




Board of Trustees
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September 6, 2018

TO: Members of the Board of Trustees
Faculty and Student Representatives

FR: Ellen N. Doughty, Clerk of the Board 

RE: **September Board Meeting**

The University of Maine

University of Maine
at Augusta

University of Maine
at Farmington

University of Maine
at Fort Kent

University of Maine
at Machias

University of Maine
at Presque Isle

University of
Southern Maine

Enclosed are the materials for the **Board of Trustees Meeting on Sunday and Monday, September 16-17, 2018**, hosted by the University of Maine at Presque Isle. Directions are included in the Board meeting materials. Parking is available in the Center for Innovative Learning and Campus Center lots.

The Board Meeting materials are available on the Diligent portal, for those who have access, and in PDF format on the Board of Trustees website at: www.maine.edu/UMStrusteesmeetings

Live audio streaming will be available for the Board meeting on Sunday and Monday. The links to the live streaming and the captioning are on the Board of Trustees website at: www.maine.edu/board.

On Sunday, September 16th, the Board meeting will be called to order at 2:30 pm in the Alumni Room at the Campus Center. The Board will go directly into an Executive Session until 4:45 pm. At 5:00 pm the Board meeting will reconvene in the Campus Center Multi-Purpose Room with a meeting with the UMPI Board of Visitors. A reception in the Reed Art Gallery is scheduled for 6:00 pm, followed by dinner in the Campus Center Multi-Purpose Room at 7:00 pm.

On Monday, September 17th, the Board meeting will be called to order at 8:30 am with an opportunity for continental breakfast and networking starting at 8:00 am. The Board meeting on Monday will be in the Campus Center Multi-Purpose Room.

Meeting rooms have been reserved for the Faculty & Student Representatives if they would like to meet in their respective groups. These rooms are available starting at 1:00 pm on 9/16/18. The Faculty Representatives can meet in Room 118, Campus Center. Kay Kimball will meet with the Faculty Representatives at 1:30 pm. The Student Representatives can meet in Room 112, Campus Center. Rosa Redonnett will meet with the Student Representatives at 1:30pm.

Overnight accommodations for those that have requested, have been made at the Hampton Inn, 768 Main St, Presque Isle 04769, PH 207-760-9292

Incoming messages can be left with the UMPI President's Office at 768-9525 or with Heather Massey at 991-4724 or Ellen Doughty at 949-4905.

In the event of a postponement, cancellation, or changes in the Board of Trustees meeting, a message will be recorded on the Board Office telephone (581-5844). In addition, every effort will be made to personally contact the Board of Trustees, the Presidents, and the Faculty and Student Representatives.

cc: Chancellor James H. Page
University Presidents
System Staff



Directions to University of Maine at Presque Isle

181 Main Street
Presque Isle, ME 04769

Take Interstate 95 north to exit 302, the Houlton/Presque Isle exit, and continue north on U.S. Route 1. The campus is on your left as you enter Presque Isle, 40 miles north of Houlton. (Note: There will be a “Y” in the road at the blinking traffic light in Mars Hill, be sure to bear left to stay on Route 1.)



UMS Board of Trustees Meeting
University of Maine at Presque Isle
Multi-Purpose Room - Campus Center

**REVISED
9-13-18**

September 16-17, 2018

AGENDA

Meeting Room for Faculty Representatives – Room 118, Campus Center
1:30 pm - 2:30 pm - Kay Kimball meeting with Faculty Representatives

Meeting Room for the Student Representatives – Room 112, Campus Center
1:30 pm - 2:30 pm - Rosa Redonnett meeting with Student Representatives

(These rooms are available starting at 1:00 pm on 9/16/18.)

Sunday - September 16, 2018

Call to Order @ 2:30 pm - Alumni Room, Campus Center
The Board will go directly into executive session.

Executive Session from 2:35 pm to 4:45 pm - Alumni Room, Campus Center

Call to Order/Reconvene Public Meeting @ 5:00pm

BOT/BOV Meeting @ 5:00 pm
Tab 2 - [Meeting with UMPI BOV](#)

Reception @ 6:00 pm - Reed Art Gallery (Cash Bar)
(By Invitation Only)

Dinner @ 7:00 pm - Multi-Purpose Room, Campus Center
(By Invitation Only)

Monday - September 17, 2018

Coffee & Networking @ 8:00 am
Call to Order/Reconvene @ 8:30 am

Citizen Comment

The Board of Trustees provides time for citizen comment prior to the business agenda at each meeting. The Chair of the Board will establish time limits (usually three minutes per person) and determine any questions of appropriateness and relevancy. Personnel decisions, collective bargaining issues, grievances, litigation and other areas excludable from public discussion under the Maine Freedom of Access Law shall not constitute appropriate matters for such input. A person who wishes to speak during the citizen comment period should arrive prior to the meeting start time and sign up on a sheet provided, indicating name and topic of remarks.

Chair's Report (30 minutes)

Chancellor's Report (45 minutes)

Vice Chancellor for Finance and Administration & Treasurer's Report (25 minutes)

Tab 3 - [Financial Update](#)

Tab 12 - [Employee Health Plan Task Force Scorecard](#)

Consent Agenda (10 minutes)

Action items from the August 20, 2018 Finance, Facilities & Technology Committee Meeting:

Tab 1 - Establishment of the Dr. Robert Vinton Akeley Chair, UMPI

Tab 4 - Master Plan Acceptance, UMPI

Tab 5 - Lease Request, UM Cooperative Extension

Action items from the September 13, 2018 Finance, Facilities & Technology Committee Meeting:

Tab 6 - Short-Term Housing, UMA

Action items from the September 11, 2018 Academic and Student Affairs Committee Meeting:

Tab 7 - UMPI Academic Program Approval - Agricultural Sciences and Agribusiness

Action Items

Tab 8 - Acceptance of Minutes (5 minutes)

Tab 9 - Tenure at Time of Hire, UM (5 minutes)

Discussion Items

Tab 10 - Revised Board of Trustee Policy 311, Intra-System Student Exchange (30 minutes)

Tab 11 - UMPI & UMFK Nursing Cohort Update (20 minutes)

Lunch Break (20 minutes) (Timing of the lunch break will be at the discretion of the Chair.)

Executive Session (1.5 hours) – Alumni Room, Campus Center

Date of the Next Meeting: November 18-19, 2018 at the University of Southern Maine hosted by UMS

Attachments:

[UMPI BOV Membership List](#)

[Financial Update](#)

- [Managed Investment Pool](#)

- [Pension Fund](#)

- [Operating Fund](#)

[UMPI Master Plan](#)

[FY2019 Committee Work Plans](#)

- [Academic & Student Affairs Committee](#)

- [Finance, Facilities, Technology Committee](#)

- [Human Resources & Labor Relations Committee](#)

[Agenda Calendar](#)

[UM Tenure Background Information \(*Confidential*\)](#)

[Policy 311- Proposed Revisions](#)

[Employee Health Plan Task Force Scorecard Executive Summary](#)

[Employee Health Plan Task Force Scorecard](#)

[Stevens Commons Presentation, UMA](#)

[UMA Housing Cost Analysis Sheet](#)

Reports:

[UMS Interactive Dashboard](#)

[Capital Project Status Executive Summary](#)

[Capital Project Status Report](#)

Presentations:

Tabs noted in red text are action items.

Note: Times are estimated based upon the anticipated length for presentations or discussion of a particular topic. An item may be brought up earlier or the order of items changed for effective deliberation of matters before the Board.



AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Establishment of the Dr. Robert Vinton Akeley Chair of Agricultural Science & Agribusiness, UMPI
2. **INITIATED BY:** James H. Page, Chancellor
3. **BOARD INFORMATION:** **BOARD ACTION:** X
4. **OUTCOME:** **BOARD POLICY:**
711- Named Chairs & Professorships
5. **BACKGROUND:**

The Dr. Robert Vinton Akeley Chair of Agricultural Science & Agribusiness was established at the Foundation of the University at Presque Isle in June 2018, with a gift from Mary Barton Akeley Smith, in honor of her father, Dr. Robert Vinton Akeley, and in memory of her husband, Rodney Smith.

A native of Presque Isle, Dr. Robert Vinton Akeley, started his career with potatoes at an early age since he was raised on a potato farm. He attended the University of Maine, graduating in 1937 with a B.S. degree in Agronomy, and in 1942 received the M.S. degree in Botany. Akeley was associated with the Federal Program of Potato Investigations as early as 1932, including conducting the Potato Breeding Program at the Aroostook Farm in Presque Isle. In 1956, he was transferred to Maryland as leader of the National Potato Breeding Program. Akeley was directly or indirectly responsible for the release of numerous new potato varieties, including but not limited to Boone, Cherokee, Delus, Early Gem, Kennebec, Merrimack, Pungo, Plymouth, Saco, and others. His work in potato breeding received international recognition, and he authored more than eighty publications. In 1967, the University of Maine recognized Dr. Akeley's leadership and conferred on him an Honorary Doctor of Science degree and the Potato Association of America presented Dr. Akeley for Honorary Life Membership.

The purpose of this endowed chair is to build upon the new academic program of Agricultural Science and Agribusiness within the College of Arts and Sciences at the University of Maine at Presque Isle. The initial gift will provide seed funding to support start-up costs of the program over a four-year period, with the balance to be held in an endowment. Critical seed funding will support the initial salary and benefits for the Named Chair during the first four years, as well as equipment for the program and the greenhouse, and summer research fellowships. The endowed resources will guarantee a strong future for the program, providing the person holding the Chair with additional resources for research (to include financial support for student research), industry partnership, and program development and delivery.

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The position will be awarded to an outstanding scholar recruited to the University of Maine at Presque Isle or awarded on a competitive basis. Associate or full professors with specialization in any area of agricultural research and agribusiness shall be eligible. Successful candidates will have shown a consistently outstanding record of teaching, research, service to industry, and scholarship within the agricultural sciences field.

Appointments shall be for a term of five years, renewable. Current and previous holders of the chair are eligible without prejudice. Selection will be based on the professional credentials of the applicant and on the anticipated positive and meaningful impact of their future research endeavors. The Chair holder will be expected to maintain regular and substantive involvement in the University of Maine at Presque Isle's greenhouse and Agricultural Science & Agribusiness Department. Application letters will identify proposed contributions to research and scholarship in the field, both at UMPI and externally.

A selection committee will be formed and charged according to the University of Maine's standard policies and practices for such committees. This committee will include at least two University of Maine at Presque Isle faculty members, one representative from University of Maine Cooperative Extension, and one member of the University of Maine at Presque Isle Agricultural Advisory Council, to represent local agribusiness interests.

The principal shall be endowed and the distributions, as established by the Board of Directors of the Foundation of the University at Presque Isle, shall be used to support the needs of the Chair of the Agricultural Science and Agribusiness Program. Additionally, during the first four years of the new academic program, UMPI can request a distribution not to exceed the maximum amounts established in the gift agreement. Distributions from the endowed portion will begin to be paid out in the fifth year of the new program (FY2023). The administration of the fund shall be by the Dean of the College of Arts & Sciences, in consultation with the Chair of the Agricultural Science and Agribusiness Program.

Should it ever become impossible or impractical to carry out the purposes of this fund as described above, an alternative purpose that best fits the donor's intent and wishes shall be designated by the President of the Foundation at the University of Presque Isle in its sole discretion after consulting with the President of the University of Maine at Presque Isle.

The Finance, Facilities and Technology Committee approved this recommendation to be forwarded to the Consent Agenda for Board of Trustee approval at the September 16-17, 2018 Board meeting.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the recommendation of the Finance, Facilities and Technology Committee to approve the establishment of the Dr. Robert Vinton Akeley Chair of Agricultural Science & Agribusiness at the University of Maine at Presque Isle.

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AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Meeting with UMPI Board of Visitors
2. **INITIATED BY:** James H. Page, Chancellor
3. **BOARD INFORMATION:** X **BOARD ACTION:**
4. **OUTCOME:** **BOARD POLICY:**
102 Charter, Section 4B.5
5. **BACKGROUND:**

The Board of Trustees (BOT) and the Boards of Visitors (BOV) for the universities are collaborating to increase engagement. The BOT/BOV partnership increases advocacy and adds value for UMS, our students and the State.

One aspect of this engagement is a regularly scheduled meeting of the BOT with the local BOV when the BOT meets on a campus. Members of the UMPI BOV will meet with the BOT for a discussion of campus BOV strategic goals and concerns.

Attachment:

[UMPI BOV Membership List for 2018-2019](#) (confidential)

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AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Financial Update
2. **INITIATED BY:** James H. Page, Chancellor
3. **BOARD INFORMATION:** X **BOARD ACTION:**
4. **OUTCOME:** **BOARD POLICY:**
Enhance fiscal positioning
5. **BACKGROUND:**

Vice Chancellor for Finance and Administration and Treasurer Ryan Low will provide a brief financial update at the September 16 & 17, 2018 Board of Trustees meeting.

Attachments:

[Managed Investment Pool Flash Reports](#)
[Pension Fund Flash Reports](#)
[Operating Fund Flash Reports](#)

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AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Master Plan Acceptance, UMPI
2. **INITIATED BY:** James H. Page, Chancellor
3. **BOARD INFORMATION:** **BOARD ACTION:** X
4. **OUTCOME:** **BOARD POLICY:**
Master Planning
5. **BACKGROUND:**

The Board of Trustees has encouraged all campuses to adopt master plans for their respective physical campuses as part of a series of facility-related recommendations adopted in March 2015. The University of Maine at Presque Isle initiated a master planning process in the fall of 2016 and completed this process with a final presentation to the campus community in August 2018.

The master plan is part of a three-tiered planning process which Trustees have directed be undertaken and which requires a master plan, a 5-year capital plan and a 1-year capital work plan be maintained by each University and, taken together, for the System. Six of seven such campus master plans are either completed or in progress, including the plan from UMPI which is before the committee here.

In summary, the UMPI Campus Plan was developed from several concept alternatives that explored different configurations and responses to planning goals and drivers. The merits of each alternative were discussed with the Campus Master Plan Steering Committee and shared with the larger UMPI community through multiple open forum sessions held throughout the process. The preferred components of each alternative were assembled into a campus plan that was further refined into the final plan.

This plan establishes general concepts of site and facility organization to be undertaken on the campus over time. An underlying structure in the plan is as each project is implemented the project is evaluated to ensure it accomplishes the intended goals of the Master Plan.

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The Campus Plan balances transformative aspirations and strategic improvements to key areas of the campus that are realistically achievable within the financial and operational constraints of a public university.

The Finance, Facilities and Technology Committee approved this recommendation to be forwarded to the Consent Agenda for Board of Trustee approval at the September 16-17, 2018 Board meeting.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees acknowledges the campus master plan from UMPI and without granting Trustee approval for any specific expenditure or capital project that would otherwise require Trustee consideration accepts the plan and encourages the University to continue its efforts to maintain and act in accordance with the plan as well as other applicable directives of the Trustees.

Attachments:

[UMPI Master Plan](#)

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AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Lease Request, UM, Cooperative Extension
2. **INITIATED BY:** James H. Page, Chancellor
3. **BOARD INFORMATION:** **BOARD ACTION:** X
4. **OUTCOME:** **BOARD POLICY:**
Increase enrollment 802 – Disposition of Real Property
5. **BACKGROUND:**

The University of Maine System acting through the University of Maine requests authorization to enter into a lease with the University of Maine Foundation for existing and additional space at the Tidewater Village site at 75 Clearwater Drive, Falmouth, Maine. This represents an annual increase in revenue to the University, the lessor in this instance, and recognizes changes that have been separately approved and implemented related to the unification of certain Foundation and Development office staff.

This request is pursuant to Board of Trustees Policy 802, which requires leases with a total value equal to or greater than \$100,000 or a term equal to or greater than five years to be considered by the Board of Trustees or its Finance, Facilities and Technology Committee. This lease has a potential term of as long as 12 years and a value of as much as approximately \$322,000. In this case, the request is to approve and to forward this matter to the consent agenda of the Board of Trustees.

The foundation in the past has leased approximately 350 square feet at the site from the University and also has use of common spaces that are shared with the University's cooperative extension offices at the same site. The original lease started in 2011 and has been extended and modified from time to time since then as provided in the original agreement. The current annual cost after annual increases as provided in the lease is approximately \$13,500.

The proposal is to renew and formally increase the space subject to the agreement to 718 square feet starting this year and ending 2021, with three discretionary extensions of three years each. The University specifically proposes to lease office numbers 7, 8 and 9 along with an office/reception area within Unit 8-A in the Tidewater Village at a starting annual base rent of \$26,840.

No increase in cost to the University is anticipated.

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The Finance, Facilities and Technology Committee approved this recommendation to be forwarded to the Consent Agenda for Board of Trustee approval at the September 16-17, 2018 Board meeting.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the recommendation of the Finance, Facilities and Technology Committee to authorize the University of Maine System acting through the University of Maine to enter into a lease as the lessor with the University of Maine Foundation as the lessee for space located in the Tidewater Village Condominium in Falmouth, Maine, specifically office numbers 7, 8 and 9 along with an office/reception area within Unit 8-A, subject to review and approval of all final terms and conditions by the University of Maine System Treasurer and General Counsel.

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AGENDA ITEM SUMMARY

- | | | |
|---|------------------------------------|----------|
| 1. NAME OF ITEM: | Short-Term Housing, UMA | 6 |
| 2. INITIATED BY: | James H. Page, Chancellor | |
| 3. BOARD INFORMATION: | BOARD ACTION: X | |
| 4. OUTCOME: | BOARD POLICY: | |
| Increase enrollment
Improve student success and completion | 801 - Acquisition of Real Property | |
| 5. BACKGROUND: | | |

The University of Maine System acting through the University of Maine at Augusta (UMA) requests approval to enter a lease for a 38-bed student housing facility located at 2 Coos Lane, Hallowell, Maine. The lease costs are to be paid by the University from student housing fees from residents of the facility and from tuition revenue associated with increases in credit hours resulting from the availability of the facility.

This request is pursuant to Board of Trustee Policy 801, which requires Trustee approval for any real property lease of more than five years' duration and \$100,000 in value. The proposed lease would have a cost of approximately \$1.5 million over the first five years. With optional renewals, the total cost and term could reach \$5.3 million and 15 years.

This effort is consistent with the priority outcomes set forth by the Board of Trustees to increase enrollment and improve student success and completion. In particular, the lease provides student residential housing for UMA's Augusta campus and is intended to support enrollment growth in key academic programs including Aviation, Architecture, Music and Nursing. For example, assuming a 92 percent occupancy rate with 20 percent out of state students, this initiative is projected to yield an incremental 918 credit hours and approximately \$300,000 in tuition and revenue annually.

This request is also before Trustees in connection with the increase in space at UMA, albeit privately-owned leased space with termination options for the University and not an increase in permanent University-owned space. The proposed lease would involve a three-story, brick masonry, 18,000 square-foot building in a historic district.

This initiative and request to enter this lease is also further consistent with the update provided to the FFT Committee in July 2018 and the guidance provided by the FFT Committee regarding housing at UMA in April of 2017.

The lease would make available 20 furnished student residential units containing a total of 38 beds within the Stevens Building at the Stevens Commons, which is the former Stevens School Campus just off Winthrop Street in Hallowell. Thirty-two beds will be available on August 1, 2019 and the remaining beds will be move-in ready by January 1, 2020.

This student housing proposal is the result of a public, competitive solicitation (RFP2018-81) which was advertised by the University on May 24, 2018, and to which multiple responses were received. An award to Mastway Development, LLC, contingent on Trustee approval and other considerations, was issued on August 10, 2018.

This particular proposal emerged as the top-scoring proposal in that public, competitive process. The facility is in close proximity to Augusta's I-95 interchanges and only 5 miles to the University of Maine at Augusta, with an average drive time of 10-12 minutes. The Kennebec Explorer also regularly stops within walking distance of Stevens Commons and also stops at the UMA Augusta campus.

The proposed lease would have an initial lease term of 5 years and would have a maximum estimated cost of approximately of \$1.5 million over that period. Two optional renewals of 5-years each are contemplated, and the maximum cost could reach \$5.3 million if both are exercised. The cost includes an \$84,132 cap in annual operating costs.

The initial term of the lease before Trustees today would commence on August 1, 2019. A security deposit of \$25,650 is also due upon execution of the lease. In addition to the lease commitment, the University will also invest approximately \$25,000 to furnish two common rooms and a small fitness area.

If the University chooses to terminate the lease before 5 years, it would be responsible to pay a termination fee as follows: after year 1, \$220,000; after year 2, \$165,000; after year 3, \$110,000; and, after year 4, \$55,000.

A protest was received in this matter from a non-selected bidder and the University's determination has been upheld. That determination can yet be appealed under university policy. Barring any such further appeal being received by the University within the period allotted by policy, the text of the proposed resolution is below.

While the University at this time has not executed an agreement, pending Trustee approval, the private developer of the winning proposal may submit materials to the municipal planning board as soon as September 5 or shortly thereafter in order to present the project to that board at its meeting September 19, 2018. Those steps are intended to help achieve the timeline for UMA occupancy outlined in this document.

The Finance, Facilities and Technology Committee approved this recommendation to be forwarded to the Consent Agenda for Board of Trustee approval at the September 16-17, 2018 Board meeting.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees approves the recommendation of the Finance, Facilities and Technology Committee to authorize the University of Maine System acting through the University of Maine at Augusta to enter a lease for student residential space with Mastway Development, LLC or its successor organization for an initial 5-year period, an initial cost of approximately \$1.5 million and with optional renewals for a maximum term of 15 years and cost of up to \$5.3 million, subject to review and approval of the final terms and conditions by the University of Maine System General Counsel and Treasurer.

Attachments:

[Stevens Commons Presentation, UMA](#)
[UMA Housing Cost Analysis Sheet](#)

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AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Academic Program Approval: B.S. in Agriculture Sciences and Agribusiness at UMPI
2. **INITIATED BY:** James H. Page, Chancellor
3. **BOARD INFORMATION:** **BOARD ACTION:** X
4. **OUTCOME: Relevant Academic Programming** **BOARD POLICY: 305.2**
5. **BACKGROUND:**

The Vice Chancellor granted temporary approval to The University of Maine at Presque Isle (UMPI) for the new Bachelor of Science in Agriculture Sciences and Agribusiness, since the program meets a workforce development demand in the State of Maine. UMPI is proposing to modify the existing Sustainable Agriculture program from a concentration in Environmental Science to a B.S. in Agriculture Sciences and Agribusiness. The change was unanimously endorsed by the Chief Academic Officers Council. The new academic program is a partnership with The University of Maine (UM), UM Cooperative Extension, and many local agribusiness stakeholders and sectors in which UMPI can play an important role in utilizing land resources that will be a catalyst for food and fiber development in the Northeast United States. It is anticipated that the new B.S. program will substantially grow enrollment (goal of 10-15 students per cohort by 2020) and serve local and regional needs.

UMPI's proposal encompasses a solid agronomy foundation, application of technology and decision-making tools, and agribusiness to provide the basis for a career in production agriculture or agribusiness. This combination differentiates the program from other agriculture programs in the state, and furthermore presents the opportunity for continued collaboration with resources and expertise at UM, including UM Cooperative Extension, UM Department of Economics, UM Sustainable Agriculture, and the UM School of Biology and Ecology.

This item will be discussed at the September 11, 2018 Academic and Student Affairs Committee meeting, and if approved by the Committee it will be forwarded to the Consent Agenda for Board of Trustee approval at the September 16-17, 2018 Board meeting.

6. TEXT OF PROPOSED RESOLUTION

That the Board of Trustees approves the recommendation of the Academic & Student Affairs Committee and authorizes the creation of the Bachelor of Science Degree in Agriculture Sciences and Agribusiness for the University of Maine at Presque Isle.

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AGENDA ITEM SUMMARY

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1. **NAME OF ITEM:** Acceptance of Minutes
2. **INITIATED BY:** James R. Erwin, Chair
3. **BOARD INFORMATION:** **BOARD ACTION:** X
4. **OUTCOME:** **BOARD POLICY:**
5. **BACKGROUND:**

The following minutes will be presented to the Board of Trustees for approval at the September 16 & 17, 2018 Board meeting:

July 16, 2018 – Board of Trustees Meeting

August 20, 2018 – Finance, Facilities, Technology Committee Meeting

The Board of Trustees website link to the minutes is: <http://www.maine.edu/about-the-system/board-of-trustees/meeting-minutes/>

6. **TEXT OF PROPOSED RESOLUTION:**

That the Board of Trustees approves the minutes as presented.

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AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** UM Tenure at Time of Hire Request: Dr. Pascal Berrill
2. **INITIATED BY:** James H. Page, Chancellor
3. **BOARD INFORMATION:** **BOARD ACTION:** X
4. **OUTCOME:** Relevant Academic Programming **BOARD POLICY:** 310
5. **BACKGROUND:**

The University of Maine (UMaine) has requested immediate tenure in the hiring of Dr. Pascal Berrill for the position of Associate Professor of Silviculture in the School of Forest Resources. Support letters from the Provost, President and peer committee will come forward with the full employment packet.

Dr. Berrill currently holds the position of Silviculturist at Humboldt State University at the rank of Professor with tenure. Dr. Fred Servello, Dean of the College of Natural Resources, and Dr. Stephen Shaler, Director of the School of Forest Resources, have indicated that this hire is “keystone to a forest resources undergraduate program and critical to our graduate programs and forestry research.” Dr. Berrill has a strong record of research and grant support, including the ability to transfer \$350,000 in existing funding to UMaine. Further, he has demonstrated excellence in the classroom and a track record of effective student mentoring. The School of Forest Resources is enthusiastic about this Dr. Berrill’s impressive background, as well as the opportunity to demonstrate UMaine’s commitment to supporting this industry sector in Maine.

This request is in accordance with BOT Policy and the UMS Administrative Procedures Manual for Section 310; however, section 310 is written for granting tenure to a seasoned professional at the rank of full professor; specifically,

“At the time of initial appointment, exceptionally qualified individuals may be awarded tenure at the rank of full professor, with the approval of the appointment by the Trustees. In other cases, as the institutions deem appropriate, full professors may receive an initial appointment without

tenure but, with Trustee approval at the time of their appointment, may be given the opportunity to apply for tenure during the second year of their appointment.

UMaine has indicated that Dr. Berrill's record of activity "would easily exceed expectations for promotion and tenure in the School of Forest Resources..." and recommends the rank of Associate Professor.

This item will be discussed at the September 11, 2018 Academic and Student Affairs Committee meeting, and if approved by the Committee it will be forwarded for approval by the Board of Trustees at the September 16-17, 2018 meeting.

6. TEXT OF PROPOSED RESOLUTION:

That the Board of Trustees accepts the recommendation of the Academic and Student Affairs Committee and approves tenure at the rank of Associate Professor of Silviculture in the School of Forest Resources at the University of Maine to Dr. Pascal Berrill with tenure to be effective (date), in accordance with Board Policy.

Attachments:

[UM Tenure Background Information \(Confidential\)](#)

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AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Review of Proposed Revisions to Board of Trustee Policy 311
2. **INITIATED BY:** James H. Page, Chancellor
3. **BOARD INFORMATION:** X **BOARD ACTION:**
4. **OUTCOMES:** **BOARD POLICY:**
 Increase Enrollment 311 – Intra-system Student Exchange
 Improve Student Success & Completion
5. **BACKGROUND:**

Removing barriers to student access to university resources and programs across the System is a key goal of the Board's student success priority outcome within the framework of the System's One University initiative.

To that end, Vice Chancellor for Academic Affairs Robert Neely has reported to the Board over the last year on efforts to implement pilot cross-listings of courses between UMS campuses. The goal of these efforts is to have courses from one System university catalog appear as courses in another System university catalog to facilitate the ability of students enrolled at one university to easily take courses from another System university. The key advantage for students is that cross-listing will allow them to seamlessly enroll in courses from other UMS campuses in the same manner as they would for a course on their home campus, i.e., no application process, no transfer requirements, and no financial aid impact. In addition, course cross-listing will facilitate automation of other administrative processes, such as tuition revenue sharing.

Progress on the cross-listing initiative has been challenging and sporadic. The Board has been informed in recent meetings that current digital learning management systems software and the System's MaineStreet student information system, as well as student financial aid requirements, operate in such ways as to frustrate or impede progress, and it is not apparent that all academic administrative stakeholders understand the Board's resolve in this matter.

By resolution at its July 2018 regular meeting, the Board of Trustees noted that existing Board Policy 311 addresses a UMS student's ability to take courses at System universities other than the campus where he or she matriculated. Policy 311 was adopted in November 1990 and has not been substantively changed since then. Board minutes state that, at the time the policy was adopted, a UMS student from one System university who wished to take a course from a different UMS university was able to do so, but was treated as a transfer student and was required to wait to register until all students at that university had registered. The policy, stated in its entirety below, was adopted then to provide that students matriculating at one UMS

university be allowed to pre-register for courses at another UMS university and have the same privileges as students matriculated at that other university.

Policy 311:

When a student in good standing who is matriculated at one University of Maine System institution registers for a course or courses at another University of Maine System unit, that student is to be afforded the same rights and privileges, including pre-registration and payment of tuition and fees, at the host institution as a student who is regularly matriculated at that unit. This policy does not alter any residency requirements that may be in effect at the student's home institution.

The Board noted too that the State University of New York System Board of Trustees in November 2016 adopted resolutions to update cross registration policies to permit SUNY students to take courses from any SUNY university without administrative burden or impact on financial aid eligibility. The SUNY Board Resolution recognizes that such cross registration enhances access, completion, and student success. (See <http://www.suny.edu/about/leadership/board-of-trustees/meetings/webcastdocs/Tab%20%20-%20Cross%20Registration%20Policy.pdf>)

The Board shares these same goals as reflected in its Priority Outcome to improve student success and completion within the One University framework.

So as to ensure that its System governance policies are properly aligned with and support the Vice Chancellor for Academic Affairs' efforts to successfully implement cross-listing pilots and related System university academic collaboration efforts, and so that the System better realizes the intent of Policy 311, the Board requested that the Chancellor, acting through the Vice Chancellor of Academic Affairs, report at the Board's September 2018 meeting with recommendations as to how Policy 311 should be revised to better support such efforts and maintain and best realize Policy 311's intent.

Vice Chancellor Neely and Chief of Staff and General Counsel Thelen, with input from various academic stakeholders, prepared a revised policy. COS/GC Thelen circulated the draft to the Board's Faculty and Student representatives and the UMS Presidents Council and discussed the draft with the Chief Academic Officers' Council, all to invite input and comment in advance of discussion of the policy proposal at the September 11, 2018 Board Academic and Student Affairs Committee meeting.

COS/GC Thelen will lead a discussion of the proposed policy changes and input gathered from stakeholders, including from the September 11, 2018 ASA meeting.

Attachment:

[Proposed Board of Trustee Policy 311 - Intra-system Student Exchange](#)



AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** UMPI & UMFk Nursing Cohort Update
2. **INITIATED BY:** James H. Page, Chancellor
3. **BOARD INFORMATION:** X **BOARD ACTION:**
4. **OUTCOME:** **BOARD POLICY:**
 Increase enrollment
 Improve student success and completion
 Relevant academic programming
 University workforce engagement
5. **BACKGROUND:**

The University of Maine System is helping to lead the state response to a Maine nursing workforce shortage projected to grow to 3,200 nursing vacancies by 2025. The University of Maine at Fort Kent (UMFK) and the University of Maine at Presque Isle (UMPI) have been collaborating to launch a Bachelor of Science in Nursing (BSN) degree program in Presque Isle starting in Fall 2018 as part of the System's statewide response and to address critical local needs for healthcare professionals.

Temporary lab space has been prepared on the UMPI campus to accommodate an initial cohort of 16 nursing students this fall. Permanent lab space and planned program and facility improvements designed to achieve an ongoing increase in nursing and healthcare education capacity and attract more students to Aroostook County are contingent on voter approval of Question 4, the University of Maine System Workforce Development Infrastructure Investment Bond which is on the ballot this November. The proposal includes more than \$7 million in planned investments at UMFk and UMPI.

The Board will get an update on the collaboration, the impact the nursing shortage is having on Aroostook County providers, and how planned investments at UMFk and UMPI will increase Maine and the region's capacity to prepare students for workforce opportunities in healthcare and the health sciences.

9/7/2018



AGENDA ITEM SUMMARY

1. **NAME OF ITEM:** Employee Health Plan Task Force Scorecard
2. **INITIATED BY:** James H. Page, Chancellor
3. **BOARD INFORMATION:** X **BOARD ACTION:**
4. **OUTCOME:** **BOARD POLICY:**
Primary Outcomes: Board Policy 411
Enhance fiscal positioning
Secondary Outcomes:
University workforce engagement
5. **BACKGROUND:**

Chief Human Resources Officer Mr. Mark Schmelz will present the Employee Health Plan Task Force (EHPTF) Scorecard and Executive Summary.

Attachments:

[Employee Health Plan Task Force Scorecard Executive Summary](#)
[Employee Health Plan Task Force Scorecard](#)

University of Maine System Managed Investment Pool

TOTAL PLAN PERFORMANCE

	Market Value (\$)	% of Portfolio	Policy %	1 Mo (%)	YTD (%)	Fiscal YTD (%)	2 Yrs (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
MIP Composite	326,087,492	100.0	100.0	1.3	1.4	1.3	8.2	5.7	5.8	6.1	5.8
Allocation Index				1.7	1.7	1.7	9.0	6.5	6.4	6.3	5.6
Policy Index				1.7	1.9	1.7	9.7	7.1	6.7	6.7	6.1
Total Domestic Large Cap	61,153,101	18.8	16.0	3.7	6.4	3.7	16.1	12.4	13.0	13.7	11.1
S&P 500				3.7	6.5	3.7	16.1	12.5	13.1	14.2	10.7
SSgA S&P 500	61,153,101	18.8	16.0	3.7	6.4	3.7	16.1	12.4	13.1	14.1	10.6
S&P 500				3.7	6.5	3.7	16.1	12.5	13.1	14.2	10.7
Total Domestic Small/Mid Cap	21,692,690	6.7	6.0	2.1	9.4	2.1	19.9	11.8	11.7	13.0	11.4
Russell 2500				1.9	7.5	1.9	16.2	11.1	11.3	12.8	10.9
Westfield Capital	10,184,717	3.1	3.0	2.2	12.2	2.2	22.1	11.6	12.7	13.3	11.8
Russell 2500 Growth				1.9	10.1	1.9	19.1	11.1	12.7	13.6	11.6
DFA	11,507,973	3.5	3.0	2.0	5.8	2.0	16.1	11.1	10.2	12.3	--
Russell 2000 Value				1.8	7.3	1.8	16.8	12.9	10.2	11.9	9.5
Total International Equity (including emerging markets)	75,640,321	23.2	23.0	0.5	-2.7	0.5	9.2	4.7	4.7	4.4	3.3
MSCI EAFE				2.5	-0.4	2.5	11.9	5.0	5.9	5.5	3.4
Morgan Stanley	20,645,365	6.3	6.3	1.1	-0.7	1.1	9.8	3.9	4.8	5.6	4.0
Globeflex	21,293,862	6.5	6.3	1.7	0.8	1.7	13.0	7.4	7.4	6.2	3.0
MSCI EAFE				2.5	-0.4	2.5	11.9	5.0	5.9	5.5	3.4
Kabouter International Opportunities Offshore Fund II	11,990,812	3.7	3.5	-3.0	-3.5	-3.0	--	--	--	--	--
MSCI EAFE Small Cap				0.7	-0.7	0.7	14.6	10.0	10.2	8.6	7.4
Emerging Markets Equity	21,710,283	6.7	7.0	1.0	-7.7	1.0	4.6	2.7	1.5	1.0	--
MSCI Emerging Markets				2.2	-4.6	2.2	14.1	8.9	5.3	1.8	2.9
Aberdeen Emerging Mkts	11,377,859	3.5	3.5	3.8	-7.7	3.8	7.0	5.7	2.9	2.0	--
MSCI Emerging Markets				2.2	-4.6	2.2	14.1	8.9	5.3	1.8	2.9
Mondrian EM Small Cap	10,332,424	3.2	3.5	-1.7	-7.7	-1.7	2.0	-0.4	--	--	--
MSCI Emerging Markets Small Cap				1.2	-7.3	1.2	9.5	5.8	4.5	1.3	4.8
Total Fixed Income	68,230,085	20.9	21.0	0.1	0.2	0.1	1.8	2.9	3.2	4.0	4.8
BBgBarc US Aggregate TR				0.0	-1.6	0.0	-0.7	1.5	2.2	2.3	3.7
Commonfund	16,444,846	5.0	5.0	0.2	-0.7	0.2	0.8	2.2	2.9	3.4	4.4
BBgBarc US Aggregate TR				0.0	-1.6	0.0	-0.7	1.5	2.2	2.3	3.7
Vanguard Inflation-Protected Securities	25,666,511	7.9	8.0	-0.5	-0.6	-0.5	0.0	--	--	--	--
BBgBarc US TIPS TR				-0.5	-0.5	-0.5	0.1	1.7	1.4	1.5	3.0



July 31, 2018

University of Maine System Managed Investment Pool

TOTAL PLAN PERFORMANCE

	Market Value (\$)	% of Portfolio	Policy %	1 Mo (%)	YTD (%)	Fiscal YTD (%)	2 Yrs (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Guggenheim US Bank Loans	15,990,523	4.9	5.0	0.7	2.7	0.7	--	--	--	--	--
<i>Credit Suisse Leveraged Loans</i>				0.8	3.2	0.8	5.8	4.6	4.2	4.7	5.2
Blackrock Strategic Income Opportunities	10,128,204	3.1	3.0	0.4	--	0.4	--	--	--	--	--
<i>3-Month Libor Total Return USD</i>				0.2	1.3	0.2	1.5	1.2	0.8	0.7	0.7
Total GAA	73,914,229	22.7	23.0	1.3	-0.1	1.3	4.8	3.4	3.4	3.5	--
<i>65% MSCI ACWI (Net) / 35% BBgBarc Global Agg</i>				1.9	1.1	1.9	8.6	6.8	6.3	6.0	5.3
GMO Global Absolute Return	24,425,675	7.5	7.7	1.2	-1.0	1.2	6.0	4.0	3.4	4.7	5.0
<i>Blended Index</i>				0.6	-0.3	0.6	2.0	3.3	3.5	3.7	4.8
Wellington	24,629,750	7.6	7.7	0.9	-1.6	0.9	8.3	5.4	5.9	4.2	4.8
<i>65% MSCI ACWI (Net) / 35% BBgBarc Global Agg</i>				1.9	1.1	1.9	8.6	6.8	6.3	6.0	5.3
Newton Global Real Return	24,858,804	7.6	7.7	1.7	2.3	1.7	0.0	--	--	--	--
<i>60% MSCI ACWI (Net) / 40% BBgBarc Global Agg</i>				1.7	0.9	1.7	7.9	6.4	6.0	5.6	5.1
Total Hedge Funds	19,334,568	5.9	6.0	0.9	3.8	0.9	5.9	3.3	2.9	2.1	2.2
<i>HFRI Fund of Funds Composite Index</i>				0.2	0.9	0.2	5.1	1.9	3.3	2.8	1.7
Lighthouse	19,334,568	5.9	6.0	0.9	6.0	0.9	7.5	5.3	--	--	--
<i>Credit Suisse Long Shrt Eqt USD</i>				1.4	2.1	1.4	7.8	3.3	5.7	5.3	4.6
Total Real Assets	2,717,239	0.8	3.0	0.0	-0.5	0.0	-1.8	1.0	3.2	3.9	--
<i>NCREIF Timberland Index</i>				0.0	1.4	0.0	3.5	3.5	6.0	5.8	4.0
John Hancock Timber Fund	2,717,239	0.8	3.0	0.0	-0.5	0.0	-1.8	1.0	3.2	3.9	0.1
<i>NCREIF Timberland Index</i>				0.0	1.4	0.0	3.5	3.5	6.0	5.8	4.0
Private Equity	2,349,913	0.7	2.0	0.0	3.7	0.0	18.6	12.3	--	--	--
Landmark Equity Partners XV	2,349,913	0.7	2.0	0.0	3.7	0.0	18.6	12.3	--	--	--
<i>Cambridge Associates US All PE (1 Qtr Lag)</i>				0.0	7.9	0.0	16.8	12.0	13.2	12.9	10.3
Total Cash	1,055,346	0.3	0.0								
Distribution Account	1,055,346	0.3	0.0	0.1	0.9	0.1	0.7	0.5	0.3	0.2	0.3
<i>91 Day T-Bills</i>				0.2	1.0	0.2	1.0	0.8	0.5	0.3	0.3

Notes:

Fiscal YTD begins 7/1

Blended Index: 40% BC Aggregate, 30% BC U.S. TIPS 1-10YR, 10% S&P 500, 10% BC High Yield, 10% JPM EMBI+

Returns are net of manager fees

John Hancock Timber market value as of 07/31/18

Landmark market value estimated as of 07/31/18

Cash account includes \$1,047 currently being held in the MetWest account and \$1,113 being held in the TCW account

July 31, 2018

University of Maine System Pension Plan

TOTAL PLAN PERFORMANCE

	Market Value (\$)	% of Portfolio	Policy %	1 Mo (%)	YTD (%)	Fiscal YTD (%)	1 Yr (%)	2 Yrs (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Pension Composite	28,849,074	100.0	100.0	0.9	1.2	0.9	4.7	5.2	4.3	4.8	5.2	4.6
Allocation Index				1.2	1.3	1.2	5.9	6.6	5.6	5.8	6.1	5.3
Policy Index				1.1	1.1	1.1	5.5	6.5	5.7	6.0	6.3	5.8
Total Domestic Large Cap	2,343,430	8.1	8.0	3.7	6.5	3.7	16.3	16.1	12.5	12.8	13.4	9.1
S&P 500				3.7	6.5	3.7	16.2	16.1	12.5	13.1	14.2	10.7
Vanguard S&P 500 Index	2,343,430	8.1	8.0	3.7	6.5	3.7	16.3	16.1	12.5	--	--	--
S&P 500				3.7	6.5	3.7	16.2	16.1	12.5	13.1	14.2	10.7
Total Small Cap Composite	1,127,392	3.9	4.0	1.7	9.5	1.7	18.7	18.6	12.1	11.1	12.4	--
Russell 2000				1.7	9.5	1.7	18.7	18.6	12.0	11.3	12.7	10.4
SSgA R2000 Index Fund Non Lending	1,127,392	3.9	4.0	1.7	9.5	1.7	18.7	18.6	12.1	11.1	12.5	--
Russell 2000				1.7	9.5	1.7	18.7	18.6	12.0	11.3	12.7	10.4
Total International Equity (including emerging markets)	2,985,033	10.3	10.0	0.3	-2.7	0.3	3.6	7.4	2.7	3.5	3.8	2.9
MSCI EAFE				2.5	-0.4	2.5	6.4	11.9	5.0	5.9	5.5	3.4
Morgan Stanley Int'l	2,148,614	7.4	7.0	1.1	-0.7	1.1	5.1	9.8	4.1	5.0	5.6	4.1
MSCI EAFE				2.5	-0.4	2.5	6.4	11.9	5.0	5.9	5.5	3.4
Emerging Markets Equity	836,419	2.9	3.0	-1.7	-7.7	-1.7	-0.3	2.0	-0.4	0.1	--	--
MSCI Emerging Markets				2.2	-4.6	2.2	4.4	14.1	8.9	5.3	1.8	2.9
Mondrian EM Small Cap	836,419	2.9	3.0	-1.7	-7.7	-1.7	-0.3	2.0	-0.4	--	--	--
MSCI Emerging Markets Small Cap				1.2	-7.3	1.2	3.2	9.5	5.8	4.5	1.3	4.8
Total Fixed Income	10,149,042	35.2	34.5	0.1	-0.7	0.1	0.3	0.2	2.1	2.5	3.0	4.8
BBgBarc US Aggregate TR				0.0	-1.6	0.0	-0.8	-0.7	1.5	2.2	2.3	3.7
Vanguard Total Bond Market Index	5,833,713	20.2	20.0	0.0	-1.6	0.0	-0.9	-0.8	1.4	--	--	--
BBgBarc US Aggregate TR				0.0	-1.6	0.0	-0.8	-0.7	1.5	2.2	2.3	3.7
Vanguard Inflation-Protected Securities	2,010,141	7.0	7.0	-0.5	-0.6	-0.5	0.9	--	--	--	--	--
BBgBarc US TIPS TR				-0.5	-0.5	-0.5	1.2	0.1	1.7	1.4	1.5	3.0
Guggenheim US Bank Loans	1,553,154	5.4	5.0	0.7	2.7	0.7	4.0	--	--	--	--	--
Credit Suisse Leveraged Loans				0.8	3.2	0.8	4.7	5.8	4.6	4.2	4.7	5.2
BlackRock Strategic Income Opportunities	752,034	2.6	2.5	0.4	--	0.4	--	--	--	--	--	--
3-Month Libor Total Return USD				0.2	1.3	0.2	1.9	1.5	1.2	0.8	0.7	0.7



July 31, 2018

University of Maine System Pension Plan

TOTAL PLAN PERFORMANCE

	Market Value (\$)	% of Portfolio	Policy %	1 Mo (%)	YTD (%)	Fiscal YTD (%)	1 Yr (%)	2 Yrs (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Total GAA	8,078,582	28.0	27.5	1.4	0.5	1.4	3.5	4.3	3.2	3.5	3.1	--
65% MSCI ACWI (Net) / 35% BBgBarc Global Agg				1.9	1.1	1.9	6.9	8.6	6.8	6.3	6.0	5.3
Wellington	4,038,920	14.0	13.8	1.0	-1.4	1.0	3.1	8.4	5.5	6.0	4.4	4.7
65% MSCI ACWI (Net) / 35% BBgBarc Global Agg				1.9	1.1	1.9	6.9	8.6	6.8	6.3	6.0	5.3
Newton Global Real Return	4,039,662	14.0	13.8	1.7	2.3	1.7	3.8	0.1	--	--	--	--
60% MSCI ACWI (Net) / 40% CITI WGBI				1.6	1.1	1.6	6.4	7.5	6.5	5.8	5.4	4.9
Total Alternative Investments	1,656,574	5.7	5.0	0.9	3.9	0.9	7.3	5.9	3.4	3.5	2.4	--
HFRI Fund of Funds Composite Index				0.2	0.9	0.2	4.3	5.1	1.9	3.3	2.8	1.7
Lighthouse	1,656,574	5.7	5.0	0.9	6.0	0.9	10.3	7.5	5.3	--	--	--
Credit Suisse Long Shrt Eqt USD				1.4	2.1	1.4	6.6	7.8	3.3	5.7	5.3	4.6
Total Real Assets	2,302,880	8.0	8.0									
Principal	2,302,880	8.0	8.0	0.7	4.8	0.7	8.1	8.5	9.4	10.7	11.1	4.7
NCREIF ODCE				0.0	4.3	0.0	8.4	8.2	9.4	11.0	11.4	5.3
Total Cash	206,142	0.7	3.0									
Distribution Account	206,142	0.7	3.0	0.2	0.8	0.2	1.2	0.7	0.5	0.3	0.2	0.3
91 Day T-Bills				0.2	1.0	0.2	1.5	1.0	0.8	0.5	0.3	0.3

Notes:

Fiscal YTD begins 7/1

Blended Index: 40% BC Aggregate, 30% BC U.S. TIPS 1-10YR, 10% S&P 500, 10% BC High Yield, 10% JPM EMBI+

Returns are net of manager fees

July 31, 2018

University of Maine System Operating Fund

TOTAL PLAN PERFORMANCE

	Market Value (\$)	% of Portfolio	Policy %	1 Mo (%)	YTD (%)	Fiscal YTD (%)	2 Yrs (%)	3 Yrs (%)	5 Yrs (%)	7 Yrs (%)	10 Yrs (%)
Operating Funds Composite	272,084,004	100.0	100.0	0.6	0.9	0.6	2.8	2.4	2.1	2.3	2.5
<i>Allocation Index</i>				0.6	0.9	0.6	2.9	2.4	2.4	2.4	--
Liquidity Pool Composite	68,037,361	25.0	25.0	0.1	0.8	0.1	0.9	0.7	0.5	0.4	0.5
State Pool	33,446,695	12.3		0.1	0.8	0.1	1.0	0.8	0.6	0.5	0.6
BOA General Fund	5,393,711	2.0		0.0	0.2	0.0	0.1	0.1	0.1	0.1	--
Federated Gov't Obligations	1,015,187	0.4		0.2	0.9	0.2	--	--	--	--	--
JP Morgan US Gov't Money Market Fund	28,181,768	10.4		0.2	0.9	0.2	--	--	--	--	--
<i>Citi 3mth Treasury Bill</i>				0.2	0.9	0.2	1.0	0.7	0.4	0.3	0.3
Income Pool Composite	135,938,099	50.0	50.0	0.2	0.1	0.2	1.2	1.7	1.7	2.0	3.1
Income Research + Management	74,364,601	27.3	26.7	0.1	0.1	0.1	0.3	0.8	0.9	--	--
<i>BBgBarc US Govt/Credit 1-3 Yr. TR</i>				0.1	0.1	0.1	0.3	0.7	0.8	0.8	1.6
BlackRock Strategic Income Opportunities	17,382,627	6.4	6.7	0.4	-0.1	0.4	3.2	2.4	--	--	--
<i>3-Month Libor Total Return USD</i>				0.2	1.3	0.2	1.5	1.2	0.8	0.7	0.7
Loomis Sayles Bank Loans	18,821,576	6.9	6.7	0.7	2.2	0.7	4.0	3.4	3.2	3.9	4.2
<i>Loomis Bank Loans Custom Index</i>				0.7	2.4	0.7	4.2	3.9	4.0	4.5	5.4
Vanguard Total Bond Market Instl' Fund	12,728,898	4.7	5.0	0.0	-1.6	0.0	-0.8	1.4	2.2	2.3	--
<i>BBgBarc US Aggregate TR</i>				0.0	-1.6	0.0	-0.7	1.5	2.2	2.3	3.7
Vanguard Inflation-Protected Securities	12,640,397	4.6	5.0	-0.5	-0.6	-0.5	--	--	--	--	--
<i>BBgBarc US TIPS TR</i>				-0.5	-0.5	-0.5	0.1	1.7	1.4	1.5	3.0
Total Return Pool Composite	68,108,544	25.0	25.0	1.8	2.3	1.8	7.8	5.9	4.9	5.0	5.0
Lighthouse	14,946,022	5.5	5.0	0.9	6.0	0.9	7.5	5.2	--	--	--
<i>Credit Suisse Long Shrt Eqt USD</i>				1.4	2.1	1.4	7.8	3.3	5.7	5.3	4.6
Newton Global Real Return	17,495,767	6.4	6.3	1.7	2.3	1.7	--	--	--	--	--
<i>60% MSCI ACWI (Net)/ 40% BBgBarc Global Agg</i>				1.7	0.9	1.7	7.9	6.4	6.0	5.6	5.1
PIMCO All Asset	17,297,373	6.4	6.3	1.2	-1.0	1.2	6.9	5.8	3.9	4.0	5.2
<i>Blended Index</i>				0.6	-0.3	0.6	2.0	3.3	3.5	3.7	4.8
Vanguard Total World Stock Index	18,369,382	6.8	7.5	3.0	2.8	3.0	14.4	9.4	9.4	--	--
<i>FTSE Global All Cap Index</i>				2.8	2.8	2.8	13.6	8.1	7.7	7.1	4.9



University of Maine System Operating Fund

TOTAL PLAN PERFORMANCE

Notes:

Returns are net of manager fees.

The inception date for the allocation index is 07/01/2009

Fiscal YTD begins 7/1

Blended Index: 40% BC Aggregate / 30% BC U.S. TIPS 1-10YR / 10% S&P 500 / 10% BC High Yield / 10% JPM EMBI+

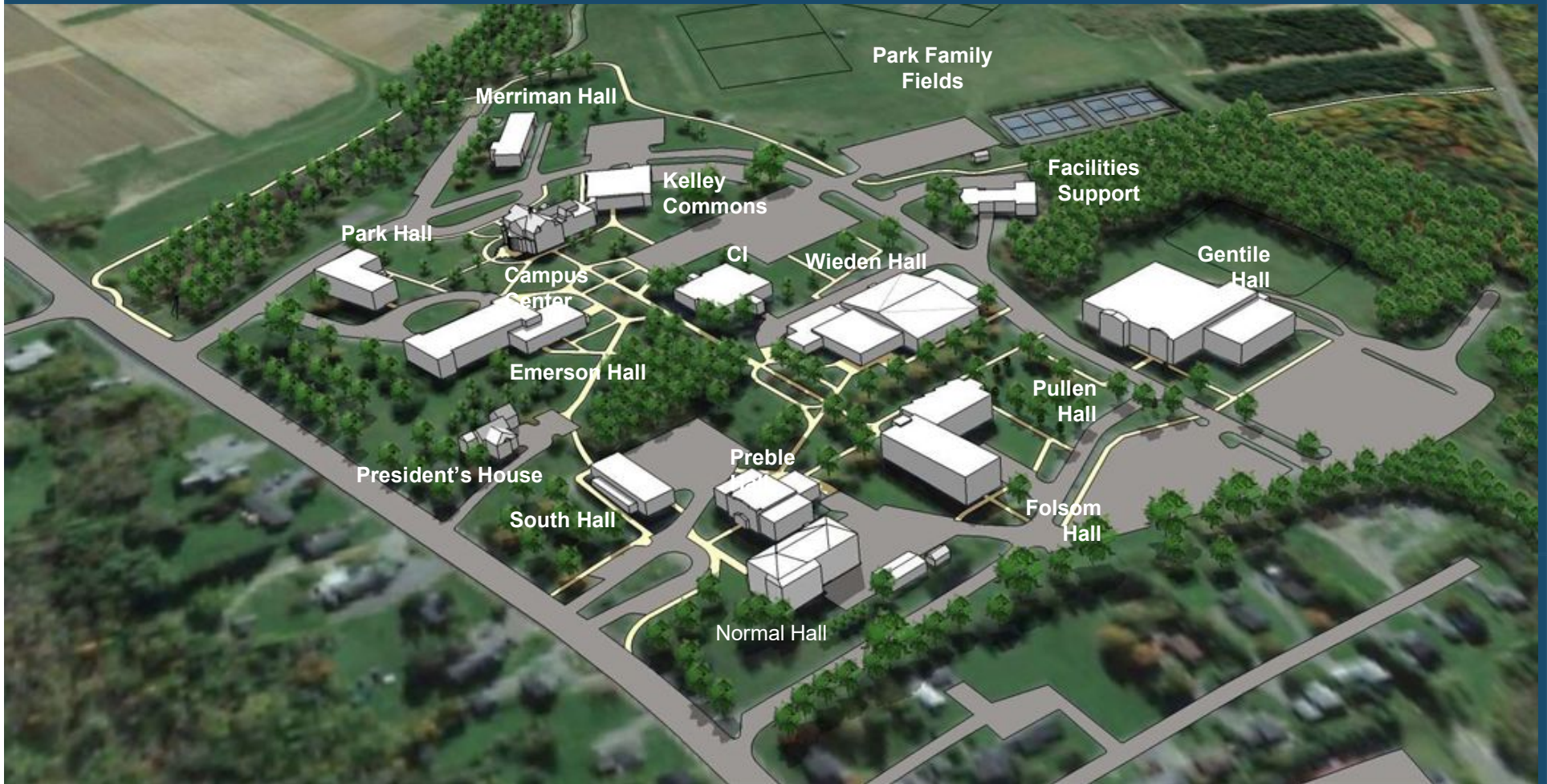
Loomis Bank Loans Custom Index blends performance of "S&P/LSTA Leveraged Loan Index" before 9/1/2014 and "S&P/LSTA Leveraged BB Loan Index" after 9/1/2014.

Composite excludes external loans.

Blackrock SIO changed its share class in May 2018 to BSIKX



July 31, 2018



UMPI CAMPUS MASTER PLAN

FFT PRESENTATION
UMPI CAMPUS MASTER PLAN
08.20.2018



1. INTRODUCTION
2. PUBLIC PROCESS AND STEERING
COMMITTEE SUMMARY
3. REVIEW PREFERRED OPTION DRAFT
4. PUBLIC FEEDBACK AND COMMENTS
5. NEXT STEPS

University of Maine Presque Isle
Facility Master Plan

July 20, 2018



AGENDA

FFT PRESENTATION
UMPI CAMPUS MASTER PLAN
08.20.2018



	Program	Facilities	Campus	Energy
Maximize Asset Utilization				
Determine Best Use of Normal Hall	✓	✓	✓	✓
Address Rickes Associates Space Needs	✓	✓		
Renovate CIL	✓	✓		✓
Renovate Student Residence Halls		✓	✓	✓
Address Deferred Maintenance				
Normal Hall	✓	✓	✓	✓
Renovate Wieden Hall	✓	✓	✓	✓
Enhance Campus Organization/Function				
Flag Circle/South Hall Parking Lot			✓	
South/Preble Campus Entrance Improvements			✓	
Connect Merriman & Gentile Halls to Core Campus			✓	
Future Building Locations	✓	✓	✓	✓

MASTER PLAN | DRIVERS

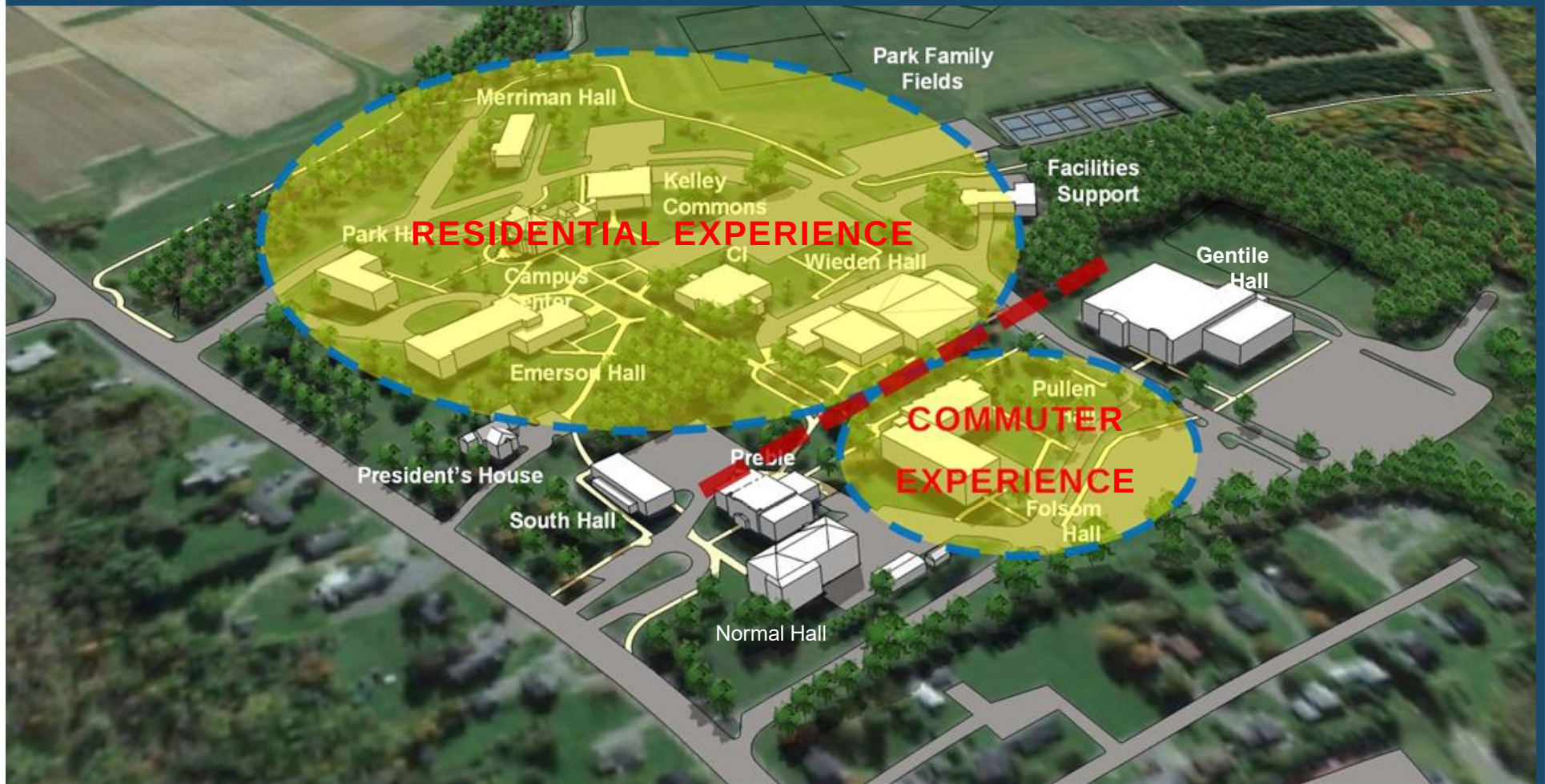
- Size & Character of Campus
- Connection to Downtown Presque Isle
- Social Spaces on Campus
- Residential Experience
- Academic Experience
- Wieden Hall
- Accessibility
- Underutilized Areas
- Site / Campus Improvements



PUBLIC EVENTS FEEDBACK

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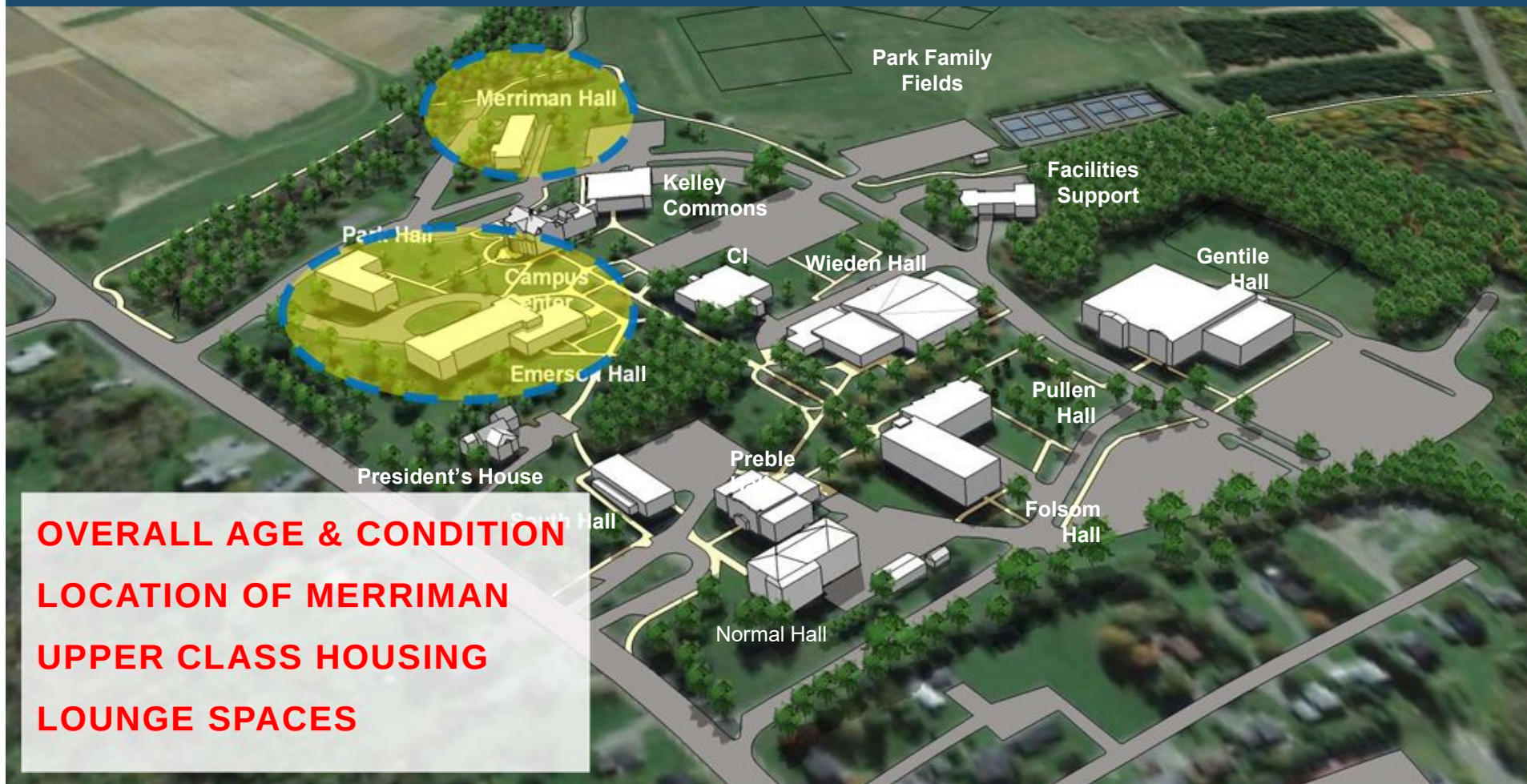




THE GREAT DIVIDE

FFT PRESENTATION
UMPI CAMPUS MASTER PLAN
08.20.2018





RESIDENCE HALLS

FFT PRESENTATION
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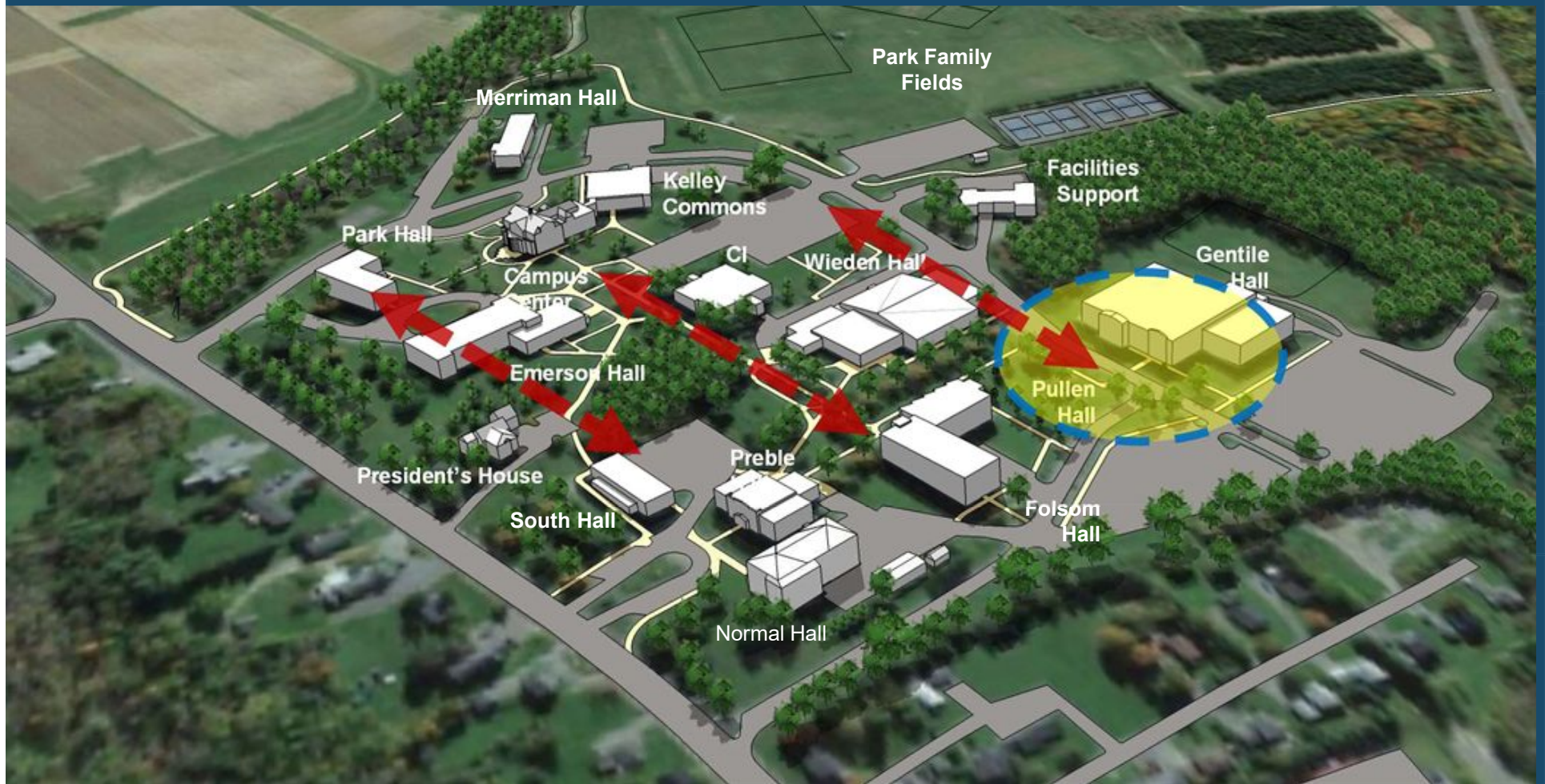




UNDERUTILIZED AREAS

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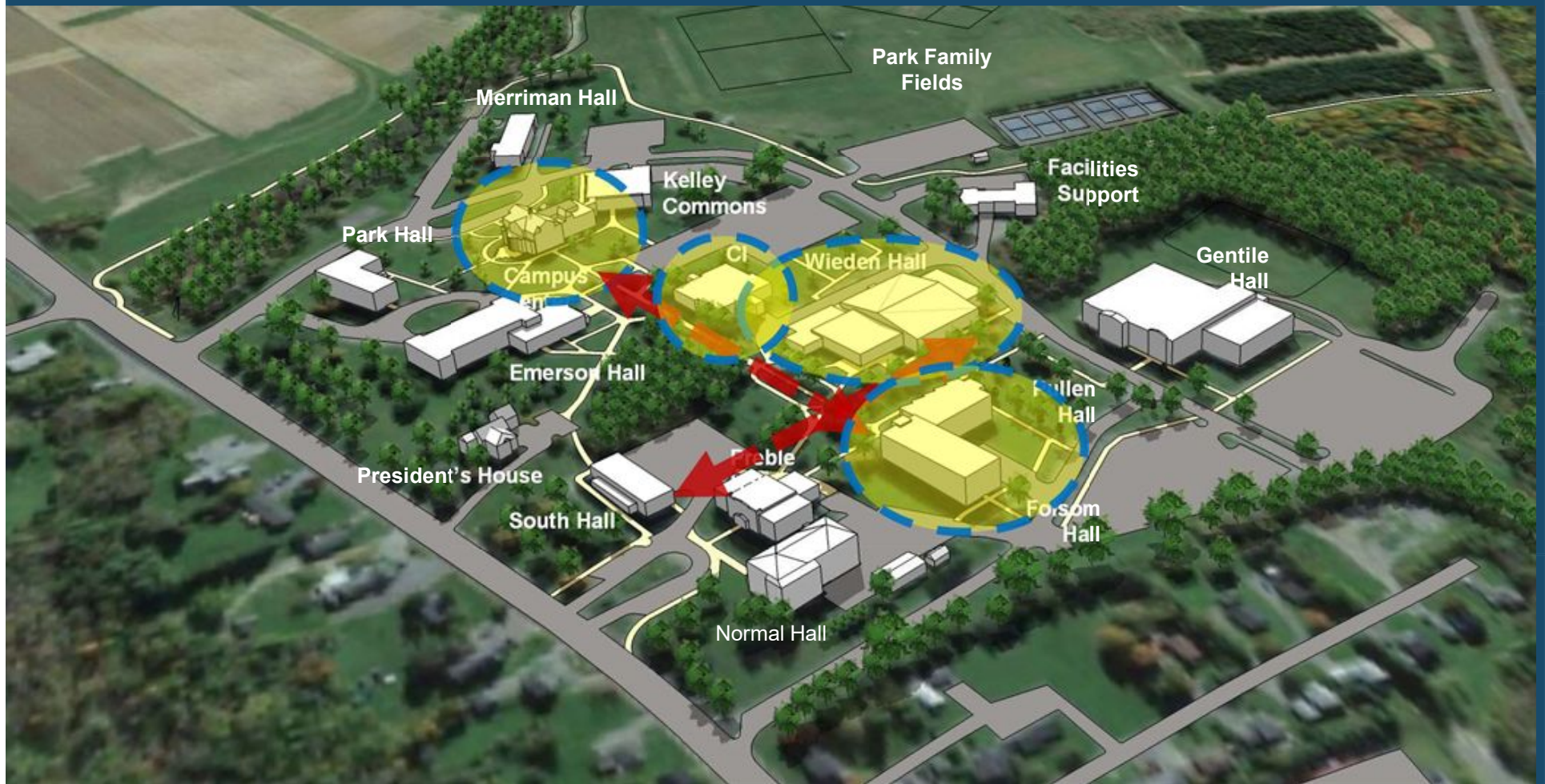




CAMPUS ACCESSIBILITY - ADA

FFT PRESENTATION
UMPI CAMPUS MASTER PLAN
08.20.2018





HEART OF CAMPUS

FFT PRESENTATION
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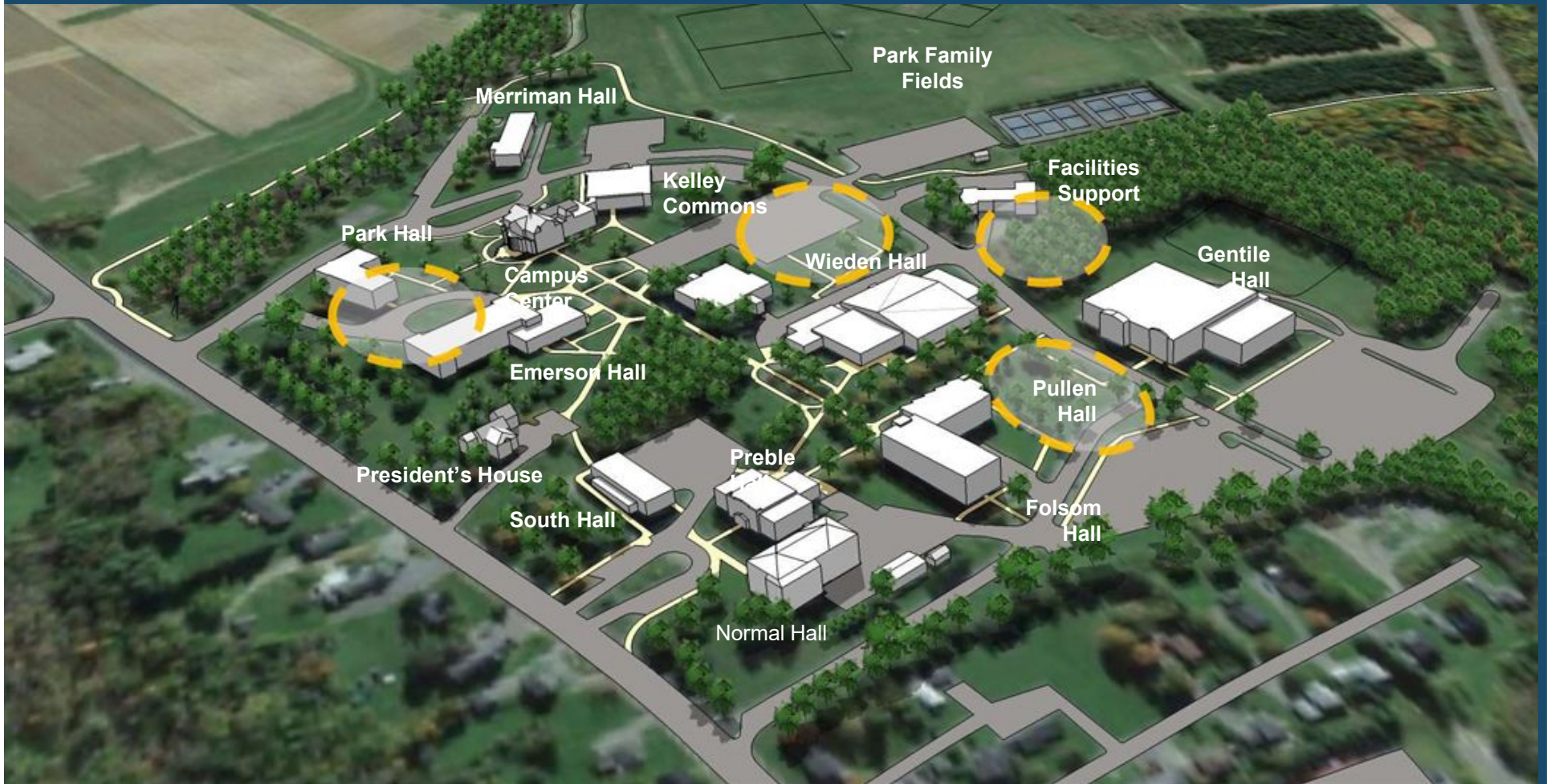
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CAMPUS OPPORTUNITIES

FFT PRESENTATION
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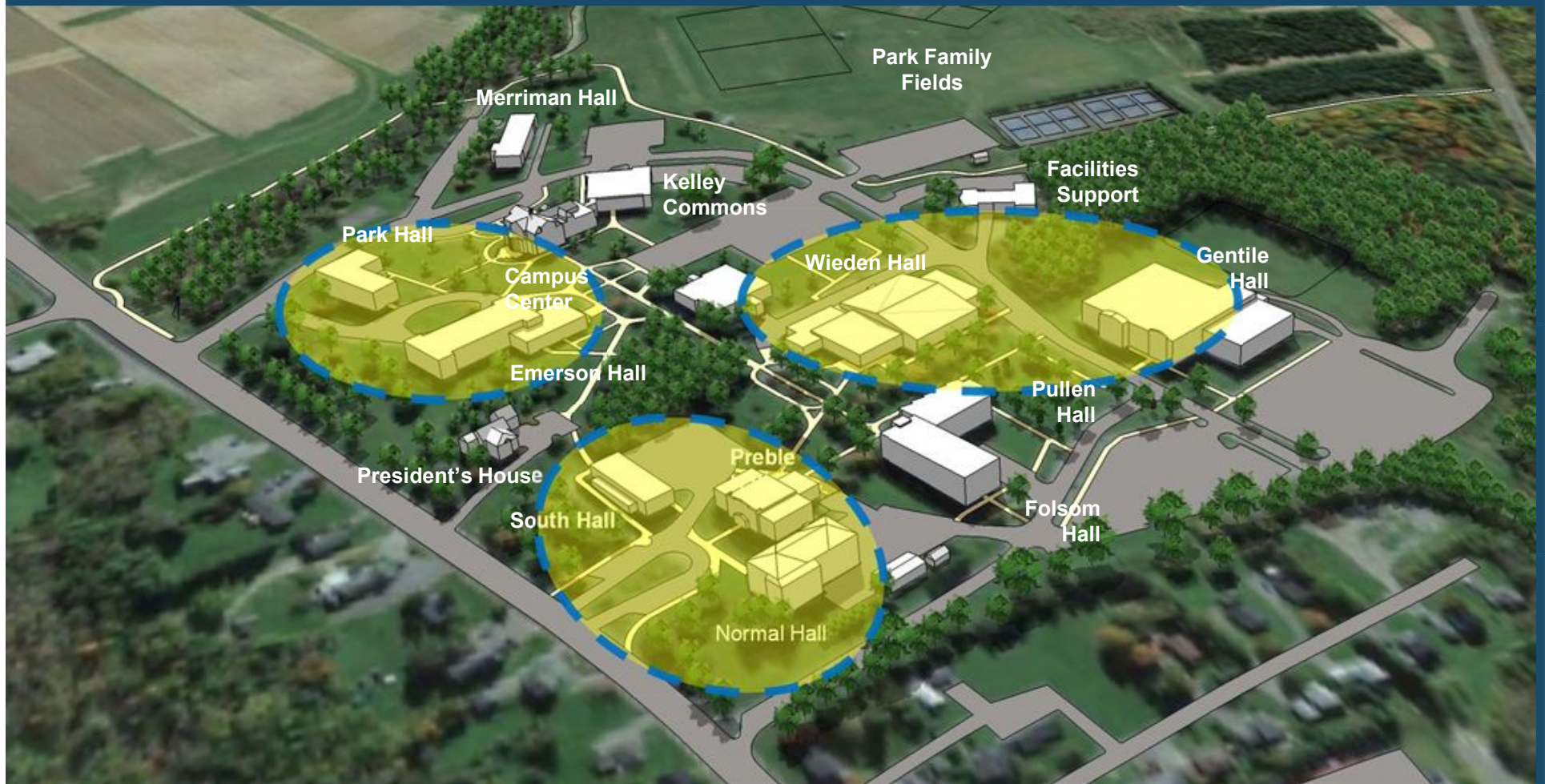




FUTURE GROWTH LOCATIONS

FFT PRESENTATION
UMPI CAMPUS MASTER PLAN
08.20.2018





IMPROVED VISIBILITY / IMAGE

FFT PRESENTATION
UMPI CAMPUS MASTER PLAN
08.20.2018



UMPI Campus Master Plan

FFT PRESENTATION
UMPI CAMPUS MASTER PLAN
08.20.2018



ELEMENTS:

A. Renovate Wieden Hall

- Phased building renovation; funding dependent
- Focus of potential bond proceeds
- Addresses deferred maintenance and potential nursing and allied health program growth

B. Greenhouse

- Provides program space for Ag Science

C. Preble Hall Parking

- Phased increase of spaces: 55 total
- Phase 1: Reconfigure lot: +11
- Phase 2: Remove Normal Hall: +40

D. Central Quadrangle

- Develop formal central quad to better connect campus
- Three separate options provided with variation to how parking is impacted



UMPI CAMPUS MASTER PLAN

FFT PRESENTATION
UMPI CAMPUS MASTER PLAN
08.20.2018



ELEMENTS:

E. Create a Student Center

- Addresses '2 Campus' paradigm
- Phase 1: Renovations to Owls Nest (in progress)
- Phase 2: Move Owl's Nest to central campus locations (i.e. CIL)

F. Improve the Residential Campus

- Redefine the residential core of campus through a series of phased projects
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G. Improve Academic Core: Addition to Folsom Hall

- Creates “Front Door” to Folsom Hall
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- Flexible based on available funding

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I. Facilities Addition: Maintenance fleet garage required prior to existing building demolition

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- Additional parking outside loop road

K. Campus Improvements:

- Site lighting, landscaping, paths
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ELEMENTS:

G. Houlton Center:

- Address deferred maintenance
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UMPI CAMPUS MASTER PLAN

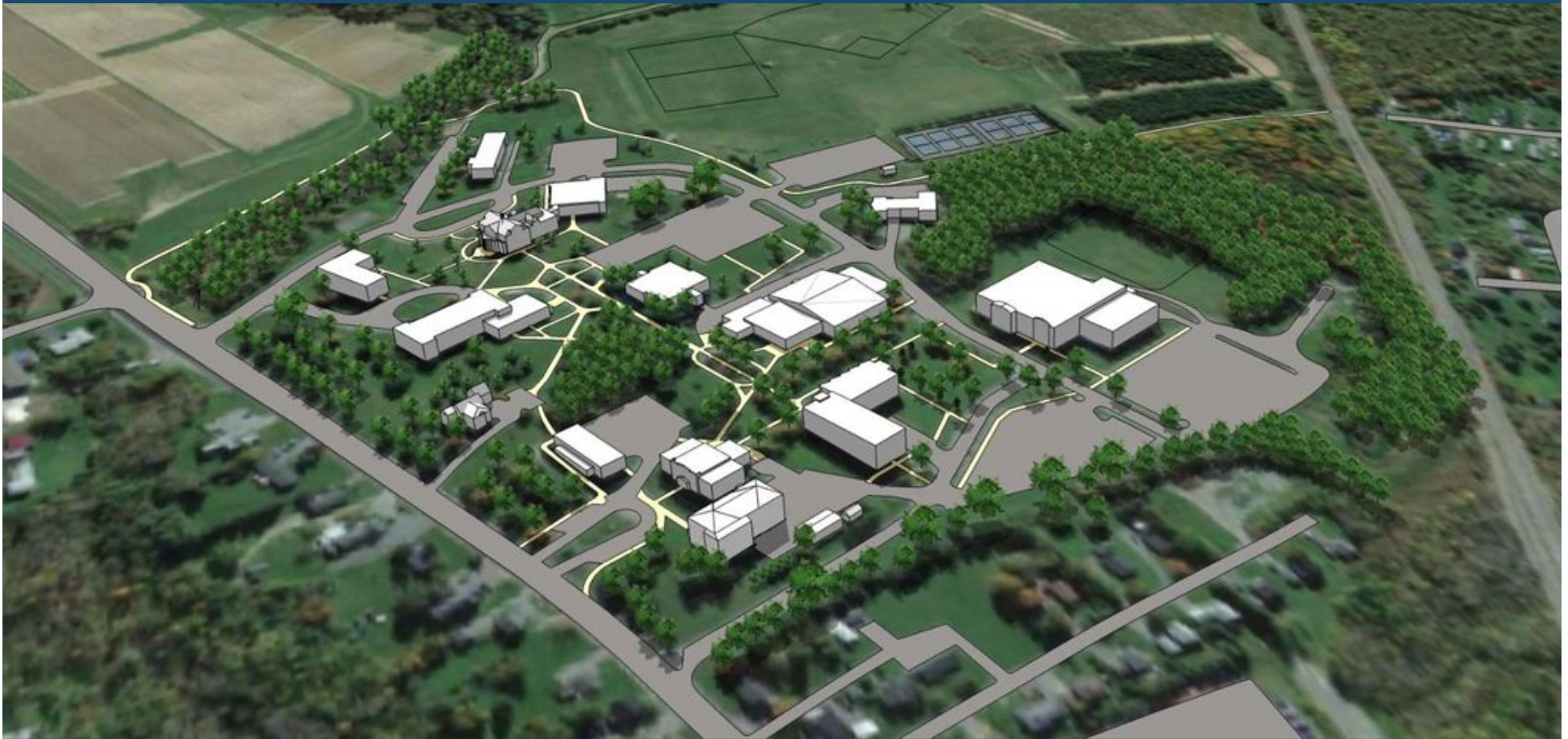
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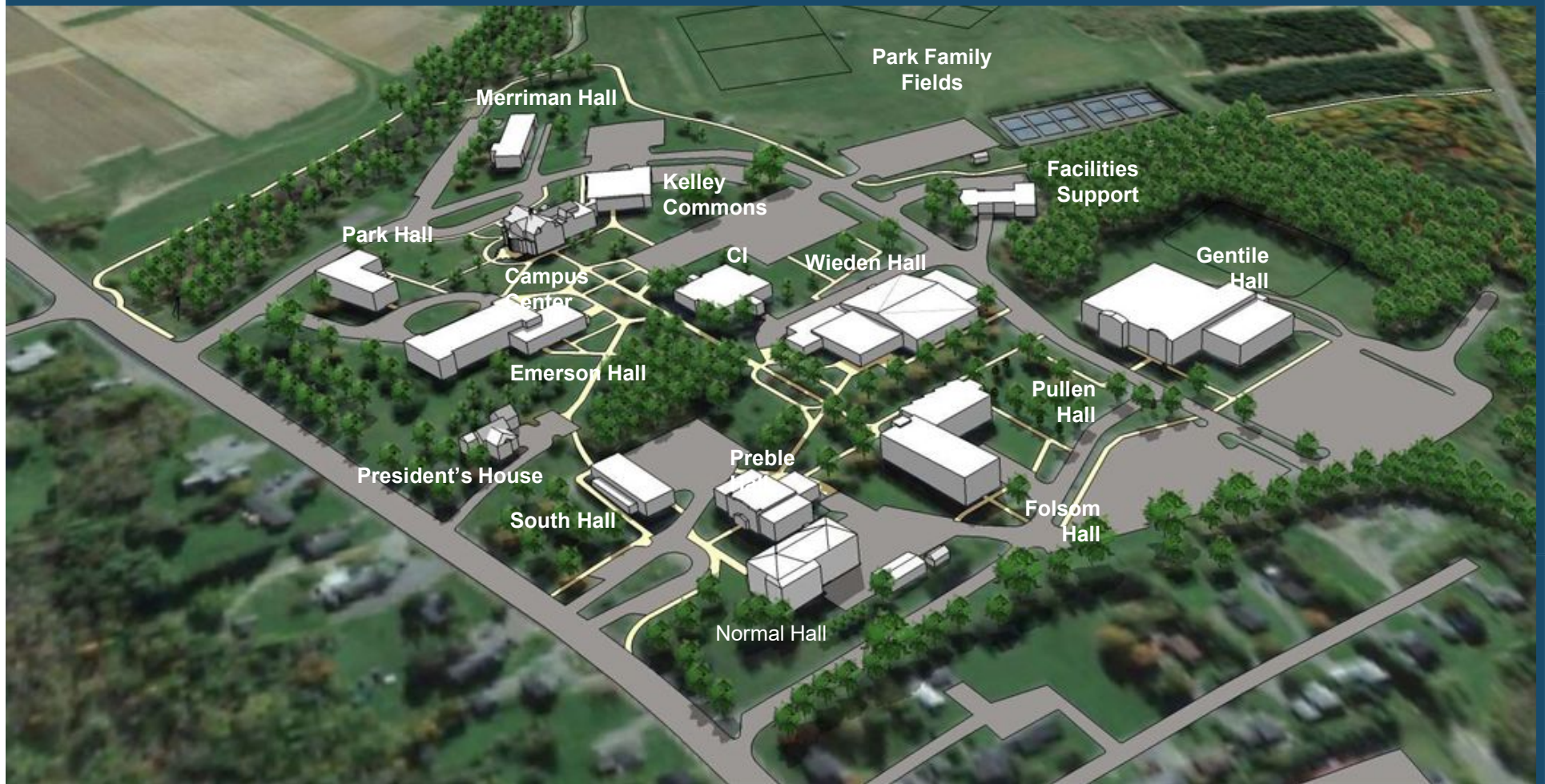
SUMMARY



THANK YOU

FFT PRESENTATION
UMPI CAMPUS MASTER PLAN
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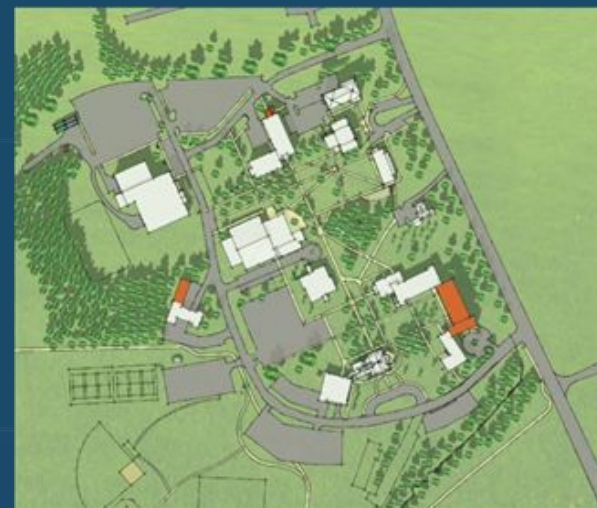
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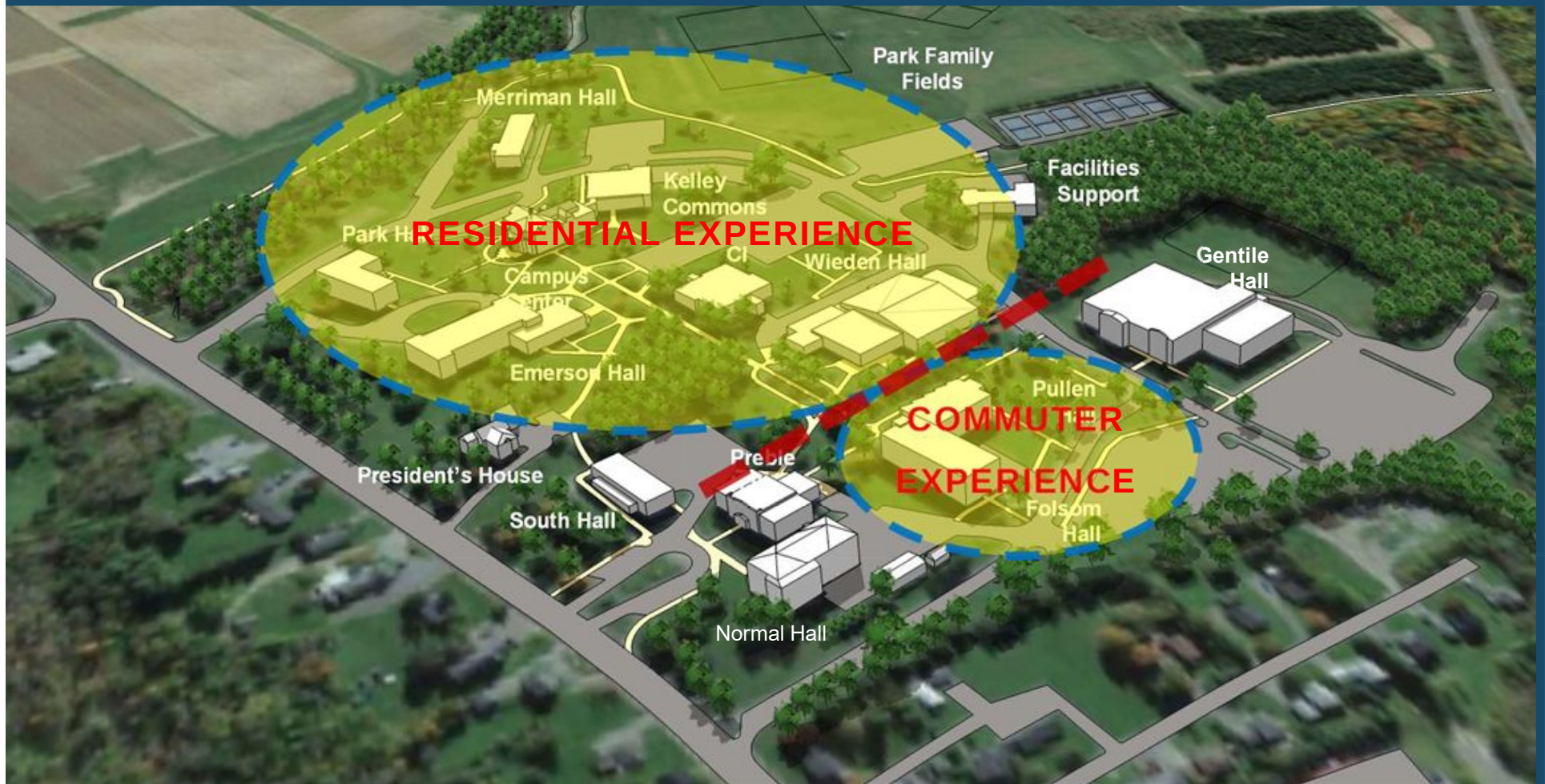
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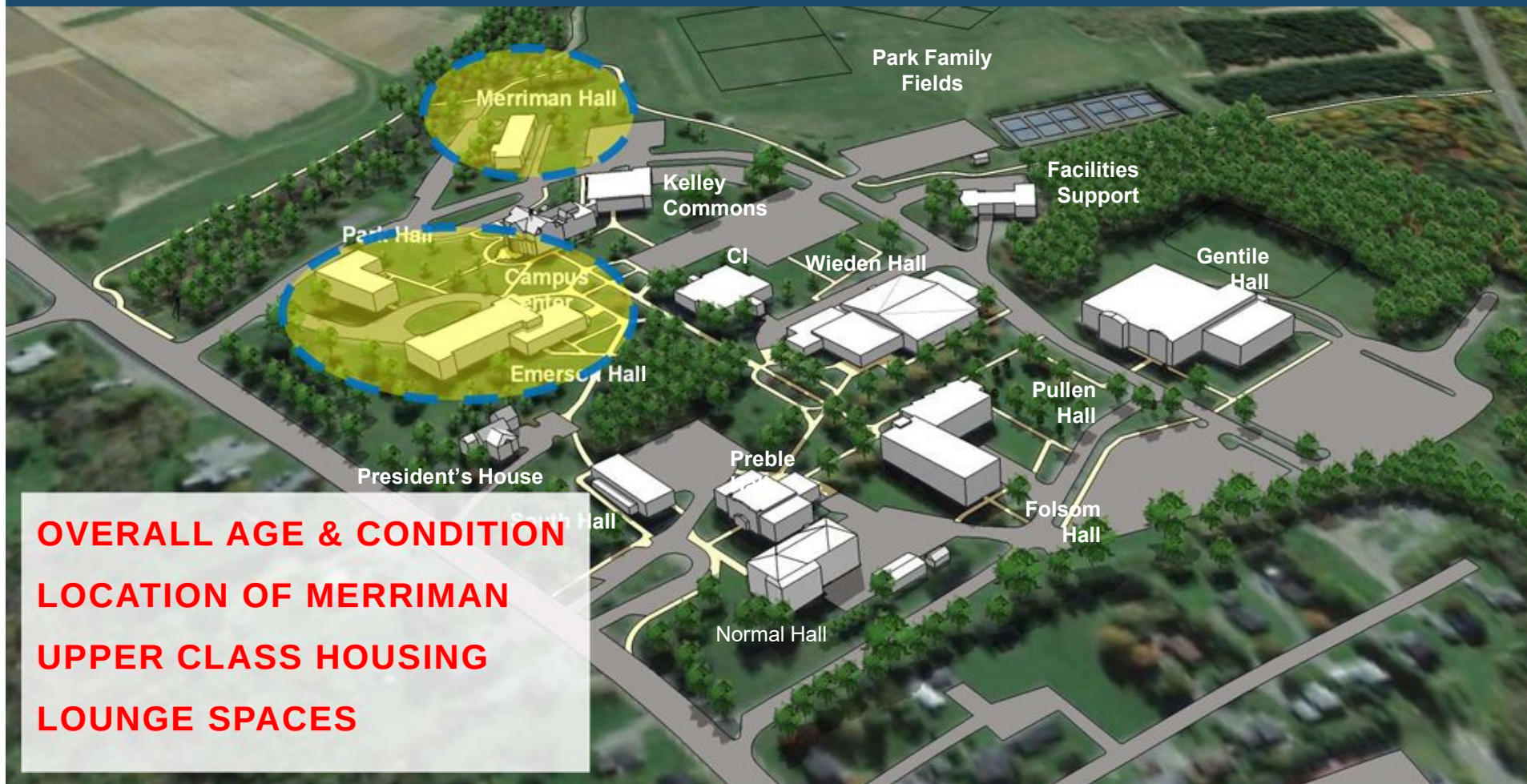




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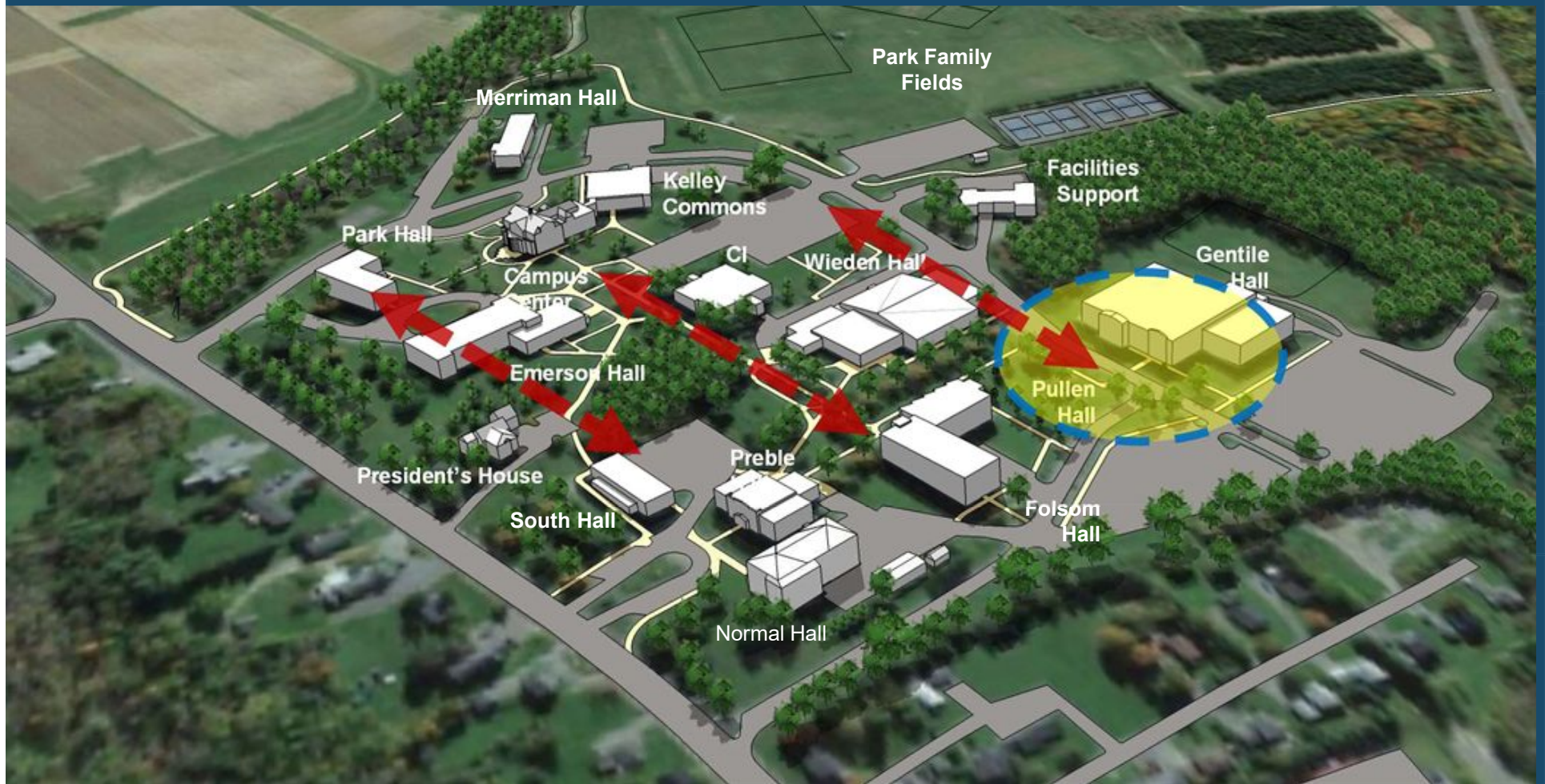




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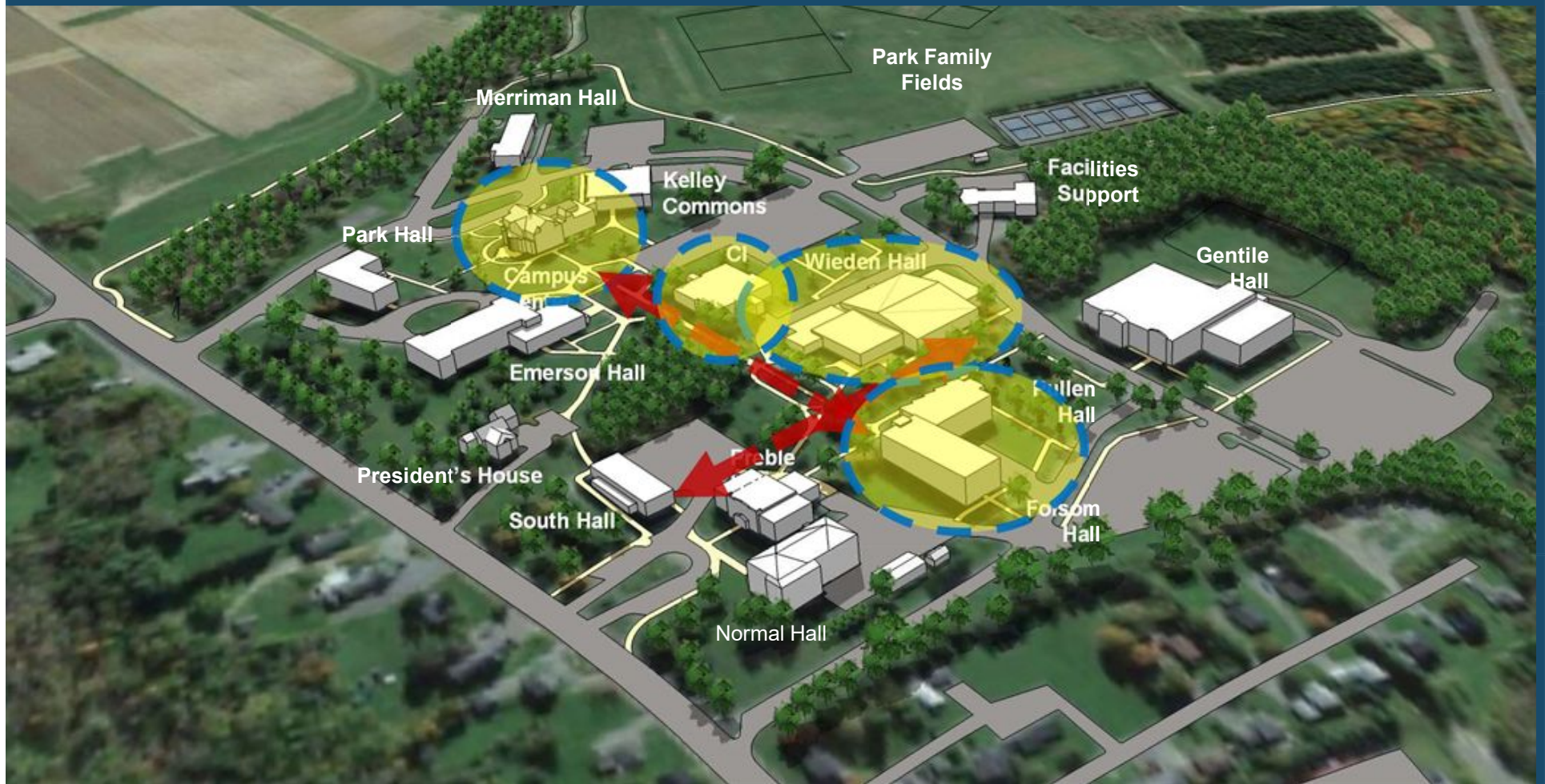




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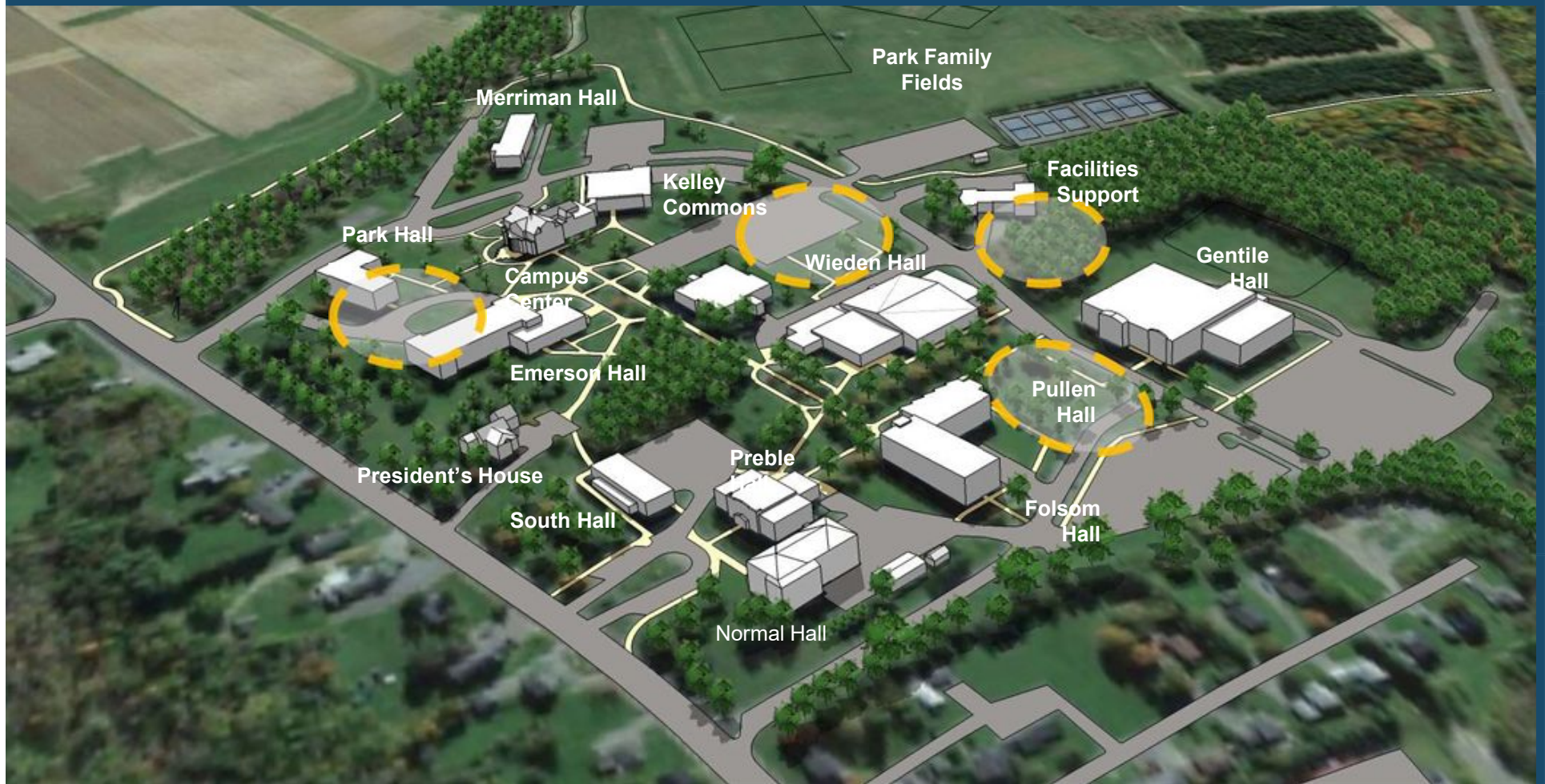
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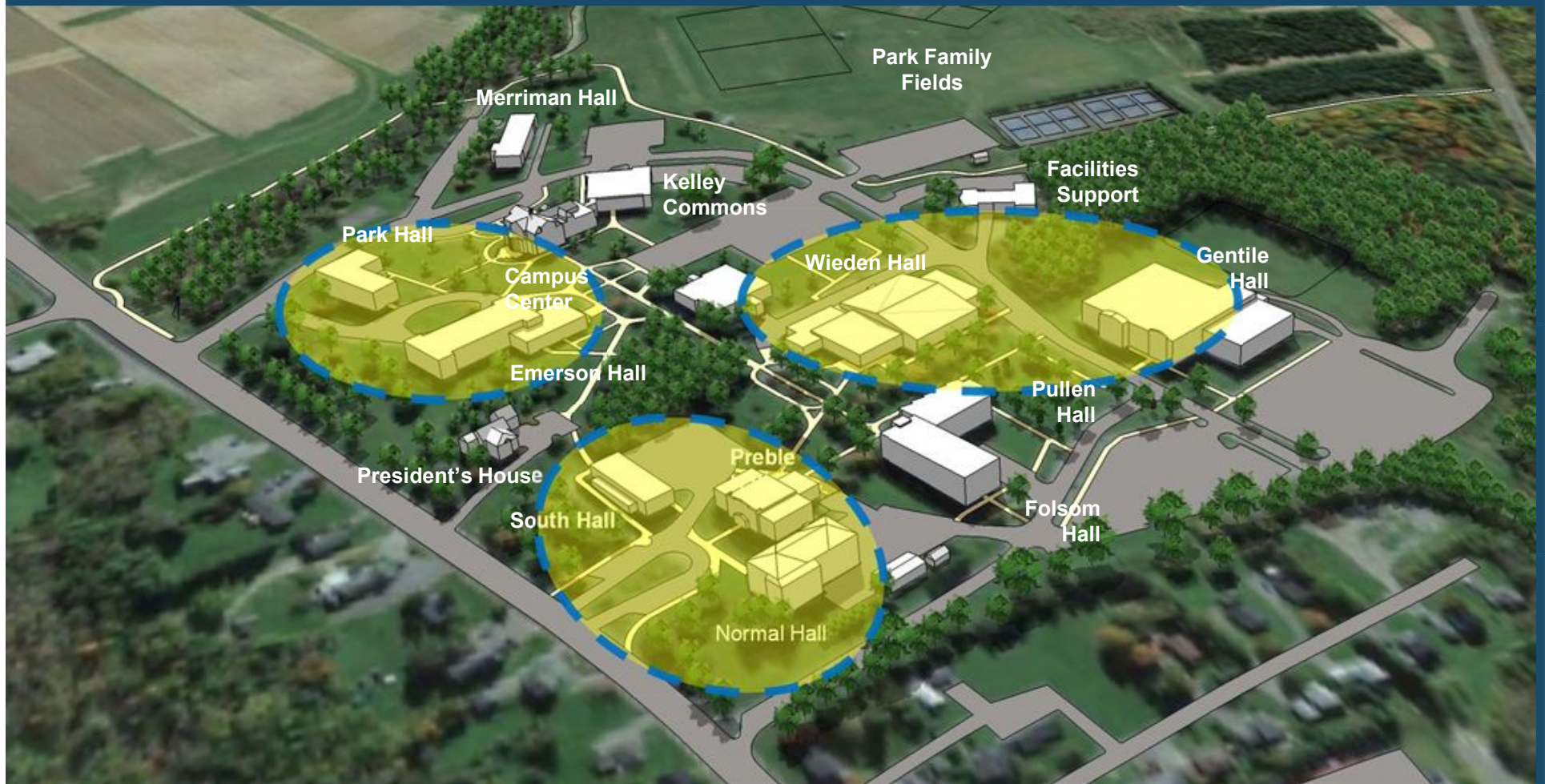




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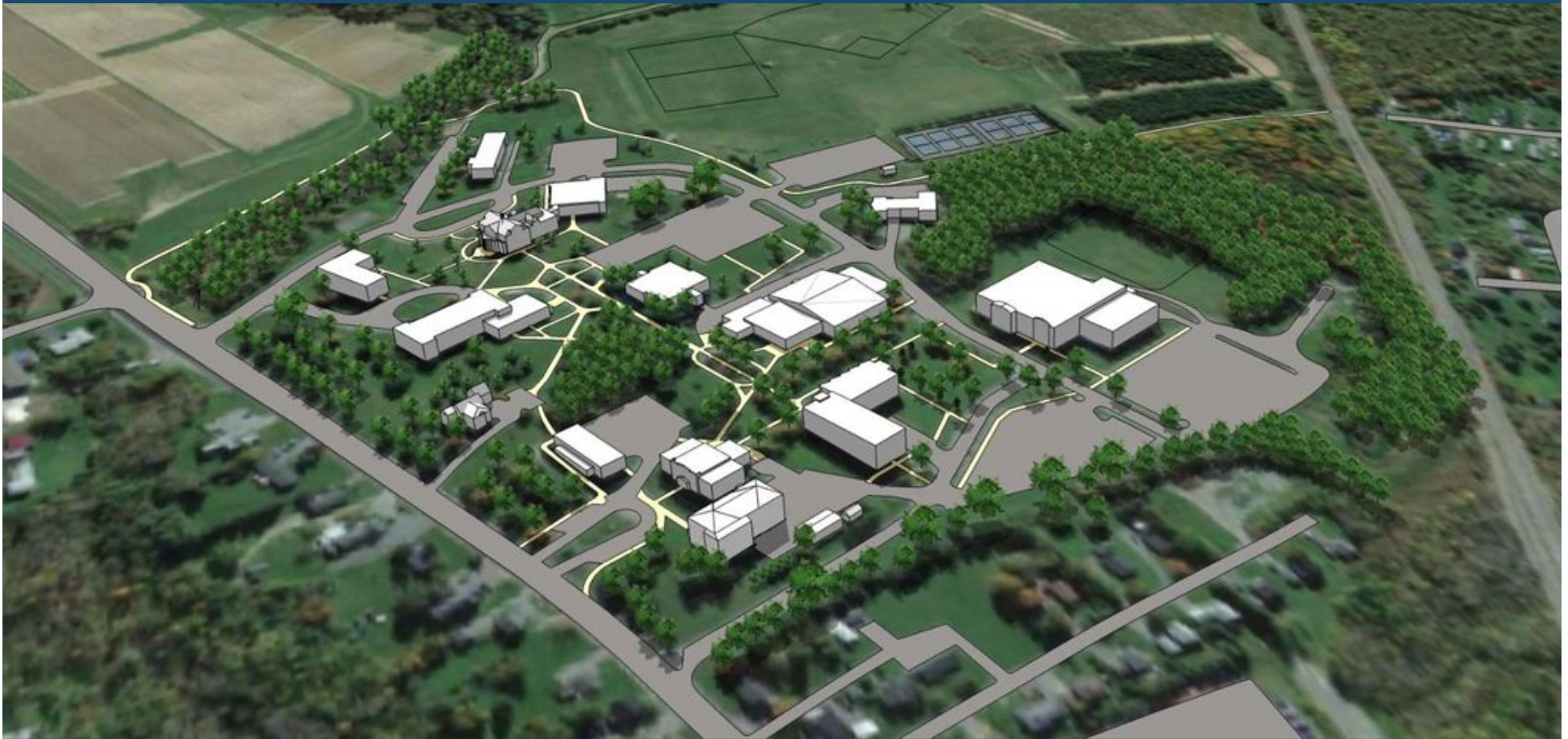
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SUMMARY



THANK YOU

FFT PRESENTATION
UMPI CAMPUS MASTER PLAN
08.20.2018



Academic and Student Affairs Committee of the Board – 2018-2019 Work Plan**
2018

September ASA	<p>Strategic Drivers of Innovation and Academic Sustainability:</p> <ul style="list-style-type: none"> • Policy 311: Discussion re revisions • Update: Academic Partnership • UMPI Academic Program Approval: Agricultural Sciences and Agribusiness • Endowed Chair: Dr. Robert Vinton Akeley Chair of Agricultural Sciences and Agribusiness <p>USM: Update related to Naming of Institution Discount Rate: Overview and Discussion NSSE Overview Review and Discussion of ASA Work plan Faculty Representative Discussion Topic Student Representative Discussion Topic</p>
September BOT (Sept. 16-17)	<p>ASA Work plan 2018-2019 Update: Academic Partnerships (tentative) Policy 311: Discussion re revisions (first read) UMPI Academic Program Approval: Agricultural Sciences and Agribusiness</p>
November ASA (October 30)	<p>Fall Enrollment Report Awarding of Academic Degrees (annual) Academic Calendar: AY 20-21 Strategic Drivers of Innovation and Academic Sustainability:</p> <ul style="list-style-type: none"> • Academic Partnerships: Campus Implementation Plans and Next Steps • Policy 311 (second read) • Update: Programs for Examination • Update: 2018-2019 Program Innovation Fund • Adult Degree Completion: 2018 Recommendations <p>Update: UMS Maine Center Ventures (this may be a full BOT presentation) Faculty Representative Discussion Topic Student Representative Discussion Topic</p>

November BOT (Nov. 18-19)	Fall Enrollment Report Overview and update on Early College and Early College enrollment Awarding of Academic Degrees (consent agenda) Policy 311 (second read) Academic Calendar: AY 20-21 (information item)
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2019

January ASA (January 8)	Strategic Drivers of Innovation and Academic Sustainability: Collaborative Masters Degrees <ul style="list-style-type: none"> • Market Research Update • Workforce Development: UMS Role Enrollment Discussion Topic: Comprehensive Overview of Admissions Update: Jobs for Maine's Graduates (JMG) Student Representatives Discussion Topic Faculty Representatives Discussion Topic
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January BOT (Jan. 27-28)	TBD
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March ASA	Review and recommendations: tenure nominations (Joint with HR/LR Committee)** Strategic Drivers of Innovation and Academic Sustainability: <ul style="list-style-type: none"> • Programs for Examination: 2018-2019 Outcome • Update: Student Success Spring Enrollment Report Enrollment Discussion Topic: Marketing – UMS and Campus Student Representatives Discussion Topic Faculty Representatives Discussion Topic
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March BOT (March 24-25)	Tenure Recommendations Spring Enrollment Report
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May ASA	Strategic Drivers of Innovation and Academic Sustainability: <ul style="list-style-type: none"> • Outcome of 2018-2019 Program Innovation Fund Effort • Report on 2017-2018 Program Innovation Fund Project Implementation
---------	--

- Adult Degree Completion: Update on Implementation

Enrollment Discussion Topic: Student Life Cycle

Student Representatives Discussion Topic

Faculty Representatives Discussion Topic

May BOT
(May 19-20)

TBD

July
ASA
(tent.)

Strategic Drivers of Innovation and Academic Sustainability:

Student Representatives Discussion Topic

Faculty Representatives Discussion Topic

WE WOULD LIKE TO DISCUSS A JULY HIATUS FOR ASA DUE TO DIFFICULT
SCHEDULING

July BOT
(July 15)

TBD

**This work plan is draft and will be updated based on topics to be added by the VCAA and CSAO. Other topics will be added as needed or required for decision making. Work plan will be updated as the Faculty and Student Representatives present their individual items. Items in red are action items.

University of Maine System
Board of Trustees

**Finance, Facilities & Technology Committee
Work Plan FY2019**

Standing Agenda

1. Finance, Facilities or Technology items requiring Committee and/or Board approval
2. Updates from staff on finance, facilities and technology informational items, as needed
3. Capital Projects Status Report (all projects requiring Committee and/or Board approval)
4. Major Technology Projects Status Report (all projects requiring Committee and/or Board approval)

Ad Hoc Agenda

- Periodic updates on the Unified Budget implementation
- **Request for Appropriation (September meeting)**
- **Joint Meeting of the Audit Committee and the Finance/Facilities/Technology Committee** (late October/early November meeting)
 - External Auditor Report including Required Communications Letter and Summary of Audit Results
 - Presentation of the Annual Financial Report (Audited Financial Statements)
 - Update on Internal Audit
- Annual State of IT Report (February meeting)
- Sightlines Report on the status of the facilities portfolio (February meeting)
- First reading of the Annual Operating, Capital Budget and Tuition Charges (March meeting)
- **Approval of Annual Operating Budget, Capital Budget and Tuition Charges (May meeting)**
- Multi-Year Financial & Structural Gap Analysis (May meeting)
- Review of 5-year Capital Plan (May meeting)

Note: Items in red require a vote of the Committee

Informational Reports to the Board

Every Board Meeting

Financial Update

September Meeting

Annual Report on Acquisition & Disposition of Real Property

November meeting

Annual Report on Gifts, Fund Raising and Endowments
Current Fiscal YTD Forecast to Budget

January Meeting

Maine Economic Improvement Fund Report
Student Financial Aid Report
State of IT Report
Sightlines Report

March Meeting

Student Charges Report
Current Fiscal YTD Forecast to Budget

May Meeting

Multi-Year Financial Analysis
5-year Capital Plan
Current Fiscal YTD Forecast to Budget

Meeting Schedule

The Committee meets six times during the year in advance of the Board of Trustees meetings. In March, the Committee schedules a full day meeting to review, in depth, the Annual Operating Budget and Tuition Charges for the upcoming fiscal year.

Additional meetings may be scheduled as required.

University of Maine System
Board of Trustees

Human Resources/Labor Relations Committee
Fiscal Year 2019 Work Plan

Standing Agenda:

1. Collective Bargaining Update (may occur in HR/LR Committee or in Executive Session of the Board of Trustees) – Chief Human Resources Officer
2. Human Resources and Labor Relations items requiring Committee and/or Board approval

Ad Hoc Agenda: **Note: Items in red require a vote of the Committee**

- **Approval of Collective Bargaining Agreements (as tentative agreements are reached)**
- Collective bargaining goals and relationship for FY 19 (review as needed)
- Review Employee Health Plan Task Force Scorecard (September)
- Evaluation of Board Chair (March)
- Comprehensive Presidential Review results (as needed)
- Review progress of Human Resources Strategic Plan (January, July)
- **Policy and compensation changes for non-represented employees (as needed)**
- Update on significant HR initiatives (as needed)
- Briefing on compliance and regulatory issues (as needed)
- Chancellor's Review Committee, chaired by Human Resources/Labor Relations Committee Chair, as needed

Informational Reports:

- Named Chairs and Professorships Annual Report (July)
- Report of Management Group appointments (July, November, March)
- Workforce Profile and Turnover reports (May)

Meeting Schedule:

Meetings are scheduled prior to Board of Trustees meeting as needed depending on agenda items.

09/10/2018

UNIVERSITY OF MAINE SYSTEM
Board of Trustees
AGENDA CALENDAR

A working calendar for developing agendas and submitting various reports to the Board has been designed in order to allow maximum planning in organizing presentations and reference materials. The calendar identifies the timetable for submission of items and reports which recur every six to 24 months as well as special reports with specific time lines. It does not include general items which are ordinarily on each Board meeting agenda; e.g., reports and consent agenda. The following agenda is subject to change consistent with scheduling, reporting, and other factors that the Chancellor deems necessary to consider such matters.

The Calendar will be updated and included in the Board Meeting materials on a regular basis.

- JANUARY:** Academic Affairs
 Academic Year Calendar
 Honorary Degree Nominations
 Fiscal Matters
 State Research Report
- MARCH:** Academic Affairs
 Tenure Nominations
 Tenure Report
 Governance/Administration
 Board Calendar
 Establishment of Nominating Committee
 Student Affairs
 Spring Enrollment Update
- MAY:** Fiscal Matters
 Budgets and Student Charges
 Multi-Year Financial Analysis
 Governance/Administration
 Election of Board Officers
 Confirmation of Board of Visitors
- JULY:** Governance/Administration
 Appointment of Standing Committees
 Human Resources
 Annual Report on Named Chairs and Professorships
- SEPTEMBER:** Fiscal Matters
 Appropriation Request
- OCTOBER:** Fiscal Matters
 Review of Annual Financial Report
- NOVEMBER:** Academic Affairs
 Awarding of Academic Degrees
 Student Affairs
 Official Fall Enrollment Update

EXISTING BOT POLICY

ACADEMIC AFFAIRS

Section 311 Intra-system Student Exchange

Effective: 11/12/90

Last Revised:

Responsible Office: Academic Affairs

Policy Statement:

When a student in good standing who is matriculated at one University of Maine System institution registers for a course or courses at another University of Maine System unit, that student is to be afforded the same rights and privileges, including pre-registration and payment of tuition and fees, at the host institution as a student who is regularly matriculated at that unit. This policy does not alter any residency requirements that may be in effect at the student's home institution.

PROPOSED REVISED POLICY 311

The University of Maine System Board of Trustees is committed to the goal of providing students with System-wide access to the full array of educational opportunities available from its universities, reducing barriers to degree completion and educational attainment for Maine's citizens. System universities must work collaboratively to ensure that programs and courses generated and provided from one university are available and accessible to individuals matriculated at any other System university on the same terms and conditions as available to those matriculated at the university from which the course is provided and subject to consistent registration, financial aid eligibility, and assessment and academic integrity standards. This form of academic collaboration will foster the most efficient use of the System's academic program assets and resources and best serve students attending System universities.

Toward these ends, a student in good standing and matriculated at one University of Maine System (UMS) institution may register for a course or courses at another UMS institution, with the same rights and privileges at the host institution as any student regularly matriculated at that institution, including pre-registration and payment of tuition and fees. This policy does not alter any residency requirements that may be in effect at the student's home institution.

System-wide access to academic coursework will be available through (but not limited to) the following processes in which a UMS "Host" campus delivers instruction to a matriculated student enrolled in a degree or certificate program at a different UMS "Home" Campus. Instruction may be delivered in any instructional format conducive to meeting best learning practices.

Course Cross-listing – Cross-listing occurs when two or more UMS universities have agreed to administratively share a course, such that students can enroll in cross-listed courses as native credit at their home institution, i.e., enroll and participate just as for any locally-taught course. Initiated by the participating institutions, course cross-listing requires that partnering institutions follow standard academic governance processes to cross-list courses in the Student Information System, in respective

course catalogs, and registration systems, including identification of the instructor(s) at a Host Institution as a Home institution's instructor of record. Cross-listed courses must be identified on student transcripts.

Campus Cross-registration – Cross-registration is a student-initiated activity, for which the student must: apply for and obtain approval from the Home and Host campuses prior to cross-registration; meet course pre-requisites; pay special course or voluntary use fees, and notify the Home campus of any enrollment changes at the Host campus. The Home campus has the authority to deny access to coursework, on a case-by-case basis, of limited section capacity or the failure of a guest student to meet academic requirements, to complete pre-requisites, or comply with other standards.

The Board encourages the System and campus chief academic officers to continue to explore other accreditable methods to facilitate seamless sharing of courses and programs across the university system.

Employee Health Plan Task Force (EHPTF) Scorecard

Executive Summary

The EHPTF scorecard provides important highlights of how the University of Maine System's health and welfare programs are performing. Data is tracked on a calendar year basis for the last three year period, to identify any trends over time. The scorecard combines claims and demographic data, as well as health and plan management statistics, to provide a holistic view of the program. Below is an overview of each section, what the results are and why this is important to the University of Maine System.

Section I: Claims Cost Summary

- This section provides enrollment information, as well as how claims are performing compared to national trends (provided on a per member, per month basis to normalize the data).
- Enrollment and contract size are important as they determine how many individuals are enrolled on the plan and could potentially utilize services. Enrollment and contract size have decreased over time on the plan.
- While claims have been trending up over the last several years, the graph shows that plan is consistently performing below trend. This is indicative of the proactive plan management that the University of Maine System has put in place.

Section II: Network Management

- This section contains information such as where and what type of providers members are utilizing.
- High in-network utilization helps to keep claims cost down for both the plan and the member, as care is provided at a discounted contract rate and prevents balance billing.
- Use of Cigna's cost and quality network providers (including Centers of Excellence and Collaborative Accountable Care groups) has increased considerably over the past several years. This helps to ensure high quality outcomes while also impacting cost.

Section III: Plan Management – Consumerism Statistics

- This section provides information as to how members are utilizing the plan and if they are being good consumers of healthcare.
- Use of the emergency room has increased slightly over the years; however it is well below norms when compared to Cigna's book of business. Also, the increase in the use of urgent care centers and telemedicine indicates that members are seeking other points of care that are more convenient and less costly to themselves and the plan.
- As the cost of prescriptions continue to rise, members are seeking ways to control their out-of-pocket cost. Generic and mail order usage can provide cost savings to the member as well as the plan, as well as offer a convenient way to receive prescriptions.

Section IV: Wellness

- This section outlines participation in the RiseUp wellness program.
- Completion of level one and two have increased over the past several years, providing a premium savings as well as cash incentive to employees and their spouses/partners to help drive engagement.
- Engaging in a wellness program can help to foster good health and lifestyle habits that benefit employees at work and at home, as well as help to curb the rising cost of health care.

Section V: Communications

- This section includes statistics on the Healthy and Maine newsletter; who is receiving it, who is opening it and who is clicking through for more information.
- While the open rates have remained relatively consistent, the click rates have seen a steady increase. This indicates that the information provided is useful to the employee and will help to communicate meaningful health and benefits information throughout the year.

Section VI: Health Improvement – Preventive Care & Compliance Statistics

- This section outlines utilization of preventive care (including annual physicals and recommended screenings) as well as adherence to medication and necessary care for certain conditions.
- Preventive care is critical for the early detection of possible health issues. In most cases, early detection can help to manage the outcome, as well as the associated cost.
- University of Maine System's preventive care statistics are generally above Cigna norms, however there are some areas of focus in future years to continue to increase compliance.

Section VII: Overall Population Health

- This section provides an overall risk score to the UMS population in comparison to Cigna norms, as well as includes information on gaps in care and the impact that has on cost.
- Over the past several years, the percentage of members in the low risk category has decreased while those in medium or high risk categories have increased.
- As the table shows, those in a higher risk category drive more cost to the plan, as do those that have more gaps in care.
- Communications of the programs available, directing care to the most appropriate setting and ensuring compliance with medication and treatment are integral to managing the cost and moving members down to lower risk categories.

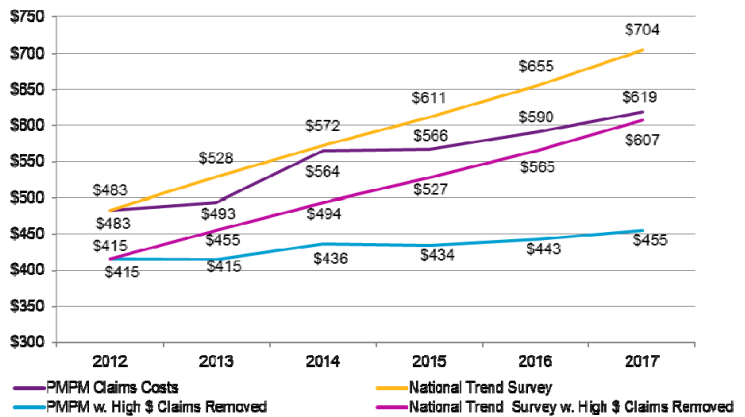
Data as of 12/31/2017



EHPTF SCORECARD

Section I: Claims Cost Summary

Demographics	2015	2016	2017
Average # Active Enrolled EEs	4,403	4,329	4,324
% Change in Enrollment	-4.2%	-1.7%	-0.1%
Average Contract Size	2.20	2.17	2.17
Medical Claims PMPM	\$470.47	\$487.28	\$518.82
Medical Trend (%)	-1.8%	3.6%	6.5%
Pharmacy Claims PMPM	\$96.45	\$109.49	\$109.97
Pharmacy Trend (%)	13.6%	13.5%	0.4%
Total Claims PMPM	\$566.92	\$596.77	\$628.79
Annual Trend (%)	0.5%	5.3%	5.4%
Published Trend	6.8%	7.1%	7.6%



Section II: Network Management

	2015	2016	2017	Cigna Norm
In Network %	96.3%	97.0%	97.1%	93.3%
UMS Encourages In Network Care				
Primary vs Specialty Ratio	61.4%	61.1%	61.3%	55.8%
UMS encourages primary care				
Cigna Care Designation %	49.5%	46.7%	60.6%	N/A
Providers who meet quality and cost efficiency criteria				
Centers of Excellence	21.0%	31.0%	50.0%	N/A
Hospitals identified as providing exceptional Patient Outcomes and Cost Efficiency for specific procedures or medical conditions				
Collaborative (CAC) Aligned		69.1%	71.2%	N/A
Providers that are rewarded for improved health outcomes, affordability and experience				

Section III: Plan Management—Consumerism Statistics

	2015	2016	2017	Cigna Norm
ER Visits per 1,000 members	189.1	197.5	196.7	209.0
Urgent Care per 1,000 members	149.5	148.0	157.3	303.3
Telemedicine Visits	N/A	38	75	N/A
Generic %	84.4%	85.9%	88.9%	87.2%
Mail Order and Retail 90 %	45.2%	68.8%	69.2%	N/A
Use of EAP	4.0%	4.7%	5.2%	3.8%

Section IV: Wellness

	2015 (#)	2015 (%)	2016 (#)	2016 (%)	2017 (#)	2017 (%)
Level 1 Completions	5,375	84%	5,103	80%	5,607	88%
Level 2 Completions	3,628	42%	2,985	47%	3,108	49%
Engaged with Health Coach	3,437	54%	3,313	52%	2,587	41%

Note: Information above includes claims experience for Actives and Under 65 Retirees only.



EHPTF SCORECARD

Section V: Communications

Healthy & Maine	Sent	Open	Click
January 2017	4,080	2,646 (66%)	237 (9%)
March 2017	4,091	2,592 (66%)	249 (10%)
May 2017	4,090	2,501 (63%)	9 (1%)
August 2017	4,092	2,593 (65%)	456 (18%)
OE Special Ed. 2017	4,671	2,764 (61%)	570 (21%)

Section VI: Health Improvement—Preventive Care & Compliance Statistics

	2015	2016	2017	Cigna Norm
UMS encourages Preventive Care & Compliance				
Preventive Visits (Adult)	56.0%	54.5%	56.8%	44.1%
Screening Compliance				
Mammograms	84.0%	78.0%	76.0%	64.0%
Cervical Cancer	54.0%	61.0%	61.0%	61%
Colon Cancer	49.0%	38.0%	45.0%	48%
Gaps in Care	74.0%	72.6%	71.7%	72.1%
Medication Adherence	82.0%	89.0%	89.0%	N/A

Section VII: Overall Population Health

Relative Risk Score	2015 % Members	2016 % Members	2017 % Members	% Members Norm	2017 Paid PMPY	2017 Average Age
Low (<1.00)	61.0%	57.9%	57.4%	69.9%	\$1,746	31.0
Low Care Gap Index (0 – 2)	51.8%	49.9%	49.4%	61.7%	\$1,597	
Medium Care Gap Index (3 – 4)	7.4%	6.9%	6.3%	6.8%	\$2,385	
High Care Gap Index (+5)	1.7%	1.6%	1.7%	1.4%	\$3,596	

Relative Risk Score	2015 % Members	2016 % Members	2017 % Members	% Members Norm	2017 Paid PMPY	2017 Average Age
Medium (>= 1.00 and < 2.50)	28.8%	31.2%	31.3%	20.9%	\$6,984	50.9
Low Care Gap Index (0 – 2)	16.7%	18.6%	19.1%	13.0%	\$6,614	
Medium Care Gap Index (3 – 4)	8.0%	8.5%	8.1%	4.7%	\$6,945	
High Care Gap Index (+5)	4.0%	4.1%	4.0%	3.3%	\$8,848	

Relative Risk Score	2015 % Members	2016 % Members	2017 % Members	% Members Norm	2017 Paid PMPY	2017 Average Age
High (>=2.50)	10.2%	10.9%	11.3%	9.2%	\$34,191	55.6
Low Care Gap Index (0 – 2)	3.8%	4.0%	4.1%	3.5%	\$29,405	
Medium Care Gap Index (3 – 4)	3.2%	3.4%	3.6%	2.4%	\$35,249	
High Care Gap Index (+5)	3.2%	3.5%	3.7%	3.3%	\$38,832	

Presentation of Stevens Commons



for University of Maine at Augusta

Introductions

- **Matt Morrill** (Mastway Development, Founder)
 - Lives in Winthrop, Wife Tammy and two children
 - Wentworth Degree in Architectural & Civil Engineering
 - Owner of Grandview Builders – Custom Home Builder for 15 years
 - Developer of Hallowell Overlook
 - Owner of Mastway Development, LLC

- **Michael Sabatini, P.E.** (Mastway Development, Member)
 - Lives in Camden, Wife Kelli and three children
 - Co-Owner of Landmark Corporation Surveyors & Engineers – 16 years
 - Project Manager of \$30M worth of real estate development – 8 years

- **Traverse Fournier** (Trusted Consultant)
 - Lives in Yarmouth, Wife Sharon and two *children*
 - *Owner of Maine Street Capital– Since 2014*
 - *Combined with previous banking experience closed over \$1 Billion in financing*

Stevens Commons

Agenda

1. What is the vision for Stevens Commons?
2. What is happening now?
3. Why is UMA-Housing a good fit at SC?
4. Our proposal and execution
5. Meeting Topics

Cultivating community through conservation, restoration, and partnership

Stevens Commons Vision



Public Gathering Space

Cultivating community through conservation, restoration, and partnership

Stevens Commons Vision



Public Access and Interconnectivity

Cultivating community through conservation, restoration, and partnership

Stevens Commons Vision



Onsite Amenities and Services

Cultivating community through conservation, restoration, and partnership

Stevens Commons Vision



New Housing Options in Historic Buildings

Cultivating community through conservation, restoration, and partnership

Stevens Commons Vision



Cultivating community through conservation, restoration, and partnership

Stevens Commons

What is happening now?

Stevens Commons

What is happening now?
Roadway and Infrastructure



Stevens Commons

What is happening now?

Baker Building



Stevens Commons

What is happening now? Admin Building Restoration



Stevens Commons

What is happening now?

CHOM Elderly Affordable Housing



Stevens Commons

What is happening now?

Fire Station



Stevens Commons

What is happening now?

Farmers Market



Stevens Commons

Plans for the future?

- Additional office use in Baker and Admin
- Stevens Building Café and catering
- **Stevens Building UMA Student Housing**
- Utilize public green for events with on-site catering and boutique inn
- Multi-unit, duplex, and single family housing
- Stevens Arts and Creative Center in the Erskine Building

Stevens Commons

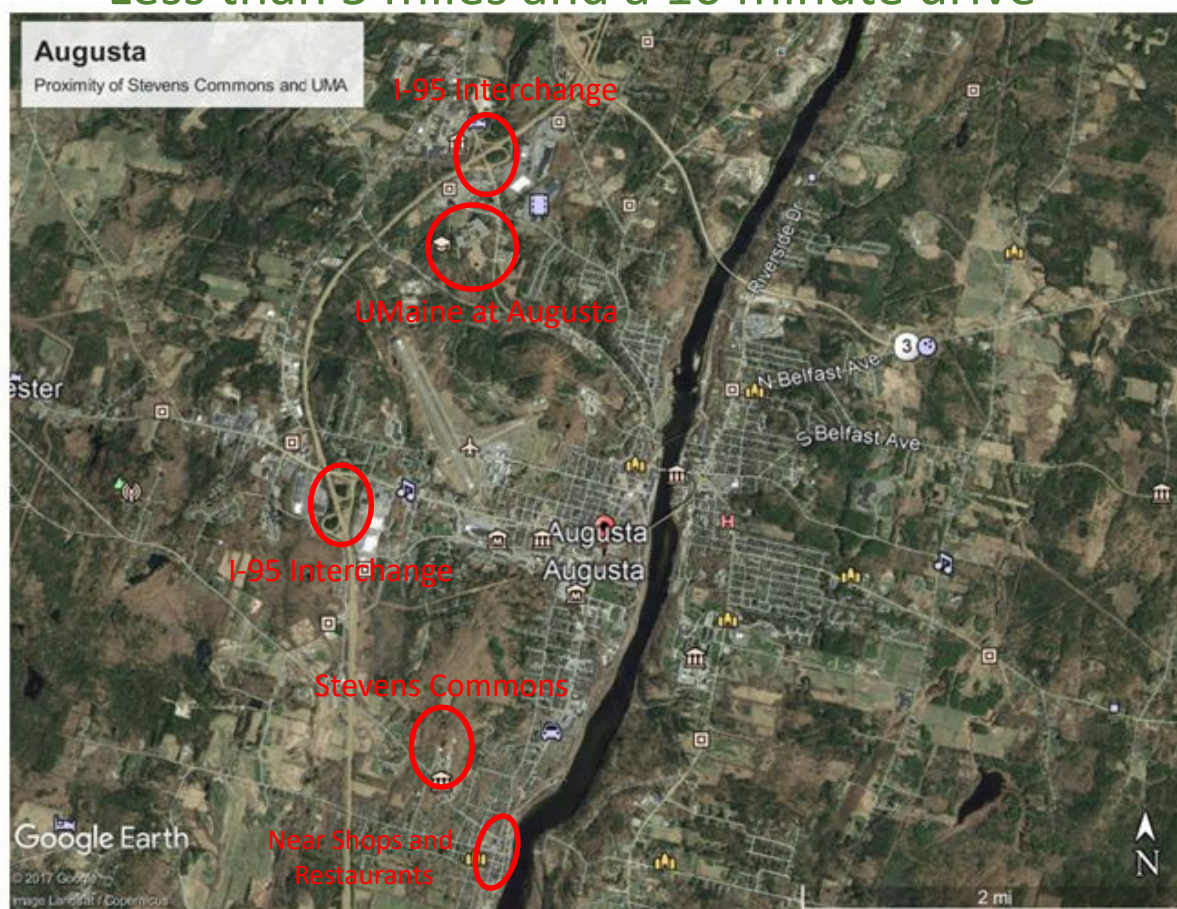
Why is UMA Student Housing a good
fit at Stevens Commons?

Stevens Commons

Why is UMA-Housing a good fit at SC?

Near campus and Access to I-95

Less than 5 miles and a 10 minute drive



Stevens Commons

Why is UMA-Housing a good fit at SC? Public Transportation

KVCAP



Kennebec Explorer

Kennebec Explorer Gardiner Route



GARDINER



Gardiner schedule effective February 1, 2017

UMA	Downtown Augusta	State House	YMCA	Cotton Mill Apts	Pine Hill	Gardiner Hennaford	Randolph IGA	Cotton Mill Apts	State House	Downtown Augusta	UMA
						7:00 a	R	7:00 a	7:05 a	7:20 a	I
I	9:00 a	9:05 a	R	9:15 a	R	9:00 a	R	9:00 a	9:05 a	9:20 a	I
I	12:30 p	12:35 p	R	12:45 p	R	1:00 p	R	1:10 p	1:15 p	1:20 p	I
I	4:30 p	4:35 p	R	4:45 p	R	5:00 p					

Stevens Commons

Why is UMA-Housing a good fit at SC?

Walkability and Site Amenities:

- Retail and Restaurants just down the hill on Water Street (via sidewalks)
- Possible on site amenities, health club, spa, café, art/maker space
- On-site walking paths, preservation land, and trails to Howard Hill preserve



Stevens Building

Why is UMA-Housing a good fit at SC?

Campus Setting

Inspiration for Architecture students

Safe and Inviting place to be



Stevens Commons

Why is UMA-Housing a good fit at SC?

Satisfies a strategic plan goal

“Explore and leverage new student housing options to grow signature programs such as Architecture and Aviation as well as attract out of state and international students”

Stevens Commons

Proposal Options and Execution

1. Options 1 & 2
2. Schedule

Topics for Discussion

1. Parking
2. Heating and electric costs for each room
3. Internet / Wifi Services
4. Student Common Areas
5. Room Layouts

Cultivating community through conservation, restoration, and partnership

Options 1 & 2

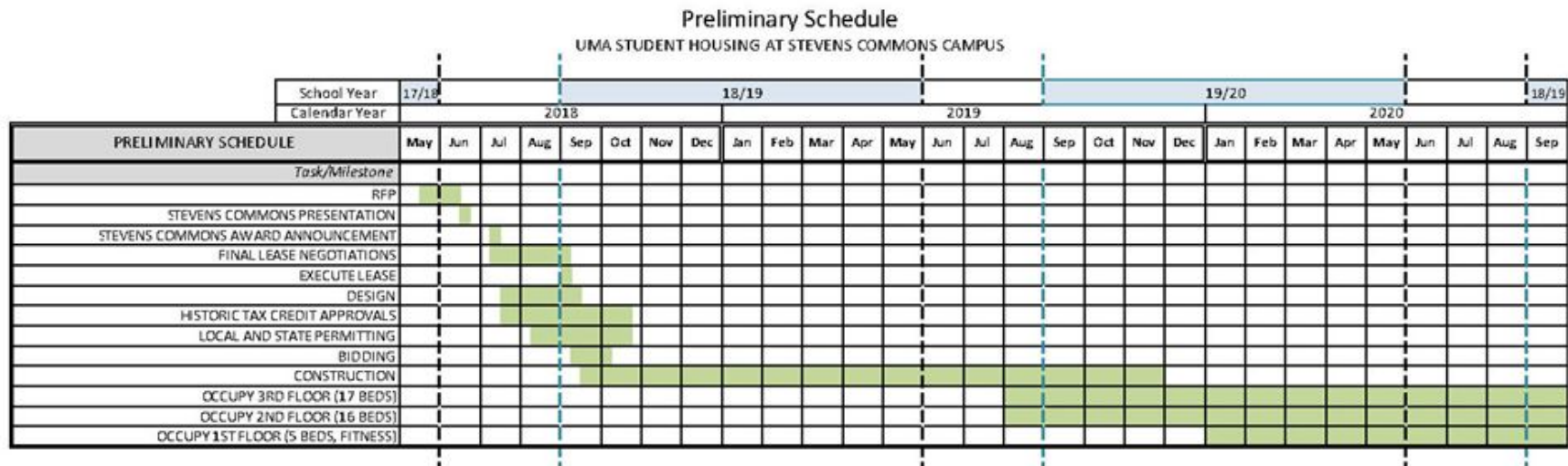
Option 1 (Formal response to RFP)

- 5-Year term
- Monthly rent per bedroom \$675
- Gross Lease with expense cap
- Diminishing termination fee

Option 2 (for consideration)

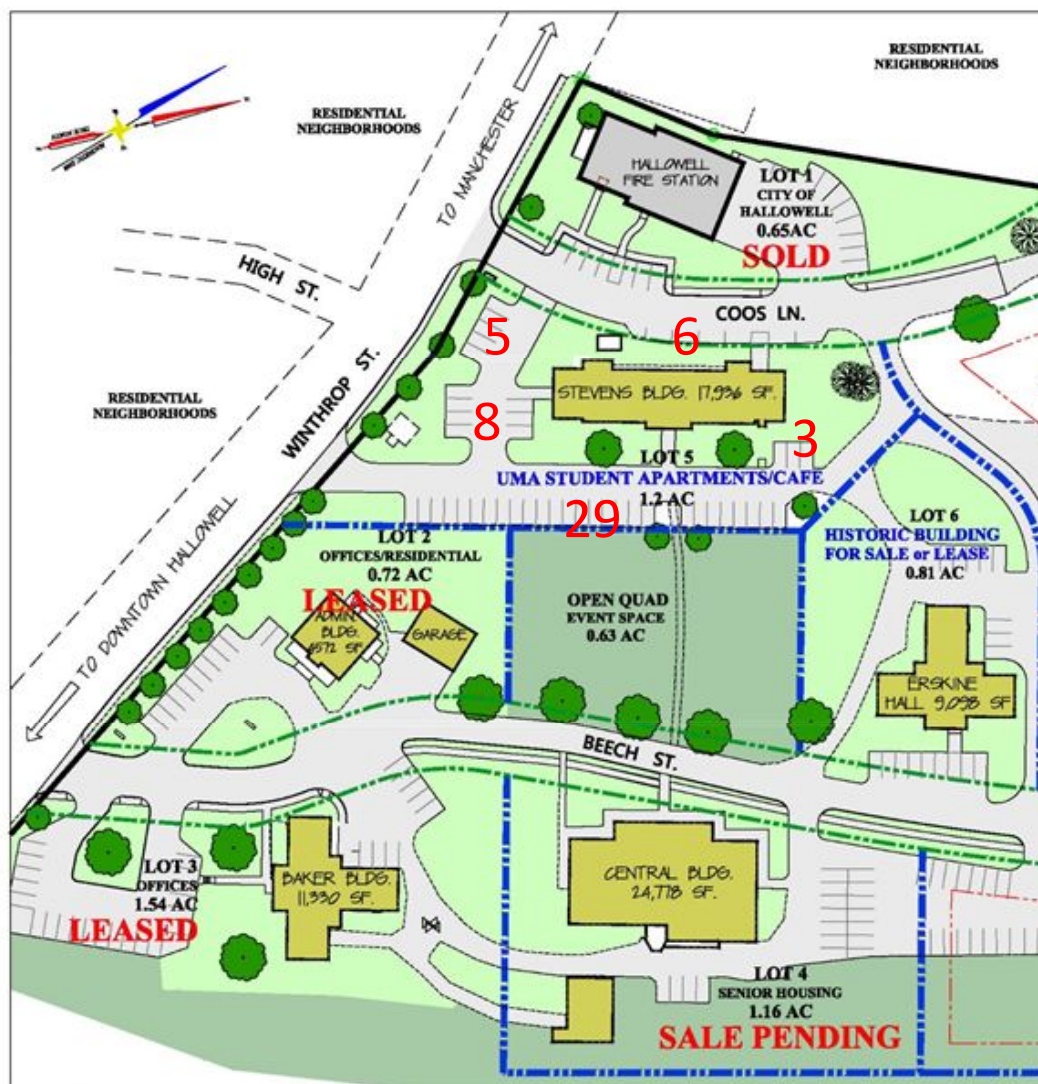
- 10-Year term
- Monthly rent per bedroom \$625
- Gross Lease with expense cap
- No termination clause

Schedule and Execution



- Award and Lease Negotiations is important
- Design and Permitting must begin upon award
- Execution feasibility (Design Team and Self Perform early tasks)
- Phased Delivery

Parking



Parking Needed

- 1 per bed = 38 spaces
- Café 1 space/3 seats = 13 spaces
- Total 51

Parking Provided

- Total 51

Heating and Electric Costs

SECTION A					
Option 1 - Five year lease					
Description	Number of Units	Monthly 'Per Unit' Cost	Total Monthly 'Per Unit' Cost		
Gross Rent Amount	38	675.00	25,650.00		
	0	0.00	0.00		
	0	0.00	0.00		
	0	0.00	0.00		
SECTION B					
For the items below please detail Landlord or University Responsibility, Landlord Responsibility will be above.					
Description				Included in Gross Rent - Landlord Responsibility (Yes / No)	Not Included in Gross Rent - University Responsibility (Yes / No)
Administrative					
Master Lease		675.00	25,650.00		Yes
Management Fee		13.10	497.80	Yes	
Operating	Totals	98.00	3,648.00		
Water/Sewer		8.00	304.00	Yes	
Electric		20.00	760.00	Yes	
Heat/ Hot Water		50.00	1,900.00	Yes	
Information Tech		0.00	0.00	Yes	
Security - Card Access		0.00	0.00	Yes	
Internet/WIFI		12.00	456.00	Yes	
Basic Cable TV		6.00	228.00	Yes	
Maintenance	Totals	35.10	1,333.80	Yes	
Building Maintenance*		21.30	809.40	Yes	
Grounds Maintenance		5.00	190.00	Yes	
Snow Removal		5.50	209.00	Yes	
Trash Removal		1.30	49.40	Yes	
Pest Control		2.00	76.00	Yes	

Heat and Electric Costs
\$70/Bed/Month

Including other expenses

\$96/Bed/Month

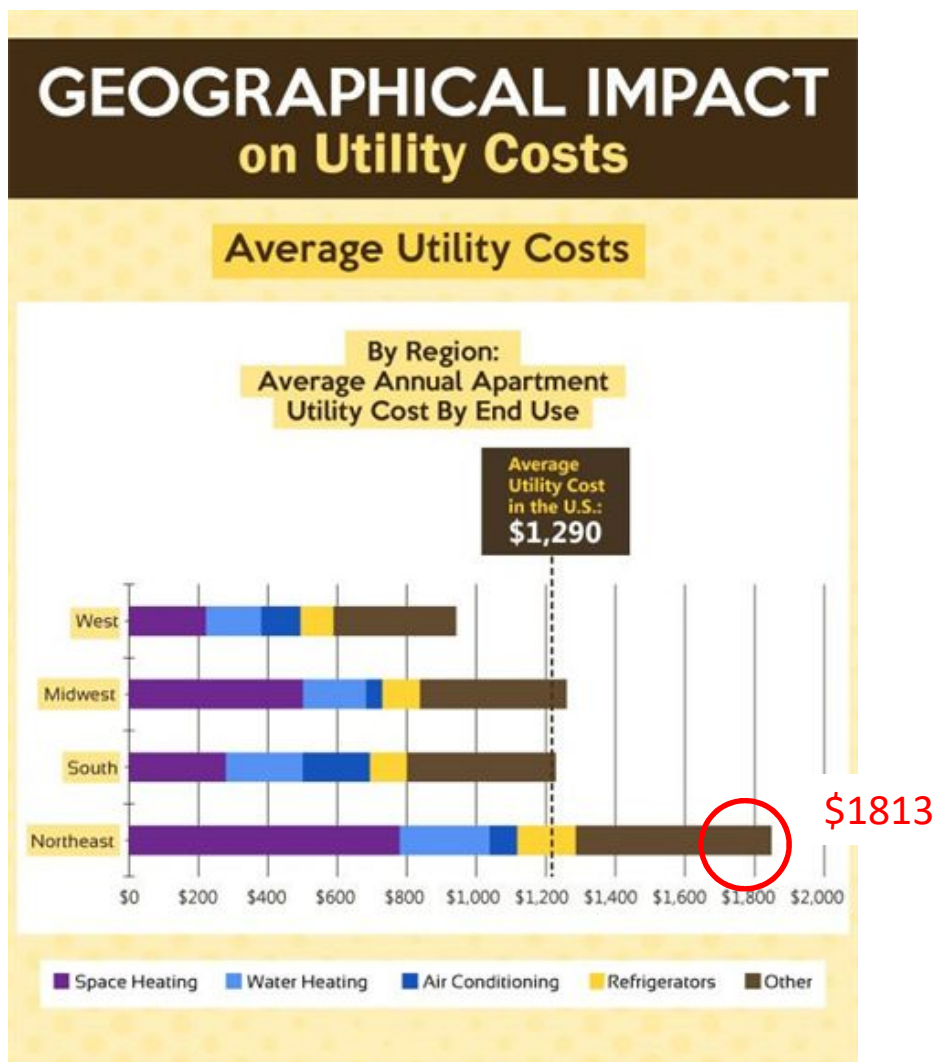
\$1,152/Bed/Year

\$3648/Month

\$43,736/Year

\$2,084/Year/Apartment

Heating and Electric Costs



Per study by ForRent.com, the average annual apartment utility costs is:

\$1813/Year/Apartment

Our Proposal:

\$2,084/Year/Apartment,
conservatively 15% higher
to account for inefficient
use

Portland Student Housing:

\$1,093 per Bed/Year

Our Proposal:

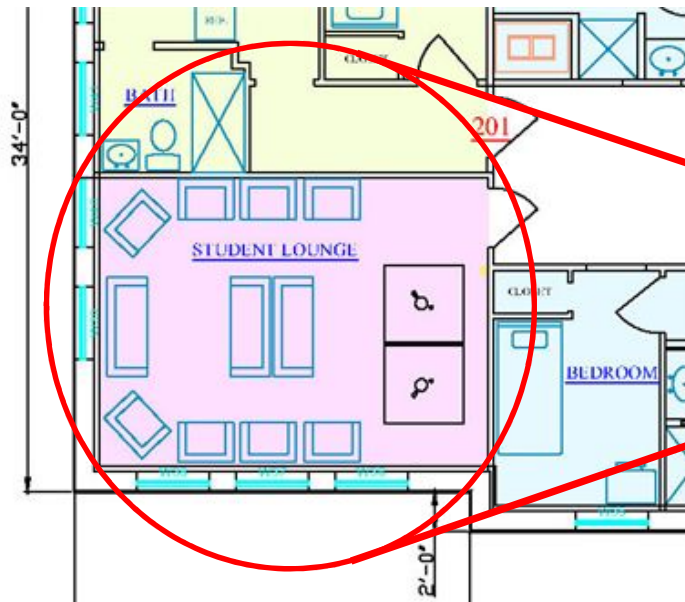
\$1,152 per Bed/Year

Internet and Wifi Services

SECTION A					
Option 1 - Five year lease					
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Management Fee		13.10	497.80	Yes	
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Electric		20.00	760.00	Yes	
Heat/ Hot Water		50.00	1,900.00	Yes	
Information Tech			0.00	Yes	
Security - Card Access		0.00	0.00	Yes	
Internet/WIFI		12.00	456.00	Yes	
Basic Cable TV		6.00	228.00	Yes	
Maintenance	Totals	35.10	1,333.80	Yes	
Building Maintenance*		21.30	809.40	Yes	
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Snow Removal		5.50	209.00	Yes	
Trash Removal		1.30	49.40	Yes	
Pest Control		2.00	76.00	Yes	

Per discussions with ISP, Firstlight we can provide 100 Mb/s symmetric fiber optic service for the \$400/mo. We will equip the building with wifi as well as Cat6 wires in the rooms

Student Common Areas

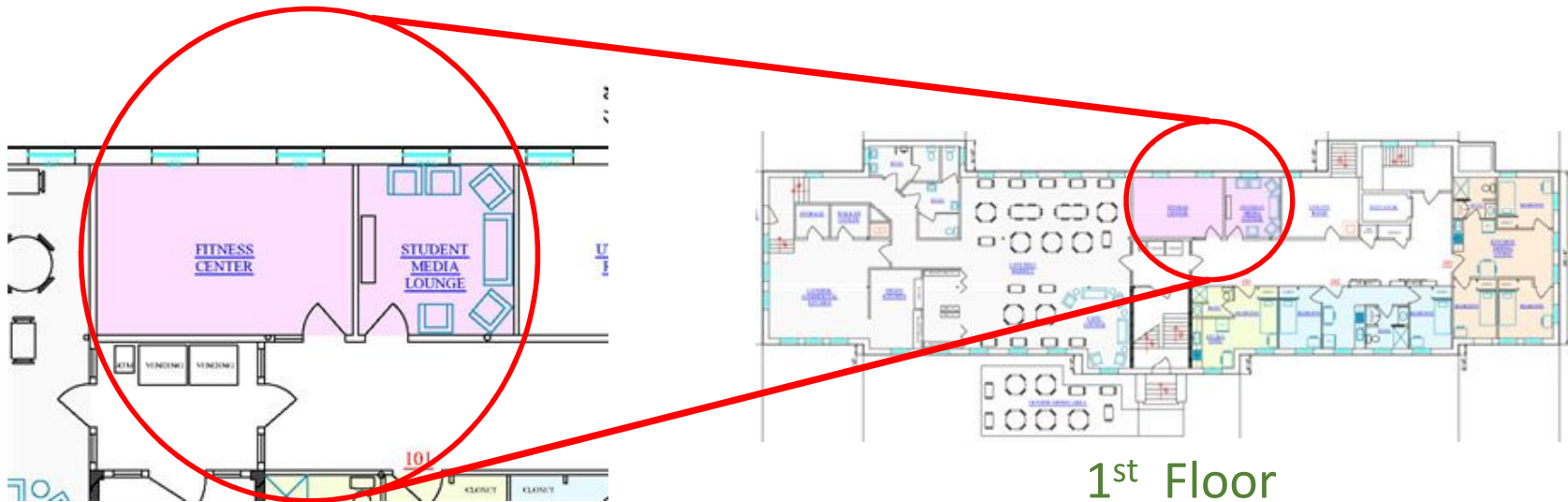


Student Lounge



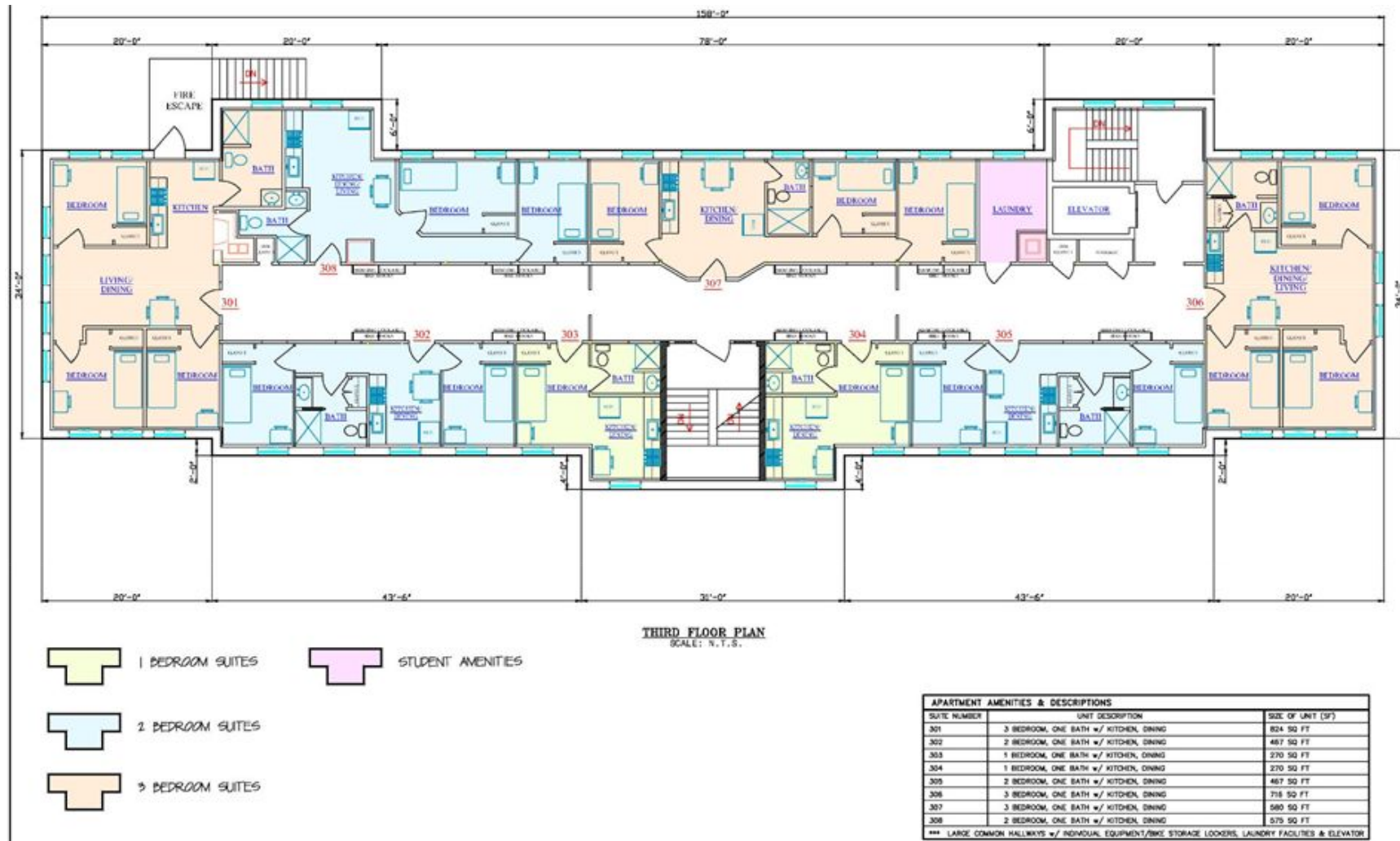
2nd Floor

Student Common Areas



Student Lounge
and
Fitness Center

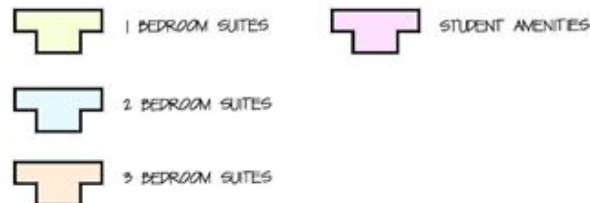
Room Layouts – 3rd Floor



Room Layouts – 2nd Floor

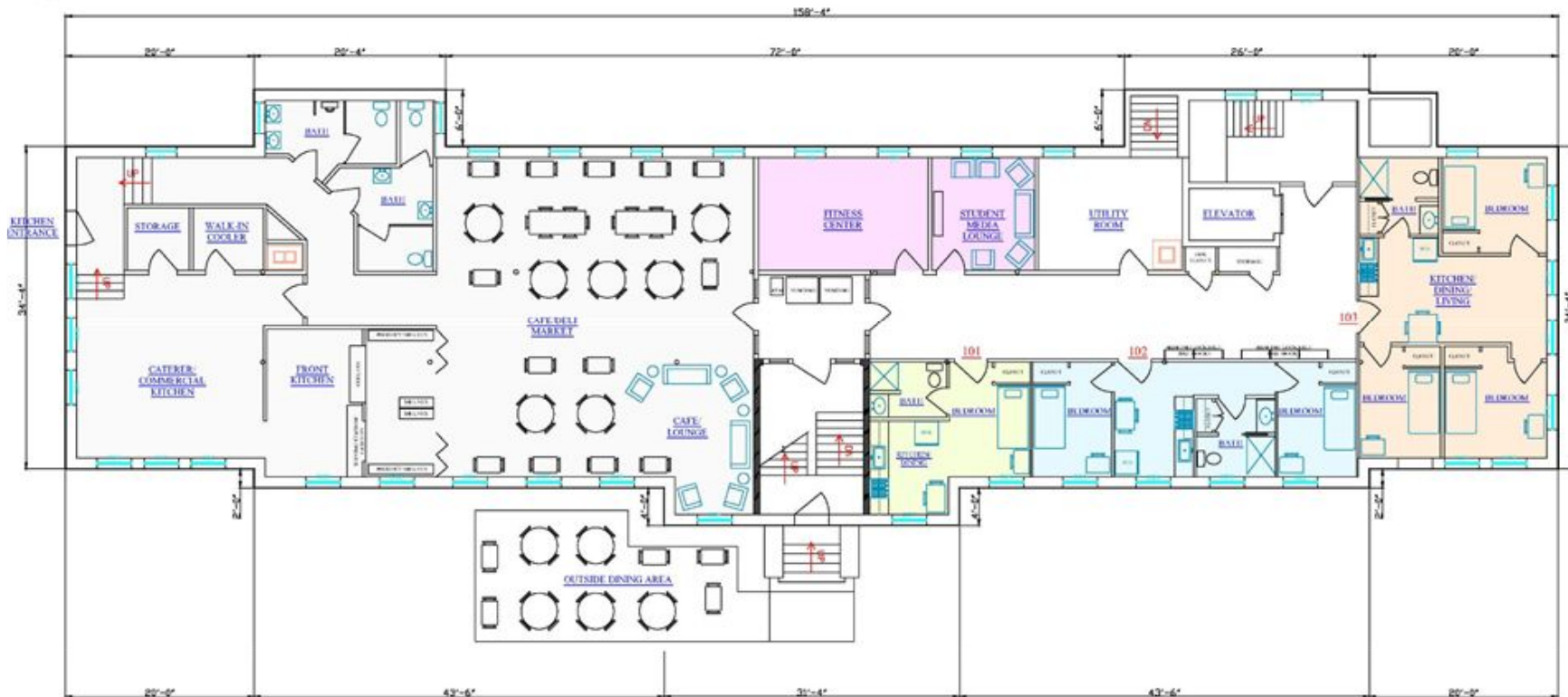


SECOND FLOOR PLAN
SCALE: N.T.S.



APARTMENT AMENITIES & DESCRIPTIONS		
SUITE NUMBER	UNIT DESCRIPTION	SIZE OF UNIT (SF)
201	1 BEDROOM, ONE BATH w/ KITCHEN, DINING	378 SQ FT
202	2 BEDROOM, ONE BATH w/ KITCHEN, DINING	467 SQ FT
203	1 BEDROOM, ONE BATH w/ KITCHEN, DINING	270 SQ FT
204	1 BEDROOM, ONE BATH w/ KITCHEN, DINING	270 SQ FT
205	2 BEDROOM, ONE BATH w/ KITCHEN, DINING	432 SQ FT
206	3 BEDROOM, ONE BATH w/ KITCHEN, DINING	754 SQ FT
207	2 BEDROOM, ONE BATH w/ KITCHEN, DINING	461 SQ FT
208	1 BEDROOM, ONE BATH w/ KITCHEN, DINING	312 SQ FT
209	2 BEDROOM, ONE BATH w/ KITCHEN, DINING	437 SQ FT
*** LARGE COMMON HALLWAYS w/ BIKE STORAGE RACKS, STUDENT LOUNGE, LAUNDRY FACILITIES & ELEVATOR		

Room Layouts – 1st Floor



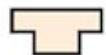
1 BEDROOM SUITES



STUDENT AMENITIES



2 BEDROOM SUITES

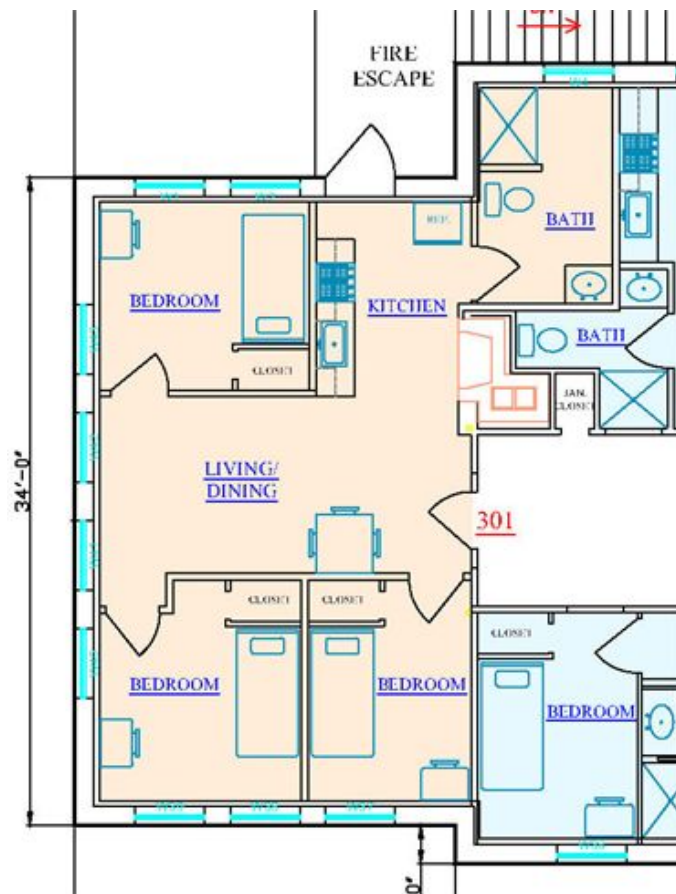


3 BEDROOM SUITES

FIRST FLOOR PLAN
SCALE: N.T.S.

APARTMENT AMENITIES & DESCRIPTIONS		
SUITE NUMBER	UNIT DESCRIPTION	SIZE OF UNIT (SF)
101	1 BEDROOM, ONE BATH w/ KITCHEN, DINING	270 SQ. FT.
102	2 BEDROOM, ONE BATH w/ KITCHEN, DINING	479 SQ. FT.
103	3 BEDROOM, ONE BATH w/ KITCHEN, DINING	724 SQ. FT.
LARGE COMMON HALLWAYS w/ BIKE STORAGE RACKS, STUDENT MEDIA LOUNGE, ELEVATOR, FITNESS CENTER & CAFE		

UMA Student Housing Suite Features



Open Layout
Private Bedrooms
Shared Kitchen, Living, Dining, and Bath
Sun Filled Rooms



The new home of UMA - Student Housing



University of Maine at Augusta

SHORT TERM HOUSING, UMA

Benefit/Cost Analysis -- Annual Financial Impact - Mastway

Finance/ Facilities/ Technology Committee Meeting, September 13, 2018

KEY ASSUMPTIONS		
	Base	
Total # of Beds	38	33 available in Fall 2019, remaining 5 in Spring 2020
Occupancy Rate	92%	This translates to 3 empty beds not generating revenue
% Var - Instruc Cost	67%	Leverage under utilized capacity in Gen. Ed.
% Incr Credit Hrs	90%	Housing will generate strong incremental CH
% Out of State	20%	Housing will attract a component of out-of-state students
Annual CH/ Bed	30	Housing supports FT students earning 30 CH annually
Monthly Rent	675	Direct pass-through of actual rent expense
<u>Administrative Support</u>		
# of RA's	2	Room for 2 RA's is worth: \$16,200
# Prof. Staff in Residence	1	Total compensation cost is: \$60,746 plus housing worth \$8,100
Stipends for rotating call duty	12,000	Total compensation cost is: \$18,408
SUMMARY P&L		
	Annual	Notes
Rental Revenue	259,200	32 beds generating revenue, assumes 92.0% occupancy rate
Tuition and Fee Revenue	303,124	90% of credit hours are assumed incremental (includes the RA's)
Less: Discount	(30,312)	Per UMS tuition discount report
Total Revenue	532,011	
<i>Memo: Incremental Credit Hours</i>	918	90% of credit hours incremental
<u>Costs</u>		
Facility Rental	307,800	Per RFP Pricing; Assumes cap is not exceeded
Activities & Programming	8,500	Assumes \$250 per occupied bed annually
Instructional Cost	132,782	Assumes instructional costs are 67% variable
Staff Support	79,154	(Doesn't include housing benefit)
Total Costs	528,236	
Surplus/ (Deficit)	3,775	Base Case Scenario

SENSITIVITY ANALYSIS

<u>Assumption</u>	KEY ASSUMPTIONS			<i>Result of Varying Each Assumption Separately</i> SURPLUS/ (DEFICIT)		
	Low	Base	High	Low	Base	High
	<u>Downside</u>	<u>Case</u>	<u>Upside</u>	<u>Downside</u>	<u>Case</u>	<u>Upside</u>
% Var - Instruc Cost	100%	67%	33%	(62,616)	3,775	70,166
% Incr Credit Hrs	80%	90%	100%	(26,537)	3,775	34,088
% Out of State	10%	20%	30%	(23,572)	3,775	31,122
Annual CH/ Bed	27	30	33	(10,228)	3,775	17,778
Occupancy Rate%	90%	92%	95%	(8,193)	3,775	15,744
Monthly Rent	650	675	700	(5,825)	3,775	13,375

Capital Status Report Executive Summary August 2018

Attached is the Capital Project Status Report for the September 16-17, 2018 meeting of the Board of Trustees.

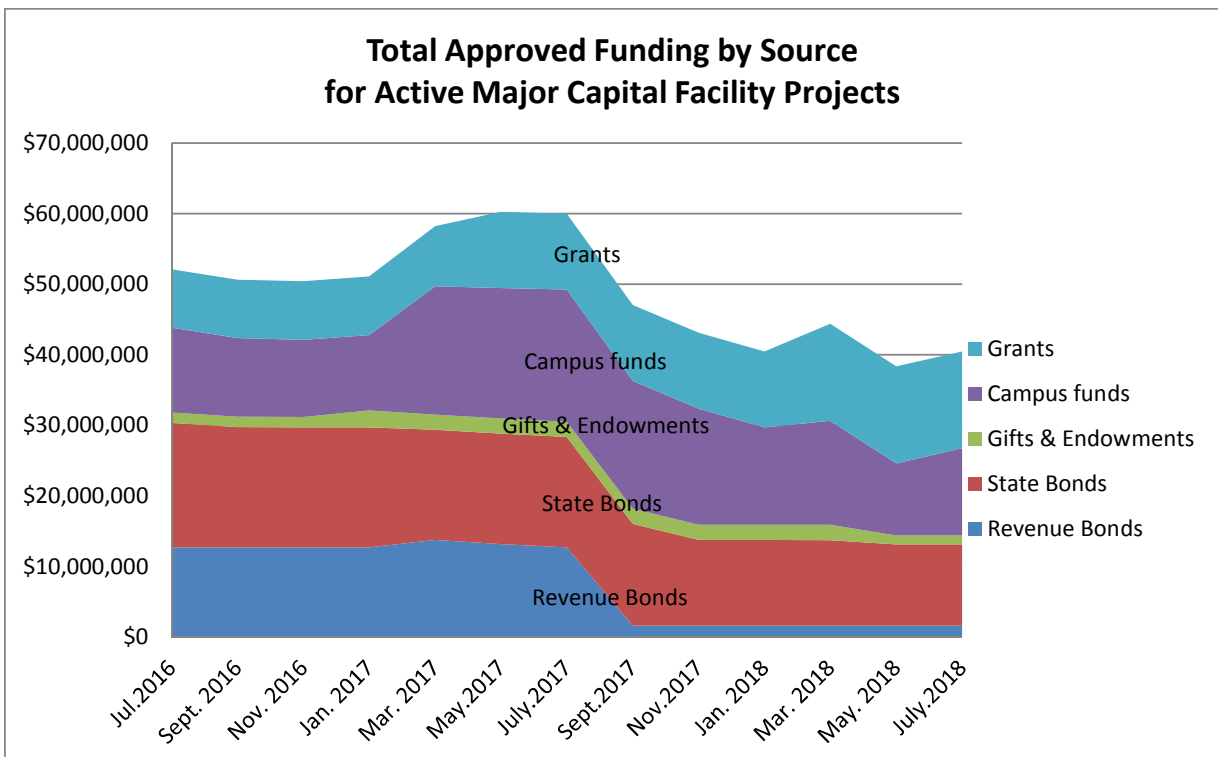
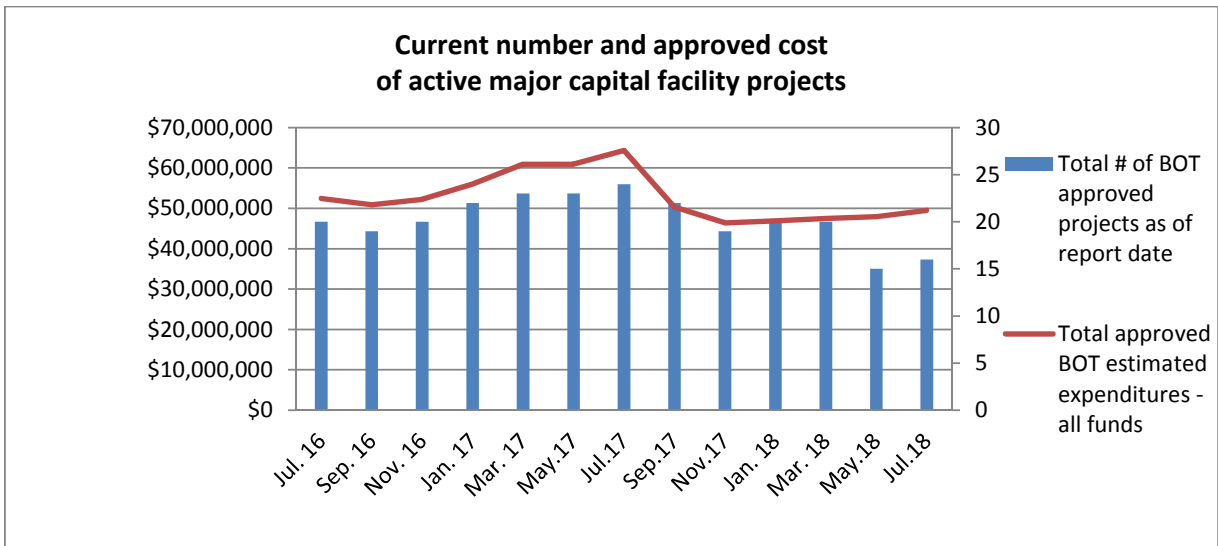
The report reflects a total of 16 projects, with one project new project having been added since the previous report.

The new project is the EDA Hatchery Building Roof Replacement (5100456) which was approved for expenditures up to \$562,000 at the June 2018 Finance, Facilities and Technology Committee meeting.

Two projects will be removed from the next subsequent report due to completion. They are: UMaine's Memorial Union Bear's Den Renovations (5100427) project, and UMM's Card Access Project (4100036, 4100037). That removal status is indicated on the report listing.

The largest single project currently in the portfolio is the Engineering Education and Design Center. The project continues in the design stage working toward a completion of Schematic Design in the coming months. As the project moves into final estimating of the Schematic Design phase the team is working to hire a construction manager at risk firm – sometimes referenced as a CM@Risk – to provide pre-construction services and serve as a team member alongside the designers and campus committee members. The committee is using the CM@Risk method of delivery for the project based on a number of factors which lend this process to this type of project including but not limited to technical complexity, cost control and schedule control. A third-party review concurred with this approach. The interested firms provided submissions for review on July 24th, 2018 and the building committee is in the selection process for a finalist.

9/6/2018



9/6/2018

Board of Trustees Meeting - Reports

Capital Project Status Report
Board Approved Projects
September 2018 - Board of Trustees
With Grand Totals and % of Current Approved Estimates

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
UM								
Advanced Structures and Composites Center Expansion/ASCC Equip W2-Thermoplastics Lab/ASCC Equip W2 Tow Carriage (5100316, 5100414, 5100432)	Grants (77%), 2010 State Energy Bond (11%), Gifts (12%)	Project 5100316 is Complete, Project 5100414 Design in Progress, Project 5100432 is Design in Progress	2014	2018	\$6,400,000	\$10,400,000	90%	Board Approved \$6.4M in November, 2012. Board approved \$1.6M in March 2014. Board approved increase of \$871,000 in March 2015. BOT approved additional \$1.5M in May 2016 for equipment project.
Cooperative Extension Diagnostic & Research Lab (5100387)	2014 State Bond (85%), Campus E&G Funds (10%), Grants (5%)	Substantially Complete	2016	2018	\$9,000,000	\$9,400,000	93%	BOT approved \$9M in July, 2015. Board approved increase of \$400,000 in July 2017.
Aquatic Animal Health Facility (5100440)	Grants (82%), Campus E&G Funds (18%)	Substantially Complete	2017	2018	\$2,300,000	\$2,800,000	51%	Board approved \$2.3M in January, 2017. Board approved increase of \$500,000 (8.6%) in project cost in November, 2017.
Barrows Hall ESRB Lab Renovations (5100424)	Campus E&G Funds (100%)	Complete	2017	2018	\$1,900,000	\$1,900,000	81%	Board approved \$1.9M in March, 2017
*** Memorial Union Bear's Den Renovations (5100427)	Campus AUX Funds (100%)	Complete	2017	2018	\$3,600,000	\$3,600,000	90%	Board approved \$3.6M in March, 2017
Darling Marine Center Waterfront Infrastructure (5100459, 5100460, 5100461)	Grants (100%), Campus Funds (0%)	Design in Progress	2017	2018	\$3,000,000	\$3,000,000	4%	Board approved \$3M in July, 2017.
** Engineering Education and Design Center (5100458)	Bond (0%), Campus E&G Funds (100%)	Design in Progress	2024	2024	\$1,000,000	\$9,000,000	9%	Board approved \$1M in September, 2017. Board approved \$8M in May, 2018.
Wells Commons Generator (5100433)	Campus Auxiliary Reserves (100%)	Construction in Progress	2019	2019	\$525,000	\$525,000	7%	Board approved \$525,000 January, 2018.
* CCAR EDA Hatchery Building Roof Replacement (5100456)	Campus Auxiliary Reserves (100%)	Construction in Progress	2019	2019	\$562,000	\$562,000	3%	Board approved \$562K in June, 2018.
UMF								
Science Labs Renovations (Preble & Ricker (2100065, 2100068)	2013 Lab & Class State Bond (100%)	Substantially Complete	2014	2018	\$1,377,000	\$1,377,000	89%	Board approved \$1.377M in July 2014.
UMFK								
Forestry Geographic Info Sys Tech Labs/Nursing Lab Renov/Teleconf Ctr Upgrades (3100029 3100030 3100031)	2013 Lab & Class State Bond (100%)	Substantially Complete	2014	2018	\$1,200,000	\$1,200,000	99%	Board approved \$1.2M in May 2014.
UMM								
Compressed Natural Gas Heating Conversion (4100028)	Revenue Bonds (100%)	Substantially Complete	2014	2018	\$1,800,000	\$1,800,000	84%	Board approved \$1.8M in July 2014.
*** Card Access Project (4100036, 41000037)	Campus E&G Funds (26%), Campus Auxiliary Funds (74%)	Complete	2018	2018	\$571,000	\$597,500	96%	Board approved \$571,000 in July, 2017. Change in project cost to \$597,500 (4.6% change) approved by Chancellor in October 2017 per Trustee policy 701.

Board of Trustees Meeting - Reports

Campus, Project Name (Project ID)	Funding Source(s) & each source's share of expenditures to date	Status	Original Estimated Completion	Current Est. Completion	Original Approved Estimate	Current Approved Estimate	% Expended of Current Approved Estimate	Prior Actions, Information & Notes
USM								
** Athletic Field Lighting (6100289, 6100305, 6100306)	Campus E&G Funds (44%), External Lease Financing (56%)	Construction in Progress	2018	2018	\$1,780,000	\$1,780,000	5%	Board approved \$1.78M in March, 2018. Board approved execution of a tax-exempt master lease financing agreement not to exceed \$1M in May, 2018
USM Center for the Arts (6100300)	Gifts (100%)	Pre-Design in Progress	2022	2022	\$1,000,000	\$1,000,000	0%	Board approved \$1M in January, 2018.
Corthell Hall HVAC Upgrades (6100295)	Campus E&G Funds (100%)	Construction in Progress	2018	2018	\$550,000	\$550,000	47%	Board approved \$550K in May, 2018.
Explanatory Notes: * Project is new as of this report. ** Details of this project include updates since the last report. *** This project has been completed since the last report and is not expected to appear on the next report.	Funding source(s) reflects primary source(s) for project.	Calendar Year unless otherwise noted.					Percentage expended reflects total expended as of July 31, 2018 as a percentage of the current approved project estimate.	