UMS Lean Higher Education
Office of Organizational Effectiveness

Major Accomplishments 2014-2015

Trained Staff

<table>
<thead>
<tr>
<th>Site</th>
<th>Sponsor</th>
<th>Practitioner</th>
<th>Facilitator</th>
<th>Awareness</th>
</tr>
</thead>
<tbody>
<tr>
<td>UM</td>
<td>17</td>
<td>35</td>
<td>4.5</td>
<td>293</td>
</tr>
<tr>
<td>UMA</td>
<td>1</td>
<td>3</td>
<td></td>
<td>31</td>
</tr>
<tr>
<td>UMF</td>
<td>14</td>
<td>2</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>UMFK</td>
<td>1</td>
<td>4</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>UMM</td>
<td>5</td>
<td></td>
<td></td>
<td>40</td>
</tr>
<tr>
<td>UMPI</td>
<td>2</td>
<td>2</td>
<td></td>
<td>1</td>
</tr>
<tr>
<td>USM</td>
<td>7</td>
<td>28</td>
<td>14</td>
<td>9</td>
</tr>
<tr>
<td>UC</td>
<td></td>
<td></td>
<td></td>
<td>8</td>
</tr>
<tr>
<td>UMS/US</td>
<td>7</td>
<td>21</td>
<td>6.5</td>
<td>90</td>
</tr>
<tr>
<td>Totals</td>
<td>54</td>
<td>95</td>
<td>28</td>
<td>474</td>
</tr>
</tbody>
</table>

Numbers include staff trained in prior years and those who have left.

Known Completed Projects

<table>
<thead>
<tr>
<th>Site</th>
<th>2014-2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>UM+</td>
<td>18</td>
</tr>
<tr>
<td>UMA+</td>
<td>2</td>
</tr>
<tr>
<td>UMF+</td>
<td>1</td>
</tr>
<tr>
<td>UMFK</td>
<td>1</td>
</tr>
<tr>
<td>UMM+</td>
<td></td>
</tr>
<tr>
<td>UMPI+</td>
<td>6</td>
</tr>
<tr>
<td>USM+</td>
<td>10</td>
</tr>
<tr>
<td>UC</td>
<td></td>
</tr>
<tr>
<td>UMS/US+</td>
<td>7</td>
</tr>
</tbody>
</table>

Other notable items

Increased awareness system-wide

UM held first Lean Genius Award

UM HR inserted the following language into job descriptions:

*Commits to organizational improvement by identifying opportunities to improve, and recommending possible alternatives for a situation*
Estimated staff dollars available for reinvestment

<table>
<thead>
<tr>
<th>Improvements and Estimated Savings 2014-2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>UM+</td>
</tr>
<tr>
<td>UMA+</td>
</tr>
<tr>
<td>UMF</td>
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<tr>
<td>UMFK</td>
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<tr>
<td>UMM</td>
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<tr>
<td>UMPI+</td>
</tr>
<tr>
<td>USM+</td>
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<tr>
<td>UC</td>
</tr>
<tr>
<td>UMS/US+</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
</tr>
</tbody>
</table>

How did they do it?
Determined what the end user needed
Reduced signatures (identified more appropriate signature authority)
Created more transparent process
Eliminated ‘work arounds’, shadow, or background systems, and unnecessary steps

Goals 2015-2016
Double the number of completed projects and trained facilitators
Improve training and project improvement program
Improve system for data collection
Bring Lean Genius to more campuses
Release Lean Awareness videos and upgrade web and social media presence
Create plan for growth and sustainment

2016-2017
Launch training for faculty
Snapshot
University of Maine Sponsored Programs
(Includes government and foundation funding. Does not include Industry funding (managed by the UM Department of Industrial Cooperation) or UM gifts, as defined by the Internal Revenue Service (managed by the Vice President for Development).

Proposals in Fiscal Year 2015
488 proposals submitted
on behalf of 330 faculty/staff
from 51 departments or units
requesting $149,031,892

Proposals in Fiscal Year 2014
575 proposals
on behalf of 351 faculty/staff
from 56 departments or units
requesting $218,673,978

Single and Multi-year Awards in 2015
370 awards received
involving 257 faculty/staff
from 43 departments or units
Total sponsor awards: $51,134,092
UM Cost Sharing: $8,171,878
Grand Total: $59,305,970

Single and Multi-year Awards in 2014
364 awards received
involving 265 faculty/staff
from 47 departments or units
Total sponsor awards: $51,169,551
UM Cost Sharing: $7,837,679
Grand Total: $59,007,430

2015 Awards by Category Percentage
Research: 77.6%
Instruction: 3%
Other (Outreach, public service, testing): 22.1%

2014 Awards by Category Percentage
Research: 69%
Instruction: 2%
Other (Outreach, public service testing): 29%

2015 Awards by Source Percentage
Federal Appropriated Dollars: 91.5%
State Appropriated Dollars: 3.5%
Other (Foundations, Individuals, etc.): 5.0%

2015 Awards by Source Percentage
Federal Appropriated Dollars: 95.4%
State Appropriated Dollars: 1.3%
Other (Foundations, Individuals, etc.) 3.3%
UM/Office of Research & Sponsored Programs (18 persons, total)

Director (1)

The Pre-award Group (7 persons) assists faculty and staff investigators in preparing proposals for submission to extramural sponsors and establishes MaineStreet accounts after awards are reviewed, negotiated and executed.

   Assistant ORSP Director (1)
   Grants & Contracts Paralegal (1)
   Grants & Contracts Specialists (3)
   Grant Accountant (1)
   Administrative Assistant (1)

The Post-Award Group (6 persons) assists the faculty and staff investigators during the implementation of awards. Tasks include cost accounting, invoicing and reporting.

   Associate ORSP Director (1)
   Grant Accountants (3)
   Sub-Award Officer (1)
   Staff Associate (1)

The Compliance and Training Group (2 persons) inventories compliance requirements, designs and conducts training for UM employees and develops procedures in response to changing USG, State, UMS and UM policies.

   Senior Director (1)
   Staff Associate (1)

The Information Management Group (2 persons) designs and maintains databases necessary for the other three groups to accomplish their assigned tasks and provides UM and UMS senior administrative staff with data on which to base decisions.

   Assistant ORSP Director (1)
   Document Manager (1)