,569)	\$	(13,515,491)	\$ 512,078	-3.7%
Add Back Depreciation	28,319,014		28,973,453	32,128,069		30,787,221		32,347,555	1,560,334	5.1%
Less Capital Expenditures	(15,114,947)		(12,511,773)	(8,468,070)		(9,711,654)		(9,403,803)	307,851	-3.2%
Less Capital Reserve Funding	(2,705,868)		(3,337,729)	(5,017,317)		(4,408,061)		(3,378,140)	1,029,921	-23.4%
Less Debt Service Principal	(3,991,181)		(4,908,690)	(4,974,456)		(3,954,187)		(4,052,229)	(98,042)	2.5%
Net Change Before Other Adjustments & Transfers	3,655,352		15,802,822	 13,965,848		(1,314,250)		1,997,892	3,312,142	
Transfer from/(to) Administrative Savings Rsrv	(3,702,250)		(2,792,912)	(2,558,792)		(3,301,740)		(5,002,025)	(1,700,285)	
Transfer from/(to) Budget Stabilization	3,754,942		300,000	(1,724,680)		500,000		1,000,000	500,000	
Net Change Subtotal	3,708,044	_	13,309,911	 9,682,376		(4,115,990)		(2,004,133)	2,111,857	
Other Strategic Transfers from/(to) Reserves	5,025,980		(5,488,601)	(5,400,949)		4,082,963		2,122,341	(1,960,622)	
Net Change in Cash & Reserve Transfers	\$ 8,734,024	\$	7,821,309	\$ 4,281,426	\$	(33,027)	\$	118,208	\$ 151,235	

UNIVERSITY OF MAINE SYSTEM FY20 Proposed Budget AUXILIARY

	_	FY16 ACTUALS	 FY17 ACTUALS	FY18 ACTUALS	FY19 BASE	 FY20 BASE	BUDGET CHANGE	
<u>Revenues</u>								
Tuition & Fee Revenue	\$	1,161,453	\$ 1,126,277	\$ 1,133,614	\$ 1,227,714	\$ 1,227,714	\$ -	0.0%
Dining & Residence Revenue		60,921,844	62,206,592	63,826,481	65,474,989	68,153,741	2,678,752	4.1%
Tuition Waivers/Scholarships		(2,200,728)	(2,346,459)	(2,594,361)	(2,252,044)	(2,326,379)	(74,335)	3.3%
Net Student Charges Revenue		59,882,568	60,986,409	62,365,734	 64,450,659	 67,055,076	 2,604,417	4.0%
State Appropriation		-	-	-	-		-	-%
Indirect Cost Recovery		-	-	-	-	-	-	-%
Investment Income/Gifts		-	-	-	-	-	-	-%
Sales/Services/Auxiliary		17,086,989	18,004,628	17,045,583	17,197,920	15,483,729	[1,714,191)	-10.0%
Total Revenue		76,969,557	78,991,037	79,411,316	81,648,579	82,538,805	890,226	1.1%
Expenses								
Personnel		20,051,212	20,936,420	21,616,272	22,643,349	24,128,435	1,485,086	6.6%
Fuel & Electricity		5,409,897	5,668,679	5,706,171	6,042,885	6,097,572	54,687	0.9%
Supplies & Services		24,309,870	23,641,250	23,191,009	24,880,439	23,320,178	1,560,261)	-6.3%
Shared Services		171,173		-			-	-%
Travel		91,151	123,626	129,660	128,380	124,720	(3,660)	-2.9%
Memberships, Contributions & Sponsorships		16,424	20,357	17,449	20,772	22,597	1,825	8.8%
Maintenance & Alterations		4,724,086	4,655,737	4,409,689	4,417,770	5,034,695	616,925	14.0%
Interest		4,250,075	4,154,325	3,922,381	3,697,167	3,453,982	(243,185)	-6.6%
Depreciation		5,008,140	5,243,267	5,660,872	6,255,906	6,096,604	(159,302)	-2.5%
Other Expenses & Transfers		9,470,898	10,523,963	10,381,614	10,653,524	11,973,865	1,320,341	12.4%
Total Operating Expenses & Transfers		73,502,924	74,967,624	 75,035,116	78,740,192	80,252,648	1,512,456	1.9%
Operating Increase (Decrease)	\$	3,466,633	\$ 4,023,412	\$ 4,376,201	\$ 2,908,387	\$ 2,286,157	\$ (622,230)	-21.4%
Modified Cash Flow								
Operating Increase (Decrease)	\$	3,466,633	\$ 4,023,412	\$ 4,376,201	\$ 2,908,387	\$ 2,286,157	\$ (622,230)	-21.4%
Add Back Depreciation		5,008,140	5,243,267	5,660,872	6,255,906	6,096,604	(159,302)	-2.5%
Less Capital Expenditures		(1,069,782)	(1,391,717)	(2,010,486)	(2,284,887)	(1,652,194)	632,693	-27.7%
Less Capital Reserve Funding		(1,996,045)	(1,538,075)	(1,504,056)	(1,387,413)	(1,157,051)	230,362	-16.6%
Less Debt Service Principal		(4,960,134)	(4,955,928)	(5,162,411)	(5,429,046)	(5,795,428)	(366,382)	6.7%
Net Change Before Other Adjustments & Transfers		448,811	 1,380,960	 1,360,120	62,947	 (221,912)	 (284,859)	
Transfer from/(to) Administrative Savings Rsrv		-	-	-	-	-	-	
Transfer from/(to) Budget Stabilization		247,961	-	-	-	 -		
Net Change Subtotal		696,773	1,380,960	1,360,120	62,947	(221,912)	(284,859)	
Other Strategic Transfers from/(to) Reserves		(6,046)	20,560	-	86,929	209,672	122,743	
Net Change in Cash & Reserve Transfers	\$	690,727	\$ 1,401,520	\$ 1,360,120	\$ 149,876	\$ (12,240)	\$ (162,116)	

UNIVERSITY OF MAINE SYSTEM FY20 Proposed Budget E&G and AUXILIARY

	FY16 ACTUALS		FY17 ACTUALS		FY18 ACTUALS		FY19 BASE		FY20 BASE	BUDGET CH	ANGE
Revenues											
Tuition & Fee Revenue	\$ 271,189,290	\$	281,150,344	\$	300,257,675	\$	315,404,329	\$	332,346,641	\$ 16,942,312	5.4%
Dining & Residence Revenue	60,935,598		62,221,852		63,842,454		65,474,989		68,153,741	2,678,752	4.1%
Tuition Waivers/Scholarships	(65,382,770)		(70,291,394)		(83,331,797)		(86,623,188)		(93,220,787)	(6,597,599)	7.6%
Net Student Charges Revenue	266,742,118	_	273,080,802	_	280,768,332	_	294,256,130	_	307,279,595	13,023,465	4.4%
State Appropriation	179,159,600		189,670,534		188,920,534		188,920,534		198,159,700	9,239,166	4.9%
Indirect Cost Recovery	11,544,913		12,355,375		12,277,559		12,669,319		13,299,319	630,000	5.0%
Investment Income/Gifts	2,742,771		10,475,233		7,005,550		5,464,275		4,428,767	(1,035,508)	-19.0%
Sales/Services/Auxiliary	42,173,734		41,528,952		40,784,528		39,643,281		38,343,410	(1,299,871)	-3.3%
Total Revenue	502,363,135		527,110,906		529,756,502		540,953,539		561,510,791	20,557,252	3.8%
_											
Expenses	227 250 222		224 642 460		245 005 072		264.045.202		270 260 052	42 445 564	2.70/
Personnel	327,358,832		334,642,169		346,096,073		364,915,392		378,360,953	13,445,561	3.7%
Fuel & Electricity	19,219,042		19,093,804		19,235,457		21,534,778		22,366,679	831,901	3.9%
Supplies & Services	51,255,532		54,796,317		56,142,206		55,902,046		55,375,097	(526,949)	-0.9%
Shared Services	(266,361)		(59,908)		(29,905)		(46,770)		(19,000)	27,770	-59.4%
Travel	6,330,626		7,108,916		6,963,015		6,483,596		6,534,342	50,746	0.8%
Memberships, Contributions & Sponsorships	1,447,411		1,433,453		1,328,987		1,568,744		1,541,230	(27,514)	-1.8%
Maintenance & Alterations Interest	17,569,790		17,070,994		17,291,778		16,087,109		17,105,946	1,018,837	6.3%
	6,293,259		6,224,088		5,682,725		5,409,989		5,008,846	(401,143)	-7.4% 3.8%
Depreciation Other Expenses & Transfers	33,327,154		34,216,720		37,788,941		37,043,127		38,444,159	1,401,032	
Other Expenses & Transfers Pooled Costs - Benefits	39,361,803		40,859,322		40,898,707		43,174,710		48,021,873	4,847,163	11.2%
Pooled Costs - Insurance	30,114		666,425		(5,806,607)		-			-	-% -%
	(179,036)	_	(552,367)	_	(508,697)	_				20.667.404	
Total Operating Expenses & Transfers	\$ 614,967	\$	515,499,932 11,610,974	\$	525,082,679	\$	552,072,721	ć	572,740,125	\$ (110,152)	3.7% 1.0%
Operating Increase (Decrease)	\$ 614,967	٥	11,610,974	۶	4,673,823	Ş	(11,119,182)	\$	(11,229,334)	\$ (110,152)	1.0%
Modified Cash Flow											
Operating Increase (Decrease)	\$ 614,967	\$	11,610,974	\$	4,673,823	\$	(11,119,182)	\$	(11,229,334)	\$ (110,152)	1.0%
Add Back Depreciation	33,327,154		34,216,720		37,788,941		37,043,127		38,444,159	1,401,032	3.8%
Less Capital Expenditures	(16,184,729)		(13,903,490)		(10,478,556)		(11,996,541)		(11,055,997)	940,544	-7.8%
Less Capital Reserve Funding	(4,701,913)		(4,875,804)		(6,521,373)		(5,795,474)		(4,535,191)	1,260,283	-21.7%
Less Debt Service Principal	(8,951,316)		(9,864,618)		(10,136,867)		(9,383,233)		(9,847,657)	(464,424)	4.9%
Net Change Before Other Adjustments & Transfers	4,104,163		17,183,782		15,325,968		(1,251,303)		1,775,980	3,027,283	
Transfer from/(to) Administrative Savings Rsrv	(3,702,250)		(2,792,912)		(2,558,792)		(3,301,740)		(5,002,025)	(1,700,285)	
Transfer from/(to) Budget Stabilization	4,002,903		300,000		(1,724,680)		500,000		1,000,000	500,000	
Net Change Subtotal	4,404,817		14,690,871		11,042,496		(4,053,043)		(2,226,045)	1,826,998	
Other Strategic Transfers from/(to) Reserves	5,019,933		(5,468,041)		(5,400,949)		4,169,892		2,332,013	(1,837,879)	
Net Change in Cash & Reserve Transfers	\$ 9,424,750	\$	9,222,829	\$	5,641,546	\$	116,849	\$	105,968	\$ (10,881)	

UNIVERSITY OF MAINE FY20 Proposed Budget

	FY16 A	TUALS	FY17 ACTUALS		 FY18 ACTUALS	_	FY19 BASE	_	FY20 BASE	BUDGET CHANGE		
Revenues												
Tuition & Fee Revenue	\$ 141,74	12,698	\$	151,251,577	\$ 164,689,431	\$	172,126,005	\$	183,354,836	\$ 11,228,831	6.5%	
Dining & Residence Revenue		_		_	-		-		-	-	-%	
Tuition Waivers/Scholarships	(41,68	86,566)		(43,672,295)	(51,600,182)		(54,516,538)		(60,045,276)	(5,528,738)	10.1%	
Net Student Charges Revenue	100,05	6,132	_	107,579,282	 113,089,249	_	117,609,467	_	123,309,560	5,700,093	4.8%	
State Appropriation	77,52	0,337		82,897,718	83,854,217		83,592,496		84,071,731	479,235	0.6%	
Indirect Cost Recovery	7,38	3,843		8,092,127	8,185,826		8,371,276		8,971,276	600,000	7.2%	
Investment Income/Gifts	19	9,724		946,066	934,075		919,938		899,996	(19,942)	-2.2%	
Sales/Services/Auxiliary	16,04	19,423		16,266,646	16,552,212		16,025,902		16,180,252	154,350	1.0%	
Total Revenue	201,20	9,459		215,781,838	222,615,579		226,519,079		233,432,815	6,913,736	3.1%	
<u>Expenses</u>												
Personnel	131,12	5,805		132,436,501	137,108,121		144,843,481		150,090,693	5,247,212	3.6%	
Fuel & Electricity		3,516		8,666,869	8,316,413		10,139,804		10,380,876	241,072	2.4%	
Supplies & Services	12,89	7,120		15,830,653	17,513,519		16,375,080		16,249,144	(125,936)	-0.8%	
Shared Services	11,19	7,526		17,215,453	18,136,216		18,888,449		19,772,773	884,324	4.7%	
Travel	3,0:	8,676		3,439,464	3,212,449		2,807,728		2,861,147	53,419	1.9%	
Memberships, Contributions & Sponsorships	40	14,708		313,451	363,537		421,099		426,947	5,848	1.4%	
Maintenance & Alterations	4,83	6,146		4,771,042	5,599,137		4,542,256		4,861,237	318,981	7.0%	
Interest	72	28,266		716,741	590,188		587,460		549,222	(38,238)	-6.5%	
Depreciation	13,52	5,863		13,637,811	15,522,305		13,788,287		15,946,215	2,157,928	15.7%	
Other Expenses & Transfers	19,10	7,023		22,273,887	21,837,961		21,647,741		21,287,781	(359,960)	-1.7%	
Total Operating Expenses & Transfers	205,37	4,649		219,301,871	228,199,845		234,041,385		242,426,035	8,384,650	3.6%	
Operating Increase (Decrease)	\$ (4,16	55,190)	\$	(3,520,033)	\$ (5,584,266)	\$	(7,522,306)	\$	(8,993,220)	\$ (1,470,914)	19.6%	
Modified Cash Flow												
Operating Increase (Decrease)	\$ (4,16	5,190)	\$	(3,520,033)	\$ (5,584,266)	\$	(7,522,306)	\$	(8,993,220)	\$ (1,470,914)	19.6%	
Add Back Depreciation	13,52	5,863		13,637,811	15,522,305		13,788,287		15,946,215	2,157,928	15.7%	
Less Capital Expenditures	(5,93	1,012)		(5,834,413)	(5,061,787)		(5,186,064)		(5,691,193)	(505,129)	9.7%	
Less Capital Reserve Funding	(1,20	00,000)		(200,000)	(1,950,000)		-		-	-	-%	
Less Debt Service Principal	(1,34	6,060)		(1,352,002)	(1,451,051)		(1,079,917)		(1,261,802)	(181,885)	16.8%	
Net Change Before Other Adjustments & Transfers	88	3,601		2,731,364	1,475,201		-		-	-		
Transfer from/(to) Administrative Savings Rsrv		-		-	-		-		-	-		
Transfer from/(to) Budget Stabilization				-	-		-		-			
Net Change Subtotal	88	3,601		2,731,364	1,475,201		-		-	-		
Other Strategic Transfers from/(to) Reserves	24	10,000		228,909	300,251		-		-	-		
Net Change in Cash & Reserve Transfers	\$ 1,12	23,601	\$	2,960,273	\$ 1,775,452	\$	-	\$	-	\$ -		

UNIVERSITY OF MAINE FY20 Proposed Budget AUXILIARY

	FY	16 ACTUALS	FY17 ACTUALS		FY18 ACTUALS	 FY19 BASE	 FY20 BASE	BUDGET CHANGE		
<u>Revenues</u>										
Tuition & Fee Revenue	\$	-	\$	-	\$ -	\$ -	\$ -	\$	-	-%
Dining & Residence Revenue		36,783,057		37,644,882	39,278,604	39,188,740	40,459,908	1,2	71,168	3.2%
Tuition Waivers/Scholarships		(1,066,854)		(1,080,721)	(1,359,070)	(1,212,967)	(1,297,114)		(84,147)	6.9%
Net Student Charges Revenue		35,716,203		36,564,162	 37,919,534	 37,975,773	39,162,794	1,1	87,021	3.1%
State Appropriation		-		-	-	-	-		-	-%
Indirect Cost Recovery		-		-	-	-	-		-	-%
Investment Income/Gifts		-		-	-	-	-		-	-%
Sales/Services/Auxiliary		12,868,480		13,373,867	12,897,509	 13,204,381	13,222,014		17,633	0.1%
Total Revenue		48,584,683		49,938,029	50,817,042	51,180,154	52,384,808	1,2	204,654	2.4%
Expenses										
Personnel		15,220,423		16,223,991	16,814,322	17,581,341	18,661,888	1.0	080,547	6.1%
Fuel & Electricity		3,372,228		3,507,634	3,752,586	3,731,834	3,882,185		150,351	4.0%
Supplies & Services		12,817,481		12,100,281	11,756,760	13,204,351	12,359,239		345,112)	-6.4%
Shared Services		-		_		-	-			-%
Travel		56,066		81,571	78,265	102,455	97,995		(4,460)	-4.4%
Memberships, Contributions & Sponsorships		9,641		15,264	11,911	12,061	15,161		3,100	25.7%
Maintenance & Alterations		2,924,209		3,116,135	3,244,677	3,225,576	3,633,383	4	107,807	12.6%
Interest		2,168,975		2,113,172	1,993,097	1,876,652	1,757,274	(1	19,378)	-6.4%
Depreciation		3,212,192		3,361,487	3,476,156	3,999,166	3,657,981	(3	341,185)	-8.5%
Other Expenses & Transfers		5,802,460		6,327,126	6,344,470	5,495,924	6,769,280	1,2	73,356	23.2%
Total Operating Expenses & Transfers		45,583,675		46,846,661	 47,472,245	49,229,360	50,834,386	1,6	05,026	3.3%
Operating Increase (Decrease)	\$	3,001,008	\$	3,091,367	\$ 3,344,797	\$ 1,950,794	\$ 1,550,422	\$ (4	100,372)	-20.5%
Modified Cash Flow										
Operating Increase (Decrease)	\$	3,001,008	\$	3,091,367	\$ 3,344,797	\$ 1,950,794	\$ 1,550,422	\$ (4	100,372)	-20.5%
Add Back Depreciation		3,212,192		3,361,487	3,476,156	3,999,166	3,657,981	(3	341,185)	-8.5%
Less Capital Expenditures		(871,740)		(765,867)	(1,221,759)	(1,978,747)	(1,282,700)	6	96,047	-35.2%
Less Capital Reserve Funding		(1,975,000)		(1,538,075)	(1,504,056)	(1,368,313)	(1,137,951)	2	230,362	-16.8%
Less Debt Service Principal		(2,473,400)		(2,410,000)	(2,495,700)	(2,602,900)	(2,787,752)	(1	.84,852)	7.1%
Net Change Before Other Adjustments & Transfers		893,060		1,738,913	 1,599,439	 -	-		-	
Transfer from/(to) Administrative Savings Rsrv		-		-	-	-	-		-	
Transfer from/(to) Budget Stabilization		-		-	-	-	-		-	
Net Change Subtotal		893,060		1,738,913	 1,599,439	 -	-		-	
Other Strategic Transfers from/(to) Reserves		-		26,606	-	-	-		-	
Net Change in Cash & Reserve Transfers	\$	893,060	\$	1,765,519	\$ 1,599,439	\$ -	\$ -	\$	-	

UNIVERSITY OF MAINE FY20 Proposed Budget E&G and AUXILIARY

	FY16 ACTU	JALS	FY17 ACTUALS		FY18 ACTUALS		FY19 BASE		FY20 BASE	BUDGET CHANGE	
<u>Revenues</u>											
Tuition & Fee Revenue	\$ 141,742,0	598	\$ 151,251,577	\$	164,689,431	\$	172,126,005	\$	183,354,836	\$ 11,228,831	6.5%
Dining & Residence Revenue	36,783,0	057	37,644,882		39,278,604		39,188,740		40,459,908	1,271,168	3.2%
Tuition Waivers/Scholarships	(42,753,4	120)	(44,753,016)		(52,959,252)		(55,729,505)		(61,342,390)	(5,612,885)	10.1%
Net Student Charges Revenue	135,772,3	335	144,143,443		151,008,783	_	155,585,240	_	162,472,354	6,887,114	4.4%
State Appropriation	77,520,	337	82,897,718		83,854,217		83,592,496		84,071,731	479,235	0.6%
Indirect Cost Recovery	7,383,	343	8,092,127		8,185,826		8,371,276		8,971,276	600,000	7.2%
Investment Income/Gifts	199,	724	946,066		934,075		919,938		899,996	(19,942)	-2.2%
Sales/Services/Auxiliary	28,917,	903	29,640,513		29,449,720		29,230,283		29,402,266	171,983	0.6%
Total Revenue	249,794,	142	265,719,867		273,432,622		277,699,233		285,817,623	8,118,390	2.9%
Expenses											
Personnel	146,346,2	228	148,660,492		153,922,443		162,424,822		168,752,581	6,327,759	3.9%
Fuel & Electricity	11,925,		12,174,503		12,068,999		13,871,638		14,263,061	391,423	2.8%
Supplies & Services	25,714,		27,930,934		29,270,279		29,579,431		28,608,383	(971,048)	-3.3%
Shared Services	11,197,		17,215,453		18,136,216		18,888,449		19,772,773	884,324	4.7%
Travel	3,074,	742	3,521,035		3,290,714		2,910,183		2,959,142	48,959	1.7%
Memberships, Contributions & Sponsorships	414,	349	328,715		375,448		433,160		442,108	8,948	2.1%
Maintenance & Alterations	7,740,	356	7,887,177		8,843,814		7,767,832		8,494,620	726,788	9.4%
Interest	2,897,	241	2,829,913		2,583,286		2,464,112		2,306,496	(157,616)	-6.4%
Depreciation	16,738,0	055	16,999,298		18,998,461		17,787,453		19,604,196	1,816,743	10.2%
Other Expenses & Transfers	24,909,	183	28,601,013		28,182,431		27,143,665		28,057,061	913,396	3.4%
Total Operating Expenses & Transfers	250,958,	324	266,148,533		275,672,090		283,270,745		293,260,421	9,989,676	3.5%
Operating Increase (Decrease)	\$ (1,164,	181)	\$ (428,666)	\$	(2,239,468)	\$	(5,571,512)	\$	(7,442,798)	\$ (1,871,286)	33.6%
Modified Cash Flow											
Operating Increase (Decrease)	\$ (1,164,	181)	\$ (428,666)	\$	(2,239,468)	\$	(5,571,512)	\$	(7,442,798)	\$ (1,871,286)	33.6%
Add Back Depreciation	16,738,0	055	16,999,298		18,998,461		17,787,453		19,604,196	1,816,743	10.2%
Less Capital Expenditures	(6,802,	752)	(6,600,280)		(6,283,546)		(7,164,811)		(6,973,893)	190,918	-2.7%
Less Capital Reserve Funding	(3,175,	000)	(1,738,075)		(3,454,056)		(1,368,313)		(1,137,951)	230,362	-16.8%
Less Debt Service Principal	(3,819,	160)	(3,762,002)		(3,946,751)		(3,682,817)		(4,049,554)	(366,737)	10.0%
Net Change Before Other Adjustments & Transfers	1,776,	561	4,470,276		3,074,640		-		-	-	
Transfer from/(to) Administrative Savings Rsrv		-	-		-		-		-	-	
Transfer from/(to) Budget Stabilization		-	-		-		-		-		
Net Change Subtotal	1,776,	561	4,470,276		3,074,640		-		-	-	
Other Strategic Transfers from/(to) Reserves	240,	000	255,516		300,251		-		-	-	
Net Change in Cash & Reserve Transfers	\$ 2,016,	561	\$ 4,725,792	\$	3,374,891	\$	-	\$	-	\$ -	

UNIVERSITY OF MAINE AT MACHIAS FY20 Proposed Budget

	 FY16 ACTUALS	FY17 ACTUALS		FY18 ACTUALS			FY19 BASE	 FY20 BASE	BUDGET CHANGE		
<u>Revenues</u>											
Tuition & Fee Revenue	\$ 4,668,510	\$	4,744,825	\$	4,420,317	\$	4,621,822	\$ 4,102,676	\$	(519,146)	-11.2%
Dining & Residence Revenue	-		1,368		1,614		-	-		-	-%
Tuition Waivers/Scholarships	(1,454,003)		(1,404,221)		(1,241,881)		(1,168,193)	(1,168,193)		-	0.0%
Net Student Charges Revenue	3,214,507		3,341,972		3,180,050	_	3,453,629	 2,934,483		(519,146)	-15.0%
State Appropriation	4,291,396		4,482,351		5,252,559		5,205,449	5,366,231		160,782	3.1%
Indirect Cost Recovery	92,657		60,677		85,274		60,000	60,000		-	0.0%
Investment Income/Gifts	-		-		-		-	-		-	-%
Sales/Services/Auxiliary	1,357,099		297,184		300,891		298,667	284,597		(14,070)	-4.7%
Total Revenue	8,955,659		8,182,184		8,818,775		9,017,745	8,645,311		(372,434)	-4.1%
Expenses											
Personnel	5,732,440		5,674,162		5,413,918		5,660,015	5,707,184		47,169	0.8%
Fuel & Electricity	326,544		271,755		288,057		364,200	373,200		9,000	2.5%
Supplies & Services	602,868		629,192		551,860		629,729	647,175		17,446	2.8%
Shared Services	951,856		1,211,319		1,191,534		1,250,590	1,261,736		11,146	0.9%
Travel	196,164		157,718		235,325		245,642	253,008		7,366	3.0%
Memberships, Contributions & Sponsorships	41,257		28,397		30,509		41,360	28,975		(12,385)	-29.9%
Maintenance & Alterations	148,153		97,877		78,023		142,804	152,632		9,828	6.9%
Interest	40,450		70,661		64,325		60,186	55,357		(4,829)	-8.0%
Depreciation	423,640		476,513		505,919		493,173	490,258		(2,915)	-0.6%
Other Expenses & Transfers	1,382,720		375,542		385,071		511,047	533,496		22,449	4.4%
Total Operating Expenses & Transfers	9,846,092		8,993,137		8,744,541	_	9,398,746	 9,503,021		104,275	1.1%
Operating Increase (Decrease)	\$ (890,433)	\$	(810,954)	\$	74,234	\$	(381,001)	\$ (857,710)	\$	(476,709)	125.1%
Modified Cash Flow											
Operating Increase (Decrease)	\$ (890,433)	\$	(810,954)	\$	74,234	\$	(381,001)	\$ (857,710)	\$	(476,709)	125.1%
Add Back Depreciation	423,640		476,513		505,919		493,173	490,258		(2,915)	-0.6%
Less Capital Expenditures	(33,159)		(11,744)		(231)		(6,500)	(11,408)		(4,908)	75.5%
Less Capital Reserve Funding	(226,397)		(789,870)		-		-	-		-	-%
Less Debt Service Principal	(58,105)		(90,099)		(107,558)		(105,672)	(115,417)		(9,745)	9.2%
Net Change Before Other Adjustments & Transfers	 (784,453)		(1,226,154)		472,364		-	(494,277)		(494,277)	
Transfer from/(to) Administrative Savings Rsrv	-		1,000,000		-		-	-		-	
Transfer from/(to) Budget Stabilization	729,942		-		-		-	-		-	
Net Change Subtotal	 (54,511)		(226,154)		472,364		-	 (494,277)		(494,277)	
Other Strategic Transfers from/(to) Reserves	-		6,332		196		-	494,277		494,277	
Net Change in Cash & Reserve Transfers	\$ (54,511)	\$	(219,822)	\$	472,560	\$	-	\$ -	\$	-	

UNIVERSITY OF MAINE AT MACHIAS FY20 Proposed Budget AUXILIARY

	F	Y16 ACTUALS	FY17 ACTUALS	 Y18 ACTUALS		FY19 BASE		FY20 BASE		BUDGET CH	ANGE
<u>Revenues</u>											
Tuition & Fee Revenue	\$		\$	\$	\$	_	\$		\$	_	-%
Dining & Residence Revenue		1,807,664	1,902,246	1,532,605		1,718,766		1,623,388		(95,378)	-5.5%
Tuition Waivers/Scholarships		(47,586)	(74,624)	(69,024)		(62,440)		(45,250)		17,190	-27.5%
Net Student Charges Revenue		1,760,078	 1,827,622	 1,463,581		1,656,326	_	1,578,138	_	(78,188)	-4.7%
State Appropriation			-	-		-		-		-	-%
Indirect Cost Recovery			-	-		-		-		-	-%
Investment Income/Gifts		-	-	-		-		-		-	-%
Sales/Services/Auxiliary		69,890	91,488	74,151		47,500		49,315		1,815	3.8%
Total Revenue		1,829,968	1,919,110	1,537,732		1,703,826		1,627,453		(76,373)	-4.5%
Expenses											
Personnel		240,021	216,826	230,166		243,893		250,402		6,509	2.7%
Fuel & Electricity		137,521	199,879	212,663		203,656		207,580		3,924	1.9%
Supplies & Services		934,923	805,064	722,374		767,077		735,405		(31,672)	-4.1%
Shared Services										-	-%
Travel		1,200	3,761	2,111		700		500		(200)	-28.6%
Memberships, Contributions & Sponsorships		-	_	60		100		100		-	0.0%
Maintenance & Alterations		75,706	52,549	67,770		98,475		68,450		(30,025)	-30.5%
Interest		110,472	105,041	96,883		92,975		86,705		(6,270)	-6.7%
Depreciation		204,550	209,031	232,410		255,919		241,339		(14,580)	-5.7%
Other Expenses & Transfers		85,174	94,286	69,886		79,877		59,727		(20,150)	-25.2%
Total Operating Expenses & Transfers		1,789,567	1,686,437	 1,634,322		1,742,672		1,650,208		(92,464)	-5.3%
Operating Increase (Decrease)	\$	40,401	\$ 232,673	\$ (96,589)	\$	(38,846)	\$	(22,755)	\$	16,091	-41.4%
Modified Cash Flow											
Operating Increase (Decrease)	\$	40,401	\$ 232,673	\$ (96,589)	\$	(38,846)	\$	(22,755)	\$	16,091	-41.4%
Add Back Depreciation		204,550	209,031	232,410		255,919		241,339		(14,580)	-5.7%
Less Capital Expenditures		-	(42,639)	(40,101)		-		-		-	-%
Less Capital Reserve Funding		(21,045)	-	-		-		-		-	-%
Less Debt Service Principal		(169,395)	(179,363)	(197,067)		(217,073)		(218,584)		(1,511)	0.7%
Net Change Before Other Adjustments & Transfers		54,511	 219,702	(101,348)		-		-		-	
Transfer from/(to) Administrative Savings Rsrv		-	-	-		-		-		-	
Transfer from/(to) Budget Stabilization		-	 -	 -		-		-		-	
Net Change Subtotal		54,511	 219,702	(101,348)	_	-	_	-	_	-	
Other Strategic Transfers from/(to) Reserves		-	-	-		-		-		-	
Net Change in Cash & Reserve Transfers	\$	54,511	\$ 219,702	\$ (101,348)	\$	-	\$	-	\$		

UNIVERSITY OF MAINE AT MACHIAS FY20 Proposed Budget E&G and AUXILIARY

	 FY16 ACTUALS		FY17 ACTUALS		FY18 ACTUALS	FY19 BASE		FY20 BASE	BUDGET CH	ANGE
<u>Revenues</u>										
Tuition & Fee Revenue	\$ 4,668,510	\$	4,744,825	\$	4,420,317	\$ 4,621,822	\$	4,102,676	\$ (519,146)	-11.2%
Dining & Residence Revenue	1,807,664		1,903,614		1,534,219	1,718,766		1,623,388	(95,378)	-5.5%
Tuition Waivers/Scholarships	(1,501,589)		(1,478,844)		(1,310,905)	(1,230,633)		(1,213,443)	17,190	-1.4%
Net Student Charges Revenue	4,974,585		5,169,594		4,643,631	5,109,955		4,512,621	(597,334)	-11.7%
State Appropriation	4,291,396		4,482,351		5,252,559	5,205,449		5,366,231	160,782	3.1%
Indirect Cost Recovery	92,657		60,677		85,274	60,000		60,000	-	0.0%
Investment Income/Gifts	-		-		-	-		-	-	-%
Sales/Services/Auxiliary	1,426,989		388,671		375,042	346,167		333,912	(12,255)	-3.5%
Total Revenue	10,785,627	_	10,101,293	_	10,356,507	10,721,571	_	10,272,764	(448,807)	-4.2%
Expenses										
Personnel	5,972,461		5,890,988		5,644,084	5,903,908		5,957,586	53,678	0.9%
Fuel & Electricity	464,065		471,634		500,720	567,856		580,780	12,924	2.3%
Supplies & Services	1,537,791		1,434,256		1,274,233	1,396,806		1,382,580	(14,226)	-1.0%
Shared Services	951,856		1,211,319		1,191,534	1,250,590		1,261,736	11,146	0.9%
Travel	197,363		161,480		237,435	246,342		253,508	7,166	2.9%
Memberships, Contributions & Sponsorships	41,257		28,397		30,569	41,460		29,075	(12,385)	-29.9%
Maintenance & Alterations	223,859		150,426		145,793	241,279		221,082	(20,197)	-8.4%
Interest	150,922		175,702		161,208	153,161		142,062	(11,099)	-7.2%
Depreciation	628,190		685,544		738,329	749,092		731,597	(17,495)	-2.3%
Other Expenses & Transfers	1,467,894		469,828		454,956	590,924		593,223	2,299	0.4%
Total Operating Expenses & Transfers	 11,635,658		10,679,574		10,378,863	11,141,418		11,153,229	 11,811	0.1%
Operating Increase (Decrease)	\$ (850,032)	\$	(578,281)	\$	(22,356)	\$ (419,847)	\$	(880,465)	\$ (460,618)	109.7%
Modified Cash Flow										
Operating Increase (Decrease)	\$ (850,032)	\$	(578,281)	\$	(22,356)	\$ (419,847)	\$	(880,465)	\$ (460,618)	109.7%
Add Back Depreciation	628,190		685,544		738,329	749,092		731,597	(17,495)	-2.3%
Less Capital Expenditures	(33,159)		(54,383)		(40,332)	(6,500)		(11,408)	(4,908)	75.5%
Less Capital Reserve Funding	(247,442)		(789,870)		-	-		-	-	-%
Less Debt Service Principal	(227,500)		(269,462)		(304,625)	(322,745)		(334,001)	(11,256)	3.5%
Net Change Before Other Adjustments & Transfers	(729,942)		(1,006,452)		371,016	-		(494,277)	(494,277)	
Transfer from/(to) Administrative Savings Rsrv	-		1,000,000		-	-		-	-	
Transfer from/(to) Budget Stabilization	729,942		-		-	 -		-	 -	
Net Change Subtotal	-		(6,452)		371,016	-		(494,277)	(494,277)	
Other Strategic Transfers from/(to) Reserves	-		6,332		196	-		494,277	494,277	
Net Change in Cash & Reserve Transfers	\$ -	\$	(120)	\$	371,212	\$ -	\$	-	\$ -	

UNIVERSITY OF MAINE AT AUGUSTA FY20 Proposed Budget

		FY16 ACTUALS	_	FY17 ACTUALS	 FY18 ACTUALS	 FY19 BASE	 FY20 BASE	BUDGET CH	ANGE
<u>Revenues</u>									
Tuition & Fee Revenue	\$	23,098,073	\$	20,924,255	\$ 20,370,378	\$ 21,317,704	\$ 22,741,777	\$ 1,424,073	6.7%
Dining & Residence Revenue						-		-	-%
Tuition Waivers/Scholarships		(2,868,345)		(2,691,731)	(3,431,893)	(3,183,657)	(3,658,646)	(474,989)	14.9%
Net Student Charges Revenue		20,229,729		18,232,524	16,938,485	 18,134,047	 19,083,131	949,084	5.2%
State Appropriation		15,417,697		16,803,125	17,193,899	17,428,401	18,366,360	937,959	5.4%
Indirect Cost Recovery		172,342		159,416	156,968	160,885	160,885	-	0.0%
Investment Income/Gifts		-		-	-	-	-	-	-%
Sales/Services/Auxiliary		470,572		549,466	476,336	386,681	353,628	(33,053)	-8.5%
Total Revenue		36,290,340		35,744,531	34,765,688	36,110,014	37,964,004	1,853,990	5.1%
<u>Expenses</u>									
Personnel		24,603,321		24,600,452	24,726,796	26,661,634	27,079,077	417,443	1.6%
Fuel & Electricity		714,751		615,403	694,926	731,723	717,696	(14,027)	-1.9%
Supplies & Services		1,583,782		1,788,560	1,602,182	1,760,629	1,695,125	(65,504)	-3.7%
Shared Services		3,783,841		4,476,201	4,608,719	4,871,738	4,911,831	40,093	0.8%
Travel		359,104		357,547	344,505	321,587	327,191	5,604	1.7%
Memberships, Contributions & Sponsorships		79,251		52,206	76,265	80,816	91,566	10,750	13.3%
Maintenance & Alterations		472,449		445,018	496,396	475,028	475,847	819	0.2%
Interest		49,320		42,550	34,540	30,221	27,392	(2,829)	-9.4%
Depreciation		1,477,405		1,555,419	1,703,223	1,730,680	1,700,928	(29,752)	-1.7%
Other Expenses & Transfers		2,073,697		2,151,204	2,031,111	2,780,022	2,739,757	(40,265)	-1.4%
Total Operating Expenses & Transfers		35,196,921		36,084,559	36,318,663	39,444,078	39,766,410	322,332	0.8%
Operating Increase (Decrease)	\$	1,093,419	\$	(340,028)	\$ (1,552,974)	\$ (3,334,064)	\$ (1,802,406)	\$ 1,531,658	-45.9%
Modified Cash Flow									
Operating Increase (Decrease)	\$	1,093,419	\$	(340,028)	\$ (1,552,974)	\$ (3,334,064)	\$ (1,802,406)	\$ 1,531,658	-45.9%
Add Back Depreciation		1,477,405		1,555,419	1,703,223	1,730,680	1,700,928	(29,752)	-1.7%
Less Capital Expenditures		(980,815)		(724,844)	(821,025)	(980,319)	(724,433)	255,886	-26.1%
Less Capital Reserve Funding		-		-	-	-	-	-	-%
Less Debt Service Principal		(216,674)		(278,035)	 (286,330)	 (328,376)	(377,153)	(48,777)	14.9%
Net Change Before Other Adjustments & Transfers	<u> </u>	1,373,335		212,511	 (957,105)	(2,912,079)	(1,203,064)	1,709,015	
Transfer from/(to) Administrative Savings Rsrv		-		-	-	-	-	-	
Transfer from/(to) Budget Stabilization		-		-	 -	 -	-		
Net Change Subtotal		1,373,335		212,511	(957,105)	(2,912,079)	(1,203,064)	1,709,015	
Other Strategic Transfers from/(to) Reserves		544,651		405,923	(12,902)	2,864,084	1,203,064	(1,661,020)	
Net Change in Cash & Reserve Transfers	\$	1,917,986	\$	618,435	\$ (970,008)	\$ (47,995)	\$ -	\$ 47,995	

UNIVERSITY OF MAINE AT AUGUSTA FY20 Proposed Budget AUXILIARY

	F	Y16 ACTUALS	FY17 ACTUALS	 Y18 ACTUALS	 FY19 BASE	 FY20 BASE		BUDGET CH	IANGE
Revenues									
Tuition & Fee Revenue	\$	-	\$ -	\$ -	\$ -	\$	\$	-	-%
Dining & Residence Revenue		(156)	5,511	6,794	7,637	256,413		248,776	3257.5%
Tuition Waivers/Scholarships		-	-	-	-	-		-	-%
Net Student Charges Revenue		(156)	5,511	6,794	7,637	256,413		248,776	3257.5%
State Appropriation		-	-	-	-	-		-	-%
Indirect Cost Recovery		-	-	-	-	-		-	-%
Investment Income/Gifts		-	-	-	-	-		-	-%
Sales/Services/Auxiliary		1,248,386	1,160,982	1,040,118	1,086,000	1,119,000		33,000	3.0%
Total Revenue		1,248,230	1,166,494	1,046,912	1,093,637	 1,375,413		281,776	25.8%
Expenses									
Personnel		283,751	277,243	281,370	283,552	377,750		94,198	33.2%
Fuel & Electricity		709	694	723	1,000	1,000		3 1,230	0.0%
Supplies & Services		876,398	826,060	728,808	750,450	785,108		34,658	4.6%
Shared Services		-	-	-	-	-		-	-%
Travel		147	26		450	450		_	0.0%
Memberships, Contributions & Sponsorships		820	885	685	900	700		(200)	-22.2%
Maintenance & Alterations		5,876	9,604	3,880	9,137	9,137			0.0%
Interest		2,665	2,284	1,882	1,468	1,141		(327)	-22.3%
Depreciation		16,682	15,986	17,335	17,662	16,769		(893)	-5.1%
Other Expenses & Transfers		110,491	112,607	108,810	122,705	398,615		275,910	224.9%
Total Operating Expenses & Transfers		1,297,538	1,245,388	1,143,493	 1,187,324	 1,590,670	_	403,346	34.0%
Operating Increase (Decrease)	\$	(49,308)	\$ (78,895)	\$ (96,580)	\$ (93,687)	\$ (215,257)	\$	(121,570)	129.8%
Modified Cash Flow									
Operating Increase (Decrease)	\$	(49,308)	\$ (78,895)	\$ (96,580)	\$ (93,687)	\$ (215,257)	\$	(121,570)	129.8%
Add Back Depreciation		16,682	15,986	17,335	17,662	16,769		(893)	-5.1%
Less Capital Expenditures		_	(22,399)	(5,000)	-	-		-	-%
Less Capital Reserve Funding		-	-	-	-	-		-	-%
Less Debt Service Principal		(9,506)	(10,066)	(10,345)	(10,904)	(11,184)		(280)	2.6%
Net Change Before Other Adjustments & Transfers		(42,132)	(95,373)	(94,591)	(86,929)	(209,672)		(122,743)	
Transfer from/(to) Administrative Savings Rsrv		-	-	-	-	-		-	
Transfer from/(to) Budget Stabilization		-	-	-	-	-		-	
Net Change Subtotal		(42,132)	 (95,373)	(94,591)	 (86,929)	 (209,672)		(122,743)	
Other Strategic Transfers from/(to) Reserves		-	-	-	86,929	209,672		122,743	
Net Change in Cash & Reserve Transfers	\$	(42,132)	\$ (95,373)	\$ (94,591)	\$ -	\$ -	\$		

UNIVERSITY OF MAINE AT AUGUSTA FY20 Proposed Budget E&G and AUXILIARY

	FY16 ACTUALS	 FY17 ACTUALS	 FY18 ACTUALS	 FY19 BASE	 FY20 BASE	BUDGET CH	IANGE
<u>Revenues</u>							
Tuition & Fee Revenue	\$ 23,098,073	\$ 20,924,255	\$ 20,370,378	\$ 21,317,704	\$ 22,741,777	\$ 1,424,073	6.7%
Dining & Residence Revenue	(156)	5,511	6,794	7,637	256,413	248,776	3257.5%
Tuition Waivers/Scholarships	(2,868,345)	(2,691,731)	(3,431,893)	(3,183,657)	(3,658,646)	(474,989)	14.9%
Net Student Charges Revenue	20,229,572	18,238,035	16,945,279	 18,141,684	 19,339,544	1,197,860	6.6%
State Appropriation	15,417,697	16,803,125	17,193,899	17,428,401	18,366,360	937,959	5.4%
Indirect Cost Recovery	172,342	159,416	156,968	160,885	160,885	-	0.0%
Investment Income/Gifts	-	-	-	-	-	-	-%
Sales/Services/Auxiliary	1,718,958	1,710,448	1,516,455	1,472,681	1,472,628	(53)	0.0%
Total Revenue	37,538,570	36,911,025	35,812,601	37,203,651	39,339,417	2,135,766	5.7%
Expenses							
Personnel	24,887,071	24,877,695	25,008,166	26,945,186	27,456,827	511,641	1.9%
Fuel & Electricity	715,459	616,097	695,649	732,723	718,696	(14,027)	-1.9%
Supplies & Services	2,460,180	2,614,619	2,330,990	2,511,079	2,480,233	(30,846)	-1.2%
Shared Services	3,783,841	4,476,201	4,608,719	4,871,738	4,911,831	40,093	0.8%
Travel	359,251	357,573	344,505	322,037	327,641	5,604	1.7%
Memberships, Contributions & Sponsorships	80,071	53,091	76,950	81,716	92,266	10,550	12.9%
Maintenance & Alterations	478,325	454,622	500,276	484,165	484,984	819	0.2%
Interest	51,985	44,834	36,422	31,689	28,533	(3,156)	-10.0%
Depreciation	1,494,088	1,571,405	1,720,558	1,748,342	1,717,697	(30,645)	-1.8%
Other Expenses & Transfers	2,184,188	2,263,811	2,139,921	2,902,727	3,138,372	235,645	8.1%
Total Operating Expenses & Transfers	36,494,459	37,329,948	37,462,155	 40,631,402	 41,357,080	725,678	1.8%
Operating Increase (Decrease)	\$ 1,044,111	\$ (418,923)	\$ (1,649,554)	\$ (3,427,751)	\$ (2,017,663)	\$ 1,410,088	-41.1%
Modified Cash Flow							
Operating Increase (Decrease)	\$ 1,044,111	\$ (418,923)	\$ (1,649,554)	\$ (3,427,751)	\$ (2,017,663)	\$ 1,410,088	-41.1%
Add Back Depreciation	1,494,088	1,571,405	1,720,558	1,748,342	1,717,697	(30,645)	-1.8%
Less Capital Expenditures	(980,815)	(747,243)	(826,025)	(980,319)	(724,433)	255,886	-26.1%
Less Capital Reserve Funding	-	-	-	-	-	-	-%
Less Debt Service Principal	 (226,181)	 (288,101)	 (296,675)	 (339,280)	 (388,337)	(49,057)	14.5%
Net Change Before Other Adjustments & Transfers	 1,331,203	117,138	(1,051,696)	 (2,999,008)	 (1,412,736)	1,586,272	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	-	-	-	 -	 -		
Net Change Subtotal	1,331,203	117,138	(1,051,696)	(2,999,008)	(1,412,736)	1,586,272	
Other Strategic Transfers from/(to) Reserves	544,651	405,923	(12,902)	2,951,013	1,412,736	(1,538,277)	
Net Change in Cash & Reserve Transfers	\$ 1,875,854	\$ 523,061	\$ (1,064,598)	\$ (47,995)	\$ -	\$ 47,995	

UNIVERSITY OF MAINE AT FARMINGTON FY20 Proposed Budget

		FY16 ACTUALS		FY17 ACTUALS		FY18 ACTUALS		FY19 BASE		FY20 BASE		BUDGET CH	ANGE
Revenues													
Tuition & Fee Revenue	\$	18,700,907	\$	18,920,211	\$	19,365,705	\$	21,401,214	\$	21,378,659	\$	(22,555)	-0.1%
Dining & Residence Revenue		_		_		-		-		-		-	-%
Tuition Waivers/Scholarships		(3,840,710)		(4,063,233)		(4,599,672)		(4,141,336)		(4,905,057)		(763,721)	18.4%
Net Student Charges Revenue		14,860,197		14,856,979		14,766,034		17,259,878		16,473,602	_	(786,276)	-4.6%
State Appropriation		11,345,379		12,253,931		12,296,865		12,380,248		12,978,593		598,345	4.8%
Indirect Cost Recovery		270,470		260,117		220,402		200,000		200,000		-	0.0%
Investment Income/Gifts		6,707		3,340		-		-		-		-	-%
Sales/Services/Auxiliary		1,050,272		1,028,611		1,013,835		700,310		763,310		63,000	9.0%
Total Revenue		27,533,025		28,402,978		28,297,136		30,540,436		30,415,505		(124,931)	-0.4%
•													
Expenses		22 252 200		22 022 546		22 720 506		24 022 555		24.557.504		524.020	2 20/
Personnel		22,353,398		22,932,516		23,739,506		24,033,555		24,567,594		534,039	2.2%
Fuel & Electricity		769,810		693,341		659,140		689,470		776,326		86,856	12.6%
Supplies & Services		1,526,219		1,484,184		1,384,180		1,263,278		1,255,891		(7,387)	-0.6%
Shared Services		2,515,589		3,081,149		3,316,799		3,686,155		3,790,711		104,556	2.8%
Travel		554,095		766,832		596,438		521,330		524,330		3,000	0.6%
Memberships, Contributions & Sponsorships		91,105		91,914		92,599		94,059		94,059		-	
Maintenance & Alterations Interest		240,765		208,231		113,453		50,981		50,981			0.0%
		206,132		226,986		213,133		197,746		180,699		(17,047)	-8.6% 0.4%
Depreciation Other Expenses & Transfers		1,592,422		1,818,821		1,881,502		1,835,415		1,842,905		7,490	-6.5%
Total Operating Expenses & Transfers		(327,580)		(718,837)		(848,711) 31,148,041		(1,221,318)		31,941,849	_	79,671 791,178	-6.5% 2.5%
Operating Increase (Decrease)	Ś	29,521,955	\$		\$		\$	(610,235)	\$		\$		150.1%
Operating increase (Decrease)	Ş	(1,988,930)	Ş	(2,182,159)	۶	(2,850,904)	Ş	(610,235)	Ş	(1,526,344)	Ş	(916,109)	150.1%
Modified Cash Flow													
Operating Increase (Decrease)	\$	(1,988,930)	\$	(2,182,159)	\$	(2,850,904)	\$	(610,235)	\$	(1,526,344)	\$	(916,109)	150.1%
Add Back Depreciation		1,592,422		1,818,821		1,881,502		1,835,415		1,842,905		7,490	0.4%
Less Capital Expenditures		(17,153)		(55,514)		(30,893)		(884,239)		(424,030)		460,209	-52.0%
Less Capital Reserve Funding		-		(376,964)		-		-		-		-	-%
Less Debt Service Principal		(314,350)		(304,888)		(323,021)		(340,941)		(392,531)		(51,590)	15.1%
Net Change Before Other Adjustments & Transfers		(728,010)		(1,100,704)		(1,323,317)		-		(500,000)		(500,000)	
Transfer from/(to) Administrative Savings Rsrv		-		-		-		-		-		-	
Transfer from/(to) Budget Stabilization		-		-		-		-		500,000		500,000	
Net Change Subtotal		(728,010)		(1,100,704)		(1,323,317)		-		-		-	
Other Strategic Transfers from/(to) Reserves		-		749,764		-		-		-		-	
Net Change in Cash & Reserve Transfers	\$	(728,010)	\$	(350,939)	\$	(1,323,317)	\$	-	\$	-	\$	-	

UNIVERSITY OF MAINE AT FARMINGTON FY20 Proposed Budget AUXILIARY

	 FY16 ACTUALS	FY17 ACTUALS	 Y18 ACTUALS	 FY19 BASE	 FY20 BASE	_	BUDGET CH	ANGE
<u>Revenues</u>								
Tuition & Fee Revenue	\$ _	\$ _	\$ _	\$	\$ -	\$	-	-%
Dining & Residence Revenue	7,997,393	8,071,723	8,586,283	9,037,262	9,273,041		235,779	2.6%
Tuition Waivers/Scholarships	(399,290)	(406,057)	(463,922)	(343,237)	(296,415)		46,822	-13.6%
Net Student Charges Revenue	7,598,103	7,665,666	 8,122,360	8,694,025	 8,976,626		282,601	3.3%
State Appropriation	-	-	-	-	-		-	-%
Indirect Cost Recovery	-	-	-	-	-		-	-%
Investment Income/Gifts	-	-	-	-	-		-	-%
Sales/Services/Auxiliary	534,695	767,671	656,971	278,000	253,700		(24,300)	-8.7%
Total Revenue	8,132,798	8,433,337	8,779,331	8,972,025	9,230,326		258,301	2.9%
Expenses								
Personnel	1,810,272	1,859,151	1,823,973	1,557,651	1,748,897		191,246	12.3%
Fuel & Electricity	347,880	436,328	455,506	563,665	498,648		(65,017)	-11.5%
Supplies & Services	2,913,320	3,182,964	3,320,728	3,186,685	3,290,408		103,723	3.3%
Shared Services	53,874	-	-		-		-	-%
Travel	22,996	21,759	24,463	4,275	4,275		-	0.0%
Memberships, Contributions & Sponsorships	2,579	1,800	2,775	3,975	3,550		(425)	-10.7%
Maintenance & Alterations	190,861	109,375	150,951	47,457	47,407		(50)	-0.1%
Interest	469,335	474,577	445,091	421,967	390,147		(31,820)	-7.5%
Depreciation	460,253	524,441	633,192	588,187	703,478		115,291	19.6%
Other Expenses & Transfers	1,640,418	1,830,773	1,611,867	2,244,782	2,232,440		(12,342)	-0.5%
Total Operating Expenses & Transfers	7,911,788	 8,441,170	 8,468,548	8,618,644	8,919,250		300,606	3.5%
Operating Increase (Decrease)	\$ 221,009	\$ (7,832)	\$ 310,783	\$ 353,381	\$ 311,076	\$	(42,305)	-12.0%
Modified Cash Flow								
Operating Increase (Decrease)	\$ 221,009	\$ (7,832)	\$ 310,783	\$ 353,381	\$ 311,076	\$	(42,305)	-12.0%
Add Back Depreciation	460,253	524,441	633,192	588,187	703,478		115,291	19.6%
Less Capital Expenditures	(44,322)	(275,574)	(380,162)	(233,290)	(225,236)		8,054	-3.5%
Less Capital Reserve Funding	-	-	-	-	-		-	-%
Less Debt Service Principal	(601,700)	(606,100)	(638,566)	(708,278)	(789,318)		(81,040)	11.4%
Net Change Before Other Adjustments & Transfers	35,241	 (365,065)	(74,753)	 -	 -		-	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-		-	
Transfer from/(to) Budget Stabilization	 -	 -	 -	 -	-		-	
Net Change Subtotal	35,241	(365,065)	(74,753)	-	-		-	
Other Strategic Transfers from/(to) Reserves	-	-	-	-	-		-	
Net Change in Cash & Reserve Transfers	\$ 35,241	\$ (365,065)	\$ (74,753)	\$ -	\$ -	\$	-	

UNIVERSITY OF MAINE AT FARMINGTON FY20 Proposed Budget E&G and AUXILIARY

	FY16 ACTUALS		FY17 ACTUALS	FY18 ACTUALS		FY19 BASE		FY20 BASE		BUDGET CH	ANGE
Revenues											
Tuition & Fee Revenue	\$ 18,700,907	\$	18,920,211	\$ 19,365,705	\$	21,401,214	\$	21,378,659	\$	(22,555)	-0.1%
Dining & Residence Revenue	7,997,393		8,071,723	8,586,283		9,037,262		9,273,041		235,779	2.6%
Tuition Waivers/Scholarships	(4,240,000)		(4,469,289)	(5,063,594)		(4,484,573)		(5,201,472)		(716,899)	16.0%
Net Student Charges Revenue	22,458,299		22,522,645	 22,888,394		25,953,903		25,450,228		(503,675)	-1.9%
State Appropriation	11,345,379		12,253,931	12,296,865		12,380,248		12,978,593		598,345	4.8%
Indirect Cost Recovery	270,470		260,117	220,402		200,000		200,000		-	0.0%
Investment Income/Gifts	6,707		3,340	-		-		-		-	-%
Sales/Services/Auxiliary	1,584,967		1,796,282	1,670,806		978,310		1,017,010		38,700	4.0%
Total Revenue	35,665,823		36,836,315	37,076,467		39,512,461		39,645,831		133,370	0.3%
Firegraph											
Expenses Personnel	24,163,670		24,791,667	25,563,479		25,591,206		26,316,491		725,285	2.8%
Fuel & Electricity	1,117,690		1,129,669	1,114,647		1,253,135		1,274,974		21,839	1.7%
Supplies & Services	4,439,539		4,667,148	4,704,908		4,449,963		4,546,299		96,336	2.2%
Shared Services	2,569,463		3,081,149	3,316,799		3,686,155		3,790,711		104,556	2.8%
Travel	577,091		788,591	620,902		525,605		528,605		3,000	0.6%
Memberships, Contributions & Sponsorships	93,684		93,714	95,374		98,034		97,609		(425)	-0.4%
Maintenance & Alterations	431,626		317,606	264,405		98,438		98,388		(50)	-0.1%
Interest	675,467		701,563	658,224		619,713		570,846		(48,867)	-7.9%
Depreciation	2,052,676		2,343,262	2,514,694		2,423,602		2,546,383		122,781	5.1%
Other Expenses & Transfers	1,312,838		1,111,936	763,157		1,023,464		1,090,793		67,329	6.6%
Total Operating Expenses & Transfers	 37,433,743	_	39,026,306	 39,616,588	_	39,769,315	_	40,861,099	_	1,091,784	2.7%
Operating Increase (Decrease)	\$ (1,767,921)	\$	(2,189,991)	\$ (2,540,121)	\$	(256,854)	\$	(1,215,268)	\$	(958,414)	373.1%
Modified Cash Flow	(. =======		(0.100.001)	(0.0000)		(0=0 0= 1)		/	_	(0=0)	
Operating Increase (Decrease)	\$ (1,767,921)	\$	(2,189,991)	\$ (2,540,121)	\$	(256,854)	\$	(1,215,268)	\$	(958,414)	373.1%
Add Back Depreciation	2,052,676		2,343,262	2,514,694		2,423,602		2,546,383		122,781	5.1%
Less Capital Expenditures	(61,475)		(331,088)	(411,055)		(1,117,529)		(649,266)		468,263	-41.9%
Less Capital Reserve Funding Less Debt Service Principal	(016.050)		(376,964)	(001 507)		(1.040.310)		(1.101.040)		(122.620)	-%
Net Change Before Other Adjustments & Transfers	 (916,050) (692,770)		(910,988) (1,465,769)	 (961,587) (1,398,069)		(1,049,219)		(1,181,849)	_	(132,630) (500,000)	12.6%
Net Change before Other Adjustments & Transfers	(032,770)		(1,403,703)	(1,556,005)		-		(300,000)		(300,000)	
Transfer from/(to) Administrative Savings Rsrv	-		-	-		-		-		-	
Transfer from/(to) Budget Stabilization	 -		-	 -		-		500,000		500,000	
Net Change Subtotal	(692,770)		(1,465,769)	(1,398,069)		-		-		-	
Other Strategic Transfers from/(to) Reserves	-		749,764	-		-		-		-	
Net Change in Cash & Reserve Transfers	\$ (692,770)	\$	(716,005)	\$ (1,398,069)	\$	-	\$	-	\$	-	

UNIVERSITY OF MAINE AT FORT KENT FY20 Proposed Budget

		FY16 ACTUALS	 FY17 ACTUALS	FY18 ACTUALS	_	FY19 BASE		FY20 BASE		BUDGET CH	ANGE
<u>Revenues</u>											
Tuition & Fee Revenue	\$	8,189,806	\$ 8,782,839	\$ 8,674,237	\$	9,381,658	\$	8,978,774	\$	(402,884)	-4.3%
Dining & Residence Revenue		-	-	-		_		-		-	-%
Tuition Waivers/Scholarships		(891,331)	(976,618)	(1,447,188)		(1,689,014)		(1,633,171)		55,843	-3.3%
Net Student Charges Revenue	-	7,298,475	 7,806,221	 7,227,049	_	7,692,644	_	7,345,603	_	(347,041)	-4.5%
State Appropriation		4,622,357	5,308,503	6,513,346		6,877,413		7,818,910		941,497	13.7%
Indirect Cost Recovery		37,167	49,376	43,369		32,500		37,500		5,000	15.4%
Investment Income/Gifts		-	-	-		-		-		-	-%
Sales/Services/Auxiliary		346,615	407,501	337,260		216,882		184,200		(32,682)	-15.1%
Total Revenue		12,304,613	13,571,602	14,121,024		14,819,439		15,386,213		566,774	3.8%
Expenses											
Personnel		8,619,559	8,896,826	9,200,527		9,737,528		9,912,911		175,383	1.8%
Fuel & Electricity		442,278	428,252	408,864		453,246		463,550		10,304	2.3%
Supplies & Services		773,137	640,315	594,458		559,101		661,947		102,846	18.4%
Shared Services		1,343,448	1,514,685	1,677,758		1,879,146		1,949,296		70,150	3.7%
Travel		305,882	298,363	343,488		401,750		398,100		(3,650)	-0.9%
Memberships, Contributions & Sponsorships		43,745	59,992	59,695		69,870		69,490		(380)	-0.5%
Maintenance & Alterations		198,051	201,312	184,222		247,850		258,341		10,491	4.2%
Interest		46,982	45,615	38,563		35,206		30,166		(5,040)	-14.3%
Depreciation		621,369	666,633	628,754		724,561		713,898		(10,663)	-1.5%
Other Expenses & Transfers		1,438,432	1,466,569	367,036		658,698		770,486		111,788	17.0%
Total Operating Expenses & Transfers		13,832,883	14,218,562	 13,503,364		14,766,956		15,228,185		461,229	3.1%
Operating Increase (Decrease)	\$	(1,528,270)	\$ (646,960)	\$ 617,659	\$	52,483	\$	158,028	\$	105,545	201.1%
Modified Cash Flow											
Operating Increase (Decrease)	\$	(1,528,270)	\$ (646,960)	\$ 617,659	\$	52,483	\$	158,028	\$	105,545	201.1%
Add Back Depreciation		621,369	666,633	628,754		724,561		713,898		(10,663)	-1.5%
Less Capital Expenditures		(175,931)	(452,098)	(270,688)		(220,000)		(225,000)		(5,000)	2.3%
Less Capital Reserve Funding		-	(27,445)	-		-		-		-	-%
Less Debt Service Principal		(168,687)	(366,256)	(327,341)		(345,488)		(346,039)		(551)	0.2%
Net Change Before Other Adjustments & Transfers		(1,251,519)	 (826,126)	 648,385		211,556		300,887		89,331	
Transfer from/(to) Administrative Savings Rsrv		-	1,000,000	-		-		-		-	
Transfer from/(to) Budget Stabilization		1,251,519	-	 -		-		-		-	
Net Change Subtotal		-	173,874	648,385		211,556		300,887		89,331	
Other Strategic Transfers from/(to) Reserves		-	-	-		-		-		-	
Net Change in Cash & Reserve Transfers	\$	-	\$ 173,874	\$ 648,385	\$	211,556	\$	300,887	\$	89,331	

UNIVERSITY OF MAINE AT FORT KENT FY20 Proposed Budget AUXILIARY

	 FY16 ACTUALS	FY17 ACTUALS	 Y18 ACTUALS	 FY19 BASE	FY20 BASE	BUDGET CH	ANGE
<u>Revenues</u>							
Tuition & Fee Revenue	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-%
Dining & Residence Revenue	1,653,276	1,512,141	1,297,601	1,463,200	1,407,995	(55,205)	-3.8%
Tuition Waivers/Scholarships	(57,662)	(59,545)	(54,275)	(60,000)	(60,000)	-	0.0%
Net Student Charges Revenue	1,595,614	 1,452,596	1,243,326	 1,403,200	 1,347,995	(55,205)	-3.9%
State Appropriation	-	-	-	-	-	-	-%
Indirect Cost Recovery	-	-	-	-	-	-	-%
Investment Income/Gifts	-	-	-	-	-	-	-%
Sales/Services/Auxiliary	122,330	127,520	132,830	106,900	103,900	(3,000)	-2.8%
Total Revenue	1,717,944	1,580,115	1,376,156	1,510,100	1,451,895	(58,205)	-3.9%
Expenses							
Personnel	290,992	282,821	283,997	290,086	334,166	44,080	15.2%
Fuel & Electricity	191,836	183,105	159,377	181,100	172,387	(8,713)	-4.8%
Supplies & Services	843,944	661,996	677,975	700,690	686,294	(14,396)	-2.1%
Shared Services	-	-	-	-		-	-%
Travel	5,282	5,503	4,433	3,500	3,000	(500)	-14.3%
Memberships, Contributions & Sponsorships	400	51	249	250	200	(50)	-20.0%
Maintenance & Alterations	79,599	93,673	78,605	56,770	46,600	(10,170)	-17.9%
Interest	231,002	228,183	215,139	207,200	196,700	(10,500)	-5.1%
Depreciation	234,651	215,847	218,773	216,543	232,531	15,988	7.4%
Other Expenses & Transfers	60,199	65,940	60,788	65,427	62,027	(3,400)	-5.2%
Total Operating Expenses & Transfers	1,937,906	 1,737,119	 1,699,335	 1,721,566	1,733,905	12,339	0.7%
Operating Increase (Decrease)	\$ (219,963)	\$ (157,004)	\$ (323,179)	\$ (211,466)	\$ (282,010)	\$ (70,544)	33.4%
Modified Cash Flow							
Operating Increase (Decrease)	\$ (219,963)	\$ (157,004)	\$ (323,179)	\$ (211,466)	\$ (282,010)	\$ (70,544)	33.4%
Add Back Depreciation	234,651	215,847	218,773	216,543	232,531	15,988	7.4%
Less Capital Expenditures	(44,037)	(19,000)	(19,000)	-	(21,408)	(21,408)	-%
Less Capital Reserve Funding	-	-	-	-	-	-	-%
Less Debt Service Principal	(218,613)	(213,717)	(213,826)	(210,000)	(230,000)	(20,000)	9.5%
Net Change Before Other Adjustments & Transfers	 (247,961)	(173,874)	(337,232)	(204,923)	(300,887)	(95,964)	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-	-	-	
Transfer from/(to) Budget Stabilization	 247,961	 -	 -	 -	-	 -	
Net Change Subtotal	-	(173,874)	(337,232)	(204,923)	(300,887)	(95,964)	
Other Strategic Transfers from/(to) Reserves	-	-	-	-	-	-	
Net Change in Cash & Reserve Transfers	\$ -	\$ (173,874)	\$ (337,232)	\$ (204,923)	\$ (300,887)	\$ (95,964)	

UNIVERSITY OF MAINE AT FORT KENT FY20 Proposed Budget E&G and AUXILIARY

	FY16 ACTUALS	 FY17 ACTUALS		FY18 ACTUALS		FY19 BASE		FY20 BASE	BUDGET CH	ANGE
<u>Revenues</u>										
Tuition & Fee Revenue	\$ 8,189,806	\$ 8,782,839	\$	8,674,237	\$	9,381,658	\$	8,978,774	\$ (402,884)	-4.3%
Dining & Residence Revenue	1,653,276	1,512,141		1,297,601		1,463,200		1,407,995	(55,205)	-3.8%
Tuition Waivers/Scholarships	(948,993)	(1,036,163)		(1,501,463)		(1,749,014)		(1,693,171)	55,843	-3.2%
Net Student Charges Revenue	8,894,088	9,258,817		8,470,375		9,095,844		8,693,598	(402,246)	-4.4%
State Appropriation	4,622,357	5,308,503		6,513,346		6,877,413		7,818,910	941,497	13.7%
Indirect Cost Recovery	37,167	49,376		43,369		32,500		37,500	5,000	15.4%
Investment Income/Gifts	-	-		-		-		-	-	-%
Sales/Services/Auxiliary	468,945	535,021		470,090		323,782		288,100	(35,682)	-11.0%
Total Revenue	14,022,557	15,151,717		15,497,180		16,329,539		16,838,108	508,569	3.1%
Firegraph										
Expenses Personnel	8,910,552	9,179,647		9,484,524		10,027,614		10,247,077	219,463	2.2%
Fuel & Electricity	634,115	611,357		568,240		634,346		635,937	1,591	0.3%
Supplies & Services	1,617,082	1,302,311		1,272,433		1,259,791		1,348,241	88,450	7.0%
Shared Services	1,343,448	1,514,685		1,677,758		1,879,146		1,949,296	70,150	3.7%
Travel	311,165	303,866		347,921		405,250		401,100	(4,150)	-1.0%
Memberships, Contributions & Sponsorships	44,145	60,043		59,944		70,120		69,690	(430)	-0.6%
Maintenance & Alterations	277,649	294,985		262,827		304,620		304,941	321	0.1%
Interest	277,984	273,798		253,702		242,406		226,866	(15,540)	-6.4%
Depreciation	856,020	882,480		847,527		941,104		946,429	5,325	0.6%
Other Expenses & Transfers	1,498,631	1,532,509		427,824		724,125		832,513	108,388	15.0%
Total Operating Expenses & Transfers	 15,770,789	 15,955,681		15,202,700	_	16,488,522	_	16,962,090	 473,568	2.9%
Operating Increase (Decrease)	\$ (1,748,232)	\$ (803,964)	\$	294,480	\$	(158,983)	\$	(123,982)	\$ 35,001	-22.0%
Modified Cash Flow										
Operating Increase (Decrease)	\$ (1,748,232)	\$ (803,964)	\$	294,480	\$	(158,983)	\$	(123,982)	\$ 35,001	-22.0%
Add Back Depreciation	856,020	882,480		847,527		941,104		946,429	5,325	0.6%
Less Capital Expenditures	(219,968)	(471,098)		(289,688)		(220,000)		(246,408)	(26,408)	12.0%
Less Capital Reserve Funding	(207.200)	(27,445)		(544.467)		(555,400)		(576,020)	(20.554)	-%
Less Debt Service Principal	 (387,300)	 (579,973)	_	(541,167)	_	(555,488)	_	(576,039)	 (20,551)	3.7%
Net Change Before Other Adjustments & Transfers	(1,499,480)	(1,000,000)		311,153		6,633		•	(6,633)	
Transfer from/(to) Administrative Savings Rsrv	-	1,000,000		-		-		-	-	
Transfer from/(to) Budget Stabilization	1,499,480	 -		-		-		-	 -	
Net Change Subtotal	-	0		311,153		6,633		-	(6,633)	
Other Strategic Transfers from/(to) Reserves	-	-		-		-		-	-	
Net Change in Cash & Reserve Transfers	\$ -	\$ 0	\$	311,153	\$	6,633	\$	-	\$ (6,633)	

UNIVERSITY OF MAINE AT PRESQUE ISLE FY20 Proposed Budget

	FY16 ACTUALS	 FY17 ACTUALS	FY18 ACTUALS	FY19 BASE		FY20 BASE	BUDGET CH	ANGE
<u>Revenues</u>								
Tuition & Fee Revenue	\$ 6,425,228	\$ 6,750,613	\$ 7,539,255	\$ 8,779,897	\$	8,989,424	\$ 209,527	2.4%
Dining & Residence Revenue	10,979	11,417	10,759	-			-	-%
Tuition Waivers/Scholarships	(1,310,381)	(1,405,510)	(1,488,961)	(1,778,532)		(1,682,900)	95,632	-5.4%
Net Student Charges Revenue	 5,125,826	5,356,521	 6,061,053	7,001,365		7,306,524	305,159	4.4%
State Appropriation	6,469,554	6,999,217	7,936,764	7,508,452		8,017,134	508,682	6.8%
Indirect Cost Recovery	156,853	146,435	154,225	155,000		155,000	-	0.0%
Investment Income/Gifts	-	-	100	-		-	-	-%
Sales/Services/Auxiliary	738,170	602,896	641,160	518,722		510,644	(8,078)	-1.6%
Total Revenue	12,490,403	13,105,069	14,793,302	15,183,539		15,989,302	805,763	5.3%
Expenses								
Personnel	9,908,585	9,712,434	9,943,781	10,144,854		10,348,986	204,132	2.0%
Fuel & Electricity	435,403	449,116	427,647	557,375		478,200	(79,175)	-14.2%
Supplies & Services	1,186,692	1,305,921	1,065,177	841,141		1,396,500	555,359	66.0%
Shared Services	1,497,255	1,781,732	1,967,428	2,091,157		2,131,683	40,526	1.9%
Travel	313,195	332,250	321,935	389,380		361,375	(28,005)	-7.2%
Memberships, Contributions & Sponsorships	54,081	61,693	61,725	62,715		76,533	13,818	22.0%
Maintenance & Alterations	383,294	1,168,807	461,143	313,834		335,650	21,816	7.0%
Interest	64,669	63,816	60,380	58,590		57,490	(1,100)	-1.9%
Depreciation	812,484	845,286	918,182	877,030		847,590	(29,440)	-3.4%
Other Expenses & Transfers	461,354	(594,818)	219,482	668,151		722,442	54,291	8.1%
Total Operating Expenses & Transfers	15,117,012	 15,126,236	 15,446,879	16,004,227		16,756,449	752,222	4.7%
Operating Increase (Decrease)	\$ (2,626,609)	\$ (2,021,167)	\$ (653,578)	\$ (820,688)	\$	(767,147)	\$ 53,541	-6.5%
Modified Cash Flow								
Operating Increase (Decrease)	\$ (2,626,609)	\$ (2,021,167)	\$ (653,578)	\$ (820,688)	\$	(767,147)	\$ 53,541	-6.5%
Add Back Depreciation	812,484	845,286	918,182	877,030		847,590	(29,440)	-3.4%
Less Capital Expenditures	(180,653)	(244,755)	(121,702)	(160,176)		(167,383)	(7,207)	4.5%
Less Capital Reserve Funding	-	-	-	(20,724)		(6,369)	14,355	-69.3%
Less Debt Service Principal	(59,370)	(59,718)	(60,395)	(72,030)		(89,370)	(17,340)	24.1%
Net Change Before Other Adjustments & Transfers	 (2,054,148)	 (1,480,354)	 82,508	(196,588)		(182,679)	13,909	
Transfer from/(to) Administrative Savings Rsrv	-	1,000,000	-	-		-	-	
Transfer from/(to) Budget Stabilization	 1,773,481	300,000	 -	-		-	-	
Net Change Subtotal	(280,667)	 (180,354)	 82,508	 (196,588)	_	(182,679)	 13,909	
Other Strategic Transfers from/(to) Reserves	-	-	-	-		-	-	
Net Change in Cash & Reserve Transfers	\$ (280,667)	\$ (180,354)	\$ 82,508	\$ (196,588)	\$	(182,679)	\$ 13,909	

UNIVERSITY OF MAINE AT PRESQUE ISLE FY20 Proposed Budget AUXILIARY

	F	Y16 ACTUALS		FY17 ACTUALS		Y18 ACTUALS		FY19 BASE	_	FY20 BASE		BUDGET CH	ANGE
<u>Revenues</u>													
Tuition & Fee Revenue	\$	-	\$	450	\$	550	\$	-	\$	-	\$	-	-%
Dining & Residence Revenue		2,120,715		2,099,405		1,974,911		2,270,966		2,349,526		78,560	3.5%
Tuition Waivers/Scholarships		(66,876)		(232,615)		(239,169)		(227,600)		(227,600)		-	0.0%
Net Student Charges Revenue		2,053,839		1,867,240		1,736,292		2,043,366		2,121,926		78,560	3.8%
State Appropriation		-		-		-		-		-		-	-%
Indirect Cost Recovery		-		-		-		-		-		-	-%
Investment Income/Gifts		-		-		-		-		-		-	-%
Sales/Services/Auxiliary		145,054		155,377		146,793		111,075		94,000		(17,075)	-15.4%
Total Revenue		2,198,894		2,022,617		1,883,085		2,154,441		2,215,926		61,485	2.9%
Expenses													
Personnel		322,816		327,573		229,020		281,321		287,605		6,284	2.2%
Fuel & Electricity		353,731		309,219		312,067		333,000		325,400		(7,600)	-2.3%
Supplies & Services		773,406		884,538		812,877		849,142		820,442		(28,700)	-3.4%
Shared Services				-		-		043,142				(20,700)	-%
Travel		3,050		4,157		4,402		1,900		1,900		_	0.0%
Memberships, Contributions & Sponsorships		435				-, .02		386		386		_	0.0%
Maintenance & Alterations		314,616		458,547		225,125		292,537		297,500		4,963	1.7%
Interest		-		-		,		392		1,131		739	188.5%
Depreciation		72,348		66,629		62,270		61,413		69,726		8,313	13.5%
Other Expenses & Transfers		79,468		114,613		111,785		126,784		124,434		(2,350)	-1.9%
Total Operating Expenses & Transfers		1,919,871		2,165,274		1,757,546	_	1,946,875		1,928,524		(18,351)	-0.9%
Operating Increase (Decrease)	\$	279,023	\$	(142,657)	\$	125,539	\$	207,566	\$	287,402	\$	79,836	38.5%
Modified Cash Flow												<u>.</u>	
Operating Increase (Decrease)	\$	279,023	\$	(142,657)	\$	125,539	\$	207,566	\$	287,402	\$	79,836	38.5%
Add Back Depreciation	ý	72,348	J	66,629	Ţ	62,270	٠	61,413	٠	69,726	ڔ	8,313	13.5%
Less Capital Expenditures		(70,704)		(148,044)		(169,620)		(50,000)		(100,000)		(50,000)	100.0%
Less Capital Reserve Funding		(70,701)		(110,011)		(203,020)		(19,100)		(19,100)		(50,000)	0.0%
Less Debt Service Principal				_		_		(3,291)		(9,780)		(6,489)	197.2%
Net Change Before Other Adjustments & Transfers	-	280,667	_	(224,072)		18,189		196,588	_	228,248	_	31,660	
Transfer from/(to) Administrative Savings Rsrv		_		_		_		_		_		_	
Transfer from/(to) Budget Stabilization						-		-				-	
Net Change Subtotal		280,667		(224,072)		18,189		196,588		228,248	_	31,660	
Other Strategic Transfers from/(to) Reserves				-		-						-	
Net Change in Cash & Reserve Transfers	\$	280,667	\$	(224,072)	\$	18,189	\$	196,588	\$	228,248	\$	31,660	

UNIVERSITY OF MAINE AT PRESQUE ISLE FY20 Proposed Budget E&G and AUXILIARY

	FY16 ACTUALS	FY17 ACTUALS	 FY18 ACTUALS	 FY19 BASE	FY20 BASE	BUDGET CH	ANGE
<u>Revenues</u>							
Tuition & Fee Revenue	\$ 6,425,228	\$ 6,751,063	\$ 7,539,805	\$ 8,779,897	\$ 8,989,424	\$ 209,527	2.4%
Dining & Residence Revenue	2,131,695	2,110,822	1,985,670	2,270,966	2,349,526	78,560	3.5%
Tuition Waivers/Scholarships	(1,377,257)	(1,638,125)	(1,728,130)	(2,006,132)	(1,910,500)	95,632	-4.8%
Net Student Charges Revenue	7,179,666	7,223,761	 7,797,345	9,044,731	9,428,450	383,719	4.2%
State Appropriation	6,469,554	6,999,217	7,936,764	7,508,452	8,017,134	508,682	6.8%
Indirect Cost Recovery	156,853	146,435	154,225	155,000	155,000	-	0.0%
Investment Income/Gifts	-	-	100	-	-	-	-%
Sales/Services/Auxiliary	883,224	758,273	787,952	629,797	604,644	(25,153)	-4.0%
Total Revenue	14,689,296	15,127,686	16,676,386	17,337,980	18,205,228	867,248	5.0%
Expenses							
Personnel	10,231,401	10,040,006	10,172,800	10,426,175	10,636,591	210,416	2.0%
Fuel & Electricity	789,134	758,335	739,714	890,375	803,600	(86,775)	-9.7%
Supplies & Services	1,960,098	2,190,459	1,878,055	1,690,283	2,216,942	526,659	31.2%
Shared Services	1,497,255	1,781,732	1,967,428	2,091,157	2,131,683	40,526	1.9%
Travel	316,246	336,407	326,337	391,280	363,275	(28,005)	-7.2%
Memberships, Contributions & Sponsorships	54,516	61,693	61,725	63,101	76,919	13,818	21.9%
Maintenance & Alterations	697,910	1,627,353	686,268	606,371	633,150	26,779	4.4%
Interest	64,669	63,816	60,380	58,982	58,621	(361)	-0.6%
Depreciation	884,832	911,915	980,451	938,443	917,316	(21,127)	-2.3%
Other Expenses & Transfers	540,822	(480,206)	331,266	794,935	846,876	51,941	6.5%
Total Operating Expenses & Transfers	17,036,883	17,291,510	 17,204,425	17,951,102	18,684,973	733,871	4.1%
Operating Increase (Decrease)	\$ (2,347,587)	\$ (2,163,824)	\$ (528,039)	\$ (613,122)	\$ (479,745)	\$ 133,377	-21.8%
Modified Cash Flow							
Operating Increase (Decrease)	\$ (2,347,587)	\$ (2,163,824)	\$ (528,039)	\$ (613,122)	\$ (479,745)	\$ 133,377	-21.8%
Add Back Depreciation	884,832	911,915	980,451	938,443	917,316	(21,127)	-2.3%
Less Capital Expenditures	(251,357)	(392,799)	(291,321)	(210,176)	(267,383)	(57,207)	27.2%
Less Capital Reserve Funding	-	-		(39,824)	(25,469)	14,355	-36.0%
Less Debt Service Principal	(59,370)	(59,718)	(60,395)	(75,321)	(99,150)	(23,829)	31.6%
Net Change Before Other Adjustments & Transfers	 (1,773,481)	 (1,704,426)	 100,696	-	 45,569	 45,569	
Transfer from/(to) Administrative Savings Rsrv	-	1,000,000	-	-	-	-	
Transfer from/(to) Budget Stabilization	1,773,481	300,000	-	-	-	-	
Net Change Subtotal	-	(404,426)	 100,696	 -	45,569	45,569	
Other Strategic Transfers from/(to) Reserves	-	-	-	-	-	-	
Net Change in Cash & Reserve Transfers	\$ -	\$ (404,426)	\$ 100,696	\$ -	\$ 45,569	\$ 45,569	

UNIVERSITY OF SOUTHERN MAINE FY20 Proposed Budget

	FY16 ACTUALS		FY17 ACTUALS		FY18 ACTUALS		FY19 BASE		FY20 BASE	BUDG	SET CHA	NGE
Revenues												
Tuition & Fee Revenue	\$ 67,202,615	\$	68,649,748	\$	74,064,738	\$	76,548,315	\$	81,572,781	\$ 5,024,	466	6.6%
Dining & Residence Revenue	2,775		2,475		3,600		_		-		-	-%
Tuition Waivers/Scholarships	(11,130,706)		(13,731,328)		(16,927,659)		(17,893,874)		(17,801,165)	92,	709	-0.5%
Net Student Charges Revenue	 56,074,684	_	54,920,895	_	57,140,678	_	58,654,441	_	63,771,616	5,117,	175	8.7%
State Appropriation	44,085,762		48,032,552		47,783,008		47,775,142		48,098,693	323,	551	0.7%
Indirect Cost Recovery	3,424,032		3,579,669		3,424,630		3,500,000		3,525,000	25,	000	0.7%
Investment Income/Gifts	37,916		191,835		192,343		120,000		120,000		-	0.0%
Sales/Services/Auxiliary	4,096,210		3,517,461		3,549,012		3,621,293		3,819,146	197,	853	5.5%
Total Revenue	107,718,604		110,242,423		112,089,672		113,670,876		119,334,455	5,663,	579	5.0%
Expenses												
Personnel	74,234,183		75,704,599		77,567,109		81,861,358		86,171,022	4,309,	564	5.3%
Fuel & Electricity	2,500,895		2,277,018		2,668,953		2,543,465		3,066,649	523,		20.6%
Supplies & Services	4,793,048		5,633,769		6,456,359		5,542,281		5,890,000	347,	719	6.3%
Shared Services	9,688,338		12,507,766		11,810,828		12,374,359		12,610,098	235,	739	1.9%
Travel	1,135,178		1,310,374		1,415,895		1,158,109		1,180,815	22,	706	2.0%
Memberships, Contributions & Sponsorships	235,138		289,877		258,221		370,837		326,597	(44,	240)	-11.9%
Maintenance & Alterations	3,578,550		1,881,140		2,582,313		1,869,068		1,920,401	51,	333	2.7%
Interest	819,965		843,995		729,015		707,501		601,043	(106,	458)	-15.0%
Depreciation	5,999,799		6,102,457		6,410,113		6,502,555		6,781,045	278,	490	4.3%
Other Expenses & Transfers	1,703,223		3,219,931		3,318,772		4,962,893		5,495,502	532,	509	10.7%
Total Operating Expenses & Transfers	 104,688,317		109,770,924		113,217,578		117,892,426		124,043,172	6,150,	746	5.2%
Operating Increase (Decrease)	\$ 3,030,287	\$	471,499	\$	(1,127,906)	\$	(4,221,550)	\$	(4,708,717)	\$ (487,	167)	11.5%
Modified Cash Flow												
Operating Increase (Decrease)	\$ 3,030,287	\$	471,499	\$	(1,127,906)	\$	(4,221,550)	\$	(4,708,717)	\$ (487,	167)	11.5%
Add Back Depreciation	5,999,799		6,102,457		6,410,113		6,502,555		6,781,045	278,	490	4.3%
Less Capital Expenditures	(5,897,475)		(3,812,034)		(1,615,041)		(1,800,556)		(1,700,556)	100,	000	-5.6%
Less Capital Reserve Funding	(1,250,000)		-		-		-		-		-	-%
Less Debt Service Principal	(1,127,935)		(1,727,693)		(1,663,761)		(1,673,895)		(1,296,772)	377,	123	-22.5%
Net Change Before Other Adjustments & Transfers	754,676		1,034,229		2,003,405		(1,193,446)		(925,000)	268,	446	
Transfer from/(to) Administrative Savings Rsrv	-		-		105,000		-		-		-	
Transfer from/(to) Budget Stabilization	 -		-		275,320		500,000		500,000		-	
Net Change Subtotal	754,676		1,034,229		2,383,725		(693,446)		(425,000)	268,	446	
Other Strategic Transfers from/(to) Reserves	2,601,067		818,370		272,078		693,446		425,000	(268,	146)	
Net Change in Cash & Reserve Transfers	\$ 3,355,743	\$	1,852,598	\$	2,655,803	\$		\$	-	\$	_	

UNIVERSITY OF SOUTHERN MAINE FY20 Proposed Budget E&G EXCLUDES LAW SCHOOL

		FY16 ACTUALS	_	FY17 ACTUALS	 FY18 ACTUALS	 FY19 BASE	 FY20 BASE		BUDGET CH	ANGE
Revenues										
Tuition & Fee Revenue	\$	60,932,516	\$	62,602,040	\$ 67,980,844	\$ 70,180,235	\$ 75,324,733	\$ 5	,144,498	7.3%
Dining & Residence Revenue		2,775		2,475	3,600	_	_		-	-%
Tuition Waivers/Scholarships		(10,181,967)		(12,276,891)	(15,132,311)	(15,993,874)	(16,321,251)		(327,377)	2.0%
Net Student Charges Revenue	-	50,753,324	_	50,327,624	 52,852,134	 54,186,361	 59,003,482		,817,121	8.9%
State Appropriation		44,085,762		48,032,552	47,783,008	47,775,142	48,098,693		323,551	0.7%
Indirect Cost Recovery		3,424,032		3,579,669	3,424,630	3,500,000	3,525,000		25,000	0.7%
Investment Income/Gifts		37,916		125,675	127,698	120,000	120,000		-	0.0%
Sales/Services/Auxiliary		4,077,304		3,498,369	3,540,360	3,621,293	3,819,146		197,853	5.5%
Total Revenue	_	102,378,338		105,563,898	107,727,829	109,202,796	114,566,321	5	,363,525	4.9%
Expenses										
Personnel		68,794,630		70,358,944	72,368,591	76,319,390	80,635,217	4	,315,827	5.7%
Fuel & Electricity		2,500,895		2,277,018	2,668,953	2,543,465	3,066,649		523,184	20.6%
Supplies & Services		4,465,431		5,335,376	6,189,136	5,305,991	5,653,710		347,719	6.6%
Shared Services		9,688,338		12,507,766	11,810,828	12,374,359	12,610,098		235,739	1.9%
Travel		1,043,562		1,196,675	1,324,700	1,123,188	1,145,894		22,706	2.0%
Memberships, Contributions & Sponsorships		184,999		236,530	216,754	344,137	299,897		(44,240)	-12.9%
Maintenance & Alterations		3,548,015		1,865,937	2,546,105	1,865,818	1,917,151		51,333	2.8%
Interest		819,965		843,995	729,015	707,501	601,043		(106,458)	-15.0%
Depreciation		5,999,799		6,102,457	6,410,113	6,502,555	6,781,045		278,490	4.3%
Other Expenses & Transfers		885,276		2,491,350	2,523,443	5,106,726	5,639,334		532,608	10.4%
Total Operating Expenses & Transfers		97,930,910		103,216,047	106,787,638	112,193,130	118,350,038	- 6	5,156,908	5.5%
Operating Increase (Decrease)	\$	4,447,428	\$	2,347,851	\$ 940,192	\$ (2,990,334)	\$ (3,783,717)	\$	(793,383)	26.5%
Modified Cash Flow										
Operating Increase (Decrease)	\$	4,447,428	\$	2,347,851	\$ 940,192	\$ (2,990,334)	\$ (3,783,717)	\$	(793,383)	26.5%
Add Back Depreciation		5,999,799		6,102,457	6,410,113	6,502,555	6,781,045		278,490	4.3%
Less Capital Expenditures		(5,897,475)		(3,811,093)	(1,613,575)	(1,800,556)	(1,700,556)		100,000	-5.6%
Less Capital Reserve Funding		(1,250,000)		-	-	-	-		-	-%
Less Debt Service Principal		(1,127,935)		(1,727,693)	 (1,663,761)	 (1,673,895)	(1,296,772)		377,123	-22.5%
Net Change Before Other Adjustments & Transfers		2,171,817		2,911,521	4,072,969	37,770	-		(37,770)	
Transfer from/(to) Administrative Savings Rsrv		-		-	-	-	-		-	
Transfer from/(to) Budget Stabilization		-		-	 <u> </u>	 -	-		-	
Net Change Subtotal		2,171,817		2,911,521	4,072,969	37,770	-		(37,770)	
Other Strategic Transfers from/(to) Reserves		2,601,067		155,435	272,078	-	-		-	
Net Change in Cash & Reserve Transfers	\$	4,772,884	\$	3,066,957	\$ 4,345,046	\$ 37,770	\$ -	\$	(37,770)	

UNIVERSITY OF SOUTHERN MAINE FY20 Proposed Budget E&G LAW SCHOOL

		FY16 ACTUALS		FY17 ACTUALS		FY18 ACTUALS		FY19 BASE		FY20 BASE		BUDGET CH	ANGE
Revenues													
Tuition & Fee Revenue	\$	6,270,098	\$	6,047,708	\$	6,083,894	\$	6,368,080	\$	6,248,048	\$	(120,032)	-1.9%
Dining & Residence Revenue		-		-		-		-		-		-	-%
Tuition Waivers/Scholarships		(948,738)		(1,454,437)		(1,795,349)		(1,900,000)		(1,479,914)		420,086	-22.1%
Net Student Charges Revenue		5,321,360		4,593,272		4,288,545	-	4,468,080	_	4,768,134	_	300,054	6.7%
State Appropriation		-		-		-		-		-		-	-%
Indirect Cost Recovery		-		-		-		-		-		-	-%
Investment Income/Gifts		-		66,161		64,645		-		-		-	-%
Sales/Services/Auxiliary		18,906		19,092		8,653		-		-		-	-%
Total Revenue		5,340,266		4,678,525	_	4,361,842		4,468,080		4,768,134		300,054	6.7%
Expenses													
Personnel		5,439,553		5,345,655		5,198,518		5,541,968		5,535,805		(6,163)	-0.1%
Fuel & Electricity		-		-		-		-		-		-	-%
Supplies & Services		327,617		298,393		267,223		236,290		236,290		-	0.0%
Shared Services		-		-		-		-		-		-	-%
Travel		91,616		113,699		91,195		34,921		34,921		-	0.0%
Memberships, Contributions & Sponsorships		50,139		53,347		41,467		26,700		26,700		-	0.0%
Maintenance & Alterations		30,536		15,203		36,209		3,250		3,250		-	0.0%
Interest		-		-		-		-		-		-	-%
Depreciation		-		-		-		-		-		-	-%
Other Expenses & Transfers		817,947		728,580		795,329		(143,833)		(143,832)		1	0.0%
Total Operating Expenses & Transfers		6,757,407		6,554,877		6,429,940		5,699,296		5,693,134		(6,162)	-0.1%
Operating Increase (Decrease)	\$	(1,417,141)	\$	(1,876,352)	\$	(2,068,098)	\$	(1,231,216)	\$	(925,000)	\$	306,216	-24.9%
Modified Cash Flow													
Operating Increase (Decrease)	\$	(1,417,141)	\$	(1,876,352)	\$	(2,068,098)	\$	(1,231,216)	\$	(925,000)	\$	306,216	-24.9%
Add Back Depreciation		-		-		-		-		-		-	-%
Less Capital Expenditures		-		(941)		(1,466)		-		-		-	-%
Less Capital Reserve Funding		-		-		-		-		-		-	-%
Less Debt Service Principal		-		-		-		-		-		-	-%
Net Change Before Other Adjustments & Transfers		(1,417,141)		(1,877,293)		(2,069,564)		(1,231,216)		(925,000)		306,216	
Transfer from/(to) Administrative Savings Rsrv		-		-		105,000		-		-		-	
Transfer from/(to) Budget Stabilization	_	<u> </u>	_	-		275,320	_	500,000		500,000	_		
Net Change Subtotal		(1,417,141)		(1,877,293)		(1,689,244)		(731,216)		(425,000)		306,216	
Other Strategic Transfers from/(to) Reserves		-		662,934		-		693,446		425,000		(268,446)	
Net Change in Cash & Reserve Transfers	\$	(1,417,141)	\$	(1,214,358)	\$	(1,689,244)	\$	(37,770)	\$	-	\$	37,770	

UNIVERSITY OF SOUTHERN MAINE FY20 Proposed Budget AUXILIARY

	 FY16 ACTUALS	 FY17 ACTUALS	 FY18 ACTUALS	 FY19 BASE		FY20 BASE	_	BUDGET CH	ANGE
Revenues									
Tuition & Fee Revenue	\$ 1,161,453	\$ 1,125,827	\$ 1,133,064	\$ 1,227,714	\$	1,227,714	\$	-	0.0%
Dining & Residence Revenue	10,559,895	10,970,684	11,149,684	11,788,418		12,783,470		995,052	8.4%
Tuition Waivers/Scholarships	(562,460)	(492,898)	(408,901)	(345,800)		(400,000)		(54,200)	15.7%
Net Student Charges Revenue	 11,158,888	 11,603,612	 11,873,847	12,670,332		13,611,184		940,852	7.4%
State Appropriation	-	-	-	-		-		-	-%
Indirect Cost Recovery	-	-	-	-		-		-	-%
Investment Income/Gifts	-	-	-	-		-		-	-%
Sales/Services/Auxiliary	2,098,154	2,327,723	2,097,211	2,364,064		641,800	(1,722,264)	-72.9%
Total Revenue	13,257,042	13,931,335	13,971,058	15,034,396	_	14,252,984		(781,412)	-5.2%
Expenses									
Personnel	1,882,937	1,748,815	1,953,423	2,405,505		2,467,727		62,222	2.6%
Fuel & Electricity	1,005,991	1,031,819	813,249	1,028,630		1,010,372		(18,258)	-1.8%
Supplies & Services	5,150,397	5,180,348	5,171,487	5,422,044		4,643,282		(778,762)	-14.4%
Shared Services	117,299	-	-	-		-		-	-%
Travel	2,409	6,849	15,985	15,100		16,600		1,500	9.9%
Memberships, Contributions & Sponsorships	2,549	2,357	1,769	3,100		2,500		(600)	-19.4%
Maintenance & Alterations	1,133,219	815,855	638,681	687,818		932,218		244,400	35.5%
Interest	1,267,627	1,231,067	1,170,288	1,096,513		1,020,884		(75,629)	-6.9%
Depreciation	807,463	849,846	1,020,736	1,117,016		1,174,780		57,764	5.2%
Other Expenses & Transfers	 1,692,688	1,978,618	2,074,008	 2,518,025		2,327,342		(190,683)	-7.6%
Total Operating Expenses & Transfers	13,062,580	12,845,574	12,859,628	14,293,751		13,595,705		(698,046)	-4.9%
Operating Increase (Decrease)	\$ 194,462	\$ 1,085,760	\$ 1,111,430	\$ 740,645	\$	657,279	\$	(83,366)	-11.3%
Modified Cash Flow									
Operating Increase (Decrease)	\$ 194,462	\$ 1,085,760	\$ 1,111,430	\$ 740,645	\$	657,279	\$	(83,366)	-11.3%
Add Back Depreciation	807,463	849,846	1,020,736	1,117,016		1,174,780		57,764	5.2%
Less Capital Expenditures	(38,979)	(118,194)	(174,844)	(22,850)		(22,850)		-	0.0%
Less Capital Reserve Funding	-	-	-	-		-		-	-%
Less Debt Service Principal	 (1,487,520)	(1,536,682)	 (1,606,907)	 (1,676,600)		(1,748,810)		(72,210)	4.3%
Net Change Before Other Adjustments & Transfers	(524,574)	280,730	350,415	158,211		60,399		(97,812)	
Transfer from/(to) Administrative Savings Rsrv	-	-	-	-		-		-	
Transfer from/(to) Budget Stabilization	-	-	-			-		-	
Net Change Subtotal	 (524,574)	280,730	350,415	158,211		60,399		(97,812)	
Other Strategic Transfers from/(to) Reserves	(6,046)	(6,046)	-	-		-		-	
Net Change in Cash & Reserve Transfers	\$ (530,620)	\$ 274,684	\$ 350,415	\$ 158,211	\$	60,399	\$	(97,812)	

UNIVERSITY OF SOUTHERN MAINE FY20 Proposed Budget E&G and AUXILIARY

	 FY16 ACTUALS		FY17 ACTUALS		FY18 ACTUALS		FY19 BASE		FY20 BASE	BUDGET C	HANGE
Revenues											
Tuition & Fee Revenue	\$ 68,364,067	\$	69,775,575	\$	75,197,802	\$	77,776,029	\$	82,800,495	\$ 5,024,466	6.5%
Dining & Residence Revenue	10,562,670		10,973,159		11,153,284		11,788,418		12,783,470	995,052	8.4%
Tuition Waivers/Scholarships	(11,693,166)		(14,224,226)		(17,336,560)		(18,239,674)		(18,201,165)	38,509	-0.2%
Net Student Charges Revenue	67,233,572		66,524,507		69,014,525		71,324,773		77,382,800	6,058,027	8.5%
State Appropriation	44,085,762		48,032,552		47,783,008		47,775,142		48,098,693	323,551	0.7%
Indirect Cost Recovery	3,424,032		3,579,669		3,424,630		3,500,000		3,525,000	25,000	0.7%
Investment Income/Gifts	37,916		191,835		192,343		120,000		120,000	-	0.0%
Sales/Services/Auxiliary	6,194,364		5,845,184		5,646,223		5,985,357		4,460,946	(1,524,411)	-25.5%
Total Revenue	120,975,646		124,173,758		126,060,730		128,705,272		133,587,439	4,882,167	3.8%
Expenses											
Personnel	76,117,120		77,453,413		79,520,533		84,266,863		88,638,749	4,371,886	5.2%
Fuel & Electricity	3,506,886		3,308,837		3,482,202		3,572,095		4,077,021	504,926	14.1%
Supplies & Services	9,943,445		10,814,117		11,627,846		10,964,325		10,533,282	(431,043)	-3.9%
Shared Services	9,805,637		12,507,766		11,810,828		12,374,359		12,610,098	235,739	1.9%
Travel	1,137,587		1,317,223		1,431,881		1,173,209		1,197,415	24,206	2.1%
Memberships, Contributions & Sponsorships	237,687		292,234		259,990		373,937		329,097	(44,840)	-12.0%
Maintenance & Alterations	4,711,769		2,696,995		3,220,994		2,556,886		2,852,619	295,733	11.6%
Interest	2,087,591		2,075,062		1,899,304		1,804,014		1,621,927	(182,087)	-10.1%
Depreciation	6,807,262		6,952,303		7,430,849		7,619,571		7,955,825	336,254	4.4%
Other Expenses & Transfers	 3,395,911		5,198,549		5,392,780		7,480,918		7,822,844	341,926	4.6%
Total Operating Expenses & Transfers	 117,750,897		122,616,498		126,077,206		132,186,177		137,638,877	5,452,700	4.1%
Operating Increase (Decrease)	\$ 3,224,749	\$	1,557,259	\$	(16,476)	\$	(3,480,905)	\$	(4,051,438)	\$ (570,533)	16.4%
Modified Cash Flow											
Operating Increase (Decrease)	\$ 3,224,749	\$	1,557,259	\$	(16,476)	\$	(3,480,905)	\$	(4,051,438)	\$ (570,533)	16.4%
Add Back Depreciation	6,807,262		6,952,303		7,430,849		7,619,571		7,955,825	336,254	4.4%
Less Capital Expenditures	(5,936,454)		(3,930,228)		(1,789,885)		(1,823,406)		(1,723,406)	100,000	-5.5%
Less Capital Reserve Funding	(1,250,000)		-		-		-		-	-	-%
Less Debt Service Principal	(2,615,455)		(3,264,375)		(3,270,668)		(3,350,495)		(3,045,582)	304,913	-9.1%
Net Change Before Other Adjustments & Transfers	 230,102		1,314,959		2,353,820		(1,035,235)		(864,601)	170,634	
Transfer from/(to) Administrative Savings Rsrv	-		-		105,000		-		-	-	
Transfer from/(to) Budget Stabilization	 -		-		275,320		500,000		500,000		
Net Change Subtotal	230,102	_	1,314,959	_	2,734,140	_	(535,235)	_	(364,601)	170,634	
Other Strategic Transfers from/(to) Reserves	2,595,021		812,324		272,078		693,446		425,000	(268,446)	
Net Change in Cash & Reserve Transfers	\$ 2,825,123	\$	2,127,283	\$	3,006,218	\$	158,211	\$	60,399	\$ (97,812)	

UNIVERSITY OF SOUTHERN MAINE FY20 Proposed Budget E&G and AUXILIARY EXCLUDES LAW SCHOOL

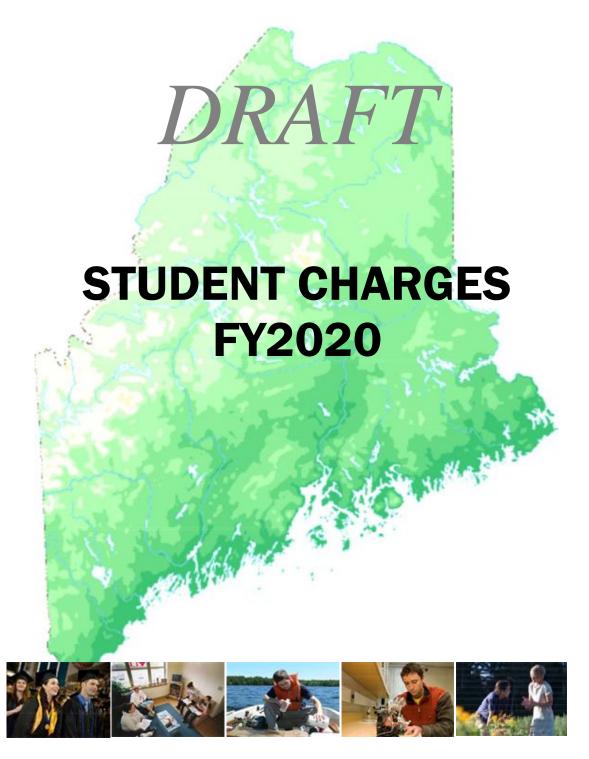
	 FY16 ACTUALS	_	FY17 ACTUALS	 FY18 ACTUALS	_	FY19 BASE	_	FY20 BASE	BUDGET	CHANGE
Revenues										
Tuition & Fee Revenue	\$ 62,093,969	\$	63,727,867	\$ 69,113,908	\$	71,407,949	\$	76,552,447	\$ 5,144,498	7.2%
Dining & Residence Revenue	10,562,670		10,973,159	11,153,284		11,788,418		12,783,470	995,052	8.4%
Tuition Waivers/Scholarships	(10,744,427)		(12,769,789)	(15,541,212)		(16,339,674)		(16,721,251)	(381,577) 2.3%
Net Student Charges Revenue	 61,912,212	_	61,931,236	 64,725,981		66,856,693		72,614,666	5,757,973	8.6%
State Appropriation	44,085,762		48,032,552	47,783,008		47,775,142		48,098,693	323,551	0.7%
Indirect Cost Recovery	3,424,032		3,579,669	3,424,630		3,500,000		3,525,000	25,000	0.7%
Investment Income/Gifts	37,916		125,675	127,698		120,000		120,000		0.0%
Sales/Services/Auxiliary	6,175,458		5,826,091	5,637,571		5,985,357		4,460,946	(1,524,411	-25.5%
Total Revenue	115,635,380	_	119,495,233	121,698,888		124,237,192		128,819,305	4,582,113	3.7%
Expenses										
Personnel	70,677,567		72,107,759	74,322,015		78,724,895		83,102,944	4,378,049	5.6%
Fuel & Electricity	3,506,886		3,308,837	3,482,202		3,572,095		4,077,021	504,926	14.1%
Supplies & Services	9,615,829		10,515,723	11,360,623		10,728,035		10,296,992	(431,043	-4.0%
Shared Services	9,805,637		12,507,766	11,810,828		12,374,359		12,610,098	235,739	1.9%
Travel	1,045,971		1,203,524	1,340,686		1,138,288		1,162,494	24,206	2.1%
Memberships, Contributions & Sponsorships	187,548		238,887	218,523		347,237		302,397	(44,840) -12.9%
Maintenance & Alterations	4,681,234		2,681,792	3,184,786		2,553,636		2,849,369	295,733	11.6%
Interest	2,087,591		2,075,062	1,899,304		1,804,014		1,621,927	(182,087	-10.1%
Depreciation	6,807,262		6,952,303	7,430,849		7,619,571		7,955,825	336,254	4.4%
Other Expenses & Transfers	 2,577,964		4,469,969	 4,597,451		7,624,751		7,966,676	341,925	4.5%
Total Operating Expenses & Transfers	 110,993,490		116,061,622	 119,647,266		126,486,881		131,945,743	5,458,862	4.3%
Operating Increase (Decrease)	\$ 4,641,890	\$	3,433,611	\$ 2,051,622	\$	(2,249,689)	\$	(3,126,438)	\$ (876,749	39.0%
Modified Cash Flow										
Operating Increase (Decrease)	\$ 4,641,890	\$	3,433,611	\$ 2,051,622	\$	(2,249,689)	\$	(3,126,438)	\$ (876,749	39.0%
Add Back Depreciation	6,807,262		6,952,303	7,430,849		7,619,571		7,955,825	336,254	4.4%
Less Capital Expenditures	(5,936,454)		(3,929,287)	(1,788,419)		(1,823,406)		(1,723,406)	100,000	-5.5%
Less Capital Reserve Funding	(1,250,000)		-	-		-		-		-%
Less Debt Service Principal	(2,615,455)		(3,264,375)	(3,270,668)		(3,350,495)		(3,045,582)	304,913	-9.1%
Net Change Before Other Adjustments & Transfers	 1,647,243		3,192,252	 4,423,384		195,981		60,399	(135,582)
Transfer from/(to) Administrative Savings Rsrv	-		-	-		-		-	-	
Transfer from/(to) Budget Stabilization	-		-	-		-		-		
Net Change Subtotal	1,647,243		3,192,252	4,423,384		195,981		60,399	(135,582)
Other Strategic Transfers from/(to) Reserves	2,595,021		149,389	272,078		-		-	-	
Net Change in Cash & Reserve Transfers	\$ 4,242,264	\$	3,341,641	\$ 4,695,462	\$	195,981	\$	60,399	\$ (135,582)

GOVERNANCE FY20 Proposed Budget E&G

		FY16 ACTUALS	FY17 ACTUALS	 Y18 ACTUALS	FY19 BASE	FY20 BASE	BUDGET CH	ANGE
<u>Revenues</u>								
Tuition & Fee Revenue	\$	-	\$ -	\$	\$ -	\$ -	\$ -	-%
Dining & Residence Revenue		-	-	-	-	-	-	-%
Tuition Waivers/Scholarships		-	-	-	-	-	-	-%
Net Student Charges Revenue		-	 -	 -	-	-	-	-%
State Appropriation		3,949,601	4,342,115	4,288,136	4,351,193	4,368,473	17,280	0.4%
Indirect Cost Recovery		-	-	-	-	-	-	-%
Investment Income/Gifts		-	-	-	-	-	-	-%
Sales/Services/Auxiliary		-	-	-	-	-	-	-%
Total Revenue		3,949,601	4,342,115	4,288,136	4,351,193	4,368,473	17,280	0.4%
Expenses								
Personnel		2,732,983	3,288,521	4,029,873	3,948,002	3,979,122	31,120	0.8%
Fuel & Electricity		1,801	2,431	1,924	3,200	3,200	-	0.0%
Supplies & Services		93,204	82,555	84,117	81,709	81,709	-	0.0%
Shared Services		418,535	641,998	511,319	580,337	594,540	14,203	2.4%
Travel		80,182	108,754	139,496	127,449	128,279	830	0.7%
Memberships, Contributions & Sponsorships		39,034	42,617	31,197	24,325	24,325	-	0.0%
Maintenance & Alterations		2,790	4,883	4,752	1,200	800	(400)	-33.3%
Interest		-	-	-	-	-	-	-%
Depreciation		-	-	-	-	-	-	-%
Other Expenses & Transfers		33,990	(187,002)	(148,453)	(415,029)	(443,502)	(28,473)	6.9%
Total Operating Expenses & Transfers	-	3,402,519	 3,984,758	 4,654,225	4,351,193	4,368,473	17,280	0.4%
Operating Increase (Decrease)	\$	547,082	\$ 357,357	\$ (366,089)	\$ -	\$ -	\$ -	-%
Modified Cash Flow								
Operating Increase (Decrease)	\$	547,082	\$ 357,357	\$ (366,089)	\$ -	\$ -	\$ -	-%
Add Back Depreciation		-	-	-	-	-	-	-%
Less Capital Expenditures		-	-	(895)	-	-	-	-%
Less Capital Reserve Funding		-	-	-	-	-	-	-%
Less Debt Service Principal		-	-	 -	 -	 -	-	-%
Net Change Before Other Adjustments & Transfers		547,082	357,357	(366,984)	-	-	-	
Transfer from/(to) Administrative Savings Rsrv		-	-	-	-	-	-	
Transfer from/(to) Budget Stabilization		-	 	 	-	 -	 	
Net Change Subtotal		547,082	357,357	(366,984)	-	-	-	
Other Strategic Transfers from/(to) Reserves		-	(257,499)	263,457	-	-	-	
Net Change in Cash & Reserve Transfers	\$	547,082	\$ 99,858	\$ (103,528)	\$ -	\$ -	\$ -	

UNIVERSITY SERVICES FY20 Proposed Budget F&G

	FY	16 ACTUALS		FY17 ACTUALS	FY18 ACTUALS		FY19 BASE		FY20 BASE	В	UDGET CH	IANGE
<u>Revenues</u>												
Tuition & Fee Revenue	\$	-	\$	-	\$ -	\$	-	\$		\$	-	-%
Dining & Residence Revenue		-		-	-		-		-		-	-%
Tuition Waivers/Scholarships		-		-	-		-		-		-	-%
Net Student Charges Revenue		-		-	-		-		-		-	-%
State Appropriation		11,457,517		8,551,022	3,801,740		3,801,740		9,073,575	5,2	71,835	138.7%
Indirect Cost Recovery		7,548		7,556	6,864		189,658		189,658		-	0.0%
Investment Income/Gifts		2,498,425		9,333,992	5,879,031		4,424,337		3,408,771	(1,0	15,566)	-23.0%
Sales/Services/Auxiliary		978,384		854,560	868,238		676,904		763,904		87,000	12.9%
Total Revenue		14,941,873		18,747,130	10,555,874		9,092,639		13,435,908	4,3	43,269	47.8%
<u>Expenses</u>												
Personnel		27,997,347		30,459,738	32,750,171		35,381,616		36,375,929	g	94,313	2.8%
Fuel & Electricity		64,148		20,942	63,362		9,410		9,410		-	0.0%
Supplies & Services		3,489,594		3,759,918	3,699,345		3,968,659		4,177,428	2	08,769	5.3%
Shared Services	(31,833,921)		(42,490,211)	(43,250,506)		(45,668,701)		(47,041,668)	(1,3	72,967)	3.0%
Travel		276,998		213,987	223,825		382,241		375,377		(6,864)	-1.8%
Memberships, Contributions & Sponsorships		442,668		472,950	337,789		382,891		380,141		(2,750)	-0.7%
Maintenance & Alterations		3,005,507		3,636,947	3,362,649		4,026,318		4,015,362		10,956)	-0.3%
Interest		87,400		59,400	30,200		35,912		53,495		17,583	49.0%
Depreciation		3,866,031		3,870,513	4,558,071		4,835,520		4,024,716	(8	10,804)	-16.8%
Other Expenses & Transfers		4,018,046		2,348,882	3,354,825		2,928,981		6,083,693	3,1	54,712	107.7%
Pooled Costs - Benefits		30,114		666,425	(5,806,607)		-		-		-	-%
Pooled Costs - Insurance		(179,036)		(552,367)	(508,697)		-		-		-	-%
Total Operating Expenses & Transfers		11,264,895		2,467,124	(1,185,573)		6,282,847		8,453,883	2,1	71,036	34.6%
Operating Increase (Decrease)	\$	3,676,978	\$	16,280,006	\$ 11,741,447	\$	2,809,792	\$	4,982,025	\$ 2,1	72,233	77.3%
Modified Cash Flow												
Operating Increase (Decrease)	\$	3,676,978	\$	16,280,006	\$ 11,741,447	\$	2,809,792	\$	4,982,025	\$ 2,1	72,233	77.3%
Add Back Depreciation		3,866,031		3,870,513	4,558,071		4,835,520		4,024,716	8)	10,804)	-16.8%
Less Capital Expenditures		(1,898,750)		(1,376,370)	(545,809)		(473,800)		(459,800)		14,000	-3.0%
Less Capital Reserve Funding		(29,471)		(1,943,449)	(3,067,317)		(4,387,337)		(3,371,771)	1,0	15,566	-23.1%
Less Debt Service Principal		(700,000)		(730,000)	(755,000)		(7,868)		(173,145)	(1	65,277)	2100.6%
Net Change Before Other Adjustments & Transfers		4,914,788		16,100,699	11,931,391		2,776,307		5,002,025	2,2	25,718	
Transfer from/(to) Administrative Savings Rsrv		(3,702,250)		(5,792,912)	(2,663,792)		(3,301,740)		(5,002,025)	(1,7	00,285)	
Transfer from/(to) Budget Stabilization	_		_	-	(2,000,000)	_		_		_		
Net Change Subtotal		1,212,538		10,307,788	 7,267,599		(525,433)		-	5	25,433	
Other Strategic Transfers from/(to) Reserves		1,640,261		(7,440,402)	(6,224,028)		525,433		-	(5	25,433)	
Net Change in Cash & Reserve Transfers	\$	2,852,799	\$	2,867,386	\$ 1,043,571	\$	-	\$	-	\$	-	



May 3, 2019



UNIVERSITY OF MAINE SYSTEM

STUDENT CHARGES

FY20

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UNIVERSITY OF MAINE SYSTEM

FY20 TOTAL STUDENT CHARGES

1120	TOTALS	TOBELLI	CHANGE		
			Tuition &		
	Annual	Mandatory	•	&	
I. Undergraduate	Tuition	Fees	Fees ¹	Board ²	Total
In-State					
UM	\$9,000	\$2,438	\$11,438	\$10,666	\$22,104
UMA	7,170	998	8,168	9,050	17,218
UMF	8,992	915	9,907	9,902	19,809
UMFK	7,170	1,125	8,295	8,360	16,655
UMM	7,170	886	8,056	9,180	17,236
UMPI	7,170	1,070	8,240	8,496	16,736
USM	8,430	1,040	9,470	8,785	18,255
Average	7,872	1,210	9,082	9,232	18,314
Out-of-State	7				
UM	\$29,310	\$2,438	\$31,748	\$10,666	\$42,414
UMA ³	17,340	998	18,338	9,050	27,388
UMF	19,840	915	20,755	9,902	30,657
UMFK	11,460	1,125	12,585	8,360	20,945
UMM	14,250	886	15,136	9,180	24,316
UMPI	11,460	1,070	12,530	8,496	21,026
USM	22,170	1,040	23,210	8,785	31,995
Average	17,976	1,210	19,186	9,232	28,418
NEBHE	7				
UM	\$14,850	\$2,438	\$17,288	\$10,666	\$27,954
UMA	11,460	998		9,050	\$21,508
UMF	14,848	915	15,763	9,902	25,665
UMFK	11,460	1,125	12,585	8,360	20,945
UMM	11,460	886	12,346	9,180	21,526
UMPI	11,460	1,070	12,530	8,496	21,026
USM	13,920	1,040	14,960	8,785	23,745
Average	12,780	1,210	13,990	9,232	23,222
Canadian					
UM	\$14,850	\$2,438	\$17,288	\$10,666	\$27,954
UMA	11,460	998	12,458	9,050	\$21,508
UMF	14,848	915	15,763	9,902	25,665
UMFK	11,460	1,125	12,585	8,360	20,945
UMM	11,460	886	12,346	9,180	21,526
UMPI	11,460		12,530	8,496	21,026
USM	13,920			8,785	23,745
Average	12,780	1,210	13,990	9,232	23,222

 $^{^1\}mbox{Annual tuition}$ & mandatory fees are based on 15 credit hours per semester for two semesters for undergraduate and law students (except UMF based on 16 credit hours per semester beginning in FY07) and 9 credit hours per semester for two semesters for graduate students.

 $^{^2}$ Rates shown are based on the meal plan and room type with the greatest projected number of students. Several meal plans and room types are available. Beginning in FY20, UMA has limited housing but no dining.

³UMA's out-of-state <u>online</u> annual tuition is 125% of the in-state rate.

UNIVERSITY OF MAINE SYSTEM FY20 TOTAL STUDENT CHARGES

			Tuition &	Room	
	Annual	Mandatory	Mandatory	&	
II. Graduate	Tuition	Fees	Fees ¹	Board ²	Total
In-State					
UM	\$8,100	\$1,232	\$9,332	\$10,666	\$19,998
UMF	7,578	359	7,937	9,902	17,839
USM	7,578	558	8,136	8,785	16,921
Average	7,752	716	8,468	9,784	18,252
Out-of-State					
UM	\$26,388	\$1,232	\$27,620	\$10,666	\$38,286
UMF	11,250	359	11,609	9,902	21,511
USM	20,538	558	21,096	8,785	29,881
Average	19,392	716	20,108	9,784	29,892
NEBHE	1				
UM	\$13,374	\$1,232	\$14,606	\$10,666	\$25,272
USM	12,510	558	13,068	8,785	21,853
Average	12,942	895	13,837	9,726	23,563
Canadian	1				
UM	\$13,374	\$1,232	\$14,606	\$10,666	\$25,272
USM	12,510	558	13,068	8,785	21,853
Average	12,942	895	13,837	9,726	23,563

III. Law School

In-State	\$23,190	\$1,030	\$24,220	\$8,785	\$33,005
Out-of-State	\$34,680	\$1,030	\$35,710	\$8,785	\$44,495
NEBHE/Canadian	\$31,650	\$1,030	\$32,680	\$8,785	\$41,465

 $^{^1}$ Annual tuition & mandatory fees are based on 15 credit hours per semester for two semesters for undergraduate and law students (except UMF based on 16 credit hours per semester beginning in FY07) and 9 credit hours per semester for two semesters for graduate students.

 $^{^2}$ Rates shown are based on the meal plan and room type with the greatest projected number of students. Several meal plans and room types are available. Beginning in FY20, UMA has limited housing but no dining.

UNIVERSITY OF MAINE SYSTEM **FY20 TUITION RATES - PER CREDIT HOUR**

	FY19	FY20	FY20 Inc	reases
	Rate	Rate	\$	%
In-State				
Undergraduate				
UM	\$293	\$300	7	2.4
UMA/UMFK/UMM/UMPI	233	239	6	2.6
UMF	274	281	7	2.6
USM	271	281	10	3.7
Graduate				
UM	439	450	11	2.5
UMF/USM	407	421	14	3.4
Law	743	773	30	4.0
Out-of-State				
Undergraduate				
UM	953	977	24	2.5
UMA^1	564	578	14	2.5
UMF	590	620	30	5.1
UMFK	373	382	9	2.4
UMM	475	475	-	-
UMPI	373	382	9	2.4
USM	713	739	26	3.6
Graduate				
UM	1,430	1,466	36	2.5
UMF	595	625	30	5.0
USM	1,100	1,141	41	3.7
Law	1,112	1,156	44	4.0

¹The majority of UMA Out-of-State Undergraduate students are enrolled in Online programs = \$125% of in-state rate.

Academic Partnership rates vary by program.

UNIVERSITY OF MAINE SYSTEM FY20 TUITION RATES - PER CREDIT HOUR

	FY19	FY20	FY20 Inci	reases
	Rate	Rate	\$	%
NEBHE				
Undergraduate				
UM	\$469	\$495	26	5.5
UMA/UMFK/UMM/UMPI	373	382	9	2.4
UMF	439	464	25	5.7
USM	434	464	30	6.9
Graduate				
UM	702	743	41	5.8
USM	651	695	44	6.8
Law	1,014	1,055	41	4.0
Canadian				
Undergraduate				
UM	469	495	26	5.5
UMA/UMFK/UMM/UMPI	373	382	9	2.4
UMF	439	464	25	5.7
USM	434	464	30	6.9
Graduate				
UM	702	743	41	5.8
USM	651	695	44	6.8
Law	1,014	1,055	41	4.0

NOTE: In FY20, NEBHE rates are 160% or 165% the in-state rate. NEBHE rate can be up to 175% the in-state rate.

UNIVERSITY OF MAINE SYSTEM ANNUAL TUITION RATES

						FY19 to	
DERGRADUATE	EV1.	EV17	EV/10	FY19	EV20	Annual II	ncrease %
<u>In-State</u> UM	FY16 \$8,370	FY17 \$8,370	FY18 \$8,580	\$8,790	FY20 \$9,000	210	2.4
UMA				6,990	7,170	180	
	6,510	6,510	6,840				2.6
UMF	8,352	8,352	8,576	8,768	8,992	224	2.6
UMFK	6,600	6,600	6,840	6,990	7,170	180	2.0
UMM	6,660	6,660	6,840	6,990	7,170	180	2.0
UMPI	6,600	6,600	6,840	6,990	7,170	180	2.0
USM	7,590	7,590	7,860	8,130	8,430	300	3.7
Average	7,240	7,240	7,482	7,664	7,872	208	2.7
Out-of-State							
UM	\$26,640	\$27,240	\$27,960	\$28,590	\$29,310	720	2.5
UMA	15,750	16,110	16,530	16,920	17,340	420	2.5
UMF	17,440	17,440	18,144	18,880	19,840	960	5.
UMFK	9,900	10,230	10,950	11,190	11,460	270	2.4
UMM	18,480	18,480	18,480	14,250	14,250	-	-
UMPI	9,900	10,230	10,950	11,190	11,460	270	2.4
USM	19,950	19,950	20,670	21,390	22,170	780	3.6
Average	16,866	17,097	17,669	17,487	17,976	489	2.8
NEBHE							
UM	\$12,570	\$12,960	\$13,740	\$14,070	\$14,850	780	5.5
UMA	9,750	10,080	10,950	11,190	11,460	270	2.4
UMF	12,544	12,960	13,728	14,048	14,848	800	5.´
UMFK	9,900	10,230	10,950	11,190	11,460	270	2.4
UMM	9,990	10,320	10,950	11,190	11,460	270	2.4
UMPI	9,900	10,230	10,950	11,190	11,460	270	2.4
USM	11,400	11,760	12,570	13,020	13,920	900	6.9
Average	10,865	11,220	11,977	12,271	12,780	509	4.
	,	· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	·	,		
<u>Canadian</u> UM	\$12,570	\$12,960	\$13,740	\$14,070	\$14,850	780	5
UMA	9,750	10,080	10,950	11,190	11,460		2.
UMF	12,544	12,960	13,728	14,048	14,848	270 800	5.
UMFK	9,900	10,230	10,950	11,190	11,460	270	2.
UMM	10,530	10,230	11,160	11,190	11,460	270	2.
UMPI	9,900	10,330		11,190	11,460	270	
USM			10,950			900	2.
Average	11,400 10,942	11,760 11,250	12,570 12,007	13,020 12,271	13,920 12,780	509	6. 4.

NOTE: Based on 15 credit hours per semester for two semesters for undergraduate and law students (except UMF based on 16 credit hours per semester beginning in FY07 & UMFK based on "Block" rate for 12-18 credit hours per semester in FY13 - FY17) and 9 credit hours per semester for two semesters for graduate students.

UNIVERSITY OF MAINE SYSTEM ANNUAL TUITION RATES

						FY19 to	FY20
GRADUATE						Annual I	ncrease
In-State	FY16	FY17	FY18	FY19	FY20	\$	%
UM	\$7,524	\$7,524	\$7,722	\$7,902	\$8,100	198	2.5
UMF	6,822	6,822	7,002	7,326	7,578	252	3.4
USM	6,840	6,840	7,074	7,326	7,578	252	3.4
Average	7,062	7,062	7,266	7,518	7,752	234	3.1
Out-of-State							
UM	\$23,940	\$24,498	\$25,146	\$25,740	\$26,388	648	2.5
UMF	9,900	9,900	10,296	10,710	11,250	540	5.0
USM	18,468	18,468	19,134	19,800	20,538	738	3.7
Average	17,436	17,622	18,192	18,750	19,392	642	3.4
NEBHE							
UM	\$11,286	\$11,664	\$12,348	\$12,636	\$13,374	738	5.8
USM	10,260	10,602	11,322	11,718	12,510	792	6.8
Average	10,773	11,133	11,835	12,177	12,942	765	6.3
<u>Canadian</u>							
UM	\$11,286	\$11,664	\$12,348	\$12,636	\$13,374	738	5.8
USM	10,260	10,602	11,322	11,718	12,510	792	6.8
Average	10,773	11,133	11,835	12,177	12,942	765	6.3
T A \$\$7							
LAW	¢22.200	¢22.200	¢22.200	¢22.200	¢22.100	000	4.0
In-State	\$22,290	\$22,290	\$22,290	\$22,290	\$23,190	900	4.0
Out-of-State	33,360	33,360	33,360	33,360	34,680	1,320	4.0
NEBHE/Canadian	30,420	30,420	30,420	30,420	31,650	1,230	4.0

NOTE: Based on 15 credit hours per semester for two semesters for undergraduate and law students (except UMF based on 16 credit hours per semester beginning in FY07 & UMFK based on "Block" rate for 12-18 credit hours per semester in FY13 - FY17) and 9 credit hours per semester for two semesters for graduate students.

UNIVERSITY OF MAINE SYSTEM ANNUAL MANDATORY FEES¹

University	Fee	Credit Hours	FY19	FY20	\$ Increase
UM	Graduate Student Activity Fee	1 or More Credit Hours	\$90.00	\$90.00	-
	Undergraduate Student Activity Fee	6 or More Credit Hours	106.00	106.00	-
	Communications Fee	6 or More Credit Hours	30.00	30.00	-
	Recreation Center Fee	0 to 5 Credit Hours	170.00	174.00	4.00
		6 or More Credit Hours	284.00	292.00	8.00
	Unified Fee	0 to 5 Credit Hours	262.00	268.00	6.00
		6 to 11 Credit Hours	800.00	820.00	20.00
		12 to 15 Credit Hours	1,960.00	2,010.00	50.00
		16 or More Credit Hours	2,012.00	2,062.00	50.00
UMA	Student Activity Fee	Per Credit Hour, up to 14	\$2.25	\$2.25	-
		15 or More Credit Hours	67.50	67.50	-
	Unified Fee	Per Credit Hour	31.00	31.00	-
	Unified Fee - University College	Per Credit Hour	13.00	13.00	-
UMF	Undergraduate Student Activity Fee	1 to 5 1/2 Credit Hours	\$80.00	\$80.00	-
		6 to 11 1/2 Credit Hours	120.00	120.00	-
		12 or More Credit Hours	160.00	160.00	-
	Student Health & Wellness Fee	4 or More Credit Hours	50.00	50.00	-
	Unified Fee	6 or less Credit Hours	176.00	180.00	4.00
		7 to 11 Credit Hours	350.00	359.00	9.00
		12 or More Credit Hours	688.00	705.00	17.00
UMFK	Student Activity Fee	Per Credit Hour	\$7.50	\$7.50	-
	Unified Fee	Per Credit Hour	30.00	30.00	-
UMM	Student Activity Fee	Per Credit Hour, up to 11	\$11.00	\$11.00	-
		12 or More Credit Hours	240.00	240.00	-
	Green Fee	Flat Fee	0.00	20.00	20.00
	Unified Fee	Flat Fee + Per Credit Hour	610.00	626.00	16.00
UMPI	Student Activity Fee	6 or less Credit Hours	\$85.00	\$85.00	-
		7 or More Credit Hours	170.00	170.00	-
	Unified Fee - Campus	Per Credit Hour	25.00	30.00	5.00
	Unified Fee - Outreach	Per Credit Hour	20.00	20.00	-
USM	Undergraduate Student Activity Fee	1 to 5 Credit Hours	\$38.00	\$38.00	-
	, ,	6 to 11 Credit Hours	74.00	74.00	-
		12 or More Credit Hours	110.00	110.00	-
	Law Student Activity Fee	6 or More Credit Hours	100.00	100.00	-
	Unified Fee	Per Credit Hour	30.00	31.00	1.00

¹Annual unless listed as per credit hour.

UNIVERSITY OF MAINE SYSTEM

ANNUAL MANDATORY FEES

							FY20 Iı	icreases
Undergrad	<u>uate</u>	FY16	FY17	FY18	FY19	FY20	\$	%
UM	Communications	\$30	\$30	\$30	\$30	\$30		
	Recreation Center Fee	252	270	270	284	292		
	Unified Fee	1,868	1,868	1,916	1,960	2,010		
	Student Activity	90	90	106	106	106		
	Total	\$2,240	\$2,258	\$2,322	\$2,380	\$2,438	58	2.4
UMA	Unified Fee	\$870	\$870	\$900	\$930	\$930		
	Student Activity	68	68	68	68	68		
	Total	\$938	\$938	\$968	\$998	\$998	-	-
UMF	Student Health & Fitness Fee	\$50	\$50	\$50	\$50	\$50		
UNIF	Unified Fee	655	450 655	\$30 672	688	330 705		
	Student Activity	160	160	160	160	160		
	Total	\$865	\$865	\$882	\$898	\$915	17	1.9
	-	·	·	·	•			
UMFK	Unified Fee	\$750	\$750	\$900	\$900	\$900		
	Student Activity	225	225	225	225	225		
	Total	\$975	\$975	\$1,125	\$1,125	\$1,125	-	-
UMM	Unified Fee	\$580	\$580	\$596	\$610	\$626		
	Green Fee	0	0	0	0	20		
	Student Activity	240	240	240	240	240		
	Total	\$820	\$820	\$836	\$850	\$886	36	4.2
HMDI	Unified Fee	\$540	\$540	\$750	\$750	\$900		
OMIT	Student Activity	160	160	170	170	170		
	Total	\$700	\$700	\$920	\$920	\$1,070	150	16.3
	-							
USM	Unified Fee	\$840	\$840	\$870	\$900	\$930		
	Student Activity	110	110	110	110	110		
	Total	\$950	\$950	\$980	\$1,010	\$1,040	30	3.0
Averag	ge	\$1,070	\$1,072	\$1,148	\$1,169	\$1,210	41	3.5
Graduate UM	Communications	\$30	\$30	\$30	\$30	\$30		
CIVI	Recreation Center Fee	252	270	270	284	292		
	Unified Fee	762	762	782	800	820		
	Student Activity	80	80	80	90	90		
	Total	\$1,124	\$1,142	\$1,162	\$1,204	\$1,232	28	2.3
	TT 101 1 TO	****	****	***	***	#27 0		
UMF	Unified Fee	\$333	\$333	\$342	\$350	\$359	9	2.6
USM	Unified Fee	\$504	\$504	\$522	\$540	\$558	18	3.3
Averag	ge	\$654	\$660	\$675	\$698	\$716	18	2.6
Law		#0.40	0040	0.70	# 000	#020		
USM	Unified Fee	\$840	\$840	\$870	\$900	\$930		
A	Student Activity	100 \$940	100	100	100	100	20	2.0
Averag	ge	\$940	\$940	\$970	\$1,000	\$1,030	30	3.0

NOTE: Based on 15 credit hours per semester for two semesters for undergraduate and law students (except UMF based on 16 credit hours per semester beginning in FY07 & UMFK based on "Block" rate for 12-18 credit hours per semester in FY13 - FY17) and 9 credit hours per semester for two semesters for graduate students.

UNIVERSITY OF MAINE SYSTEM ANNUAL TUITION AND MANDATORY FEES

In-State	UNDERGRA	DUATE	FY19	FY20	FY20 Increases	
UMA 7,988 8,168 180 2.3 UMF 9,666 9,907 241 2.5 UMFK 8,115 8,295 180 2.2 UMM 7,840 8,056 216 2.8 UMPI 7,910 8,240 330 4.2 USM 9,140 9,470 330 3.6 Average 8,833 9,082 249 2.8 Out-of-State UM \$30,970 \$31,748 778 2.5 UMA 17,918 18,338 420 2.3 UMF 19,778 20,755 977 4.9 UMF 19,778 20,755 977 4.9 UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 NEBHE	<u>In-St</u>	ate	Rate	Rate	\$	%
UMF 9,666 9,907 241 2.5 UMFK 8,115 8,295 180 2.2 UMM 7,840 8,056 216 2.8 UMPI 7,910 8,240 330 3.6 Average 8,833 9,082 249 2.8 Out-of-State UM \$30,970 \$31,748 778 2.5 UMA 17,918 18,338 420 2.3 UMF 19,778 20,755 977 4.9 UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMF 14,946 15,763 817 5.5 <tr< td=""><td></td><td>UM</td><td>\$11,170</td><td>\$11,438</td><td>268</td><td>2.4</td></tr<>		UM	\$11,170	\$11,438	268	2.4
UMFK 8,115 8,295 180 2.2 UMM 7,840 8,056 216 2.8 UMPI 7,910 8,240 330 4.2 USM 9,140 9,470 330 3.6 Average 8,833 9,082 249 2.8 Out-of-State UM \$30,970 \$31,748 778 2.5 UMA 17,918 18,338 420 2.3 UMF 19,778 20,755 977 4.9 UMF 19,778 20,755 977 4.9 UMMF 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMF 14,946 15,763 817 5.5		UMA	7,988	8,168	180	2.3
UMM 7,840 8,056 216 2.8 UMPI 7,910 8,240 330 4.2 USM 9,140 9,470 330 3.6 Average 8,833 9,082 249 2.8 Out-of-State UM \$30,970 \$31,748 778 2.5 UMA 17,918 18,338 420 2.3 UMF 19,778 20,755 977 4.9 UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 NEBHE UM \$16,450 \$17,288 838 5.1 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMFK 12,346 306 2.5 UMF 14,046 <		UMF	9,666	9,907	241	2.5
UMPI 7,910 8,240 330 4.2 USM 9,140 9,470 330 3.6 Average 8,833 9,082 249 2.8 Out-of-State UM \$30,970 \$31,748 778 2.5 UMA 17,918 18,338 420 2.3 UMF 19,778 20,755 977 4.9 UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 U		UMFK	8,115	8,295	180	2.2
USM 9,140 9,470 330 3.6 Average 8,833 9,082 249 2.8 Out-of-State UM \$30,970 \$31,748 778 2.5 UMA 17,918 18,338 420 2.3 UMF 19,778 20,755 977 4.9 UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMF 14,946 15,763 817 5.5		UMM	7,840	8,056	216	2.8
Average 8,833 9,082 249 2.8 Out-of-State UM \$30,970 \$31,748 778 2.5 UMA 17,918 18,338 420 2.3 UMF 19,778 20,755 977 4.9 UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMA \$12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMF 14,030 14,960 930 6.6 Average </td <td></td> <td>UMPI</td> <td>7,910</td> <td>8,240</td> <td>330</td> <td>4.2</td>		UMPI	7,910	8,240	330	4.2
Out-of-State UM \$30,970 \$31,748 778 2.5 UMA 17,918 18,338 420 2.3 UMF 19,778 20,755 977 4.9 UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UMA \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMM 12,040 12,346 306 2.5 USM 14,030 14,960 930 6.6		USM	9,140	9,470	330	3.6
UM \$30,970 \$31,748 778 2.5 UMA 17,918 18,338 420 2.3 UMF 19,778 20,755 977 4.9 UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMF 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Emadian UM		Average	8,833	9,082	249	2.8
UMA 17,918 18,338 420 2.3 UMF 19,778 20,755 977 4.9 UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMA \$12,188 \$12,458 270 2.2 UMF \$14,946 \$15,763 817 5.5 UMFK \$12,315 \$12,585 270 2.2 UMMI \$12,040 \$12,346 306 2.5 USM \$14,030 \$14,960 930 6.6 Average \$13,440 \$13,990 \$50 4.1 Canadian \$100 \$17,288 838 5.1 UMA \$	Out-	of-State				
UMF 19,778 20,755 977 4,9 UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian 1 UMA \$16,450 \$17,288 838 5.1		UM	\$30,970	\$31,748	778	2.5
UMFK 12,315 12,585 270 2.2 UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UMA \$16,450 \$17,288 838 5.1 UMA \$16,450 \$17,288 838 5.1 UMA \$16,450 \$17,288 838 5.1		UMA	17,918	18,338	420	2.3
UMM 15,100 15,136 36 0.2 UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UMA \$16,450 \$17,288 838 5.1 UMA \$16,450 \$17,288 838 5.1 UMF 14,946 15,763 817 5.5 UMF		UMF	19,778	20,755	977	4.9
UMPI 12,110 12,530 420 3.5 USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMA \$12,188 \$12,458 270 2.2 UMF \$14,946 \$15,763 817 5.5 UMFK \$12,315 \$12,585 270 2.2 UMM \$12,040 \$12,346 306 2.5 USM \$14,030 \$14,960 930 6.6 Average \$13,440 \$13,990 \$50 4.1 Canadian \$16,450 \$17,288 838 5.1 UMA \$16,450 \$17,288 838 5.1 UMA \$12,188 \$12,458 270 2.2 UMF \$14,946 \$15,763 817 5.5 UMF \$14,946 \$15,763 817 5.5		UMFK	12,315	12,585	270	2.2
USM 22,400 23,210 810 3.6 Average 18,656 19,186 530 2.8 NEBHE UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian 10MA \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 14,946 15,763 817 5.5		UMM	15,100	15,136	36	0.2
NEBHE 18,656 19,186 530 2.8 UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UMA \$16,450 \$17,288 838 5.1 UMA \$16,450 \$17,288 838 5.1 UMA \$16,450 \$17,288 838 5.1 UMF 14,946 15,763 817 5.5 UMF 14,946 15,763 817 5.5 UMF 12,315 12,585 270 2.2 UMM 12,040		UMPI	12,110	12,530	420	3.5
NEBHE UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMMI 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM		USM	22,400	23,210	810	3.6
UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		Average	18,656	19,186	530	2.8
UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6	<u>NEB</u>	<u>HE</u>				
UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		UM	\$16,450	\$17,288	838	5.1
UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		UMA	12,188	12,458	270	2.2
UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		UMF	14,946	15,763	817	5.5
UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		UMFK	12,315	12,585	270	2.2
USM 14,030 14,960 930 6.6 Average 13,440 13,990 550 4.1 Canadian UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		UMM	12,040	12,346	306	2.5
Average 13,440 13,990 550 4.1 Canadian UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		UMPI	12,110	12,530	420	3.5
Canadian UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		USM	14,030	14,960		6.6
UM \$16,450 \$17,288 838 5.1 UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		Average	13,440	13,990	550	4.1
UMA 12,188 12,458 270 2.2 UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6	<u>Cana</u>	<u>idian</u>				
UMF 14,946 15,763 817 5.5 UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		UM	\$16,450	\$17,288	838	5.1
UMFK 12,315 12,585 270 2.2 UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		UMA	12,188	12,458	270	2.2
UMM 12,040 12,346 306 2.5 UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		UMF	14,946	15,763	817	5.5
UMPI 12,110 12,530 420 3.5 USM 14,030 14,960 930 6.6		UMFK	12,315	12,585	270	2.2
USM 14,030 14,960 930 6.6		UMM	12,040	12,346	306	2.5
		UMPI	12,110	12,530	420	3.5
Average 13,440 13,990 550 4.1		USM	14,030	14,960	930	6.6
		Average	13,440	13,990	550	4.1

UNIVERSITY OF MAINE SYSTEM ANNUAL TUITION AND MANDATORY FEES

GRAD	UATE	FY19	FY20	FY20 Inc	reases
	In-State	Rate	Rate	\$	%
	UM	\$9,106	\$9,332	226	2.5
	UMF	7,676	7,937	261	3.4
	USM	7,866	8,136	270	3.4
	Average	8,216	8,468	252	3.1
	Out-of-State				
	UM	\$26,944	\$27,620	676	2.5
	UMF	11,060	11,609	549	5.0
	USM	20,340	21,096	756	3.7
	Average	19,448	20,108	660	3.4
	<u>NEBHE</u>				
	UM	\$13,840	\$14,606	766	5.5
	USM	12,258	13,068	810	6.6
	Average	13,049	13,837	788	6.0
	G P				
	<u>Canadian</u>	Φ12 O40	014.606	7.66	
	UM	\$13,840	\$14,606	766	5.5
	USM	12,258	13,068	810	6.6
	Average	13,049	13,837	788	6.0
T A 337					
LAW	In-State	\$23,290	\$24,220	930	4.0
	Out-of-State	34,360	35,710	1,350	3.9
	NEBHE/Canadian	31,420	32,680	1,260	4.0

UNIVERSITY OF MAINE SYSTEM ANNUAL ROOM & BOARD CHARGES¹

		ROO	M CHAR	GES		FY20 In	creases
	FY16	FY17	FY18	FY19	FY20	\$	%
UM	\$5,004	\$5,154	\$5,270	\$5,396	\$5,518	122	2.3
UMF	4,750	4,892	5,038	5,280	5,356	76	1.4
UMFK	4,250	4,250	4,250	4,250	4,460	210	4.9
UMM	4,326	4,326	4,326	4,460	4,600	140	3.1
UMPI	4,488	4,588 ²	4,708	4,850	4,850	-	-
USM	4,900	5,000	5,000	4,000 ³	4,160	160	4.0
Average	4,620	4,702 2	4,765	4,706	4,824	118	2.5
UMA					\$9,050		
		BOAR	RD CHAF	RGES			
	FY16	FY17	FY18	FY19	FY20		
UM	\$4,571	\$4,710	\$4,875	\$5,022	\$5,148	126	2.5
UMF	4,220	4,220	4,296	4,446	4,546	100	2.2
UMFK	3,660	3,660	3,660	3,870	3,900	30	0.8
UMM	4,160	4,160	4,160	4,335	4,580	245	5.7
UMPI	3,356 ²	3,356	3,556 ²	3,556	3,646	90	2.5
USM	4,500	4,200	4,200	4,450	4,625	175	3.9
Average	4,078 ²	4,051	4,125	4,280	4,408	128	3.0
	TOTA	L ROOM	I & BOA	RD CHAI	RGES		
	FY16	FY17	FY18	FY19	FY20		
UM	\$9,575	\$9,864	\$10,145	\$10,418	\$10,666	248	2.4
UMF	8,970	9,112	9,334	9,726	9,902	176	1.8
UMFK	7,910	7,910	7,910	8,120	8,360	240	3.0
UMM	8,486	8,486	8,486	8,795	9,180	385	4.4
UMPI	7,856	7,944	8,264	8,406	8,496	90	1.1
USM	9,400	9,200	9,200	8,450	8,785	335	4.0
Average	8,698	8,753	8,890	8,986	9,232	246	2.7

¹Rates shown are based on the meal plan and room type with the greatest projected number of students. Several meal plans and room types are available. Beginning in FY20, UMA has limited housing but no dining.

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²UMPI's FY16 board rate, FY17 room rate and FY18 board rate restated.

³USM's FY19 room rate restated to reflect Triples Rate.

UNIVERSITY OF MAINE SYSTEM ANNUAL COMPREHENSIVE STUDENT CHARGES (Includes Tuition, Mandatory Fees, Room and Board)

UNDERGRADUATE	FY19	FY20	FY20	Increases
<u>In-State</u>	Rate	Rate	\$	%
$\mathbf{U}\mathbf{M}$	\$21,588	\$22,104	516	2.4
UMA	7,988	17,218	9,230	115.5
UMF	19,392	19,809	417	2.2
UMFK	16,235	16,655	420	2.6
UMM	16,635	17,236	601	3.6
UMPI	16,316	16,736	420	2.6
USM	17,590	18,255	665	3.8
Average	17,819	18,314	495	2.8
Out-of-State				
UM	\$41,388	\$42,414	1,026	2.5
UMA	17,918	27,388	9,470	52.9
UMF	29,504	30,657	1,153	3.9
UMFK	20,435	20,945	510	2.5
UMM	23,895	24,316	421	1.8
UMPI	20,516	21,026	510	2.5
USM	31,850	31,995	145	0.5
Average	27,809	28,418	609	2.2
<u>NEBHE</u>				
UM	\$26,868	\$27,954	1,086	4.0
UMA	12,188	21,508	9,320	76.5
UMF	24,672	25,665	993	4.0
UMFK	20,435	20,945	510	2.5
UMM	20,835	21,526	691	3.3
UMPI	20,516	21,026	510	2.5
USM	23,480	23,745	265	1.1
Average	22,593	23,222	629	2.8
<u>Canadian</u>				
UM	\$26,868	\$27,954	1,086	4.0
UMA	12,188	21,508	9,320	76.5
UMF	24,672	25,665	993	4.0
UMFK	20,435	20,945	510	2.5
UMM	20,835	21,526	691	3.3
UMPI	20,516	21,026	510	2.5
USM	23,480	23,745	265	1.1
Average	22,593	23,222	629	2.8

NOTE: Tuition and Fees based on 15 credit hours per semester for two semesters for undergraduate and law students (except UMF based on 16 credit hours per semester beginning in FY07 & UMFK based on "Block" rates for 12-18 hours per semester in FY13 - FY17) and 9 credit hours per semester for two semesters for graduate students. Room and board rates are based on the meal plan and room type with the greatest projected number of students. Several meal plans and room types are available. Beginning in FY20, UMA has limited housing but no dining.

The majority of UMA Out-of-State Undergraduate students are enrolled in Online programs = \$125% of in-state rate.

UNIVERSITY OF MAINE SYSTEM ANNUAL COMPREHENSIVE STUDENT CHARGES (Includes Tuition, Mandatory Fees, Room and Board)

GRADUATE		FY19	FY20	FY20 Increases		
	In-State	Rate	Rate	\$	%	
	UM	\$19,524	\$19,998	474	2.4	
	UMF	17,402	17,839	437	2.5	
	USM	17,316	16,921	(395)	(2.3)	
	Average	18,081	18,252	171	0.9	
	Out-of-State					
	UM	\$37,362	\$38,286	924	2.5	
	UMF	20,786	21,511	725	3.5	
	USM	29,790	29,881	91	0.3	
	Average	29,313	29,892	579	2.0	
	NEBHE TO A	***	007.070	1.011		
	UM	\$24,258	\$25,272	1,014	4.2	
	USM	21,708	21,853	145	0.7	
	Average	22,983	23,563	580	2.5	
	Canadian					
	UM	\$24,258	\$25,272	1,014	4.2	
	USM	21,708	21,853	145	0.7	
	Average	22,983	23,563	580	2.5	
LAW						
	In-State	\$32,740	\$33,005	265	0.8	
	Out-of-State	43,810	44,495	685	1.6	
	NEBHE/Canadian	40,870	41,465	595	1.5	

NOTE: Tuition and Fees based on 15 credit hours per semester for two semesters for undergraduate and law students (except UMF based on 16 credit hours per semester beginning in FY07 & UMFK based on "Block" rates for 12-18 hours per semester in FY13 - FY17) and 9 credit hours per semester for two semesters for graduate students. Room and board rates are based on the meal plan and room type with the greatest projected number of students. Several meal plans and room types are available. Beginning in FY20, UMA has limited housing but no dining.

The majority of UMA Out-of-State Undergraduate students are enrolled in Online programs = \$125% of in-state rate.

UNIVERSITY OF MAINE SYSTEM STUDENT FEES

OVERVIEW

The procedures for establishing student fees throughout the University of Maine System are contained in the Board of Trustees Policy Manual in Section 703 and 704 as follows:

The <u>BOARD OF TRUSTEES</u> will establish those fees that are analogous to tuition, i.e., those that must be paid by all students as a condition of attendance. In addition, changes to the amount of the Student Activity Fee shall be requested by the recognized student governing body at each University and authorized after (a) a referendum approved by the student body, (b) approval of the President and, (c) approval of the Chancellor for presentation and approval by the Board of Trustees. Board approved fees include the Student Activity Fee, the Communications Fee, the Unified Fee, the Recreation Fee and the Student Health & Wellness Fee.

The <u>CHANCELLOR</u> will establish those fees impacting services and operations within the System. These include maximum levels for financial service fees (non-negotiable check fees) and fees principally affecting prospective students, such as application fees.

The <u>UNIVERSITY PRESIDENTS</u> are responsible to the maximum extent possible for establishing most university-specific fees, which include:

- all course fees
- all deposits
- all fees for optional university services and activities

Fee changes planned for the fall semester should ordinarily be adopted no later than May; those to become effective in the spring semester should be adopted by November 15. Universities should establish procedures for timely review of and comment on fee changes. The Chancellor should be informed in advance of the formal adoption of any fee changes.

Adjustments to Student Activity Fees will be considered by the Board at its May meeting in order to allow sufficient time for student governments to conduct spring referendums on any changes recommended to the fees.

UNIVERSITY OF MAINE SYSTEM NARRATIVE DESCRIPTION OF EACH MANDATORY FEE

Name University
Charging Fee

Student Activity Fee ALL

A student approved mandatory fee that is administered by the students for educational, cultural, social, and recreational purposes. Changes to this fee require the approval of the student body, University President, Chancellor, and Board of Trustees.

Communications Fee UM

A student approved mandatory fee that is administered by the students to support WMEB-FM, the Maine Channel, "The Maine Campus", and ASAP, a media and internet technologies laboratory.

Unified Fee ALL

This fee is used to cover fixed costs of providing educational services that may not be directly related to the number of credit hours for which a student is enrolled. This fee supports activities such as student services, the operation of facilities such as student and fitness centers, and student-utilized, instruction-related technologies.

Recreation Center Fee UM

This fee is assessed to pay for the construction of the Center and provides students with access to the state-of-the-art facility, including a leisure pool and sauna. Many aerobic classes and other programs and services are also provided for no additional fee.

Student Health & Wellness Fee UMF

This fee is charged to students registered for 4 or more credit hours of classes held at UMF. It covers all health center office visits, counseling and fees associated with Mainely Outdoors.

Green Fee UMM

A student approved mandatory fee used to re-start the recycling program on campus and to work on sustainable practices (refill stations, led lighting, planting more trees, etc.).



1. NAME OF ITEM: Acquisition of Real Property, UM

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME: BOARD POLICY:

Support Maine Through Research 801 Acquisition of Real Property and Economic Development

5. BACKGROUND:

The University of Maine System acting through the University of Maine (UM) requests authorization to pursue the acquisition of a land parcel located in Orono, Maine adjacent to the new University of Maine Cooperative Extension Diagnostic and Research Laboratory (DRL) to expand the research capabilities of the DRL.

This request is pursuant to Board of Trustees Policy 801 regarding the acquisition of real property, and pursuant to the Board's prohibition of increases in space without Trustee approval.

Regarding Policy 801, any acquisition of real property with a total value of over \$50,000 must be considered by the Board of Trustees or its Finance, Facilities, and Technology Committee. With an estimated cost of \$76,500, the current request is within the purview of the Committee to approve on behalf of the Board without further consideration by the full Board.

Regarding the increase in space, the acquisition consists of a parcel of 12.4 acres of land with no structures currently on it. This element of the request requires full Board approval.

The new Diagnostic and Research Lab is situated on a 5.98-acre parcel at 17 Godfrey Drive in Orono. The approximately 28,000 square foot building and its associated parking areas located in the middle of the property consume approximately less than half of the lot. Adjacent parcels to the east and west are occupied by a U.S. Postal Service distribution center and a town-owned drainage pond, respectively.

To the north, is a vacant 12.4 acre parcel, currently listed for sale at \$76,500. The addition of this parcel to the lab's land-base would allow for on-site field research, thus furthering the mission of supporting Maine through expanded research and economic development.

A primary goal of the Diagnostic and Research Lab is to explore ways to minimize the occurrence and spread of ticks and tick-borne disease in Maine. Current work includes tick identification and pathogen screening services, mapping of tick species distribution, and outreach on tick management and personal protection. Corresponding field research at an adjacent site would allow for novel work on emerging management strategies, the effects of land use on tick populations, as well as the opportunity to conduct long-term studies on climate's effects on ticks. This would also facilitate a number of collaborations and teaching opportunities, particularly through the UMaine School of Biology and Ecology's OneHealth Initiative. The available parcel is currently comprised of both open-field and forested habitat and is known to maintain populations of both the deer tick (Ixodes scapularis) and the American dog tick (Dermacentor variabilis).

In addition to the expanded research capabilities associated with this purchase, an increased land-base adjacent to the lab allows for possible future expansion.

This land acquisition has the full support of the directors of the research laboratories located in the Diagnostic and Research Laboratory as well as Jeffrey Hecker, Executive Vice President for Academic Affairs and Provost. The full cost of the land purchase will be covered by donations from various commodity groups that benefit from the lab's services.

The cost of the acquisition will be covered through donated contributions from stakeholder groups to Cooperative Extension. Any costs related to operation of the land (i.e., alterations of the landscape) will be paid for through grant funding associated with specific projects. There may be a need for an annual mowing of open fields to prevent trees from establishing, however, this cost should be minimal.

6. TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities and Technology Committee forward this item to the Consent Agenda at the May 19-20, 2019, Board of Trustees meeting for approval of the following resolution:

That the Board of Trustees approves the recommendation of the Finance, Facilities and Technology Committee and authorizes the University of Maine System acting through the University of Maine to acquire a 12.4 acre parcel of land located adjacent to 17 Godfrey Drive with funds donated to the University for this purpose, with all final terms and conditions subject to review and approval of the University of Maine System Treasurer and General Counsel.

12.4 acre lot for sale







1. NAME OF ITEM: Naming of Facility, UMPI

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME: BOARD POLICY:

Enhance UMS Fiscal Positioning 803 – Naming of Physical Facilities

5. BACKGROUND:

This is a request pursuant to Board of Trustees Policy 803 to name a facility at the University of Maine at Presque Isle as "The Zillman Family Greenhouse."

This is a new facility, approved previously by Trustees, for which ground was broken in April 2019. The maximum authorized budget for this project stands at \$935,000.

For details about the facility, its anticipated use and the benefits to students, faculty, researchers and industry, a prior agenda sheet associated with the Board's approval of the project is included in the meeting materials. This current request is focused on the naming of the facility.

Board of Trustee Policy 803 reserves the naming of facilities to the Board of Trustees. In addition to other information intended to guide the naming of facilities, the policy states, in part, that facilities may be named for any individual, living or dead, except for current employees or current members of the Board of Trustees and provides that facilities may be named for, or on the recommendation of, a major contributor to the cost of the facility. A contribution equivalent to at least 25 percent of the project cost is suggested for a naming gift for a physical facility.

The Board has, on occasion, exercised its authority to deviate from or act in exception to its established policies or guidelines. As an example of such a circumstance, the naming of the Paul J. Mitchell Batting Pavilion at the University of Maine was authorized in 2012 when Mr. Mitchell was a sitting trustee.

In the current instance, the naming of the facility is in connection with a gift from Linda G. and Donald N. Zillman, who are gifting \$110,000 for this project. The gift agreement includes the naming commitment.

Professor Zillman, among numerous other achievements, is the former President of the University of Maine at Fort Kent, the former President of the University of Maine at Presque Isle and is currently the Edward S. Godfrey Professor of Law at the University of Maine School of Law. Mrs. Zillman, a published art history author, has been a supporter of and active in Maine's arts community, among other accomplishments. The Zillmans have previously supported other UMS initiatives and the UMS mission. These efforts include but are not limited to the establishment of The Donald & Linda Zillman Scholarship and the Matisse Zillman Scholarship at the University of Maine at Presque Isle, as well as the Don and Linda G. Zillman and Families Professorship Fund at Maine Community Foundation for the benefit of the University of Maine at Presque Isle.

6. TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities and Technology Committee forward this item to the Consent Agenda at the May 19-20, 2019 Board of Trustees meeting for approval of the following resolution:

That the Board of Trustees approves the recommendation of the Finance, Facilities and Technology Committee to authorize the naming of the greenhouse facility at the University of Maine at Presque Isle to be known as "The Zillman Family Greenhouse," subject to review and approval of the final naming determination and the fulfillment status of the underlying gift agreement by General Counsel and the University Treasurer.

Finance, Facilities, Technology Committee Meeting - Greenhouse construction, UMPI



AGENDA ITEM SUMMARY

1. NAME OF ITEM: Greenhouse Construction, UMPI

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME:

Support Maine through research and economic development
Increase Enrollment
Improve Student success and completion

BOARD POLICY:

701 Financial Affairs – Operating & Capital Budgets

5. BACKGROUND:

The University of Maine System acting through the University of Maine at Presque Isle requests authorization to expend up to a maximum of \$850,000 to construct a new teaching and research greenhouse on the main campus in Presque Isle. Funding for this will be covered primarily through private gifts, grants and campus resources, \$575,000 of which already has been secured from various sources.

This request is pursuant to Board of Trustees Policy 701 Financial Affairs, which requires projects with a total cost of more than \$500,000 to be considered by the Board of Trustees or its Finance, Facilities and Technology Committee. In this case, the request is within the purview of the Finance, Facilities and Technology Committee.

The project involves the construction of an approximately 2,400 square foot 4-season research grade greenhouse. In August of 2016, the Board approved the additional square footage, (agenda item attached for reference). The matter before Trustees today is the estimated cost.

At that time the project was approved, the University believed the cost would be no more than \$220,000. In fact, the cost of the greenhouse structure itself is currently estimated at approximately \$200,000. However, additional project costs, including design, siting, utilities and other project costs – based on actual bids received by the University in May of 2018, based on the Trustees prior approval, have required the University to update its estimated cost to the current amount.

The project has not proceeded, pending this updated consideration by Trustees. Further,

8/10/2018

Finance, Facilities, Technology Committee Meeting - Greenhouse construction, UMPI

the University intends to rebid the project in an effort to lower costs and to proceed with construction in 2019.

As described in the August 2016 Finance, Facilities, Technology Committe agenda, the construction of the greenhouse is being requested to support growth in the Sustainable Agriculture program and to attract new students who are interested in agriculture. The agricultural program, and specifically the greenhouse, is consistent with the geographic location of the University and the role of agriculture in the region and its economy. The greenhouse will be used in formal courses, professional development, grower demonstrations, and undergraduate and faculty research to support a variety of local agriculture activities. These will include support for emerging needs in Aroostook County's traditional crops (potatoes and their rotation crops), as well as new crops and agricultural activity to expand economic opportunity.

The actual facility will include two research labs, a teaching classroom, and a faculty office. It will have full-spectrum high-intensity discharge (HID) lamps, an advanced climate control system and sensor capacity, along with full-scale watering and ventilation systems.

Additionally, to offset the square footage increase, Norton Museum (384 sq. ft.) has been demolished, the Gauvin property (1,276 sq. ft.) has been sold, and the three Skyway duplexes (2,066 sq. ft. per unit) are in the final stages of being transferred, thus removing them from UMPI's facility inventory.

To date, nearly \$575,000 has been secured from external sources, including the Maine Economic Improvement Fund (MEIF), with additional amounts slated to be finalized in the coming weeks and months. Construction of the greenhouse will not commence until sufficient external resources have been raised to cover the entire cost of the construction. Pending this approval, the project will be re-bid with intent to begin construction during the spring or summer of 2019.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees, acting through the Finance/Facilities/Technology Committee authorizes the University of Maine at Presque Isle to expend up to \$850,000 in grant, private gifts and campus funds for the construction of a new teaching greenhouse.



1. NAME OF ITEM: Ricci Lecture Hall Renovation Increase, USM

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME: BOARD POLICY:

Improve Student Success and Completion 701 – Budgets-Operating & Capital

5. BACKGROUND:

The University of Maine System acting through the University of Southern Maine (USM) requests to increase the total authorization for the Ricci Lecture Hall Renovation project by \$180,000, for a new total of up to \$680,000. The additional funding to complete this project comes from Campus E&G funds.

This request is pursuant to Board of Trustee Policy 701 requiring Trustee approval for increases to Board approved projects. An original request to expend up to \$500,000 was approved by Trustees acting through the Finance, Facilities, and Technology Committee in January of 2019. The prior Agenda sheet is attached for reference. This request is within the purview of the Finance, Facilities and Technology Committee.

The increase is due to increased costs in the current construction market. As project approval was granted, design continued and was completed. A major portion of the work was put out to bid in March and the bids reflected increased costs due to tight construction market conditions. Based on the bid results, the project is currently estimated at \$680,000. USM administration has identified additional funding to complete the project.

The Science Building has a Sightlines calculated Net Asset Value (NAV) of 48%, which is expected to benefit from the renovation investment.

Construction is currently scheduled to commence in the summer of 2019 with completion in time for the start of the Fall semester.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees, acting through the Finance, Facilities and Technology Committee authorizes the University of Maine System acting through the University of Southern Maine to increase the total project authorization for the Ricci Lecture Hall Renovation project by \$180,000 in Campus E&G funds to a new total of up to \$680,000.

Finance, Facilities, Technology Committee - Ricci Lecture Hall Renovation, USM



AGENDA ITEM SUMMARY

1. NAME OF ITEM: Ricci Lecture Hall Renovation, USM

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME:
Improve Student Success and Completion
BOARD POLICY:
701 – Budgets-Operating & Capital

5. BACKGROUND:

The University of Maine System acting through the University of Southern Maine (USM) requests authorization to spend up to \$500,000 to renovate the Ricci Lecture Hall located in the Science Building on the Portland Campus of the University of Southern Maine. The funding for this project includes \$250,000 in gifts, \$100,000 from Campus E&G, and \$150,000 in 2018 Infrastructure Improvement Bond funds.

This request is pursuant to Board Policy 701, which requires projects with a total cost of more than \$500,000 to be considered by the Board of Trustees or its Finance, Facilities and Technology Committee. In this case, the request is within the purview of the Finance Facilities and Technology Committee.

Scientist Dr. Ray Stevens, a USM graduate, donated \$250,000 to rename the lecture hall after his mentor, USM Professor Emeritus John Ricci. The lecture hall will be named "The John S. Ricci Lecture Hall" following the renovations. His donation enables the lecture hall to become a state of the art learning facility.

Current seating capacity in the 1,750 square feet tiered lecture hall is 120; the new seating capacity will be 110. A center row will be added to allow instructors better access to students, and allow for more movement throughout the hall.

New seats and desks will be configured such that students in every other row can turn around and work with those in the row behind them by adjusting the height of their seats. The ground level entrance to the lecture hall from the Science Lobby will be enlarged and enhanced. The space will receive all new finishes, with appropriate acoustical properties, and new LED lights will be installed.

The room will be outfitted with new audio-visual and instructional technology equipment that will be controlled by the instructor from a new lectern. The sound system will provide uniform audio coverage including an assistive listening system. The video system will 1/2/2019

Finance, Facilities, Technology Committee - Ricci Lecture Hall Renovation, USM

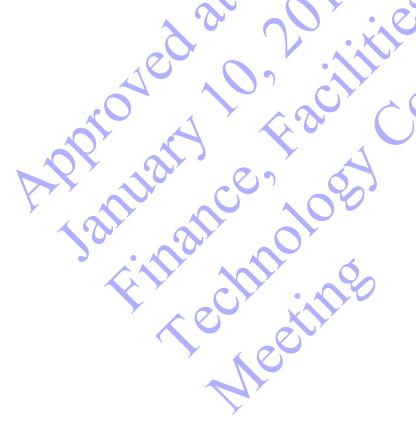
allow for multiple video sources. Sightlines in the lecture hall will be improved by the placement of equipment.

Currently every week there are approximately 1,000 students using the facility across 12 different classes. The space, which dates to 1976, more than 40 years ago, has received only minor updates since its original construction. The Science Building has a Sightlines calculated Net Asset Value (NAV) of 48%. This renovation should help to improve the NAV of this building.

Design is underway. Construction is currently scheduled to commence in the summer of 2019 with completion in time for the start of the Fall semester.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees, acting through the Finance, Facilities and Technology Committee authorizes the University of Maine System acting through the University of Southern Maine to expend up to \$500,000 from various funding sources, including \$250,000 from gifts, \$100,000 in E&G funds and \$150,000 from 2018 Bond funds to renovate the John S. Ricci Lecture Hall.



1/2/2019



1. NAME OF ITEM: York Hall Kitchen Hood Replacement Increase, UM

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME: BOARD POLICY:

Improve Student Success and Completion 701 – Budgets-Operating & Capital

5. BACKGROUND:

The University of Maine System acting through the University of Maine (UM) requests to increase the total authorization for the York Hall Kitchen Hood Replacement project by \$400,000, for a new total of up to \$950,000. The additional funding comes from Campus Auxiliary Reserves and will cover the costs to complete the necessary work.

This request is pursuant to Board of Trustee Policy 701 requiring Trustee approval for increases to Board approved projects. The Trustees approved the original project for \$550,000 in January of 2019. The prior Agenda sheet is attached for reference. This request is within the purview of the Finance, Facilities and Technology Committee.

The increase is primarily due to expanded needs of the facility which were identified during the design and investigation phase of the project; including structural improvements, new electrical panels and necessary finish work. Additionally, the estimated cost for the work has increased based on overall market conditions in Maine as has been discussed previously with Trustees and reported by general interest news outlets.

The schedule remains intact with the intent to complete this work during the summer of 2019, with occupancy available in time for the start of the fall semester. The commons will be closed for the duration of the work

York Hall is a residence hall for 265 students. It includes a kitchen and a dining commons. The building was built in 1963 and has had various upgrades since. The York Hall dining commons prepares and serves 11,500 student meals per week. It is one of three remaining commons serving the 3,800 students living on campus with meal plans. The kitchen exhaust systems are still original, approximately 55 years old, and no longer meet code. This work will bring the kitchen exhaust hoods into compliance, providing a safer environment for all of the building occupants.

York Hall has a Sightlines calculated Net Asset Value (NAV) of 46%, which is expected to benefit from the renovation investment. No added operational expenses are expected as a result of this renovation.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees, acting through the Finance, Facilities and Technology Committee, authorizes the University of Maine System acting through the University of Maine to increase the total project authorization for the York Hall Kitchen Hood replacement project by \$400,000 in Campus Auxiliary Reserves to a new total of up to \$950,000.

Finance, Facilities, Technology Committee - York Commons Kitchen Hood Replacement, UM



AGENDA ITEM SUMMARY

1. NAME OF ITEM: York Hall Kitchen Hood Replacement, UM

2. INITIATED BY: Karl W. Turner, Chair

Improve Student Success and Completion

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME: BOARD POLICY:

5. BACKGROUND:

The University of Maine System acting through the University of Maine (UM) requests authorization to spend up to \$550,000 to replace outdated kitchen exhaust hoods in York Hall kitchen in Orono. Funding for this project will come from Campus Auxiliary funds.

701 – Budgets-Operating & Capital

This request is pursuant to Board Policy 701, which requires projects with a total cost of more than \$500,000 to be considered by the Board of Trustees or its Finance, Facilities and Technology Committee. In this case, the request is within the purview of the Finance, Facilities and Technology Committee.

York Hall is a dormitory that houses 265 students with a kitchen and dining commons. The building was built in 1963 and has had various upgrades since. The York Hall dining commons prepares and serves 11,500 student meals per week. It is one of three remaining commons' serving the 3,800 students living on campus with meal plans. The kitchen exhaust systems are still original, approximately 55 years old, and no longer meet code. This work will bring the kitchen exhaust hoods into compliance, providing a safer environment for all of the building occupants.

The schedule is to complete this work during the summer of 2019, with occupancy available in time for the start of the fall semester. The commons will be closed for the duration of the work.

The recorded Sightlines Net asset Value (NAV) for this building is 46%. The proposed renovations will help improve the building NAV. No added operational expenses are expected as a result of this renovation.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees, acting through the Finance, Facilities and Technology Committee, authorizes the University of Maine System acting through the University of Maine to expend up to \$550,000 in Campus Auxiliary funds for the replacement of kitchen exhaust hoods in the York Hall kitchen.

1/2/2019



1. NAME OF ITEM: Space Reduction Budget Request, UMS

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: BOARD ACTION: X

4. OUTCOME: BOARD POLICY:

Enhance UMS Fiscal Positioning 701 – Budgets-Operating & Capital

5. BACKGROUND:

This is a request to proceed with the effort to constrain facility growth and reduce the space occupied by the University of Maine System (UMS) with the ultimate goals of better positioning the UMS to recruit and retain talented students, staff and faculty and to provide affordable, quality higher education.

This is a request to authorize funding for the further removal by demolition of facility space statewide as approved by the Board of Trustees in principle in January 2018.

Trustees in January 2018 approved an initiative to seek out and to help fund the removal by demolition as much as 300,000 gross square feet (GSF) of vacant, under-utilized, poorer condition space or otherwise surplus space. Planning has continued since that time.

Additional briefings with Trustees have occurred, including in January and March 2019. The recent briefing from March 2019 is included here for additional background.

As part of the current phase, an initial group of 27 demolition projects totaling approximately 181,000 GSF of space that have been proposed by campuses in response to the Trustee's initiative. These projects have previously been described for Trustees.

This request is for funding of the initiative. Any individual project of sufficient scope or scale to warrant Trustee consideration pursuant to the customary capital project approval procedures will additionally be considered per those procedures.

6. TEXT OF PROPOSED RESOLUTION:

That the Finance, Facilities, and Technology Committee forward this item to the Consent Agenda at the May 19 -20, 2019 Board of Trustees meeting for approval of the following resolution:

That the Board of Trustees approves the recommendation of the Finance, Facilities and Technology Committee and authorizes the University of Maine System to expend up to \$3.4 million from the Trustees' Strategic Investment Funds during FY2020 and FY2021 for the reduction by demolition of University facility space statewide.

Finance, Facilities, Technology Committee - Space Reduction Update



AGENDA ITEM SUMMARY

1. NAME OF ITEM: Space Reduction Update, UMS

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: X BOARD ACTION

4. OUTCOME: BOARD POLICY:

5. BACKGROUND:

This is an update regarding the effort to constrain facility growth and reduce the space occupied by the University of Maine System with the ultimate goals of better positioning the UMS to recruit and retain talented students, staff and faculty and to provide affordable, quality higher education.

Since March 2015, Trustees have not permitted any increases in University space without explicit Trustee approval in order to constrain costs, improve the condition of University facilities and increase the use of existing facilities in pursuit of those ultimate goals. Trustees have generally required off-setting reductions when approving such increases, though there have been exceptions when increases were granted without such off-sets.

The occupied square footage of the UMS since 2010, including the period since the Trustees' directive, has declined by approximately 250,000 gross square feet according to assessments by Sightlines and separately by the University.

Sightlines assessment of the reduction is contained in its annual report to the Trustees being delivered under a separate cover at this meeting. The University's accounting, which is in sync with but varies slightly from Sightlines due to slightly different methodology, is attached to this agenda information sheet. It contains important details such as information regarding facilities which are vacated but not yet removed.

At the same time, the Trustees correspondingly encouraged the University to attempt to achieve more intense use of its facilities as measured by a Sightlines facilities metric called density. In particular, the Trustees endorsed a goal of trying to attain 340 users per 100,000 gross square feet of space by FY2022 and ultimately a density of 400 users per 100,000 gross square feet of space thereafter.

Finance, Facilities, Technology Committee - Space Reduction Update

Trustees took this and related action in response to data showing that the University, relative to its own history and to higher education benchmarks, occupied too much space with respect to the size of its population of students, staff and faculty.

The density metric, which decreased from 2006 to 2016 (the goal is to increase it), has increased slightly and has temporarily stabilized at 326 users per 100,000 gross square feet. This metric, which is influenced not only by the constraints on space but also by changes in enrollment, is reported in more detail in the Sightlines report.

To continue this facility effort, Trustees approved in principle in January 2018 an initiative to remove space by demolition and to help fund as much as 300,000 gross square feet (GSF) of vacant, under-utilized or poorer condition space and to eliminate the backlog of capital investments and operating costs associated with that space at campuses statewide.

In response and at the further invitation of the System-wide Capital Budget Advisory team, campuses have proposed 27 demolition projects totaling approximately 181,000 gross square feet of space.

These proposals were incentivized by and contingent on funding being available from a central pool to support these projects. Some but not all of that space is accounted for in the existing assessments. In all, the funding would cement the gains being reported here and see the UMS reduce its square footage by an estimated further 88,000 gross square feet to a new total of approximately 340,000 square feet of reduced space since 2010. This funding is expected to be a point of consideration for Trustees as part of the FY2020 budget approval process.



02/25/2019

Finance, Facilities, Technology Committee - Space Reduction Update

		Gross	
		removed or	
	Net square feet	to be	Gross
FY	being reduced	removed	Added
FY10	25,300	25,300	0
FY11	11,435	11,435	0
FY12	21,371	24,376	3,005
FY13	18,997	57,312	38,315
FY14	-4,676	8,299	12,975
FY15	74,516	103,551	29,035
FY16	93,556	106,299	12,743
FY17	51,864	57,498	5,634
FY18	-4,757	2,805	7,562
FY19	-32,400	0	32,400
Total space being	10		
reduced FY10-FY19	255,206	-396,875	141,669

FYIO	93,556	100,299	12,743
FY17	51,864	57,498	
FY18	-4,757	2,805	7,562
FY19	-32,4 <mark>00</mark>	0	32,400
Total space being	10		
reduced FY10-FY19	255,20 6	-396,875	141,669
	7	7	
	<u> </u>		
		Gross	
	.0.	removed or	• . (
	Net square feet	to be	Gross
Campus	being reduced	removed	Added
UM	-21,153	60,605	81,758
UMF	6,103		5,700
UMA	61,755	64,760	3,005
USM	172,266	200,720	28,454
UMM	27,939	27,939	0
UMFK	-17,918	2,434	20,352
UMPI	26,214	28,614	2,400
Total space being			1
reduced FY10-FY19	255,206	396,875	141,669

^{*} This data reflects some but not all planned removal or additions of space. Notably, a special group of 181,000 in proposed reductions are pending funding per the special Trustee space reduction initiative approved in January 2018. Of that amount, approximately 93,000 is reflected in this data, but 88,000 is not. Also, notably, the EEDC project and other potential new construction will off-set these reductions unless further reductions are pursued. Lastly, in some cases, the space being reduced is planned or approved for removal but is not yet removed or fully completed as noted in the detailed data.

^{**}USM data and consequently total data includes approximately 25,000 square feet net reduction in leased space at USM. All other data is owned space only.

Capital Project Status Report

Executive Summary

Attached is the Capital Project Status Report for the May 3, 2019 meeting of the Finance, Facilities and Technology Committee. The report reflects a total of 21 projects, with no projects having been removed since the previous report, and one new project having been added.

The new project is UM's Energy Solutions project (5200466), approved at the March 6, 2019 meeting.

One project will be removed from the following report. This is USM's Athletic Field Lighting Project (6100289, 6100305, 6100306).

One project remains on the report with a completion date of 2018. This project is complete but will remain on the list until final invoices have been processed and paperwork completed.

Please note that almost half of the current major projects being tracked are complete or substantially complete. Those details are included on the attached listing of projects.

Additional summary information is provided in the graphs at the end of this report.

Bond Project Status Report:

Four of the projects listed in the Capital Project report have or will receive designated funds from the general obligation bond that was approved by voters in November, 2018. An additional four projects which are receiving funds from the bond but which do not rise or do not yet rise to the level of Trustee consideration also are in progress. They are being reported on the separate capital project list exclusively for bond projects which was introduced at the prior meeting of the Committee. The additional projects are at UMA: Augusta Campus Welcome Center (1100077), Augusta Campus Fire Alarms (1100540); and, at USM: a Nursing Simulation Lab Science project (6100327). The Augusta Campus Welcome Center currently has an approved budget of \$400,000 as it remains in study/design phase. A request for the Board of Trustees to approve the expected full budget for this project will follow at a future date.

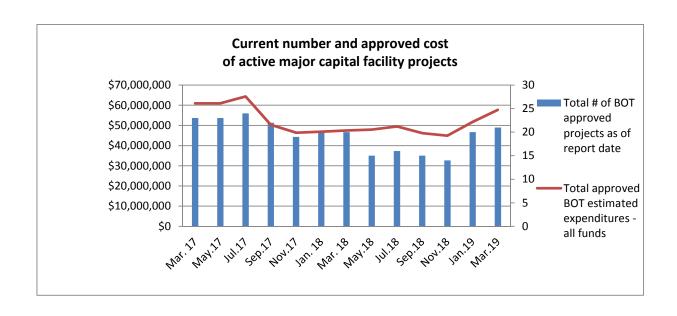
The format of the report dedicated to bond projects remains a work in progress. Future reports will be updated to reflect additional active Bond projects as the information becomes available.

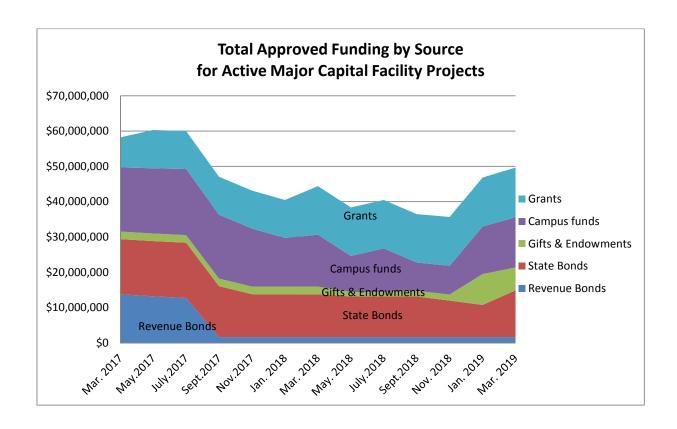
UMFK Building removal:

The University of Maine at Fort Kent (UMFK) has identified an offsite building (at 44 North Perley Brook Road) that was not fully utilized and has taken steps to remove it from the University's inventory through sale. While the size and scope of this removal does not rise to the level of Board approval, it is being noted as a reminder that all of the campuses continue to look for ways to reduce their footprint and improve their efficient use of space.

USM Portland Housing P3 Update:

As reported in the October meeting of the Finance, Facilities and Technology Committee, the University of Southern Maine is pursuing a Public Private Partnership development approach for a housing project on the Portland campus. As a result of the previously reported solicitation process the University engaged the services of Brailsford & Dunlavey (B&D) of Boston, Massachusetts. Since early February the team from B&D has met several times with the University's core team and administrators, and has conducted market research, including surveys and meetings with focus groups. The next big step in the process is to issue a request for qualifications (RFQ) to solicit qualified firms who will eventually provide bids on the final package. The team is currently drafting the RFQ and plans to issue it in early May. This solicitation is for qualifications only and is not the full project itself. Request to proceed with the actual P3 solicitation is likely forthcoming in the next meeting.





Capital Project Status Report

Board Approved Projects

May 2019 - Finance, Facilities, and Technology Committee With Grand Totals and % of Current Approved Estimates

	% Expe							ended	
Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	of Current Approved Estimate	Prior Actions, Information & Notes	
UM	•			•				*	
Advanced Structures and Composites Center Expansion/ASCC Equip W2-Thermoplastics Lab/ASCC Equip W2 Tow Carriage (5100316, 5100414, 5100432)	Grants (84%), 2010 State Energy Bond (12%), Gifts (4%)	Project 5100316 is Complete, Project 5100414 Design in Progress, Project 5100432 is Construction in Progress	2014	2019	\$6,400,000	\$10,400,000	91%	Board Approved \$6.4M in November, 2012. Board approved \$1.6M in March 2014. Board approved increase of \$871,000 in March 2015. BOT approved additional \$1.5M in May 2016 for equipment project.	
Cooperative Extension Diagnostic & Research Lab (5100387)	2014 State Bond (85%), Campus E&G Funds (10%), Grants (5%)	Substantially Complete	2016	2019	\$9,000,000	\$9,600,000	98%	BOT approved \$9M in July, 2015. Board approved increase of \$400,000 in July 2017. Chancellor approved additional increase of \$200,000 in February, 2019.	
Aquatic Animal Health Facility (5100440)	Grants (85%), Campus E&G Funds (15%)	Substantially Complete	2017	2019	\$2,300,000	\$2,870,000	94%	Board approved \$2.3M in January, 2017. Board approved increase of \$500,000 in November, 2017. Chancellor approved additional increase of \$70,000 in February 2019.	
Barrows Hall ESRB Lab Renovations (5100424)	Campus E&G Funds (100%)	Complete	2017	2018	\$1,900,000	\$1,900,000	83%	Board approved \$1.9M in March, 2017	
Darling Marine Center Waterfront Infrastructure (5100459, 5100460, 5100461)	Grants (100%)	Bidding	2017	2019	\$3,000,000	\$3,000,000	11%	Board approved \$3M in July, 2017.	
** Engineering Education and Design Center (5100458, 5100493)	Bond Proceeds (33%), Campus E&G Funds (67%), Gifts (0%)	Design in Progress	2024	2024	\$1,000,000	\$9,000,000	17%	Board approved \$1M in September, 2017. Board approved additional \$8M in May, 2018. Initial occupancy of this facility is expected in 2022; final completion in 2024.	
Wells Commons Generator (5100433)	Campus Auxiliary Reserves (100%)	Substantially Complete	2019	2019	\$525,000	\$525,000	61%	Board approved \$525,000 January, 2018.	
CCAR EDA Hatchery Building Roof Replacement (5100456)	Campus E&G Reserves (100%)	Design in Progress	2019	2019	\$562,000	\$562,000	3%	Board approved \$562,000 in June, 2018.	
Hilltop Commons Servery Updates (5100489)	Campus Auxiliary Reserves (100%)	Design in Progress	2019	2019	\$925,000	\$925,000	0%	Board approved \$925,000 January, 2019.	
York Hall Kitchen Hood Replacement (5100490)	Campus Auxiliary Reserves (100%)	Design in Progress	2019	2019	\$562,000	\$550,000	2%	Board approved \$550,000 January, 2019.	
* UM Energy Solutions (5200466)	Campus E&G Funds (100%)	Pre-Design in Progress	2023	2023	\$5,700,000	\$5,700,000	7%	Board approved \$5.7M March, 2019.	
UMM									
Compressed Natural Gas Heating Conversion (4100028)	Revenue Bonds (100%)	Substantially Complete	2014	2019	\$1,800,000	\$1,800,000	84%	Board approved \$1.8M in July 2014.	
USM	·							·	
*** Athletic Field Lighting (6100289, 6100305, 6100306)	Campus E&G Funds (29%), Gifts (9%), External Lease Financing (62%)	Complete	2018	2018	\$1,780,000	\$1,780,000	92%	Board approved \$1.78M in March, 2018. Board approved execution of a tax-exempt master lease financing agreement not to exceed \$1M in May, 2018	

			Original		Original	Current	% Expended of Current	
	Funding Source(s) & each source's		Estimated	Current Est.	Approved	Approved	Approved	
Campus, Project Name (Project ID)	share of expenditures to date	Status	Completion	Completion	Estimate	Estimate	Estimate	Prior Actions, Information & Notes
USM								
USM Center for the Arts (6100300)	Gifts (100%)	Pre-Design in Progress	2022	2022	\$1,000,000	\$1,000,000	0%	Board approved \$1M in January, 2018.
** Corthell Hall HVAC Upgrades (6100295)	Campus E&G Funds (100%)	Substantially Complete	2018	2019	\$550,000	\$550,000	94%	Board approved \$550,000 in May, 2018.
** Woodward Hall Renovation (6100301)	Bond (9%), Campus E&G Funds (91%)	Bidding	2019	2019	\$1,800,000	\$1,800,000	9%	Board approved \$1.8M in January, 2019.
** Ricci Lecture Hall Renovation (6100308)	Bond (0%), Gifts (3%), Campus E&G Funds (97%)	Bidding	2019	2019	\$500,000	\$500,000	7%	Board approved \$500,000 in January, 2019.
** Brooks Student Center Generator & Switchgear Installation (6100315)	Campus E&G Funds (100%)	Bidding	2019	2019	\$675,000	\$675,000	7%	Board approved \$675,000 in January, 2019.
Schematic Design of the Career and Student Success Center (6100325)	Bond (0%)	Pre-Design in Progress	2020	2020	\$1,000,000	\$1,000,000	0%	Board approved \$1M in January, 2019.
** Bailey Hall Fire Protection and Electrical Upgrades (6100316, 6100323)	Bond (0%), Campus E&G Funds (100%)	Bidding	2019	2020	\$2,580,000	\$2,580,000	3%	Board approved \$2.58M in January, 2019.
UMPI ** UMPI Greenhouse (7100010)	MEIF (100%), Gifts (0%)	Construction in Progress	2018	2019	\$850,000	\$935,000	8%	Board approved \$850K in September, 2018. Board approved additional \$85,000 in January, 2019.
								77
Explanatory Notes:								
* Project is new as of this report. ** Details of this project include updates since the last report. *** This project has been completed since the last report and is not expected to appear on the next report.	() 1 3					ended reflects total expended as of March 31, 2019 as tage of the current approved project estimate.		

Bond Project Status Report

Active Bond Projects

May 2019 - Finance, Facilities, and Technology Committee With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID),		Original Estimated	Current Est.	Funding Source(s) & each source's share of expenditures	Estimated Bond Funding for	Bond Funding	Total Estimated Project	
Project Manager	Status	Completion	Completion	to date	Project	Expended	Cost	Prior Actions, Information & Notes
WMA * Augusta Campus Welcome Center (1100077)			I	D 1 (00/) C E 8 C F 1-			I	
Project Manager: Sheri Stevens/Walter Shannon	Pre-Design in Progress	2021	2021	Bond (0%), Campus E&G Funds (0%)	\$1,155,000	\$0	\$3,000,000	
* Augusta Campus Fire Alarms (1100078) Project Manager: Sheri Stevens/Walter Shannon	Design in Progress	2020	2020	Bond (0%)	\$400,000	\$0	\$400,000	
* Bangor Campus Fire Alarms (1100540) Project Manager: Sheri Stevens/Walter Shannon	Design in Progress	2020	2020	Bond (0%)	\$330,000	\$0	\$330,000	
USM	-			Total Bond for Campus	\$1,885,000	\$0	\$3,730,000	
Woodward Hall Renovations (6100301) Project Manager: Dave Carney	Bidding	2019	2019	Bond (9%), Campus E&G Funds (91%)	\$1,500,000	\$14,725	\$1,800,000	Board approved \$1.8M in January, 2019.
Ricci Lecture Hall Renovations (6100308) Project Manager: Carol Potter	Bidding	2019	2019	Bond (0%), Gifts (3%), Campus E&G Funds (97%)	\$150,000	\$0	\$500,000	Board approved \$500,000 in January, 2019.
Schematic Design of the Career and Student Success Center (6100325) Project Manager: Dave Carney	Pre-Design in Progress	2020	2020	Bond (0%)	\$19,000,000	\$0	\$19,000,000	Board approved \$1M in January, 2019. The total project cost remains under development and subject to change.
Bailey Hall Fire Protection and Electrical Upgrades (6100316, 6100323) Project Manager: Carol Potter	Design in Progress	2019	2020	Bond (0%), Campus E&G Funds (100%)	\$1,500,000	\$120	\$2,580,000	Board approved \$2.58M in January, 2019.
* USM Nursing Simulation Lab Science (6100327) Project Manager: Joe Gallant	Pre-Design in Progress	2021	2021	Bond (0%)	\$450,000	\$0	\$450,000	
1 10,000 Manager, 100 Sunum	-			Total Bond for Campus	\$22,600,000	\$14,845	\$24,330,000	!
				-	\$24,485,000	\$14,845	\$28,060,000	=
Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. ** This project has been completed since the last report and is not expected to appear on the next report. Calendar Year unless otherwise noted. Funding source(s) reflects primary source(s) for project.							Percentage expended reflects total expended as of March 31, 2019 as a percentage of the current approved project estimate.	



1. NAME OF ITEM: One Year Capital Plan Overview

2. INITIATED BY: Karl W. Turner, Chair

3. BOARD INFORMATION: X BOARD ACTION:

4. OUTCOME: BOARD POLICY:

5. BACKGROUND:

Pursuant to prior adopted directives of Trustees, the Board is to specifically consider the capital investment portion of the annual operating budget. There is a concise presentation of that data in the budget documents that have been provided to Trustees. This briefing document elaborates on that element of the budget.

The FY20 budget being considered by Trustees includes \$15,591,188 for capital investments from Operations and, of that amount, \$10,264,864 is slated for specific capital facility improvements (including IT).

Nearly all of those facility related resources are dedicated for specific projects as shown on the one-year capital plan, approximately 5 percent is targeted for projects yet to be determined, and the overall amount represents an increase of nearly 20 percent in budgeted investment over the FY2019 amount of approximately \$9 million.

Of these projects in the one year plan, broadly speaking, the categories include:

- o Approximately \$1.7 million in Building Envelope projects (roof and façade)
- o Approximately \$1.1 million in Building Systems (IT systems/cabling and MEP)
- o Approximately \$1.2 million in Underground Utility/infrastructure improvements
- Approximately \$1.2 million in Space renewal and renovations
- o Approximately \$2.3 million set aside as reactive projects
- o Approximately \$2.6 million in other IT system improvements

Beyond the FY2020 operating budget, a variety of additional resources are expected to be expended that will substantially increase capital investment in FY2020. The total identified FY2020 project expenditure/budgets across all funding sources is approximately \$53,000,000.

This includes approximately \$21 million in funding for the new Engineering Education and Design Center project; \$16.5 million in general obligation bond projects; approximately \$4 million from gifts and sources yet to be determined; and, approximately \$1 million from campus AUX and E&G reserves.

Again, looking across all funding sources for FY2020, the broad categories of anticipated investment include:

- o Approximately \$26,000,000 in New Space (including EEDC)
- o Approximately \$3,000,000 in Building Envelope projects (roof and façade)
- o Approximately \$ 1,800,000 in Building Systems (IT systems/cabling and MEP)
- o Approximately \$ 650,000 in Grounds infrastructure improvements
- o Approximately \$ 3,000,000 in Utility infrastructure improvements
- o Approximately \$11,000,000 in Space renewal and renovations
- o Approximately \$ 2,400,000 for Safety/Code improvements
- o Approximately \$ 2,000,000 set aside as reactive projects
- o Approximately \$ 2,800,000 in other IT system improvements

This investment, while increased from FY2019, continues to fall short of the Sightlines annual investment target.

The Sightlines investment target in order to "keep up" in FY2020 is projected to be about \$40 million. This target is based on existing building need and not on new construction or infrastructure. The amount projected in the FY2020 capital plan by the University which would be applicable to the Sightlines \$40 million target is approximately \$20,000,000. That being said, the new construction will still have a positive effect on the campus metrics such as Net Asset Value (NAV) and building age profile.

Lastly, also of note, the FY2020 capital plan includes six demolition projects which are also identified in the space reduction initiative. While not investment in the traditional sense, the removal of facilities - particularly poorer condition, low net asset value facilities - can have a substantial impact on eliminating deferred maintenance and improving the average condition of the University's facilities.